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Using spectral diversity and heterogeneity measures to map habitat mosaics: An example from the Classical Karst

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Using remote sensing to map natural habitats: an integrated approach

2 applied to the Classical Karst eco-mosaic

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Abstract

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Remote sensing is a well-established tool for habitat mapping, but its use is still challenging in heterogeneous landscape mosaics. Novel approaches to improve classification performance include multi-temporal data and multiple remotely sensed variables. In this study, an integrated approach was developed to map the natural habitats in Classical Karst eco-mosaic (NE Italy), by quantifying the importance of Spectral Heterogeneity (SH) measures and providing a robust framework to include multi-temporal remotely sensed data. A collection of 12 monthly Sentinel-2 images was retrieved using the Google Earth Engine platform. Vegetation and SH indices were computed and aggregated in four temporal configurations: (1) monthly layers of vegetation and SH indices; (2) seasonal layers of vegetation and SH indices; yearly layers of multi-temporal SH indices computed (3) across the months, and (4) across the seasons. For each temporal configuration, a Random Forest classification was performed, first with the complete set of input layers and then with a subset obtained by Recursive Feature Elimination. Training and validation points were independently extracted from field data. The maximum overall accuracy (OA = 0.72) was achieved with the seasonal temporal configuration, after the number of habitats was reduced from 26 to 11. SH measures allowed to improve the accuracy of the classification and the spectral β diversity was the most important variable in most cases. Spectral α -diversity and Rao's Q, on the other side, had a low relative importance, possibly due to the small spatial extent of the habitats. Regarding the inclusion of multi-temporal data, the aggregation of monthly data in seasonal median composites proved to be the best approach, since it allowed to reduce the number of input layers without losing accuracy. The approach developed in this study allows to improve habitat mapping in complex landscapes in a cost- and time-effective way, suitable for monitoring applications.

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Keywords:

Multi-temporal classification; Random Forest; Sentinel-2; Spectral diversity; Spectral heterogeneity; Vegetation indices

39 **Highlights**

- Spectral heterogeneity measures increase the accuracy of image classifications.
- The most important variable in most classifications is spectral β -diversity.
- Spectral β -diversity mainly distinguishes woodlands, grasslands and pine forests.
- Spectral α -diversity and Rao's Q index have a lower importance.
- Aggregating data in seasonal composites is a reliable way to reduce dimensionality.

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Abbreviations:

- 47 BC Bray-Curtis dissimilarity
- 48 DT Decision Tree
- 49 GNDVI Green Normalized Difference Vegetation Index
- 50 I-0 Grassland encroachment level 0 (pure grassland)
- 51 I-1 Grassland encroachment level 1
- 52 I-2 Grassland encroachment level 2
- 53 IRECI Inverted Red Edge Chlorophyll Index
- 54 LAI Leaf Area Index
- 55 LiDAR Light Detection And Ranging
- 56 NDVI Normalized Difference Vegetation Index
- 57 NDWI Normalized Difference Water Index
- 58 NIR Near Infra-Red
- 59 OA Overall Accuracy
- 60 OOB Out Of Bag
- 61 PA Producer's accuracy
- 62 PCA Principal Component Analysis
- 63 PCoA Principal Coordinate Analysis
- 64 RF Random Forest
- 65 RFE Recursive Feature Elimination
- 66 SH Spectral heterogeneity
- 67 SWIR Short Wave Infra-Red
- 68 UA User's accuracy

1. Introduction

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Mapping natural habitats is a fundamental step for the conservation of biodiversity. The Habitats Directive, for example, requires EU member states to conserve habitats and species "of community interest" and assess their conservation status every six years, by reporting on parameters such as habitat area, range, indicators of habitat quality and future previsions for habitat survival (European Commission, 2005). These reports require habitat mapping. However, habitat maps have traditionally been produced through time-consuming and costly field surveys, that make them unsuitable to regular updates. Thus, more cost- and time-effective monitoring strategies are required, and remote sensing has become an essential tool for this objective (Corbane et al., 2015). Habitat mapping by remote sensing is generally carried out through the process of automatic image classification, in which all pixels in a remotely sensed image are categorized into classes of ground cover (Borra et al., 2019). Over time, many remote sensing data have become available, including multispectral and hyperspectral satellite images, and data from active sensors such as radar (Richards, 2013), while image processing tools have been improved, allowing to map a broad range of habitats, such as forests, grasslands, heathlands and wetlands (Corbane et al., 2015). Despite the advances in this field, mapping some types of habitats remains a difficult task, especially in heterogeneous areas. Mosaics of natural and semi-natural grasslands, for example, are particularly challenging to map, due to the typical small spatial extent of the habitat patches, their spectral similarity, and the high spatial, structural and temporal variability of the vegetation (Corbane et al., 2015; Tarantino et al., 2021). This is complicated by the fact that boundaries between the patches are often not discrete (Rocchini et al., 2013b). Thus, innovative approaches should be tested (Schuster et al., 2015). The use of multi-temporal data has been proven to facilitate the differentiation of habitats in areas with a seasonal variability (Rapinel et al., 2019; Schuster et al., 2015). This approach, indeed, accounts for phenological differences among vegetation types, that can be the key to distinguish spectrally similar habitats, especially when the most appropriate dates are selected (Senf et al., 2015). However, there are many possible ways to include the multi-temporal information in the classification process. For example, Schuster et al. (2015) found that the accuracy of a classification in grassland habitats was increased by the number of used images, but with differences according to the type of data source. Tarantino et al. (2021) compared the effect of using a time series of a single vegetation index and a set of Sentinel-2 images and found that the first method outperformed the latter. In another study, multiple Sentinel-2 seasonal composites were compared, and the highest accuracy was achieved using the summer mean composite (Praticò et al., 2021). Image classification outcomes can also be improved by the integration of ancillary data, that modern classification algorithms are able to handle (Wulder et al., 2018). Topographic features such as slope and aspect are often relevant, since they influence the distribution of natural communities on fine scales (Bhatt et al., 2022). Data on vegetation structure derived from active sensors like LiDAR (Light Detection And Ranging) can also facilitate habitat mapping, as demonstrated for example for a semi-arid region of Brazil by da Silveira et al. (2018) and for non-forest Natura 2000 habitats in Poland by Osińska-Skotak et al. (2021). However, some of the greatest improvements in image classifications are achieved when texture information is

103 included, as was highlighted in a recent meta-analysis (Khatami et al., 2016). Image texture metrics measure the spatial 104 arrangement and variation of pixel values, and thus provide valuable information on the homogeneity of areas (Haralick et al., 105 1973). For this reason, they can facilitate the differentiation of spectrally similar habitats (e.g. Bhatt et al., 2022). 106 The spatial variability of the remotely sensed signal is also the basis for the assessment of plant biodiversity from remote 107 sensing (Rocchini et al., 2010a). The so-called spectral diversity, or spectral heterogeneity, has been directly related to 108 environmental heterogeneity by the Spectral Variation Hypothesis (Palmer et al., 2002; 2000). Moreover, spectral heterogeneity 109 can be considered a proxy for species diversity (Rocchini et al., 2010a), because environmentally heterogeneous areas have a 110 large number of niches available and are expected to host a high species diversity (Stein et al., 2014). The relationship between 111 spectral heterogeneity and species diversity has proved to be sensitive to many factors (Wang and Gamon, 2019), like spatial 112 scale (Oldeland et al., 2010; Wang et al., 2018), spectral resolution (Rossi et al., 2021) and temporal scale (Fauvel et al., 2020), 113 thus it cannot be considered universally valid (Fassnacht et al., 2022). However, spectral heterogeneity can be useful regardless 114 of its relation with taxonomic diversity, since it encompasses also a functional and a phylogenetic dimension of biodiversity 115 (Wang and Gamon, 2019). 116 Many indices have been proposed as measures of spectral heterogeneity (Wang and Gamon, 2019). The most traditional ones 117 include metrics of variability of single wavebands or vegetation indices such as NDVI (Gillespie, 2005; Levin et al., 2007), 118 and metrics that condense full-spectrum variability, such as the distance from spectral centroid (e.g. Palmer et al., 2002; 119 Rocchini, 2007). Recently, two novel approaches have emerged to estimate spectral heterogeneity. The first one relies on 120 information theory: diversity indices based on information theory are computed from spectral data, generally by applying the 121 moving window approach (Rocchini et al., 2013a). The most common of these indices is Shannon entropy (Shannon, 1948), 122 computed by considering the relative abundance and richness of reflectance values. However, indices that consider also the 123 spectral distance among pixel values have some advantages, as was recently highlighted by Thouverai et al. (2021). Rao's 124 quadratic entropy has been proposed for this reason and proved to perform well in natural areas (Rocchini et al., 2021a). 125 The second novel and powerful approach to estimate spectral heterogeneity is based on "spectral species", i.e. spectral types 126 considered as proxies for biological species (Féret and Asner, 2014). Following this approach, each pixel of the image is 127 assigned to a spectral species, generally through unsupervised k-means clustering, thanks to the fact that pixels from the same 128 species tend to converge to the same cluster (Féret and Asner, 2014). The spatial variation in spectral species is then used to infer metrics of α - and β -diversity (Féret and Boissieu, 2020). So far, the spectral species method has been applied to tropical 129 130 forests, based on very high-resolution airborne imaging spectroscopy (Féret and Asner, 2014), to low-resolution MODIS 131 images of the entire Europe (Rocchini et al., 2021c), and recently also to Sentinel-2 data (Féret and Boissieu, 2020) to assess 132 biodiversity changes in secondary forests (Chraibi et al., 2021) and to estimate plant diversity in an ecological network (Liccari 133 et al., 2022). In this light, measures of spectral heterogeneity have the potential to improve habitat mapping frameworks. Indeed, when 134 135 vegetation types share similar spectral reflectance characteristics, considering additional levels of information may facilitate 136 their differentiation (e.g. Bhatt et al., 2022). The variability of taxonomic, functional and phylogenetic traits, as expressed by spectral heterogeneity (Wang and Gamon, 2019), may be such a type of information. Thus, including spectral heterogeneity measures in image classification procedures could increase their robustness and accuracy, especially in complex landscape mosaics. However, very few studies have tried to incorporate these measures (e.g. Marzialetti et al., 2020).

Interestingly, both Rao's entropy and spectral species-based metrics can be assessed in the temporal dimension. If a multi-temporal stack is provided as input instead of a multi-spectral image, in fact, temporal diversity will be computed (Marzialetti et al., 2020). This spectral temporal diversity will likely be useful to assess biodiversity, since differences in phenology can be important to estimate plant diversity (Fauvel et al., 2020).

Moving forward from these premises, the aim of this study was to test and discuss an integrated approach to map a complex mosaic of natural and semi-natural habitats through remote sensing, using the Classical Karst as case study. Specifically, the main objectives were:

- 1) quantify the importance of measures of spectral heterogeneity for habitat classification;
- 2) provide a robust framework to include multi-temporal remotely sensed data for habitat classification.

To achieve these goals, multiple sets of remote sensing derived variables, namely vegetation indices and spectral heterogeneity indices, along with their variation over one year, were computed based on a series of Sentinel-2 images covering the period March 2021 - February 2022. These variables were aggregated in four temporal configurations, for which separate classifications were performed. Classification accuracies were compared to find the most reliable approach.

2. Materials and methods

2.1. Study area

The study was carried out in the Italian part of the Classical Karst, a limestone plateau, with altitudes ranging from 0 to 600 m, located in the provinces of Trieste and Gorizia within Friuli-Venezia Giulia region (NE Italy; Fig. 1). Seven different territorial disjunct patches were considered, that cover a total surface of 60 ha and are involved in a restoration project called "Ecomosaico del Carso" (Appendix). These areas are partially included in two Natura 2000 sites: the special area of conservation "Carso Triestino e Goriziano" (IT3340006) and the special protection area "Aree carsiche della Venezia Giulia" (IT3341002).

Land cover is characterized by a fine mosaic of natural and semi-natural habitats, created by the long-lasting human presence in the region. The main vegetation types are grassland, downy oak woodland and black pine plantation. Karst grassland is an extremely species-rich gramineous herbaceous formation that evolved with the millenary action of grazing and is now being replaced by shrublands and woodlands due to pasture abandonment. Downy oak woodlands are expanding in abandoned pastures and cover 70% of the Karst nowadays. Black pine has been planted since the mid 19th century for reforestation purposes and from then on has spontaneously expanded creating species-poor pine forests (Poldini, 2009, 1989). Many conservation projects are being developed in recent years to maintain and restore Karst grassland (Marin and Altobelli, 2021), that is also a habitat of community interest (code 62A0 "Eastern sub-Mediterranean dry grasslands (*Scorzoneratalia villosae*)" in Annex I of the Habitat Directive; European Commission, 1992).

The climate is transitional between Mediterranean and continental (Poldini, 1989). The average rainfall is 1200 mm/year, and the mean annual temperature is 12.5°C, although there are large differences due to elevation and slope exposure (OSMER, 2015). The dry and cold Bora from NE contributes to desiccation and soil erosion (Poldini, 1989).

2.2. Field data collection

- Field surveys were carried out between March and May 2022. Habitats present in the intervention areas of "Ecomosaico del Carso" project were identified on the field. In a first phase, habitats were identified as vegetation types with a high level of detail, in most cases as associations, according to the phytosociological types described for Classical Karst by Poldini (1989; 2009). In a second phase, habitats were defined on the basis of their structural-physiognomic and ecological characteristics, and some of the previous classes were aggregated into coarser classes. The two classifications account respectively for 26 and 11 habitat classes. Specifically, for the first classification process, different classes of grassland were distinguished according to the following criteria:
 - 1) type of grassland: thermophilous, mesophilous, on flysch;
 - 2) degree of felting (i.e., presence of *Sesleria autumnalis*): pure grassland (no *S. autumnalis*), first degradation stage (few patches of *S. autumnalis*), second degradation stage (mosaic with ca. 50% grassland elements and 50% *S. autumnalis*), third degradation stage (felted grassland, completely covered by *S. autumnalis*);
 - 3) stage of vegetational succession: no bushes (zero encroachment level, I-0), few bushes with low height (ca < 1.5 m) and widely spaced (first encroachment level, I-1), medium height bushes (ca 3-4 m) relatively close to each other (second encroachment level, I-2).

In the second classification, only the last criterion was considered, while shrublands, initially differentiated according to the vegetation type, were aggregated into a single class. The two classes of downy oak woodland – namely, a young class with low height individuals, and a mature class with individuals higher than 6 m – were also merged. Groves with *Ailanthus altissima* and *Robinia pseudoacacia* were aggregated into an invasive alien species class, while sessile oak woodlands, black pine plantations, hay meadows and pasture-grasslands were kept as separate classes. Finally, a grassland-woodland mosaic was defined as a dynamic stage with patches of grassland and well-spaced patches of woodland. The complete list of habitat classes considered in this study is in Table A2. Two areas were excluded from the analysis since vegetation could not be classified according to the defined scheme: area n.17, where vegetation was cut before field surveys, and a portion of area n.6, where a fire occurred on 14/08/2021.

The habitats present in the study areas were manually mapped based on field collected data using QGIS 3.16.14 software (QGIS Development Team, 2022). Maps of vegetation height derived from LiDAR data were used as a base for polygon drawing. LiDAR RAFVG survey has been conducted in Friuli-Venezia Giulia region in the years 2017-2020 by aerial means. LiDAR point clouds, that have an average density of 16 points/m², were downloaded from Eagle FVG portal (https://eaglefvg.regione.fvg.it). Each point includes a classification field (1 – unclassified, 2 – ground, 3 – low vegetation, 4 – medium vegetation, 5 – high vegetation): points belonging to "Ground" class were extracted and interpolated to create a plan,

204 then the distance of the vegetation points from the plan was computed and maps of vegetation height were produced. 205 Elaboration was performed in CloudCompare 2.11.1 (Cloud Compare, 2021). 206 2.3. Satellite data collection and processing 207 208 The workflow applied to manage satellite data and to derive input variables for classification is represented in Fig. 2. First, 209 Sentinel-2 images were retrieved using Google Earth Engine platform (Gorelick et al., 2017). The Sentinel-2 level-2A image 210 collection ("COPERNICUS/S2 SR HARMONIZED") was filtered by date (from 2021-03-01 to 2022-02-28), by area (the 211 Trieste and Gorizia Karst) and by cloud coverage (cloudy pixel percentage < 50%). The less cloudy image of each month was 212 manually selected, to produce a collection of 12 monthly images covering a whole year. 213 Then, the 12 Sentinel-2 images were divided into four seasonal groups: spring (March 2021-May 2021), summer (June 2021-August 2021), autumn (September 2021-November 2021), and winter (December 2021-February 2022). Each group was 214 215 reduced to a single image by computing the median of each spectral band, so that, at each location in the output image, the 216 pixel value of a band is the median of all pixel values of that band in the input group. 217 2.4. Vegetation indices 218 219 Vegetation indices were preferred over the original Sentinel-2 spectral bands as inputs for the classification because they allow 220 to reduce the dimensionality of the dataset while being more strongly related to the temporal variation of vegetation (Coppin 221 et al., 2004). Four vegetation indices were derived from each Sentinel-2 image (Table 1): Normalized Difference Vegetation Index (NDVI), Green Normalized Difference Vegetation Index (GNDVI), Normalized Difference Water Index (NDWI) and 222 223 Inverted Red Edge Chlorophyll Index (IRECI). 224 NDVI (Rouse et al., 1975) includes the red and NIR bands, respectively sensitive to chlorophyll content and leaf structure. It 225 has been proven to be correlated to biomass, LAI and photosynthetic activity (Gamon et al., 1995). GNDVI is an alternative to 226 NDVI, with the green band instead of the red band, that has been proposed to avoid saturation in case of high chlorophyll content (Gitelson et al., 1996). NDWI, including the NIR and SWIR bands, is sensitive to water content and can be useful in 227 228 assessing vegetation water status (Chen et al., 2005). Finally, IRECI uses Sentinel-2 red and red-edge bands and is very sensitive 229 to LAI parameter and canopy chlorophyll content (Frampton et al., 2013). 230 These indices were computed from each image in the monthly dataset and then aggregated into seasonal median composites, following the same procedure used for Sentinel-2 reflectance bands. These operations were performed in Google Earth Engine. 231 232 233 2.5. Metrics of spectral heterogeneity and diversity

Rao's quadratic entropy (Rao's Q; Rao, 1982) is a diversity index that considers both relative abundances of pixel values (p_i ,

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 p_i) and spectral distances among them (d_{ii}) :

237 In this study, spectral Rao's Q layers were separately obtained from each vegetation index raster through package rasterdiv 238 (Rocchini et al., 2021c; Thouverai et al., 2021) in R software (R Core Team, 2022). Following the function specifications, each 239 input raster layer was first rescaled in 8-bit and the moving window was set to 3. 240 Then, Rao's Q was computed also in the multi-temporal dimension, by setting a "multidimension" method. In this case, the 241 distances among pixel values are calculated considering more than one layer. For each vegetation index, two layers of multi-242 temporal Rao's Q were produced, one from the stack of 12 monthly images and the other from the stack of 4 seasonal 243 244 Spectral α - and β -diversity metrics were calculated following the spectral species concept proposed by Féret and Asner (2014), using the R package biodivMapR (Féret and Boissieu, 2020). The used algorithm includes several steps: first, the multi-245 246 spectral images are filtered to remove irrelevant pixels (non-vegetated, shady, or cloudy). Then, a principal component analysis (PCA) is performed, and the relevant principal components (PCs) are manually selected based on visual analysis. Spectral 247 248 species mapping is based on k-means clustering. A subset of pixels is randomly extracted from the image and used to define k 249 clusters (i.e., "spectral species"). The number of clusters was set to 20 in this study as it was suggested as the optimal for 250 moderately diverse temperate sites (Féret and Boissieu, 2020). Then, clustering is applied to the whole image, so that each 251 pixel is assigned to a cluster. Finally, α - and β -diversity maps are produced, basing on the distribution of spectral species in 252 the window size, that was set to 3x3 pixels in this case, since habitat patches were small. Shannon index was chosen as indicator 253 of α -diversity, while β -diversity was derived from a pairwise Bray-Curtis (BC; Bray and Curtis, 1957) dissimilarity matrix 254 obtained from the spectral species map. The BC matrix was then subjected to an ordination (Principal Coordinate Analysis, 255 PCoA) to project it into a 3-dimensional space and obtain a visual representation of the results (larger BC dissimilarity between 256 pixels corresponds to larger color differences in the RGB space). 257 This algorithm was applied on each Sentinel-2 monthly and seasonal image, to obtain maps of α - and β -diversity for each 258 month and season. Then, this procedure was applied on a multi-temporal level, using stacks of vegetation indices covering a 259 whole year as input. For each vegetation index, a stack of 12 layers (each corresponding to a month) and then a stack of 4 layers 260 (each corresponding to a season) were used as input. In this way, two sets of multi-temporal α - and β -diversity maps were 261 obtained for each vegetation index, one based on monthly values (multi-temporal monthly) and the other based on seasonal

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2.6. Satellite image classification

values (multi-temporal seasonal).

The remote sensing variables produced through the processing steps outlined before were aggregated in four temporal configurations, as listed in Table 2, that were used as input for distinct classifications.

Reference data for image classification were derived from field surveys. Training points were randomly extracted from a set of training areas selected on the field, outside the polygons of "Ecomosaico del Carso" project when possible, and mapped by acquiring their GPS location. Validation points, on the other hand, were randomly launched in the whole set of polygons created in QGIS after excluding the training areas. Through this procedure, training and validation points can be considered as independent. Image classification was performed using a Random Forest (RF) classification algorithm. Random Forest (Breiman, 2001) is an ensemble machine-learning classifier that builds a large number of decision trees (DTs), each based on a random subset of the training data and of the predictor variables. The training data not used to build the model (i.e., the out-of-bag data, OOB) are used to evaluate the model performance. The results of the different DT models are then averaged to assign each pixel to a class. In this way, the overall result is more reliable than the one obtained from an individual DT and is less affected by correlation among predictors (Maxwell et al., 2018). The relative importance of the predictor variables is computed using the OOB data, by systematically comparing the performance of the DTs that include specific variables and of those that do not: variables with high importance have a positive effect on the prediction accuracy (Breiman, 2001). For each temporal configuration, two alternative pathways were followed. In one case, the whole set of variables was used as input for the classification. In the other case, a subset of variables was extracted through Recursive Feature Elimination (RFE; (Guyon et al., 2002). RFE is a common feature selection algorithm based on backward elimination, that uses a RF classifier to determine variables permutation importance and remove the less important variables. The importance measures are updated after each deletion, making the method suitable also to highly correlated variables (Gregorutti et al., 2017). In this study, RF classification was performed using R caret package (Kuhn, 2021). Training data were randomly partitioned into a training and a testing set, with respectively 80% and 20% of the data. The mtry parameter (the number of randomly selected predictors used at each node) was optimized through a 5-fold cross-validation, while the relative importance of variables was calculated with varImp function. The accuracy of each classification procedure was evaluated using a set of validation points independent from the training data. A confusion matrix was computed, and the proportion of correctly classified pixels (overall accuracy, OA) was derived. OA is preferable to other common metrics such as the Kappa coefficient because it is easier to understand and more suited for comparisons (Foody, 2004). Performances for individual classes were also assessed by considering User's accuracy (UA) and Producer's accuracy (PA). For a given class i, UA is the proportion of pixels classified as i that have reference class i, while PA is the proportion of pixels of reference class i that are classified as i (Borra et al., 2019). Both metrics vary between 0 and 1. The significance of differences in classification accuracy among the different pathways was tested with McNemar's test, as suggested by Foody (2004). After the classifications were performed as described above and the best input configuration was identified, another classification was carried out using only vegetation indices as input, to assess the effect of excluding spectral heterogeneity on the results.

All classifications and accuracy assessment analyses were performed in R software (R Core Team, 2022).

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3. Results

3.1. Accuracy of image classification

The values of overall accuracy (OA) and Kappa obtained from the RF classifications are presented in Table 3. The OA was significantly higher when 11 habitat classes were considered of 26 (p-value < 0.05; Fig. 3a), while there was no significant difference when the number of input variables was reduced through RFE (Fig. 3b). The use of different temporal configurations only had a slight effect on accuracy (Fig. 3c): in particular, there were no significant differences between the monthly and the seasonal configurations, while there were significant differences between each configuration and its respective multi-temporal version (p-value < 0.05). An OA higher than 70% was achieved only with the monthly and the seasonal configurations, considering 11 habitat classes. For each of these configurations, an additional classification was performed after removing spectral heterogeneity layers (i.e., with only vegetation indices). In both cases, the resulting accuracy was significantly lower (0.65 vs. 0.72 for the seasonal configuration, p-value < 0.05; 0.69 vs. 0.73 for the monthly configuration, p-value < 0.05). The classifications that achieved an OA > 70% did not differ significantly among them. Thus, the seasonal configuration was chosen as the best one based on a simplicity criterion, since it had a lower number of predictors, especially after RFE (34 predictors). This classification will be referred to as the "best classification" and explored in the next paragraphs, while the results of the other classifications are reported in the Appendix. The habitat map resulting from the best classification is represented in Fig. 4. The most common habitat inside the study areas, as resulting from field surveys, is downy oak woodland (27.94%), followed by grasslands at successional stages I-2 (20.95%) and I-1 (11.97%). In the best RF classified map, on the other hand, grassland I-2 (24.80%) is more common than downy oak woodland (21.70%), and is followed by shrubland (16.10%), grassland-woodland mosaic (13.38%) and grassland I-1 (12.89%). The confusion matrix for the best classification is reported in Table 4. The rows of the matrix represent the results obtained from the classification, while the columns represent the validation data used as reference; the diagonal contains the correctly classified pixels. Class-specific accuracy parameters derived from the confusion matrix are reported in Table 5. Black pine plantation was the class for which the best results were achieved considering both Producer's accuracy (PA = 0.88) and User's accuracy (UA = 0.92), followed by downy oak woodland (PA = 0.74, UA = 0.86). Among the grassland classes, pure grassland and grassland I-1 achieved relatively high UA (respectively 0.80 and 0.78) and PA (respectively 0.71 and 0.68), and most of the errors occurred with grassland-I2 and downy oak woodland. For grassland I-2, PA was relatively low (0.64) because some pixels were misclassified as grassland-woodland mosaic, while the UA (0.69) was mainly affected by some pixels belonging to grassland-1 and downy oak woodland. For shrublands, higher values were obtained for PA (0.57) than for UA (0.21), since many pixels classified as shrubland belonged to grassland I-2 and downy oak woodland. A similar result was found for hay meadow (PA = 0.71, UA = 0.59), that was mainly confused with grassland I-2. For pasture-grassland, UA (1.00)

was higher than PA (0.13), and most pixels were misclassified as hay meadow. For grassland-woodland mosaic, both UA and

PA were quite low (0.28 and 0.72), and most of the errors occurred for pixels that either belonged to or were misclassified as grasslands and downy oak woodlands. The lowest values of accuracy were obtained for sessile oak woodlands (UA = 0.36, PA = 0.27), that were mainly confused with downy oak woodlands, and for invasive species groves, for which all validation pixels were misclassified as shrublands, downy oak woodlands or grassland-I1 (UA = 0.00, PA = 0.00).

3.2. Variable importance

The relative importance of the variables used as input for the best classification is shown in Fig. 5. The most important variable, present in every RF model, is the PCo2 of the β -diversity computed from the autumn composite (100.00%). Other important variables are, in order, PCo1 of the winter β -diversity (94.19%), PCo1 of the autumn β -diversity (88.93%), GNDVI and IRECI of the summer (respectively 79.39% and 72.29%).

In the other classifications, the most important variable is almost always a β -diversity, with the only exception of the monthly classification with 11 classes, in which vegetation indices are at the first places. The relative importance of α -diversity and Rao's Q indices is low in all the classifications: the maximum values are respectively 42.56% for α -diversity (in the monthly 26-classes classification) and 64.91% for Rao's Q (in the monthly 11-classes classification). A detailed description of the input variables is presented in the Appendix.

4. Discussion

4.1. Accuracy of image classification

In this study, multiple RF classifications were performed to test different combinations of vegetation and spectral heterogeneity indices, using as study area a complex mosaic of habitats in Classical Karst. The small spatial extent of the habitat patches, combined with their spectral similarity and the high variability of vegetation, make this type of landscape particularly challenging to map from remote sensing (Corbane et al., 2015; Tarantino et al., 2021). The maximum overall accuracy achieved in this study was thus relatively low (0.73 for the 11-class classifications and 0.65 for the 26-class classifications). However, other studies using similar types of data did not achieve much higher levels of accuracy. For example, Rapinel et al. (2019) managed to map seven wet grassland plant communities with an accuracy of 0.78, by using Sentinel-2 time series and a SVM classifier. Tarantino et al. (2021) achieved an accuracy of 0.95, by using a SVM classifier and a set of input variables that included multi-seasonal Sentinel-2 images, a time series of MSAVI index and a DTM. However, they only mapped four Mediterranean grassland types. Bhatt et al. (2022), that used very high-resolution imagery (60 cm) to map nine heterogeneous habitats going from forests to open water, only reached a maximum accuracy of 0.79.

Moreover, some additional factors increased the complexity of the classification in the present work. Firstly, the analyzed areas are distributed over a relatively wide region (the Italian part of the Classical Karst), where the differences in altitude and substrate composition increase intra-habitat variability (Poldini, 1989). Villoslada et al. (2020) found that the spectral heterogeneity of the training samples can affect the accuracy of the classification, and that generally homogeneous classes are

more accurately mapped. The results observed for Classical Karst seem in line with this finding, since the best performances were observed for the most spectrally homogeneous habitats, namely black pine plantations and downy oak woodlands. Finally, most habitat patches in the study area had a small spatial scale, thus the proportion of mixed pixels was probably high, and this complicated habitat class separation (Rocchini et al., 2013b). The lowest class-specific accuracy, indeed, was found for invasive alien species groves, that were present in the smallest areas. Mapping invasive alien species from remote sensing is generally a challenging task, and the most promising results have been obtained using hyperspectral instead of multispectral imagery, to facilitate the differentiation of target species from others (He et al., 2011; Rocchini et al., 2015). For these reasons, the accuracy achieved in this study can be considered relatively high. Spectral heterogeneity measures had an important role in improving the capacity of classifying habitats from satellite data. The classifications performed without spectral heterogeneity measures (maximum OA = 0.69) were significantly less accurate than the others (maximum OA = 0.73), and the resulting maps also had a noisier aspect. Spectral heterogeneity is mainly investigated nowadays for its relationship with species richness, that has been tested in many environments (Wang and Gamon, 2019), but it has rarely been used as an additional level of information for the classification of habitats. The results obtained in this study suggest that image classification frameworks could benefit from the inclusion of spectral heterogeneity measures, although with caution about which type of metric is being used, as will be discussed below. The most important variables in almost all the classifications were metrics of spectral β -diversity. In this study, the spectral β diversity was referred to the pairwise Bray-Curtis dissimilarity computed from the distribution of spectral species, as defined by Féret and Asner (2014). Spectral species are distinct spectral entities, that can be considered as proxies for individual plant species only with very high-resolution remote sensing data (Féret and Asner, 2014). In case of coarser spatial resolutions, such as the ones used in this study, spectral species can be related to higher levels of biological organization, such as plant communities or habitats (Rocchini et al., 2021c). Although both α - and β -diversity in this study were based on the spectral species approach, the latter was far more important than the former for habitat classification. This can be explained considering what these metrics represent: α -diversity measures the diversity within a single community, while β -diversity represents the degree of differentiation between communities, or their compositional dissimilarity (Whittaker, 1960). Different habitats can share a similar α -diversity despite having different species; on the other hand, β -diversity allows more easily to differentiate habitats based on their dissimilarity. Here, the values of spectral β -diversity projected in the PCoA space clearly separated the three main groups of habitats present in Karst eco-mosaic: habitats dominated by woody deciduous plants (woodlands and shrublands), habitats dominated by herbaceous plants (grasslands and meadows) and habitats dominated by evergreens (pine forests). The use of metrics based on the spectral species approach has some additional advantages. One of the first steps in the algorithm, indeed, is a PCA (Féret and Asner, 2014), that is one of the most widely used methods in image classifications to reduce feature dimensionality while maximizing spectral separability (Richards, 2013). Then, a k-means clustering is performed to distinguish and map the so-called spectral species. To some extent, this procedure is equivalent to a hybrid classification approach, in which an unsupervised classification is carried out before the application of supervised algorithms, to identify the main groups

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of pixels basing only on their spectral similarity (Borra et al., 2019). Usually, this step is applied to choose appropriate classes and guide the collection of training samples (e.g. Lane et al., 2014). This procedure has some advantages: the full spectral information of satellite is exploited (Baldeck and Asner, 2013), and spectrally extreme pixels do not unproportionally affect the results, but are simply grouped into separate classes (Fassnacht et al., 2022). Moreover, the computation of spectral β diversity requires another ordination (a PCoA), that further maximizes the separation of groups of similar pixels. Moreover, the relationship between spectral and species diversity can be different for α - and β - components. In many studies, the estimation of α -diversity from remote required very high-resolution data (e.g. 1 m² in Wang et al. 2016a). In this study, for example, the spectral α -diversity was similar in black pine plantations and pasture-grasslands, although they have very different species richnesses (Poldini, 1989). A study by Fassnacht et al. (2022) also pointed out that, at the spatial resolution of Sentinel-2 images, intensively used agricultural patches can show higher spectral diversity compared to species-rich grasslands. For β diversity, on the other hand, a good agreement between spectral and field-based metrics was obtained also at relative coarse spatial resolution (e.g. Rocchini et al., 2010b), although few studies focused on this component of biodiversity (Wang & Gamon 2019). For example, Rocchini et al. (2010) found that the relation between field and spectral β -diversity is even greater at larger grain sizes (20x50 m instead of 10x10 m). In another study (Hoffmann et al., 2019), most of the β -diversity of different plant communities distributed along an elevational gradient could be explained using Sentinel-2 data with 10 m spatial resolution. Thus, the link between species and spectral diversity seems to be generally stronger for β - than for α -diversity. The other spectral heterogeneity index considered in this study, spectral Rao'Q, had a low relative importance in all the classifications. This index is a measure of the heterogeneity of a pixel with respect to its surroundings (Thouverai et al., 2021), and has been proven to match species diversity in natural areas but not in agricultural lands with high heterogeneity (Rocchini et al., 2021b). In this study, the lowest Rao's Q values were found for black pine plantations and downy oak woodlands, that do host a low species diversity, while the highest values were found for pasture-grasslands and pure grasslands, that are speciesrich habitats (Poldini, 2009). However, high values of Rao were found also for invasive species groves, thus the relation between spectral Rao's Q and species diversity was not clear. One possible reason is related to the spatial extent of the habitat patches; in this study, Rao's O was computed with moving windows of 3x3 pixels (900 m²), thus, the habitats present in smaller patches were more likely to border with other habitats inside this window, resulting in higher spectral heterogeneity (i.e., high Rao's Q values). Using remote sensing data with higher spatial resolutions would probably improve this aspect. However, the approach used to calculate Rao's Q may itself be a problem, since it highlights the differences among close pixels, and thus maximizes the noise, instead of minimizing it. Therefore, while the Rao's Q index can be used to estimate species diversity in some cases (Rocchini et al., 2021b), it might be less useful in the context of habitat mapping. The results of this study show that some spectral heterogeneity metrics might be more useful than others in the context of habitat mapping. The relationship between spectral and species diversity is still not clear in many cases, but, as pointed out by Fassnacht et al. (2022), these measures can be useful regardless of their link with actual species diversity, since they allow to exploit the main strength of remote sensing: measures can be repeated over time, to capture habitat specific variations and monitor landscape evolution.

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4.2. Importance of vegetation indices

Vegetation indices were the most important variables after β -diversity metrics in all the monthly and seasonal classifications. In particular, summer GNDVI, summer IRECI and autumn NDVI were the most important vegetation indices in the best classification. NDVI, with its variant GNDVI, is the most commonly used index and has been found useful in many studies (e.g. Schuster et al., 2015). IRECI is the only index considered in this study that includes the Red Edge Sentinel-2 bands and has a strong linear relationship with canopy chlorophyll content and LAI (Frampton et al., 2013). The results presented here seem to confirm this relationship. Indeed, the temporal variation of IRECI follows the expected seasonal changes of canopy chlorophyll content, with an increase in spring, a maximum in summer and a decrease in autumn (Gara et al., 2019). In summer, the highest values were found for downy oak woodlands, in agreement with the fact that broadleaves species have a higher chlorophyll content compared to conifers (Li et al., 2018). Conversely, in winter IRECI was relatively high only for the evergreens black pine plantations. Moreover, the differences of IRECI among habitats might also reflect the variation of LAI across ecosystems: mean LAI generally increases from grasslands (1.7 \pm 1.2) and shrublands (2.1 \pm 1.6), to temperate deciduous broadleaves (5.1 \pm 1.6) and evergreen needleleaves (5.5 ± 3.4) forests (Asner et al., 2003). Optical traits like chlorophyll content can improve the estimation and mapping of species composition over space, as demonstrated by Feilhauer et al. (2017) in semi-natural temperate grasslands. Although IRECI itself has not been tested much in the context of habitat mapping, other indices using the Red Edge spectrum have been shown to be useful for this purpose. For example, Schuster et al. (2012) found that the Red Edge channel of the RapidEye satellite had a positive influence on the overall accuracy of a land cover classification in a mosaic of natural and agricultural areas in Germany, especially for the bush vegetation and dry grassland classes. In another study, Alpine grasslands were distinguished from shrublands relying on the Sentinel-2 Red Edge bands, by detecting the seasonal anthocyanin accumulation in the shrub species (Bayle et al., 2019). A Red Edge-based index was also found to be more useful than NDVI to map plant communities in coastal meadows (Villoslada et al., 2020). These examples are in line with the results of this study, that confirm the role of the Red Edge spectrum for the distinction of habitats.

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4.3. Inclusion of multi-temporal data

The aggregation of monthly data in seasonal composites using the median statistical operator allowed to reduce the number of input layers without losing information. The levels of accuracy achieved with the monthly and the seasonal temporal configurations, indeed, were not significantly different, while the number of input layers was reduced from 144 to 48. This method of reducing data dimensionality can be complemented with variable selection through RFE, that did not have a significant effect on accuracy. The use of seasonal composites for habitat mapping is known to be useful because it reduces the problem of cloudy images but maintains the advantage given by multi-temporal data (Kollert et al., 2021). In a recent work by (Praticò et al., 2021), the mean turned out to produce slightly better results than other statistical operators such as the median.

In this study the median was chosen because it is less sensitive to outliers and is generally the most common way to perform image reduction (Kollert et al., 2021), but other statistical operators could be investigated. The multi-temporal configurations generally led to worse results than the other configurations, as was evident both from the accuracy values (mean OA = 0.59 for 26 classes and 0.65 for 11 classes) and from the visual assessment of the classified maps. The temporal Rao's Q computed for different vegetation indices over a year was successfully used by Marzialetti et al. (2020) to map coastal dune habitats, but also the mean, the 10th and the 90th percentiles of vegetation indices were included in that case. In this study, only temporal heterogeneity layers were used as input in the multi-temporal configurations, and this may have reduced the capacity of distinguishing habitats. Including other measures that summarize the annual variation of vegetation indices could be a possible improvement. The most relevant seasons for distinguishing vegetation types in Karst eco-mosaic were summer, autumn and winter, as was found by comparing the most important variables for the seasonal classifications. Spring, however, appeared among the most important variables in some classifications, and the month of May was important in multiple monthly classifications. This suggests that there is not one single period better than the others, and that multiple seasons should be considered. The advantage of using multi-temporal data for habitat classification has been proven in many cases, because data from different seasons provide different information (e.g. Feilhauer et al., 2013; Rapinel et al., 2019; Schuster et al., 2015). For example, Soubry and Guo (2021) found that the best season to distinguish shrubs in grasslands changed according to the spectral bands considered. In spring the most important bands were the red and blue bands, because the peak in growth was reached by shrubs but not by grasses; in summer a good separation was achieved only in the NIR region, due to the differences in leaf structures typical of woody and herbaceous plants; in autumn the most important bands were the SWIR and red, related to greenness and moisture. In the case of Karst eco-mosaic, autumn and winter generally allowed to distinguish evergreens from deciduous plants, while in summer there was the greatest separation among the different deciduous habitats especially with the NDVI and IRECI

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Conclusion

indices.

In this study, novel spectral heterogeneity indices were tested in a multi-temporal classification framework, and their potential to improve habitat mapping in complex landscapes was demonstrated, using the Classical Karst as testing area.

The aim of the study was generally achieved, but several improvements could be made. For example, different remote sensing data sources could be used, including hyperspectral sensors, active sensors such as LiDAR and radar, or sensors with very high spatial resolutions (Nagendra et al., 2013). In this way, the estimation of spectral heterogeneity could be improved. Moreover, input variables have been combined in a limited number of ways in this study and testing other configurations can possibly produce better results.

The framework presented here was applied to some areas of Classical Karst, but could be extended to test its validity on a
larger scale. This approach based on remote sensing cannot replace field work and requires field data for training and validation,
though it can be a valid tool to map habitats in a cost- and time-effective way that is very well suitable for monitoring purposes.

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Table Captions

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704 **Table 1:** List of vegetation indices used for the analysis. 705 Table 2: Input variables configurations used for image classification. 706 Table 3: Overall accuracy (OA) and Kappa values obtained from the different classification pathways. Table 4: Confusion matrix for the best classification (seasonal classification performed with 11 classes). The rows represent 707 708 the results obtained from the classification, while the columns represent the reference data. The values on the matrix diagonal 709 are the correctly classified pixels. Habitats are abbreviated as follows: Shrubland (Shr), Downy oak woodland (DOW), Sessile oak woodland (SOW), Invasive alien species (IAS), Pure grassland (Gr_I0), Grassland at successional stage 1 (Gr_I1), 710 Grassland at successional stage 2 (Gr_12), Grassland-woodland mosaic, Black pine plantation, Hay meadow, Pasture-grassland. 711 712 Table 5: Class-specific accuracy parameters (UA: user's accuracy, PA: producer's accuracy) obtained for the seasonal 713 classification performed with 11 classes. Accuracy was assessed using independent validation data.

Table 1

Index	Formula	Reference		
NDVI	$(NIR_{(B8)} - Red_{(B4)})/(NIR_{(B8)} + Red_{(B4)})$	Rouse et al., 1975		
GNDVI	$(NIR_{(B8)} - Green_{(B3)})/(NIR_{(B8)} + Green_{(B3)})$	Gitelson et al., 1996		
NDWI	$(NIR_{(B8)} - SWIR_{(B11)}) / (NIR_{(B8)} + SWIR_{(B11)})$	Chen et al., 2005		
IRECI	$\left((RedEdge_{(B7)} - Red_{(B4)})/((RedEdge_{(B5)}/RedEdge_{(B6)})\right) \times 10000$	Frampton et al., 2013		

Input variables				
	input layers			
Vegetation indices: 4 layers per month (NDVI, GNDVI, NDWI, IRECI)	144			
Rao's Q: 4 layers per month (NDVI, GNDVI, NDWI, IRECI)				
α -diversity: 1 layer per month				
β -diversity (first 3 PCoA axes): 3 layers per month				
Vegetation indices: 4 layers per season (NDVI, GNDVI, NDWI, IRECI)	48			
Rao's Q: 4 layers per season (NDVI, GNDVI, NDWI, IRECI)				
α -diversity: 1 layer per season				
β -diversity (first 3 PCoA axes): 3 layers per season				
Multi-temporal Rao's Q: 4 layers per year (NDVI, GNDVI, NDWI, IRECI)	20			
Multi-temporal α -diversity: 4 layers per year (NDVI, GNDVI, NDWI, IRECI)				
Multi-temporal β -diversity (first 3 PCoA axes): 3x4 layers per year				
Multi-temporal Rao's Q: 4 layers per year (NDVI, GNDVI, NDWI, IRECI)	20			
Multi-temporal α -diversity: 4 layers per year (NDVI, GNDVI, NDWI, IRECI)				
Multi-temporal β -diversity (first 3 PCoA axes): 3x4 layers per year				
	Vegetation indices: 4 layers per month (NDVI, GNDVI, NDWI, IRECI) Rao's Q: 4 layers per month (NDVI, GNDVI, NDWI, IRECI) α-diversity: 1 layer per month β-diversity (first 3 PCoA axes): 3 layers per month Vegetation indices: 4 layers per season (NDVI, GNDVI, NDWI, IRECI) Rao's Q: 4 layers per season (NDVI, GNDVI, NDWI, IRECI) α-diversity: 1 layer per season β-diversity (first 3 PCoA axes): 3 layers per season Multi-temporal Rao's Q: 4 layers per year (NDVI, GNDVI, NDWI, IRECI) Multi-temporal α-diversity: 4 layers per year (NDVI, GNDVI, NDWI, IRECI) Multi-temporal β-diversity (first 3 PCoA axes): 3x4 layers per year Multi-temporal Rao's Q: 4 layers per year (NDVI, GNDVI, NDWI, IRECI) Multi-temporal Rao's Q: 4 layers per year (NDVI, GNDVI, NDWI, IRECI) Multi-temporal α-diversity: 4 layers per year (NDVI, GNDVI, NDWI, IRECI)			

N° of classes	Input configuration	N° of predictors	OA	Kappa 723
26	Monthly	144	0.65	0.58
	+ RFE	48	0.63	0.56
	Seasonal	48	0.63	0.56
	+ RFE	46	0.62	0.54
	Multi-temporal monthly	20	0.62	0.54
	+ RFE	20	0.61	0.53
	Multi-temporal seasonal	20	0.57	0.50
	+ RFE	20	0.58	0.51
11	Monthly	144	0.73	0.65
	+ RFE	100	0.73	0.65
	only vegetation indices	48	0.69	0.59
	Seasonal	48	0.72	0.64
	+ RFE	34	0.72	0.64
	only vegetation indices	16	0.65	0.56
	Multi-temporal monthly	20	0.66	0.57
	+ RFE	14	0.67	0.57
	Multi-temporal seasonal	20	0.64	0.55
	+ RFE	17	0.64	0.55

	Shr	DOW	SOW	IAS	Gr_I0	Gr_I1	Gr_I2	GWM	BPP	HM	PG
Shr	8	6		2		2	20		1		
DOW	2	156	10	1			1	1	9	1	
sow		7	4								
IAS		4		0			1		1		
Gr_I0		2		1	12						
Gr_I1	1					21	5				
Gr_I2	1	5	1		3	7	51	3		2	1
GWM	1	22			2	1	2	13	4	1	
BPP	1	7						1	107		
HM		1								10	6
PG											1

Class	UA	PA
Shrubland	0.21	0.57
Downy oak woodland	0.86	0.74
Sessile oak woodland	0.36	0.27
Invasive species	0.00	0.00
Grassland I0	0.80	0.71
Grassland I1	0.78	0.68
Grassland I2	0.69	0.64
Grassland-woodland mosaic	0.28	0.72
Black pine plantation	0.92	0.88
Hay meadow	0.59	0.71
Pasture-grassland	1.00	0.13

Figure Captions

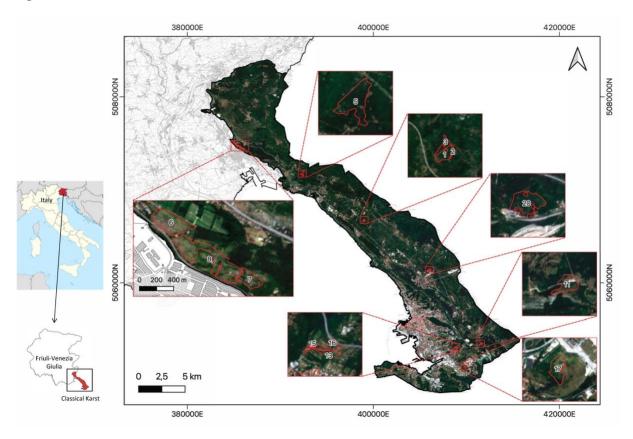
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733 Figure 1: Location of the study area, represented on the Sentinel-2 median composite of summer 2021. 734 Figure 2: Workflow synthesizing the approach used to map natural habitats through a Random Forest classification and 735 multiple combinations of input layers (vegetation and spectral heterogeneity indices). 736 Figure 3: Comparison of the overall accuracy achieved by considering different numbers of habitat classes (a), by performing 737 or not a variable selection step through RFE (b), and by using different input variables configurations (c). 738 Figure 4: Habitat map resulting from the RF classification based on seasonal layers of vegetation and spectral heterogeneity 739 indices. Among all the possible classifications, the selected is the one that resulted in the highest accuracy while minimising 740 the amount of input layers. A total of 11 habitat classes was considered, based on structural-physiognomic and ecological characteristics. The areas are located in Monfalcone (a), Case Coisce (b), Opicina (c), Aurisina (d), San Lorenzo (e), San 741 742 Giuseppe (f) and Bagnoli (g). Figure 5: Relative importance of the variables used as input for the seasonal classifications with 11 classes. Classifications 743 744 were performed with the whole set of input variables (a) and with a subset obtained by RFE (b). Only the first 20 variables are 745 shown.

Figures

Figure 1



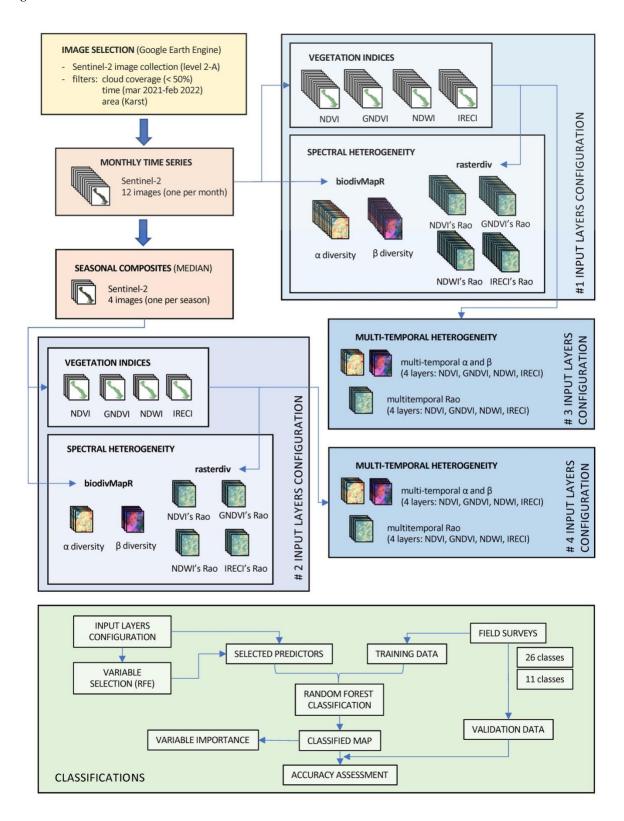
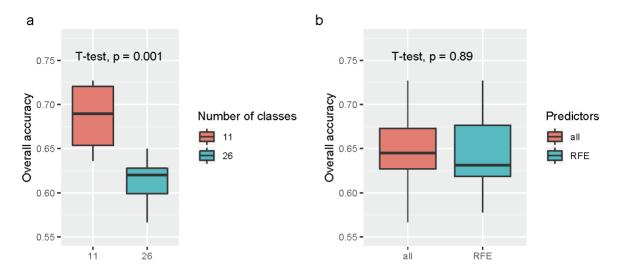


Figure 3



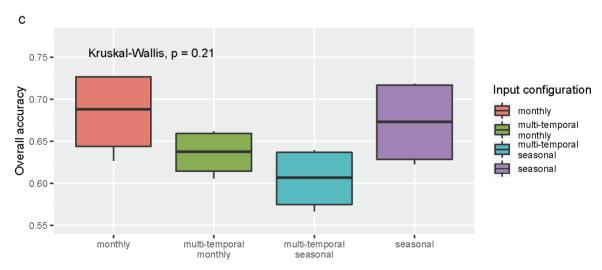


Figure 4

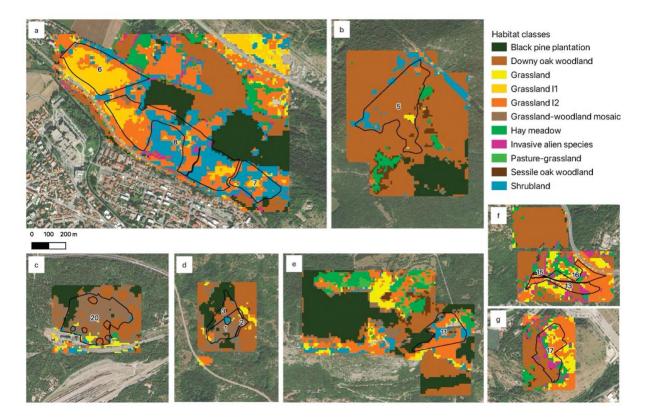


Figure 5

