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1	Bio-based and one-day compostable poly(diethylene 2,5-furanoate) for
2	sustainable flexible food packaging: effect of ether-oxygen atom insertion on the
3	final properties
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20	compostability
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22 Abstract

23 In the present work, the effect of ether oxygen atom introduction in a furan ring-containing polymer 24 has been evaluated. Solvent-free polycondensation process permitted the preparation of high 25 molecular weight poly(diethylene 2,5-furandicarboxylate) (PDEF), by reacting the dimethyl ester of 26 2,5-furandicarboxylic acid with diethylene glycol. After molecular and thermal characterization, 27 PDEF mechanical response and gas barrier properties to O₂ and CO₂, measured at different 28 temperatures and humidity, were studied and compared with those of poly(butylene 2,5-29 furandicarboxylate) (PBF) and poly(pentamethylene 2,5-furanoate) (PPeF) previously determined. 30 Both PDEF and PPeF films were amorphous, differently from PBF one. Glass transition temperature 31 of PDEF (24 °C) is between those of PBF (39 °C) and PPeF (13 °C). As concerns mechanical 32 response, PDEF is more flexible (elastic modulus [E] = 673 MPa) than PBF (E = 1290 MPa) but stiffer 33 than PPeF (E = 9 MPa). Moreover, PDEF is the most thermally stable (temperature of maximum 34 degradation rate being 418 for PDEF, 407 for PBF and 414 °C for PPeF) and hydrophilic (water 35 contact angle being 74 ° for PDEF, 90 ° for PBF and 93 ° for PPeF), with gas barrier performances very similar to those of PPeF (O₂ and CO₂ transmission rate being 0.0022 and 0.0018 for PDEF and, 36 37 0.0016 and 0.0014 cm³ cm / m² d atm for PPeF). Lab scale composting experiments indicated that 38 PDEF and PPeF were compostable, the former degrading faster, in just one day.

39 The results obtained are explained on the basis of the high electronegativity of ether oxygen atom40 with respect to the carbon one, and the consequent increase of dipoles along the macromolecule.

43 **1. Introduction**

44 Although relatively new compared to wood, glass and metals, these last used by man since the dawn 45 of time, plastics have been used more and more and today they are practically irreplaceable. As proof 46 of this, their production has increased from 270 million metric tons to almost 370 million metric tons 47 from 2010 to 2020, and it is expected to further increase, rising to about 590 million metric tons by 2050.^{1,2} Their global market is also expected to reach 750.1 billion of dollars by 2028.³ Such a 48 49 massive use of plastics entails a significant impact on both exploitation of non-renewable sources and 50 on accumulation of wastes in the marine and terrestrial habitats. The environmental problems caused 51 by human activity are one of the main themes of debate of the last Century. With the perspective of 52 reducing the environmental issues and building a new circular economy, the employment of 53 renewable resources for plastic production and plastic waste recycling are the most suitable solutions. For this reason, sustainable materials are attracting a continuously growing interest as potential 54 candidates for the replacement of traditional plastics.^{4,5} To date, promising results have been obtained 55 with bioplastics, in particular with the class of bio-based polyesters.^{6,9} Their bio-based nature makes 56 57 them particularly interesting for applications in food packaging field, since the multilayer structure of most of the packaging currently used creates severe recycling limits.¹⁰ Nevertheless, to be suitable 58 59 for food packaging employement, a polymeric device has to satisfy strict requirements, including proper mechanical response and outstanding barrier performance.¹¹ Nowadays, the so-called "smart 60 61 packaging" has attracted a great interest too, as a result of lifestyle changes and consumer's desire for convenient, safe and tasty food with prolonged shelf life and unaltered quality and freshness.^{12,13} In 62 63 these intelligent packaging systems, added materials interact with the internal environment of the 64 package, monitoring the state of the food inside and reducing its corruption until consuming.¹⁴⁻¹⁶ 65 Another way to reduce environmental impact, is the use of flexible packaging instead of rigid one. A 66 LCA study commissioned by Flexible Packaging Europe in 2021 showed that flexible packages have

a more than 60% lower impact than their rigid counterparts.^{17,18} More in detail, using flexible 67 68 alternatives means reducing package volumes and amount, saving space and energy during 69 transportation and minimizing wastes. As a confirmation of this, another study, carried out by the 70 Institute for Energy and Environmental Research in 2020, demonstrated that flexible packaging is 71 more effective than rigid one in preserving resources and reducing carbon footprint: if all rigid 72 packaging of non-beverage Fast Moving Consumer Goods in the European Union would be substitute 73 by flexible one, the amount of primary packaging waste could be reduced by 21 million tonnes (i.e. 70%) per year.¹⁸ 74

In this framework, furan-based polyesters can be surely considered the materials of the future,¹⁹⁻²⁴ since they can be used to produce a mono-material packaging, combining bio-based origin²⁵⁻³¹ with excellent barrier properties and tunable mechanical performances, ranging from high stiffness to elastomeric behavior.^{32,33} More important, they can also be recycled, once the first use has been accomplished.

When employed as food containers, plastics are often contaminated with organic matter, which prevents recycling, or at least makes it very expensive,. Moreover, it must be kept in mind that an efficient and sustainable plastic waste management became more complex and difficult due to COVID-19.^{34,35} The pandemic emergency , has determined a huge increase of food packaging plastic waste due to online shopping and home delivery, accompanied by a change in mindset, according to which single use plastics provides "safety". Plastic recycling increased at slower rate for fear that plastic wastes might be infected, and due to the lockdown too.³⁶

Within this scenario, which was already complex and became even more difficult due to the
pandemic, compostable packaging represents the best option, especially for single-use plastics.

Aromatic polymers, such as furan-based polyesters, are hardly attacked both by water and/or microorganisms.³⁷⁻⁴⁰ The development of a strategy able to enhance their modest degradation rate is a really important challenge.^{41,42}

92 As is well known, the strong point of plastics is the unique combination of light weight, durability, 93 low cost, ease of synthesis and the possibility to *ad hoc* modify their functional properties in view of 94 the particular application. Even though the use of additives, physical and chemical blending and production of composites are very effective tools to properly tune the material performances.^{24,43,44} 95 96 the starting point is definitely represented by a proper chemical design. Minor modifications in the 97 chemical structure of a polymer, such as the overall composition, the atomic arrangement in the 98 backbone, the length of the subunits in the repeating unit, the presence of side-groups and isomerism 99 can lead to significant variations in physical properties. ^{21,22,45-49} Macromolecular mobility and the 100 developed microstructure are strongly connected to the chemical structure of the polymer, thus 101 affecting features like mechanical response, thermal stability, hydrophilicity, gas barrier performance 102 and compostability.⁵⁰

103 Considering also that 2,5-furandicarboxylic acid (FDCA) is one of 12 high value-added chemicals obtained from sugars^{31,51} according to the United States Department of Energy (updated in 2020),^{52,53} 104 105 in the present work, a new 100% renewable furan-based polyester was synthesized by two-step melt 106 polycondensation reaction, following the "Green chemistry" principles. For this purpose, FDCA has been coupled with diethylene glycol, recently proposed as bio-based monomer,⁵⁴ obtaining 107 108 poly(diethylene 2,5-furanoate) (PDEF). It is worth noting that the starting monomers, FDCA and 109 diethylene glycol, can be derived from second and third generation cellulosic feedstocks (non-food 110 crops, waste materials and algae), thus avoiding the exploitation of food and animal feed as well as of land destined for food production.⁵⁵ As one can see from the PDEF chemical formula (Scheme 111 1A), an ether-oxygen atom is contained in its repeating unit. As previously evidenced for other 112 polymeric systems,⁵⁶⁻⁶³ the presence of -C-O-C- moiety in the polymer backbone has several effects, 113 114 such as an increase in crystallinity degree decrease and wettability. These factors can potentially 115 favour the water/polymer affinity and, consequently, the hydrolytic and bacterial attack of the 116 macromolecule backbone. From the chemical structure point of view, PDEF can be considered as coming from the modification of the previously studied poly(butylene 2,5-furanoate) (PBF)^{33,64} and 117

poly(pentamethylene 2,5-furanoate) (PPeF).^{32,33} In particular, PDEF can be obtained through the 118 119 insertion of an O atom (centrally located) in the glycol moiety of PBF, or by substituting the central 120 methylene group of the aliphatic subunit of PPeF with the ether-oxygen (Scheme 1A). To the best of 121 our knowledge, PDEF was previously synthesized by Katia Loos' group using a lipase as catalyst, 65 122 and by Haernvall et al. through direct esterification, and characterized from the molecular, thermal and enzymatic degradation point of view.⁶⁶ In addition to molecular and thermal characterization, the 123 124 polyester reported in the present paper was also subjected to mechanical characterization and the 125 surface hydrophilicity of the compression molded film was also evaluated. Lab-scale composting 126 experiments were carried out at 58°C at 90% humidity. Barrier performances to oxygen and carbon 127 dioxide were investigated at two different temperatures (23 and 38 °C). The effect of relative humidity 128 at 23°C was also studied. All the results obtained for PDEF were compared with the previous ones 129 got on PBF and PPeF with the aim to evaluate the effect of this chemical modification on the final 130 solid-state properties. The present work is meant to enlarge the furan-based polymer knowledge and, 131 more importantly, to lay the foundations for a deep study of structure-property relationship, which is 132 fundamental for the design of new materials addressing the desired final properties.

133

134 **2. Materials and methods**

135 2.1 Materials

Dimethyl furan-2,5-dicarboxylate (DMF) (99%), diethylene glycol (DEG) (99%), titanium
tetrabutoxide (TBT), and titanium isopropoxide (TIP) were reagent-grade products. All reagents were
bought from Sigma-Aldrich, except DMF, which was supplied by Matrix Fine Chemicals.

139 **2.2 Synthesis**

Poly(diethylene 2,5-furanoate) (PDEF) was synthesized through a green solvent-less two-stage
polycondensation process. The reagents DMF (0.031 mol, 5.71 g) and DEG (0.062 mol, 6.58 g), in a

142 molar ratio of 1:2, were charged in a 200 mL glass reactor, together with the catalysts TBT and TIP

143 (200 ppm of both). The system was continuously stirred (50 rpm) and the temperature adjusted by a 144 silicone oil bath. A condenser cooled by liquid nitrogen was coupled to the reactor. In the first step, 145 carried out at 180 °C and under nitrogen flow, the transesterification reactions took place and 146 methanol was released off. After 90% of theoretical methanol was collected (i.e. 90 minutes), the 147 pressure was progressively reduced to 0.05 mbar, while the temperature was raised up to 230 °C. In 148 the second stage, lasting 3 hours, the reaction mass viscosity increased till a constant torque value. 149 Afterwards, the polymer (6.5 g, 95% yield) was discharged from the reactor. A lightly coloured 150 rubber-like solid was obtained. PBF and PPeF were previously synthesized by the authors through the same polycondensation procedure using the same catalysts.^{32,33} Briefly, for PBF the glycolic 151 152 molar excess was 300%, the first step was carried out at 180°C for 3 hours and the second one at 153 230°C for further 3 hours. In case of PPeF, a glycolic molar excess of 500% was adopted, the first 154 step was carried out at 170°C for 3 hours while the second one at 220°C for further 2.5 hours.

The as-synthesized polymer was solubilized in chloroform and then precipitated in cold methanol, inorder to remove residual catalysts and low molecular weight by-products.

157 2.3 Molecular characterization

Polymer chemical structure was verified by ¹H-nuclear magnetic resonance spectroscopy (Varian
Inova 400-MHz Instrument) at room temperature using deuterated chloroform (CDCl₃) as solvent.
The solution contains also 0.03 vol% tetramethylsilane (TMS) as an internal standard. The
concentration of the polymeric solution was 0.5 wt %.

Polymer number molecular weight (M_n) and polydispersity index (Đ) were determined by a 1100 HPLC system (Agilent Technologies) equipped with PLgel 5 mm MiniMIX-C column, at 30 °C. Chloroform was used as the eluent, 0.3 mL/min flow, and sample concentrations of about 2 mg/mL were adopted. Calibration curve was obtained using polystyrene standards in the range of 800– 100000 g/mol.

167 **2.4 Thermal characterization**

168 Differential Scanning Calorimetry (Perkin Elmer DSC6), calibrated with indium and cyclohexane 169 standards, was used to analyse the thermal transitions by heating from -70 °C to 190 °C at 20 °C/min 170 (I scan, resolution: 0.1 seconds between points). The sample (5 mg) was then cooled to -70 °C at 100 171 °C/min, and after that another heating scan was performed (II scan, resolution: 0.1 seconds between points). The glass transition temperature (T_g) and heat capacity (Δc_p) were taken as the midpoint and 172 173 the height, respectively, of the heat capacity step at the glass transition. Melting temperature (T_m) and 174 crystallization temperature (T_{cc}) were determined as the peak maximum/minimum of the endothermic/exothermic phenomena in the I and II heating scan DSC curves, respectively. The 175 176 corresponding heat of fusion (ΔH_m) and heat of crystallization (ΔH_{cc}) were obtained from the total 177 area of the DSC endothermic and exothermic signals, during the I and the II heating scans, 178 respectively. For the determination of ΔH_m and ΔH_{cc} we have used a straight baseline.

Thermogravimetric analysis (Perkin Elmer TGA7), was employed to evaluate the thermal stability by heating 5 mg of polymer from 50 to 800°C at 10°C/min under nitrogen atmosphere (gas flow: 40 ml/min). The maximum degradation rate temperature (T_{max}) was calculated as the minimum of thermogram derivative .

183 **2.5 Film preparation**

Polymer films (2.5 g, 11 x 11 cm², 100 μ m thickness) were obtained by compression molding the synthesized material with a laboratory press Carver C12. The polymer was heated to 100 °C, kept under 5 ton/m² pressure for 2 min, removed from the press and left cooled down to room temperature. Then, the films were stored at room temperature for 30 days before further characterization.

2.6 Water contact angle measurements

Water contact angle (WCA) determination was carried out on the compression moulded films using
a KSV CAM101 instrument (Helsinki, Finland) at room temperature. The side profiles of deionized
water drops (4 µL) on the polymer surface were analyzed just after deposition. In case of PDEF, also

- 192 the drop shape evolution with time was considered. At least 10 tests have been performed on different
- 193 film areas, from which the WCA average value \pm standard deviation was determined.

194 **2.7 Mechanical characterization**

Tensile tests were performed on rectangular specimens (5 mm x 50 mm x 100 μ m) by means of an Instron 5966 testing machine with a transducer-coupled 1kN load cell (stretching rate: 10 mm/min). The measurements were carried out at room temperature (23 °C) and a relative humidity of 55%. Elastic modulus (E) was calculated from the initial slope of the stress-strain curve. Stress at yielding (σ_y) and stress (σ_b) and elongation (ε_b) at break were also determined. These results are reported as the average value \pm standard deviation, obtained testing six different specimens.

201 **2.8 Barrier properties**

Barrier capability to oxygen and carbon dioxide was evaluated by a manometric method using a Permeance Testing Device, type GDP-C (Brugger Feinmechanik GmbH), according to ASTM 1434-82, DIN 53 536 and ISO/DIS 15 105-1 protocol. The measurements were performed on a film surface of 78.5 cm², under a gas stream of 100 cm³/min, at 23 and 38 °C, and 0 and 85 % relative humidity. The results have been expressed as gas transmission rate (GTR, in cm³ cm m² d⁴ atm⁴), which defines the permeability to gas of the film. The measurements were made in triplicate and the result was expressed as average value \pm standard deviation.

209 **2.9 Composting tests**

210 Composting studies have been carried out at 58 °C in mature compost kindly supplied by 211 HerAmbiente S.p.A. Films of about 20x20 mm² (50 mg) were placed in a 100 mL bottle containing 212 wet compost. Specimens were withdrawn from compost in triplicate at different time intervals, 213 washed and dried over P_2O_5 .

214 **2.10 SEM investigation**

Surface characterization was carried out by scanning electron microscopy (SEM). SEM images were acquired on a desktop Phenom microscope on metal sputtered film samples glued on aluminum holders with carbon tape.

3. Results and discussion

219 **3.1 Molecular characterization**

220 In Scheme 1A, the chemical structure of poly(diethylene 2,5-furanoate) (PDEF) is reported, together 221 with the formulas of the two homopolymers poly(butylene 2,5-furanoate) (PBF) and poly(pentamethylene 2,5-furanoate) (PPeF) to which PDEF has been compared throughout the 222 223 present study. All the polyesters present a furan ring in the acid subunit, the difference being in the glycolic moiety. In case of PBF, four methylene groups are present. PDEF has the same number of -224 225 CH₂- groups, plus an ether-oxygen atom in between, this latter replaced by a methylene group in case 226 of PPeF. PDEF could be considered as derived, on one side from PBF by the insertion of a central O 227 atom in the glycol moiety and, on the other, from PPeF by the substitution of the central -CH₂- group 228 with a -O- one.

Poly(butylene 2,5-furanoate) (PBF)

А

229

Poly(diethylene 2,5-furaoate) (PDEF)

Poly(pentametylene 2,5-furanoate) (PPeF).



PBF



230 Scheme 1. A) Molecular structures of poly(diethylene 2.5-furanoate) (PDEF), poly(butylene 2,5-

furanoate) (PBF) and poly(pentamethylene 2,5-furanoate) (PPeF); B) Inter-chain hydrogen bonds in

232 PBF and PDEF matrices. For PPeF, a PBF-like behaviour was also supposed.

234 In **Fig. S1** the PDEF ¹H-NMR spectrum, together with the spectra of PBF and PPeF, is reported. As 235 one can see, the expected structure for PDEF was confirmed. In details, the -CH₂- groups of the 236 diethylene glycolic unit gave rise to two triplets at 3.82 (f) and 4.49 ppm (e), while the two d protons 237 proper of the furan ring produced a singlet (a) located at 7.20 ppm. PBF showed the same signal at 238 7.34 ppm (a), then the peaks of the b and c methylene groups of the butylene subunit could be 239 observed at 4.51 and 1.99 ppm, respectively. PPeF, beside the furan ring signal (g) at 7.20 ppm, 240 presented three different peaks due to the methylene hydrogen atoms at 4.35 (h), 1.84 (i) and 1.57 241 ppm (j). The high molecular weight, obtained by means of GPC and reported in Table 1, confirmed the good control of the PDEF synthetic route, analogously to PBF and PPeF homopolymers.³³ The 242 243 polydispersity index (Đ) resulted close to 2, as expected for a polycondensation process.

The use of traditional Titanium-based catalysts has made possible to obtain a polymer with a significantly higher molecular weight than that previously obtained by others using a lipase as catalyst.⁶⁵

247 **3.2 Thermal characterization**

TGA analysis has been carried out to follow PDEF weight loss during heating scan under inert atmosphere (nitrogen). The corresponding thermogravimetric curve is reported in **Fig. 1A**, together with those previously obtained for PBF and PPeF, while the corresponding T_{max} values are listed in **Table 1**. The derivative curves of thermograms are reported in **Fig. S2**. It is worth noticing the high thermal stability of all the furan-based polyesters here evaluated.



Fig. 1. A) Thermogravimetric analysis curves of PBF, PDEF and PPeF samples obtained by heating
at 10°C/min under nitrogen atmosphere; B) DSC traces of first heating scan of the compression
moulded films and C) second heating scan after melt quenching (20 °C/min) of PBF, PDEF, PPeF.

In agreement with previous studies,⁶⁷⁻⁶⁹ PBF degraded at lower temperature (and comparable to the 258 one evidenced by Kainulainen et al.⁶⁴) compared with the other two samples, reaching the maximum 259 260 degradation rate at 407°C, while PDEF had the highest stability despite an initial weight loss of 4% 261 occurring at approximately 100°C. The presence of -O- ether linkages along the macromolecular 262 backbone, in fact, increased the tendency of the material to absorb polar molecules as water. The 263 evaporation of the adsorbed H₂O molecules could be likely responsible for this initial weight loss 264 step in PDEF. The highest T_{max} value obtained for PDEF could be due to the higher energy of the C-265 O bond, present in the diethylene glycol moieties, less prone to random scission compared with C-C 266 bond (358 kJ/mol vs. 346 kJ/mol, respectively⁷⁰), proper of PBF and PPeF. Another important aspect 267 was related to the formation of inter-chain hydrogen bonds, as shown in Scheme 1B. The 268 establishment of inter-chain hydrogen bonds for furan-based polyesters, in addition to π - π interaction between the aromatic rings, has been evidenced by dielectric experiments, rheological analysis⁷¹ and 269 lastly proposed through simulation studies.⁷² Considering the chemical structure, one can hypothesize 270 271 that the presence of an ether-oxygen atom in the PDEF unit could increase the hydrogen bond density, 272 thus improving the thermal resistance of the material, since the energy provided to polymer chains,

during heating, is first and preferentially used to break these physical links before breaking covalent bonds. On the contrary, the lowest thermal stability of PBF among all the samples under study could be ascribed to the lowest number of hydrogen bonds. The glassy state of PBF at room temperature could limit the establishment of such inter-chain interactions, since at 25 °C, the PBF polymer chains have lower molecular mobility than those of the rubbery PPeF sample.

278

279 Calorimetric analysis was performed in order to define the thermal transitions proper of the three 280 samples subjected to compression molding. The DSC traces and the relative data are reported in Fig. 281 1 and in Table 1, respectively. The cooling scans between I and II heating scans reported in Fig. S3. 282 PBF film presented a melting peak centered at 164°C and preceded by a cold crystallization 283 endotherm located at 87°C; since $\Delta H_m > \Delta H_{cc}$, PBF film could be considered as semicrystalline. PDEF 284 and PPeF did not present any melting peaks, showing no capability of organizing their 285 macromolecules in crystalline structure through the compression molding process, in agreement with previous studies.^{32,73} Just a glass transition step, respectively at 24 °C and 13 °C, was observed; in 286 287 both cases, the values recorded were lower than the PBF one. Looking at the chemical formulas of 288 Scheme 1A, one can see that PDEF and PPeF are characterized by longer glycolic subunits (PDEF: 289 4 -CH₂- and 1 -O-; PPeF: 5 -CH₂- moieties) compared with PBF (4 -CH₂- moieties). Even if the nature of the atom changes, longer glycols favor macromolecular mobility, moving Tg towards lower 290 temperature.⁷⁴ $T_{g,PDEF}$ can be compared with $T_{g,PPeF}$, due to their common fully amorphous nature. As 291 292 one can see, $T_{g,PDEF} > T_{g,PPeF}$, the difference due to the high electronegativity of heteroatoms in the 293 glycolic unit of PDEF, favouring inter chain interactions (reduced chain mobility).

Despite the comparable aliphatic segment length, the highly electronegative oxygen in the glycolic portion of PDEF promoted inter-chain interactions (hydrogen bonds as well as Van der Waals interactions). In this way, the segmental relaxation was hampered, and thus glass transition temperature increased.

			PBF	PDEF	PPeF
GPC		$M_n[g/mol]$	27300 ±100	$21400\pm\!100$	29600 ± 100
		Đ	2.3 ±0.1	2.3 ±0.1	2.4 ±0.1
TGA		T _{MAX} [°C]	407 ± 1	418 ± 1	414 ± 1
	I SCAN	T _g [°C]	39 ±1	24 ±1	13 ±1
		$\Delta C_p [J/g \cdot °C]$	0.24±0.01	0.45±0.01	0.39±0.01
		T _{cc} [°C]	102 ± 1	/	/
		$\Delta H_{cc} [J/g]$	26 ±1	/	/
		T _m [°C]	170 ±1	/	/
		$\Delta H_m [J/g]$	35 ±1	/	/
DSC		T _g [°C]	39 ±1	24 ±1	13 ±1
		$\Delta C_p [J/g \cdot C]$	0.28±0.01	0.47±0.01	0.43±0.01
	USCAN	T _{cc} [°C]	107 ±1	/	/
	II SCAN	$\Delta H_{cc} [J/g]$	30 ±1	/	/
		T _m [°C]	170 ±1	/	/
		$\Delta H_m [J/g]$	35 ±1	/	/
		σ _y [MPa]	11 ± 2	7 ± 1	/
Tensile test		σ _b [MPa]	21 ± 3	4 ± 0.2	6 ± 1
		ε _b [%]	157 ± 10	502 ± 100	1050 ± 200
		E [MPa]	1290 ± 140	673 ± 76	9 ± 1
WCA		θ [°]	90 ± 2	74 ± 1	93 ± 3
Barrier properties		O_2-TR [cm ³ cm / m ² d atm]	0.10 ± 0.006	0.0022 ± 0.0002	0.0016 ± 0.0001
		$\frac{\text{CO}_2\text{-}\text{TR}}{[\text{cm}^3 \text{ cm} / \text{m}^2 \text{ d atm}]}$	0.19 ± 0.01	0.0018 ± 0.0001	0.0014 ± 0.0001

Table 1. Molecular, thermal and mechanical characterization data of PDEF, PBF and PPeF films. WCA (°) and GTR values to oxygen and carbon dioxide at 23°C, RH 0% are also collected.

The three molten samples were subjected to fast cooling and analysed during a second heating measurement. As expected, PDEF and PPeF resulted again completely amorphous. As concerns PBF, a major polymer fraction could be quenched in the amorphous phase thanks to the high cooling rate, even though no completely amorphous sample could be obtained. As evidenced by II scan DSC trace, once T_g was exceeded, PBF macromolecules were able to fold in an ordered structure (T_{cc} = 107 °C; $\Delta H_{cc} = 30 \text{ J/g}$) which melts at higher temperature (T_m= 170°C; $\Delta H_m = 35 \text{ J/g}$). Despite the high cooling rate, ΔH_m still keeps slightly higher than ΔH_{cc} .

310 **3.3 Mechanical characterization**

311 Stress-strain measurements were conducted on PDEF films. The obtained curve is reported in Fig. 2, 312 while the related data are collected in Table 1, together with the results previously got for PBF and 313 PPeF samples. In details, PBF film showed high elastic modulus and stress at break, and quite low deformation at break in line with its semicrystalline nature, together with a Tg above room 314 315 temperature. Differently, thanks to its amorphous nature and a Tg below room temperature, PPeF was 316 characterized by an elastic modulus reduced by two orders of magnitude, together with an outstanding 317 elongation at break (more than 1000%). Moreover, as previously reported, ³² PPeF was able to recover its initial shape thanks to a supramolecular 1-D, 2-D structure springing from inter-chain hydrogen 318 319 bonds established between macromolecular chains. PDEF had a mechanical behavior intermediate 320 between the one typical of a semicrystalline material, as PBF, and that of a rubbery fully amorphous polymer, as PPeF. Like PBF, PDEF undergoes yielding,^{75,76} a phenomenon which was not observed 321 322 in PPeF. The lack of crystallites in PDEF produced a reduction of E and σ_v compared to PBF. This 323 decrement was contained by the interactions among different chains, thanks to the oxygen atom of 324 the glycolic subunit, which helped keeping the elastic modulus and the strain before yielding quite 325 high. At the same time, the higher chain mobility of PDEF with respect to PBF (lower T_g), coming from the introduction of O atom, allowed reaching a 500% $\varepsilon_{\rm b}$. 326



327

Fig. 2. Stress-strain curves of PBF, PDEF and PPeF films. In the inset the region corresponding to
low values of stress and strain is reported.

330 3.4 Water contact angle

In order to evaluate the surface hydrophilicity of PDEF film, the interaction between film and water was investigated through water contact angle measurements. Particular attention was devoted to the effect of the introduction of an oxygen atom in the polymer backbone. Again, the outcomes obtained for PDEF film have been compared with the PBF and PPeF ones. As it is clearly visible from **Fig. 3A** and from the data in **Table 1**, PDEF resulted the most hydrophilic

film, being the WCA_{PDEF} lower than both WCA_{PBF} and WCA_{PPeF}. These results suggest that the

introduction of ether-oxygen in the PBF repeating unit increases the polarity of the material, as

338 already suggested by previous studies.⁷⁷



Fig. 3. A) Images of water drops deposited on PBF, PDEF and PPeF films; B) water drop on PDEF
after 0, 30 and 60 s from deposition.

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The high affinity with water was in line with the TGA results obtained for PDEF. The initial weight loss observed during the thermogravimetric analysis can be ascribed to the release of the humidity absorbed from the environment. By replacing the oxygen atom of the PDEF glycolic subunit with a non-polar -CH₂- group, obtaining the PPeF chemical structure, the hydrophobic character rose again. WCA value of PPeF is similar to that of PBF, despite the greater length of the aliphatic portion (4 -CH₂- for PBF *vs.* 5 -CH₂- for PPeF).

In **Fig. 3B**, the profile change of the drop deposited on PDEF film as a function of time was reported. A clear difference in contact angle could be observed just after 30 s from the release of the water drop. A completely different behaviour was observed for PPeF and PBF, for both of which no evolution of the drop shape with time was detected. The drop shape evolution on PDEF surface is further evidence of its improved hydrophilicity with respect to PBF and PPeF.

354 3.5 Composting test

In order to evaluate the potential end-of-life destination of PDEF-based products, composting tests were conducted. For each sample, square shaped films were incubated in adequately prepared compost and maintained under controlled atmosphere (58°C, 90% relative humidity). Then, several withdrawals were operated at different times (**Fig. 4**). The composting measurements were also carried out on PBF and PPeF samples. As regards PBF, after 62 days in compost, no changes on the film surface nor in the gravimetric weight were observed.

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Fig. 4. PDEF and PPeF film images collected at different composting times: macroscopic view (left)
and SEM images (right).

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366 A deeply different behaviour was observed for PDEF, which underwent degradation as soon as 367 incubated (Fig. 4). Just after 1 hour, the film macroscopically changed in colour and dimension, holes 368 and cracks were clearly observed with SEM. In particular, the cavities increased in size with the 369 incubation time, and after just 3 hours, the surface was almost completely covered of holes. After 24 370 hours of incubation, the film was wholly incorporated in compost. The high tendency to degrade 371 comes from the high hydrophilicity of PDEF given by the oxygen atoms inserted in the glycolic 372 subunit, and from the rubbery state of the polymer under the experimental conditions. Both these 373 features favour the diffusion of water molecules and microorganisms' attack. It is worth noting that 374 PDEF film did not undergo solubilization, as confirmed by additional experiments carried out in neat 375 water. In this case, no changes in PDEF film both at micro- and macroscopic level were observed,

even after months. As concerns PPeF, its degradation proceeded very fast, but at lower extent compared with PDEF. Also in this case $T_{incubation} > T_{g,PPeF}$, but degradation was slowed down likely because of the lower hydrophilicity. Anyway, after 4 days, macroscopical changing on the film surface could be seen and, after 7 days, cracks and holes could be observed microscopically via SEM analysis. In 21 days, the film was deeply compromised by degradation process both at micro- and macroscopic level (**Fig. 4**). Given the compost contamination of the incubated PDEF and PPeF films, it was not possible to perform weight loss measurements.

The huge slowing down of composting rate for PBF films has to be ascribed to its semicrystalline nature and lower macromolecular mobility ($T_{g,PBF} > T_{g,PDEF}$, $T_{g,PPeF}$) more than to its quite high hydrophobicity, being this last comparable to PPeF one (WCA_{PBF} \approx WCA_{PPeF}).

386 **3.6 Barrier properties**

387 Barrier properties are influenced by several factors that can have different impact on the final 388 performance. As a general trend, all factors that increase free volume among chains worsen barrier 389 properties. A pertinent example is the macromolecule flexibility, which is related to the glass 390 transition temperature. In particular, the lower the T_g the higher the number of unoccupied spaces, 391 which cause the increase of gas transmission rate (GTR). Differently, the presence of ordered phases 392 hampers the passage of gases through the film. In addition to the three-dimensional crystals, in the 393 systems containing aromatic or aliphatic rings connected by flexile moieties, 1D- and 2D-ordered 394 phases can develop, arising from Van der Waals forces, π - π stacking and polar interactions.⁷⁸⁻⁸² Barrier properties also depend on the possibility of ring flipping,⁸³ and of macromolecular 395 arrangements, which may affect the overall dipolar moment without changing the chemistry.⁸⁴ In 396 397 addition to them, in the case of furan-based polymers, the establishment of hydrogen bonds can be also considered.^{32,33,71,72} PDEF gas barrier properties were analysed and compared with those of PBF 398 399 and PPeF.

400 The permeability performances to two different pure gases, O_2 and CO_2 , were evaluated on the 401 compression moulded films. In **Fig. 5A** the results, expressed as gas transmission rate (GTR), were

402 reported. The experiments were conducted sequentially, as follows: i) temperature (T) 23°C, relative 403 humidity (RH) 0%; ii) T 23°C, RH 85%; iii) T 23°C, RH 0%. From the first test conducted at 23°C 404 in dry atmosphere, it was clear that PBF presented the highest values of GTR among the series, despite 405 its higher glass transition temperature and semicrystalline nature. Differently, PDEF and PPeF, even though amorphous and with T_g values around the temperature of measuring, resulted very outstanding 406 407 and with comparable performance. An explanation could be found, as mentioned before, in the 408 presence of a particular macromolecular arrangement that blocked the passage of gases through the 409 materials.⁸⁵ In the case of PPeF, as previously reported,^{32,33} 1-D, 2D-ordered domains developed 410 during compression moulding with consequent improvement of gas barrier performance. This 411 peculiar microstructure originated from inter-chain hydrogen bonds, these last also present, and to 412 greater extent, in PDEF. The presence of ether-oxygen atom in PDEF repeating unit enhanced the number of hydrogen bonds favouring the inter-molecular forces. These last lead to a compact array, 413 414 that hampered the gas molecules passage. At the same time, the insertion of an oxygen atom into the 415 glycol subunit decreases the symmetry of the aliphatic segment, reducing the macromolecular sorting. 416 In other words, the density of the inter-chain hydrogen bond increases due to the presence of ether O 417 atoms, but their random distribution determines a not enough long chain arrangement, not detectable 418 through diffractometric measurements. Nevertheless, unexpectedly, the barrier performances of the 419 PDEF were not superior to those of PPeF. The result could be explained considering that the 420 formation of the compact array is somewhat contrasted by the lower mobility of the PDEF 421 macromolecular chains compared to those of the PPEF ($T_{g,PDEF} > T_{g,PPeF}$).

422 Afterwards, the three films were subjected to permeability tests at 85% RH, keeping the temperature 423 constant at 23°C. In case of PBF, an improvement of barrier performance was observed, while for 424 PDEF, permeability values remained almost constant. The result was quite surprising considering the 425 usually observed plasticizing effect of water, which, enhancing the chain mobility, determined an 426 increment of the free volume. On the other side, the same plasticizing effect detected for PPeF, caused 427 a slight increase of GTR values under humid conditions. To explain the behaviour of PDEF and PBF

428 films, it was supposed that water enhanced the inter-chain interactions occupying the empty sites at 429 the oxygen atoms of the furan ring and, in case of PDEF, also at the oxygen of the glycolic subunit. 430 Hence, a more compact and dense new structure was formed, responsible for the decreasing of the GTR values.⁸⁵ In the case of PPeF, also containing furan O atoms in the furan ring, the longer glycolic 431 432 part compared to PBF decreased the density of furan ring per unit length. Therefore, the plasticizing 433 effect of water prevailed on the formation of a more compact microstructure arising from the 434 hydrogen bonds establishment. In PDEF, characterized by repeating unit length comparable to PPeF, 435 the presence of the oxygen atom instead of the central methylene group explained the different 436 behaviour in wet environment.

In the third experiment, the films were firstly subjected to vacuum in order to remove the moisture residues and then, a new test was performed at 23°C and 0% RH. PBF and PDEF maintained the same good performances reached under humid conditions. It is plausible that the vacuum applied was not affecting the hydrogen bonds formed among water and furan/ether oxygen. For PPeF, it could be hypothesized that the free molecules of water trapped inside the material and acting as plasticizer, were removed by vacuum. Conversely, those forming hydrogen bonds with the furan ring remained inside the material, making GTR-O₂ value decrease and GTR-CO₂ one almost unvaried.

Another aspect to consider was the different perm-selectivity ratio, defined as GTR_{CO₂}/GTR_{O₂}, which 444 445 is an indication of the different barrier performance towards the two gases. It should be remembered 446 that oxygen is a small and no-polar molecule characterized by a transmission rate lower than that of the larger carbon dioxide, which contains polar C-O covalent bonds.⁵⁰ Consequently, the perm-447 selectivity ratio is usually higher than 1. Usually, in polyesters GTR_{CO2} > GTR_{O2}, meaning carbon 448 449 dioxide passed through the films easier than O₂. In the furan-based polyesters, a peculiar behaviour with particularly low GTR values for CO₂ had been documented.^{32,86-88} This evidence was ascribed 450 451 to the presence of permanent dipoles in CO₂ interacting with the polar groups of polymeric chains

452 (furan ring), thus increasing CO_2 solubility and simultaneously decreasing its diffusivity. The 453 consequent result is a GTR_{CO_2}/GTR_{O_2} ratio close to 1 for furan-containing polyesters.





Fig. 5. A) GTR-O₂ and -CO₂ of PBF, PDEF and PPeF, for three subsequent experiments conducted
at 23°C in different conditions of humidity; B) GTR-O₂ and -CO₂ of PDEF and PPeF at 23 and 38
°C; C) GTR-O₂ and -CO₂ of different furan-based polyesters (PEF³⁴, PPF¹⁰, PHF¹⁰).

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461 Considering the three-step experiment reported in Fig. 5A, a different effect of humidity conditions

462 on perm-selectivity ratio could be found for PBF, PDEF and PPeF films.

As concerns PBF, in the first test conducted, the driving force was the dimension of the molecules.
Operating at 85% RH, new polar interactions between water molecules and polymer chains formed,
favouring CO₂ solubility. The effect kept constant even returning to dry environment.

In case of PDEF, its higher polarity due to the O atom insertion in the PBF backbone, helped to maintain the $GTRc_{0_2}/GTR_{0_2}$ ratio always close to 1. As regards PPeF, the trend observed was different: humidity determined an increment of perm-selectivity ratio, which further increased after removing free water molecules by vacuum. This trend could be explained considering that H₂O first acted as plasticizer, enhancing polymer chain mobility, and then left vacancies after vacuum was applied. Both these effects increased the free volume, favouring mainly the passage of the fastest CO_2 molecules. These last, in turn, were less soluble in PPeF than in PBF and especially in PDEF films.

PDEF and PPeF were also tested at 38°C to simulate hot weather conditions. As one can see from Fig. 5B, despite at this temperature macromolecular chains of both polymers were in a complete mobile state, barrier properties did not suffer a general worsening. This experiment supports, one more time, the hypothesis of supramolecular arrays originated from hydrogen bonds among the chains. As evident, these compact structures were not affected by the increasing of temperature, since the polymers maintain their performances. Differently, the increase of temperature in case of common polyesters leads to higher free volume among the macromolecules, favouring gas permeation.

Anyway, an increase of CO_2 gas transmission rate was detected by increasing temperature. As already mentioned, the gas passage depended on both solubility and diffusivity. This latter generally rises with temperature, the effect being more intense for the gas molecules characterized by the most chaotic motions, such as CO_2 molecules. In both cases, the performances to O_2 at 23°C and 38°C were constant, while GTR_{CO_2} values increased.

485 In Fig. 5C, a comparison of PDEF with PBF, PPeF and other furan-based polyesters was reported.

486 As one can see, PDEF and PPeF had GTR values much lower than the other materials.

In addition, an odd/even effect could be observed: the materials with odd number of -CH₂- groups resulted more performant compared to the even numbered -CH₂- containing ones. Surprisingly, PDEF and PPeF presented GTR values lower than those of PPF, despite their lower T_g ($T_{g,PPeF} = 13^{\circ}C$; $T_{g,PDEF} = 24^{\circ}C$; $T_{g,PPF} = 52^{\circ}C$). As previously reported, the deviating behaviour of PPeF and PDEF could be attributed to the supramolecular compact phase coming from the hydrogen bond formation, this last being favoured in rubbery amorphous furan-polyesters as PDEF and PPeF.

As regards the materials containing an even number of $-CH_2$ - groups, PEF, PBF and PHF, a progressive worsening of gas barrier performances can be observed, ascribable to different reasons as previously reported:^{33,89} (i) increasing amount of the so called disclinations; (ii) increasing fraction of 3D-ordered phase at the expense of the more performant 2D-one; (iii) increasing of free volume fraction ($T_{g,PEF}>T_{g,PBF}>T_{g,PHF}$).

498 **4.** Conclusions

499 The use of traditional synthetic Ti-based catalysts together with an optimized solvent-free two-stage polycondensation process permitted to obtain a 100% bio-based high molecular weight 500 501 poly(diethylene 2,5-furanoate) (PDEF). The polymer could be processed in form of thin freestanding films by compression moulding, although completely amorphous and with a Tg around room 502 503 temperature. A similar behaviour was previously observed for poly(pentamethylene 2,5-furanoate) 504 (PPeF), with respect to which the polymer object of this study has some important similarities in 505 terms of properties. In particular, both polyesters are characterized by very high thermal stability 506 (PDEF's one even slightly higher than that of PPeF), exceptional gas barrier properties both at room 507 temperature and at 38°C, preserved in the presence of humidity. Lastly, they are both compostable, 508 although PDEF degrades much faster than PPeF, mainly due to its greater hydrophilicity. In terms of 509 mechanical response, a fundamental property for a possible application in food packaging, PDEF 510 showed an intermediate behaviour between poly(butylene 2,5-furanoate) (PBF) and PPeF. In fact, 511 PDEF film exhibited the flexibility typical of PPeF, even though not an elastomeric behaviour (PDEF

512 stress-strain curve shows yielding), but a much higher elastic modulus and stress at break as PBF, 513 which confer to the material toughness and ductility. Therefore, with PDEF both the mechanical 514 limits of PBF (brittleness) and of PPeF (too high softness) are overcome, without compromising the 515 outstanding thermal stability, gas barrier properties and compostability of PPeF. The goal was 516 achieved through a small chemical modification of polymer chemical structure, i.e. the insertion of 517 an ether oxygen atom into the glycol subunit, centrally positioned. As known from basic chemistry, 518 oxygen is characterized by high electronegativity and small dimensions, and these characteristics 519 have had a significant impact on the final properties of the polymer. Specifically:

The lower dimension of oxygen with respect to carbon atom affected the chain constitutional regularity with consequent suppression of the polymer crystallization capacity (PDEF is amorphous, whereas PBF is semicrystalline). Moreover, C-O bond is shorter than C-C one, implying for ethereal bond a higher energy (PDEF is more thermally stable than PBF); the insertion of an oxygen atom in glycol subunit also makes its polymer chain more flexible than that of PBF, the aliphatic part being longer;

The high oxygen electronegativity strengthens inter-chain interactions (hydrogen bonds involving furan ring and Van der Waals interactions) responsible of the outstanding gas barrier (similar to those of PPeF) and mechanical properties (better than those of both PBF and PPeF) and increases polymer hydrophilicity, the parameter determining the very fast compostability of this polyester.

In conclusion, PDEF represents a very promising candidate to obtain an easily recyclable mono material package and also for producing single-use plastic packaging compostable in just one day.

533

534 Author contributions

- 535 S.Q. M.S. synthesized and characterized the polymer. V.S. performed gas barrier measurements.
- 536 S.Q., G.G., M.S. and N.L. analyzed the overall experimental data. M.S. and N.L. wrote manuscript.
- 537 G.G., M.S. and N. L. corrected and reviewed the manuscript. N.L. supervised and financed the work.

538 **Conflicts of interest**

539 The authors declare no conflict of interests.

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545 Supplementary data

- 546 These files can be found in the supplementary data:
- Fig. S1. ¹H-NMR spectra of PBF, PDEF and PPeF (from the top to the bottom) with the relative peak
 assignment.
- 549

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