

ORIGINAL



# European Network for ICU-Related Respiratory Infections (ENIRRI): a multinational, prospective, cohort study of nosocomial LRTI

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## Abstract

**Purpose:** Lower respiratory tract infections (LRTI) are the most frequent infectious complication in patients admitted to the intensive care unit (ICU). We aim to report the clinical characteristics of ICU-admitted patients due to nosocomial LRTI and to describe their microbiology and clinical outcomes.

**Methods:** A prospective observational study was conducted in 13 countries over two continents from 9th May 2016 until 16th August 2019. Characteristics and outcomes of ventilator-associated pneumonia (VAP), ventilator-associated tracheobronchitis (VAT), ICU hospital-acquired pneumonia (ICU-HAP), HAP that required invasive ventilation (VHAP), and HAP in patients transferred to the ICU without invasive mechanical ventilation were collected. The clinical diagnosis and treatments were per clinical practice and not per protocol. Descriptive statistics were used to compare the study groups.

**Results:** 1060 patients with LRTI (72.5% male sex, median age 64 [50–74] years) were included in the study; 160 (15.1%) developed VAT, 556 (52.5%) VAP, 98 (9.2%) ICU-HAP, 152 (14.3%) HAP, and 94 (8.9%) VHAP. Patients with VHAP had higher serum procalcitonin (PCT) and Sequential Organ Failure Assessment (SOFA) scores. Patients with VAP

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or VHAP developed acute kidney injury, acute respiratory distress syndrome, multiple organ failure, or septic shock more often. One thousand eight patients had microbiological samples, and 711 (70.5%) had etiological microbiology identified. The most common microorganisms were *Pseudomonas aeruginosa* (18.4%) and *Klebsiella spp* (14.4%). In 382 patients (36%), the causative pathogen shows some antimicrobial resistance pattern. ICU, hospital and 28-day mortality were 30.8%, 37.5% and 27.5%, respectively. Patients with VHAP had the highest ICU, in-hospital and 28-day mortality rates.

**Conclusion:** VHAP patients presented the highest mortality among those admitted to the ICU. Multidrug-resistant pathogens frequently cause nosocomial LRTI in this multinational cohort study.

**Keywords:** VAT, VAP, VA-LRTI, Sepsis ICU

## Introduction

The primary focus of most studies conducted on critically ill patients has been to ascertain the diagnosis, occurrence rates, and clinical outcomes among individuals who acquired ventilator-associated pneumonia (VAP) [1]. However, recent data have shown that not all patients with lower respiratory tract infections (LRTI) admitted to the intensive care unit (ICU) are alike and may have different clinical characteristics and clinical outcomes [2–4]. Still, other less-known entities are frequent in the ICU, such as ventilator-associated tracheobronchitis (VAT) and hospital-acquired pneumonia (HAP) happening on the ward or in the ICU patients with spontaneous breathing but needing mechanical ventilation after diagnosis—(so-called ventilated HAP [VHAP]) [5, 6]. Most of the cumulative incidence of these entities is extracted from clinical trials and administrative observational datasets that allow us to determine the effect of medical interventions, often of new antibiotics [7]. However, these data might not represent the real world, and more data is needed.

Over the last twenty years, the profile of patients cared for in the ICU has profoundly changed [8–10]. Additionally, there has been an increase in ICU beds in some healthcare systems, making the admission criteria currently different from what they used to be. More importantly, these criteria are different among countries [11, 12]. A critical remark is that some patients on the ward might have a longer hospital stay, exposing them to hospital-specific pathogens, making them more susceptible to clinical complications than those treated in the ICU, where better access to multidisciplinary teams (e.g., infectious diseases and respiratory physicians, pharmacists, and even physiotherapists) with precise guidelines and goals of care are available [13, 14].

The European Network for ICU-Related Respiratory Infections (ENIRRI) network aimed to determine the clinical outcomes of patients who developed nosocomial LRTI while admitted to the ICU [5, 15]. We hypothesised

## Take-home message

Respiratory infections remain a frequent complication in patients admitted to the intensive care unit (ICU). In our study, multidrug-resistant pathogens were more frequently isolated in ventilator-associated pneumonia patients than in other nosocomial lower respiratory tract infections. Notably, patients with hospital-acquired pneumonia which require invasive ventilation have the highest mortality rate among patients with nosocomial LRTI in the ICU.

that patients with VHAP in this cohort would show the highest mortality rates, as has been repeatedly published before; however, to our knowledge, no observational studies have been published in Europe and South America evaluating this critical clinical issue. Therefore, the primary goal of this study is to report the clinical characteristic of patients with nosocomial LRTI admitted to the ICU and to describe microbiology and the clinical outcomes of these patients using a prospective multinational cohort.

## Methods

We carried out a prospective, multicentric, and observational cohort study at 28 selected ICUs in 13 countries across Europe and Latin America (Argentina, Belgium, Colombia, Croatia, France, Germany, Ireland, Italy, Netherlands, Poland, Portugal, Spain, Turkey) with critically ill patients admitted from 9th May 2016 until 16th August 2019. The time frame was determined by the enrolment period at each site (enrolment was performed during a 12-month continuous period in each participant site). We recruited consecutive patients aged 18 years or older who developed LRTI 48 h after admission (i.e., nosocomial LRTI), who were later admitted to ICU, and/or who developed LRTI during the ICU stay. Then, a follow-up until hospital discharge was performed. This study is the primary analysis of the ENIRRI study. The study received approval from the institution's Internal Review Board (Comité Ètic d'Investigació Clínica, registry number HCB/2020/0370). And registered at ClinicalTrials.gov Identifier: NCT03183921. We obtained

informed consent for patients where this was required per local regulations. All clinical data were anonymised and transferred to the coordinating centre for data curation and analysis. Additionally, each one of the thirteen participating sites presented the project to its institutional ethics committee, and it was approved.

### Patients

Patients were eligible if they had all three conditions below: (1) aged 18 years or older; (2) admitted to ICU; and (3) having a nosocomial LRTI. We excluded readmitted patients.

### Data collection

Recorded data included demographic characteristics, comorbidities, the time course of illness, treatments administered, laboratory and microbiologic data, complications during ICU stay, and outcomes. We determined disease severity by Simplified Acute Physiology Score (SAPS) II [16] and assessed organ failure using the Sequential Organ Failure Assessment (SOFA) score [17] calculating both within the first day of ICU admission. The study protocol of this project has been previously published and was shared with all the local investigators before beginning the enrolment [5, 15].

Ventilatory management strategies, treatments and microbiological assessments were not standardised among centres. They were left to the discretion of the attending clinician, based on local guidelines and recommendations and supported by international guidelines [1, 4].

### LRTI definitions

A nosocomial LRTI was based on clinical criteria (i.e., new or progressive pulmonary infiltrates on chest radiographs, except for VAT, and at least two of the following: temperature  $>38\text{ }^{\circ}\text{C}$  or  $<36\text{ }^{\circ}\text{C}$ ; leucocytosis  $>12,000\text{ mm}^3$  or leukopenia  $<4000\text{ mm}^3$ ; or purulent respiratory secretions). We classified the LRTI patients at the ICU as follows: (1) HAP: LRTI acquired outside the ICU at least  $\geq 48\text{ h}$  after admission, not requiring invasive mechanical ventilation; (2) VHAP: LRTI acquired outside the ICU at least  $\geq 48\text{ h}$  after the admission, requiring invasive mechanical ventilation due to the LRTI; (3) ICU-HAP: LRTI acquired at least  $\geq 48\text{ h}$  after the ICU admission, not requiring invasive mechanical ventilation due to the LRTI; (4) VAP: patients admitted to the ICU who develop LRTI at least after  $\geq 48\text{ h}$  of tracheal intubation/tracheostomy and (5) VAT: patients admitted to the ICU who develop LRTI at least  $\geq 48\text{ h}$  after tracheal intubation/tracheostomy without a new or progressive radiological pulmonary infiltrate.

The following diagnostic thresholds were used to confirm the microbiological diagnosis: bronchial alveolar lavage (BAL)/mini-BAL/protected specimen brush (PSB)  $\geq 10^4$  colony-forming units per mL and sputum/endotracheal aspirate (ETA)  $\geq 10^5$  colony-forming units per mL or any threshold if the patient had concomitant antibiotic treatment when the sample was collected.

We used the Berlin definition to classify patients with acute respiratory distress syndrome (ARDS) [18]. The acute kidney injury use was established using the Kidney Disease Improving Global Outcomes score  $\geq 2$  [19]. As recommended by international guidelines, septic shock was defined as sepsis-induced hypotension persisting despite adequate fluid resuscitation [20]. Sepsis-induced tissue hypoperfusion was defined as infection-induced hypotension, elevated lactate, or oliguria. Septic shock was identified by the following clinical features sepsis with persisting hypotension requiring vasopressors to maintain median arterial pressure (MAP)  $\geq 65\text{ mmHg}$  and having a serum lactate level  $>2\text{ mmol/L}$  (18 mg/dL) despite adequate volume resuscitation [21]. Multiple organ dysfunction was determined when three or more organ systems failed after the nosocomial LRTI diagnosis [17, 22].

Clinical outcomes at the end of antibiotic treatment were defined as (1) *Cure*: all infection-related signs and symptoms have disappeared or have returned to the pre-infection state, and chest X-ray findings show improvement or stabilisation at an acceptable level. (2) *Failure*: all infection-related signs and symptoms were not improved, or one or more antibiotics were added due to lack of clinical improvement; the patient died while on antibiotic treatment. (3) *Unknown*: the patient was discharged before the end of the treatment evaluation. (4) Recurrence was defined as a new nosocomial LRTI episode (i.e., new clinical signs compatible with pneumonia) confirmed by significant growth in quantitative culture after the first diagnosis of LRTI was made; it includes time until hospital discharge [23, 24]. The study protocol has been previously published elsewhere with all the definitions [15].

### Objectives

The primary objective of our study was to determine the clinical and laboratory characteristics, microbiologic features and outcomes of patients diagnosed with nosocomial LRTI in critically ill patients. The secondary aims included describing the clinical impact of these entities and comparing the different study groups.

### Statistical analysis

We reported categorical variables as numbers and frequencies (%), normally distributed continuous variables

as means (standard deviation [SD]) and skewed continuous variables as medians (interquartile ranges [IQR]). We performed  $\chi^2$  tests or Fisher's exact tests to compare qualitative variables, Student's t tests, ANOVAs or Mann–Whitney U, and non-parametric Kruskal–Wallis tests to compare normally distributed or skewed continuous variables, whenever appropriate. We used SPSS (version 28) for data analysis.

**Results**

A total of 1060 patients were included in the study. The most frequent nosocomial LRTI was VAP (52.5% [556/1060]), followed by VAT (15.1% [160/1060]), hospital-acquired pneumonia not receiving invasive mechanical ventilation (HAP) (14.3% [152/1060]), ICU-acquired pneumonia not receiving invasive mechanical ventilation (ICU-HAP) (9.2% [98/1060]), and VHAP (8.9% [94/1060]) (Fig. 1). Most patients were male (72.5% [769/1060]) with a median (IQR) age of 64 (50–74) years. The most prevalent comorbidities were diabetes mellitus (20.3% [215/1060]), chronic heart disease (27% [286/1060]), and chronic lung disease (22.5% [230/1060]). The sociodemographic characteristics of each study group were balanced in age, sex, and body mass index (BMI, Table 1). Notably, most patients received enteral nutrition (71.5% [758/1060]), previous muscle relaxants (26.8% [284/1060]), systemic steroids (24.1% [255/1060]) and have had surgery during the hospitalisation (43.2% [458/1060]). The list of characteristics evaluated in the cohort and its frequencies are presented in Table 1.

**Characteristics of the intensive care units and admissions**

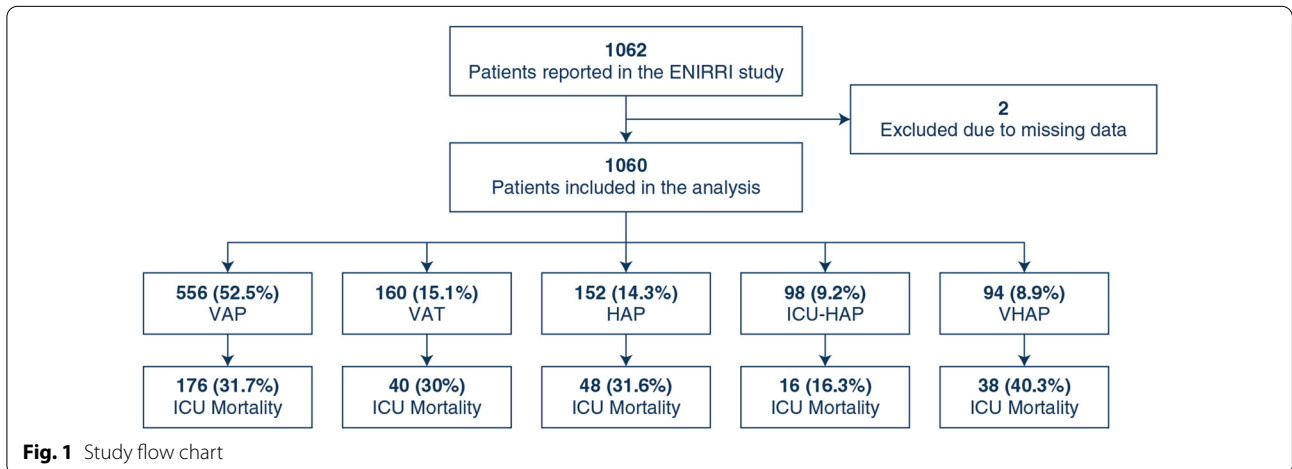
Most of the patients were admitted to the ICU due to medical diagnoses (66% [700/1060]), followed by emergent surgery (15.4% [153/1060]), trauma (9.6% [102/1060]), and elective surgery (9% [95/1060]) (Fig. 2).

The most frequent cause of ICU admission was hypoxic acute respiratory failure (28.4% [301/1060]), followed by postoperative (13% [138/1060]) and altered consciousness (12.1% [128/1060]). Most of these patients came from the emergency department (27.4% [290/1060]) and the general ward (26.8% [284/1060]). Of those who developed hypoxemic acute respiratory failure, a higher proportion developed VAP (VAT: 11.29% [34/301] vs VAP: 37.8% [114/301] vs ICU-HAP: 9.6% [29/301] vs VHAP: 16.3% [49/301] vs HAP: 25% [75/301]).

**Laboratories results, severity scores, and systemic complications**

When comparing the laboratory results, we found that several organ dysfunctions and biomarkers of systemic inflammation differed among the study groups (Table 2). For instance, we found that patients diagnosed with VHAP had higher serum procalcitonin (PCT) concentrations at ICU admission (Table 2). We also found that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio was lower in patients with VHAP, HAP, and VAP.

Patients diagnosed with VHAP had a more severe disease when evaluated by different severity scores at ICU admission (Table 2). For instance, patients diagnosed with VHAP showed higher scores in SOFA (VHAP: 9 [7–12], vs VAP: 8 [5–10], vs ICU-HAP: 5 [3–8], vs HAP: 7 [5–9], vs VAT: 8 [6–10]) (Table 2, Supplemental Table 1). Notably, we found that the Clinical Pulmonary Infection Score (CPIS) was not different among the groups (in ventilated patients). Microbiological and etiological findings are described below and are reported in Table 3. Systemic complications are presented in Table 4, stratified by the study groups. We found that patients diagnosed with VAP and VHAP had a higher prevalence



**Fig. 1** Study flow chart

**Table 1 Characteristics and comorbid conditions stratified by the study groups**

Characteristic	All cohort n = 1060	VAT n = 160	VAP n = 556	ICU-HAP n = 98	VHAP n = 94	HAP n = 152
Age, years	64 (50–74)	63 (49–76)	62 (47–73)	64.5 (53–75)	65 (55–73)	68 (59–75)
Male	769 (72.5)	114 (71.3)	405 (72.8)	72 (73.5)	70 (74.5)	108 (71.1)
Weight, kg	75 (65–85)	65 (70–80)	65 (70–80)	80 (65–90)	76 (67–89)	65 (75–85)
Height, cm	170 (165–175)	170 (163.5–175)	170 (165–176)	170 (163–176)	170 (165–177.5)	170 (165–175)
BMI, kg/m <sup>2</sup>	26 (23.2–29.4)	25.4 (22.9–29)	26.1 (23.4–29.4)	27.8 (23.4–29.7)	26.1 (23–30.8)	24.8 (22.1–29.4)
Overweight	343 (36)	50 (34.7)	186 (37.2)	37 (42)	29 (33)	41 (30.8)
Obese	206 (21.6)	27 (18.8)	112 (22.4)	19 (21.6)	23 (26.1)	25 (18.8)
Chronic heart disease	286 (27)	47 (29.4)	139 (25)	36 (36.7)	23 (24.5)	41 (27)
Diabetes mellitus	215 (20.3)	42 (26.3)	103 (18.6)	16 (16.3)	22 (23.4)	32 (21.1)
Chronic lung disease	239 (22.5)	29 (18.1)	107 (19.2)	34 (34.7)	33 (35.1)	36 (23.7)
Chronic renal failure	120 (11.3)	23 (14.4)	55 (9.9)	10 (10.2)	5 (5.3)	27 (17.8)
Active solid neoplasia	149 (14.1)	19 (11.9)	53 (9.5)	24 (24.5)	19 (20.2)	34 (22.4)
Alcohol abuse	94 (8.9)	12 (7.5)	55 (9.9)	6 (6.1)	8 (8.5)	13 (8.6)
Chronic liver disease	66 (6.2)	11 (6.9)	30 (5.4)	7 (7.1)	8 (8.5)	10 (6.6)
Immunosuppressed	100 (9.4)	9 (5.6)	48 (8.6)	15 (15.3)	7 (7.5)	21 (13.8)
Active smoker	60 (5.7)	8 (5)	38 (6.8)	2 (2)	7 (7.5)	5 (3.3)
Ex-smoker	67 (6.3)	6 (3.8)	31 (5.6)	10 (10.2)	8 (8.5)	12 (7.9)
Active autoimmune disease	57 (5.4)	6 (3.8)	33 (6)	6 (6.1)	1 (1.1)	11 (7.2)
Cirrhosis	36 (3.4)	5 (3.1)	17 (3.1)	3 (3.1)	5 (5.3)	6 (4)
Chemotherapy	49 (4.6)	3 (1.9)	15 (2.7)	9 (9.2)	3 (3.2)	19 (12.5)
Enteral nutrition	758 (71.5)	133 (83.1)	435 (78.2)	60 (61.2)	54 (57.5)	76 (50)
Continuous enteral feeding	679 (64.1)	123 (76.9)	416 (74.8)	45 (45.9)	49 (52.1)	46 (30.3)
Previous surgery	458 (43.2)	66 (41.3)	247 (44.4)	52 (53.1)	33 (35.1)	60 (39.5)
Coma	250 (23.6)	56 (35)	148 (26.6)	10 (10.2)	19 (20.2)	17 (11.2)
Parenteral nutrition	196 (18.5)	32 (20)	103 (18.5)	18 (18.4)	22 (23.4)	21 (13.8)
Systemic steroids	255 (24.1)	32 (20)	143 (25.7)	24 (24.5)	22 (23.4)	34 (22.4)
Surgical trauma	101 (9.5)	20 (12.5)	63 (11.3)	7 (7.1)	8 (8.5)	3 (2)
Previous NIV	151 (14.3)	14 (8.8)	80 (14.4)	30 (30.6)	11 (11.7)	16 (10.5)
Therapeutic hypothermia	28 (2.6)	9 (5.6)	16 (2.9)	0 (0)	1 (1.1)	2 (1.3)
Previous HFNO	93 (8.8)	7 (4.4)	39 (7)	23 (23.5)	7 (7.5)	17 (11.2)
Oral feeding	71 (6.7)	7 (4.4)	15 (2.7)	15 (15.3)	5 (5.3)	29 (19.1)

BMI body mass index, HAP hospital-acquired pneumonia, HFNO high flow nasal oxygen, ICU intensive care unit, NIV non-invasive ventilation, VAP ventilator-associated pneumonia, VAT ventilator-associated tracheobronchitis, VHAP ventilated hospital-acquired pneumonia

of acute kidney injury, ARDS, multiple organ failure, and septic shock diagnosis.

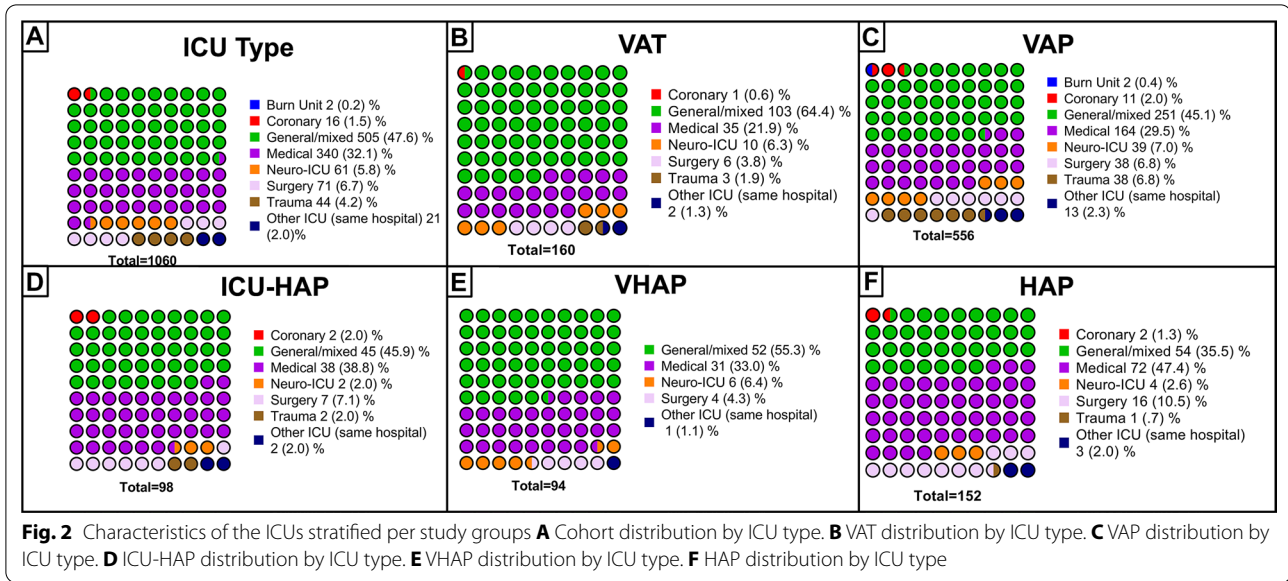
#### Etiological diagnosis of nosocomial LRTI

Any microbiological test was performed in 95% [1008/1060] of patients. 5% (52/1060) of the cohort had no microbiological sample. A total of 70.5% (711/1008) had a microbial diagnosis. A total of 16.07% (162/1008) had ETA-Sputum and BAL/miniBAL/PSB. The 96.3% (971/1008) had ETA-Sputum or BAL/miniBAL/PSB. The 97.8% (986/1008) ETA-sputum, BAL/miniBAL/PSB, respiratory virus testing, or real-time polymerase chain

reaction (PCR) in respiratory samples. The most frequent sample collected during the diagnosis of nosocomial LRTI was blood culture in 82.5% [832/1008], followed by ETA and sputum culture (Table 3). Notably, the etiological pathogen was more frequently identified in patients diagnosed with VAP (75.4% [419/556]).

The most frequently identified microorganisms were *P. aeruginosa* (18.4% [186/1008]), *Klebsiella* spp. (14.4% [145/1008]), *A. baumannii* (11.0% [111/1008]), methicillin-susceptible *S. aureus* (MSSA) (10.81% [109/1008]) and *E. coli* (8.5% [86/1008]). We found that patients with VHAP and HAP had a lower prevalence of *P. aeruginosa*,





**Table 2** Scores, laboratories, and inflammatory markers ICU admission, stratified by the study groups

Score or biomarker at ICU admission	VAT n = 160	VAP n = 556	ICU-HAP n = 98	VHAP n = 94	HAP n = 152	All cohort n = 1060
SAPS II	48 (35–66)	48 (38–58)	37 (28–47)	49 (39–60)	45 (33–57)	47 (36–58)
SOFA	8 (6–10)	8 (5–10)	5 (3–8)	9 (7–12)	7 (5–9)	7 (5–10)
CPIS	4 (3–6)	6 (5–7)	6 (5–7)	6 (4–7)	6 (5–7)	6 (4–7)
Leucocytes, 10 <sup>9</sup> /L	12 (8.9–15.6)	13 (9.8–17.5)	12.4 (7.3–17)	13 (9.3–18.2)	13.7 (8.4–18.5)	12.8 (9.3–17.5)
CRP, mg/dL	16.5 [6.7–80]	23 [9.6–130]	33.3 [9.9–152]	54 [7.6–205.5]	54 [20.1–180]	24.7 [9.5–137.8]
PCT at ICU, ng/mL	0.9 (0.2–2.4)	0.7 (0.2–3)	0.6 (0.2–3.1)	2.9 (0.6–10)	1.2 (0.3–3.7)	0.8 (0.2–3.4)
PaO <sub>2</sub> /FiO <sub>2</sub> ratio	228.5 (177–284.5)	198 (144–267)	185 (134–267)	133 (96.1–218)	165.9 (113–240)	190 (138–266)

CRP C-reactive protein, CPIS clinical pulmonary infection score, HAP hospital-acquired pneumonia, ICU intensive care unit, PCT procalcitonin, SAPS II Simplified Acute Physiology Score II, SOFA Sequential Organ Failure Assessment, VAP ventilator-associated pneumonia, VAT ventilator-associated tracheobronchitis, VHAP ventilated hospital-acquired pneumonia

*Klebsiella* spp., and methicillin-resistant *Staphylococcus aureus* (MRSA) than patients in the other groups. In contrast, patients with VAT, VAP, and ICU-HAP had comparable etiological pathogens, with a high prevalence of *P. aeruginosa*, *Klebsiella* spp., and MRSA (Fig. 3).

#### Multidrug-resistant pathogens

Interestingly, we found that 31% (320/1008) of the patients included in the study had an etiological pathogen that could be classified either as multidrug-resistant (MDR), pan-drug-resistant (PDR), extensively drug-resistant (XDR), extended spectrum-beta-lactamase (ESBL), or carbapenem-resistant. Most resistant pathogens were classified as MDR (13.1% [132/1008]). The total proportion of Gram-negative roots isolated was 50.3% (507/1008). Among these Gram-negative roots

(i.e., *A. baumannii*, *Citrobacter* spp., *E. coli*, *Legionella* spp., *Enterobacter* spp., *Klebsiella* spp., *M. morgagni*, *P. aeruginosa*, *Proteus* spp., *Serratia* spp.) we found ESBL in 14.4% (73/507) and carbapenem-resistant in 11% (56/507). When analysing the distribution of these pathogens per study group, we found that VAP patients had a statistically significant higher prevalence of MDR pathogens (23.1% [74/320]), carbapenem-resistant pathogens (13.7% [44/320]), ESBL (13.43% [43/320]) and XDR (11.6% [37/320]) in comparison to the other study groups (Table 3).

#### Clinical outcomes

A total of 58.4% [619/1060] of the patients were reported to have resolved the infection. 33.4% [354/1060] patients

**Table 3 Microbiological diagnosis and antibiotic resistance patterns**

	VAT n = 160	VAP n = 556	ICU-HAP n = 98	VHAP n = 94	HAP n = 152	All cohort n = 1060
<b>Samples collected during LRTI diagnosis</b>	152 (95)	531 (95.5)	92 (93.8)	92 (97.8)	141 (92.7)	1008 (95)
Blood culture	122 (76.3)	452 (81.3)	75 (76.5)	71 (75.5)	112 (73.7)	832 (78.5)
ETA-Sputum	110 (68.8)	249 (44.8)	50 (51)	37 (39.4)	67 (44.1)	513 (48.4)
BAL/miniBAL/PSB	75 (46.9)	352 (63.3)	49 (50)	59 (62.8)	85 (55.9)	620 (58.5)
Real-time PCR in respiratory samples	26 (16.3)	39 (7)	8 (8.2)	2 (2.1)	12 (7.9)	87 (8.2)
Respiratory virus testing	15 (9.4)	65 (11.7)	15 (15.3)	23 (24.5)	16 (10.5)	134 (12.6)
Pleural fluid	3 (1.9)	22 (4)	9 (9.2)	11 (11.7)	7 (4.6)	52 (4.9)
<b>Microorganisms isolated</b>	121 (75.6)	419 (75.4)	52 (53.1)	39 (41.5)	80 (52.6)	711 (67.1)
<i>Acinetobacter baumannii</i>	9 (5.6)	66 (11.9)	5 (5.1)	4 (4.3)	12 (7.9)	96 (9.1)
<i>Aspergillus</i> spp.	0 (0)	3 (0.5)	1 (1)	3 (3.2)	1 (0.7)	8 (0.8)
<i>Corynebacterium</i>	1 (0.6)	0 (0)	0 (0)	0 (0)	1 (0.7)	2 (0.2)
<i>Citrobacter</i> spp.	0 (0)	5 (0.9)	1 (1)	0 (0)	0 (0)	6 (0.6)
<i>E. coli</i>	5 (3.1)	35 (6.3)	7 (7.1)	7 (7.4)	9 (5.9)	63 (5.9)
<i>Enterobacter</i> spp.	5 (3.1)	24 (4.3)	4 (4.1)	1 (1.1)	8 (5.3)	42 (4)
<i>Haemophilus</i> spp.	6 (3.8)	15 (2.7)	1 (1)	2 (2.1)	5 (3.3)	29 (2.7)
<i>Klebsiella</i> spp.	22 (13.8)	57 (10.3)	6 (6.1)	8 (8.5)	12 (7.9)	105 (9.9)
<i>Legionella</i> spp.	0 (0)	0 (0)	0 (0)	0 (0)	1 (0.7)	1 (0.1)
<i>Moraxella catarrhalis</i>	0 (0)	2 (0.4)	0 (0)	0 (0)	2 (1.3)	4 (0.4)
<i>Morganella morgagni</i>	0 (0)	4 (0.7)	0 (0)	1 (1.1)	0 (0)	5 (0.5)
MRSA	7 (4.4)	21 (3.8)	8 (8.2)	6 (6.4)	13 (8.6)	55 (5.2)
MSSA	16 (10)	56 (10.1)	7 (7.1)	2 (2.1)	4 (2.6)	85 (8)
<i>P. aeruginosa</i>	33 (20.6)	101 (18.2)	7 (7.1)	4 (4.3)	9 (5.9)	154 (14.5)
<i>Proteus</i> spp.	2 (1.3)	11 (2)	0 (0)	0 (0)	1 (0.7)	14 (1.3)
<i>S. pneumoniae</i>	6 (3.8)	8 (1.4)	4 (4.1)	1 (1.1)	2 (1.3)	21 (2)
<i>Serratia</i> spp.	9 (5.6)	11 (2)	1 (1)	0 (0)	0 (0)	21 (2)
<b>Antibiotic resistance patterns identified</b>	64 (40)	201 (36.1)	19 (19.4)	32 (34)	15 (9.9)	320 (30.2)
MDR	24 (15)	74 (13.3)	9 (9.2)	14 (14.9)	11 (7.2)	132 (12.5)
ESBL	11 (6.9)	43 (7.7)	8 (8.2)	7 (7.4)	4 (2.6)	73 (6.9)
Carbapenemase resistant	8 (5)	44 (7.9)	1 (1)	3 (3.2)	0 (0)	56 (5.3)
XDR	7 (4.4)	37 (6.7)	1 (1)	7 (7.4)	0 (0)	52 (4.9)
PDR	3 (1.9)	3 (0.5)	0 (0)	1 (1.1)	0 (0)	7 (0.7)

All the characteristics are presented in counts (%)

ETA endotracheal aspirate, BAL bronchial alveolar lavage, LRTI lower respiratory tract infections, MRSA methicillin-resistant *Staphylococcus aureus*, MSSA methicillin-sensitive *Staphylococcus aureus*, PCR polymerase chain reaction, MDR multidrug-resistant, PDR pandrug-resistant, XDR extensively-drug resistant, ESBL extended spectrum-beta-lactamase, VAT ventilator-associated tracheobronchitis, VAP ventilator-associated pneumonia, ICU-HAP Intensive Care Unit (ICU) hospital-acquired pneumonia, VHAP ventilated hospital-acquired pneumonia, HAP hospital-acquired pneumonia

presented treatment failure, and the remaining 7.9% [84/1060] had an unknown outcome. Recurrence of nosocomial LRTI was found in 10.7% [84/1060] of the whole cohort. VAT patients progress to VAP in 7.5% (12/160).

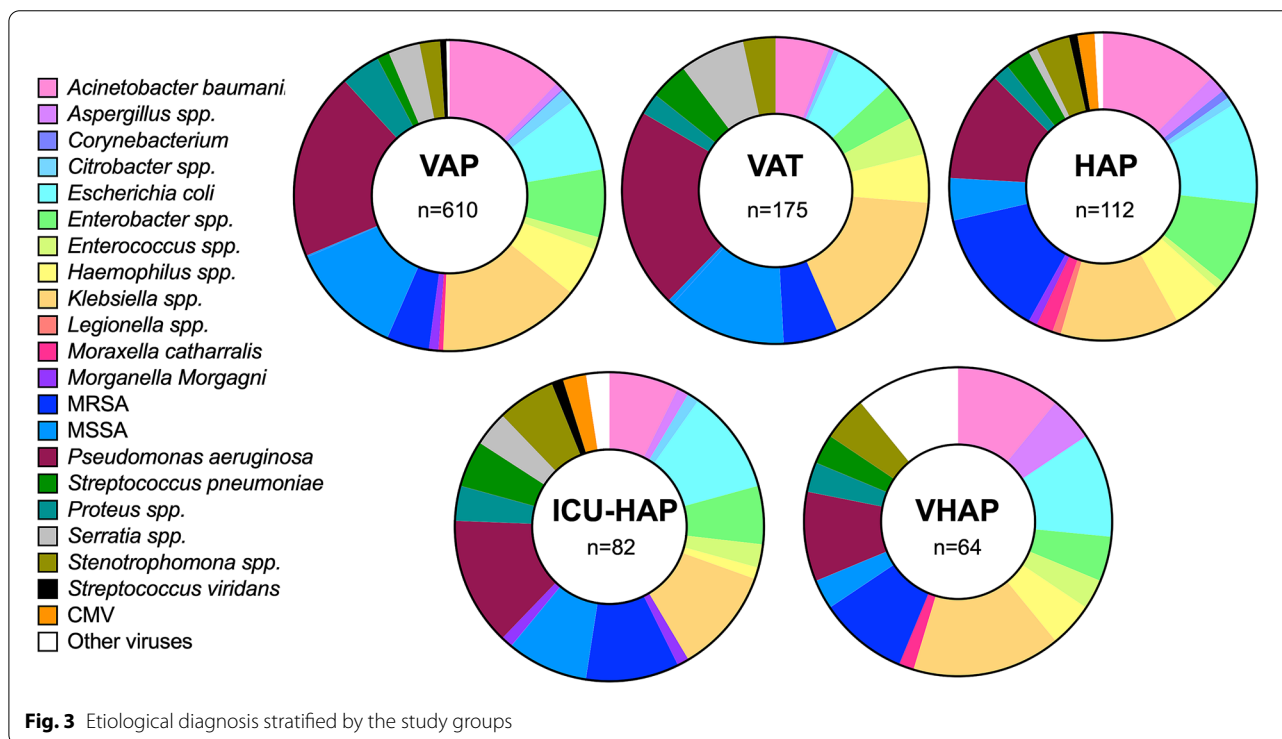
In-hospital mortality was 37.5% (397/1060), ICU mortality was reported in 30.8% (326/397) of the patients, and 28-day mortality was 27.5% (291/1060). Patients diagnosed with VHAP had higher hospital mortality (50% [47/94]), ICU mortality (40.3% [38/94]) and 28-day mortality (41.5% [39/94]) when compared to the other groups (Fig. 1; Table 4). The median (IQR) ICU length

of stay (LOS) in survivors was 20 [11–35] days, and the hospital LOS was 38 [22–65] days. Patients with VAP had longer hospital and ICU LOS compared with other groups (VAT: 20.5 [11.5–30] vs VAP: 25 [15–41] vs ICU-HAP: 20 [11–35] vs VHAP: 12 [6–27] vs HAP: 12 [6–19.5]) and (VAT: 31 [19–57] vs VAP: 44 [24–70] vs ICU-HAP: 38 [28–72] vs VHAP: 34.5 [20–61] vs HAP: 34 [21–54.5]) respectively (Table 4).

**Table 4 Systemic complications upon diagnosis and clinical outcomes stratified the study groups**

Complications at ICU admission	VAT n = 160	VAP n = 556	ICU-HAP n = 98	VHAP n = 94	HAP n = 152	All cohort n = 1060
Acute kidney injury	23 (14.4)	106 (19.1)	12 (12.2)	16 (17)	22 (14.5)	179 (16.9)
ARDS	20 (12.5)	116 (20.9)	16 (16.3)	32 (34)	45 (29.6)	229 (21.6)
Septic shock	19 (11.9)	148 (26.6)	22 (22.5)	38 (40.4)	31 (20.4)	258 (24.3)
Multiple organ failure	14 (8.8)	60 (10.8)	6 (6.1)	16 (17)	8 (5.3)	104 (9.8)
Clinical cure, n (%)	96 (60)	331 (59.5)	62 (63.3)	45 (47.9)	85 (55.9)	619 (58.4)
Treatment failure, n (%)	52 (32.5)	184 (33.1)	22 (22.5)	44 (46.8)	52 (34.2)	354 (33.4)
ICU mortality, n (%)	48 (30)	176 (31.7)	16 (16.3)	38 (40.3)	48 (31.6)	326 (30.8)
Hospital mortality, n (%)	59 (36.9)	210 (37.8)	24 (24.5)	47 (50)	57 (37.5)	397 (37.5)
28-days mortality, n (%)	51 (31.8)	143 (25.7)	14 (14.3)	39 (41.5)	44 (28.9)	291 (27.4)
ICU LOS, median (IQR)	20.5 (11.5–30)	25 (15–41)	20 (11–35)	12 (6–27)	12 (6–19.5)	20 (11–35)
Hospital LOS, median (IQR)	31 (19–57)	44 (24–70)	38 (28–72)	34.5 (20–61)	34 (21–54.5)	38 (22–65)

ARDS acute respiratory distress syndrome, ICU intensive care unit, LOS length of stay, VAP ventilator-associated pneumonia, VAT ventilator-associated tracheobronchitis, VHAP ventilated hospital-acquired pneumonia



## Discussion

This study represents a contemporary multinational observational perspective aiming to describe all the possible nosocomial pneumonia presentations in a critical care setting. The main finding is the high mortality, especially among those with VHAP. Additionally, these patients presented not only a worse unadjusted outcome for mortality but also for morbidity with longer duration of their stay in both hospital and ICU. We also found that the etiological pathogens in patients admitted with nosocomial pneumonia in the ICU were

similar among groups. However, we found that multi-drug-resistant pathogens were more frequently identified in VAP patients and were infrequent in the other groups. These findings have important therapeutic implications that should be further explored.

Patients admitted to the ICU often present pneumonia as an infectious complication during their stay (i.e., nosocomial pneumonia). However, most of the work done has been continuously focused on only one entity: VAP. Nevertheless, in recent years, VAT has emerged as a new relevant infection in patients under invasive mechanical



ventilation. Some authors have hypothesised that VAT is an intermediate infection before affecting the lung parenchyma [25–27]. In contrast, others have proposed VAT as an independent entity that does not need antibiotic treatment [4, 28]. Current international guidelines have contradictory recommendations about differentiating VAP and VAT and how to treat these infections [4]. On the other hand, as more patients are being admitted to the ICU without requiring invasive mechanical ventilation, different types of nosocomial pneumonia are now a growing global problem in the ICU [29, 30]. Notably, the clinical characteristics and outcomes of these new kinds of pneumonia in the ICU have yet to be appropriately described.

An important finding was the higher frequency of infection due to *P. aeruginosa* in the study groups. Over the last 5–10 years, epidemiological studies have reported increased rates of *Klebsiella pneumoniae* and other non-fermentative Gram-negative pathogens [10, 31–34]. This has also resulted in resistant strains, especially carbapenem-resistant pathogens [35–37], our findings remind us that *P. aeruginosa* should still be considered a common pathogen in patients with nosocomial pneumonia [33]. Yet, we had a low rate of aetiology identification in patients without invasive mechanical ventilation, which could underestimate the prevalence of other etiological pathogens [4]. This is a common feature being shown in studies including patients that were not invasively ventilated and opens the question of how we could improve diagnostic yields in patients without an artificial airway in place [38]. An alternative to this could be PCR-based technologies; however, further studies are needed to prove the utility of these new technologies.

Attributable pneumonia-related mortality has been and will be a matter of debate. Studies have been published to determine this effect, but the results remain unclear. One of the most cited studies was published almost ten years ago from a meta-analysis of individual patient data from randomised studies. Melsen et al. reported an overall attributable mortality of 13% in patients with VAP [39]. The authors reported attributable avoidable mortality in patients with mid-range severity and after surgery. However, data obtained by us suggest higher rates of mortality. In our study, higher mortality rates were linked with severity scores, especially in patients with VHAP. As the clinical characteristics and outcomes are different among the different kinds of nosocomial types of pneumonia, we propose to analyse attributable mortality based on the various clinical trajectories associated with ICU admission. In other words, pneumonia is not a static process, and dynamic changes apply and might better understand the attributable mortality dilemma in patients with nosocomial pneumonia.

Etiological diagnosis of pneumonia represents a cornerstone element for adequate medical treatment. Not only to obtain and to identify the responsible pathogen promptly but also a valid identification with a significant sample avoids overtreatment and helps in early de-escalation [40]. As our results showed, patients with VHAP yielded poor microbiological confirmation. This can be interpreted in two ways. One, patients have received previous antibiotic treatment, which made antibiotic levels not allow the growth, or two, we should propose a more specific and invasive technique for this type of patient (i.e., bronchoscopy at intubation). Unfortunately, previous studies have shown that the performance of bronchoscopy is not widely part of clinical practice in European ICUs [41]. Yet, bronchoscopy is a valuable technique, and international pneumonia guidelines currently recommend it to improve diagnosis accuracy [1, 4].

Our study has some limitations that are important to mention. Although the study is a multicentre, multinational study conducted in Europe and Latin America, some other countries still need to be included to allow the generalisability of the results. There needs to be more representation from the Scandinavian countries where low resistance rates have been published. However, this study finds the differences and aetiology in places with potentially higher resistance rates, and this information would be valuable. Second, the diagnosis and treatment of the patients were not standardised per the study protocol among the different participating ICUs; thus, some fungal and virus tests were not performed, which might represent a potential bias. However, our results provide an insightful analysis of current clinical practice. Third, this study only recruited patients with nosocomial LRTI admitted to the ICU. This does not allow us to estimate the prevalence or incidence rate of LRTI among patients admitted to the hospital. Nevertheless, the proportion of nosocomial LRTI are well described and subcategorised in the VAT/VAP/ICUHAP/VHAP/HAP groups.

In conclusion, with a prospective design analysis, this cohort study provides contemporary data on all the different types of nosocomial pneumonia that need to be treated in the critically ill setting. We found that VHAP mortality was the highest among patients admitted to the ICU, confirming what has been reported in previous clinical trials. However, the reason these patients have a higher mortality rate is still being determined and should be further explored in upcoming studies. Finally, we found that multidrug-resistant pathogens more frequently infected patients with VAP than other nosocomial pneumonia, which might have important clinical implications.

## Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1007/s00134-023-07210-9>.

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## Availability of data and materials

The datasets analyzed during the current study are available from the corresponding author upon reasonable request.

## Declarations

## Conflicts of interest

All authors have no conflict of interest.

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