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# Plug-and-Play gradient-based denoisers applied to CT image enhancement

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#### Abstract

Blur and noise corrupting Computed Tomography (CT) images can hide or distort small but important details, negatively affecting the consequent diagnosis. In this paper, we present a novel gradient-based Plug-and-Play (PnP) algorithm and we apply it to restore CT images. The plugged denoiser is implemented as a deep Convolutional Neural Network (CNN) trained on the gradient domain (and not on the image one, as in state-of-the-art works) and it induces an external prior onto the restoration model. We further consider a hybrid scheme which combines the gradient-based external denoiser with an internal one, obtained from the Total Variation functional. The proposed frameworks rely on the Half-Quadratic Splitting scheme and we prove a general fixed-point convergence theorem, under weak assumptions on both the denoisers. The experiments confirm the effectiveness of the proposed gradient-based approach in restoring blurred noisy CT images, both in simulated and real medical settings. The obtained performances outperform the achievements of many state-of-the-art methods.

*Keywords:* Deblur and denoise, Plug-and-Play, gradient-based regularization, external-internal image priors, CNN denoisers, Computed Tomography imaging.

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### 1 1. Introduction

In the field of computational imaging, Image Restoration (IR) aims at 2 recovering an unknown clean image from its noisy and/or blurred measure-3 ment. In Computed Tomography (CT) the presence of blur and noise reduces diagnostic accuracy, hiding or distorting some small but important objects 5 in the reconstructed image. There are different hardware sources of error which cause blur, such as the finite X-ray focal spot size or the spreading 7 effect in the scintillator in Cone Bean Computed Tomography [1]. Moreover, quantum noise creating random variations in the attenuation coefficients of 9 X-rays, represents the main contribution to the total noise in CT images. 10 Many statistical analysis have shown that the image noise generated by CT 11 scanner can be regarded as normally distributed [2, 3]. Since it is very diffi-12 cult to avoid these effects by hardware techniques, the software approach is 13 fundamental and several algorithms have been proposed to reduce the blur-14 ring and noise artifacts in the CT images. Examples of restoration algorithms 15 for CT images acquired with different geometries can be found in [4, 5, 6, 7]16 and references therein. 17

<sup>18</sup> Mathematically, by lexicographically reordering the images as vectors, a <sup>19</sup> generic IR task can be written as the following inverse problem:

find 
$$\mathbf{u}$$
 such that  $\mathbf{v} = \mathbf{A}\mathbf{u} + \mathbf{e},$  (1)

where  $\mathbf{v} \in \mathbb{R}^n$  is the given image,  $\mathbf{u} \in \mathbb{R}^n$  is the unknown desired image and A  $\in \mathbb{R}^{n \times n}$  is the forward linear operator defining the IR specific task. The observed image  $\mathbf{v}$  is usually affected by noise  $\mathbf{e} \in \mathbb{R}^n$ , which we assume in this work as Additive White Gaussian Noise (AWGN).

In general, IR problems as (1) are well-known to be ill-posed, meaning that the properties of existence, uniqueness and stability of the desired solution **u** are not all guaranteed [8]. Hence, model-based reconstruction methods attempt to find a good estimate  $\mathbf{u}^* \in \mathbb{R}^n$  as the solution of a minimization problem whose objective function is the sum of two terms f and g, namely:

$$\mathbf{u}^* \in \operatorname*{arg\,min}_{\mathbf{u} \in \mathbb{R}^n} \left\{ f(\mathbf{u}) + g(\mathbf{u}) \right\}.$$
(2)

The functions f and g are usually referred to as *data fidelity* and *regularization* terms, respectively. The former is a task-related term which models the <sup>31</sup> noise affecting the starting measurement  $\mathbf{v}$ , whereas the latter induces prior <sup>32</sup> information on the estimate  $\mathbf{u}^*$  by reflecting, for example, sparsity patterns, <sup>33</sup> smoothness or geometric assumptions. Often, f is set as an L<sub>p</sub>-norm based <sup>34</sup> function measuring the residual between  $\mathbf{Au}$  and  $\mathbf{v}$ , with p strictly related <sup>35</sup> to noise statistics. It is well-known that a squared L<sub>2</sub>-norm fidelity fits with <sup>36</sup> the previous assumption of AWGN affecting the measurement  $\mathbf{v}$ .

The choice of a regularizer is a crucial task in this model-based approach. A widely used strategy is to define g as a handcrafted term based on desired properties of the reconstructed image in a specific domain, such as the gradient or the wavelet domain which have already demonstrated to be effective in medical imaging. In particular, the Total Variation (TV) [9] is largely employed in the IR field for its effectiveness in removing noise and preserving curved contours of the objects [10, 11, 12].

A recent new frontier in the image processing field is represented by the 44 Plug-and-Play (PnP) framework, firstly proposed in [13], where the authors 45 strikingly showed that a closed-form regularizer may not be the best pos-46 sible choice to properly induce prior information on the desired solution. 47 Technically, the PnP approach derives from the iterative scheme of proxi-48 mal algorithms, applied to solve regularized optimization problems as (2), 49 whose resulting modular structure allows to deal with the data fidelity f and 50 the regularization term g, separately. Here, in fact, the sub-step involving 51 q reads as a denoising problem, thus it can be replaced by any off-the-shelf 52 denoiser and the computed solution inherits prior information which does 53 not necessarily derive from a closed-form regularization term. 54

So far, a large number of papers on PnP have been published ana-55 lyzing different aspects of the scheme, such as the proximal algorithm or 56 the included denoiser. In particular, the considered proximal algorithms 57 are the Alternating Direction Method of Multipliers (ADMM), the Half-58 Quadratic Splitting (HQS) or the Fast Iterative Shrinkage-Thresholding Al-59 gorithm (FISTA) [13, 14, 15, 16]. In the last few years, PnP methods have 60 been analyzed both in the consensus equilibrium (CE) approach and in the 61 learning to optimize (L2O) framework [17, 18]. 62

Focusing on the choice of the plugged denoiser, several proposals have already been successfully tested and they are usually labelled as internal or external denoisers [19]. Internal denoisers are tailored to define features onto the observed data and they thus induce *internal priors* onto the restored images. As consequence, they struggle to deal with several different image features simultaneously. Examples are the proximal maps of handcrafted regulariz-

ers, the BM3D [20] and the Non-Local Mean (NLM) filter [21]. External 69 denoisers are related to an outer set of clean images, so they can fail when 70 dealing with unseen noise variance and image patterns. They induce *external* 71 priors on the IR model. Early studies made use of Gaussian Mixture Models 72 (GMMs) [22] and trained nonlinear reaction diffusion based denoisers [23] as 73 external denoisers. Since nowadays deep learning based priors lead to out-74 standing performances for denoising images [24, 25], PnP frameworks are also 75 equipped with pre-trained Convolutional Neural Network (CNN) denoisers 76 in works such as [15, 26, 27]. 77

The aforementioned approaches exploit either external or internal denoisers; very recently some generalizations to handle multiple internal and/or external denoisers have been proposed in [28, 29].

# 81 Motivation and contributions of the paper

Nowadays X-rays CT systems are designed to acquire images of almost 82 every part of the human body. As a result, tomographic images are quite 83 different from each other and they may contain several objects of various 84 size, shape, and contrast with respect to the background. Moreover, the 85 objective of the imaging task can be to identify one object, would it be small 86 and contrasted as a breast microcalcification, a low-contrast tumoral mass, 87 a larger bone with neat edges or a very thin vessel. In some cases, it is also 88 necessary to subsequently segment the object or an area of interest in the 80 restored image, to help the doctors. 90

It is well known that priors defined on the gradient domain may enhance medical image reconstructions both in terms of shape recovering and noise removal [30, 12]. Interesting, very few works have so far exploited the PnP scheme to restore CT images [31, 32] and, among the wide literature of PnP, the embedded deep learning based denoisers have always considered only the image space.

This work proposes a PnP framework specifying a gradient-based CNN 97 prior, to solve the different restoration tasks which typically occur in CT 98 medical imaging through CNN networks trained to restore the corrupted 99 image gradients. Moreover, motivated by the apparent complementarity of 100 external and internal denoisers, we also propose a hybrid PnP scheme com-101 bining the Total Variation and our CNN-based denoiser. The considered 102 PnP frameworks rely on the Half-Quadratic Splitting algorithm: we derive 103 a fixed point convergence proof upon weak assumptions on the considered 104 denoisers. 105

We test the methods to restore blurred and noisy synthetic and real CT images. The performances of our proposals are validated through comparisons with other state-of-the-art PnP methods exploiting different denoisers. The numerical results provide very high quality reconstructions and confirm the robustness of the proposed gradient-based frameworks both in restoring different objects of CT images and in removing noise.

#### <sup>112</sup> Organization of the paper

In this paper, we present in Section 2 the proposed PnP methods together with some implementation choices for the considered denoisers. In Section 3 we report and analyse the numerical results. Finally, in Section 4, we conclude the paper with a brief discussion. In Appendix A we report a fixed-point convergence theorem for the proposed schemes and its proof.

### 118 2. Proposed Plug-and-Play methods

We describe here the proposed algorithm for the solution of problem (2) in the wider case of two priors.

<sup>121</sup> Due to the previous assumption of AWGN affecting the measurement  $\mathbf{v}$ , <sup>122</sup> we fix the fidelity term as  $f(\mathbf{u}) := \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_2^2$ . As regularizer, we consider <sup>123</sup> a general setting defining g as the sum of two terms  $g_1$  and  $g_2$ , weighted <sup>124</sup> by the nonnegative parameters  $\lambda$  and  $\eta$ , respectively. We state the problem <sup>125</sup> assuming that  $g_1$  and  $g_2$  act on the unknown image  $\mathbf{u}$  by means of operators <sup>126</sup>  $\mathbf{L}_1$  and  $\mathbf{L}_2$ , respectively. In this case, the minimization problem (2) reads:

$$\mathbf{u}^* \in \operatorname*{arg\,min}_{\mathbf{u}\in\mathbb{R}^n} \left\{ \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_2^2 + \lambda g_1(\mathbf{L}_1\mathbf{u}) + \eta g_2(\mathbf{L}_2\mathbf{u}) \right\}.$$
(3)

where we assume  $g_1$  and  $g_2$  positive and convex real-valued maps:

$$g_1: \mathbb{R}^{l_1} \to \mathbb{R}^+, \quad g_2: \mathbb{R}^{l_2} \to \mathbb{R}^+,$$
 (4)

with  $l_1$  and  $l_2$  positive integers,  $\mathbf{L}_1 \in \mathbb{R}^{l_1 \times n}$  and  $\mathbf{L}_2 \in \mathbb{R}^{l_2 \times n}$ .

We now consider the HQS iterative method described in [33, 34] as numerical solver to compute  $\mathbf{u}^*$ . By introducing the auxiliary variables  $\mathbf{t} \in \mathbb{R}^{l_1}$ and  $\mathbf{z} \in \mathbb{R}^{l_2}$  subject to  $\mathbf{t} := \mathbf{L}_1 \mathbf{u}$  and  $\mathbf{z} := \mathbf{L}_2 \mathbf{u}$ , the following penalized half-quadratic function is taken into account:

$$\mathcal{L}(\mathbf{u}, \mathbf{t}, \mathbf{z}; \boldsymbol{\rho}^{\mathbf{t}}, \boldsymbol{\rho}^{\mathbf{z}}) := \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_{2}^{2} + \lambda g_{1}(\mathbf{t}) + \eta g_{2}(\mathbf{z}) + \frac{\boldsymbol{\rho}^{\mathbf{t}}}{2} \|\mathbf{L}_{1}\mathbf{u} - \mathbf{t}\|_{2}^{2} + \frac{\boldsymbol{\rho}^{\mathbf{z}}}{2} \|\mathbf{L}_{2}\mathbf{u} - \mathbf{z}\|_{2}^{2}.$$
(5)

At each iteration k, the HQS algorithm performs this alternated minimization scheme with respect to  $\mathbf{t}$ ,  $\mathbf{z}$  and the primal variable  $\mathbf{u}$ :

$$\begin{aligned} \mathbf{t}_{k+1} &\in \underset{\mathbf{t} \in \mathbb{R}^{l_1}}{\operatorname{arg\,min}} \ \lambda g_1(\mathbf{t}) + \frac{\rho_k^{\mathbf{t}}}{2} \| \mathbf{L}_1 \mathbf{u}_k - \mathbf{t} \|_2^2 \end{aligned}$$

$$(6)$$

$$\left\{ \mathbf{z}_{k+1} \in \underset{\mathbf{z} \in \mathbb{R}^{l_2}}{\operatorname{arg\,min}} \eta g_2(\mathbf{z}) + \frac{\rho_k^{\mathbf{z}}}{2} \| \mathbf{L}_2 \mathbf{u}_k - \mathbf{z} \|_2^2 \right.$$
(7)

$$\mathbf{u}_{k+1} = \underset{\mathbf{u}\in\mathbb{R}^n}{\arg\min} \ \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_2^2 + \frac{\rho_k^{\mathbf{t}}}{2} \|\mathbf{L}_1\mathbf{u} - \mathbf{t}_{k+1}\|_2^2 + \frac{\rho_k^{\mathbf{z}}}{2} \|\mathbf{L}_2\mathbf{u} - \mathbf{z}_{k+1}\|_2^2,$$
(8)

where  $(\rho_k^{\mathbf{t}})_{k=1}^{\infty}$  and  $(\rho_k^{\mathbf{z}})_{k=1}^{\infty}$  are two non-decreasing sequences of positive penalty parameters. The key feature of HQS is that the prior related substeps (6) and (7) are specified through the proximal maps of  $g_1$  and  $g_2$ , respectively, which are mathematically equivalent to regularized denoising problems. The PnP framework exploits both this equivalence and the modular structure of the algorithm by replacing such proximal maps with any off-the-shelf denoiser.

To define the hybrid PnP scheme, we introduce a pre-trained learning-142 based denoiser  $\mathcal{D}_{\sigma}^{\text{ext}}$  and an image-specific denoiser  $\mathcal{D}_{\gamma}^{\text{int}}$ . These denoisers 143 depend on the positive parameters  $\sigma$  and  $\gamma$  which are related to the noise-144 level in the images to denoise, so that the greater  $\sigma$  and  $\gamma$ , the stronger the 145 denoising effect is. In particular, in our scheme we choose two sequences 146  $(\sigma_k)_{k=1}^{+\infty}$  and  $(\gamma_k)_{k=1}^{+\infty}$  such that, at step k,  $\mathcal{D}_{\sigma_k}^{\text{ext}}$  and  $\mathcal{D}_{\gamma_k}^{\text{int}}$  replace the sub-steps (6) and (7), respectively. A standard assumption in PnP is that  $\sigma_k$  and  $\gamma_k$  are 147 148 both related with the penalty parameters  $\rho_k^{\mathbf{t}}$  and  $\rho_k^{\mathbf{z}}$  through these formulas: 149 150

$$\sigma_k := \sqrt{\frac{\alpha}{\rho_k^{\mathbf{t}}}}, \quad \gamma_k := \sqrt{\frac{\beta}{\rho_k^{\mathbf{z}}}}, \tag{9}$$

where  $\alpha$  and  $\beta$  are chosen positive scaling factors. A sketch of the resulting hybrid PnP framework is reported in Algorithm 1.

#### Algorithm 1 Hybrid PnP HQS scheme

Input:  $\alpha$ ,  $\beta$  and  $(\rho_k^t)_{k=1}^{\infty}$ ,  $(\rho_k^z)_{k=1}^{\infty}$ ,  $\mathbf{A}$ ,  $\mathbf{L}_1$ ,  $\mathbf{L}_2$ ,  $\mathbf{v}$ ,  $\mathbf{u}_1$ , K. for  $\mathbf{k} = 1 \dots K$  do  $\mathbf{t}_{k+1} = \mathcal{D}_{\sigma_k}^{\text{ext}}(\mathbf{L}_1 \mathbf{u}_k)$  $\mathbf{z}_{k+1} = \mathcal{D}_{\gamma_k}^{\text{int}}(\mathbf{L}_2 \mathbf{u}_k)$  $\mathbf{u}_{k+1} = \underset{\mathbf{u} \in \mathbb{R}^n}{\min} \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_2^2 + \frac{\rho_k^t}{2} \|\mathbf{L}_1\mathbf{u} - \mathbf{t}_{k+1}\|_2^2 + \frac{\rho_k^z}{2} \|\mathbf{L}_2\mathbf{u} - \mathbf{z}_{k+1}\|_2^2$ end for

<sup>153</sup> We remark that under some quite general assumptions on the denoisers <sup>154</sup> and on the sequences  $\rho_k^{\mathbf{t}}$  and  $\rho_k^{\mathbf{z}}$ , the iterates defined in Algorithm 1 converge <sup>155</sup> to a fixed-point  $(u^*, t^*, z^*)$ . In the Appendix A, an in-depth discussion on <sup>156</sup> the hypothesis and the fixed-point convergence theorem are reported.

As regards the choice of the external denoiser, due to the state-of-the-art 157 performances in denoising tasks reached by deep learning strategies [24, 25]. 158 we embed a deep CNN denoiser  $\mathcal{D}_{\sigma}^{\text{CNN}}$  as  $\mathcal{D}_{\sigma}^{\text{ext}}$ . Previous studies have already 159 successfully inspected a CNN-based PnP [15, 27] whose CNN denoisers act 160 directly only on the image-domain. Conversely, our denoiser acts on the 161 image through an operator  $\mathbf{L}_1$ , which we set equal to the discrete gradient 162  $\mathbf{D} = (\mathbf{D}_h; \mathbf{D}_v)$ , where  $\mathbf{D}_h, \mathbf{D}_v \in \mathbb{R}^{n \times n}$  are the finite differences discretization 163 of first order derivative operators along the horizontal and vertical axes, 164 respectively. To investigate the effectiveness provided by the proposed learnt 165 gradient-based prior, we consider the case where only the external denoiser 166 is plugged in (thus excluding the internal prior): we label this scheme as 167 GCNN. We will explain in 2.1 how we have implemented the action of the 168 CNN with respect to the choice of the operator  $L_1$ . The general scheme of 169 GCNN is in Algorithm 2. 170

# Algorithm 2 GCNN.

171

Input:  $\alpha$  and  $(\rho_k^t)_{k=1}^{\infty}$ ,  $\mathbf{A}$ ,  $\mathbf{v}$ ,  $\mathbf{u}_1$ , K. for  $\mathbf{k} = 1 \dots K$  do  $\mathbf{t}_{k+1} = \mathcal{D}_{\sigma_k}^{\text{CNN}}(\mathbf{D}\mathbf{u}_k)$  $\mathbf{u}_{k+1} = \operatorname*{arg\,min}_{\mathbf{u}} \left\{ \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_2^2 + \frac{\rho_k^t}{2} \|\mathbf{D}\mathbf{u} - \mathbf{t}_{k+1}\|_2^2 \right\}$ end for

We fix as internal denoiser a scheme based on the Total Variation (TV)

<sup>172</sup> [9]. The properties of edge preserving and noise suppressing of the TV in <sup>173</sup> many image processing applications are well-established. The TV function <sup>174</sup> is defined as:

$$TV(\mathbf{u}) := \sum_{i=1}^{n} ||(\mathbf{D}\mathbf{u})_{i}||_{2} = \sum_{i=1}^{n} \left( \sqrt{(\mathbf{D}_{h}\mathbf{u})_{i}^{2} + (\mathbf{D}_{v}\mathbf{u})_{i}^{2}} \right),$$
(10)

where  $(\mathbf{D}\mathbf{u})_i := ((\mathbf{D}_h\mathbf{u})_i, (\mathbf{D}_v\mathbf{u})_i) \in \mathbb{R}^2$ , for  $i = 1 \dots n$  denotes the discrete image gradient computed at pixel *i* along the horizontal and vertical axes, separately. Hence, the function  $g_2$  in (3) is set as:

$$g_2: \quad \mathbb{R}^{2 \times n} \to \mathbb{R}$$
$$\mathbf{x} \to \sum_{i=1}^n \|\mathbf{x}_i\|_2 \quad \text{with} \quad \mathbf{x}_i \in \mathbb{R}^2, \tag{11}$$

assuming  $\mathbf{L}_2 = \mathbf{D}$ . We remark that, in Algorithm 1,  $\mathcal{D}_{\gamma_k}^{\text{int}}$  is the proximal map of  $g_2$  with parameter  $\gamma_k^2 = \frac{\eta}{\rho_k^2}$ .

The method obtained with the described choices of CNN as external denoiser and TV functional as internal denoiser is reported in Algorithm 3. In the following, we will denote it as GCNN-TV.

Algorithm 3 GCNN-TV.

Input:  $\alpha$ ,  $\beta$  and  $(\rho_k^{\mathbf{t}})_{k=1}^{\infty}$ ,  $(\rho_k^{\mathbf{z}})_{k=1}^{\infty}$ ,  $\mathbf{A}$ ,  $\mathbf{v}$ ,  $\mathbf{u}_1$ , K. for  $\mathbf{k} = 1 \dots K$  do  $\mathbf{t}_{k+1} = \mathcal{D}_{\sigma_k}^{\text{CNN}}(\mathbf{D}\mathbf{u}_k)$  $\mathbf{z}_{k+1} = \operatorname{prox}_{g_2}(\mathbf{D}\mathbf{u}_k)$  $\mathbf{u}_{k+1} = \operatorname*{arg\,min}_{\mathbf{u}\in\mathbb{R}^n} \frac{1}{2} \|\mathbf{A}\mathbf{u} - \mathbf{v}\|_2^2 + \frac{\rho_k^{\mathbf{t}}}{2} \|\mathbf{D}\mathbf{u} - \mathbf{t}_{k+1}\|_2^2 + \frac{\rho_k^{\mathbf{z}}}{2} \|\mathbf{D}\mathbf{u} - \mathbf{z}_{k+1}\|_2^2$ end for

By the way, we remark that when  $\mathbf{L}_1 = \mathbf{I}$ , Algorithm 2 is equivalent to the approach proposed in [15] and denoted as ICNN in the following, whereas we label ICNN-TV the algorithm obtained by adding the TV internal prior to ICNN (following the pattern of Algorithm 3).

184 2.1. Implementation notes

We now refer to particular implementation choices when the proposed algorithms are applied to image deblurring, as considered in our numerical experiments. Here, we refer GCNN-TV and ICNN-TV algorithms. At each iteration k, the minimization problem on the primal variable **u** is solved by applying the first order optimality conditions leading to the following linear system:

$$(\mathbf{A}^T \mathbf{A} + \rho_k^{\mathbf{t}} \mathbf{L}_1^T \mathbf{L}_1 + \rho_k^{\mathbf{z}} \mathbf{D}^T \mathbf{D}) \mathbf{u}_{k+1} = \mathbf{A}^T \mathbf{v} + \rho_k^{\mathbf{t}} \mathbf{L}_1^T \mathbf{t}_{k+1} + \rho_k^{\mathbf{z}} \mathbf{D}^T \mathbf{z}_{k+1}.$$
 (12)

This linear system (12) is solvable if the coefficient matrix has full-rank, that is if the following condition holds:

$$\operatorname{Ker}(\mathbf{A}^{T}\mathbf{A}) \cap \operatorname{Ker}(\mathbf{D}^{T}\mathbf{D}) \cap \operatorname{Ker}(\mathbf{L}_{1}^{T}\mathbf{L}_{1}) = \{\mathbf{0}\},$$
(13)

where by **Ker** we denote the null space of a matrix and **0** represents the *n*dimensional null vector. The condition (13) is satisfied both for  $\mathbf{L}_1 = \mathbf{I}$  and for  $\mathbf{L}_1 = \mathbf{D}$ . Indeed, **A** represents a blurring operator, which is a low-pass filter, whereas the regularization matrix **D** is a difference operator, i.e. a high-pass filter. The solution of (12) is given by:

$$\mathbf{u}_{k+1} = (\mathbf{A}^T \mathbf{A} + \rho_k^{\mathbf{t}} \mathbf{L}_1^T \mathbf{L}_1 + \rho_k^{\mathbf{z}} \mathbf{D}^T \mathbf{D})^{-1} (\mathbf{A}^T \mathbf{v} + \rho_k^{\mathbf{t}} \mathbf{L}_1^T \mathbf{t}_{k+1} + \rho_k^{\mathbf{z}} \mathbf{D}^T \mathbf{z}_{k+1}).$$
(14)

The direct computation of the analytical solution (14) requires the inversion of a high dimensional matrix. By assuming periodic boundary conditions  $\mathbf{A}^T \mathbf{A}, \mathbf{D}^T \mathbf{D}$  and  $\mathbf{L}_1^T \mathbf{L}_1$  are Block Circulant with Circulant Blocks (BCCB) matrices which can be diagonalized by the two dimensional discrete Fourier transform [35]. Hence, the solution of (12) can be efficiently computed using the two dimensional Fast Fourier Transform (FFT) as:

$$\mathbf{u}_{k+1} = \mathcal{F}^{-1} \left( \frac{\overline{\mathcal{F}(\mathbf{A})} \mathcal{F}(\mathbf{v}) + \rho_k^{\mathbf{t}} \overline{\mathcal{F}(\mathbf{L}_1)} \mathcal{F}(\mathbf{t}_{k+1}) + \rho_k^{\mathbf{z}} \overline{\mathcal{F}(\mathbf{D})} \mathcal{F}(\mathbf{z}_{k+1})}{\overline{\mathcal{F}(\mathbf{A})} \mathcal{F}(\mathbf{A}) + \rho_k^{\mathbf{t}} \overline{\mathcal{F}(\mathbf{L}_1)} \mathcal{F}(\mathbf{L}_1) + \rho_k^{\mathbf{z}} \overline{\mathcal{F}(\mathbf{D})} \mathcal{F}(\mathbf{D})} \right)$$
(15)

where, for the sake of simplicity,  $\mathcal{F}(\cdot)$  and  $\overline{\mathcal{F}(\cdot)}$  denote the FFT and its conjugate, whereas  $\mathcal{F}^{-1}(\cdot)$  is the inverse FFT. Similarly,  $\mathbf{u}_{k+1}$  in Algorithm 206 2 can be computed by setting  $\rho_k^{\mathbf{z}} = 0$  in (15).

Concerning the update of  $\mathbf{z}_k$  in Algorithm 3, we observe that it reduces to the solution of n bi-dimensional optimization problems which can be computed in a closed form by using the proximal map of the L<sub>2</sub>-norm.

To implement the CNN based external denoiser  $\mathcal{D}_{\sigma}^{\text{CNN}}$  we adopt the widely used DnCNN architecture proposed in [15]. We refer to this architecture, which is shown in Figure 1a, as I-Net. It is constituted by seven dilated <sup>213</sup> convolutional layers [36] activated by ReLu functions.

For the CNN training, we consider the Train400 image dataset [23]. It contains 400 gray-scale natural images of size  $180 \times 180$  obtained by cropping larger images from the Berkeley Segmentation dataset [37]. We make use in our implementation of the 25 denoisers downloaded from https://github. com/cszn/IRCNN, each one trained on a single noise level in the range [2, 50] with step 2. As represented in Figure 2a, the I-Net is trained to remove noise from the noisy input images.

Our proposal considers the case  $L_1 = D$ . In this case, we add the linear 221 Feature Extractor (FE) computing the discrete image gradient at the end of 222 the I-Net architecture, thus obtaining the G-Net network depicted in Figure 223 1b. Therefore, in order to compute the iterate  $\mathbf{t}_{k+1}$  as in (6), the G-Net is 224 trained to give as output the noisy-free gradient image taking as input the 225 noisy images as I-Net (Figure 2b). We use the ADAM optimizer with the 226 Tensorflow default parameters and we set the epochs number to 150. The 227 correspondence between the iteration k of the algorithms and one of the 25 228 available networks is performed as in [15]. 229



(b) G-Net architecture scheme.

Figure 1: I-Net and G-Net architecture schemes. BN represents the batch normalization and m-DConv denotes m-dilated convolution.

# 230 3. Results and discussion

In this section, we describe the results obtained by testing the proposed schemes on the task of image denoising and deblurring. The Python codes of our proposals are available at https://github.com/sedaboni/PnP-TV. We





(a) CNN image denoiser on the image.

(b) CNN denoiser for gradient restoration.

Figure 2: Trained schemes for denoising.

validate our methods both on a synthetic image, characterized by elements
of interest for CT medical purposes, and on real CT images. All the ground
truth images have values in the range [0, 255].

Our methods are compared with the baseline TV regularization imple-237 mented in the standard ADMM algorithm, which uses the discrepancy prin-238 ciple [38] for the estimation of the regularization parameter, the approach 230 proposed in [15] which is referred to as ICNN in the following, the standard 240 PnP with BM3D and NLM chosen as denoisers and a very recent method [29] 241 which combines a truncated  $L_1$ -norm computed on the wavelet operator ap-242 plied to the signal and BM3D (BM3D-WL1), therefore two internal denoisers. 243 To complete our comparison, we also consider the ICNN-TV algorithm. 244

For a quality assessment of the results, we create artificially blurred and noisy images from a ground truth (GT) image and we compute the Structural Similarity Index Measure (SSIM) and the Peak Signal-to-Noise-Ratio (PSNR) [39] between the restored image and the ground-truth. Moreover, to quantify noise removal, we compute the standard deviation on uniform regions of interest of the restored images.

For all the proposed algorithms the input parameters  $\alpha$  and  $\beta$  are heuris-251 tically chosen to compute a solution satisfying the discrepancy principle. The 252 algorithms perform at most 30 iterations. The first iterate  $\mathbf{u}_1$  is initialized 253 as a vector of zeros. Concerning the choice of  $(\rho_k^t)_{k=1}^{\infty}$  and  $(\rho_k^z)_{k=1}^{\infty}$ , we have 254 set  $\rho_k^{\mathbf{t}} = \rho_k^{\mathbf{z}} = k(1+\epsilon)^k$ , with  $\epsilon > 0$ , satisfying the conditions required in the 255 fixed-point convergence theorem stated in Appendix A. All the hyperparam-256 eters of the competitors have been fixed in order to provide a solution which 257 satisfies the discrepancy principle. 258

### 259 3.1. Results on a synthetic test problem

We start our experiments by considering the numerical simulation acting on the gray-scale  $512 \times 512$  synthetic image reported in Figure 3a. The image is designed to test the algorithms performance in the case of low and



Figure 3: Ground truth gray-scale test image and a simulated degraded acquisition. In (a) the green square highlights the uniform patch used to evaluate ROI-std. In (a) and (b) three close-ups (red boxes) are depicted alongside the images.

high contrast objects, with curved and straight borders: the ground truth 263 image contains many circles of different diameter but uniform intensity; each 264 row has homogeneous circles, with enhancing contrast, from top to bottom, 265 with respect to the uniform background. The fourth row contains crosses of 266 different thickness and high contrast. To build our test problems, we blur 267 the ground truth image using a Gaussian  $15 \times 15$  kernel with zero mean and 268 standard deviation 1.2, then we introduce AWGN with standard deviation 269 std in  $\{10, 15, 20\}$ . In Figure 3b we show the corrupted image obtained with 270 std = 15. In Figure 3a and 3b, we also depict three close-ups on the regions 271 bounded by red squares. 272



Figure 4: Three close-ups for each reconstruction by different methods obtained for the synthetic image.

	AWGN of $std = 10$		AWGN of a	std = 15	AWGN of $std = 20$	
	PSNR	ROI-std	ROI-PSNR	ROI-std	PSNR	ROI-std
TV	30.8085	0.0271	28.6664	0.0507	27.4028	0.0772
NLM	32.6266	0.0896	31.3772	0.1122	30.1042	0.1382
BM3D	32.1221	0.3657	31.3283	0.5785	30.4806	0.7281
BM3D-WL1	31.7616	0.3974	30.7724	0.5802	30.2951	0.7779
ICNN	34.1838	0.4398	33.0519	0.5555	32.9788	0.7851
GCNN	34.7078	0.4749	33.9640	0.6568	33.2446	0.8189
ICNN-TV	32.3531	0.4081	31.3775	0.4798	30.4499	0.5553
GCNN-TV	33.2648	0.1706	31.7743	0.2512	30.6453	0.3129

Table 1: Measures computed on restored images varying the standard deviation of the AWGN. The two best PSNR and ROI-std (standard deviation computed inside the green square in Figure 3a) values for each AWGN are highlighted in blue and green, respectively. The first best is highlighted in bold.

In Figure 4, for each method we report the three restored zooms in the 273 same range of gray levels. For what concerns the low-contrast circles, re-274 ported in the first two rows, it is evident that the hybrid approaches (such 275 as BM3D-WL1, ICNN-TV and GCNN-TV) outperform the other algorithms 276 which exploit only one prior (TV, NLM, BM3D, ICNN, GCNN). Indeed, TV 277 and NLM struggle to retrieve the small circles, whereas BM3D deforms the 278 shape of the objects (Figure 4c). We highlight that the smallest circle is 279 visible in the ICNN reconstruction (Figures 4e and it is further enhanced 280 in the GCNN restoration 4f). Focusing on the restoration of an object, the 281 one-pixel thick cross, with a different shape and contrast, we observe that 282 BM3D, ICNN and GCNN achieve the highest enhancement (see the last row 283 of Figure 4). However we remark that, even in this case, TV and NLM tend 284 to suppress very thin details. 285

In Figure 5 we plot the pixel intensities of a horizontal image row passing through all the lowest-contrasted circles, to better inspect the effects of adding the TV internal prior to the ICNN and GCNN schemes on the most challenging objects. The plot in Figure 5a reflects the typical loss-of-contrast drawback of the TV prior, oversmoothing the two smallest circles. Adding the TV prior to ICNN and GCNN algorithms removes the residual noise, especially visible in the largest circle, while enhancing the edges.

To test the robustness of the proposed models with respect to the noise, we analyze the results, reported in Table 1, obtained by the considered methods when different variances of the AWGN are considered. We observe that, in terms of PSNR, the GCNN method gets the best values in all the cases, thus confirming the effectiveness of the proposed CNN denoiser defined on



Figure 5: Intensity line profiles on the 90th row cutting the lowest contrasted circles. The blue and orange lines represent the ground truth and the restored image profiles for different methods, respectively.

the image gradient domain. When we introduce the contribution of the TV-298 based internal prior, the PSNR values decrease, even if the global denoising 290 effect due to TV is visually evident, as previously underlined. To confirm 300 this, we report in Table 1 the standard deviation (ROI-std) computed on 301 the constant region marked by the green bounding square in Figure 3a. The 302 TV and NLM methods always have the lowest values, whereas the proposed 303 hybrid approaches ICNN-TV and GCNN-TV are more effective in case of 304 high noise. 305

# 306 3.2. Results on real CT medical images

We now consider two X-ray Computed Tomography images to compare the effectiveness of the proposed schemes. In order to illustrate the advantages of our proposals, according to their features highlighted in the synthetic case, we examine a head and chest CT images containing small and low-contrasted details.

# 312 3.2.1. CT head image for epidural hemorrhage detection

The considered head tomographic image is downloaded from an open 313 source dataset<sup>1</sup>. It shows an intracranial hemorrhage, which requires a rapid 314 and intensive medical treatment based on the accurate localization of the 315 blood in the CT image obtained by segmentation algorithms (represented 316 as the red region in Figure 6a). If the image is severely corrupted, the 317 segmentation procedure may fail. As an example, after blurring the ground 318 truth image with a Gaussian kernel of size  $15 \times 15$  and standard deviation 0.5 319 and adding AWGN with standard deviation 25, we compute the segmentation 320 mask by an online open source software  $^{2}$ . The segmented region is shown in 321 red in Figure 6b. To highlight the importance of deblurring and denoising the 322 image before segmenting it, we show the red mask computed on one restored 323 image in Figure 6c. 324

In Figure 7 we report three close-ups for each method. The first one 325 highlights the central part of the head CT image containing blood vessels, 326 whereas the second zoom shows a portion of the cerebral cortex with sulci. 327 The third zoom of the figure focuses onto the epidural hemorrhage (pointed 328 by the magenta arrow). In Table 2 we report the PSNR computed between 329 the restored image and the ground truth, and the Jaccard similarity co-330 efficient (Jac) between the masks computed on the ground truth and the 331 restored images. By a visual comparison, we observe that TV, NLM, BM3D-332 WL1 output images look too smooth and blocky whereas the BM3D deforms 333 the anatomical contours. We highlight that the GCNN method accurately 334 restores the vessels and sulci borders and it gets the highest PSNR value, 335 reflecting the effectiveness of the gradient-based regularization. As regard 336 the Jaccard values, the best ones are achieved by the hybrid frameworks (i.e. 337 ICNN-TV and GCNN-TV), where the smoothing effect of the TV-based de-338 noiser improves the border detectability, making the restored images suitable 330 for segmentation tasks. 340

### 341 3.2.2. Restoration of low-dose CT real chest image

We now consider a Computed Tomography open source dataset<sup>3</sup> of real chest images. In Figure 8a we focus on one image (ID: 0005) of the dataset. We point out that it contains many different objects, varying in size, dimen-

<sup>&</sup>lt;sup>1</sup>https://www.kaggle.com/vbookshelf/computed-tomography-ct-images

<sup>&</sup>lt;sup>2</sup> http://brain.test.woza.work/

<sup>&</sup>lt;sup>3</sup>https://www.kaggle.com/kmader/siim-medical-images



Figure 6: Head tomographic image with epidural hemorrhage. Computed masks are coloured red.

	TV	NLM	BM3D	BM3D-WL1	ICNN	GCNN	ICNN-TV	GCNN-TV
PSNR	30.6781	28.4723	31.7320	31.9917	33.0800	33.5881	32.2843	32.0872
Jac	0.9471	0.8827	0.9313	0.9500	0.9387	0.9398	0.9557	0.9504

Table 2: PSNR and Jaccard computed on restored image. The two best PSNR and Jaccard values are highlighted in green and blue, respectively. The first best is highlighted in bold.

sion and gray intensity. To simulate a low-dose CT reconstructed image, which is characterized by high noise, after blurring the image by using a Gaussian kernel of dimension  $15 \times 15$  with standard deviation 0.5, we add AWGN with high standard deviation equals to 25. In Figure 8b we show the very noisy corrupted image where small and low-contrasted details are not well detectable.

In Figure 9 we report three close-ups of the restorations showing different 351 details of the image. In the first close-up we observe that in some cases the 352 borders of the ascending aorta and superior vena cava sections pointed by the 353 arrow are not well distinguishable as in the ground truth image. In particular, 354 we notice that the GCNN method produces the best image. The second crop 355 contains thin vessels immersed in the dark pulmonary background. The 356 images obtained with TV, NML and BM3D-WL1 algorithms are too smooth 357 and some details are hardly visible. In the BM3D and ICNN-based output 358 images the circular sections of the vessels are distorted into triangular shapes, 359 whereas the images obtained with gradient-based CNN restore very well the 360 path of the main vessels, without oversmoothing. In the third row, the close-361 ups show that only GCNN and GCNN-TV well recover the circular shape of 362 the vertebral canal and GCNN outperforms the competitors in identifying 363 the transverse process edges (Figures 9h and 9j). 364



Figure 7: Three close-ups for each reconstruction by different methods obtained for the head CT image. The magenta arrows highlight the epidural hemorrhages.

To deeper analyse the improvement given by the proposed gradient-based CNN over the image-based one, we plot in Figure 10 the profiles relative



(a) Ground truth (b) Corrupted

Figure 8: Low-dose CT chest image (ID: 0005). In (a) the green square highlights the uniform patch used to evaluate ROI-std.

to the green segments depicted in Figure 8a over the first and third crops. 367 The first plot (Figure 10a) refers to a large homogeneous object and it is 368 evident that the GCNN red line better fits the blue line corresponding to the 360 ground truth and that the orange ICNN profile oversmoothes. The profile 370 over the spinous process (Figure 10b) highlights that GCNN better restores 371 thin objects. We can conclude for the restoration of this image that the use 372 of a gradient-based CNN denoiser has advantages such as a better enhancing 373 of the objects contours and the preservation of small details, over the use of 374 an image-based CNN denoiser. 375

Finally, to measure the reconstruction quality and the residual noise, 376 we compute the PSNR and SSIM measures on the whole image and the 377 standard deviation on a flat region indicated by the green square in Figure 378 8a. From the Table 3, we observe that the GCNN method attains both the 379 best PSNR and SSIM. The BM3D algorithm achieves the second best PSNR 380 but it often deformates the curve boundary contours of the objects (as in 381 Figure 9e). Regarding the ROI-std measure, as expected, the TV method 382 gets the lowest standard deviation on the region of interest. Moreover, we 383 observe that the addition of TV as internal prior in the CNN-based methods 384 considerably lowers the standard deviation values, as confirmed by ICNN-TV 385 and GCNN-TV columns. 386

At last, Figure 11 generalises the results of Table 2. We have in fact executed the GCNN and ICNN algorithms on 100 images from the whole



Figure 9: Three close-ups for each reconstruction by different methods obtained for the chest low-dose CT image. The magenta arrows highlight a region of interest.

chest dataset and computed the boxplots relative to the PSNR (Figure 11a)
and the SSIM (Figure 11b) metrics. These statistics validate the results



Figure 10: Intensity line profiles on the horizontal lines depicted in Figure 9a, over the aorta (left) and on the spinous process of the vertebra (right). The blue, orange and red lines represent the ground truth, the ICNN and the GCNN restored image profiles, respectively.

	TV	NLM	BM3D	BM3D-WL1	ICNN	GCNN	ICNN-TV	GCNN-TV
PSNR	32.1727	30.9899	34.7675	32.9104	34.1673	35.0309	34.0946	33.5789
SSIM	0.9297	0.9129	0.9499	0.9358	0.9474	0.9546	0.9466	0.9443
ROI-std	0.1746	0.3017	0.6569	0.5816	1.1136	1.2366	0.2844	0.3460

Table 3: Standard deviation computed on the region of interest inside the green square in Figure 8a, for the Low-Dose CT chest images.

<sup>391</sup> discussed on one single image and confirm that GCNN outperforms ICNN.

# 392 4. Conclusions

In this paper we have proposed a new PnP framework using learnt gradientbased priors for CT medical image restoration. We considered a Half-Quadratic Splitting minimization algorithms where the denoising step is executed by a CNN acting on the image gradients (GCNN method). We also considered



Figure 11: Boxplots of the PSNR values (a) and SSIM values (b) computed on 100 chest images by ICNN algorithm (yellow ones) and GCNN algorithm (orange ones).

a hybrid regularization where we added a Total Variation functional in the
 GCNN scheme (GCNN-TV).

The numerical experiments on synthetic and real CT medical images show 399 that the proposed GCNN, well recovers the curve contours of flat and low-400 contrast objects, as well as thin vessels. The obtained image enhancements 401 confirm that gradient-based priors are effective for the restoration of medical 402 CT images, since the competitors get lower quality indices. Indeed, the 403 GCNN-TV further smoothes homogeneous area such as backgrounds and 404 small low-contrast objects on very noisy images and its restoration appears 405 suitable for segmentation. 406

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# 411 A. Appendix

To analyze the convergence properties of Algorithm 1, we start observing 412 that if the denoisers  $\mathcal{D}_{\sigma}^{\text{ext}}$  and  $\mathcal{D}_{\gamma}^{\text{int}}$  are the proximal maps of two convex 413 functions  $g_1$  and  $g_2$ , respectively, then the convergence to a global minimum 414 of the objective function in (3) is guaranteed [33, 34]. However, in [14] the 415 authors observe that a denoiser is a proximal map when it is nonexpansive 416 with symmetric gradient, thus limiting the set of suitable denoisers. In the 417 effort of allowing less strict conditions on the involved denoisers, we show in 418 this section that the proposed Algorithm 1 satisfies a fixed-point convergence 419 theorem provided only their boundedness. 420

**Definition A.1** (Bounded Denoiser [40]). A bounded denoiser with parameter  $\epsilon$  is a function  $\mathcal{D}_{\epsilon} : \mathbb{R}^{l} \to \mathbb{R}^{l}$  such that for any  $\mathbf{t} \in \mathbb{R}^{l}$  the following inequality holds:

$$\|\mathcal{D}_{\epsilon}(\mathbf{t}) - \mathbf{t}\|_{2}^{2} \le \epsilon^{2} C_{\mathcal{D}}$$
(A.1)

<sup>424</sup> for a constant  $C_{\mathcal{D}}$  independent of  $\epsilon$ .

The previous definition entails that given the sequence  $(\epsilon_k)_{k=1}^{+\infty}$ ,  $\mathcal{D}_{\epsilon_k}$  converges to the identity function of  $\mathbb{R}^l$  as  $\epsilon_k \to 0$ .

<sup>427</sup> In order to state and prove the following fixed-point theorem, we make <sup>428</sup> some assumptions. Given  $(\rho_k^{\mathbf{t}})_{k=1}^{\infty}$  and  $(\rho_k^{\mathbf{z}})_{k=1}^{\infty}$  non-decreasing positive sequences,  $\mathbf{L}_1 \in \mathbb{R}^{l_1 \times n}$ ,  $\mathbf{L}_2 \in \mathbb{R}^{l_2 \times n}$  as input for Algorithm 1, then we assume:

432 1.  $\mathcal{D}_{\sigma_k}^{\text{ext}}$  and  $\mathcal{D}_{\gamma_k}^{\text{int}}$  are bounded denoisers.

433 2.  $\mathbf{L}_1$  and  $\mathbf{L}_2$  are full-rank matrices.

434 3. 
$$\sum_{k=1}^{+\infty} \sqrt{\frac{k}{\rho_k^{\mathbf{z}}}} < +\infty, \quad \sum_{k=1}^{+\infty} \sqrt{\frac{k}{\rho_k^{\mathbf{t}}}} < +\infty \text{ and } \frac{\rho_k^{\mathbf{z}}}{\rho_k^{\mathbf{t}}} \to c \text{ where } c \in \mathbb{R}^+.$$

**Theorem A.1** (Fixed-point convergence theorem for the hybrid PnP algorithm). Given the assumptions 1-3, there exist  $\mathbf{t}^* \in \mathbb{R}^{l_1}, \mathbf{z}^* \in \mathbb{R}^{l_2}$  and  $\mathbf{u}^* \in \mathbb{R}^n$ such that, for  $k \to \infty$ , the following relations hold:

$$\mathbf{t}_k 
ightarrow \mathbf{t}^*, \quad \mathbf{L}_1 \mathbf{u}_k 
ightarrow \mathbf{t}^*, \quad \mathbf{z}_k 
ightarrow \mathbf{z}^*, \quad \mathbf{L}_2 \mathbf{u}_k 
ightarrow \mathbf{z}^*, \quad \mathbf{u}_k 
ightarrow \mathbf{u}^*,$$

<sup>438</sup> where  $\mathbf{t}_k, \mathbf{z}_k, \mathbf{u}_k$  are computed as in Algorithm 1 at step k.

<sup>439</sup> *Proof.* By observing that  $\mathbf{u}_{k+1}$  is the optimal solution of the minimization <sup>440</sup> problem (8), and by using the relations in (9) and the assumption 1, we get <sup>441</sup> the following chain of inequalities:

$$\frac{1}{2} \|\mathbf{A}\mathbf{u}_{k+1} - \mathbf{v}\|_{2}^{2} + \frac{\rho_{k}^{t}}{2} \|\mathbf{t}_{k+1} - \mathbf{L}_{1}\mathbf{u}_{k+1}\|_{2}^{2} + \frac{\rho_{k}^{z}}{2} \|\mathbf{z}_{k+1} - \mathbf{L}_{2}\mathbf{u}_{k+1}\|_{2}^{2} \leq (A.2)$$

$$\leq \frac{1}{2} \|\mathbf{A}\mathbf{u}_{k} - \mathbf{v}\|_{2}^{2} + \frac{\rho_{k}^{t}}{2} \|\mathbf{t}_{k+1} - \mathbf{L}_{1}\mathbf{u}_{k}\|_{2}^{2} + \frac{\rho_{k}^{z}}{2} \|\mathbf{z}_{k+1} - \mathbf{L}_{2}\mathbf{u}_{k}\|_{2}^{2} = \frac{1}{2} \|\mathbf{A}\mathbf{u}_{k} - \mathbf{v}\|_{2}^{2} + \frac{\rho_{k}^{t}}{2} \|\mathcal{D}_{\sigma_{k}}^{\text{ext}}(\mathbf{L}_{1}\mathbf{u}_{k}) - \mathbf{L}_{1}\mathbf{u}_{k}\|_{2}^{2} + \frac{\rho_{k}^{z}}{2} \|\mathcal{D}_{\gamma_{k}}^{\text{int}}(\mathbf{L}_{2}\mathbf{u}_{k}) - \mathbf{L}_{2}\mathbf{u}_{k}\|_{2}^{2} \leq \frac{1}{2} \|\mathbf{A}\mathbf{u}_{k} - \mathbf{v}\|_{2}^{2} + \frac{\rho_{k}^{t}}{2} \sigma_{k}^{2}C_{\mathcal{D}^{\text{ext}}} + \frac{\rho_{k}^{z}}{2} \gamma_{k}^{2}C_{\mathcal{D}^{\text{int}}} = \frac{1}{2} \|\mathbf{A}\mathbf{u}_{k} - \mathbf{v}\|_{2}^{2} + \frac{\alpha}{2} C_{\mathcal{D}^{\text{ext}}} + \frac{\beta}{2} C_{\mathcal{D}^{\text{int}}} \leq \frac{1}{2} \|\mathbf{A}\mathbf{u}_{k} - \mathbf{v}\|_{2}^{2} + \tilde{C},$$
with  $\tilde{C} := \frac{\alpha}{2} C_{\mathcal{D}^{\text{ext}}} + \frac{\beta}{2} C_{\mathcal{D}^{\text{int}}}.$ 

442

Since all the considered terms in (A.2) are positive, the following inequalities hold:

$$\frac{1}{2} \|\mathbf{A}\mathbf{u}_{k+1} - \mathbf{v}\|_{2}^{2} \le \frac{1}{2} \|\mathbf{A}\mathbf{u}_{k} - \mathbf{v}\|_{2}^{2} + \tilde{C} \le \dots \le \frac{1}{2} \|\mathbf{A}\mathbf{u}_{1} - \mathbf{v}\|_{2}^{2} + k\tilde{C}.$$
 (A.3)

For the same reason, using (A.2) and (A.3) we get:

$$\|\mathbf{t}_{k+1} - \mathbf{L}_1 \mathbf{u}_{k+1}\|_2 \le \sqrt{\frac{1}{\rho_k^{\mathbf{t}}}} \|\mathbf{A}\mathbf{u}_1 - \mathbf{v}\|_2 + \sqrt{\frac{2\tilde{C}k}{\rho_k^{\mathbf{t}}}}, \qquad (A.4)$$

$$\|\mathbf{z}_{k+1} - \mathbf{L}_2 \mathbf{u}_{k+1}\|_2 \le \sqrt{\frac{1}{\rho_k^{\mathbf{z}}}} \|\mathbf{A}\mathbf{u}_1 - \mathbf{v}\|_2 + \sqrt{\frac{2\tilde{C}k}{\rho_k^{\mathbf{z}}}}.$$
 (A.5)

We now prove that the sequences  $(\mathbf{t}_k)_{k=1}^{+\infty}$  and  $(\mathbf{z}_k)_{k=1}^{+\infty}$  are Cauchy sequences. Starting from the expressions of  $\mathbf{t}_{k+1}$  and  $\mathbf{z}_{k+1}$  in Algorithm 1, applying the definition of bounded denoiser and the estimates (A.4) and (A.5) the following inequalities hold:

$$\|\mathbf{t}_{k+1} - \mathbf{t}_{k}\|_{2} \leq \|\mathcal{D}_{\sigma_{k}}^{\text{ext}}(\mathbf{L}_{1}\mathbf{u}_{k}) - \mathbf{L}_{1}\mathbf{u}_{k}\|_{2} + \|\mathbf{L}_{1}\mathbf{u}_{k} - \mathbf{t}_{k}\|_{2} \leq \\ \leq \sqrt{\frac{\alpha}{\rho_{k}^{\text{t}}}}\sqrt{C_{\mathcal{D}^{\text{ext}}}} + \sqrt{\frac{1}{\rho_{k-1}^{\text{t}}}} \|\mathbf{A}\mathbf{u}_{1} - \mathbf{v}\|_{2} + \sqrt{\frac{2\tilde{C}(k-1)}{\rho_{k-1}^{\text{t}}}}$$
(A.6)

$$\|\mathbf{z}_{k+1} - \mathbf{z}_{k}\|_{2} \leq \|\mathcal{D}_{\gamma_{k}}^{\text{int}}(\mathbf{L}_{2}\mathbf{u}_{k}) - \mathbf{L}_{2}\mathbf{u}_{k}\|_{2} + \|\mathbf{L}_{2}\mathbf{u}_{k} - \mathbf{z}_{k}\|_{2} \leq \leq \sqrt{\frac{\beta}{\rho_{k}^{\mathbf{z}}}}\sqrt{C_{\mathcal{D}^{\text{int}}}} + \sqrt{\frac{1}{\rho_{k-1}^{\mathbf{z}}}} \|\mathbf{A}\mathbf{u}_{1} - \mathbf{v}\|_{2} + \sqrt{\frac{2\tilde{C}(k-1)}{\rho_{k-1}^{\mathbf{z}}}}.$$
(A.7)

<sup>450</sup> By assumption 3  $(\mathbf{z}_k)_{k=1}^{+\infty}$  and  $(\mathbf{t}_k)_{k=1}^{+\infty}$  are Cauchy sequences. Hence, there <sup>451</sup> exist  $\mathbf{t}^*$  and  $\mathbf{z}^*$  such that  $\mathbf{t}_k \to \mathbf{t}^*$  and  $\mathbf{z}_k \to \mathbf{z}^*$ .

Furthermore, the following inequalities (which use (A.4) and (A.5), respectively) state that  $\mathbf{L}_1 \mathbf{u}_{k+1} \to \mathbf{t}^*$  and  $\mathbf{L}_2 \mathbf{u}_{k+1} \to \mathbf{z}^*$ :

$$\|\mathbf{L}_{1}\mathbf{u}_{k+1} - \mathbf{t}^{*}\|_{2} \le \|\mathbf{L}_{1}\mathbf{u}_{k+1} - \mathbf{t}_{k+1}\|_{2} + \|\mathbf{t}_{k+1} - \mathbf{t}^{*}\|_{2}, \quad (A.8)$$

$$\|\mathbf{L}_{2}\mathbf{u}_{k+1} - \mathbf{z}^{*}\|_{2} \le \|\mathbf{L}_{2}\mathbf{u}_{k+1} - \mathbf{z}_{k+1}\|_{2} + \|\mathbf{z}_{k+1} - \mathbf{z}^{*}\|_{2}.$$
 (A.9)

Now, we prove the convergence of the sequence  $(\mathbf{u}_k)_{k=1}^{\infty}$  computed as in 454 Algorithm 1. At step k,  $\mathbf{u}_{k+1}$  is the solution of the convex minimization 455 problem (8), therefore the first order optimality conditions lead: 456

$$\left(\frac{1}{\rho_k^{\mathbf{t}}}\mathbf{A}^T\mathbf{A} + \mathbf{L}_1^T\mathbf{L}_1 + \frac{\rho_k^{\mathbf{z}}}{\rho_k^{\mathbf{t}}}\mathbf{L}_2^T\mathbf{L}_2\right)\mathbf{u}_{k+1} = \frac{1}{\rho_k^{\mathbf{t}}}\mathbf{A}^T\mathbf{v} + \mathbf{L}_1^T\mathbf{t}_{k+1} + \frac{\rho_k^{\mathbf{z}}}{\rho_k^{\mathbf{t}}}\mathbf{L}_2^T\mathbf{z}_{k+1}.$$
 (A.10)

If we define  $\mathbf{M}_k := \frac{1}{\rho_k^t} \mathbf{A}^T \mathbf{A} + \mathbf{L}_1^T \mathbf{L}_1 + \frac{\rho_k^2}{\rho_k^t} \mathbf{L}_2^T \mathbf{L}_2$ , then  $\forall k > 1$ ,  $\mathbf{M}_k$  is invertible 457 for assumption 2. Hence, we can write for each k: 458

$$\mathbf{u}_{k+1} = \mathbf{M}_{k}^{-1} \left( \frac{1}{\rho_{k}^{\mathbf{t}}} \mathbf{A}^{T} \mathbf{v} + \mathbf{L}_{1}^{T} \mathbf{t}_{k+1} + \frac{\rho_{k}^{\mathbf{z}}}{\rho_{k}^{\mathbf{t}}} \mathbf{L}_{2}^{T} \mathbf{z}_{k+1} \right).$$
(A.11)

We observe that the two sequences in the right hand side of (A.11), repre-459 sented by  $(\mathbf{M}_k^{-1})_{k=1}^{\infty}$  and by the term in parenthesis, are convergent pointwise 460 (by assumption 3 and by considering the convergence of the sequences  $(\mathbf{t}_k)_{k=1}^{\infty}$ 461 and  $(\mathbf{z}_k)_{k=1}^{\infty}$ ). By denoting as  $\mathbf{u}^*$  the product of the two limits, we have proved 462 that  $\mathbf{u}_k \to \mathbf{u}^*$ . 463 

This concludes the proof. 464

We point out that this general proof applies also to the algorithm pro-465 posed in [15], for which no convergence results can be found in the literature. 466 Moreover, we believe that with a small effort, our convergence result dealing 467 with multiple denoisers can be extended to ADMM. 468

The fixed-point convergence Theorem A.1 entails that the iterations enter 469 in a steady-state and does not guarantee that the fixed-point  $\mathbf{u}^*$  is a mini-470 mum of an implicit defined regularized objective as in (3). However, in the 471 experimental part, we have shown that the reached fixed-point  $\mathbf{u}^*$  is a very 472 good approximation of the desired image **u**. 473

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