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# Comparison of Soil Water Content Estimation Equations using Ground Penetrating Radar

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## 1. Abstract

Soil water content (SWC) has an important impact on many fundamental biophysical processes. The quantification of SWC is necessary for different applications, ranging from large-scale calibration of global-scale climate models to field and catchment scale monitoring in hydrology and agriculture. Many techniques are available today for measuring SWC, ranging from point scale soil water content sensors to global scale, active and passive, microwave satellites. Geophysical methods are important methods used for several decades to measure SWC at different scales. Among these methods, Ground Penetrating Radar has been shown to be one the most reliable and promising methods. Soil water content measurement using Ground Penetrating Radar requires the applications of parametric equations that will convert the measured dielectric permittivity to water content. While several tests have been performed to test equations for soil water content sensors such as Time Domain Reflectometry sensors, a few studies have been performed to test different formulae for application to Ground Penetrating Radar. In this study, we compare available formulae for converting dielectric permittivity obtained from detailed laboratory scale measurement of reflected waves using Ground Penetrating Radar. Four soils covering a wide range of textures were used and the measured soil water contents were compared with values obtained from gravimetric measurements. Results showed that the dielectric mixing model of Roth (1990) provided the best fit both for individual soil textural classes and for all soils combined, the latter with  $RMSE = 0.038 \text{ m}^3 \text{ m}^{-3}$ . Sensitivity analysis was then performed to provide detailed information for the most accurate application of the selected model.

Keywords: Ground Penetrating Radar, Soil Water Content, Dielectric Mixing Models, Em-

## 2. Introduction

Soil water content (SWC) is a fundamental property affecting a large variety of processes relevant to hydrology, agricultural sciences, engineering and soil sciences. Over the last decades many techniques have been developed to measure SWC at different temporal and spatial scales. Bittelli (2001) provided a review describing the most common methods available for measuring SWC. Among these methods, geophysical methods have been widely used. Among geophysical methods, Ground Penetrating Radar (GPR) is a powerful and promising one. GPR has the advantage of covering larger areas with respect to point-based measurements typical of soil moisture sensors such as Time Domain Reflectometry (TDR), filling the gap between point scale and large scale satellite-based measurement. Soil water content can be obtained by performing different types of analysis and methods using GPR. Huisman et al. (2003) and Klotzsche et al. (2018) presented reviews about advances in applications of GPR, for measurement of SWC. In their reviews they discuss the available methods, including continuous multi-offset measurements, off-ground measurements, three-dimensional measurements, vertical radar profiling, modelling and inverse methods.

When the value of soil dielectric permittivity is obtained from GPR, relationships must be employed to convert permittivity to volumetric SWC. Commonly, the relationships used for GPR are the ones derived from the calibration of TDR data against SWC data obtained from gravimetric methods. Since both TDR and GPR are volumetric measurements, during the calibration measurement of bulk density is necessary to convert the mass-based gravimetric

44 measurement to volume-based soil water content. Many equations were derived over the years.  
45 One of the most widely used equations is the one by Topp et al. (1980), which is a third  
46 order polynomial. The authors used TDR to measure the dielectric permittivity for a range  
47 of granular samples placed in a coaxial transmission line. Ledieu et al. (1986) proposed an  
48 equation where the calibration of TDR was performed against gamma-ray attenuation, an  
49 accurate technique used for measuring water content. The calibration equation accounted for  
50 the change in bulk density of the specimen. Later, Roth et al. (1992) proposed calibration  
51 functions for mineral, organic and magnetic soils. These are empirical equations.  
52 Roth et al. (1990) proposed a dielectric mixing model based on theoretical considerations. This  
53 model includes: 1) the effect of bulk density (by accounting for soil porosity), 2) a geometrical  
54 parameter describing the orientation of soil particles with respect to the electric field and  
55 3) the values of dielectric permittivity for the solid, liquid and gas phase. While the gas  
56 phase permittivity is constant, the solid phase permittivity changes with soil minerals, while  
57 the liquid phase permittivity is temperature dependent (assuming constant or narrow-band  
58 frequency).

59 The dielectric mixing model of Roth et al. (1990) belongs to the family of the electromagnetic  
60 mixing models, which are applied to a large variety of media including snow, ice, liquids and  
61 biological materials. One of the most exhaustive description and review about the theory of  
62 electromagnetic mixing formula was presented by Sihvola (1999). As pointed out by Sihvola,  
63 inhomogeneous mixtures (such as a soil) have properties that are somehow dependent and  
64 determined by its constituents but different from the original components. Although the  
65 dielectric properties of a mixture are somehow an average of the components permittivities,

66 often the whole character of the dielectric is changed by the mixing process.  
 67 The relationships currently used for GPR applications were derived from experiments per-  
 68 formed with the TDR and applied to various studies. Weihermuller et al. (2007) used the  
 69 Topp et al. (1980) formula to derive water content from GPR. Gerhards et al. (2008) derived  
 70 SWC from multiple transmitter-and-receiver GPR, employing the Roth et al. (1990) dielectric  
 71 mixing model.  
 72 However, there are many differences between TDR and GPR, in terms of frequency of oper-  
 73 ation, sampling volume, data analysis and interpretation. Therefore there is the need to test  
 74 the current equations applied to GPR. Only a few studies have been performed. Lambot et  
 75 al. (2004) estimated SWC directly from GPR, using a soil-specific empirical model (third-  
 76 order polynomial) similar to Topp's equation. However, their experiment was limited to a  
 77 sand box with only sand sample as testing material. Steelman and Endres (2010) presented a  
 78 comparison among petro-physical relationships for application to GPR. They concluded that  
 79 the general empirical equation by Roth et al. (1992) provided the best fit for the sandy loam  
 80 soil. When the entire data set was analyzed, they found that the Topp et al. (1980) and Roth  
 81 et al. (1992) relationships provided the most accurate estimates.  
 82 However, Steelman and Endres (2010) used permittivity data obtained from GPR using the  
 83 Common Midpoint (CMP) method. With this method, stacking velocity fields are extracted  
 84 from multioffset radar soundings at a fixed central location. Yet, CMP-derived velocity esti-  
 85 mates are generally characterized by low resolution and high uncertainty (Tillard and Dubois,  
 86 1995; Lambot et al, 2004). The success of the measurements depends on the presence of clearly  
 87 reflecting layers in the soil. For this reason the calibration equations derived from dielectric

88 permittivity obtained from CMP may be affected by low resolution and high uncertainty.

89 The travel time of the reflected GPR wave depends on the depth of the reflector and the mean

90 dielectric permittivity above the reflector. In general, in field applications the reflectors depth

91 is unknown, therefore alternative techniques are used (Klotzsche et al., 2018). However, for

92 controlled studies on calibration equations, it is more accurate to perform GPR measurements

93 where a reflector is installed at a known depth and derive an accurate travel time, as performed

94 by Lambot et al. (2004), where radar measurements were carried out in controlled laboratory

95 conditions on a tank filled with a disturbed sandy soil.

96 In this study, the performance of various published physical relationships used to obtain soil

97 water content estimates from GPR obtained from a known-depth reflector, were evaluated.

98 Detailed GPR experiments were setup for soils having different texture, bulk density and

99 water content. These variables were controlled and independent gravimetric measurements

100 were used for testing.

### 101 3. Theory

102 Ground Penetrating Radar reflections occur when there are significant changes in dielectric

103 permittivity. In natural conditions they can be sedimentation layers, groundwater tables,

104 rocks stratification. One of the most common techniques for measuring SWC is based on

105 derivation of dielectric permittivity from travel time analysis.

106 The velocity  $v$  [ $\text{m s}^{-1}$ ] of an electromagnetic wave, is affected by the dielectric permittivity  $\epsilon$ ,

107 and the magnetic permeability  $\mu$ , as:

$$v = \frac{c}{\sqrt{\mu\epsilon}} \quad (1)$$

where  $c$  is the speed of light,  $2.997 \times 10^8$  [m s<sup>-1</sup>]. From a mechanical standpoint, the velocity  $v$  of an electromagnetic wave traveling through a space of length  $d$  [m], is given by:

$$v = \frac{2d}{t} \quad (2)$$

where  $t$  is time [s]. For a reflected wave, the number 2 in front of the length is included because the wave is reflected back to the receiving antenna. For most soils  $\mu_r$  is equal to 1 (Roth et al., 1992), therefore Eqn. 1 can be written as:

$$v = \frac{c}{\sqrt{\epsilon}} \quad (3)$$

By equating the definitions of velocity:

$$\frac{c}{\sqrt{\epsilon}} = \frac{2d}{t} \quad (4)$$

and solving for  $\epsilon$ :

$$\epsilon = \left( \frac{ct}{2d} \right)^2 \quad (5)$$

Equation 5 allows for obtaining the relative dielectric permittivity by measuring the travel time  $t$ , since the position of the reflecting plane  $d$  and the speed of light  $c$  are known. When the material is a composite mixture such a soil, we refer it as bulk dielectric permittivity ( $\epsilon_b$ ).



117 Knowledge of the distance between the antenna and the reflector  $d$ , allows for obtaining the  
 118 travel time and the dielectric permittivity, this method is usually called the *two – way* travel  
 119 times analysis (Pereira et al., 2005).

### 120 3.1. Soil Water Content relationships

#### 121 3.1.1. Empirical Equations

122 The empirical relationship by Topp et al. (1980) is:

$$\theta = -5.3 \times 10^{-2} + 2.92 \times 10^{-2} \epsilon_b - 5.55 \times 10^{-4} \epsilon_b^2 + 4.3 \times 10^{-6} \epsilon_b^3 \quad (6)$$

123 where  $\theta$  is the volumetric water content ( $\text{m}^3 \text{ m}^{-3}$ ) and  $\epsilon_b$  is soil bulk dielectric permittivity.

124 The authors fitted the third-order polynomial to TDR data collected in a coaxial transmission  
 125 line for four soils. They estimated an error for their data of  $0.013 \text{ m}^3 \text{ m}^{-3}$ .

126 Ledieu et al. (1986) developed an equation obtained from calibrating TDR against SWC data  
 127 obtained from gamma-ray attenuation. Since dielectric permittivity is density dependent they  
 128 also included the bulk density. They stated that their procedure and calibration equation had  
 129 accuracy of less than 1 %. However the experiment was performed only on one sample of sand.

130 The equation proposed is:

$$\theta = 0.1138\sqrt{\epsilon_b} - 0.1758 \quad (7)$$

131 Roth et al. (1992) proposed three different empirical equations for mineral, organic and  
 132 magnetic soils. The equation for mineral soil is also a third-order polynomial similar to Topp's  
 133 equation, but with different coefficients and a prediction error of  $0.015 \text{ m}^3 \text{ m}^{-3}$ :

$$\theta = -7.28 \times 10^{-2} + 4.48 \times 10^{-2} \epsilon_b - 19.5 \times 10^{-4} \epsilon_b^2 + 36.1 \times 10^{-6} \epsilon_b^3 \quad (8)$$

### 134 3.1.2. Electromagnetic Mixing Formulas

135 Electromagnetic mixing formulae relate the value of the individual permittivities of the mixture  
 136 components to their volumetric fractions. A widely used class of mixing models are called  
 137 power-law models (see Sivhola, 1999, page 166), where a certain power of the permittivity is  
 138 averaged over volume weights:

$$\epsilon_b^\beta = f \epsilon_i^\beta + (1 - f) \epsilon_j^\beta \quad (9)$$

139 where  $\epsilon_i$  and  $\epsilon_j$  are the generic dielectric permittivities of a two phase systems. In the Birchak  
 140 et al., (1974) equation, the parameter  $\beta$  is equal to  $1/2$ . Another known model is the Looyenga  
 141 (1965) formula, where  $\beta$  is equal to  $1/3$ .

142 Later Roth et al. (1990), extended the power-law model to compute the bulk dielectric per-  
 143 mittivity as a weighted sum of the dielectric permittivity of each soil phase:

$$\epsilon_b = (\phi_s \epsilon_s^\alpha + \theta \epsilon_l^\alpha + \phi_g \epsilon_g^\alpha)^{1/\alpha} \quad (10)$$

144 where  $\phi_s$ ,  $\theta$  and  $\phi_g$  are the solid, liquid and gas phase volumetric fractions. The corresponding  
 145 dielectric permittivities are  $\epsilon_s$ ,  $\epsilon_l$  and  $\epsilon_g$ , while  $\alpha$  is the parameter describing the geometry of  
 146 the medium with relation to the applied electric field (Roth et al., 1990). The volumetric solid  
 147 fraction can be also written as  $\phi_s = (1 - \phi_f)$ , where  $\phi_f$  is the porosity and the volumetric

fraction of the gas phase as  $\phi_g = (\phi_f - \theta)$ . Using these relationships and substituting into eqn. 10, leads to:

$$\theta = \frac{\epsilon_b^\alpha - [(1 - \phi_f)\epsilon_s^\alpha + \phi_f\epsilon_g^\alpha]}{\epsilon_l^\alpha - \epsilon_g^\alpha} \quad (11)$$

The liquid phase dielectric permittivity is temperature dependent with:

$$\epsilon_l = 78.54 \times (1 - (4.579 \times 10^{-3} \times \Delta T)) \quad (12)$$

where  $T$  is temperature in Celsius and  $\Delta T = T - 25$ . To use this equations, knowledge of porosity (which can be obtained from measurement of bulk density) and dielectric permittivity of the solid phase is needed. Porosity is obtained from measured bulk density by:

$$\phi_f = 1 - \frac{\rho_b}{\rho_s} \quad (13)$$

where the density of the solid phase ( $\rho_s$ ) was assumed to be equal to  $2.65 \text{ g cm}^{-3}$ .

The sum of the different volume-weighted permittivities can be extended to include the contribution of organic matter in organic soils, or ice in partially frozen soils (Bittelli et al., 2004).

Table 1 provides dielectric permittivity values for different materials (Daniels, 2004). In this study we used the following values:  $\epsilon_s = 4$ ,  $\epsilon_l$  was computed with eqn. 12 at  $28^\circ\text{C}$ ,  $\epsilon_g = 1.005$  and  $\alpha = 0.5$ .

Overall, the selection of these four models was based on previous results obtained by Steelman and Endres (2010). They found that the general empirical equation by Roth et al. (1992) provided the best fit for the sandy loam soil and when the entire data set was analyzed

Table 1: Dielectric permittivity of materials at 100 MHz. From Daniels, 2004.

Material	Dielectric permittivity
Vacuum	1
Air	1.0005
Fresh water	$78.54 \times (1 - 4.579 \times 10^{-3}(T - 25))$
Fresh water ice	3.2
Quartz	4–6
Concrete dry	4–10
Sand Dry	2–6
Sandstone dry	2–5
Soil Dry Clay	4–10
Granite Dry	5
Limestone dry	7

using the general empirical expressions, they found that the Topp et al. (1980) and Roth et al. (1992) relationships provided the most accurate. Regarding the electromagnetic mixing formulae, Steelman and Endres (2010) found that the Roth et al. (1990) dielectric mixing model produced better results for the entire data set, but performed only slightly better than the general empirical relationships.

#### 4. Material and Methods

Five different soils were used in this study, namely sand, sandy loam, loamy sand and kaolinite clay. Samples were collected from the Tumkur district, Karnataka, India. The soil samples were collected from the top 25 cm of soil. The experiments were conducted at laboratory temperature of 28 °C. This value was used for correcting the dielectric permittivity of the liquid phase in the dielectric mixing models (eqn.12), which provided a value of  $\epsilon_l = 77.46$ . The tested soils were cleaned for presence of organic material like grass, leaves etc. and sieved with a 2.5 mm size sieve. Figure 1 shows a schematic of the experimental setup, while Figure

2 shows two photographs of the experimental setup.

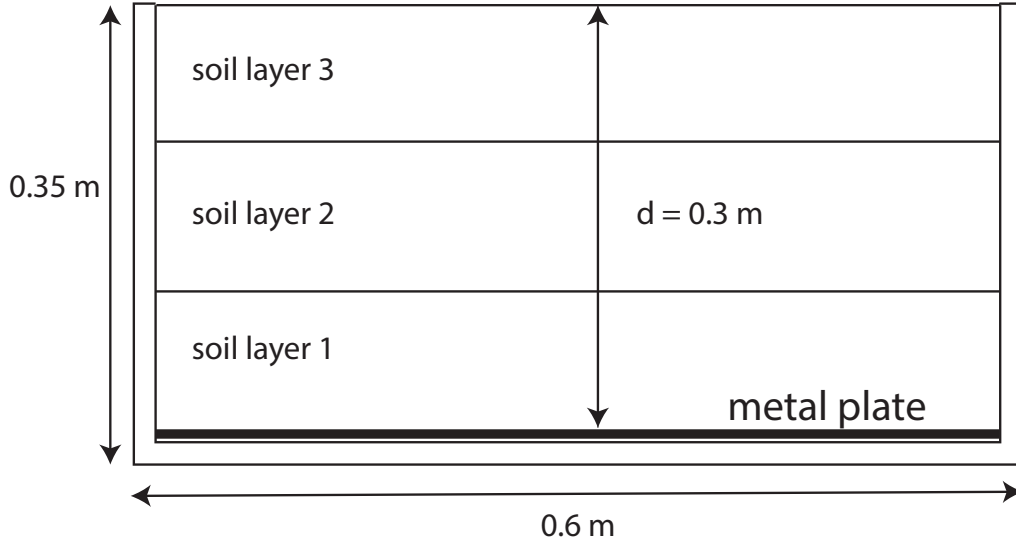


Figure 1: Schematic of the soil plastic tank



Figure 2: Picture of the soil plastic tank and GPR (Mala Inc., 800 MHz).

The soil was placed into a plastic tank (with base  $0.6 \text{ m} \times 0.4$  and  $0.35 \text{ m}$  height) for a total volume of  $0,072 \text{ m}^3$ , with a reflecting metal plate at the bottom. The distance for travel time calculations between the antennas and the reflecting metal plate was  $d \simeq 0.3 \text{ m}$ . According to the manufacturer (Mala Inc.) the antennas are positioned at the bottom of the GPR, where a plastic lower case of a few mm thickness separate the antennas from the soil. Therefore a

182 value of  $d \simeq 0.3$  is the correct physical distance between the antennas and the metal reflector.  
 183 The distance between the transmitting and receiving antennas is 0.1 m. Materials underneath  
 184 the metal sheet have no influence on the measured backscattered signal (Lambot et al, 2004).  
 185 The soil was prepared by adding a fixed amount of water to a specific mass of soil, and mixed  
 186 to obtain uniform distribution of water. The mixed sample was then placed into the tank and  
 187 packed to a specific density in three layers of 0.1 meters each, of equal mass. The layers in  
 188 the figure do not represent different soil types, but the layers used for packing. GPR antenna  
 189 was then placed on the top of box and readings were taken in time-triggering mode.  
 190 Subsequently, the soil was removed from the tank and fixed amounts of water were added to  
 191 increase water content. The same packing procedure was then repeated, therefore everytime  
 192 the soil was prepared and repacked into the tank, for each SWC measurement. This procedure  
 193 was followed since it was not possible to increment water content within the tank by either  
 194 percolation or capillary rise. At the bottom of the tank a reflecting metal plate was positioned  
 195 for GPR analysis, therefore we could not control the lower boundary condition for percolation  
 196 or capillary rise with installation of either ceramic or porous plates. Moreover, percolation of  
 197 water into a tank often results in preferential flows of water along the walls and preferential  
 198 pathways, resulting in non-homogeneous distributions. For these reasons, the soil was repacked  
 199 each time for each individual SWC measurement.  
 200 To verify water content and bulk density values and to test SWC equations, after the GPR  
 201 measurement was performed, soils samples were collected in metal rings from the center of the  
 202 tank and independent gravimetric SWC and bulk densities were measured. Although special  
 203 care was payed to pack the soil at the same density, since the volume of the tank was fairly

large, it was not possible to repack the soil at the same densities, therefore variations in bulk densities were recorded during the measurement. These values were used in the equations for estimation of water content, where bulk density (or porosity) was required. Specifically the variations in bulk density ranged from 1.33 to 1.8 g cm<sup>-3</sup> for sand, from 1.21 to 1.71 g cm<sup>-3</sup> for sandy loam, from 1.6 to 2.1 g cm<sup>-3</sup> for loamy sand and from 1.04 to 1.24 g cm<sup>-3</sup> for kaolinite clay.

#### 4.1. Ground Penetrating Radar measurements

The GPR was a Mala Inc., with an 800 MHz shielded antennas. The setup was the following: time window = 38.8 ns, depth = 0.3 m, sampling frequency = 8230.951172 MHz and antenna separation = 0.1 m. The data were analysed using the software Prism 2 (Radar System Inc.) and Reflex (Sandmeier, 2019). The acquisition was performed in time-based trace triggering mode.

Figure 3 shows an example of radargrams showing the reflector depth. The reflection in the upper part of the signal are the typical air and ground wave as shown in Figure 4. The transmitting antenna propagates waves giving rise to an air wave that travel directly from the transmitting to the receiving antenna. Similarly, the propagating wave give rise to the ground wave. The upper part of the radargram shows the air and ground wave.

As indicated in Figure 3, the lower change in amplitude indicated by the arrows is the reflection due to the metal plate, determining the travel distance ( $d$ ) of the wave. Note that in the figure on the left plate the reflection is attenuated (the shade of gray is less intense) with respect to the figure on the right. This is due to a higher water content, determining a higher value of dielectric permittivity, and higher attenuation of the reflected wave. Since the reflector was

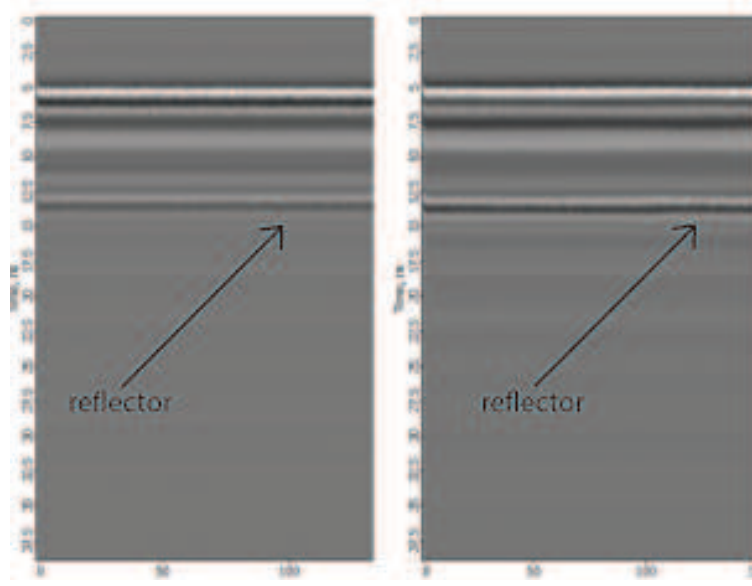


Figure 3: Example of radargrams for two different water contents. The left plate is for a sample at higher water content.

fairly close to the antennas (0.3 m), in this study the reflection of the metal plate was always visible, even at high water content in the kaolinite clay sample.

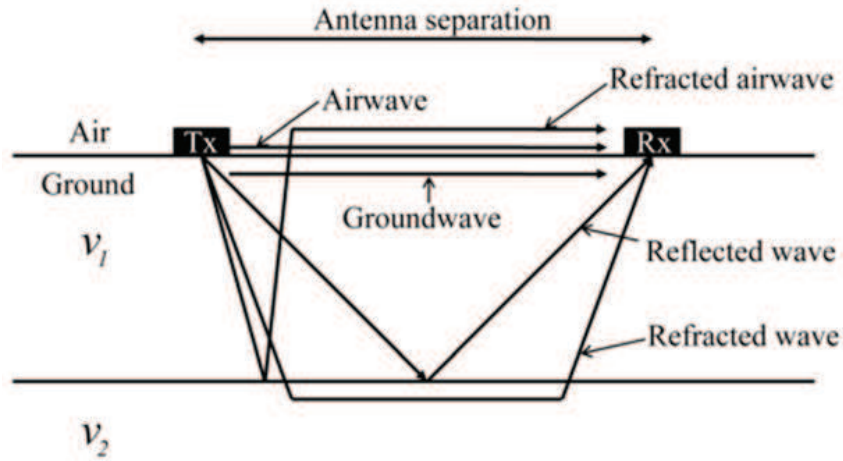


Figure 4: Propagation paths of electromagnetic waves in soils with different layers (Huisman et al., 2003)

Indeed, depth penetration is controlled by the dielectric permittivity and electrical conductivity of the sample. In fine textured soils, in particular in clay soils, the signal can be highly attenuated. Moreover, in fine textured samples relaxation processes (such as Maxwell-Wagner



231 or double layer polarization) may determine additional dissipation processes (Schwing et al.,  
232 2013) and further attenuation of the signal.

233 The procedure to identify the reflections was based on the calibration procedure presented by  
234 Pereira et al. (2005). The authors pointed out that one of the main problems related to GPR  
235 technology is that the technical information provided by the different companies is practically  
236 inexistent. The lack of information for the different parameters for antenna emissions and  
237 emitted signal is a serious difficulty for data interpretation. For instance, the authors showed  
238 that the rate of drift of the signal was not exactly the same for the three antennas under test,  
239 operating at 500, 800 and 1000 MHz. Indeed, the time base of GPR measurements is also not  
240 exactly defined and it may exhibit a significant drift due to a temperature difference between  
241 the instrument electronics and the air temperature. Accordingly, we increased the warming  
242 time of the GPR to 30 minutes to equilibrate with the laboratory temperature. Since the  
243 authors used the same GPR manufacturer used in this study (Mala Inc.), we employ their  
244 procedure to identify the time zero parameter.

245 An exact definition of time zero is nearly impossible. It is not a constant value but depends on  
246 the surface material type and the antenna set up configuration (Sandmeier, 2019). However,  
247 when the physical distance of the reflector and the distance between the antenna are known, it  
248 is possible to determine the time zero for the investigated material. An automatic and stable  
249 static correction (definition of time zero) may be done either on the first negative, first zero  
250 crossing or first positive peak (Sandmeier, 2019). Pereira et al. (2005) suggested to use the  
251 first positive peak (Fig. 4 in Pereira et al. (2005)) for the 800 MHz antenna.

252 Figure 5 shows an example of a trace and the identification of the reflection for computation

253 of travel time. The lower plate shows the complete trace acquired during the experiment and  
 254 the upper plate a zoom over the relevant section. The origin was fixed by starting off at the  
 255 greatest amplitude value from the first positive semiperiod peak. After obtaining the travel  
 256 time, the bulk dielectric permittivity was then computed as detailed above.

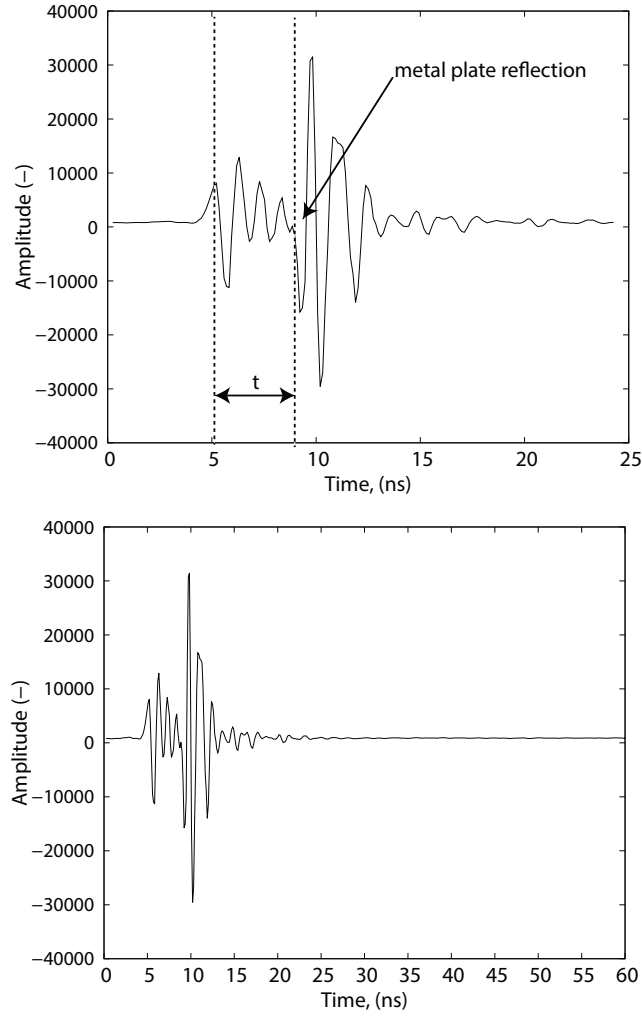


Figure 5: Example of travel time determination on a representative trace

## 257 4.2. Error Analysis

258 The accuracy of the volumetric soil water content estimates was estimated using the Root  
259 Mean Squared Error (RMSE):

$$RMSE = \sqrt{\frac{\sum_{i=1}^N (\theta_{meas} - \theta_{pred})^2}{N}} \quad (14)$$

260 where  $N$  is the total number of samples,  $\theta_{meas}$  [ $\text{m}^3 \text{ m}^{-3}$ ] is the volumetric water content  
261 obtained from gravimetric measurements and  $\theta_{pred}$  [ $\text{m}^3 \text{ m}^{-3}$ ] is the volumetric water content  
262 predicted by the different equations, and obtained from GPR measurement of bulk dielectric  
263 permittivity.

## 264 5. Results and Discussion

265 The estimated volumetric water contents,  $\theta$ , obtained from the different equations are pre-  
266 sented in Fig. 6 for the four different textural classes and the RMSE results are presented  
267 in Table 2. The dielectric mixing model of Roth et al. (1990) provided the best fit for the  
268 tested soils, except for the sandy loam. For the sandy loam, the Topp's and Ledieu's equations  
269 provided the best fit. When all the data were combined the dielectric mixing model of Roth  
270 et al. (1990) provided the best fit, with RMSE of  $0.038 \text{ m}^3 \text{ m}^{-3}$ .

Table 2: Root Mean Square Error (RMSE) [ $\text{m}^3 \text{ m}^{-3}$ ] for the four different soil types and all data. DMM stands for dielectric mixing model.

Relationships	sand	sandy loam	loamy sand	kaolinite clay	all data
Topp et al. (1980)	0,024	0,035	0,022	0,033	0,051
Ledieu et al., (1986)	0,023	0,035	0,025	0,030	0,052
Roth et al. (1992)	0,049	0,054	0,015	0,012	0,051
Roth et al. (1990)-DMM	0,022	0,040	0,010	0,010	0,038

Figure 6 shows the SWC predicted by the four different equations against the independent gravimetric SWC. The gravimetric measurements were converted into volume based measurement by multiplying them by the bulk densities. As confirmed by the values of RMSE, it is also possible to visually see the best fitting of the dielectric mixing model of Roth et al. (1990) for the indicated textures.

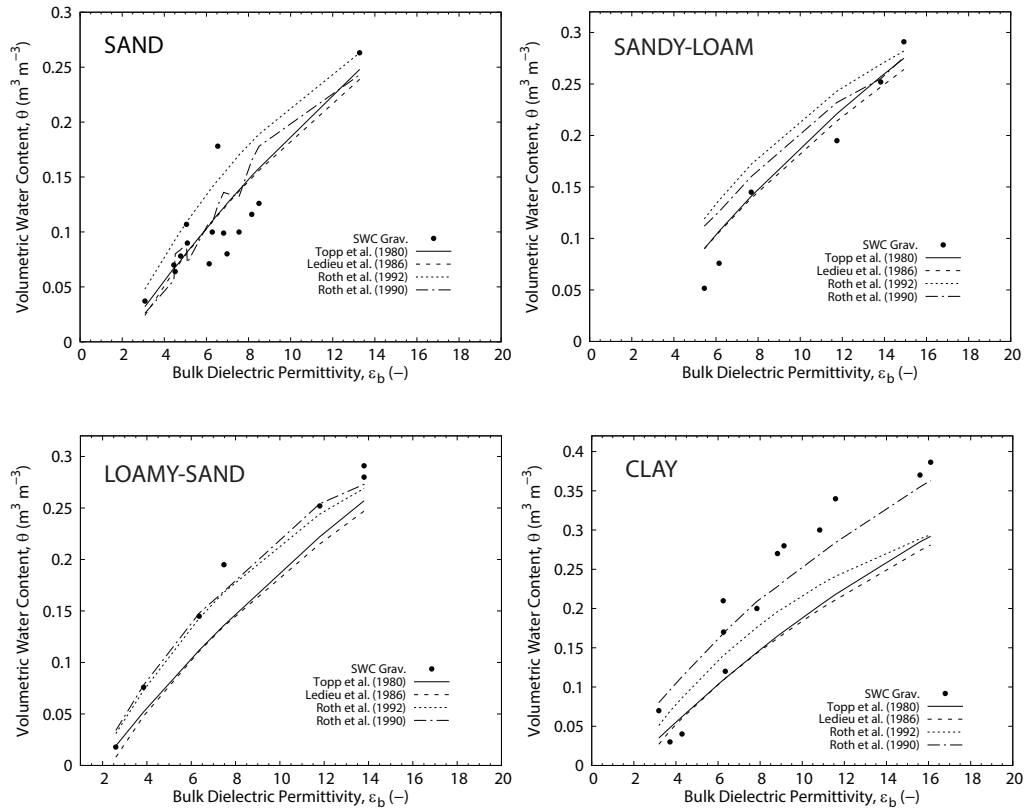


Figure 6: Ground penetrating radar (GPR) permittivity with corresponding volumetric water contents collected for the sand, sandy loam, loamy sand and kaolinite clay textural classes. Points are gravimetric water contents and lines are estimated values for the four different models.

Considering the difficulties in achieving uniform packing of wetted soil into a large tank and the multiple repetitions of the procedure, the scatter of the experimental data is fairly small, confirming the accuracy of the experimental procedure.

Figure 7 shows a scatter plot between the measured volumetric water contents and the esti-

280 mated ones for the different models. The performance of the dielectric mixing model may be  
 281 further improved by including different values of solid phase dielectric permittivity based on  
 282 mineralogical measurements of the samples.

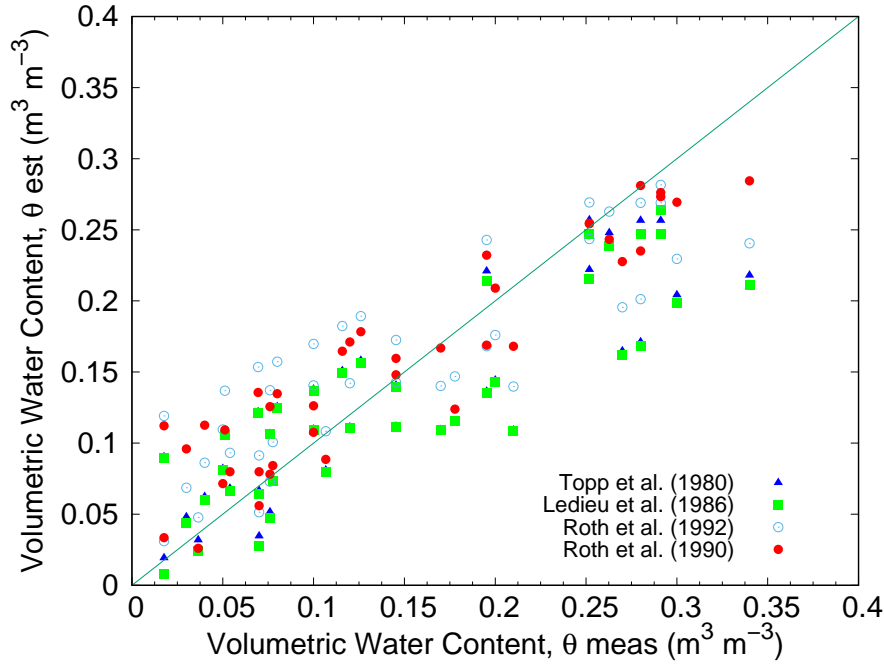


Figure 7: Scatter plot of measured and estimated data for the four soil types

283 Note that the equations that use the value of porosity (or bulk density), such as the dielectric  
 284 mixing model of Roth et al. (1990) are not always smooth lines and in particular for sand.  
 285 This is due to the varying values of bulk density measured for each independent measurement  
 286 of gravimetric SWC.

287 As described above, experimentally was not possible to repack the soil at the exact same values  
 288 of bulk density, therefore bulk density was measured every time the soil was repacked. The  
 289 ability of estimating SWC as function of porosity is one of the reasons the dielectric mixing  
 290 model performed better than the other models.

291 Moreover, the varying bulk densities stress the experimental difficulties of preparing large

292 amount of soil material at uniform water content and density.  
 293 Using empirical equations, such as the Topp's equation, where estimation of SWC is not den-  
 294 sity dependent, will lead to inaccurate estimation of SWC since density, in natural conditions,  
 295 changes with depth. In agricultural conditions, where soil is subject to compaction and soft-  
 296 ening due to machines and tillage, the changes in bulk density over the growing season are  
 297 significant, requiring equations that include the possibility of time and space dependent bulk  
 298 density. For these reasons, there are active lines of research, where direct measurement of bulk  
 299 density is derived from TDR waveforms, such to obtain both SWC and bulk density from the  
 300 same waveform measurement (Jung et al., 2013a; Jung et al., 2013b; Curioni et al., 2018).  
 301 Overall, the dielectric mixing model provides better estimates but it requires knowlegde of soil  
 302 porosity (or bulk density). We assumed that the liquid phase was in thermal equilibrium with  
 303 the soil and therefore we used soil temperature for the temperature of the liquid dielectric  
 304 permittivity. If temperature is not available, default values for  $\epsilon_l$  at 25°C can be used.  
 305 However, as discussed below the effects of temperature variations are fairly small. Adjusting  
 306 the value of  $\epsilon_s$  would have improved the estimation for the loamy sand soil as well, however  
 307 we did not want to use  $\epsilon_s$  as an fitting parameter.  
 308 These results are consistent with the work of Gerhards et al. (2008), where they derived  
 309 accurate SWC from GPR using a multiple transmitter-and-receiver setup, and employing the  
 310 dielectrid mixing model of Roth et al. (1990). As pointed out by Sivhola (1999) the use of  
 311 dielectric mixing models is preferable with respect to the use of empirical equations since they  
 312 allow for incorporating dielectric properties of constituent materials and theirvtemperature  
 313 and frequency dependence. While the major dipole relaxation for water occurs at higher

frequency (19 GHz), additional relaxations in soils, such as double layer or Maxwell-Wagner relaxations, may occur in the operational frequencies of GPR, depending on the selected antenna (Olmi and Bittelli, 2015).

Another parameter that significantly changes soil water content estimation is the parameter  $\alpha$ , which is discussed in the next section.

### 5.1. Sensitivity Analysis of the dielectric mixing model

To employ the dielectric mixing model for different media it is important to quantify the effect of the individual parameters on the estimation of water content. As described above the permittivity of the gas phase is constant, the porosity depends on bulk density, the permittivity of the liquid phase is temperature dependent (assuming a constant or narrow band frequency) and the permittivity of the solid phase depends on mineralogy.

Figure 8 depicts the variations of volumetric water content as function of permittivity for different values of  $\alpha$ . The other parameters are kept fixed with  $\epsilon_s = 2$ ,  $\epsilon_l = 77.46$  (at 28 °C),  $\epsilon_g = 1.005$  and  $\phi_f = 0.547$  (with  $\rho_b = 1.2 \text{ g cm}^{-3}$ ).

The parameter  $\alpha$  depends on the shape and orientation of the inclusions affecting the depolarisation factors, as detailed by Sihvola, (1999). The value of 1/2 was used by Birchak et al. (1974) or 1/3 by Looyenga, (1965). Other values can also be selected for the power-law relationship. The domain is  $-1 \leq \alpha \leq 1$ , where  $\alpha = 1$  for plates or other inclusions for which no depolarisation is induced, or when the electric field is parallel to the layering.  $\alpha = -1$  if the field is perpendicular to the layering and  $\alpha = 0.5$  for isotropic two-phase medium (Roth et al., 1990). Using a non-linear least square minimization algorithm, Roth et al., (1990) found an optimal value of  $\alpha = 0.46$  for their experimental data, which is close to 0.5, the value

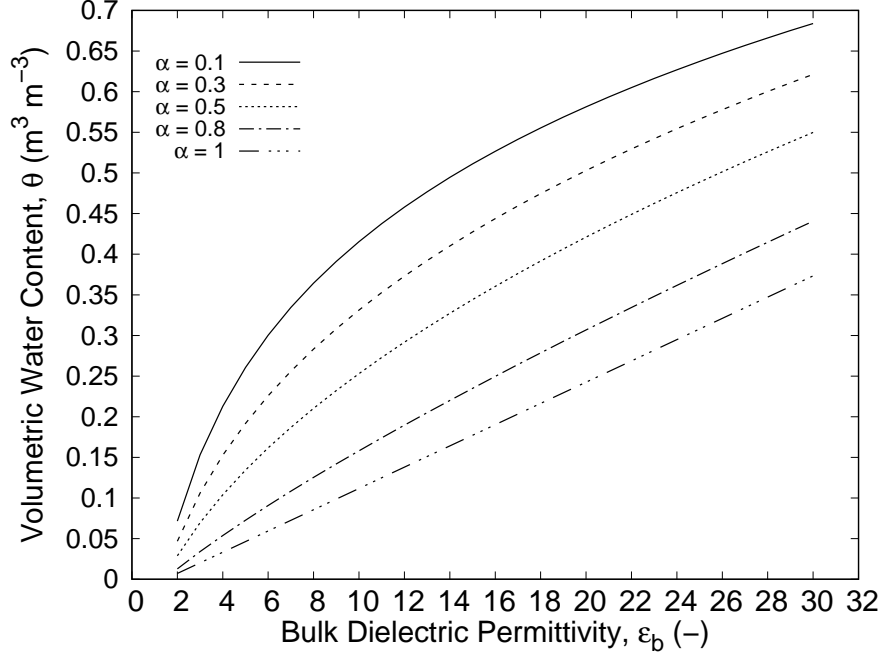


Figure 8: Sensitivity analysis for the parameter  $\alpha$

obtained by Birchak et al. (1974) from theoretical reasons. While in this study the dielectric model was not calibrated and a fixed value of 0.5 was used,  $\alpha$  can be modified if information about the soil layering is available, such as stratifications, sedimentation layers and others. Alternatively,  $\alpha$  can also be used as fitting parameter. At decreasing values of  $\alpha$  corresponds significantly increasing values of  $\theta$ . Being the relationship non linear the variation depends on the corresponding values of permittivity.

The effect of the solid phase permittivity was also evaluated (Figure 9). The parameters were kept fixed as for the previous analysis, with  $\alpha = 0.5$ , and  $\epsilon_s$  was changed from 2 to 10. These values are the ones reported in Table 1, for different earth materials. Lower values are associated to dry sandstone and sand, while higher values are associated to dry clay. The increase of the solid phase dielectric permittivity determines a decrease in the estimated SWC. For this parameters set, a change from 2 to 10, determines a decrease in  $\theta$  of  $0.1 \text{ m}^3 \text{ m}^{-3}$ .



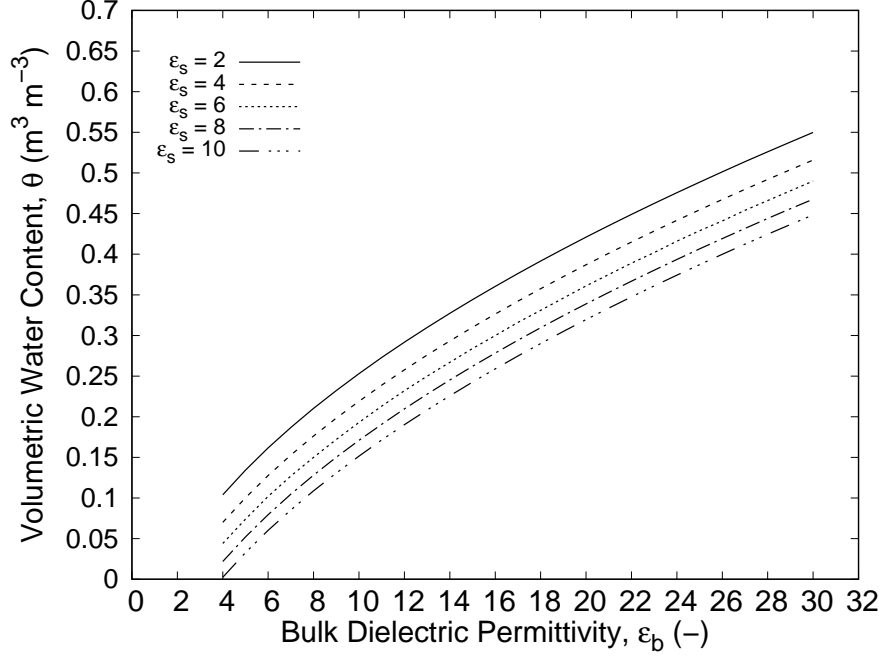


Figure 9: Sensitivity analysis for the parameter  $\epsilon_s$

348 This behavior is due to the higher weight given to the solid phase by an increased  $\epsilon_s$  in  
 349 the weighted volumetric sum, and therefore less weight to the volumetric contribution of the  
 350 liquid phase. Also in this case, information regarding the mineralogical composition of the  
 351 analyzed media allows for modification of this parameter.

352 The effect of temperature on the liquid phase permittivity, and therefore on  $\theta$ , is fairly small  
 353 with estimated variation in volumetric water contents of about  $0.03 \text{ m}^3 \text{ m}^{-3}$  over a temperature  
 354 range between 4 and 20 °C. Finally, the effect of porosity on soil water content is about  $0.06$   
 355  $\text{m}^3 \text{ m}^{-3}$  over a variation of  $\phi_f$  between 0.7 and 0.1, with increasing  $\theta$  with increasing porosity.

356 Considering that in field conditions bulk density can easily range, for instance, between 0.8  
 357 and  $2.4 \text{ g cm}^{-3}$  (corresponding to variations in porosity between 0.7 to  $0.09 \text{ m}^3 \text{ m}^{-3}$ ), the  
 358 effect of bulk density is significant on SWC estimation.

359 Overall, the parameters that have a larger effect on estimated SWC with the dielectric mixing

360 model are the exponent  $\alpha$ , the solid phase permittivity  $\epsilon_s$  and porosity  $\phi_f$ . The first two can  
361 be used as fixed parameters with values of 0.5 and 4 respectively or used as fitting parameters.  
362 Porosity should be measured or obtained from bulk density. In absence of porosity or bulk  
363 density data, density can be obtained from TDR waveforms (Jung et al., 2013a, Jung et al.,  
364 2013b, Curioni et al., 2018) or from pedotranfer functions by knowledge of textural composition  
365 (Rodriguez Lado et al., 2015).

## 366 6. Conclusions

367 Different relationships to estimate SWC derived from soil permittivities obtained from a two-  
368 way GPR analysis data were compared. The GPR data were obtained in a controlled labo-  
369 ratory setting using a soil tank with a metal reflector positioned at a known depth, allowing  
370 for accurate determination of the soil bulk dielectric permittivity. The data were obtained for  
371 four distinct soil textural classes (sand, sandy loam, loamy sand and kaolinite clay) covering  
372 a wide range of soil moisture conditions. The physical relationships were empirical and di-  
373 electric mixing models. Results showed that the dielectric mixing model of Roth et al. (1990)  
374 provided the most accurate estimate of volumetric soil water content, except for sandy loam.  
375 The estimation of the dielectric could have been further improved by using the geometric  
376 parameter and the dielectric permittivity of the solid phase as fitting parameters. Sensitivity  
377 analysis of the dielectric mixing model was performed showing that the geometric parameter  
378  $\alpha$  and the dielectric permittivity of the solid phase  $\epsilon_s$  are the two most sensitive parameters,  
379 determining important variations in the estimation of SWC. Based on these results, these two  
380 parameters are suggested as fitting parameters to be selected if the model is fitted to data.  
381 However, the model can successfully be used without calibration as presented in this study,  
382 by using  $\alpha = 0.5$  (as also suggested by the authors) and  $\epsilon_s = 4$ , which is an average value  
383 for soil minerals. We suggest to employ the dielectric mixing model of Roth et al. (1990) for  
384 estimation of SWC from dielectric permittivity obtained with GPR.

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388 Agricultural Properties using Ground Penetrating Radar for Improving Agricultural Practice  
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