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Northern Adriatic environmental changes since 500 AD reconstructed at Aquileia (Italy)

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1 Northern Adriatic environmental changes since 500 AD

2 reconstructed at Aquileia (Italy)

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40 Highlights

- Palaeoecological study of Aquileia, the ninth city of the Roman Empire
- Insights into the ecosystem dynamics for the post-Roman period
- Climate pressures shaped ecosystem dynamics
- Anthropogenic activities acted as secondary pressures
- Late Antique Little Ice Age was the coolest and driest episode recorded
- 46

47 Abstract

48 The fluvial harbour of Aquileia (Italy), one of the most important Roman trading centres in the Mediterranean, was abandoned after the city's destruction in 452 AD. The deserted harbour 49 50 evolved into a swamp surrounded by a floodplain that has recorded the anthropogenic, environmental and climatic pressures that have occurred during the last 1500 years in the 51 northern Adriatic. Focusing on the period since 500 AD, we here reconstruct the area's long-52 term ecosystem dynamics. We show that ecosystem dynamics mainly mirror the climate phases 53 of the pre-industrial era. After the Roman era, anthropogenic activities (agriculture, pasture and 54 fire activity) declined in scope and amplitude and are chronologically limited (from the late 7th 55 to the early 13th centuries AD), acting as a background pressure on ecosystems. The main non-56 human impacts recorded by ecosystems correspond to the Late Antique Little Ice Age, defined 57 by an average temperature anomaly of -2.04±0.17°C, exceeding the Pre-industrial Little Ice 58 Age by -1.26±0.16°C in severity. The temperatures reconstructed for the Medieval Climate 59 Anomaly are close to those recorded for the 20th century AD (average anomaly of 0.08±0.15°C) 60 but they differ from the 21st century AD, according to the CRUTEM4 data. Aquileia shows 61 that ancient harbours are key areas to understand how climate and human societies have shaped 62 northern Adriatic environments since the post-Roman period. 63

64

65 Keywords

66 Aquileia, Fluvial harbour, Ecosystems, Anthropogenic activities, Climate, Late Holocene, Italy

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69 **1. Introduction**

70 The reconstruction of long-term environmental dynamics from ancient harbours has highlighted 71 the importance of bio- and geo-sciences in understanding coastal man-made environments resulting from the Mediterranean's long and rich maritime history (e.g. Marriner and Morhange, 72 73 2007; Marriner et al., 2014). In Italy, numerous seaports and associated fluvial harbours have 74 been intensively studied, providing an in-depth understanding of the human activities and 75 anthropogenic pressures on environments since the emergence and development of these port complexes. Harbours such as Altinum-Venice (Ninfo et al., 2009), Portus Lunae-Luni (Bini et 76 77 al., 2012), Portus-Rome (Sadori et al., 2010, 2015; Mazzini et al., 2011), Ostia-Rome (Salomon et al., 2018), Neapolis-Naples (Allevato et al., 2010; Di Donato et al., 2018), Portus 78 79 Pisanus-Pisa (Kaniewski et al., 2018) or Pisa S. Rossore (Mariotti Lippi et al., 2007; Bini et al., 2015) have furnished key information on how human societies have shaped long-term 80 landscape dynamics. While most studies have focused on the harbour phase, few analyses have 81 focused on the post-harbour period, when structures were abandoned and anthropogenic 82 83 pressures decreased or even disappeared (e.g. Marriner and Morhange, 2006; Morhange et al., 2016). 84

Lying on the border between the Friuli plain and the edges of the Grado lagoon (Fig. 1), 85 86 Aquileia, a Roman colony founded in 181 AD, and a UNESCO site since 1998, was located in a highly dynamic natural environment shaped by fluvial activity (Arnaud-Fassetta et al., 2003). 87 88 Fresh, brackish and sea waters alternatively presented opportunities and risks for local communities and the site has been the object of long-term archaeological investigations and 89 90 projects. During the Roman period, Aquileia was the focus of a communication network, consisting of terrestrial routes implemented by a system of natural and artificial waterways 91 which provided access to the sea and maritime trade routes (Cottica and Ventura, 2019). The 92 city soon became the most important trading centre (glass, wine, oil, olives, wool, gold and 93 spices) of the northern Adriatic area (Zaccaria and Pesavento Mattioli, 2009). By the 1st century 94 AD, Aquileia had become a thriving centre, a major emporium in the Mediterranean and the 95 Adriatic Sea, and a key site in commercial routes, lying at a crossroads for trade goods transiting 96 from the Mediterranean Sea to the Danube provinces (Carre, 2008; Maggi et al., 2017). 97 Furthermore, the site played a key role in Roman imperial political and military history: from 98 99 the age of Marcus Aurelius onwards, it withstood several attacks until it fell under the siege of Attila in 452 AD, following the invasion of the Huns. Subsequently, Aquileia lost its importance 100 for ~200 years before regaining its status as the region's principal city in the 7th century AD 101

with the rise of patriarchal rule. Aquileia gradually declined during the 15th century due to the
increasing power of the Republic of Venice (Capulli, 2013).

Despite its important historical status, few environmental studies have been undertaken at Aquileia (Arnaud-Fassetta et al., 2003, 2010; Siché et al., 2004), one of the most significant fluvial harbours of the Roman Empire (Carre, 2008; Cottica et al., 2018; Cottica and Ventura, 2019). The geographical location of the city, however, makes it a key area to probe the environmental transitions that have occurred over the past centuries in the northern Adriatic coastal plain.

Here we investigate the post-Roman ecosystem dynamics of Aquileia to i) reconstruct how the 110 environments have evolved during the last 1500 years, ii) investigate the major tipping points 111 in ecosystem dynamics and iii) probe the environmental and climate forcing factors. Using a 112 fossil record, we provide an in-depth ecological study of the area, reconstructing the major 113 vegetation changes and their evolution through time. We also furnish a pollen-based climate 114 reconstruction to identify the climate changes during the pre-industrial era [Late Antique Little 115 Ice Age (LALIA), Medieval Climate Anomaly (MCA), and Pre-industrial Little Ice Age (LIA)]. 116 117 Aquileia shows that harbours are key areas to understand how the Mediterranean environment was shaped by both human societies and natural factors during recent centuries. 118

119

120 2. Present-day vegetation

The area is located at the boundary of the Mediterranean belt. Though extensively transformed 121 by human activities, nowadays, the vestiges of the fluvial harbour area are surrounded by 122 patches of Quercus robur, Carpinus betulus, Crataegus monogyna, Ligustrum vulgare and 123 124 Cornus sanguinea with man-made stands of Pinus pinea and Cupressus sempervirens along the old quays. Several species of Prunus (P. domestica, P. avium, P. mahaleb, P. spinosa) develop 125 in the adjacent areas. The riparian vegetation is composed of rare patches of Salix alba, Populus 126 alba and Alnus glutinosa along with a dense wet meadow composed of Filipendula ulmaria, 127 128 Agrostis stolonifera, Phragmites sp. and a Magnocaricion (including Carex sp., Cyperus sp., Mentha aquatica and Sagittaria sp.). 129

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133 **3. Material and methods**

134 *3.1. The core*

Biological indicators were extracted from the 450-cm Aquileia core III (AQ III) drilled in the 135 ancient Roman harbour (45°46'29.068"N, 13°22'10.376"E, 1 m a.s.l.) during a field campaign 136 in 2020 (Fig. 1). The grain-size analysis was performed with H₂O₂ treatment and removal of 137 organic matter. Only the granular fraction was analysed. The lithology of the core AQ III is 138 organized as follows: the bottom two meters (450-250 cm) comprise sandy deposits overlain 139 140 by 142 cm of silt, organic silt and peat (250-108 cm). The upper levels correspond to a siltysandy matrix (108-0 cm; Fig. 2). No evidence of stratigraphic hiatuses was observed in the core 141 or laboratory data. The core was sampled every 2 cm on average. Bioindicators are only well-142 preserved in the silt-organic-peat layers. 143

144

145 *3.2. Chronology*

The chronology of the core AQ III (Fig. 2) is based on seven accelerator mass spectrometry 146 (AMS) ¹⁴C dates performed on short-lived samples (small leaves) at 110 cm (200±30 ¹⁴C yr 147 BP), 126 cm (270±30 ¹⁴C yr BP), 156 cm (380±30 ¹⁴C yr BP), 166 cm (470±30 ¹⁴C yr BP), 204 148 cm (1260±30 ¹⁴C yr BP), 232 cm (1490±30 ¹⁴C yr BP), and 246 cm(1540±30 ¹⁴C yr BP). 149 Aquatic remains were excluded, and all of the botanical macro-remains sent to Beta Analytic 150 (Florida) comprised small leaves from deciduous vegetation. The ¹⁴C dates were calibrated to 151 2 sigma (σ) using Calib Rev 8.0.1 (IntCal20). All the calibrated ages are denoted in AD, 152 consistent with the historical data. The age-depth model is based on a linear interpolation 153 between each of the intercepts (and the 2σ). The interpolation is compared with a linear model 154 155 and a polynomial model in order to estimate the difference between the two signals (Fig. 2). Sediment compaction during coring was calculated in order to obtain the real depth of each 156 deposit. Subsequently, the sedimentation rate between each ${}^{14}C$ date was calculated (Fig. 2). 157 Because samples were taken at regular intervals along the 250-cm sediment column, the 158 159 chronological resolution is directly dependent on the sedimentation rate (Fig. 2).

160

161 *3.3. Biological data*

Samples from the core AQ III were prepared for pollen analysis using standard procedures forclay-silt samples (fully detailed in Faegri and Iversen, 1989). Pollen grains were counted under

x400 and x1000 magnification using an Olympus microscope. Pollen frequencies (expressed as 164 percentages) are based on the terrestrial pollen sum, excluding local helophytes, macrophytes 165 and spores of non-vascular cryptogams. Aquatic taxa frequencies were calculated by adding the 166 local helophytes-macrophytes to the terrestrial pollen sum. The mean pollen sum was 507 ± 145 167 pollen grains, with a minimum of 240 pollen grains (there were only five samples with less than 168 300 pollen grains). The median value was 547 pollen grains, with a 25th percentile of 383 pollen 169 grains and a 75th percentile of 627 pollen grains. The mean number of taxa was 59±5 taxa, with 170 a minimum of 42 taxa. The median value was 60 taxa, with a 25th percentile of 58 taxa, and a 171 75th percentile of 63 taxa. The palynological data are presented as a detailed pollen diagram in 172 Fig. 3. The river floodplain vegetation is shown as a second detailed pollen diagram (Fig. 4). 173

To support the identification of the main environmental changes along the core, sixteen samples
were also collected for qualitative analysis of the ostracod fauna with a resolution of <15 cm.
Samples were prepared adopting the standard methodology (e.g. Amorosi et al., 2013) and the
taxonomic identification was based on Henderson (1990).

178

179 *3.4. Statistical analyses*

Statistical analyses were performed using XI-Stat²⁰¹⁹ (https://www.xlstat.com), R 4.1.0 (R Core 180 Team, 2021) and the software package PAST 4.08 (Hammer et al., 2001). All the long-term 181 trends were calculated using a polynomial model (order 5 - lowest standard deviation, Pvalue < 182 0.001; the R² is mentioned on each graph). Pollen data were studied using a cluster analysis 183 (descending type; Fig. 5) to calculate a dendrogram, using branches as ecological distances 184 between groups of taxa. The test was performed using Paired group as algorithm and 185 Correlation as the similarity measure. Each cluster was summed to generate pollen-derived 186 vegetation patterns (PdVs) and assigned to a potential location, from the dryland to the 187 floodplain, referring to modern patches of vegetation (Fig. 5). The riparian forest (fen trees) 188 was added to the marshland plants (macrophytes and helophytes) to create a curve reflecting 189 190 the floodplain dynamics (Fig. 6A). A principal component analysis (PCA) was subsequently performed to test the ordination of samples by assessing major changes in the PdV-scores. The 191 192 first axis (PCA-Axis 1), which carries the maximum variance (77% of total variance), was extracted. The PdVs influenced by the floodplain are loaded by the positive PCA-Axis 1 scores 193 194 while the PdVs located beyond the influence of the river are loaded by the negative scores. A regular chronological interpolation (10-yr) was applied to the dataset in order to reduce biases 195

linked to chronological gaps, particularly at the bootstrap level. We then transformed the PCA-Axis1 scores using a Loess smoothing (with a LOWESS algorithm) and performed a bootstrap to estimate a 95% confidence band based on 1000 random replicates. The Loess curve and the 95% confidence band were used as a proxy for the floodplain dynamics (Fig. 6B). The cultivated species and pasture activities were added to test their occurrences in a context of long-term floodplain changes (Fig. 6C).

202 Variations in the floodplain (fen trees, helophytes and macrophytes) were further contrasted with the dynamic of the dry floodplain scrubs, using both a synthetic diagram and a Kernel 203 204 density 2D model (with Gaussian as function; Fig. 7A). The two time-series were then tested using a cross-correlation ($P_{value} < 0.001$; the R^2 value is indicated on Fig. 7A). The floodplain 205 dynamics (fen trees and helophytes) were subsequently compared to the dryland components 206 207 (pine-oak woodland cluster), using both a synthetic diagram and a Generalized Linear Model (Fig. 7B). The two time-series were also tested using a cross-correlation ($P_{value} < 0.001$; the R^2 208 value is indicated on Fig. 7B). A Gradient Species Packing test was finally added to identify 209 210 the distribution of each cluster, using the floodplain as a stationary reference (Fig. 7C).

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212 *3.5. Agriculture, pasture activities and fire*

Agriculture activities are mainly based on cereals (Poaceae cerealia) with a diversified arboriculture comprising grapevines (*Vitis vinifera*), olive trees (*Olea europaea*), walnut trees (*Juglans regia*) and diverse Rosaceae (*Prunus* sp.). Pasture activities are identified by various genera such as knapweed (*Centaurea*), plantain (*Plantago*), knotweed (*Polygonum*) and sorrel (*Rumex*). To identify human impacts, a pasture activities/agriculture ratio was calculated (Fig. 8A). All the long-term trends were calculated using a polynomial model (order 5, P_{value} < 0.001).

The fire history of Aquileia was reconstructed by counting charcoal particles (50-200 µm) on 219 220 the pollen slides. The 50 µm size criterion was chosen to avoid confusion between microscopic charcoal fragments and opaque minerals, which are typically $< 50 \mu m$ (Parshall and Foster, 221 222 2002; Pederson et al., 2005). We adopted the 200-300 items (sum of charcoal particles) defined by Finsinger and Tinner (2005). A first charcoal concentration curve (fragments per cm⁻³) was 223 224 drawn according to a linear age-scale (Fig. 8A). The fire activity was compared with the ratio pasture activities/agriculture, with the dynamics of the mixed oak forest (Fig. 8B), and with 225 226 agricultural activities (Fig. 8C). Charcoal concentrations were converted into charcoal accumulation rates (CHAR) based on the sedimentation rate estimated by the age-depth model 227

performed in R 228 (Fig. 8D). These analyses were using **R-PaleoAnomalies** (https://github.com/wfinsinger/R-PaleoAnomalies), a code which builds on CharAnalysis. The 229 analysis comprised the following steps: i) The CHAR record was resampled to equal time 230 intervals (20-yr) using a window corresponding to the mean sedimentation rate of the record, 231 ii) the data were log-transformed to homogenize variance, iii) the "Background Component" 232 was estimated with a local polynomial (100-yr moving window), facilitating the calculation of 233 the "Peak Component", and iv) fire episodes were detected using a threshold within the range 234 of values with the lowest sensitivity to the number of peaks detected, derived by plotting a 235 236 frequency distribution histogram of the peak component. The process is fully described in the literature (Long et al., 1998; Higuera et al., 2008, 2009; Doyen et al., 2015). 237

238

239 *3.6. Pollen-derived climate reconstruction*

The pollen-based model used to reconstruct climate variables (Fig. 9) has been described 240 241 extensively in the literature (Cheddadi and Khater, 2016; Cheddadi et al., 2016, 2017) and has been shown to provide coherent climate reconstructions (e.g., Kaniewski et al., 2019, 2020, 242 243 2021). Past climate variables were derived from the Aquilea fossil pollen record. We assigned the pollen taxa of Aquilea to modern plant species based on our knowledge in palynology, 244 245 botany, and ecology. Edaphic, aquatic, human-related, or less than 1% of the total pollen taxa 246 were not considered in the climate reconstruction. For each fossil sample, we used the weighted median of each pollen taxon identified in individual fossil samples, using the percentages of 247 pollen as weights to calculate the median of all pollen taxa. The uncertainty of the 248 reconstruction for each fossil sample is estimated by omitting one taxon from each iterative 249 250 estimate, as many times as the number of taxa in the fossil sample. This quantification method assumes that the modern climatic range of a species encompasses its range during the studied 251 252 time span and that the closer the best climatic value is to the area where it is most abundant, the 253 more abundant it is (Cheddadi et al., 2021). Helophytes, macrophytes and spores of non-254 vascular cryptogams were excluded from the matrix. All reconstructions are shown with their 255 estimated errors (Fig. 9), and with their long-term trends based on a polynomial model (order 5, $P_{value} < 0.001$; the R² is shown on each graph). The temperature anomalies are based on the 256 annual average for the control period 1961-1990 (13.04°C; Fig. 9A). Temperature anomalies 257 258 were first compared with the loess-smoothed PCA-Axis 1 (Fig. 9B) and the spring-summer precipitation (Fig. 9C). The 1850-2019 CRUTEM data (Osborn and Jones, 2014) were then 259 260 added to test the reliability of the temperature reconstruction from core AQ III (Fig. 9D). A sinusoidal model was applied (P_{value} < 0.001) to link data from core AQ III with CRUTEM4
(Fig. 9D).

263

264 **4. Results**

265 *4.1. Lithology and chronology*

The lower part of the core AQ III, corresponding to an abandoned channel of Roman age, was 266 267 completely void of bioindicators. The sandy matrix was not conducive to the conservation of 268 ostracods, pollen and other non-palynomorphs. The overlying levels, which correspond to silt, organic silt and peat deposits (Fig. 2), were found to be rich in palynomorphs, with an average 269 270 of 507±145 pollen grains counted per sample and an average concentration of 2027±585 pollen per cm⁻³. The median value is 2186 pollen per cm⁻³ with a 25th percentile of 1531 pollen per 271 cm⁻³ and a 75th percentile of 2506 pollen per cm⁻³. Within this interval, hypohaline ostracods 272 are locally found although represented by few valves. The sedimentation rate (SR) varies 273 274 according to the lithology. While the lower silty layers have a SR of 1.7 to 2 mm per year, the organic silt and peat deposits are characterized by lower rates of 0.5 mm per year (Fig. 2). The 275 276 upper silty layers are characterized by a SR of 1.25 to 2 mm per year, close to the values recorded for the lower layers. The uppermost level corresponds to a silty-sandy matrix 277 completely sterile of bioindicators. The material used for ¹⁴C dating was only found in the silt-278 organic and silt-peat layers. No macro-remains were observed in the lower sandy matrix and 279 upper silty-sand deposits. The core AQ III is framed by a chronology spanning from 510±85 280 AD to 1770±40 AD (Fig. 2), or from the Ostrogothic Kingdom to the Austrian Empire. 281

282

283 *4.2. Ecosystem dynamics*

Since 500 AD, the evolution of vegetation surrounding the waterlogged area developed after 284 the abandonment of the Roman fluvial harbour is detailed in two pollen diagrams with the first 285 focused on terrestrial plants (Fig. 3) and the second on floodplain vegetation (Fig. 4). The pollen 286 dataset was categorized into 9 PdVs grouped into 4 assemblages (Dryland, Dry floodplain, 287 288 Wetland, Floodplain) using a cluster analysis (Fig. 5). The PdVs were then converted into markers of hydrological shifts using the floodplain vegetation (Fig. 6A) and a Loess PCA-Axis 289 290 1 (Fig. 6B) as proxies. The outcomes suggest a humid environment until 660±55 AD, with a well-developed floodplain (macrophytes and helophytes) surrounded by fen trees (Alnus, 291

292 Betula, Populus and Salix) and by a wet meadow (dominated by Poaceae, Ranunculaceae and Campanulaceae). Most of the macrophytes and helophytes suggest the presence of stagnant 293 waters or a river branch with a very low streamflow, creating a swamp in the city. This 294 interpretation is consistent with the occurrence of ostracods typical of shallow hypohaline 295 environments with stagnant waters (Pseudocandona and Cyclocypris species). Two spikes at 296 297 565-590±55 AD may be consistent with floodplain high-stands (Fig. 6A). Agriculture was weakly developed during this first phase (Fig. 6C). After 660±55 AD, the floodplain regressed 298 299 significantly, reaching its first low level at 950±45 AD and a second at 1200-1230±35 AD (Fig. 300 6). The macrophytes and helophytes were gradually replaced by dry floodplain scrubs (Fig. 7A) and by a pine-oak woodland (Fig. 7B), suggesting the development of drier environmental 301 302 conditions. Accordingly, the disappearance of ostracods points to a marked decrease in the water table. A reliable linear relationship ($R^2=0.66$; $P_{value} < 0.001$) was established between the 303 304 loss of fen trees and helophytes, and the increase of pine-oak woodland, implying an inverse relationship. During this period (660±55 to 1230±35 AD), agriculture and pastoral activities 305 306 increased significantly (Fig. 6C). From 1230±35 to 1770±40 AD, the area oscillates between dry and wet conditions, suggesting unstable environmental conditions. The drier phases are 307 308 supported by xeric components (e.g. Chenopodiaceae, Artemisia, Asteraceae, Quercus evergreen). The wet phases, consistent with a rise in the floodplain's water table, are attested 309 by an increase in helophytes and wet meadow plants (Fig. 4), and the local reappearance of a 310 hypohaline ostracod fauna. After 660±55 AD, and the drying of the swamp, wet phases were 311 recorded at 1000-1050±40, 1250-1310±35, 1380-1420±25, 1480-1500±40, and 1590-1650±30 312 AD (Fig. 6A-B). After 1200±35 AD, agricultural and pastoral activities declined sharply, then 313 fluctuated, before regaining in importance after 1710±35 AD (Fig. 6C). 314

315

316 *4.3. Agricultural practices, pastoral activities and fire*

317 The agricultural practices (agri- and arboriculture) and pastoral activities were first depicted as long-term dynamics (Fig. 6C) and then shown as ratios (Fig. 8A). During the last 1500 years, 318 agriculture has never exceeded 15% of the pollen sum (with a maximum of 6% for the cereals) 319 320 and the pastoral plants never rise above 12%. Both were probably more developed during the Roman period and the heydays of the city. Agriculture is mainly recorded from 620±55 to 321 322 1230±35 AD, during the patriarchal rule of the city, and then after 1710±35 AD, during the Austrian Empire (Fig. 8A). Fire activity meshes inversely with the mixed oak forest phase (Fig. 323 324 8A). The mixed oak forest regressed most significantly when fire return intervals were high,

and inversely developed when slash-and-burn agriculture declined (Fig. 8B). When both 325 agricultural and pastoral practices are combined, there is a clear link between anthropogenic 326 activities and ignitions, suggesting human-induced fires in the area (Fig. 8C and 8D). From 327 1230±35 to 1430±35 AD, a period marked by general decline in anthropogenic and cultivated 328 taxa, the pastoral activities/agriculture ratio shows a prevalence of pastoral activities over 329 agriculture (Fig. 8A). After 1710±35 AD, a declining ratio is consistent with a renewed increase 330 in agricultural activities (Fig. 8A), probably slash-and-burn agriculture as fire indicators also 331 332 increase (Fig. 8D).

333

334 *4.4. Climate reconstruction*

The reconstruction of temperature anomalies since 500 AD depicts colder periods centred on 335 336 the LALIA, the Oort minimum, and the different episodes of the LIA (Wolf minimum, Spörer minimum, Maunder minimum; Fig. 9A; Marriner et al., 2022). The colder phase corresponds 337 338 to the LALIA, locally recorded from 535±75 to 660±55 AD, with a minimum of -3.5±0.17°C at 590±55 AD and an average of -2.04±0.17°C for the whole phase. The second cooler period 339 340 corresponds to the Maunder episode, with a minimum of -1.4±0.14°C and an average of -0.78±0.15°C for the whole phase. The cold periods are correlated with greater humidity and the 341 342 expansion of the swamp area, as highlighted by the Loess PCA-Axis 1. An exception is the 343 Maunder minimum, during which the area remained dry (Fig. 9B). Focusing on spring-summer precipitation, the drier phases are centred on the Medieval Climate Anomaly (average 291±2 344 mm), and on the period lasting from the end of the Wolf minimum to the onset of the Maunder 345 minimum (average 287±2 mm; Fig. 9C). While precipitation increases are concomitant with 346 the development of the swamp area, the Maunder minimum is characterized by higher rainfall 347 but a dry context (Fig. 9B). 348

When the reconstructed temperature anomalies are compared and contrasted with the 349 CRUTEM4 dataset (1850-2019 AD; Jones et al., 2012; Osborn and Jones, 2014), there is a clear 350 351 link between the record from Aquileia and the climatic evolution of the northern Adriatic. The anomalies of -1.09±0.14°C at 1770±40 AD (last point recorded in the core AQ III) and of -352 353 1.13°C at 1850 AD (first data available in the CRUTEM4 dataset) are consistent. The sinusoidal model (P_{value} < 0.001) shows no discontinuity between the two curves (Fig. 9D), suggesting that 354 core AQ III and CRUTEM4 are comparable. It also appears that the MCA was not warmer than 355 the 20th century AD in the northern Adriatic, but cooler compared to the 21st century AD. 356

357

358 **5. Discussion**

The fluvial harbour of Aquileia offers a rare record of the environmental shifts that have 359 occurred on the northern Adriatic coastal plain since 500 AD. In the Gulf of Venice, the late 360 Holocene ecosystem dynamics have been poorly studied, especially the last two millennia. 361 Previous work has focused on the dynamics of salt-marsh plant communities (Miola et al., 362 2010), on long-term sequences covering the Pleistocene-Holocene (e.g. Bortolami et al., 1977; 363 364 Bondesan et al., 2003; Masari et al., 2004; Pini et al., 2009; Donnici et al., 2012), on grasslands covering the eastern part of the northern Adriatic (Kaligarič et al., 2006), and on sequences 365 from the Istrian Peninsula, Croatia (e.g. Kaniewski et al., 2016, 2021). 366

367

368 5.1. A wet and cold LA Little Ice Age

From 508±80 to 660±55 AD, Aquileia was wet, marked by significant occurrences of fen trees, 369 370 helophytes, macrophytes and by an expanded wet meadow. The floodplain that hosted the city of Aquileia was well-developed during the Ostrogothic Kingdom and the early phase of the 371 Kingdom of the Lombards. The zone was a swamp area, characterized by low-energy fluvial 372 dynamic in the center surrounded by stagnant water. The background vegetation is mainly 373 374 composed of a mixed oak forest and by short incursions of dry floodplain scrubs. The upper part of the marine core RF 93-30, from the western flank of the Adriatic (Oldfield et al., 2003) 375 376 depicts a similar vegetation pattern, with a dominant mixed oak forest and a strong presence of helophytes (Cyperaceae). At Aquileia, two major wet peaks at 565-590±55 AD may suggest a 377 floodplain high stand (Fig. 6A). Similar conclusions were reached by a geomorphological study 378 379 of the Natiso River (Siché, 2008). As agriculture and pastural activities were weakly developed in the northern Adriatic (Fig. 6C), the environmental variations seem to be mainly related to 380 381 climate. The LALIA is defined as a cold episode which lasted from 536 to 660 AD. Some studies suggest that it exceeded the LIA in severity (Büntgen et al., 2016), with a major impact 382 383 in Italy (Neukom et al., 2019; Peregrine, 2020). The reconstructed temperatures at Aquileia show a similar pattern, with an average of -2.04±0.17°C for the LALIA, and an average of -384 385 0.78±0.15°C for the coldest event of the LIA (Maunder minimum). The minima follow the same trend, with a value of -3.5±0.17°C at 590±55 AD (LALIA) and -1.4±0.14°C at 1642±30 386 387 AD (LIA; Fig. 9A). The LALIA at Aquileia was, on average, approximately -1.26°C colder than the LIA. A wetter and colder climate, as shown by the core AQ III (Fig. 9C), has also been 388

elucidated in the Gulf of Gaeta (Di Rita et al., 2018), in Sicily (Sadori et al., 2016) and in coastal
Croatia where spring-summer precipitation increased significantly during this phase
(Kaniewski et al., 2021). At other sites, this period is inversely associated with a marked human
impact on the environment (e.g. Lago Lungo; Mensing et al., 2015; core ND2; Michelangeli et
al., 2022). The core AQ III suggests a cold and wet northern Adriatic from 508±80 to 660±55
AD consistent with Mediterranean Sea Surface Temperatures at this time (Marriner et al.,
2022).

- 396
- 397 5.2. A shift towards warmer and drier conditions

398 From 660±55 to 1230±55 AD, the area changed radically from a floodplain to a drier 399 environment as shown by the Loess PCA-Axis1 (Fig. 6B), with an important extension of the 400 scrubs on desiccated banks, and of the pine-oak woodland in adjacent areas. The Natiso River 401 was transformed into a minor waterway with reduced flow. One of the main changes since 402 660±55 AD was the development of agriculture during the patriarchal rule of the city. Fire has long been an element of pressure on ecosystems and an agent associated with agricultural 403 404 activities in Italy (e.g. Sadori and Giardini, 2007; Vannière et al., 2008). At Aquileia, the same pattern was found, but agriculture was however limited as taxa associated with anthropogenic 405 406 activities never exceed 15% of the pollen sum during this period. The olive trees never reached 407 values equivalent to those recorded at the Lago Alimini Piccolo in southern Italy (Di Rita and Magri, 2009) and are closer to the values identified at Lago Lungo (Mensing et al., 2015) and 408 in the core ND2 (Michelangeli et al., 2022). Similarly, fire events are mainly recorded during 409 the reintroduction of cultivated species (Fig. 8D), a short period extending from 660±55 to 410 750±55 AD and after 1700 AD. The development of slash-and-burn agriculture, while limited, 411 412 seems to have mainly affected the mixed oak forest, freeing up ecological niches colonized by 413 the pine-oak woodland (Fig. 7B). As agriculture was not dominant in the area, the variations recorded by the core AQ III derive from a mixed climate-anthropogenic signal, with an 414 environmental forcing more influential than anthropogenic factors as suggested by the 415 occurrence of the main climatic phases of the pre-industrial era. Focusing on the climate 416 417 parameters, it appears that the area was warmer after the LALIA, with a first cooler period corresponding to the Oort minimum (from 1000±40 to 1050±40 AD; Fig. 9A). The Oort 418 419 minimum, defined as a period of low solar activity (Yiou et al., 2012), does not seem to have been a major event in the northern Adriatic, with an average of -0.2±0.14°C recorded at 420 Aquileia. The weak influence of the Oort minimum in the Gulf of Venice has already been 421

highlighted with regards to sea-storm activity (Camuffo et al., 2000). After 1050±40 AD, the 422 area around Aquileia became warmer, with temperature anomalies peaking at 0.4±0.15°C at 423 1135±35 AD. While the MCA (core period: 1000-1200 AD) is characterized by anomalously 424 warm conditions in some (but not all) regions (Mann et al., 2009; Lüning et al., 2019), in the 425 northern Adriatic, the average temperature anomaly for the whole episode is 0.08±0.15°C, close 426 427 to the control period 1961-1990 AD (13.04°C). This observation is corroborated by a European study which revealed that temperatures during the MCA were probably as warm as the 20th 428 century AD (Büntgen and Tegel, 2011). The MCA at Aquileia ended at 1230-1250±35 AD. AQ 429 430 III shows a warm and dry northern Adriatic from 660±55 to 1230-1250±35 AD, with a low impact of the Oort minimum and a local, limited, increase of anthropogenic pressures on the 431 432 environment.

433

434 5.3. A succession of cold and warm phases

435 From 1250±35 to 1770±40 AD, the swamp area oscillated between wet and dry phases. The dry phases are attested by the occurrence of xeric components within and beyond the floodplain 436 437 while the wet episodes are mainly attested by an increase in helophytes and wet meadow plants. This suggests that the flow of Natiso River has oscillated markedly over time (Fig. 6A). 438 439 Although the wet phases are clearly identified by the Loess PCA-Axis1, the waterbody never 440 reached the level recorded during the LALIA. Following the end of patriarchal rule (in 1236 AD), agricultural, pastoral and fire activities decreased markedly. All the anthropogenic 441 pressures on ecosystems seem to have been reduced until 1710±35 AD and renewed after this 442 period (Fig. 6C). The signal is thus mainly modulated by environmental factors, namely climate 443 variations. The first cold and wet phase occurred from 1250±35 to 1335±30 AD and meshes 444 with the Wolf minimum, a Grand Solar Minimum spanning the period 1270-1350 AD 445 (Steinhilber et al., 2009; Usoskin et al., 2016; Fogtmann-Schulz et al., 2021). At Aquileia, the 446 temperature anomaly recorded during this phase is on average -0.32±0.16°C. The area became 447 colder but also wetter, with a clear positive deviation of the spring-summer precipitations, 448 449 similar to the trends observed in coastal Croatia (Kaniewski et al., 2021). The second cold and 450 wet phase occurred from 1460±35 to 1500±40 AD and corresponds to the central point of the Spörer minimum that spans the period 1390-1550 AD (Fogtmann-Schulz et al., 2019; Marriner 451 452 et al., 2022). This episode is weakly recorded at Aquileia, with a maximum anomaly of -0.09±0.14°C. Inversely, this phase is characterized by an important positive deviation in spring-453 454 summer precipitation, correlated with a catastrophic flood event in the nearby Isonzo River at

1480 AD (Siché, 2008) and a significant increase in precipitation in coastal Croatia (Kaniewski 455 et al., 2021). This event is also correlated with a maximum frequency of sea storms in the Gulf 456 of Venice (Camuffo et al., 2000). The last cold and wet episode recorded in AQ III fits with the 457 Maunder minimum (1645-1715 AD; Shindell et al., 2001; Usoskin et al., 2015) that locally 458 spans the period 1620±30 to 1690±30 AD. On average, the temperature anomaly is -459 0.78±0.15°C with a minimum of -1.4±0.14°C (Fig. 9A). The Maunder minimum is the second 460 coldest episode and also the second wettest event, after the LALIA. The warmer phase is 461 462 recorded from 1520±40 to 1600±30 AD, with an average annual temperature anomaly of 463 0.71±0.15°C. This warm period is correlated with a major increase in total solar irradiance during a phase of low solar activity (Steinhilber et al., 2009), and higher winter and summer 464 465 temperatures in coastal Croatia (Kaniewski et al., 2021). The Eurasian summer temperature variability reconstructed from tree rings also depicts anomalies close to the MCA during this 466 467 period (Büntgen et al., 2016). When the anthropogenic activities resumed at 1710±35 AD under the Austrian Empire (Fig. 8C), the climate conditions were warm and still wet, facilitating 468 469 agriculture and arboriculture. AQ III points to unstable environmental conditions in the northern Adriatic from 1250±35 to 1770±40 AD, with a maximum impact during the Maunder 470 471 minimum. Even though AQ III is chronologically correlated with solar irradiance, a direct causal relationship is not suggested here because the cold LIA periods began earlier than the 472 473 decline in solar activity and the Grand Solar Minimum.

474

475 6. Conclusions

The port city of Aquileia has provided a rare reconstruction of climatic and anthropogenic 476 pressures on ecosystems from the end of the Roman Empire to the Austrian Empire in the 477 northern Adriatic. The abandoned fluvial harbour evolved into a swamp, recording the major 478 tipping points in the ecosystem dynamics, mainly due to climate pressures and, secondly, to 479 480 anthropogenic activities. The main phases recorded by the ecosystems correspond to the LALIA and the Maunder minimum, with the development of cold and wet conditions. Inversely, the 481 positive temperature anomalies reconstructed for the MCA suggest that this episode is close to 482 temperatures recorded for the 20th century AD but, however, they differ from those of the 21st 483 century AD. Anthropogenic activities, while diverse, seem to have been a secondary pressure 484 at Aquileia. Their development is chronologically limited (late 7th to early 13th centuries AD) 485 and their amplitude not strong enough to supersede the other environmental pressures. The 486 487 long-term ecosystem changes that may correspond to human pressures on the rural environment are probably masked by the climate dynamics. Aquileia suggests that the environmentalpressures have been key in shaping the landscapes of the climate-sensitive northern Adriatic.

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491 Author statement

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498 **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personalrelationships that could have appeared to influence the work reported in this paper.

501

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510

511 Data availability

All data needed to evaluate the conclusions in the paper are present in the paper and/or theSupplementary Materials (Raw data file).

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515

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748 Figures

Figure 1. Geographical location of the study area in Italy. The ancient harbour of Aquileia
is marked in red. The city of Venice is indicated on the map by a green circle.

751

Figure 2. Focus on the core AQ III and the radiocarbon chronology. The lithology of the core is detailed according to depth. The sedimentation rates are shown in mm per year. The radiocarbon dates are depicted as intercepts and 2-sigma calibrations (95% of probability). The age model is compared and contrasted with linear (purple line) and polynomial (orange line) regressions.

757

Figure 3. Detailed pollen diagram (woody and herbaceous vegetation) for the period 5001800 AD. The taxa are plotted on a linear age-scale. The vertical lines indicate the main
transitions.

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Figure 4. Detailed pollen diagram of the Aquileia river floodplain for the period 500-1800
AD. The taxa are grouped into PdVs and plotted on a linear age-scale. The vertical lines indicate
the main transitions.

765

Figure 5. Pollen-based ecological clusters from Aquileia for the period 500-1800 AD. A
cluster analysis (Paired group as algorithm, Correlation as similarity measure) was used to
define the ecological assemblages. Each cluster was summed to create the PdVs. The PCA
loadings of each PdV are indicated on the graph.

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Figure 6. Environmental dynamics of the floodplain. (A) Evolution of the floodplain depicted by the riparian forest and marshland. The occurrence of hypohaline ostracods is also shown. (B) Loess PCA-Axis 1 (77% of total variance) shown with the 95% confidence band.
(C) Pastoral activities alone (dark green) and pastoral activities with agriculture (light green) are plotted on a linear age-scale. All the long-term trends are highlighted by polynomial models (order 5, P_{value} < 0.001).

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Figure 7. Floodplain dynamics compared and contrasted with dry components. (A)
Variations in the floodplain contrasted with the dynamics of the dry floodplain scrubs based on

a synthetic diagram and a 2D Kernel-density model. The R^2 of the cross-correlation is indicated on the Kernel graph (**B**) Floodplain dynamic compared to the dryland components based on a synthetic diagram and a Generalized Linear Model. The R^2 of the cross-correlation is indicated on the synthetic diagram (**C**) Gradient Species packing test showing the distribution of each cluster taking the floodplain as a stationary reference. All the long-term trends are highlighted by polynomial models (order 5, $P_{value} < 0.001$).

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Figure 8. Agriculture, pasture and fire activity. (A) Ratio pasture activities/agriculture and fire dynamics plotted on a linear age-scale. (B) Mixed oak forest dynamics compared and contrasted with fire activity (shown as a shaded curve). (C) Agro-pastoral activities contrasted with the fire activity (shown as a shaded curve). (D) CHAR analysis plotted on a linear agescale. The red circles with white crosses denote the fire episodes. All the long-term trends are depicted by polynomial models (order 5, $P_{value} < 0.001$).

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Figure 9. Pollen-based climate reconstruction. (A) Temperature anomalies (with the standard deviations) plotted on a linear age-scale. (B) Loess PCA-Axis 1 (77% of the total variance) shown with the 95% confidence band. (C) Spring-summer precipitation (with the standard deviations) plotted on a linear age-scale. All the long-term trends are depicted by polynomial models (order 5, $P_{value} < 0.001$). (D) Temperature anomalies compared and contrasted with the 1850-2019 CRUTEM4 data (Osborn and Jones, 2014). The sinusoidal model ($P_{value} < 0.001$) is depicted as a purple line.

















