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Coupled Global and PIC Modelling of the REGULUS Cathode-less Plasma Thrusters Operating on Xenon, Iodine and Krypton

Shaun Andrews^a, Raoul Andriulli^a, Nabil Souhair^a, Simone Di Fede^b, Daniele Pavarin^c, Fabrizio Ponti^a, Mirko Magarotto^d

 ^aAlma Propulsion Laboratory, University of Bologna, via Fontanelle 40, Forlì, 47121, Italy
 ^bCentre of Studies and Activities for Space "G. Colombo" (CISAS), University of Padova, via Venezia 15, Padova, 352131, Italy
 ^cTechnology for Propulsion & Innovation (T4i) S.p.A., via Emilia

15, Monselice, 35043, Italy

^dDepartment of Information Engineering (DEI), University of Padova, via Gradenigo 6/b, Padova, 35131, Italy

6 Abstract

In recent years, the increasing demand for simple and low-cost propulsion for small satellites has given rise to a growing interest in low-power cathode-less plasma thrusters. Plasma is produced within a source tube using radiofrequency (RF) ionisation, enhanced by a magnetic field which also accelerates the discharge via the magnetic nozzle effect. A key advantage of cathode-less thrusters is that they can operate on a wider range of propellants, more easily stored, and often inexpensive (e.g., iodine) compared to traditional xenon. Despite simple hardware, plasma dynamics in this kind of device are highly complex. This work presents a numerical suite developed for cathode-less plasma thruster design and analysis. First, a 0D Global Source Model provides the plasma production in the source. A fully kinetic Particlein-Cell model (2D and 3D) then handles plasma expansion in the magnetic nozzle. The capabilities of the suite are presented by-way-of investigation into the behaviour of alternative propellants iodine and krypton within the 50 and 150 W class REGULUS thrusters. The performance of each propellant is assessed in terms of plasma source and magnetic nozzle efficiencies. The results are then benchmarked against experimental measurements, obtaining agreement of <30%. At absorbed powers <20 W, iodine exhibits comparable performance to xenon but produces about 50% less thrust as the power is increased above 40 W. This occurs because of the molecular reaction processes seen by iodine, and associated inelastic energy thresholds which result in higher collisional energy losses. The high ionisation energy of krypton results in a low source efficiency. Instead, in the magnetic nozzle, krypton was found to perform best, facilitating the most thermal-to-kinetic conversion. But, the final thrust is <20% of xenon; instead iodine performs within 43% of the thrust provided by xenon. Finally, iodine contamination of spacecraft surfaces are found to be comparable to estimates found in other electric propulsion devices.

7 Keywords: Helicon plasma thruster, Particle-in-Cell, Magnetic nozzle, Iodine,

⁸ Krypton, Xenon, Global model

^{*}Corresponding author Email address: sa15339@my.bristol.ac.uk (Shaun Andrews)

9 1. Introduction

Xenon has long been the almost-exclusive propellant choice for electric propulsion (EP); it has a low ionisation threshold (12.1 eV), high ionisation crosssection, is a heavy substance (131.3 AMU), and is chemically inert. However, Xe is a trace gas in the atmosphere (<0.1 ppm) and its limited production, as a by-product of air separation, is an expensive process (1800-5000 US\$/kg). As the EP market continues to grow, the current supply of Xe will be incapable of satisfying forecasted demand within 10 years [1, 2].

Potential alternative propellants are therefore a topic of current interest 17 [3, 4]. Other noble gases, notably krypton [5], have been considered. Kr is more 18 abundant (about 1 ppm) than Xe in the atmosphere and can be more than 19 10-times cheaper (290 US\$/kg). But Kr has an undesirably high ionisation 20 threshold (14 eV) and low atomic mass (83.8 AMU), resulting in a lower thrust-21 to-power ratio, potentially outweighing the benefit of reduced cost. The storage 22 density of Kr is also approximately 3-times less than that of Xe, which increases 23 propellant tank volume and mass requirements. 24

Of particular interest is iodine [6–8], which is much more abundant (0.46 ppm of Earth's crustal rocks [9]) and less expensive (30 US\$/kg) than Xe. It can be stored unpressurised in its solid state at ambient conditions, presenting no transportation challenges due to the absence of pressurised cryogenic tanks. Both atomic and diatomic iodine also have a lower ionisation threshold (10.5 and 9.3 eV respectively) than Xe, and diatomic iodine has a relative mass (253.8 AMU) that is almost twice that of Xe [10].

But, although appealing, iodine is reactive, and its use in conventional Hall 32 Effect Thrusters (HET) limited owing to cathode erosion [6]. Using iodine 33 creates unique design and operational challenges. Iodine has a high electroneg-34 ativity that can lead to corrosion with most common materials. In this respect, 35 cathode-less thrusters under development, such as the Electron Cyclotron Res-36 onance Thruster (ECRT) [11] and the radiofrequency (RF) plasma thruster-37 which includes the Helicon Plasma Thruster (HPT) - [12, 13] are superior and 38 have been tested with a variety of propellants [14]. In the latter, plasma is pro-39 duced by electron impact ionisation using an inductive RF antenna, enhanced 40 by a magnetic field which also accelerates the discharge via the magnetic noz-41 zle (MN) effect. Solid iodine has already been successfully tested in-orbit in 42 systems such as the NPT30 RF ion thruster of ThrustMe [15] and T4i S.p.A.'s 43 REGULUS-50 RF thruster in Q1 2021 [16]. It is complete also to mention 44 water as a promising candidate [2, 17], which is highly abundant, as well as 45 atmosphere-breathing concepts (O, N_2) [18–20]. 46

The simulation of atomic propellants, mainly xenon, is very well established. This has included global [21–23], fluid [24–26], kinetic [27], Particle-in-Cell with Monte-Carlo Collisions (PIC-MCC) [28, 29] and hybrid-PIC [30] approaches. Increasing development is being made for the detailed chemistry of excited species [31], including the sensitivity of different data-sets of cross-sections (up to 30% on source electron density). This has been applied to both global models and multidimensional fluid and hybrid codes, yielding improved experimental agree⁵⁴ ment for both Xe and Kr [31].

However, because of the more complex reaction processes and energy-loss 55 mechanisms in molecular plasmas— as well as the historical lack of reliable col-56 lision cross-section data— modelling the complex chemistry of alternative pro-57 pellants in cathode-less thrusters is in its early stages. Models must be capable of 58 handling mixtures of several substances in addition to molecular collisions such 59 as dissociation, vibrational and rotational excitations. So far, this has been lim-60 ited to global models, which have predicted similar performance for both iodine 61 and xenon under similar operating conditions [21–23]. More recently— and with 62 newly calculated theoretical cross-sections— a global model of iodine by Lafleur 63 et al. has also shown reasonable agreement with experimental measurements 64 of an RF ion thruster [32]. In the same work, both the model and experiment 65 showed also that the use of iodine can lead to a performance enhancement when 66 compared with xenon for very-low RF powers < 20 W. 67

Coupling a PIC simulation of the MN in a HPT to a similar global model, 68 the results of Souhair et al. [8, 20] also fell within the uncertainty of experi-69 mental thrust. Beyond global models, limited iodine chemistry has also been 70 considered in hybrid-PIC plume models of other EP systems [33, 34]; while 71 including heavy-species collisions, molecular chemistry and inelastic processes 72 were absent. Sheppard and Little [17] developed a semi-empirical 1D model to 73 characterise the complex chemistry of water and Zhou et al. [35] have used a 74 hybrid-PIC model to evaluate air mixtures in a HPT. It was found that elec-75 tron heating was less effective for N_2 and O given the same amount of deposited 76 power, yielding thrust efficiencies of 1.3-4.5%, which were noticeably worse than 77 the 10.4% found for Xe. 78

This work presents the modelling of iodine and krypton as alternative propel-79 lant choices with a recently developed numerical suite for cathode-less plasma 80 thrusters [36, 37]. A 0D Global Source Model (GSM) [20, 38] evaluates the 81 properties of the discharge (a fluid model is also available but not applied in 82 this specific work [24, 39, 40]), then a fully kinetic 2D PIC model considers the 83 plasma expansion in the MN [28]. Interaction of the species with different types 84 of surfaces (dielectric, metallic, etc.) and complex magnetic topologies can be 85 modelled. Finally, a 3D PIC model is used to assess the plume interactions with 86 non-axisymmetric spacecraft surfaces [29]. The suite can also handle multiple 87 species; the core innovation in this work is that both the GSM and PIC model 88 have been extended to handle collisions/chemistry typical of diatomic molecules 89 (exampled by iodine). Further, specific innovations to the PIC model—including 90 dielectric boundary conditions and secondary electron emission-are introduced 91 also. 92

Simulations are performed for two different laboratory prototypes of cathodeless RF-based plasma thrusters under development at Technology for Propulsion
and Innovation (T4i) S.p.A., derived from the commercial REGULUS-50 [7, 16]
and REGULUS-150 [41], using krypton and iodine as propellant. The plasma
profiles and propulsive performance are then studied and compared with xenon.
The rest of the work is organized as follows: Section 2 describes the configuration of the REGULUS thruster and the structure of the numerical suite; section

¹⁰⁰ 3 compares the numerical propulsive estimates with experimental measures; sec¹⁰¹ tion 4 discusses the plasma source performance; section 5 presents the results
¹⁰² of the PIC simulations with 2D plasma profiles and MN performance; section 6
¹⁰³ assesses the surface interaction of iodine with the 3D PIC code; section 7 gives
¹⁰⁴ the conclusions.

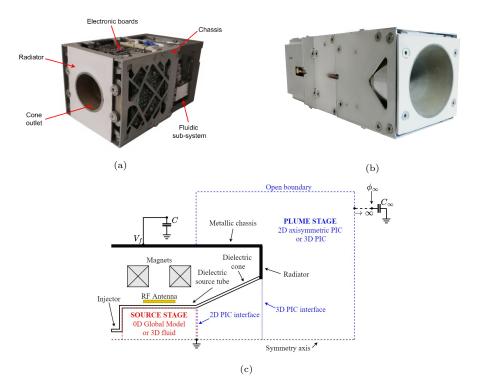


Figure 1: a) REGULUS-50-I₂; b) REGULUS-150-Xe; c) General REGULUS-type thruster schematic (not to scale)

¹⁰⁵ 2. Physical and numerical model

The aforementioned laboratory prototypes, derived from REGULUS-50 and 106 REGULUS-150 (and hereby referred to as-such), are 50 W and 150 W class RF-107 based cathode-less plasma thrusters developed since 2015 at T4i S.p.A.; Figs. 108 1(a) and (b) show REGULUS-50-I₂ and REGULUS-150-Xe respectively. Fig. 1 109 (c) then sketches an arbitrary configuration of the REGULUS-type laboratory 110 prototype, with an overview of the numerical approach. The source tube and 111 expansion cone are hexagonal Boron Nitride (h-BN); the radiator and chassis 112 structure are aluminium. An injector at the source tube base delivers a mass 113 flow rate \dot{m} of propellant, while the antenna emits power P_{RF} . Annular perma-114 nent magnets, concentric with the source tube, generate a convergent-divergent 115

magnetostatic field B. Due to the vast range in length and timescales over which plasma processes occur, the numerical suite simulates the thruster with a coupled multiscale structure [37].

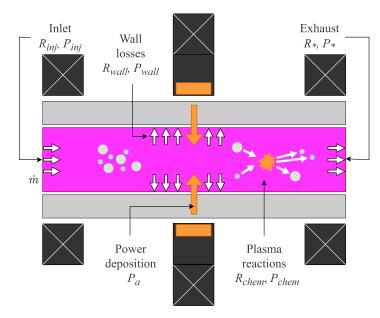


Figure 2: Schematic of the Global Source Model, with associated species flux and power terms.

The GSM considers the RF power deposition into the plasma and provides the discharge properties at the source tube exit. These properties then serve as inputs to the 2D PIC code [28], which models the plasma transport in the expansion cone and MN. This provides the propulsive performance estimates (i.e., thrust and efficiency). Finally, the 3D PIC code [29] is used to analyse the plume-surface interactions with non-axisymmetric spacecraft.

125 2.1. Global Source Model

A volume-averaged 0D Global Source Model (GSM) is used to obtain the properties of the plasma discharge within the source tube [20, 31, 32, 38, 42]. The GSM allows efficient assessment of chemical models and has trivial computational cost (compared to multi-dimensional fluid or hybrid solvers), while providing reasonable precision in the estimate of discharge and propulsive properties [20, 31, 32, 38, 42].

The plasma production is assumed to occur only within the cylindrical region of the source tube, with an open end for the outlet, defined in Fig. 2; it has radius \mathcal{R} , length \mathcal{L} and volume $\mathcal{V} = \pi \mathcal{R}^2 \mathcal{L}$. The magnetic field is considered uniform and perfectly aligned with the thruster axis; the effect of cusps is accounted for through empirical relations [31, 38]. The GSM then effectively considers a singular node to compute the bulk properties for each species. The spatial non-uniformity in the plasma properties, induced by the magnetic confinement, is then accounted through semi-heuristic sheath-to-bulk edge ratios for low-pressure plasma [20, 32, 42]. The particle flux balance —for a general species k— and electron power balance respectively are solved as [32]:

$$\frac{dn_k}{dt} = R^k_{chem} - R^k_{wall} + R^k_{inj} - R^k_* \tag{1}$$

$$\frac{d}{dt}\left(\frac{3}{2}n_e\langle T_e\rangle\right) = P_a''' - P_{chem}''' - P_{wall}''' + P_{inj}''' - P_*''',\tag{2}$$

where n_k is the bulk number density of the k^{th} species, and $\langle T_e \rangle$ is the volumeaveraged electron temperature. Heavy species are assumed cold and isothermal [31]. In Eq. 1, the terms correspond to the production/loss of the k^{th} species due to chemical reactions R^k_{chem} ; the loss/production of the species due to wall interactions R^k_{wall} ; the injection of the propellant gas into the source tube R^k_{inj} ; and the losses exiting the outlet into the MN R^k_* :

$$R_{chem}^{k} = \sum_{j} K_{jk} n_{j} n_{e} - \sum_{j} K_{kj} n_{k} n_{e}, \qquad (3)$$

$$R_{wall}^{k} = \frac{S^{k}}{\mathcal{V}} \Gamma_{wall}^{k},\tag{4}$$

$$R_{inj}^k = \frac{\dot{m}_k}{m_k \mathcal{V}},\tag{5}$$

$$R_*^k = \frac{\pi \mathcal{R}^2}{\mathcal{V}} h_L^k \beta^k \Gamma_*^k,\tag{6}$$

where K_{kj} is the reaction rate coefficient, given later in section 2.3, with kjreferring to the reactant k and the product j; m_k and \dot{m}_k are the mass and mass flow rate of the species k. The total effective surface loss areas at the lateral and back walls S_k is computed according to empirical relations for either electropositive atomic or electronegative molecular plasma [20, 38, 42]. For a closed cylinder with a non-uniform magnetic field (i.e., with cusps), it can be expressed as

$$S^{k} = 2\pi \mathcal{R}^{2} h_{L}^{k} \beta^{k} + h_{R\perp}^{k} \left(2\pi \mathcal{R} \mathcal{L} - S_{cusp} \right) + h_{R\parallel}^{k} S_{cusp}, \tag{7}$$

where $S_{cusp} = 4N_{cusp}\sqrt{r_{ci}r_{ce}}2\pi\mathcal{R}$ is the equivalent area influenced by magnetic cusps [38]; N_{cusp} is the number of cusps present in the magnetic topology, and r_{ci} and r_{ce} are the ion and electron cyclotron radii. The terms $h_{R||,\perp}$, h_L and β are semi-heuristic coefficients that account for the non-uniformity of the plasma profiles inside the source tube, and for the effect of electronegativity on the diffusion coefficients [20, 32, 38, 42]. They are the radial sheath-to-bulk density ratio, axial sheath-to-bulk density ratio, and radially-averaged-to-bulk density ratio respectively; these are expressed as [20, 42]:

$$h_{R||}^{k} = 0.8 \left(4 + \frac{\mathcal{R}}{\lambda_{k}} + (1 + \alpha_{-})^{1/2} \gamma_{+} \left(\frac{\mathcal{R}}{\lambda_{k}}\right)^{2} \right)^{-1/2} \left(\frac{\gamma_{-} - 1}{\gamma_{-}(1 + \alpha_{-})^{2}} + \frac{1}{\gamma_{-}} \right)^{1/2},$$
(8)

$$h_{R\perp}^{k} = \left(1 + (\omega_{ck}\tau_{k})^{2}\right)^{-1}h_{R\parallel}^{k},\tag{9}$$

$$h_L^k = 0.86 \left(3 + \frac{\mathcal{L}}{2\lambda_k} + (1 + \alpha_-)^{1/2} \frac{\gamma_+}{5} \left(\frac{\mathcal{L}}{\lambda_k} \right)^2 \right)^{-1/2} \left(\frac{\gamma_- - 1}{\gamma_- (1 + \alpha_-)^2} + \frac{1}{\gamma_-} \right)^{1/2}$$
(10)

139

$$\beta^{k} = \frac{1}{\left(1 - h_{R\perp}^{1/6}\right)} \left(\left[\left(1 - h_{R\perp}^{1/6}\right) - 1 \right]^{7} + 1 \right), \tag{11}$$

where $\alpha_{-} = n_{-}/n_{e}$ is the bulk electronegativity ratio; $\gamma_{+} = T_{+}/T_{e}$ and $\gamma_{-} = T_{e}/T_{-}$ are the positive ion-to-electron and electron-to-negative ion temperature ratios respectively [20, 42]; $\omega_{ck} = eB/m_{k}$ is the species cyclotron frequency, where e is the elementary charge; λ_{k} and τ_{k} are then the species mean free path and mean free time respectively, from the reaction rates K.

Regarding flux terms, Γ_{wall} is the species flux toward the lateral and back walls and Γ_{\star} the equivalent term at the open outlet. For neutral species k0, electrons e and positive species k+, they are both expressed as:

$$\Gamma^{k0} = \frac{1}{4} n_{k0} \bar{v}_{k0}, \tag{12}$$

$$\Gamma^e = \frac{n_e \bar{v}_e}{4} exp\left(-\frac{\Delta\phi}{T_e}\right),\tag{13}$$

$$\Gamma^{k+} = n_{k+} u_B, \tag{14}$$

where $\bar{v}_k = \sqrt{8eT_k/\pi m_k}$ and $\Delta \phi$ is the sheath potential drop, which is defined later in this section. u_B is the Bohm speed, which, to account for electronegativity [42], is expressed as

$$u_{B_k} = \sqrt{\frac{eT_e}{m_k}} \left(\frac{1+\alpha_-}{1+\gamma_-\alpha_-}\right)^{1/2}.$$
 (15)

¹⁴⁸ Note that when the plasma is electropositive (i.e. xenon or krypton), Eq. 10
¹⁴⁹ reduces to the standard Bohm speed [31]. Then, a current-free condition is
¹⁵⁰ enforced at the walls according to the Bohm sheath criterion,

$$\Gamma_{wall}^{e} = \sum_{k+} \left(\Gamma_{wall}^{k+} \right) = \sum_{k+} \left(n_{k+} u_{B_{k+}} \right).$$
(16)

¹⁵¹ Furthermore, each outward flux of positive ions, recombining at the wall, cor-¹⁵² responds to an inward flux of neutral particles $\Gamma^{k0} = -\Gamma^{k+}$. Note here that, ¹⁵³ for iodine, a molecule of I_2 can be formed at half the rate at which an I atom ¹⁵⁴ sticks to a wall in a recombination reaction $2I \rightarrow I_2$; this is considered as ¹⁵⁵ $\Gamma_{I_2} = -\gamma_{rec}\Gamma_I$, where $\gamma_{rec} = 0.02$ is the recombination coefficient [42]. Regard-¹⁵⁶ ing any negative ions, $\Gamma^{k-}_{wall} = 0$ [8]. Considering now the power balance of Eq. 2, the superscript "" indicates the volume density of the generic power term $P''' = P/\mathcal{V}$. The terms correspond to the antenna RF power density absorbed into the plasma (a model input), the source/sink term related to the chemical reactions, the energy loss to the walls, the energy flux associated to the propellant flow injected into the source (taken as negligible hereafter), and the power exiting the source tube into the MN. These terms are evaluated as [32]:

$$P_{chem}^{\prime\prime\prime} = \sum_{j} K_{ij} n_j n_e \Delta U_{ij} + \sum_{i} K_{ij} n_i n_e \frac{3m_e}{m_i} \langle T_e \rangle, \tag{17}$$

$$P_{wall}^{\prime\prime\prime} = R_{wall}^{e} \left(2\langle T_e \rangle + \Delta \phi \right), \tag{18}$$

$$P_*''' = R_*^e \left(2\langle T_e \rangle + \Delta \phi \right), \tag{19}$$

where ΔU is the energy gap of the reaction process, the values for which are given in section 2.3. Regarding Eqs. 14 and 15, the $2\langle T_e \rangle$ term is the electron kinetic energy lost at the surface, found by computing the average energy flux along one axis for a Maxwellian [42]. The (positive) ion kinetic energy lost corresponds to the energy acquired by the ions to enter the sheath, and the energy acquired during the acceleration in the sheath; the potential drop can then be decomposed into the absolute value of the sheath voltage V_s and V_p the plasma potential, adapted to electronegative plasmas as [42],

$$\Delta \phi = V_s + V_p,\tag{20}$$

$$V_s = \langle T_e \rangle ln \left(\frac{4}{\bar{v}_e} \frac{\sum_{k+} n_{k+} u_{B_{k+}}}{\sum_{k+} n_{k+}} \frac{1 + \alpha_-}{1 + \alpha_- (\bar{v}_- / \bar{v}_e)^2} \right),$$
(21)

$$V_p = \frac{\langle T_e \rangle}{2} \frac{1 + \alpha_-}{1 + \gamma_- \alpha_-}.$$
(22)

With an electropositive plasma, the total energy loss reduces to the classical
 expression,

$$2\langle T_e \rangle + \Delta \phi = \langle T_e \rangle \left(\frac{5}{2} + \frac{1}{2} ln \left(\frac{2\pi m_e}{m_{k+}} \right) \right).$$
⁽²³⁾

Finally, the electronegativity at the sheath edge as a function of electronegativity in the plasma bulk is solved numerically as [42],

$$\alpha_{-} = \frac{n_{k-}}{n_e} exp\left(\frac{V_p}{\langle T_e \rangle}(1-\gamma_{-})\right).$$
(24)

Once the GSM establishes a solution, the discharge properties at the source tube outlet, required as input to the PIC model, are then obtained as

$$n_{k*} = n_k \beta_k h_L^k, \tag{25}$$

$$\dot{m}_{k*} = m_k n_k \beta_k h_L^k u_{B_k} \pi \mathcal{R}^2,$$
¹⁶³
¹⁶⁴
⁽²⁶⁾

$$T_{e*} \equiv \langle T_e \rangle, \tag{27}$$

where n_{k*} , \dot{m}_{k*} and T_{e*} become the PIC-injected number density, mass flow rate and electron temperature respectively.

167 2.2. Particle-in-Cell

A two-dimensional axisymmetric Particle-in-Cell (PIC) code with Direct Simulation Monte-Carlo (DSMC) collisions is used to consider the plasma transport in the expansion cone and MN [28, 43]. Macro-particle trajectories are integrated with the typical leap-frog Boris scheme [44]. The null collision method [45] performs collision interactions between macro-particles and a surface interaction module handles ion recombination, neutral accommodation, secondary electron emission, and dielectric surface charging.

The plasma potential ϕ is obtained from the general dielectric form of the Poisson's equation,

$$\nabla \cdot \left(\gamma^2 \varepsilon_r \varepsilon_0 \nabla \phi\right) = -\left(\rho + \varrho\right) \tag{28}$$

and is solved with a successive over-relaxation (SOR) Gauss-Seidel algorithm, where ε_0 is the vacuum permittivity, ε_r is a non-dimensional 'relative permittivity' that accounts for dielectric materials (3.2 for h-BN [46]), ρ and ρ are the volumetric plasma and volumetric surface charge densities respectively, and γ is a global permittivity scaling for the purposes of numerical acceleration [6].

182 2.2.1. Boundary conditions

According to the boundaries shown in Fig. 2 (c), the source tube exit is 183 given the reference potential $\phi_0 = 0$. The symmetry axis is a zero-Neumann, 184 and remaining external boundaries are the non-stationary Robin condition in-185 troduced by Andrews et al. [28]. In practice, the thruster chassis is coated in 186 a thin layer of insulating material; thus, it is considered to remain grounded 187 to the free-space potential ϕ_{∞} ($V_f = \phi_{\infty}$ and C = 0 in Fig. 1(c)). The di-188 electric cone is included in the mesh, and so is accounted for by the effect of 189 its relative permittivity and surface charge according to Eq. 21. This allows a 190 self-consistent local current-free condition on the dielectric surface. Particles are 191 injected at the source tube exit with a one-sided Maxwellian distribution. Ions 192 and neutrals reaching the external boundaries are removed, whereas electrons 193 are selectively reflected according to whether they possess sufficient energy to 194 escape the potential drop $e\phi_{\infty}$ [28]. All particles returning to the plasma source 195 are removed and undergo full reflection on the symmetry axis. The free space 196 potential ϕ_{∞} is self-consistently updated to maintain a globally current-free 197 plasma $(I_{k-} = -\sum_{k+} I_{k+})$ to infinity, via a virtual capacitor C_{∞} connecting 198 ϕ_{∞} to the total net current of all species leaving the open boundaries [28]. 199

200 2.2.2. Surface interactions

On both metallic and dielectric surfaces, ions undergo recombination with a thermal accommodation coefficient [47] of $a_t = 0.6$, and neutrals diffusely reflect with $a_t = 0.9$. When electrons strike the dielectric surface, one of the following four events may occur [48]: (i) the incident electron is absorbed; (ii) it knocks out a secondary electron; (iii) it knocks out two secondary electrons; (iv) it is

elastically reflected. For electron energy E_e [eV]:

$$W_0(E_e) = C_0 \exp\left(-\frac{E_e^2}{E_0^2}\right)$$
(29)

$$W_r(E_e) = C_r \exp\left(-\frac{E_e^2}{E_r^2}\right) \tag{30}$$

$$W_2(E_e) = 1 - \exp\left(-\frac{E_e^2}{E_2^2}\right)$$
 (31)

$$W_1(E_e) = 1 - W_0(E_e) - W_r(E_e) - W_2(E_e)$$
(32)

where $W_0(E_e)$, $W_r(E_e)$, $W_2(E_e)$, and $W_1(E_e)$ are the probabilities for the in-201 cident electron to be absorbed, to be elastically reflected, to yield two true 202 secondary electrons, and to yield one true secondary electron, respectively. For 203 h-BN, the coefficients are $C_0 = 0.5$, $E_0 = 43.5$ eV, $C_r = 0.5$, $E_r = 30$ eV 204 and $E_2 = 127.9 \text{ eV} [49]$. The initial velocity distribution of the true secondary 205 electrons is a diffuse cosine, with $a_t = 0.6$, and the most probable speed is 206 calculated with a secondary electron temperature $T_{SEE} = T_e/3$, where T_e is 207 the local electron temperature. Finally, the charge of recombined ions and ab-208 sorbed electrons is added to the accumulating surface charge ρ by scattering the 209 macro-particle charge to the nearest mesh node (the wall is resolved to a Debve 210 length), using a Ruyten shape factor [43]. In this way, surface charge is treated 211 as an accumulation of static frozen macro-particles. 212

213 2.2.3. 3D PIC

The 3D code follows the same formulation as described for the 2D code, although it is Cartesian, uses an unstructured tetrahedral mesh and its domain extended (there is also no symmetric boundary condition in the 3D code). A capacitive charging circuit is also established to the spacecraft surfaces. Full details of the 3D model can be found in references [29, 37].

219 2.3. Collisions and chemistry

The modelling of atomic substances (Xe and Kr) is common and well-known [21-23, 25, 26, 31], so the discussion here is focused on molecular iodine, which is the novel part implemented in the model. The lumping procedure for Xe and Kr, required to reduce the number of considered excitation states, is however discussed is section 2.3.3.

225 2.3.1. Iodine chemistry

The species present in the iodine discharge are molecular iodine I_2 , atomic iodine I, singly-charged positive and negative atomic ions, namely I^+ and I^- , and positive molecular iodine ions I_2^+ . Double-charged ions and excited species other than I^* are not considered. Although the negative ions are not expected to be significant in population compared to positive ions, their influence may affect the diffusion process within the source tube [8]. Electron impact reactions and heavy species collisions considered in this work are listed in Table 1,

Table 1: Iodine chemistry considered in the model

Reaction	Reaction type	$\Delta U [eV]$	Ref.
Electron impact I_2		977 /	[49]
$I_2 + e \to I_2 + e$	Elastic scattering Ionisation	$3T_e m_e / m_{I_2}$ 9.31	[42]
$I_2 + e \to I_2^+ + 2e$		0.01	[42]
$I_2 + e \to 2I + e$ $I_2 + e \to I_2 + e$	Dissociative attachment Dissociation	$\frac{3T_e/2}{1.567}$	[42]
$I_2 + e \to I_2 + e$ $I_2 + e \to I^+ + I + 2e$	Dissociation Dissociative ionisation	1.507 10.9	[42] [42]
-			
Electron impact I $I + e \rightarrow I + e$	Elastic scattering	$3T_e m_e/m_I$	[42]
$I + e \to I^* + e$	Excitation	0.95	[42]
$I + e \to I^+ + 2e$	Ionisation	11.6	[42]
1 1 0 1 1 1 20	101115401011	1110	[]
Electron impact I_2^+			
$I_2^+ + e \to I^+ + I + e$	Dissociation	2.1768	[42]
Detachment I_2^+ $I^- + e \rightarrow I + 2e$	Detachment	4	[42]
Recombination			
$I^- + I_2^+ \rightarrow I + I_2$	Molecular recombination	-	[42]
$I^- + I^+ \to 2I$	Atomic recombination	-	[42]
			LJ
Charge exchange			f = - 1
$I_2 + I^+ \to I_2^+ + I$	Molecular-atomic	-	[33]
$I + I^+ \to I^{+} + I$	Atomic	-	[33]
$I_2 + I_2^+ \to I_2^+ + I_2$	Molecular	-	[33]
Surface recombination			
$2I \rightarrow I_2$	Surface recombination		[42]

inclusive of the corresponding energy thresholds. An iodine molecule can disso-233 ciate by electron impact through direct dissociation, dissociative ionisation or 234 attachment, with the latter being the principal source of negative ions. Nega-235 tive ions can undergo detachment of the surplus electron by means of electron 236 impact. Atomic iodine, resulting from dissociation, can either elastically scatter 237 or ionise by electron impact producing atomic positive ions. Molecular iodine 238 can either scatter elastically against the electrons or ionise by electron impact 239 producing molecular ions, which can dissociate. Concerning heavy species col-240

lisions, gas-phase recombination of positive and negative ions is considered, as 241 well as charge-exchange. The surface recombination at the walls of atomic io-242 dine into molecular iodine is considered also. Moreover, all species are subject to 243 standard Coulomb collisions. Sources of the relevant cross-sections for iodine-244 electron chemistry, charge-exchange and Coulomb scattering can be found in 245 references [42], [33] and [6] respectively. Considering the high number of species 246 and reactions associated to iodine, the 14 levels of the fine structure have in-247 stead been grouped into one lumped level, given in Table 1. Finally, the PIC 248 model includes an anomalous Bohm collisionality [50] via an equivalent fre-249 quency $\nu_B = \alpha_B \omega_{ce}$, where $\omega_{ce} = e |\mathbf{B}| / m_e$ is the electron cyclotron frequency 250 and α_B is the Bohm coefficient. 251

252 2.3.2. Implementation

In the GSM, the reaction rates K involving electrons are calculated assuming a Maxwellian distribution of electron impact energy E_e

$$K(T_e) = \sqrt{\frac{8}{\pi m_e T_e^3}} \int_{\Delta U}^{\infty} E_e \sigma(E_e) e^{-E_e/T_e} dE_e$$
(33)

where σ is the collision cross-section [25, 51]. Regarding the heavy species collisions, i.e., recombination and charge exchange, the reaction rate is instead given by

$$K(T_1, T_2) = \int d^3 v_1 d^3 v_2 f_{v_1}(v_1) f_{v_2}(v_2) \sigma(|v_1 - v_2|) |v_1 - v_2|$$
(34)

where T_1 , T_2 refer to the heavy species temperatures and v_1 , v_2 the collision 258 speed of the particles with distribution functions f_{v_1} , f_{v_2} . The PIC model 259 instead uses collision cross sections directly in the DSMC method [28], which 260 accounts for non-Maxwellian distributions. For ionisation, newly created ion 261 macro-particles are given a velocity sampled from a Maxwellian about the local 262 neutral fluid velocity. For dissociation, the same sampling is performed for 263 each pair of new macro-particles, but the velocity is distributed uniformly and 264 randomly over the pair. 265

266 2.3.3. Noble gas excitation lumping

Considering all excitation reactions (the fine-structure) leads to an unman-267 ageable computational requirement, especially in the PIC model where many 268 DSMC/MCC events per time-step would be necessary. Thus, a lumping pro-269 cedure based on the assumption of local thermodynamic equilibrium is used, 270 introduced in the previous work of Souhair et al. [31]. While the GSM com-271 pletely accounts for each excited state in the flux and power balance, the PIC 272 routine would further require too much computational power to track each ex-273 cited species as macro-particles. Thus, the post-impact excited species are not 274 modeled. Instead, it is presumed that excited neutrals immediately decay to 275 the ground state, emitting radiation. As a result, the PIC excitation collisions 276

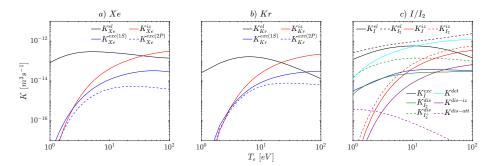


Figure 3: Maxwellian reaction rates of the alternative propellants: a) Xenon; b) Krypton; c) Iodine

²⁷⁷ behave as a pure electron energy sink. In Fig. 3, the reaction rates computed
²⁷⁸ for the three gases analysed are reported assuming a Maxwellian distribution.
²⁷⁹ In particular, for Xe and Kr, excited states have been lumped into 1S and 2P

280 groups according to [31].

Table 2:	Numerical	setup	parameters
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Parameter			REGULUS-50	REGULUS-150	
Source radius	\mathcal{R}	[mm]	6.5	8.5	
Source length	\mathcal{L}	[mm]	90	100	
Cone radius	\mathcal{R}_{cone}	[mm]	40	35	
Cone length	\mathcal{L}_{cone}	[mm]	45	40	
Mass flow rate	\dot{m}	[mg/s]	0.10	0.25	
Input power	P_{in}	[W]	[15-60]	[50-185]	
Cusps	N_{cusps}	[—]	2	2	
Coupling efficiency	η_{RF}	[-]	0.85	0.70	
System efficiency	η_{sys}	[-]	0.8	0.8	
Background density	n_{back}	$[m^{-3}]$	2.42×10^{17}		
h-BN relative permittivity	ϵ_r	[—]	ŝ	3.2	
Ion(neutral) accommodation	α_t	[-]	0.6	(0.9)	
Recombination coefficient (I_2)	γ_{rec}	[-]		.02	
Bohm coefficient	α_B	[—]	1/100	[1/100-1/16]	
PIC mass scaling	f_M	[—]	2	50	
PIC permittivity scaling	$\hat{\gamma}$	[-]	$\mathcal{R}/20\lambda_D$		
Virtual capacitance	C_{∞}	[nF]	0.8		
Origin cell size	$\Delta z_0, \Delta r_0$	[mm]	0.375	0.600	
Boundary cell size	$\Delta z_b, \Delta r_b$	[mm]	3.0	4.8	
Time-step	Δt	[s]	$0.5\Delta z$	$0.5\Delta z_0/3v_{e,th}$	
Total (charged) macro-particles	N_p	[-]		5×10^{6}	

281 3. Comparison to experiments

Measurements performed, for Xenon and Iodine, at the High Vacuum Fa-282 cilities of the University of Padova are compared to the GSM+(2D)PIC model 283 results. The experimental facility consists of a vacuum chamber of radius 0.3284 m and length 2 m, maintained at a working pressure of 10^{-5} mbar. The pro-285 pellant has been introduced in the source tube with \dot{m} of 0.1 and 0.25 mg/s 286 for REGULUS-50-Xe/I₂ [7, 13, 16] and REGULUS-150-Xe [41] respectively, 287 through tailored fluidic subsystems. For iodine, this consists of a tank main-288 tained at sublimation temperature by means of heaters to produce gas flow [16]. 289 The thrusters are connected through a coaxial line to a power unit, consisting of 290 a Spin HFPA-300 linear amplifier (1.8-30 MHz, power up to 300 W) driven by 291 a HP 8648B signal generator. For REGULUS-50-Xe/I₂, the RF frequency was 292 kept to 2 MHz, with the input power P_{in} in the range 15-60 W. For REGULUS-293 150-Xe, P_{in} was varied from 50-185 W. In the experiments the total power from 294 the RF antenna P_{RF} was measured, the latter related to the absorbed power 295 by $P_a = \eta_{RF} P_{RF}$, where η_{RF} is the coupling efficiency. From these same ex-296 periments [37], it was found that $\eta_{RF} = 0.85$ for REGULUS-50 and $\eta_{RF} = 0.7$ 297 for REGULUS-150. The power consumed by the electronics is accounted for 298 with the system efficiency $\eta_{sys} = P_{RF}/P_{in}$ and is about 0.8. 299

A thrust balance, tailored for small-to-medium size thrusters, was used to measure the performance [52]. The uncertainty associated to the thrust F is 15-20%, while the uncertainty associated to the power and mass flow rate are 10% and 10-15% respectively.

Regarding the numerical setup, the primary inputs to both the GSM and 304 PIC model are listed in Table 2. For the PIC, the artificial permittivity scaling 305 is set such that the source tube radius is resolved with 20 Debye lengths: $\gamma =$ 306 $\mathcal{R}/20\lambda_D$, where $\lambda_D = \sqrt{\epsilon_0 T_e/n_e e}$. This is because the ratio of the scaled Debye 307 length to the system length scale (\mathcal{R}) must remain constant between simulations 308 to preserve valid physical comparability. In the interest of repeatability, an 309 example of input parameters for REGULUS-150-Xe have been provided in Table 310 3, including γ . The mass of heavy species is then reduced by a factor of 250 311 [28]. The resultant piece-wise-uniform mesh contains approximately 4000 cells, 312 which increase in size towards the domain boundaries (while still resolving the 313 local Debye length). The complete domain has dimensions of $20\mathcal{R} \times 10\mathcal{R}$. The 314 time-step is such that it resolves the electron Courant-Friedrichs-Lewy (CFL) 315 condition— $0.5\Delta z_0/3v_{e,th}$ with $v_{e,th} = \sqrt{2eT_e/m_e}$ the electron thermal speed– 316 which was the limiting constraint in all cases, considering that the use of artificial 317 permittivity also relaxes the plasma frequency constraint by a factor γ [28]. The 318 virtual capacitance is the same as in previous studies [28, 37], that is $C_{\infty} =$ 319 0.8 nF. A uniform neutral background density is then assumed from the ideal 320 gas law at 10^{-5} mbar and 300 K. 321

Fig. 4 compares the measured thrust with the results of the GSM + (2D) PIC model (calculated per Appendix A). The numerical error bands arise from the uncertainty in collision cross sections, assumptions in the GSM, statistical variance in the PIC method, as well as uncertainty in η_{RF} and power consumed

Table 3: PIC inputs: REGULUS-150-Xe

$P_{in} [W]$	$n_* [m^{-3}]$	$T_{e*} [eV]$	γ
50	7.50×10^{17}	3.89	30
75	1.22×10^{18}	4.20	36
100	1.53×10^{18}	6.67	32
125	1.32×10^{18}	9.76	25
150	$1.18 imes 10^{18}$	12.45	21
175	1.08×10^{18}	15.05	18

by electronics (η_{sys}) ; they are approximately 25%. It is prudent to state that 326 the REGULUS-50 simulations used a constant Bohm parameter of $\alpha_B = 1/100$, 327 whereas the results given for REGULUS-150 use a value that scales with the ex-328 haust plasma wave energy ($\propto P_*$) [53]: P_* increases approximately from 1/100 to 329 1/16 with increasing P_* . There is excellent agreement in the case of REGULUS-330 150-Xe where, between 50-150 W, the experimental measures fall within the 331 numerical uncertainty. However, the model begins to overestimate at higher 332 power, with a maximum deviation of 33% at 160 W. Instead, the GSM+PIC 333 describes the measured trend at higher powers for REGULUS-50-Xe well, but at 334 10-20 W underestimates by up to 28%. For REGULUS- 50-I2 the global trend 335 is captured but underestimates the experiment by approximately 20% over the 336 entire power range. Nonetheless, the GSM+PIC model reproduces the measures 337 with sufficient accuracy. Potential sources of disagreement might be found in 338 the precise estimate of chamber background density or vacuum chamber wall 339 effects. Sensitivity of the PIC results to uncertain input parameters is discussed 340 in Appendix B. 341

³⁴² 4. Source performance (GSM)

The effects on plasma production in the source tube are now analysed for 343 xenon, krypton and iodine using the GSM. The REGULUS-150 thruster is con-344 sidered at $\dot{m} = 0.25$ mg/s. To eliminate the influence of η_{RF} , results are given 345 parametrically as a function of the absorbed power P_a between 10 and 150 W. 346 Fig. 5 (a) gives resultant peak ion density in the source tube. Kr^+ density 347 closely trends Xe⁺, both yielding about 5×10^{17} m⁻³ at 10 W. At 80 W however, 348 Xe⁺ density plateaus and steadily decreases approaching 150 W; Kr⁺ continues 349 increasing at the same rate. Regarding iodine, atomic ion production dominates 350 the discharge; as the electron density increases with RF power, the dissociation 351 rate becomes higher which enables the formation of I^+ and neutral I atoms, 352 which can then undergo further electron impact ionisation. The ion production 353 is much more efficient at lower power compared to the monoatomic propellants. 354 but plateaus to similar densities as Kr^+ approaching 150 W. I₂⁺ and I⁻ densities 355 are 2 and 3 orders of magnitude less than I^+ respectively. The I_2^+ density 356 remains relatively constant due to a combination of the higher electron density 357 and higher dissociation rate coefficients caused by higher electron temperature 358

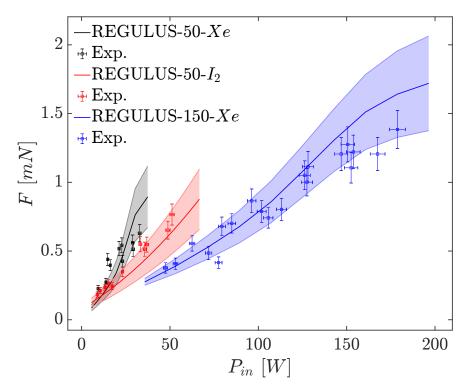


Figure 4: Comparison of thrust estimated from the GSM+(2D)PIC against experimental measures of REGULUS-50-Xe/I₂ and REGULUS-150-Xe as a function of the input power

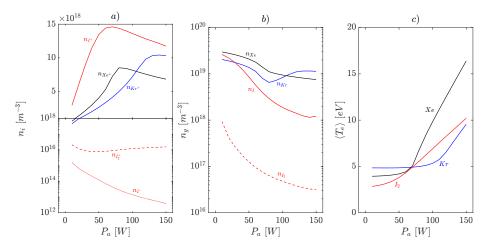


Figure 5: Plasma source properties as a function of absorbed power (REGULUS-150 at 0.25 mg/s): a) peak ion densities (note the transition from a logarithmic to linear scale at 1×10^{18}) b) neutral gas density; c) global-averaged electron temperature.

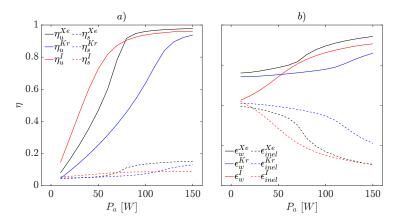


Figure 6: Plasma source performance (REGULUS-150 at 0.25 mg/s): a) Mass utilisation and source energy efficiencies; b) Wall loss and inelastic collision inefficiencies as a function of the absorbed power.

with increasing power, as well as the higher I_2 dissociation rate (which includes direct dissociation as well as dissociative ionization and dissociative attachment) and molecular iodine ionisation rate coefficient. The I⁻ density, and hence electronegativity, becomes increasingly negligible at high power. The decreasing I_2 density decreases the formation of negative ions from dissociative attachment, which are then also efficiently destroyed by electron impact recombination.

The corresponding neutral gas densities are given in Fig. 5 (b). Both Xe and I follow a general decrease as a result of depletion from ionisation. It is again noted that diatomic iodine gas I_2 is over 2 orders of magnitude less than the atomic. This depletion causes the electron temperature to rise which also increases the ionisation rate coefficient, further facilitating ion production. Instead, Kr density begins to increase at around 80 W.

The global electron temperature is given in Fig. 5 (c) and represents the 371 amount of electron heating. All three curves follow a similar profile: a region 372 373 of relatively constant temperature, followed by a transition to comparatively rapid increasing temperature at higher power. Once ion production becomes 374 saturated, along with the power delivered for ionisation, the absorbed power 375 instead heats electrons. Xe has a constant region of 4 eV until 50 W; Kr 4.8 eV 376 until 90 W; iodine 2.8 eV until 20 W. This is explained by the relative ionisation 377 energies of each species; 12.1, 14 and 10.5 eV respectively. The earlier increase 378 for iodine is also partially a result of stronger neutral gas depletion due to more 379 efficient ionisation. Since higher temperature yields more energy available for 380 conversion in the MN, the electron heating is critical for thruster performance. 381

The source production performance is summarised by Figs. 6 (a) and (b), which show the relevant efficiencies and inefficiencies defined in Appendix A. The source efficiency η_s curves in Fig. 6 (a) correlate to the electron temperature trends. Iodine is seen to be the more robust propellant, with $\eta_s = 0.055 - 0.086$ consistent over the absorbed power range. Instead, both Xe and Kr perform

poorly at low power (< 0.047) but improve significantly once electron temper-387 ature increases. At 150 W, Xe and Kr have η_s of 0.15 and 0.13 respectively. 388 Iodine outperforming xenon at low power agrees with several previous numerical 389 and experimental studies [32, 42], and largely relates to the decreased excita-390 tion rate coefficient compared with the ionisation rate coefficient (see Fig. 3) 391 as the electron temperature increases with increasing power. Fig. 6 (a) also 392 shows mass utilisation efficiency η_u which, as expected, is overall greater for 393 species with lower ionisation energies. As the power rises, η_{μ} also rises because 394 the ion beam current rises as a result of the increasing electron temperature 395 (which raises the Bohm velocity) and positive ion densities. At 150 W, $\eta_u \approx 1$ 396 for all propellants, but at lower power (<60 W), Kr and Xe exhibit much lower 397 ionisation than iodine. 398

As per the power balance in the GSM, power not exhausted in the discharge 399 is distributed via inelastic collisions and wall losses, the inefficiencies for which 400 are given in Fig. 6 (b). Losses at the walls of the plasma source increase with 401 power and are similar at high power. Indine features significantly fewer (0.16)402 reduction compared to xenon) wall losses at low power, explaining partly its 403 high source efficiency at these levels; this is because of iodine's low temperature 404 at low power, reducing the energy loss to the wall per Eqs. 14-18. At low elec-405 tron temperatures, both I and I_2 plasma loses more energy per electron-ion pair 406 than xenon does (see Figs. 3 (a) and (c)), but this reverses at about 3–4 eV. 407 This is caused by the various inelastic energy thresholds, reaction processes, and 408 collision cross-sections. This explains why iodine sees up to an additional 0.05 409 collisional inefficiency at < 20 W compared to xenon, given the 2.8 and 4 eV 410 electron temperatures respectively aforementioned in Fig. 5 (c). Once iodine's 411 electron temperature rises above 3-4 eV at > 20 W, it's inefficiency yields up 412 to a 0.15 improvement on xenon at 60-70 W. However, as Fig. 5 (c) shows, as 413 the power is increased, the electron temperature with xenon increases at about 414 twice the rate of iodine, and thus both inefficiencies approach 0.1 at 150 W. Fig. 415 6 (c) shows therefore that, if the electron temperature is high enough, operat-416 ing iodine can result in fewer collisional energy losses. Kr experiences higher 417 collisional losses than both Xe and iodine (up to about 0.1 further inefficiency 418 at 150 W). This is because its temperature is generally higher, and η_u lower, 419 which leads to a greater degree of collisionality. 420

421 5. Magnetic nozzle performance (2D PIC)

In this section, a laboratory version of REGULUS-50 is simulated for $\dot{m} = 0.1$ mg/s for P_a between 10 and 50 W.

424 5.1. 2D plasma profiles

⁴²⁵ The 2D spatial profiles are given for the case of $P_a = 50$ W; since the iodine ⁴²⁶ discharge is dominated by atomic species, the profiles of molecular species and ⁴²⁷ negative ions are not reported. Figs. 7 (a), (b) and (c) show the normalised ⁴²⁸ neutral gas density for Xe, Kr and I respectively. The monoatomic gases show

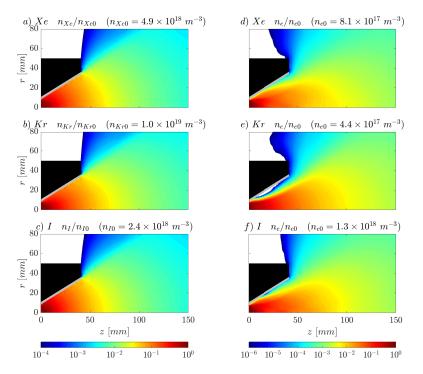


Figure 7: Normalised neutral gas density for a) Xenon n_{Xe} ; Krypton n_{Kr} ; c) Iodine n_I and electron density n_e for a) Xenon; b) Krypton; c) Iodine (REGULUS-50 at 0.1 mg/s).

nearly identical profiles, whereas there is a faster decay of I atoms downstream; 429 the n_I density at the downstream boundary is 52% of n_{Xe} . This is because ap-430 proximately 2% of atoms that strike the cone wall recombine instead to I_2 . The 431 in-plume ionisation for iodine is also about twice that of the atomic propellants, 432 since its ionisation energy is lower at 10.5 eV. The expansion cone confines the 433 neutral plume to improve the divergence efficiency and increase cold gas thrust. 434 Figs. 7 (d), (e) and (f) show the normalised electron density for Xe, Kr and I. 435 There is worse confinement for Xe and I due to the larger level of collisionality 436 and their greater mass (they have more energy to escape the ambipolar confin-437 ing electric field). The normalised plasma potential is thus given in Figs. 8 (a), 438 (b) and (c). A sheath forms on the upstream section of the cone surface, which 439 evolves into a reverse sheath (that is, the potential rises towards the wall) where 440 the secondary ion expansion impacts the wall and electrons are shielded. This 441 creates a potential peak on the wall that aids in ion confinement. For Kr and 442 I, the peak has approximate strength of T_e compared to a much weaker peak 443 with Xe. The peak for Kr forms earlier on the wall since its lighter mass means 444 the secondary ion expansion is at a higher angle to the magnetic expansion. 445

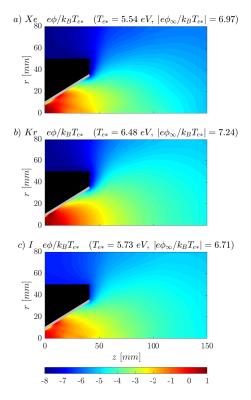


Figure 8: Normalised plasma potential $e\phi/k_BT_{e*}$ for a) Xenon; Krypton; c) Iodine (REGULUS-50 at 0.1 mg/s)

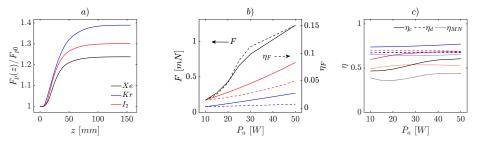


Figure 9: a) Plasma thrust gain in the magnetic nozzle as a function of the axial position; b) Thrust and thrust efficiency, as well as c) Magnetic nozzle efficiencies as a function of the absorbed power for REGULUS-50 at 0.1 mg/s.

446 5.2. Thrust and efficiencies

⁴⁴⁷ The plasma thrust gain of the 50 W cases presented above is given in Fig. 9. ⁴⁴⁸ This excludes the neutral thrust to isolate the gain from the magnetic $j_{e\theta}B_r$ force ⁴⁴⁹ (Eq. A1). Kr performs the best, with a gain of 1.39. I₂ and Xe yield gains of 1.3 ⁴⁵⁰ and 1.24 respectively. This result is mainly driven by the discharge properties in ⁴⁵¹ the source. Kr has a greater discharge temperature at 6.48 eV, thus there is more

thermal energy conversion. The increased gain of iodine over xenon can instead 452 be explained by the difference in collisionality; the neutral-to-plasma ratio is 453 1.85 and 6.05 for I and Xe respectively. The Xe plume has a greater degree of 454 cross-field diffusion, demagnetising the plasma and inhibiting the formation of 455 diamagnetic current. The MN gain however does not translate into absolute 456 performance. Fig. 9 gives both the final thrust and thrust efficiency. Xenon is 457 clearly the superior propellant, achieving $\eta_F = 0.15$ at 50 W compared to 0.05 458 and < 0.01 for iodine and krypton respectively. The plasma source though, is 459 the main driving factor in propulsive performance. 460

To analyse the performance of each propellant in the MN in more detail, 461 Fig. 9 (c) presents the conversion η_c , divergence η_d and MN efficiencies η_{MN} 462 (defined in Appendix A). The conversion efficiency η_c of krypton is greatest, and 463 is nearly constant, at approximately 0.75; for iodine, it increases from about 0.6 464 to a plateau beyond 30 W of 0.65; Xe yields the lowest average η_c increasing from 465 0.48 to 0.6. These trends can be explained by the previously-discussed result of 466 discharge temperature, which limits the energy conversion in the MN according 467 to the initial electron internal energy available from the production stage. The 468 MN performance is clearly driven by this thermal-to-kinetic energy conversion, 469 as η_d is near-constant for all three species, at around 0.65. At 50 W, η_{MN} is 470 0.64, 0.53 and 0.42 for krypton, iodine and xenon respectively. The larger power 471 losses for iodine and xenon are due to losses at the cone wall (heavier species 472 need stronger electric fields to turn their trajectories into the MN) and inelastic 473 collisions in the plume. 474

475 6. Spacecraft interactions (3D PIC)

The 3D PIC code is utilised to assess the far-field plume interactions with 476 spacecraft surfaces and determine spacecraft charging effects. Critically it can 477 also capture the effects of non-axisymmetric spacecraft (from the potentials on 478 those surfaces) on the plume dynamics. Fig. 10 shows the plume for REGULUS-479 50-Xe operating at 50 W within a 6U CubeSat $(200 \times 100 \times 300 \text{ mm})$, a realistic 480 mission configuration [54]. The spacecraft surface potential is assumed to begin 481 equal to ϕ_{∞} , thus lower than the bulk plasma potential. This causes ions which 482 have expanded beyond the confinement of the MN to reverse their flow direction 483 and impinge on the front surface. The domain is a cylinder of radius 300 mm 484 and length 600 mm, with origin 100 mm behind the thruster outlet. 485

The main concern is regarding iodine propellant and its potential corrosive 486 action on spacecraft surfaces. Fig. 11 plots the particle flux on the front face of 487 the 6U CubeSat for the three propellants. Based on these results, the highest 488 neutral and ion particle fluxes on the spacecraft are approximately 4.1×10^{18} 489 and $4.9 \times 10^{18} \text{ m}^{-2} \text{s}^{-1}$ respectively for iodine. Assuming all of the total incident 490 particles stick to the surface and a constant rate of deposition per the profiles 491 in Fig. 11, which is an extremely high conservative estimate, gives deposition 492 per unit area of approximately 0.32 mg/cm^2 over the standard REGULUS-50 493 3000 Ns operation duration (\sim 1500 hrs at 50 W). This is in-line with values 494 reported in [33] for iodine HETs. However, not all iodine particles hitting the 495

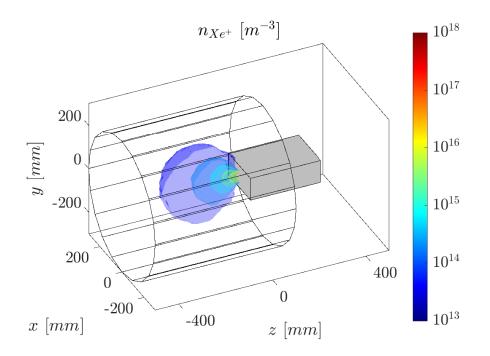


Figure 10: 3D contours of Xe ion density for REGULUS-50-Xe operating at $P_a = 50$ W in a 6U CubeSat. Plume has been cut in the y-plane for clarity. The computational domain is also shown.

⁴⁹⁶ surfaces will deposit or chemically react to the surface, given high vapor pressure ⁴⁹⁷ of iodine at the temperature of a spacecraft in orbit ($\gtrsim 500$ K). The portion of ⁴⁹⁸ particles that react to the surface will depend on the surface properties.

499 7. Conclusions

A numerical suite capable of simulating the propulsive performance and the 500 plasma dynamics in a cathode-less plasma thruster has been presented. It con-501 sists of a 0D Global Source Model (GSM) for plasma production in the source 502 tube, a 2D PIC code for the plasma expansion in the MN, and a 3D PIC code to 503 assess spacecraft interactions and contamination. The results of the GSM cou-504 pled to the 2D PIC have been benchmarked against thrust measurements of the 505 REGULUS-50 and REGULUS-150 laboratory prototypes. Overall, the model 506 is in good agreement with experiment measurements, showing that the addi-507 tional molecular iodine chemistry considered is quite reasonable and provides 508 an acceptable level of accuracy <30%. The established xenon model largely falls 509 within the experimental error for both thrusters. The model is therefore shown 510 to be able to quantitatively and qualitatively reproduce system behaviour for 511 variation in input power. 512

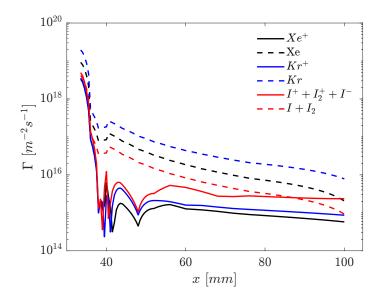


Figure 11: Total neutral and ion particle fluxes on the surface of the CubeSat for REGULUS-50 at $P_a = 50$ W as a function of

Analysis of the plasma source reveals that, at $P_a < 50$ W, iodine is most effi-513 cient in terms of production. Lower overall collisional and wall energy losses are 514 a result of the different collisional rate coefficients and inelastic energy thresh-515 olds for atomic and molecular iodine. Iodine can therefore have a lower average 516 energy cost per ion produced and discharged than xenon. At higher powers 517 however, xenon and krypton are superior. This is attributed to the mass utili-518 sation and subsequent inelastic collision losses in the source tube. In the MN, 519 krypton is found to be the most efficient in terms of thermal-to-kinetic energy 520 conversion. However, krypton's low mass means, despite this, its thrust effi-521 ciency is < 0.01, instead of 0.15 for xenon. Importantly, iodine was found to 522 have greater MN efficiency than xenon, producing thrust within 43\$, showing 523 it to be a viable low-cost alternative propellant. 524

Conservative estimates of iodine contamination on spacecraft surfaces yielded 525 deposition rates of 0.32 mg/cm^2 over the standard REGULUS-50 operational 526 life. How many particles react to or reflect off the surface will depend on the 527 surface properties of the spacecraft, which is the next step in future development 528 alongside plume-ambient plasma interactions [55]. Future work will also include 529 coupling the 3D fluid model [39] to the PIC, instead of the GSM, which includes 530 adding the capability for iodine chemistry in this solver. Finally, adding an RF 531 power deposition model (instead of the assumption of constant η_{RF} used here) 532 for the GSM will be explored. Lafleur et al. [32] have shown that the higher 533 534 elastic scattering cross-sections in iodine lead to a higher collision frequency that favours the transfer of power between the electromagnetic fields of the RF 535 antenna and the plasma. This may explain why the iodine model here generally 536

⁵³⁷ underestimated the experimental measures.

538 Acknowledgements

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543 Appendix A. Performance metrics

The thrust F produced by the thruster discharge is given by [27]

$$F = F_0 + \iiint_V -j_{e\theta} B_r \ dV. \tag{A.1}$$

This is the volumetric integral of the product of azimuthal electron current density $j_{e\theta} = -en_e u_{e\theta}$ (calculated from integrating the moments of the PIC distribution) and the radial magnetic field B_r added to the source exit thrust $F_{0} \approx 2n_{e0}k_BT_{e0}\pi \mathcal{R}^2$.

548 The thrust efficiency is then defined as

$$\eta_F = \frac{F^2}{2\dot{m}P_a} \tag{A.2}$$

The quality of the plasma production inside the source tube is measured with the mass utilisation efficiency and source production efficiency, which are, respectively,

$$\eta_u = \frac{\dot{m}_i}{\dot{m}}, \qquad \eta_s = \frac{P_*}{P_a} \tag{A.3}$$

The former is the percentage of propellent mass flow that is ionised; the latter is the ratio of the discharge exhaust power to the power absorbed from the RF antenna. The absorbed power is distributed via the exhaust, inelastic collisions and wall losses. Therefore, the source inefficiencies are

$$\varepsilon_{inel} = \frac{P_{inel}}{P_a}, \qquad \varepsilon_w = \frac{P_{wall}}{P_a}$$
(A.4)

which correspond to inelastic collision and wall losses. The performance of the magnetic nozzle is represented by the energy conversion and divergence efficiencies,

$$\eta_c = \frac{P_{iS}}{P_*}, \qquad \eta_d = \frac{P_{iS}^{(z)}}{P_{iS}}$$
 (A.5)

which are the ratio of plume ion kinetic power to source tube exhaust power, and the percentage of that kinetic power in the axial direction respectively. The total MN efficiency is then $\eta_{MN} \approx \eta_c \eta_d$ and thrust efficiency is then given by $\eta_F \approx \eta_u \eta_s \eta_c \eta_d$ [35].

Parameter/value	Thrust deviation [%]*
Bohm coefficient $\alpha_{\mathbf{B}}$	
1/100	0
$\overline{1/64}$	-4.5
1/32	-20.0
1/16	-21.3
Background pressure [Pa]	
	0
$\frac{10^{-5}}{10^{-4}}$	-0.1
10^{-3}	-1.32
10^{-2}	-13.63
SEE model	
100% absorption	-4.8
h-BN	0
90% secondary emission	+4.0
*From reference value (underli	ned) $\left(F - F_{ref}\right) / F_{ref}$

Table B.4: Thrust sensitivity analysis (REGULUS-150-Xe at $P_a = 150$ W)

563 Appendix B. Sensitivity

There are several significant inputs to the PIC model that can greatly affect the plasma transport and resultant thrust. These are mainly: (i) the anomalous Bohm coefficient α_B ; (ii) the background neutral density; and (iii) the secondary electron emission (SEE) coefficients/probabilities. While an informed choice can be made on these parameters (from empirical models, experimental background density measures or h-BN SEE models respectively), it is important to understand the sensitivity of their value on the experimental agreement.

Table B1 provides the thrust deviation from defined reference values for a 571 single case of REGULUS-150-Xe at $P_a = 150$ W. Regarding α_B , there is a clear 572 transition point between 1/64 and 1/32 where the thrust loss becomes > 20%. 573 This supports the presence of a critical hall parameter $\omega_{ce}/(\nu_B + \nu_e)$ required for 574 adequate MN confinement [28]. Background pressure begins to have significant 575 effect at $> 10^{-3}$ Pa, where > 13% deficit can be attributed to inelastic collision 576 losses and reduced divergence efficiency from ion scattering. Finally, the SEE 577 coefficients of Eqs. 8-11 were overridden to force 100% absorption and 90% sec-578 ondary emission; this resulted in -4.8 and +4.0% thrust respectively compared 579 to the empirical model of h-BN. Reduced electron absorption represents reduced 580 wall power losses, while increased SEE will also cool the bulk plasma. 581

Provided that the choice of α_B is critical for the thrust estimate, it is worth further justifying the assumptions done in Section 3 regarding the value of this parameter. Under the theory of ion trapping saturation, the fluctuations in the azimuthal electric field and charged particle densities—that cause anomalous ⁵⁸⁶ transport—propagate in the $\mathbf{E} \times \mathbf{B}$ direction with a velocity close to the ion ⁵⁸⁷ sound speed [56]. It may then be postulated that the equivalent Bohm-like ⁵⁸⁸ collisionality scales with the plasma wave energy and associated instability fre-⁵⁸⁹ quency; that is $\alpha_B \propto \omega_{pi}/2\pi\sqrt{3}\omega_{ce}$ [56], where $\omega_{pi} = \sqrt{n_i e^2/\epsilon_0 m_i}$ is the ion ⁵⁹⁰ plasma frequency. With pre-known PIC injection parameters at the inlet, the ⁵⁹¹ scaling was performed with ω_{pi*} and ω_{ce*} .

Fig. B.1 illustrates this scaling for REGULUS-150-Xe, where the propor-592 tionality coefficient was selected to not exceed the fully-turbulent limit of 1/16593 at high power. The sensitivity to α_B is further shown via the thrust curves for 594 values of 1/100 and 1/16. For $P_{in} < 100$ W, $\alpha_B = 1/100$ clearly has the better 595 agreement, remaining within the 25% error of measurements. However, up to 596 185 W, the thrust is overestimated by 48% and a value of 1/16 finds suitable 597 agreement up to 150 W. Further work is necessary to establish a self-consistent 598 model for anomalous collisionality. 599

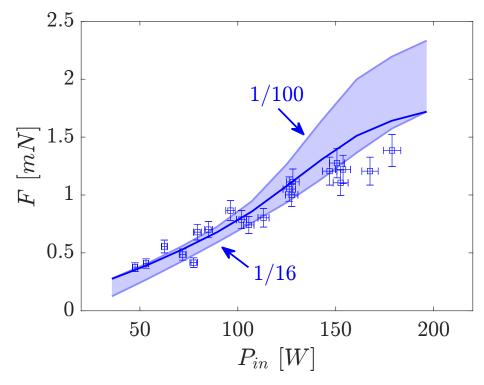


Figure B.1: Sensitivity of thrust to the Bohm coefficient for REGULUS-150-Xe.

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