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## Controlling idling : a ready-made solution for reducing exhaust emissions from agricultural tractors

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### Abstract

17 Mechanised agriculture accounts for nearly 70 million tonnes of CO<sub>2</sub> emissions annually. To mitigate these CO<sub>2</sub> emissions, powertrains running on alternative fuels and hybrid powertrains 18 19 are under development. However, such solutions have not yet reached the technical maturity 20 required for the marketplace. To make significant progress, considering the pressure imposed 21 by the European Commission and others, ready-made solutions must be deployed to achieve 22 rapid and large-scale reductions of greenhouse gas emissions. One such solution is to reduce 23 unnecessary engine idling. This paper presents an investigation of the duration of idling stops, the energy required for starting the engine, and the time at which the engine should be turned 24 25 off to avoid higher emissions from continued idling. Four tractors with different engine 26 displacements were used in experiments. For the tractors, the energy required for engine start-27 up was measured and real-world data were collected over several months. From data analysis, it was found that shutting off the engines for idling stops longer than 4.4 s resulted in lower 28 29 emissions. The four tractors showed different idling patterns; turning off the engine during unnecessary idling stops led to fuel savings of 1.1% to 5.1%. Based on these results, 770,000 30 31 tonnes of  $CO_2$  annually could be saved by equipping tractors with the proper technology for 32 turning off the engine during unnecessary idling stops.

KEYWORDS: idling, greenhouse gas emissions, CANBUS, climate change, real-world data

	Nomonolature	
C	Nomenclature           Carbon monoxide (CO) concentration	(ppm)
c <sub>co</sub>		(ppm)
<i>C<sub>CO2</sub></i>	Carbon dioxide $(CO_2)$ concentration NO concentration	(%) (mm)
C <sub>NO</sub>		(ppm)
<i>c</i> <sub>NO2</sub>	NO <sub>2</sub> concentration	(ppm)
Ť	Engine fuel rate	$(1 h^{-1})$
f <sub>el,st</sub>	Mass of burned fuel necessary for restoring $E_{el,st}$ in the Battery when the engine is running	(kg)
f <sub>eq,st</sub>	Equivalent fuel used for starting the engine	(1)
<i>f</i> idle	Engine fuel rate during idling	$(1 h^{-1})$
	Fuel injected to accelerate the engine up to the minimum	
f <sub>inj,st</sub>	self-sustaining engine rotational speed	(kg)
<mark>f<sub>save</sub></mark>	potential fuel savings by avoiding unnecessary idling	(1)
$m_{CO_2,st}$	Mass of $CO_2$ generated for a single start-up	(g)
<mark>ṁ<sub>СО,іdle</sub></mark>	Mass flow rate of CO during idle	<mark>(g h<sup>-1</sup>)</mark>
$\dot{m}_{CO_2,idle}$	Mass flow rate of $CO_2$ during idle	(kg h <sup>-1</sup> )
$\dot{m}_{NO.idle}$	Mass flow rate of NO	$(g h^{-1})$
$\dot{m}_{NO_2,idle}$	Mass flow rate of $NO_2$	$(g h^{-1})$
$n_e$	Engine rotational speed	(rpm)
n <sub>e,idle</sub>	Mean value of the engine speed during the idling phase	(rpm)
n <sub>su</sub>	Number of additional start-up	(-) ´
	Instant of the engine start-up occurring when P <sub>batt</sub> started	
<mark>t</mark> o	to be greater than 0 kW	<mark>(s)</mark>
t <sub>c</sub>	Instant of the engine start-up occurring when $P_{batt}$ is equal	(s)
	to 0 kW	
t <sub>cr</sub>	Instant of the engine start-up where $\hat{f}$ started to be greater than $0 \ 1 \ h^{-1}$	(s)
t <sub>eq,idle</sub>	Number of seconds of idling beyond which shutting down the engine could reduce fuel consumption	(s)
t <sub>s</sub>	Duration of engine start-up	(s)
$v_s v_t$	Tractor ground speed	$(km h^{-1})$
CANBUS	Controller area network	(km n )
DOC	Diesel Oxidation catalyst	
EC	European Commission	
ECU	Electronic control unit	
EGR	Exhaust gas recirculation valve	
E <sub>el,st</sub>	Energy required by starter to run the engine	(J)
EGD	European Green Deal	
GHG	Greenhouse gas	
GNSS	Global navigation satellite system	
I <sub>batt</sub>	Battery current	(A)
NO <sub>x</sub>	Nitrogen oxides	
NRMM	Non-road mobile machinery	
$O_p$	Presence of the operator on the seat of the vehicle	(-)
P <sub>batt</sub>	Power delivered by the battery	(W)
P <sub>tlp</sub>	Position of the three-point linkage	<mark>(%)</mark>
SCR	Selective catalytic reduction	
$T_c$	Engine coolant temperature	(°)
, V <sub>fi</sub>	Flow of oil through the i_th auxiliary valve	(%)

#### 37

### 1. Introduction

38 In 2020, the European Commission (EC) presented the European Green Deal (EGD) which 39 is an ambitious set of measures aimed at making Europe the first climate-neutral continent. 40 According to the European Environment Agency (2021), in 2019 mechanised agriculture 41 accounted for nearly 70 million tonnes of CO<sub>2</sub> (figure retrieved for the sector 1.A.4.c.ii - Off-42 road Vehicles and Other Machinery of Intergovernmental Panel on Climate Change [IPCC]). 43 To further reduce greenhouse gas (GHG) emissions, in 2019, the European Union introduced the Stage V regulation for Non-Road Mobile Machinery (NRMM) which imposes a reduction 44 45 of 97% for particulate matter and of 94% for hydrocarbons and nitrogen oxides (NOx) from those imposed by Tier 1. To meet these targets, machinery manufacturers have been working 46 47 since that time on exhaust after-treatment devices (Michelin et al., 2000; Rudder, 2012). However, these devices are bulky and can reduce tractor visibility and ground clearance, thus 48 49 limiting tractor driveability. Moreover, considering the progress in exhaust after-treatment 50 devices fitted by manufacturers in the last years, further reductions in exhaust emissions are not 51 expected in the coming years without changes to different fuels. Most of the solutions for 52 decarbonising heavy agricultural equipment that being researched or are under development by 53 manufacturers involve the use of alternative fuels for engines (Bisaglia et al., 2018; Davies & 54 Sulatisky, 1989) or hybrid and electric powertrains (Beligoj et al., 2022; Mattarelli et al., 2019; 55 Mocera & Somà, 2020; Moreda et al., 2016; Scolaro et al., 2021). Most of these studies report theoretical results and analysis, although Varani et al., (2021) tested a hybrid prototype which 56 57 resulted in a reduction of fuel consumed per hectare by up to 30% compared to a conventional 58 powertrain.

59 The shift towards these technical solutions requires dedicated infrastructure to support the adoption of new powertrains. In particular, the infrastructure should also enable the clean 60 production of energy (e.g., biodiesel, natural gas, electricity) from agricultural wastes, but 61 62 several gaps and barriers still hinder their development (Kapoor et al., 2020). Therefore, such solutions still require time to reach the technological maturity required for the marketplace. 63 64 Considering the pressure imposed by the EC, ready-made solutions must be deployed to achieve 65 rapid and large-scale reductions of GHG emissions; on such solution is reducing unnecessary engine idling. However, for agricultural tractors engine idling has not been fully investigated 66 despite it being an application with significant opportunity to reduce GHG emissions, 67 particularly since tractors often operate at low fuel efficiency (Brodrick et al., 2002). 68 Agricultural tractors often have prolonged idling periods (from 10% up to 43% of their 69 70 operational time) (Perozzi et al., 2016); of these idling periods, the majority, at least on medium 71 row-crop tractors, are not necessary for farming operations (Molari et al., 2019). The amount 72 of idling time depends on the specific operation being carried out, ranging from 20% for 73 ploughing up to 47% for harrowing (Lovarelli et al., 2018). Therefore, reducing idling time 74 could be an effective method of reducing fuel consumption and CO<sub>2</sub> emissions (Janulevičius et 75 al., 2016).

76 These studies provided the motivation for us to study engine idling on agricultural tractors 77 and to determine if turning off the engine reduces CO<sub>2</sub> emissions in comparison with keeping 78 it idling. By doing so, the opportunity may be created to add a start-and-stop system to 79 agricultural tractors; a proven solution for passenger cars (Whittal, 2012), but one that has not 80 yet been adopted for agricultural tractors. The potential benefits of start-and-stop systems are 81 highly reliant on the pattern of idling stops in terms of frequency and duration (Mattetti, 82 Beltramin, et al., 2022), which are both are dependent on the type of tractor, farm, and tractor 83 mission profile (Mattetti et al., 2012, 2021). To evaluate the potential benefits of in turning off 84 the engine when it is not needed, it is important to fully characterise engine idling and start-up 85 behaviours for different types of tractors to educate farmers on the advantages and disadvantages of avoiding unnecessary engine idling. This also involves the proposal of novel 86 87 tractor solutions. However, few studies have been dedicated to engine idling and start-up for 88 agricultural tractors. These aspects of engine performance have been investigated primarily for 89 heavy duty trucks (Canova et al., 2009; DeBruin, 2013; Gaines et al., 2013), but with 90 insufficient depth of analysis. Moreover, heavy duty vehicles have different engine designs and 91 different duty-cycles than agricultural tractors. Therefore, the results from those studies are not 92 directly relevant for agricultural tractors and farmers. This study reports the pattern of idling 93 stops, the energy required to turn on the engine, and the extent to which idling the engine is convenient for different tractor classes to fully evaluate the potential fuel and emission savings 94 95 by avoiding unnecessary idling. To this end, the authors utilised a large real-world dataset to estimate the potential benefits for farmers. 96

97

### 2. Materials and methods

98 This study was performed using four different tractors. Their specifications are provided in99 Table 1.

Tractor model	Steyr 4095 Kompact	CASE IH Maxxum	New Holland T7.260	New Holland T8.435
Tractor moder		115	PowerCommand	
Tractor denotation	Steyr	CASE	NH T7	NH T8
Engine type		Turbo	Diesel	
Number of cylinders	4	4	6	6
Engine displacement [1]	3.400	4.485	6.700	8.700
Compression ratio	17.0:1	18.0:1	17.0:1	15.9:1
Nominal power [kW]	74	86	162	279
Battery capacity [Ah]	132	110	176	200
Battery cold cranking ability [CCA]	962	960	1300	1900
Transmission type	Partial powershift	Partial powershift	Full powershift	Continuously variable transmission
Gas cleaning system	PF and EGR	DOC and SCR	SCR	DOC and SCR
Complaint emissions stage	IV-A	IV-B	IV-A	V

Table 1 – Main specifications of the tractors used for the tests. PF = particulate filter, DOC = diesel oxidation catalyst, EGR = exhaust gas recirculation valve, SCR = selective catalytic reduction.

102 All the tractors were equipped with engines manufactured by the same vendor (FPT 103 Industrial, Turin, Italy) and with new batteries, except for the NH T8. Before performing the 104 test, the batteries were fully recharged with a dedicated charger. For this study, the testing 105 procedure developed by Mattetti et al. (2022) was adopted. A brief outline of that procedure is 106 provided to give readers the necessary background to understand the results; for further 107 information related to the methodology, the authors suggest that readers refer to that study. Two 108 types of tests were adopted, hereafter referred to as "engine-start test" and "real-world test." 109 The former was performed by measuring the input energy required to start the engine, whilst 110 the latter was performed to evaluate the potential fuel and emissions savings by avoiding 111 unnecessary idling. The raw data of NH T7 were the same as those analysed by Mattetti et al. 112 (2022), but the presentation of the results was modified to make valid comparisons between the 113 results of the different types of tractors.

#### 114 4.1 Engine-start test

115 For the engine start test, the following parameters were recorded: 116 Battery voltage (*V*<sub>batt</sub>); • 117 Current flow to the battery  $(I_{batt})$ ; • 118 Engine speed  $(n_{e})$  from the CANBUS; • Engine fuel rate  $(\dot{f})$  from the CANBUS; 119 • 120 Engine coolant temperature  $(T_c)$  from the CANBUS; • 121 Temperature of the fuel gases at the exhaust with a gas analyser; • 122 Concentration of  $CO_2(c_{CO_2})$  with a gas analyser; • 123 Concentration of CO ( $c_{CO}$ ) with a gas analyser; • 124 Concentration of NO  $(c_{NO})$  with a gas analyser; • 125 Concentration of NO<sub>2</sub> ( $c_{NO_2}$ ) with a gas analyser. • 126

 $c_{NO}$  and  $c_{NO_2}$  were not recorded for the NH T7 since in the first instance they were not 127 128 considered significant, but to compare tractors with different exhaust after-treatment devices,  $c_{NO}$  and  $c_{NO_2}$  were measured for the other tractors. The procedure for the engine start tests 129 130 consisted of 10 cycles, each of which included engine start-up, 60 s of engine idling, and 30 s 131 of engine off. The idling duration was chosen to restore  $V_{batt}$  to the level prior to starting the 132 engine. The tests were performed with the auxiliar loads ON and OFF. In the following, they 133 are denoted as AUX ON and AUX OFF, respectively. The auxiliary loads that were considered 134 were the lights (front and rear headlights, work lamps, warning beacon); cab radio; heating, 135 ventilation, and air conditioning (HVAC); compressor; and the blower fan (set at maximum 136 speed). Since the start-up behaviour of engines is also dependent on the environmental temperature, thus the engine start-up tests were performed at the same ambient temperature (~ 137 138 14 °C) for all the tractors.

139 From each engine cycle, the following parameters were calculated from the recorded data

140 following the procedure reported by Mattetti et al. (2022):

- Duration of start-up  $(t_s)$ ;
- Electrical power delivered by the battery  $(P_{batt})$ ;
- Electrical energy demanded by the starter to start the engine  $(E_{el,st})$ ;
- Volume of fuel injected to accelerate the engine from 0 rpm to the minimum selfsustaining engine rotational speed  $(f_{ini,st})$ ;
- 146 V 147 (

Volume of fuel needed to restore  $E_{el,st}$  in the battery when the engine was running  $(f_{el,st})$ ;

• Equivalent fuel used to start the engine  $(f_{eq,st})$ , calculated using Eq. (1):

$$f_{eq,st} = f_{el,st} + f_{inj,st} \tag{1}$$

- Mean values of the fuel rate (*f<sub>idle</sub>*), emissions of CO (*m<sub>co,idle</sub>*), CO<sub>2</sub> (*m<sub>co2,idle</sub>*), NO (*m<sub>NO,idle</sub>*), and NO<sub>2</sub> (*m<sub>NO2,idle</sub>*) during the idling phase using the approach described in Mattetti et al. (2022);
- Number of seconds of idling beyond which shutting down the engine could reduce
   the amount of consumed fuel (t<sub>eq</sub>), calculated using Eq. (2):

$$t_{eq} = \frac{f_{eq,st}}{\dot{f}_{idle}} \tag{2}$$

154

• Mean value of engine speed during the idling phase  $(n_{e,idle})$ .

155 4.1 Real-world test

In the real-world test, the four tractors remained in use in the experimental farm of the Alma
Mater Studiorum – University of Bologna, Cadriano, BO, Italy. The size of the farm is 500 ha,
of which 67%, 10%, and 23% of the land is devoted to cereals, orchards, and hay, respectively.
The farm consisted of three different units (i.e., areas where tractors are stored overnight) and
located in three different towns; the furthest locations of the farm units are 35 km apart. The

161 tractors were used by the same operators, all of whom had more than 20 years of experience.

162 Each tractor was monitored for a certain period and used for specific farming tasks (Table 2).

Table 2 – Details of the real-world data recording.					
Tractor denotation	STEYR	CASE	NH T7	NH T8	
Period of monitoring (dd/mm/yyyy – dd/mm/yyyy)	01/12/1015 - 17/08/2016	24/06/2020 – 30/10/2020	15/10/2018 – 04/10/2021	14/09/2021 – 22/06/2022	
Main tractor tasks	Tasks with front loaders, weed control	Goods transportation, and haymaking	Goods transportation, primary and secondary tillage	Primary, and secondary tillage tasks	

163

164 For all of the tractors, the following data were recorded using a customised controller area 165 network (CANBUS) data logger previously used by the authors in other studies (Mattetti et al.,

166 2021; Molari et al., 2013):

- 167 • Flow of oil through the i\_th auxiliary values  $(\dot{V}_{fi})$  from the CANBUS (only for 168 tractors equipped with electronically controlled auxiliary valves); 169 Presence of the operator in the cab  $(O_n)$  from the CANBUS; equal to 1 when the 170 operator was in the seat and 0 otherwise; 171 Position of the three-point linkage  $(P_{tlp})$  from the CANBUS; equal to 0 when the 172 rear three-point hitch is fully down and 100% when it is fully up; 173 Speed of the rear PTO shaft and the front PTO shaft from the CANBUS; 174 Tractor ground speed  $(v_t)$  from the global navigation satellite system (GNSS) 175 receiver embedded into the CANBUS data logger. 176 177 Since unnecessary idling is closely linked to the operator behaviour (Molari et al., 2019), the 178 operators were unaware of the recording process and presence of the data logger in the tractor. 179 The CANBUS data logger was configured to record data anytime the tractor engine was turned
- 180 on in order to collect data under the most realistic conditions (Ludes & Steeples, 1999).

181 Idling stops were identified any time the engine load was very low, i.e., when the following182 conditions were met:

•  $n_e$  equal to  $n_{e,idle}$ , calculated from the engine start test of each tractor;

184 •  $v_t$  equal to 0 km h<sup>-1</sup>.

185

Idling stops were classified as unnecessary if they could be avoided with no significant impact on productivity. Based on this definition, unnecessary idling stops were classified as unnecessary when all the following conditions were met:

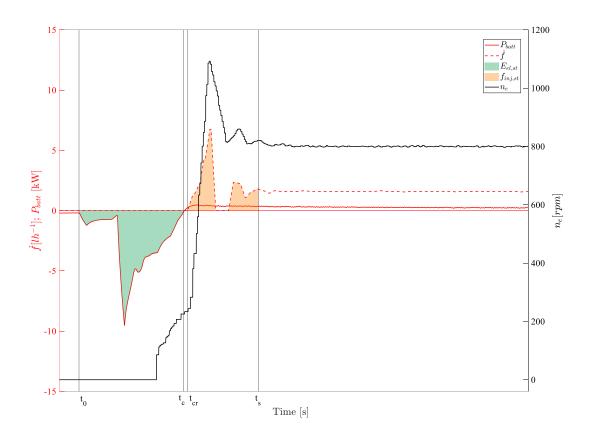
- The operator had not been on the seat for longer than 10 s; thus, very short idling stops
   which could be part of the standard farming manoeuvres were excluded.
- No use of the three-point linkage or any auxiliary valve, meaning the peak-to-peak value of  $P_{tlp}$  or  $\dot{V}_{fi}$  in the idling stop had to be 0; based on this condition, the idling stop to implement hitching was not classified as unnecessary idling.
- $T_c$  had to be between a lower and upper bounds, so that idling stops performed for 195 warming engines and those after heavy loads were not considered to be unnecessary. 196 The latter condition was necessary since engines must be properly cooled after being 197 heavily loaded (Keel-Blackmon et al., 2016). The two boundary temperature values 198 were chosen based on the manufacturers' recommendations provided in each tractor 199 user manual.

Unnecessary idling was reported with a logical variable; from its falling edges, the number of unnecessary idling stops provided the number of additional engine start-ups  $(n_{su})$ . Thus, the potential fuel savings by avoiding unnecessary idling  $(f_{save})$  were calculated using Eq. (1).

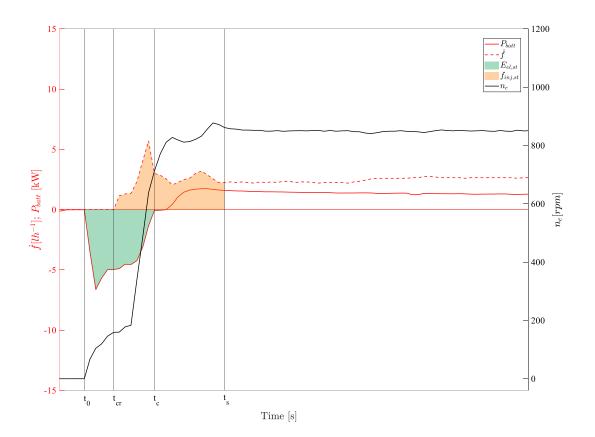
$$f_{save} = t_{unn} \dot{f}_{idle} - n_{su} f_{eq,st} \tag{1}$$

# 203 **3. Results and discussion**

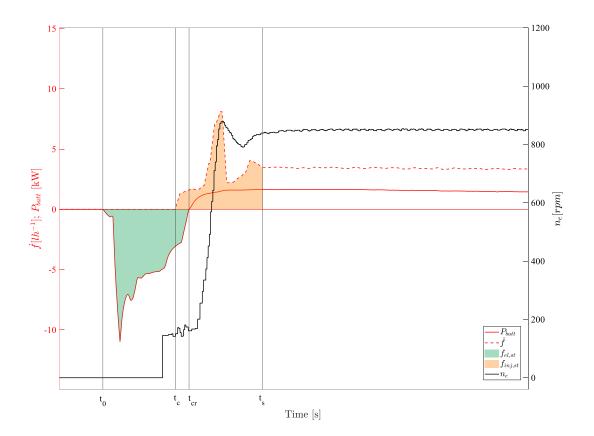
- 204 3.1 Engine-start test
- 205 In Fig. 1, the behaviours of the engine during start-up are reported for all the tractors during
- 206 the AUX ON test, since it is the operating condition that most closely represents real-world
- 207 conditions. No significant differences in the trends with AUX OFF tests were observed.













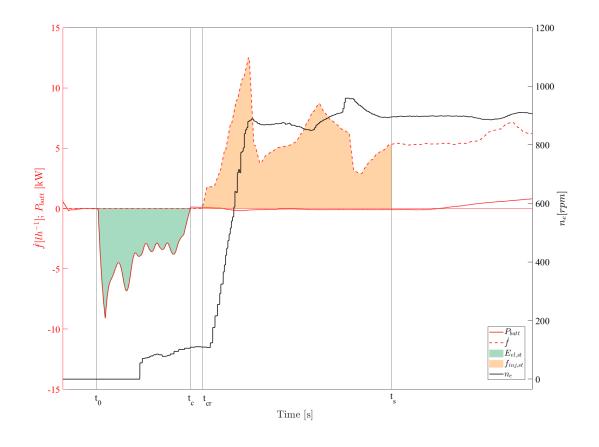


Fig. 1: Portion of fuel rate  $(\dot{f})$ , battery power  $(P_{batt})$ , and engine speed  $(n_e)$  during start-up 208 with the auxiliaries running (AUX ON test). The green area indicates the energy 209 210 required by the starter to run the engine  $(E_{el,st})$ , while the orange area indicates the 211 amount of fuel injected to bring the engine to the self-sustaining idling speed  $(f_{ini,st})$ . 212 a) STEYR, b) CASE, c) NH T7, d) NH T8. t<sub>0</sub> occurred at the initial change of P<sub>batt</sub>, t<sub>c</sub> occurred when  $P_{batt}$  was equal to 0 kW,  $t_{cr}$  occurred at the initial change of  $\dot{f}$ , and  $t_s$ 213 214 occurred when  $n_e$  was equal to  $n_{e,idle}$ . The temporal scale of all the figures is the 215 same.

216 The general behaviour of the signals during the start-up event was similar for all the tractors.

- 217 In particular, the start-up event consists of three phases:
- **Cranking:** where the energy necessary to speed up the engine crankshaft is supplied
- 219 only by the starter motor (DeBruin, 2013), occurring in the time elapsed between  $t_0$
- 220 and the minimum value between  $t_c$  and  $t_{cr}$  in Fig. 1;

d)

- **Crank-to-run transition:** where the energy is supplied both by the starter and the fuel occurring in the time between  $t_c$  and  $t_{cr}$  in Fig. 1. This phase was not present in all the tractors (i.e., NH T8 (Fig. 1 d));
- **Crank-to-run:** where the energy to speed up the engine is supplied only by the fuel, and the fuel injection is controlled by the electronic controlled unit (ECU) to minimise the self-sustaining engine speed (DeBruin, 2013; Humbert & Kibler, 1977). This phase occurred in the time between the maximum value between  $t_c$  and  $t_{cr}$ , and  $t_s$ .
- 229

230 During the cranking phase,  $n_e$  reached the starter speed (ranging from 110 rpm for the NH 231 T8 up to 210 rpm for the STEYR), and from the crank-to-run transition phase, an abrupt change 232 in  $n_e$  was observed. An important aspect during start-up is the increase in  $n_e$ , which should be 233 brief but also regular in order to not induce any driver discomfort (Srinivasan et al., 2015). This 234 was observed for all the tractors except for the STEYR, which showed a large overshoot flare 235 (i.e., the overshoot difference between the peak engine speed and the idle speed). For new batteries, a major single peak of the  $P_{batt}$  signal at the beginning of the start-up event should 236 237 be observed (Kerley et al., 2015); this was the case for most of the tractors. For the NH T8 238 tractor, a second major peak could be observed which is an indication of minimum battery 239 ageing, as shown in a previous study (Kerley et al., 2015). The major differences in the start-240 up events of the tractors were the duration of the start-up event and the distribution of the three 241 start-up phases. The mean value of  $t_s$  for all the tractors and the time contribution of the three 242 phases are reported in Table 3.

243

	STEYR	CASE	NH T7	NH T8
Duration of start-up $(t_s)$ [s]	2.2 / 3.0	2.1 / 2.4	2.6 / 2.7	4.2 / 5.0
Contribution of cranking phase [%]	50 / 58	24 / 21	40 / 45	41 / 32
(with respect to $t_s$ )				
Contribution of crank-to-run transition	0 / 2	38 / 29	6 / 8	0 / 0
phase [%] (with respect to $t_s$ )				
Contribution of crank-to-run phase [%]	48 / 40	38 / 50	54 / 46	51 / 64
(with respect to $t_s$ )				

Table 3 – Mean values of the start-up duration and contribution to the three phases for the AUX OFF / AUX ON test

For all tractors,  $t_s$  ranged between 2 and 5 s and increased with the engine displacement, 245 246 without considering the STEYR. For the STEYR, the  $t_s$  value was similar to that found by 247 DeBruin (2013) for a passenger car equipped with an engine of similar displacement. Despite 248 the STEYR having the smallest engine displacement of the tractors selected, it does not have 249 the smallest  $t_s$ . This was likely caused by the start-up strategy of the engine during which a 250 large overshoot flare could be observed. After the overshoot, the ECU automatically stopped 251 the fuel injection to decelerate the engine to bring it to  $n_{e,idle}$ . Thus, STEYR required a longer time to achieve a steady  $n_e$  value (Fig. 1a). Longer start-up events were observed for AUX ON 252 253 tests than AUX OFF tests; indeed, the  $t_s$  values for the AUX ON tests were as much as 40% 254 greater than those of the AUX OFF tests. This is likely caused also by the greater load required 255 to run the engine when the auxiliary equipment was activated. For most of the tractors, the 256 cranking and the crank-to-run phases were the longest, except for the CASE IH where a much 257 longer crank-to-run transition was observed. Indeed, the crank-to-run transition phase accounted for approximately 0.8 s for the CASE IH. For the NH T8 and STEYR for the AUX 258

OFF test, there was no crank-to-run transition phase (Fig. 1 - d); as can be seen in Table 3, the sum of the phase percentages is not 100%. The cranking phase ranged from 0.5 s for the CASE to 1.8 s for the STEYR. The latter values are much greater than those observed for passenger cars, for which they are up to 0.8 s (Canova et al., 2009; DeBruin, 2013).

263 Considering that all the engines were manufactured by the same vendor, they will likely 264 share the same design principles. Also, it can be assumed that the mechanical losses and 265 auxiliary loads should be mostly proportional with engine displacement (Lee et al., 1999; Sorrentino et al., 2015). This assumption is confirmed by the fact that  $f_{eq,st}$  and  $\dot{f}_{idle}$  increase 266 monotonically with the engine displacement (Table 4). In the AUX ON tests,  $f_{eq,st}$  values were 267 up to 40% greater than those in AUX OFF tests. For the NH T8, this increase was negligible, 268 269 likely due to the fact that, in this tractor, auxiliary equipment was disconnected during the start-270 up to reduce the starter load. This type of design architecture is common in vehicles equipped 271 with a similar engine displacement to that of NH T8. For all the tractors, most of the fuel used 272 during start-up was injected during the start-up phase (ranging from 50% to 85%) and not 273 during idling period to recharge the battery. This contribution increased with the activation of 274 the auxiliary equipment for all the tractors except for the NH T8.

275

	brackets.				
	STEYR	CASE	NH T7	NH T8	
f <sub>eq,st</sub>	1.29 (6.55 10 <sup>-2</sup> ) <sup>A</sup>	1.44 (5.38 10 <sup>-2</sup> ) <sup>B</sup>	2.30 (8.91 10 <sup>-2</sup> ) <sup>C</sup>	7.57 (2.77 10 <sup>-1</sup> ) <sup>D</sup>	
[ml]	/	/	/	/	
	1.54 (7.98 10 <sup>-2</sup> ) <sup>A</sup>	2.04 (7.81 10 <sup>-2</sup> ) <sup>B</sup>	2.62 (1.11 10 <sup>-1</sup> ) <sup>C</sup>	7.57 (8.53 10 <sup>-2</sup> ) <sup>D</sup>	
$m_{CO_2,st}$ [g]	3.4 (1.7 10 <sup>-1</sup> ) <sup>A</sup>	3.8 (1.4 10 <sup>-1</sup> ) <sup>B</sup>	6.1 (2.3 10 <sup>-1</sup> ) <sup>C</sup>	19.9 (7.3 10 <sup>-1</sup> ) <sup>D</sup>	
_	/	/	/	/	
	4.0 (2.1 10 <sup>-1</sup> ) <sup>A</sup>	5.4 (1.4 10 <sup>-1</sup> ) <sup>B</sup>	6.9 (3.0 10 <sup>-1</sup> ) <sup>C</sup>	19.9 (2.2 10 <sup>-1</sup> ) <sup>D</sup>	
f <sub>el,st</sub>	50 (2.3) <sup>A</sup>	31 (1.3) <sup>B</sup>	40 (1.4) <sup>C</sup>	15 (1.4) <sup>D</sup>	
[%]	/	/	/	/	
	42 (2.5) <sup>A</sup>	24 (1.1) <sup>A</sup>	40 /2.4) <sup>B</sup>	23 (1.2) <sup>B</sup>	
f <sub>inj,st</sub>	50 (2.3) <sup>A</sup>	69 (1.3) <sup>B</sup>	60 (1.4) <sup>C</sup>	85 (1.4) <sup>D</sup>	
[%]	/	/	/	/	
	58 (2.5) <sup>A</sup>	76 (1.1) <sup>B</sup>	60 (2.4) <sup>A</sup>	77 (1.2) <sup>B</sup>	
<i>İ</i> idle	1.3 (9.5 10 <sup>-3</sup> ) <sup>A</sup>	2.1 (9.6 10 <sup>-3</sup> ) <sup>B</sup>	2.4 (6.2 10 <sup>-2</sup> ) <sup>C</sup>	6.2 (1.2 10 <sup>-2</sup> ) <sup>D</sup>	
$[1 h^{-1}]$	/	/	/	/	
	1.6 (1.1 10 <sup>-2</sup> ) <sup>A</sup>	2.7 (5.7 10 <sup>-2</sup> ) <sup>B</sup>	3.0 (2.7 10 <sup>-2</sup> ) <sup>C</sup>	6.6 (4.6 10 <sup>-2</sup> ) <sup>D</sup>	
<i>ṁ<sub>CO₂,idle</sub></i>	3.4 (3.8 10 <sup>-2</sup> ) <sup>A</sup>	5.3 (5.9 10 <sup>-2</sup> ) <sup>B</sup>	6.29 (1.6 10 <sup>-1</sup> ) <sup>C</sup>	16.3 (1.1 10 <sup>-1</sup> ) <sup>D</sup>	
$[kg h^{-1}]$	/	/	/	/	
	4.0 (1.4 10 <sup>-1</sup> ) <sup>A</sup>	7.0 (3.2 10 <sup>-1</sup> ) <sup>B</sup>	7.83 (7.1 10 <sup>-2</sup> ) <sup>C</sup>	17.1 (2.4 10 <sup>-1</sup> ) <sup>D</sup>	
t <sub>eq</sub>	3.6 (1.9 10 <sup>-1</sup> ) <sup>A</sup>	2.5 (9.5 10 <sup>-2</sup> ) <sup>B</sup>	3.5 (1.6 10 <sup>-1</sup> ) <sup>A</sup>	4.4 (1.4 10 <sup>-1</sup> ) <sup>C</sup>	
[s]	/	/	/	/	
	3.5 (1.7 10 <sup>-1</sup> ) <sup>A</sup>	2.7 (7.5 10 <sup>-2</sup> ) <sup>B</sup>	3.1 (1.4 10 <sup>-1</sup> ) <sup>C</sup>	4.1 (5.0 10 <sup>-2</sup> ) <sup>D</sup>	

Table 4 – Mean values of the fuel metrics calculated from the engine start test. AUX OFF / AUX ON test. In the parentheses, the standard deviation values are reported. Means with the same letter on the same row do not differ statistically (p>0.05) by the ANOVA test. Standard deviations are reported in

277 It can be seen from Table 4 that most of the study parameters are significantly influenced by 278 the engine characteristics, as shown by the results of the multi comparison ANOVA test.  $\dot{f}_{idle}$ 279 monotonically increases with engine power; this occurs because the larger engines require more 280 fuel due to the higher mechanical losses (Bartolomei, 2021; Sorrentino et al., 2015), and also 281 because during idling, approximately 38% of the fuel is used to run the auxiliaries (Saetti et al., 282 2021). The higher the engine power, the higher the accessory power demands since they are 283 typically sized as a function of engine rated power (Campbell et al., 2012). However, it was not 284 possible to define a clear relationship between engine displacement and typology since the ECU 285 fuel injection logic and the amount of fuel injected for each tractor plays a crucial role in fuel and emissions generated during start-ups. The recorded mean values of  $t_{eq}$  varied significantly 286 between the tractors; however, it has a very low test-to-test variability on the same tractor. 287 Indeed, for all the tests,  $t_{eq}$  ranged from 2.5 s up to 4.4 s, meaning that  $f_{eq,st}$  and  $\dot{f}_{idle}$  increased 288 289 at a similar rate to the increase in engine displacement. The only exception to this trend is the 290  $t_{eq}$  value for STEYR which was greater than those of the CASE and NH T7, which are equipped 291 with larger engines. This result can be caused by the irregular start-up of the STEYR (Fig. 1 -292 a), which may decrease the fuel efficiency during start-up. Indeed, for the STEYR, the peak value of  $\dot{f}$  was approximately 4 times higher than  $\dot{f}_{idle}$ , while for the other engines  $\dot{f}$  was less 293 than 2.7 times higher than  $\dot{f}_{idle}$ . The values of  $t_{eq}$  found in this study are shorter than the 294 295 minimum duration requirement of unnecessary idling (i.e., 10 s) and are also well below those reported in another study on passenger cars; in that study,  $t_{eq}$  was found to be around 10 s 296 (Gaines et al., 2013). The one-way ANOVA test performed on  $f_{eq,st}$ ,  $f_{el,st}$ ,  $f_{inj,st}$ ,  $\dot{f}_{idle}$  and  $t_{eq}$ 297 298 for every tractor between AUX ON and AUX OFF showed a significant difference between the 299 two configurations in the majority of tractors, with the exception of STEYR where no 300 significant difference between the two AUX test were observed for any start-up parameter.

In Table 5, GHG and pollutant emissions metrics calculated from the engine start-up test are
 reported. Differences in the values between tractors were due to the different after-treatment
 systems, engine displacement, and design (Table 1).

304

Table 5 – Mean values of emission metrics calculated from the engine start test. AUX OFF / AUX ON test. NH T7  $\dot{m}_{NO,idle}$  and  $\dot{m}_{NO_2,idle}$  are not reported since they were not available in Mattetti et al (2022). Means with the same letter on the same row do not differ statistically (p>0.05) by ANOVA test. Standard deviations are reported in the

		parentheses.		
	STEYR	CASE	NH T7	NH T8
$\dot{m}_{CO,idle} \left[g \ h^{-1}\right]$	1.5 10 <sup>-2</sup> (1.1 10 <sup>-2</sup> ) <sup>A</sup>	0.0 (0.0) <sup>B</sup>	3.4 10 <sup>-1</sup> (2.2 10 <sup>-2</sup> ) <sup>C</sup>	0.0 (0.0) <sup>B</sup>
	/	/	/	/
	8.3 10 <sup>-4</sup> (1.3 10 <sup>-3</sup> ) <sup>A</sup>	0.0 (0.0) <sup>B</sup>	3.9 10 <sup>-1</sup> (6.1 10 <sup>-3</sup> ) <sup>C</sup>	0.0 (0.0) <sup>B</sup>
$\dot{m}_{NO,idle} \left[g \ h^{-1}\right]$	2.9 10 <sup>-1</sup> (1.1 10 <sup>-2</sup> ) <sup>A</sup>	$2.3 \ 10^{-1} \ (1.5 \ 10^{-1})^{\text{A}}$	-	1.6 10 <sup>-1</sup> (1.3 10 <sup>-1</sup> ) <sup>A</sup>
	/	/	/	/
	3.5 10 <sup>-1</sup> (2.8 10 <sup>-2</sup> ) <sup>A</sup>	$1.6 \ 10^{-1} \ (1.3 \ 10^{-1})^{B}$	-	6.4 10 <sup>-2</sup> (5.1 10 <sup>-2</sup> ) <sup>B</sup>
$\dot{m}_{NO_2,idle} \left[g \ h^{-1}\right]$	$1.3 \ 10^{-2} \ (1.3 \ 10^{-2})^{\text{A}}$	2.0 10 <sup>-2</sup> (2.2 10 <sup>-2</sup> ) <sup>A</sup>	-	$4.1 \ 10^{-1} \ (1.3 \ 10^{-1})^{B}$
	/	/	/	/
	2.8 10 <sup>-2</sup> (1.3 10 <sup>-2</sup> ) <sup>A</sup>	1.0 10 <sup>-2</sup> (1.9 10 <sup>-2</sup> ) <sup>A</sup>	-	2.8 10 <sup>-1</sup> (2.5 10 <sup>-1</sup> ) <sup>B</sup>

306 For STEYR and NH T7, which are not equipped with a DOC system, CO emissions were 307 measured during idling due to incomplete fuel oxidation; this likely could have been caused by 308 low turbulence in the idling conditions and the low temperature in the combustion chamber. In 309 contrast, for the NH T8 and CASE tractors, no emissions of CO were observed due to the 310 presence of the DOC system which effectively oxidises CO and unburned hydrocarbons from 311 the engine exhaust (Lakkireddy et al., 2006). This means that for tractors equipped with DOC, 312 turning off the engine when it is not needed had no advantage in terms of CO emissions. The 313 mean value of NO emissions decreases with the engine displacement; however, there was no 314 significant difference between the tractors in the AUX OFF configuration. In contrast, the NO<sub>2</sub> 315 emissions show the opposite trend, although the values observed for the STEYR and the CASE 316 are not significantly different. This behaviour is primarily influenced by the aftertreatment 317 systems as opposed to the engine displacement, as previously discussed.  $\dot{m}_{NO,idle}$  was 318 considerably higher than  $\dot{m}_{NO_2,idle}$ , which is expected for naturally aspirated engines not 319 equipped with an SCR catalyst. For these systems, the  $\frac{\dot{m}_{NO,idle}}{\dot{m}_{NO,idle}} + \frac{\dot{m}_{NO_2,idle}}{\dot{m}_{NO_2,idle}}$  ratio can be as high as 0.95 (Ko et al., 2019); in more recent turbocharged engines with SCR catalysts, 320

this ratio can be higher (Wild et al., 2017). For tractors equipped with SCR systems,  $\dot{m}_{NO_2,idle}$ 321 322 was considerably higher than  $\dot{m}_{NO,idle}$  due to the injection strategy of the ammonia/water mix. 323 The relatively low operating temperature of the exhaust, which was in the range of 180 °C for 324 these tests, decreased the overall effectiveness of the SCR; in the presence of a reheat of 325 ammonium nitrate, a partial conversion of NO into NO<sub>2</sub> can occur (Koebel et al., 2002). The mean total NO<sub>x</sub> emissions during idling for this tractor were 0.03 g kWh<sup>-1</sup>, which is significantly 326 327 less than the limit of 0.40 g kWh<sup>-1</sup> imposed by STAGE V emission standards for nonroad 328 engines (International Council on Clean Transportation, 2016). Thus, turning off the engine did not significantly affect NOx emissions generated by the tractor during use. Moreover, 329 330 comparing these results with those reported in a previous study (Brodrick et al., 2002), the 331 emissions were reduced by three orders of magnitude, indicating a strong quantitative impact 332 of the emission regulations imposed by policy makers.

#### 333 3.2 Real-world test

334 The results of the real-world test are reported in Table 6. As can be seen, the idling durations 335 of the NH T7 and STEYR are near the mean value reported in other studies (i.e., ~22%) (Jenkins, 1960; Perozzi et al., 2016). However, the idling durations for the NH T8 and the CASE 336 337 differed significantly from the mean value found by Perozzi et al., (2016). Indeed, the idling 338 duration of the NH T8 was even lower than the minimum value observed by Perozzi et al (2016), while the idling duration for the CASE tractor was near the 97.5<sup>th</sup> percentile observed 339 by Perozzi et al (2016) in the group of tractors denoted EU in that paper. The low idling duration 340 341 of the NH T8 could be due to the tractor being used primarily for heavy tillage operations on 342 very large fields, which likely involves very few deadtimes resulting from activities such as 343 implementing hitching (Mattetti, Medici, et al., 2022). This was validated by the mean number 344 of daily working hours which was significantly higher than the other tractors. By contrast, the 345 long idling duration of the CASE could be explained by the prolonged use of the tractor for 346 supporting combines during harvesting which involved long idling stops also for keeping the 347 cab in the desired thermal comfort zone. Under such operating conditions, tractors run on idle 348 while the farmers wait for the combines to be fully loaded. For all the tractors, the unnecessary 349 idling durations ranged from 45% of the entire idling for the NH T7 up to 72% for the CASE; 350 these engine idling durations resulted in fuel consumed for idling between 1.9% and 6.8% of 351 the entire used fuel, for the NH T8 and CASE, respectively. Considering that the standard deviation of  $\dot{f}_{idle}$  is relatively small as shown in Table 3, in absolute terms, the fuel used for 352 353 idling can be considered to be almost linearly dependent on the amount of time spent by the 354 tractor in idling. However, in relative terms, the fuel used for idling is affected by the power 355 delivered by the engine in non-idling operations (Jenkins, 1960; Mattetti et al., 2019). Indeed, 356 the amount of fuel consumed in 100 h by the CASE was only 9% higher than that of the STEYR, 357 despite the fact that the nominal power of the CASE is 16% higher than that of the STEYR. 358 This result leads to the conclusion that the STEYR operated at greater engine load than that of the CASE. The tractor with the lowest  $f_{save}$  was the NH T8, while the tractor with the highest 359 360  $f_{save}$  was the CASE. For all tractors, the percentage of idling stops classified as unnecessary 361 ranged from 51 to 73%; the number of idling stops classified as unnecessary in 100 h is 362 associated with additional start-ups required by the starters  $(n_{su})$  and the additional load of each 363 starter. This load ranged from 2.6 to 7.5 times the number of start-ups experienced by the tractor 364 during its monitored usage. This additional load is high, but batteries are designed to withstand 365 a high number of charge/discharge cycles (Yonezu & Ando, 1983). However, if tractors are to 366 be equipped with a start-stop system, it would be beneficial to equip them with enhanced 367 flooded batteries which are designed specifically for vehicles equipped with start-stop systems 368 (Horie et al., 2007).

- 369 For all tractors, the mean duration of unnecessary idling stops was much shorter than that of
- 370 necessary idling stops (Table 6). For all tractors, the mean duration of all idling stops ranged
- 371 from 28 to 197 s which are well above  $t_{eq}$  resulting from the engine start-up tests (Table 4).
- 372 This result leads to the conclusion that turning off the engine conserves fuel in most cases. The
- 373 values for the NH T7 changed slightly due to the updated classification for unnecessary idling
- used in this study in relation to that adopted in Mattetti et al. (2022).

	STEYR	CASE	NH T7	NH T8
Number of working days	205	66	290	57
Accumulated hours [h]	999	371	1314	493
Mean daily operation [hh:mm]	4:52	5:37	4:31	8:39
Total idling [% with respect to	16.5	31.5	25.0	10.0
accumulated hours]				
$t_{unn}$ [% with respect to accumulated	12.3	23.9	12.4	5.9
hours]				
Total used fuel [1 100 h <sup>-1</sup> ]	749	815	1672	2761
Fuel used for idling [% with respect	2.7	6.8	3.4	1.9
to the total used fuel]				
$f_{save}$ [% of the total consumed	1.9	5.1	1.7	1.1
fuel]				
Number of idling stops in 100 h	527	639	415	460
<i>n<sub>su</sub></i> in 100 h	375	438	227	229
Mean duration of necessary idling	28	42	108	33
stops [s]				
Mean duration of unnecessary	118	196	197	87
idling stops [s]				

*Table 6 – Fuel and emission metrics calculated from the engine start test.* 

# 376 **6. Conclusions**

The agricultural machinery industry must develop powertrains with higher fuel efficiencies and farmers must use fuel more efficiently for agricultural machinery to minimise GHG emissions and maintain profit margins. The industry has been pushing towards hybrid 380 powertrains as well as powertrains with alternative fuels, but such solutions are not yet widely 381 available. An immediate and ready-made solution is to address the issue of engine idling. This 382 report presents the first comprehensive study investigating engine idling and the start-up 383 process for agricultural tractors. In this study, four types of tractors were tested; the results 384 showed that, in the worst case, turning off the engine conserves fuel for idling stops longer than 385 4.4 s. This result was consistent for the different engine architectures likely to be used because 386 the engines with larger displacement showed proportional fuel requirements for starting the 387 engine and maintaining idling. In this analysis, most of the engine idling was classified as 388 unnecessary, which could therefore be avoided. In terms of emissions, CO and NO<sub>x</sub> levels were 389 not shown to be of concern during idling, especially in tractors equipped with DOC and SCR 390 systems. Reducing idling time is also important in reducing particulate matter emissions since 391 high temperatures during idling can be developed inside the particulate filter which reduces the 392 filter durability. Unnecessary idling accounted for at least 1.2% of annual fuel consumption. 393 Applying that rate of fuel savings to the nearly 70 million tonnes of CO<sub>2</sub> emissions annually 394 that are attributed to agricultural mechanisation, if operators turn off the tractor engine for 395 unnecessary idling stops, CO<sub>2</sub> emissions could be reduced by 770 thousand tonnes, equivalent 396 to 29 thousand of litres of fuel. These are meaningful reductions that can be achieved 397 immediately with no significant effort. It is acknowledged that these figures are optimistic, 398 since operators do not always know beforehand that an idling stop is necessary. Therefore, an automatic system, such as a start-stop system, should be implemented. To support this goal, the 399 400 emerging trend of electrification in agricultural machinery may enable the required automation 401 to avoid discomfort to farmers caused in turning off the engine during unnecessary idling stops. 402 Acknowledgements

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