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A descriptive case series of the relationship between maintenance over time of conservative PK/PD
 efficacy thresholds of dalbavancin and clinical outcome in long-term treatment of staphylococcal
 osteoarticular infections

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- 24 **Running title:** Dalbavancin TDM in staphylococcal OIs

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28 Abstract

29 **Objective:** То describe relationship between maintenance of the time over 30 pharmacokinetic/pharmacodynamic (PK/PD) dalbavancin efficacy thresholds and clinical outcome in a case 31 series of patients who underwent therapeutic drug monitoring (TDM) during long-term treatment of 32 staphylococcal osteoarticular infections (OIs).

33 *Methods:* Patients who received two 1500 mg dalbavancin doses one week apart for documented 34 staphylococcal OIs, underwent TDM assessment, and had clinical outcome assessable at follow-up were 35 retrospectively included. Dalbavancin concentrations \geq 4.02 and/or \geq 8.04 mg/L were identified as conservative 36 PK/PD efficacy thresholds. The percentage of time of the overall treatment period with dalbavancin 37 concentrations above these efficacy thresholds was calculated and correlated with clinical outcome.

Results: A total of 17 patients were retrieved. Long-term dalbavancin was used mainly for treating prosthetic joint infections (9/17; 52.9%). In 13/17 patients (76.5%), clinical outcome was assessable after at least 6-month follow-up and was always successful (100.0%). In 4/17 (23.5%) patients, clinical outcome is going favourably after a follow-up of 3.7, 4.8, 5.1 and 5.3 months, respectively. In most patients, both dalbavancin PK/PD efficacy thresholds were granted for most of treatment duration (% time \geq 4.02 mg/L: 100% in 13 cases, 75-99.9% in 2 cases, 50-74.99% in other 2; % time \geq 8.04 mg/L: 100% in 8 cases, 75-99.9% in 4 cases, 50-74.99% in other 4, and < 50% in 1 case).

45 *Conclusions:* Our findings could support the idea that maintenance of conservative PK/PD efficacy
46 thresholds of dalbavancin for most of treatment duration may represent a valuable approach in dealing
47 efficaciously with long-term treatment of staphylococcal OIs.

48 Keywords: dalbavancin; TDM-guided strategy; PK/PD efficacy thresholds; staphylococcal osteoarticular
49 infections

50 **1. Background**

51 Osteoarticular infections (OIs) represent a major health concern characterized by a remarkable 52 incidence of morbidity and disability [1,2]. OIs share several management features, such as including the 53 frequent need for combined surgical and medical approach and, most of all, long-term antimicrobial treatments. 54 Both methicillin-susceptible and methicillin-resistant *Staphylococci* are leading causes of OIs [1,3], showing 55 relevant virulence and ability to produce biofilm, thus making extremely challenging both antimicrobial 56 treatment and microbiological eradication [4].

Nowadays, several antimicrobial agents are available in the anti-staphylococcal therapeutic
armamentarium [3,5]. Antibiotic bone penetration, anti-biofilm activity, long-term safety, and feasibility for
outpatient management may play a key role in the choice of the best anti-staphylococcal agent [5].

Dalbavancin is a novel long-acting lipoglycopeptide active against multi-drug resistant Gram-positive
bacteria [6]. Good tissue penetration and uniquely long elimination half-life are the main pharmacokinetic
features of dalbavancin. Based on this, a single 1500 mg dose was licensed for treating acute bacterial skin and
skin structure infections and may cover up to 14 days of treatment [6–8].

However, dalbavancin could represent a valuable alternative to daily intravenous in-hospital or outpatient antimicrobial regimens in the long-term treatment of Gram-positive OIs according to real-world evidence [9,10]. Notably, treatment duration in these scenarios should usually last at least 6 weeks or even longer [11,12]. Consequently, several questions need to be addressed for enabling proper dalbavancin use under these circumstances, concerning the most appropriate dosing schedule regimen, optimal treatment duration, and the right timing for eventual dalbavancin re-dosing when longer treatment duration is needed.

Recently, we showed that therapeutic drug monitoring (TDM) may be a helpful tool in estimating the duration of optimal treatment in staphylococcal OIs, suggesting that the maintenance over time of total dalbavancin concentrations \geq 4.02 or \geq 8.04 mg/L could represent dalbavancin PK/PD efficacy thresholds [13]. Furthermore, we found that two 1500 mg dalbavancin doses one-week apart could be appropriate for longterm treatment of subacute and/or chronic infections, allowing to maintain dalbavancin concentrations above the more conservative PK/PD efficacy threshold of \geq 8.04 mg/L for up to 4-6 weeks in most patients. However, it was also recommended that TDM should be considered as the only effective way for properly managing
long-term dalbavancin therapy in each single patient due to wide inter-individual pharmacokinetic variability
and/or to the eventual need for redosing [14].

The aim of this study was to describe firstly the relationship between maintenance of these PK/PD
dalbavancin efficacy thresholds over time and clinical outcome in a case series of patients who underwent
TDM during long-term treatment of staphylococcal OIs.

82 **2.** Methods

83 2.1 Study population

84 Patients included in this analysis were retrieved from the original database of a recently published 85 population pharmacokinetic study of dalbavancin carried out among 69 patients who received long-term treatment for subacute and chronic staphylococcal infections [14]. Patients were considered eligible for this 86 87 analysis if all the following criteria were satisfied: 1) documented staphylococcal OIs requiring long-term 88 treatment with dalbavancin monotherapy, defined as the administration of a minimum of two 1500 mg doses 89 one week apart; 2) TDM assessment of dalbavancin serum concentration in at least one occasion during the 90 overall treatment period; 3) assessability of clinical outcome at follow-up. Patients receiving long-term 91 suppressive therapy with dalbavancin for lack of source control and/or antimicrobial combination therapy were 92 excluded. The study was approved by the local ethical committee [No. 897/2021/Oss/AOUBo on 29 November 2021]. 93

94 2.2 Data collection

Demographic (age, sex, weight, height, body mass index [BMI]) and clinical/laboratory data (creatinine clearance, serum albumin, presence of augmented renal clearance [ARC], C-reactive protein [C-RP] at baseline and at the timing of outcome assessment, site/type of infection, isolated Gram-positive pathogens, number and timing of administered dalbavancin doses, number and timing of dalbavancin TDM determinations, TDM results, treatment duration, clinical outcome, occurrence of adverse events were collected for each included patient.

101 *2.3 Clinical management and outcome*

All patients underwent diagnostic procedures before starting antimicrobial treatment. Patients with hematogenous vertebral osteomyelitis underwent CT-guided vertebral biopsy and bioptic material was sent for microbiological culture; those with OIs other than hematogenous vertebral osteomyelitis underwent surgical debridement coupled with removal of fixation devices or prosthesis explantation whenever needed/feasible, followed by intraoperative microbiological culture. After completing diagnostic procedures, all patients received a 14-day empirical treatment with daptomycin plus fosfomycin, and after microbiologically documentation of staphylococcal aetiology within this timeframe, they were subsequently switched to receive
at least two 1500 mg dalbavancin doses one week apart. This approach would have guaranteed an overall antistaphylococcal treatment duration of at least 6 weeks by means of an outpatient management.

111 The overall number of administered dalbavancin doses were established by the treating physician according to a test of cure (TOC). TOC was assessed by means of monthly ambulatory visits scheduled starting 112 on day 28-35 after commencing dalbavancin treatment (namely after 42-49 days from starting anti-113 staphylococcal treatment). TOC was defined as positive based on satisfaction of all of the following criteria: 114 115 absence of local (rubor, tumor, calor, dolor) and systemic (fever and pain) signs of infection plus normal values of C-RP plus absence of suggestive findings of infection at imaging studies [15]. Whenever positive 116 TOC was documented, no additional dose of dalbavancin was administered and a follow-up period for 117 confirming clinical success was started. Dalbavancin treatment period was defined as the time elapsed between 118 the first dalbavancin dose and the date of positive TOC. 119

2.4 Relationship between maintenance over time of conservative PK/PD efficacy thresholds of dalbavancin and clinical outcome

122 Dalbavancin concentrations \geq 4.02 mg/L and 8.04 mg/L were identified as conservative PK/PD 123 efficacy thresholds of dalbavancin treatment. In a previous study we showed that concentrations equal or above 124 these thresholds may grant a very high likelihood (≥90%) of achieving optimal pharmacodynamic target 125 attainment (defined as an $fAUC_{24h}/MIC$ ratio>111.1) against staphylococci with an MIC value up to the MIC₉₀ 126 (0.06 mg/L) or the EUCAST clinical breakpoint of susceptibility for dalbavancin (0.125 mg/L), respectively [13]. These thresholds were identified on the basis of the excellent relationship that we found in a previous 127 128 population PK study between the daily total dalbavancin concentration and the daily total dalbavancin AUC_{24h} (total concentration= $0.051+0.04\times$ total AUC_{24h}; $R^2=0.99$) [13]. The desired thresholds (4.02 mg/L and 8.04 129 mg/L) were calculated by inserting in the formula the values of total AUC_{24h} that were estimated to be needed 130 131 (99.2 mg·h/L and 198.3 mg·h/L) for attaining the optimal pharmacodynamic target [13].

TDM of dalbavancin was assessed one or more times after completing the basic regimen of two 1500 mg doses one week apart with timings arbitrarily chosen by the treating physician. In those cases needing additional dalbavancin doses, TDM was reassessed whenever feasible. Total dalbavancin plasma concentrations were measured by means of a validated liquid chromatography-tandem mass spectrometry analytic method at the Clinical Pharmacology Unit of the IRCCS Azienda Ospedaliero Universitaria di Bologna, Italy, as previously described [16]. The intra- and inter-day coefficients of variation of the quality controls were 0.09% to 0.14% and 4.8% to 14.2%, respectively. The lower limit of quantification was 0.5 mg/L.

The post-hoc individual concentration-time profiles of dalbavancin of each single patient eligible for this analysis were extracted from the original population pharmacokinetic model [14] and subsequently assessed for calculating the percentage of time of the overall treatment duration in which dalbavancin concentrations were above the PK/PD efficacy thresholds of 4.02 and/or 8.04 mg/L, respectively. Proportions of time above the PK/PD efficacy thresholds of dalbavancin were then correlated with clinical outcomes. 145 **3. Results**

A total of 17 patients were retrieved. Demographics and clinical characteristics of the included patients are reported in **Table 1**. The median age was 59 years (interquartile range [IQR] 49-71 years), with no gender preponderance (52.9% male). The median BMI and CLCr were 25.3 Kg/m² (IQR 22.0-32.1 Kg/m²) and 94 mL/min/1.73 m² (IQR 90-107 mL/min/1.73 m²), respectively. Median C-RP at baseline was 1.9 mg/dL (IQR 1.2-6.7 mg/dL). Three out of 17 patients (17.6%) had ARC at baseline, and five out of them (29.4%) had hypoalbuminemia.

Long-term dalbavancin was used mainly for treating prosthetic joint infections (9/17 cases, 52.9%). 152 153 These patients received dalbavancin after prosthesis removal in the context of two-stage exchange (n=5), one-154 stage exchange (n=1), debridement and implant retention (n=1) and re-implantation with positive culture of 155 intraoperative specimens, obtained later (n=2). Methicillin-resistant Staphylococcus epidermidis (MRSE) was the predominant pathogen (60.0%), followed by methicillin-susceptible Staphylococcus aureus (MSSA; 156 157 15.0%), methicillin-resistant Staphylococcus aureus (MRSA; 15.0%), Staphylococcus warneri (5.0%), and Staphylococcus lugdunensis (5.0%). Overall, 14 infections were monomicrobial (82.4%) and 3 polymicrobial 158 159 (17.6%; MRSE plus MSSA were isolated simultaneously in all of these).

The median number of dalbavancin doses per patient was 2 (IQR 2-3). Additional doses were administered in 5 out of 17 patients (29.4%). Median days of TOC positivity was 62 (IQR 39-72 days). Up to date, in 13 out of 17 patients (76.5%), clinical outcome was assessable after at least 6-month follow-up and was always successful (100.0%). In the other 4 (23.5%), clinical outcome is going favourably after a followup of 3.7, 4.8, 5.1 and 5.3 months, respectively. In 3 out of 17 patients (17.6%) minor transient adverse events occurred (fever and eosinophilia), but they did not require treatment withdrawal.

The median number of dalbavancin TDM assessments per patient was 4 (IQR 2-6). Two out of 17 patients had a single TDM assessment after completing the two 1500 mg dalbavancin doses one week apart (at day 25 and 34, respectively). **Figure 1** shows the estimated distribution of time with dalbavancin concentrations above or below the lower (4.02 mg/L, **panel a**) and the upper (8.04 mg/L, **panel b**) PK/PD efficacy thresholds during the overall treatment period in each single patient. Among the 17 patients, the percentage duration of treatment with dalbavancin concentrations above the lower PK/PD efficacy threshold

- was 100% in 13 cases (76.4%), 75-99.9% in 2 cases (11.8%), 50-74.99% in other 2 (11.8%), and <50% in
- none; whereas that above the upper PK/PD efficacy threshold was 100% in 8 cases (47.1%), 75-99.9% in 4
- 174 cases (23.5%), 50-74.99% in other 4 (23.5%), and for <50% in 1 case (5.9%).

176 **4. Discussion**

177 To the best of our knowledge, this is the first study that investigated the relationship between 178 maintenance of conservative PK/PD efficacy thresholds of dalbavancin over time and clinical outcome in a 179 very homogeneous subpopulation of patients receiving dalbavancin in monotherapy for long-term treatment 180 of documented staphylococcal OIs. Although limited in size, this study is strengthened by the fact that we adopted very restrictive criteria with an almost standardized approach. All of the included patients received 181 the same initial schedule dosing regimen (1500 mg dose one week apart), underwent at least one TDM 182 assessment of dalbavancin after completing the initial dosing schedule, and had clinical outcome assessed after 183 184 TOC and follow-up.

185 Our analysis showed that in the vast majority of patients the chosen dosing schedule regimens of 186 dalbavancin were able to grant for most of treatment duration both of the conservative PK/PD efficacy thresholds of dalbavancin that we adopted. This is in line with the finding that all the patients had favorable 187 188 clinical outcome. Indeed, a recent retrospective study carried out among 41 patients receiving dalbavancin for 189 the treatment of different type of infections apparently found no clear relationship between these conservative 190 PK/PD efficacy thresholds of dalbavancin and clinical outcome [17]. Failure occurred among 8 patients with 191 concentration values above the proposed 8 mg/L threshold, whereas treatment success occurred among 6 192 patients with concentrations below this threshold. However, it should not be overlooked that major differences 193 between the two study designs preclude direct comparison with our findings. Just to mention the most relevant, 194 in that study dalbavancin was used in most cases as salvage therapy after previous failure, indications for 195 dalbavancin treatment (ABSSSIs, osteoarticular- or other infections) and dosing schedule regimens (one single 196 dose or two doses 1- or 2- or 3- week apart) were very heterogeneous [17].

Although several evidences reported an overall clinical success rate higher than 80% in patients receiving dalbavancin for the management of subacute or chronic staphylococcal infections [9,10,18], no consensus existed about which dalbavancin dosing schedule should be adopted in these challenging scenarios.

Previous population PK studies were helpful in establishing which dosing schedule regimens of dalbavancin could be appropriate for theoretically granting long-term treatment up to 5-6 weeks according to different classes of renal function [14,19]. According to our findings, the two 1500 mg one week apart dosing regimen proposed in those studies seems promising as basic schedule for starting treatment of staphylococcal OIs. However, our analysis suggests that after this fixed dosing regimen dalbavancin exposure over time may vary greatly between patients. TDM may be helpful in assessing this variability and should be considered mandatory whenever dealing with dalbavancin treatment duration longer than 5-6 weeks, as after this timeframe the probability of having suboptimal dalbavancin exposure may consistently increase.

208 The proposal of a TDM-guided dalbavancin dosing strategy could harmonize the schedule regimens for subacute and chronic staphylococcal infections. Our work firstly tested the innovative role that dalbavancin 209 210 TDM could have in assessing conservative PK/PD efficacy thresholds of dalbavancin. Maintenance of these 211 values over time could represent a valuable indicator associated with favorable clinical outcome in the 212 treatment of subacute and chronic staphylococcal infections with dalbavancin. It should not be overlooked that 213 in these scenarios Bayesian forecasting model based on TDM data could be helpful in estimating on real-time 214 for how long dalbavancin concentrations could be maintained above the desired PK/PD efficacy threshold in 215 each single patient and this approach could also guide the timing for eventual additional doses that could be needed. 216

217 We are aware of some limitations of our study. The retrospective study design and the limited sample size should be acknowledged. Unfortunately, the retrospective study design precluded us from assessing 218 219 precise PK/PD efficacy values of dalbavancin in each single patient because staphylococcal clinical isolates 220 were not tested for dalbavancin susceptibility. However, it is noteworthy that the PK/PD thresholds that we 221 adopted were very conservative as they offer the opportunity of dealing with staphylococci with an MIC up to 222 the clinical breakpoint (0.125 mg/L). Indeed, the dalbavancin MIC₉₀ for *S. aureus* and for coagulase-negative 223 staphylococci is 0.06 mg/L and 0.03 mg/L, respectively [20]. This means that whenever dealing with more 224 susceptible pathogens the dalbavancin concentrations needed for achieving the PK/PD efficacy thresholds 225 could be lower than those proposed. Finally, we recognize that a more frequent TDM reassessment would have enabled to calculate dalbavancin elimination half-life with more accuracy, and thus to establish more precisely 226 227 the right time for eventual redosing.

In conclusion, our analysis may support the idea that maintenance of conservative PK/PD efficacy thresholds of dalbavancin for most of treatment duration may represent a valuable approach in dealing efficaciously with long-term treatment of staphylococcal OIs. The two 1500 mg doses one week apart could
be considered a valuable basic dosing regimen, but appropriate treatment duration should be guided by realtime TDM coupled with Bayesian forecasting. This latter approach could represent an additional criterium to
be considered for the TOC in establishing properly duration of treatment with dalbavancin. Prospective clinical
studies are warranted for confirming the feasibility and the reliability of this approach.

235

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Ethical approval: The study was conducted according to the guidelines of the Declaration of Helsinki and
approved by the local ethical committee [No. 897/2021/Oss/AOUBo on 29 November 2021]. Informed written
consent was waived due to the retrospective and observational nature of the study.

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Table 1 – Demographics and clinical characteristics of patients (n=17)

Demographics and clinical variables	Median (IQR) or Count (%)		
Patient demographics			
Age (years)	59 (49-71)		
Gender (male/female)	9/8 (52.9/47.1)		
Body weight (Kg)	73 (60-90)		
Body mass index (Kg/m ²)	25.3 (22.0-32.1)		
Creatinine clearance (mL/min/1.73 m ²) ¹	94 (90-107)		
Serum albumin (g/dL)	3.65 (3.48-3.90)		
C-reactive protein (mg/dL)	1.9 (1.2-6.7)		
Augmented renal clearance (ARC)	3 (17.6)		
Indication for long-term dalbavancin use			
Prosthetic joint infections*	9 (52.9)		
Infected non-union	3 (17.6)		
Hematogenous vertebral osteomyelitis	2 (11.8)		
Chronic osteomyelitis of long bones following open fracture	2 (11.8)		
Spinal post-surgical infection	1 (5.9)		
Dalbavancin treatment			
Median dalbavancin doses per patient	2 (2-3)		
Dosing regimens			
1500 mg + 1500 mg	12 (70.6)		
1500 mg + 1500 mg + 1500 mg**	4 (23.5)		
1500 mg + 1500 mg + 1500 mg + 1500 mg + 1500 mg ***	1 (5.9)		
Median dalbavancin TDM assessments per patient	4 (2-6)		
Clinical outcome			
Dalbavancin treatment duration (days)	62 (38.5-77.5)		
Clinical success at \geq 6-month follow-up	13/13 (100.0)§		
Transient adverse events	3 (17.6)		
Need for dalbavancin withdrawal	0 (0.0)		
Continuous data presented as median and interquartile range (IQR), while categorial variables expressed			
* Five nations underwent two-stage exchange one underwent one-stage exchange one underwent			
debridement and implant retention and two received dalbayancin after a prosthesis re-implantation with			
nositive intraoperative culture			
** 1500 mg day 1 + 1500 mg day 8 + 1500 mg day 36 in $2/4$ patients: 1500 mg day 1 + 1500 mg day 8 +			
1500 mg day 28 in 1/4 patient: 1500 mg day 1 + 1500 mg day 8 + 1500 mg day 51 in 1/4 patient			
*** 1500 mg day $1 + 1500$ mg day $8 + 1500$ mg day $39 + 1500$ mg day $68 + 1500$ mg day 102			
§ In the 4 other patients, clinical outcome is going favourably after a follow-up of 3.7, 4.8, 5.1 and 5.3			
months, respectively			

315 Figure legends

316 Figure 1 – Estimated distribution of time with dalbavancin concentrations above or below the PK/PD efficacy thresholds of 4.02 mg/L (panel a) and 8.04 mg/L (panel b) during the overall treatment period in each single 317 patient. Green box: attainment of the PK/PD efficacy thresholds (≥ 4.02 or ≥ 8.04 mg/L, corresponding to an 318 fAUC_{24h}/MIC ratio > 111.1 against staphylococci with an MIC of 0.06 and 0.125 mg/L, respectively); red 319 box: non-attainment (of PK/PD efficacy threshold (< 4.02 or < 8.04 mg/L, corresponding to an fAUC_{24h}/MIC 320 321 ratio < 111.1 against staphylococci with an MIC of 0.06 and 0.125 mg/L, respectively); arrows indicate timing 322 of additional dalbavancin doses other than basic dosing regimen (namely 1500 mg day 1 plus 1500 mg day 8). Numbers in parenthesis () are the months of follow-up elapsed sing positive test of cure (TOC). * Indicates 323 324 timing of TDM assessments

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