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Pilot study on annual horse movements by air and the possible effect of the COVID-19 pandemic

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26 Abstract

27 There is a lack of information on the number of horses shipped globally by air annually, the purpose of air travel and the routes of their journeys. This pilot study aimed to collect 28 29 retrospective data on the international movements of horses by air from 2018 to 2021, describe 30 their routes, and identify the possible effects of the coronavirus SARS-CoV-2 (COVID-19) pandemic. Equine transport data was gathered from 7/15 international shipping companies 31 32 (ISCs) and 5/8 airlines contacted by email. The seven ISCs performed a median of 10,401 33 horse movements annually, ranging from a few hundred to several thousand movements per 34 company, most frequently in Europe (Western and Northern Europe), Middle East/Africa 35 (Middle East, Southern Africa), Asia Pacific (Australia), and the Americas (North and South 36 America). The five airlines performed a median of 10,656 horse movements annually, 37 importing and exporting horses to and from Europe, North America, Australasia, and the 38 Middle East. For all but one airline, the number of horse movements decreased in 2020. The 39 number and journey characteristics of horses transported by air require further scientific studies 40 focused on the epidemiological and welfare risks unique to this type of transport to enable the 41 development and implementation of best practices and regulations based on objective evidence. 42

43 *Keywords:* air transport; movements; COVID-19; horse; routes

45 **1. Introduction**

After humans, horses are the species that travel the most by air [1] for various reasons, 46 47 including breeding, equestrian competitions, racing or sales transactions [2, 3]. Horse 48 movement, even with quarantine, is a biosecurity risk [1]. For example, the 2007 Australian equine influenza outbreak occurred when an infected stallion entered quarantine, and the virus 49 50 escaped, most likely via human error. Air transportation of horses also increases their risk of 51 poor health and welfare [4]. The most reported health events associated with air transport are body weight loss, dehydration, leucocytosis, and respiratory and gastroenteric disorders [5]. 52 53 54 The collation of basic information for each horse movement event (e.g., number of 55 annual horse air movements and air routes) is an essential first step in understanding the

biosecurity and welfare risks associated with air travel. However, information on the number of
horses moved by air annually and air routes are not publicly shared [6, 7]. Moreover, it is
unclear whether the coronavirus SARS-CoV-2 (COVID-19) pandemic affected the equine air
transport industry, as reported in other transportation sectors [8]. Our study aimed primarily to
collect the number of horse movements yearly between 1 January 2018 and 31 December 2021
and the traveled routes, and secondarily to detect possible effects of the pandemic.

62

63 **2. Material and methods**

64 2.1 Data collection

In 2022 one of the authors (BP), a member of the Animal Transportation Association (ATA), asked ATA for the contact list of its members involved in the movements of horses by air. After receiving the contact list, the researchers emailed all these members, namely international shipping companies (ISCs) and airlines transporting equids, to collect details on the number of horses moved annually by air. ISCs and airlines were contacted several times by the researchers to obtain the precise number of horses moved. Despite this, ISCs and airlines

71	could not provide precise data on horse numbers since they do not record data based on the
72	horse's identification but as "horse movement". It was consequently agreed with both ISCs and
73	airlines that they would share the total number of 'horse movements' (i.e., single (one-way)
74	movement from one location to another) performed each year from 2018 to 2021. Seven of the
75	fifteen ISCs and five of the eight airlines agreed to provide data on horse movements and
76	traveled routes. Companies that declined did so because they deemed these data commercially
77	sensitive. The companies that provided data gave it in an aggregated form. Aggregation made it
78	impossible to determine how many times an individual horse flew or to combine data from
79	ISCs and airlines to produce a single list of horse movement events. The ISCs are hired to
80	ensure the logistics of the movement and will work with different airlines depending on the
81	availability of routes. Therefore, data from ISCs and airlines are presented separately.
82	
83	2.2 Data analysis
84	Descriptive statistics were performed using Statulator® [9], while the Kruskal-Wallis
85	test was used to evaluate the effect of "pre/post-COVID years" (2018/2019 vs. 2020/2021) on
05	test was used to evaluate the effect of pre/post-COVID years (2010/2017) vs. 2020/2021) of
86	the horse movements [10].
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because we obtained data from only some of the ISCs or airlines that transport horses by air. It
is worth noting that many companies refused to provide data, and others agreed only to provide
it in aggregate form. This manner offers privacy to both industry members and horse owners.

101 The ISCs data reported the highest number of movement events in 2018 and 2019 102 (Table 2). The number reduction coincided with the 2020 start of the global COVID-19 103 pandemic. Interestingly, the drop in horse movements was only observed for the median values 104 and without demonstrable statistical significance in both ISCs and airlines data set (Figure 2). 105 The years with the highest number of movements in the airlines' data set were 2019 and 2021 106 (Table 2). The unexpected finding in the airlines' data can be explained by a single company 107 that managed to increase the number of horse movements year-on-year despite the global 108 COVID-19 pandemic. Whether this can be attributed to its position on routes less impacted by 109 the pandemic, the company's ability to pivot, chance, or a combination of all three is unclear. 110 The other airlines reported fewer horse movements in 2020, with the number increasing in 111 2021.

112

The reduction in horse movements in 2020 can be linked to several pandemic-related factors. Firstly, the movement of horses was reduced because of restrictions on racing, equestrian activities, and tourist activities [11]. Secondly, ISCs had to contend with a reduction in the workforce because flight grooms had to comply with quarantine periods, often at both ends of the trip [11]. Thirdly, the cost of transporting horses increased to accommodate increased spacing requirements [12].

119

120 In the literature, Europe, the Middle East, and North America are the regions reported 121 as being most frequently involved in equine horse transportation by air [13, 14]. However, in 122 our study, Central and South America, Southern Africa, and Australasia were substantial participants in the horse air transport business, making it a global transport practice. For this
reason, horse air transport may constitute an important epidemiological risk for international
disease transmission [1, 15] and a hazard for horse welfare [5].

126

127 One of the significant limitations of the present pilot study is that only some global 128 ISCs and airlines could be contacted. Of those contactable, only some agreed to share their 129 aggregated data. Consequently, the yearly number of horse movements is likely an 130 underestimate. Moreover, the precise number of horses moved yearly remained unknown 131 because both ISCs and airlines count the single horse movements from one location to another, 132 so a horse traveling two ways or more times in a year on different routes may have been 133 counted multiple times in our dataset. Notwithstanding these limitations, this study has 134 increased our knowledge of the number of horse movements performed by air annually, their 135 routes and how the industry was affected by the pandemic. Furthermore, based on current 136 industry approaches, air travel data collection markedly increases the difficulty of determining 137 how many horses travel by air worldwide annually.

138

139 **4.** Conclusion

140 Over the study period, approximately 10,000 horse air movements were documented 141 annually, with a reduction in horse air movements in 2020 attributed to several COVID-19 142 pandemic-related factors. The number of horse air movements is underestimated, and both this 143 number and the travel routes information were obtained from a limited sample of participating 144 shipping and air companies. The present study highlights the difficulty of estimating the 145 number of horses and horse movement events in a year and the details of their journeys. It is, 146 therefore, crucial that air transport industry members collaborate with scientists and competent 147 authorities to provide data to underpin studies on horse air transport.

149	Conflict of interest statement
150	None of the authors of this paper has a financial or personal relationship with other
151	people or organizations that could inappropriately influence or bias the paper's content.
152	
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157	Bloodstock Ltd, Overseas Horse Services Ltd, Qatar Airways Cargo, and T&T Corporation.
158	
159	Ethical Animal Research
160	This research study has been approved by the University of Bologna Animal Ethics
161	Committee (approval n° 118016).
162	
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165	
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- **Table 1.** Regions, sub-regions, and countries involved in the ISCs' horse air movements during
- the study period.

Regions	Subregions	Countries				
Europe	Northern Europe, Western	UK, France, Belgium, The Netherlands,				
	Europe, Eastern Europe	Luxemburg, Germany, Russia				
Middle East/Africa	Middle East, Northern	Tunisia, Libya, Egypt, Senegal, Burkina Faso,				
	Africa, Western Africa,	Congo, Democratic Republic of Congo, Kenia,				
	Middle Africa, Eastern	South Africa, Madagascar, Turkey, Israel, Jordar				
	Africa, Southern Africa	Lebanon, Syria, Saudi Arabia, Kuwait, Bahrain,				
		Qatar, United Arab Emirates, Georgia, Azerbaija				
Asia Pacific	Central Asia, Eastern Asia,	Uzbekistan, India, Pakistan, Sri Lanka, Thailandi				
	Southern Asia, Southeast	Singapore, Indonesia, Taiwan, China, South Kore				
	Asia, Eastern Asia, Australia,	Japan, Malaysia, Macau				
	and New Zealand					
Americas	North America, Central	Canada, USA, Mexico, Cuba, Guatemala, Costa				
	America, South America	Rica, Venezuela, Colombia, Ecuador, Perù, Braz				
		Chile, Argentina				

241 Table 2. Descriptive statistics of the number of noise movement events reported by seven	241	Table 2. Descriptive statistics of the number of horse movement events reported by sev	<i>v</i> en
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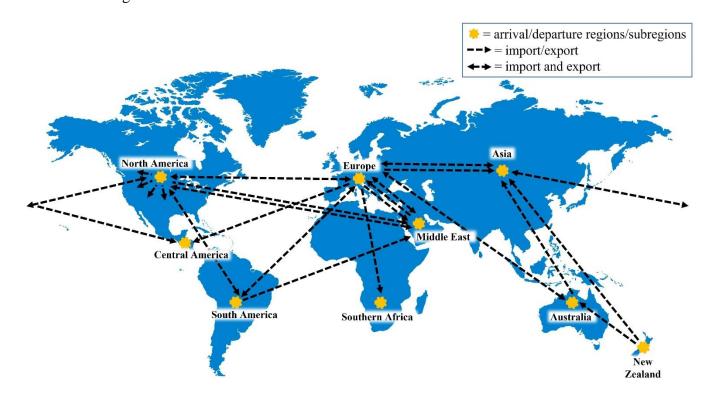
- international shipping companies (ISCs) and five airlines from 2018 to 2021.
- 243

		ISCs			Airlines				
	Year	Total	Median	IQR	Min-max	Total	Median	IQR	Min-Max
	2018	12048	1045	390-2489	88-5159	8868	1900	748-2500	748-2700
	2019	11584	1046	447-2219	50-5157	12147	2500	1264-2913	1264-3000
	2020	9217	961	86-2003	34-4044	9165	1041	430-1400	430-5500
	2021	8399	636	198-1635	59-4039	14596	1418	850-2928	850-8500
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260 Figure legend

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Fig 1. Routes of horse movements by air. Yellow dots indicate the regions of arrival and departure of horse air travel from 2018 to 2021. Dashed lines with one arrow indicate that the route is unidirectional. Dashed lines with two arrows indicate that the route is bidirectional, to and from that region.



266

Fig 2. Box plot showing the effect of pre-COVID years (2018-2019) *versus* post-COVID years (2020-2021) on the horse movements reported by seven International Shipping Companies (ISCs) (a.) and five airlines (b.). The black line within the boxplots represents the median value of horse movements recorded respectively for pre-COVID (left) and post-COVID years (right). The two vertical lines represent the first (lower line) and third (upper line) quartiles.

