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Reduction in antimicrobial resistance prevalence in Escherichia coli from a pig farm following withdrawal of group antimicrobial treatment

This is the final peer-reviewed author's accepted manuscript (postprint) of the following publication:

Published Version:

De Lucia, A., Card, R.M., Duggett, N., Smith, R.P., Davies, R., Cawthraw, S.A., et al. (2021). Reduction in antimicrobial resistance prevalence in Escherichia coli from a pig farm following withdrawal of group antimicrobial treatment. VETERINARY MICROBIOLOGY, 258, 1-8 [10.1016/j.vetmic.2021.109125].

Availability: This version is available at: https://hdl.handle.net/11585/821241 since: 2024-11-29

Published:

DOI: http://doi.org/10.1016/j.vetmic.2021.109125

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2	withdrawal of group antibiotic treatment
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11	Key words: antimicrobial use, antimicrobial resistance, E. coli, pig farm, WGS
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# 25 Highlights

Significant increase in fully susceptible *E. coli* after withdrawal of antibiotics 26 • Multidrug resistant *E. coli* decline significantly after withdrawal of antibiotics • 27 28 • Resistant commensal *E. coli* remain after withdrawal of antibiotics The environmental reservoir of resistant E. coli contributes to persistence in pigs 29 • 30 • Plasmids have an important role for the maintenance and dissemination of resistance 31

#### 32 Abstract

An important element in the control of antimicrobial resistance (AMR) is reduction in antimicrobial 33 usage. In the veterinary sector individual antimicrobial treatment of livestock, rather than the use of 34 group treatment, can help achieve this goal. The aim of this study was to investigate how cessation 35 of group antimicrobial treatment impacted the prevalence of AMR in commensal Escherichia coli in 36 pigs at one farm over an 11-month period. Minimum inhibitory concentrations of eight antimicrobials 37 were determined for 259 E. coli isolates collected during the study. A significant reduction in the 38 prevalence of multidrug resistance and a significant increase in the proportion of full susceptibility to 39 40 the panel of nine antimicrobials tested was seen after 11 months. Whole genome sequencing of 48 multidrug resistant isolates revealed E. coli clones that persisted across multiple visits and provided 41 evidence for the presence of plasmids harbouring AMR genes shared across multiple E. coli line-42 ages. E. coli were also isolated from on-farm environmental samples. Whole genome sequencing of 43 44 one multidrug resistant isolate obtained from cleaning tools showed it was clonal to pig-derived E. *coli* that persisted on the farm for 11 months. In this study we provide evidence that withdrawal of 45 46 group antimicrobial use leads to significant reductions in key indicators for AMR prevalence and the importance of the farm environment as a reservoir of resistant bacteria. These findings support policy 47 48 makers and producers in the implementation of measures to control AMR and reduce antimicrobial 49 use.

# 50 **1. Introduction**

Antimicrobial resistance (AMR) is a naturally occurring phenomenon resulting from the evolutionary 51 adaptation of bacteria (Magnusson et al., 2019; WHO, 2015). The prevalence of AMR in bacteria has 52 increased dramatically over the past few decades, becoming one of the most important threats to 53 global public and animal health (WHO, 2015). One key factor for the emergence, selection, and 54 dissemination of AMR microorganisms in veterinary and human medicine is antibiotic usage 55 (Magnusson et al., 2019). Additionally, in relation to food-producing animals, resistant 56 microorganisms can be introduced onto farms from outside sources such as new stock, vectors 57 58 including rodents, birds and insects, or through contaminated feed and water (Davies and Wales, 2019). Furthermore, AMR bacteria can disseminate resistance genes among the diverse microbial 59 60 communities via mobile genetic elements, such as plasmids and transposons (Davies and Wales, 2019). Commensal bacteria are ubiquitous in the intestinal tract of food-producing animals and are 61 62 considered good indicators of AMR (EFSA, 2020). In particular, E. coli bacteria have been long used as an indicator of faecal pollution and have been chosen as a target bacterial species for AMR 63 64 surveillance in commensal Gram-negative bacteria from livestock populations (Davies and Wales, 2019; EFSA, 2020). In the United Kingdom (UK), data obtained from the AMR-surveillance 65 programme, based on random sampling of the caecal contents of healthy pigs at slaughter in 2017, 66 showed a reduction in the prevalence of E. coli resistant to many antimicrobials including ampicillin, 67 gentamicin, sulphonamide, Veterinary Microbiology 258 (2021) 109125 2tetracycline, and 68 trimethoprim (UK-VARSS, 2018). These reductions in AMR parallel a significant decrease in the 69 use of antimicrobials in UK pig herds. Similar studies in other countries, such as Belgium (Callens et 70 al., 2018) and Netherlands (Dorado-García et al., 2016), and in the European Union 71 (ECDC/EFSA/EMA, 2017), have demonstrated associations between reductions in antimicrobial use 72 73 and AMR in commensal E. coli. Importantly, surveillance data are often not collected or structured 74 in a manner that enables a direct correlation between reduced antimicrobial use and decreases in AMR 75 prevalence on individual farms. On-farm longitudinal studies of resistance and antimicrobial usage 76 can link antimicrobial use directly with temporal trends in AMR within herds and the dynamic 77 development and maintenance of resistance. Several farm-based longitudinal studies have helped establish that antimicrobial administration in pigs is associated with an increased prevalence of the 78 79 corresponding resistance in E. coli (Belloc et al., 2005; Lin et al., 2017; Mathew et al., 2003; Varga et al., 2009). Furthermore, following cessation of antimicrobial use, a restoration to pre-treatment 80 levels of the corresponding resistance in E. coli has been reported (Belloc et al., 2005; Mathew et al., 81 82 2003). An investigation at a single UK pig farm reported a direct link between the cessation of colistin 83 use and the elimination of colistin resistant E. coli (Duggett et al., 2018; Randall et al., 2018).

However, the broader impact of the cessation of antimicrobial use on AMR in indicator bacteria such 84 as *E. coli* at the farm level remains poorly defined. The aim of this study was to help address this 85 evidence gap by investigating the impact of withdrawal of group antimicrobial treatment on the 86 intestinal carriage of AMR in commensal *E. coli* over a period of 11 months at a UK pig farm. Faecal 87 samples were collected over three time points and processed for quantification of commensal *E. coli* 88 abundance and the abundance of E. coli sub-populations resistant to apramycin and trimethoprim-89 sulfamethoxazole, antimicrobials previously used to treat clinical salmonellosis on the farm. 90 Additionally, E. coli were purified by culture from the faecal samples for susceptibility testing against 91 92 eight antimicrobials, to monitor changes in key outcome indicators such as prevalence of multidrug resistance and full susceptibility. The persistence of E. coli clones and presence of plasmids 93 94 harbouring AMR genes was examined using whole genome sequencing. Finally, isolates were 95 obtained from the farm environment to provide insight to the role of the environment as a reservoir 96 of resistant bacteria that may serve as a source for (re)colonisation of pigs.

97

#### 98 2. Material and methods

# 99 2.1. Study farm and sampling strategy

100 This study was carried out on a farrow to finish farm in the UK consisting of approximately 500 sows, 2000 weaner and grower pigs, and 2000 finisher pigs. Sows and gilts were housed in outdoor radials 101 and pens, while weaners, growers and finishers were housed in indoor pens. No all-in/all-out 102 programme was operated on the farm, instead a cleaning and disinfection program was performed for 103 empty pens when the pigs were transferred to new accommodation based on age class. Salmonella 104 enterica serovar Typhimurium was present on farm at the beginning of the study at a prevalence of 105 approximately 60 % in weaners, resulting in significant clinical disease. For this reason, feed 106 107 medicated with apramycin (APR) or trimethoprim-sulfamethoxazole (SXT) had been used as group 108 treatment under veterinary advice of weaned pigs when clinical gastrointestinal symptoms occurred, 109 prior to the commencement of this study (Fig. 1). In December 2016 a programme of antimicrobial use reduction was implemented on the farm and antimicrobial group medication was discontinued 110 111 (antimicrobials were administered individually to treat animals that were clinically sick). The antimicrobial use on this farm was already low (1 mg/kg) compared to the average antimicrobial 112 113 usage recorded in the pig sector as a whole at this time (131 mg/kg in 2017 and 110 mg/kg in 2018) (UK-VARRS, 2018). After discontinuation of group treatment in weaner pigs, the usage on farm was 114 further reduced, down to 0 mg/kg in the last two quarters of 2018. The farm was followed 115 longitudinally over three visits undertaken at approximately six-month intervals (T1, T2 and T3). The 116 117 first visit was carried out in May 2017 (T1), the second in September 2017 (T2) and the third in April

118 2018 (T3). On each visit, six pens were randomly selected for each age class of fattening pigs (weaner,

- grower, and finisher pigs). Five fresh, individual, faecal samples were collected from the floor of each
  pen (30 samples per age class) and processed at the laboratory within 24 h (see below).
- 121

# 122 2.2. Isolation and enumeration of total E. coli and E. coli recovered on culture plates containing 123 apramycin or sulfamethoxazole/trimethoprim in pooled faecal samples

The five individual faecal samples from each pen were pooled (one- gram per sample), resulting in 124 six pools per age group (except for T1 where there were only five pools available). Quantitative 125 126 bacteriology was performed using the method of Miles et al., 1938 in which a 10-fold dilution series of the pooled faecal samples was prepared in 0.1 M PBS (pH 7.2). The dilutions were plated onto 127 CHROMagar ECC plates for enumeration of total presumptive E. coli (i.e. indicator E. coli). 128 Dilutions were also plated onto CHROMagar ECC supplemented with either 4 mg/L of trimethoprim-129 130 sulfamethoxazole (1:19 ratio) or 32 mg/L of apramycin, to enumerate the *E. coli* sub-populations resistant to these antimicrobials. The EUCAST human clinical breakpoint of resistant > 4 mg/L was 131 132 used for trimethoprim-sulfamethoxazole to obtain intermediate and clinically resistant isolates (EUCAST, 2021). Apramycin was employed at 32 mg/L to obtain resistant isolates. Presumptive E. 133 coli were identified by their chromogenic properties and the CFU/g of faeces determined for each 134 pooled sample on selective and non-selective plates. The proportion of presumptive resistant E. coli 135 in each pooled sample was then calculated. For each pooled sample one representative presumptive 136 E. coli from each antibiotic-containing plate and three presumptive indicator E. coli from non-137 selective plates were sub-cultured to purity. Species identification was verified by MALDI-ToF MS. 138

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### 140 *2.3. Environmental samples*

Environmental samples were collected during visit T3. Swab samples of cleaning tools (n = 3) and 141 drinkers (n = 3) were collected from the weaner and grower areas. Swab samples (n = 3) were also 142 collected from a cleaned and disinfected weaner pen (empty at the time of the visit). Individual faecal 143 samples from synanthropic animals (rat, n = 3 and wild bird, n = 3) were also collected at the farm 144 145 premises. Each environmental sample was placed into 225 mL of Buffered Peptone Water (BPW) and incubated for  $18 \pm 2$  h at  $37 \pm 1$  °C. Following this overnight enrichment a single pool was 146 prepared for each sample type by mixing equal volumes to obtain seven environmental samples from 147 which E. coli were isolated by culture as detailed above. 148

149

### 150 *2.4. Antimicrobial susceptibility testing*

Minimum inhibitory concentrations (MICs) were determined by agar dilution (Andrews, 2001) for 151 the following antimicrobials: ampicillin (AMP), tetracycline (TET), cefotaxime (CTX), florfenicol 152 (FLO), ciprofloxacin (CIP), streptomycin (STR), apramycin (APR) and trimethoprim-153 sulfamethoxazole (1:5) (SXT). E. coli strains ATCC 25922 and NCTC 10418 were used as quality 154 controls. The MIC was recorded as the lowest concentration that prevented visible growth and 155 interpreted using Epidemiological cut-off (ECOFF) values issued by the European Committee on 156 Antimicrobial Susceptibility Testing (EUCAST, 2021). For apramycin no defined ECOFF value or 157 clinical breakpoint for *E. coli* has been published by EUCAST, and the breakpoint of  $\geq$ 32 mg/L 158 159 proposed by the Danish Integrated Antimicrobial Resistance Monitoring and Research Programme was used (DANMAP, 2004). Isolates were differentiated between susceptible wild type (WT) and 160 161 non-wild type (NWT) strains based on the ECOFF. When the MIC was above the ECOFF value isolates were defined as being non-wild type (NWT) to the corresponding antimicrobial (Schwarz et 162 163 al., 2010), and as this does not necessarily correspond to clinical resistance the term 'reduced susceptibility' has been used. For subsequent analysis, reduced susceptibility to apramycin and/or 164 165 streptomycin was scored as reduced susceptibility to the aminoglycoside (AMG) class and multidrug resistance (MDR) was defined as resistance to three or more antimicrobial classes (EFSA, 2020; 166 167 Schwarz et al., 2010).

168

# 169 *2.5. Whole genome sequence analysis*

DNA was extracted from fifty MDR E. coli isolates using the MagMax core nucleic acid purification 170 kit and the KingFisher flex system (ThermoFisher), according to the manufacturer's protocol. Whole 171 Genome Sequencing (WGS) was carried out using an Illumina NextSeq or Miseq ( $2 \times 150$  bp). 172 Sequences were deposited in the European Nucleotide Archive (ENA) under study accession number 173 174 PRJEB39219. AMR gene presence was established using APHA SeqFinder after a quality control step as described previously (Anjum et al., 2016). Raw reads were assembled with SPAdes 3.11 175 (Bankevich et al., 2012) and the assembled genomes were analysed with Abricate 176 (https://github.com/tseemann/ abricate) to determine presence of AMR genes and plasmid replicon 177 178 genes on the same contigs. The sequence types (ST) of the isolates were established using MLST (https://github.com/tseemann/mLst). A core genome SNP alignment was produced with Snippy 179 (https://github. com/tseemann/snippy) using E. coli K12 MG1655 (accession: U00096.3) as 180 reference and this was used to build the phylogenetic tree with RAxML (Stamatakis, 2014). The trees 181 were annotated using iTOLv3 (Letunic and Bork, 2016). 182

183

184 *2.6. Statistical analyses* 

185 Chi-square test was applied for the comparison of the estimated proportion of E. coli recovered on antimicrobial plates over time and between age classes. All the CFU counts in pooled faecal samples 186 at specific time points were dichotomized (above or below the median). Temporal trends of AMR 187 and MDR percentages of E. coli were analysed using Linear-by-Linear Cochran-Armitage test (Aerts 188 et al., 2011). A Chi-square test was also applied to investigate the percentages of AMR and MDR E. 189 coli isolates between two different age classes (weaner vs finisher pigs). Statistical analyses were 190 performed using the software SPSS 25.0 (IBM SPSS Statistics, NY, US) and p < 0.05 was set as 191 192 statistically significant.

193

# 194 **3. Results**

The farrow to finisher pig farm was followed for 11-months after the suspension of group antimicrobial treatment (Fig. 1) and a total of 53 pooled pig faecal samples, spanning three time points (T1, T2, and T3) and three age groups (weaner, grower, and finisher) were processed for quantification of *E. coli* abundance and purification of bacterial isolates for susceptibility testing and WGS.

200

# 201 *3.1. Intestinal carriage of antimicrobial resistant E. coli in pigs differs by age class*

Quantitative bacteriology was performed on all pig faecal samples to estimate the abundance of total 202 E. coli and the abundance of E. coli subpopulations able to grow on the APR- and SXT-containing 203 plates. All samples were positive for growth of indicator *E. coli* and SXT-resistant sub-populations. 204 All samples were also positive for the presence of APR-resistant sub-populations, except four samples 205 from finishers. Indicator *E. coli* were present at an overall geometric mean of  $4.7 \times 10^6$  CFU/g and 206 the abundance of APR- and SXT-resistant populations had overall geometric means of  $4.5 \times 105$ 207 CFU/g and  $5.2 \times 104$  CFU/g respectively (Supplementary Table 1). The proportion of *E. coli* recov-208 ered from APR- and SXT-containing plates varied between samples, although SXT-resistant E. coli 209 were consistently more abundant than the APR-resistant sub-population (Supplementary Fig. 1; 210 Supplementary Table 1). Between-sample variation in abundance was highest in weaner pigs and 211 212 lowest in finisher pigs (Supplementary Fig. 1). Furthermore, there was a significant difference in the proportion of APR-resistant and STX-resistant E. coli between weaners and finishers (p < 0.001 and 213 p = 0.005 respectively). Although a visual trend for reduced abundance of resistant *E. coli* can be 214 discerned between visits T1 and T3 this was not statistically significant. 215

216

3.2. The proportion of antimicrobial susceptible E. coli isolates increased in pigs following
withdrawal of group antimicrobial treatment

To further examine changes in antimicrobial susceptibility, a total of 259 E. coli isolates were 219 cultured from the pig faecal samples across the three farm visits: T1 (n = 82), T2 (n = 89), and T3 (n = 82), T2 (n = 89), and T3 (n = 82), T2 (n = 89), T2 (220 = 88). Isolates were obtained from weaners (n = 85), growers (n = 89), or finishers (n = 85); and 221 comprised indicator E. coli (n = 159), and E. coli recovered from APR selective plates (n = 48) and 222 from SXT selective plates (n = 52) (Supplementary Table 2). All were verified as *E. coli* by MALDI-223 ToF and tested by agar dilution for susceptibility towards nine antimicrobials (trimethoprim-224 sulfamethoxazole in combination). In the 159 indicator E. coli, recovered from non-selective agar a 225 high proportion were susceptible to streptomycin (82 %), apramycin (87 %), florfenicol (91 %) and 226 227 ciprofloxacin (98 %) and all were susceptible to cefotaxime (Fig. 2). A lower proportion had susceptibility to tetracycline (45 %), ampicillin (67 %), and trimethoprim-sulfamethoxazole (67 %). 228 229 Fifty indicator E. coli (31 %) were susceptible to all antimicrobials tested and there was a significant increase in fully susceptible isolates between T1 and T3 (p = 0.001) (Fig. 3A). Additionally, there 230 was a significant increase in the proportion of indicator *E. coli* susceptible to ampicillin (p = 0.01) 231 and trimethoprim-sulfamethoxazole (p = 0.007) between visits T1 and T3 (Fig. 2). A similar 232 233 increasing temporal trend was also observed for apramycin and streptomycin, but these differences were not statistically significant. 234

235 All isolates purified from APR- and SXT-containing plates were NWT, showing a reduced susceptibility to these respective compounds, except isolate ADL131 which had an apramycin MIC 236 of 16 mg/L (Supplementary Table 3). Comparing visits T1 and T3, the E. coli from selective APR-237 containing plates showed a significant increase in the proportion of susceptible strains to four 238 antimicrobial agents: ampicillin (p = 0.01), streptomycin (p = 0.02), trimethoprim-sulfamethoxazole 239 (p = 0.03) and tetracycline (p = 0.03) (Fig. 2). A similar increase between T1 and T3 in the 240 subpopulation of *E. coli* from SXT-containing plates was noted for only streptomycin (p = 0.006) 241 (Fig. 2). When examined by pig age class, the proportion of indicator *E. coli* susceptible was higher 242 in finishers compared to weaners for all eight antimicrobials tested; with significant differences for 243 ampicillin, apramycin, florfenicol, SXT, and streptomycin (p < 0.05) (Supplementary Fig. 2). There 244 was no significant change in the proportion of susceptible isolates by age class for E. coli obtained 245 246 from APR-containing plates, however E. coli from SXT-containing plates had a significant increase in proportion of susceptible strains to ampicillin (Supplementary Fig. 2). 247

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3.3. Intestinal carriage of multidrug-resistant E. coli in pigs decreased following withdrawal of
group antimicrobial treatment

Having observed significant reductions in resistance to individual antimicrobials we next examined how withdrawal of group antimicrobial treatment affected multidrug resistance (MDR) in the *E. coli*.

For this we defined multidrug resistance as reduced susceptibility to three of more antimicrobial 253 classes. Of the 259 pig-derived E. coli isolates 46 % (118/259) were MDR. The proportion of MDR 254 isolates was significantly higher (p < 0.001) in E. coli from APR-containing plates (69 %) and SXT-255 containing plates (73 %) compared to the indicator E. coli (30 %), which were isolated in the absence 256 of antimicrobials (Supplementary Table 2). When examined by age class the percentage of MDR 257 indicator *E. coli* from weaner pigs was significantly higher (p < 0.001) than finisher pigs (Table 1). 258 Furthermore, between visits T1 and T3 there was a significant decrease (p = 0.023) in the proportion 259 of MDR E. coli isolates (Fig. 3B and Supplementary Table 2). A total of 14 different MDR 260 261 phenotypes were observed (Supplementary Table 4). The most commonly observed MDR phenotype (48/ 118; 41 %) was resistance to ampicillin, trimethoprim-sulfamethoxazole, tetracycline and 262 263 aminoglycosides (ASTTeA) (Supplementary Table 4). As a proportion of total E. coli there was a significant reduction in isolates with this predominant phenotype between T1 and T3 (p = 0.005), but 264 265 not as a proportion of total MDR isolates (p = 0.64).

266

#### 267 3.4. Multidrug resistant E. coli are present in the farm environment

Environmental samples were collected during the T3 farm visit, as evidence emerged for the 268 269 persistence of resistance following preliminary observation of results from T1 and T2. This enabled 270 an investigation into the potential role for the farm environment to act as a reservoir of resistant bacteria that may serve as a source for (re)colonisation of pigs. Seven environmental sample types 271 were examined (see methods) and, following overnight enrichment in BPW, they all yielded growth 272 of E. coli on antibiotic-free plates and plates containing APR or SXT. A single colony was purified 273 from each plate (total isolates = 21). Two isolates were fully susceptible to the antimicrobials tested, 274 while the remaining 19 isolates were NWT with reduced susceptibility to at least one antimicrobial 275 (Supplementary Table 3). All the environmental *E. coli* obtained from APR-containing plates (n = 276 7) and SXT-containing plates (n = 7) had reduced susceptibility (i.e. NWT) to apramycin and 277 trimethoprim-sulfamethoxazole respectively (Supplementary Table 3). Twelve of the 21 278 environmental isolates (57 %) had an MDR phenotype (Supplementary Table 3). Isolate ADL629 279 280 had the ASTTeA phenotypic resistance pattern common in pigs on the farm, and was isolated from farm equipment used for cleaning weaner pens. Additionally, the wild bird sample yielded an E. coli 281 282 isolate (ADL648) with reduced susceptibility to seven of the eight antimicrobials tested (ampicillin, florfenicol, tetracycline, trimethoprim-sulfamethoxazole, 283 ciprofloxacin, streptomycin, and apramycin). Three E. coli were obtained from swabs of the empty weaner pen after it had been 284 cleaned and disinfected, of which one (strain ADL636) showed reduced susceptibility to four 285 286 antimicrobials (ampicillin, trimethoprim-sulfamethoxazole, florfenicol, and apramycin).

Forty six of 48 pig-derived *E. coli* with the predominant ASTTeA pattern and the environmental 289 isolates ADL629 (cleaning tool) and ADL648 (wild bird faeces) were subjected to WGS and 290 investigated for their genetic diversity and relationship. There was good correspondence between the 291 292 AMR phenotype and AMR genes, with all isolates having at least one gene encoding resistance to each component of the MDR pattern (Fig. 4); except isolate ADL171 that had reduced susceptibility 293 to ampicillin but no beta-lactamase AMR gene. Ampicillin resistance was associated with the beta-294 295 lactamase genes blaTEM-1b, blaTEM-1 or blaOXA-1. Isolate ADL282 harboured blaTEM-1b and a 296 C to T mutation at position -42 in the chromosomal ampC promoter region, and although resistant to 297 ampicillin was susceptible to cefotaxime. All isolates harboured representatives of the sul and dfrA AMR genes, which confer sulphonamide and trimethoprim resistance respectively. Tetracycline 298 299 resistance was associated with tetA(B) and tetA(4), with 25/48 isolates harbouring both genes. Streptomycin resistance was conferred by ant3-Ia, strAB, aadA2, aadA12, or aadA24 and apramycin 300 301 resistance by aac(3)-IVa. WGS also revealed the presence of further AMR genes, which can confer 302 resistance to antimicrobials not in the panel tested in this study. For example, the chloramphenicol 303 AMR genes cml and catA1 were present in 36 and 1 isolate respectively; notably these genes have not been associated with resistance to florfenicol (Stubberfield et al., 2019); two isolates harboured 304 floR (ADL152 and ADL648). Isolates ADL6 and ADL263 harboured mphB, which confers 305 resistance to azithromycin. The aminoglycoside resistance genes aph(3')-Ia, aph(3')-IIa and aph(4)-306 Ia were present in 28, 24 and 38 isolates respectively, and 14 isolates possessed the streptothricin 307 AMR gene sat2A. Considerable genetic diversity was observed within the 48 examined MDR E. coli 308 with a total of 22 different STs identified; ST10 was the most common (13/48; 27 %), and seven 309 isolates had a new MLST (Fig. 4). The maximum likelihood phylogenetic tree based on core genome 310 single nucleotide polymorphisms (SNPs) illustrated this diversity and additionally allowed 311 identification of eight sub-clusters of *E. coli*, which we have called "clones" due to the high sequence 312 similarity in the core genome between members of a clone ( $\leq 10$  SNP difference, in accordance with 313 314 (Schürch et al., 2018)) (Fig. 4). Seven of the eight clones were present in different age classes and/or at different time points, indicating their persistence on-farm. These included clone 1 (ST10), clone 4 315 316 (ST165) and clone 5 (ST1112), which were isolated from different age classes and from different time points. Clone 6 (ST2705), clone 7 (ST57) and clone 8 (ST925) were detected in one visit each, 317 but from different age groups. Clone 3 (ST unknown) comprised the environmental isolate ADL629 318 319 (obtained from a cleaning tool at visit T3) and four isolates from grower pigs (two each at visit T1 320 and T3). The two isolates that comprised clone 2 were detected only in weaner pigs at visit T1. Each

287

clone harboured a different repertoire of AMR genes (Fig. 4). However, all isolates in a single clone 321 322 harboured the same AMR genes (except for clones 3 and 8, in which a single isolate harboured 4 or 1 additional genes respectively). AMR gene carriage in clone 3 was identical between the 323 324 environmental isolate ADL629 and three of the four pig E. coli isolates, each harboured ten AMR genes: aadA2, ant(3")-Ia, strA, strB, cml, dfrA12, sat2A, sul3, blaTEM-1b and tetA(B) (Fig. 4). 325 Analysis of sequence assemblies provided evidence for linkage between AMR genes and also their 326 co-location with plasmid markers, and four exemplars are presented in Table 1. A contig with an 327 IncHI2 plasmid marker and the AMR genes strA and strB was present in all isolates from clones 1 328 329 and 4, and five further isolates. The clone 2 isolates both carried a ColRNAI plasmid contig with the AMR genes strA, strB, sul2, and dfrA14. This candidate ColRNAI plasmid was also present in two 330 331 more E. coli of different lineages, although in one isolate (ADL467) the contig was shorter and carried only strB and sul2. A potential IncQ1 plasmid harbouring strA, strB and sul2 was present in 332 333 three isolates from different lineages. A candidate IncFIA/B plasmid was present in the two clone 3 isolates and one additional isolate. Interestingly each of these four plasmid contigs harbouring AMR 334 335 genes were present at more than one time-point.

336

#### 337 4. Discussion

In this study we examined if the withdrawal of group antimicrobial treatment results in changes to 338 the occurrence of AMR in commensal E. coli of healthy pigs. Quantitative bacteriology showed the 339 persistence of *E. coli* sub-populations resistant to apramycin and trimethoprim-sulfamethoxazole on 340 the farm and no significant reduction in the proportion of resistant isolates over the 11 month study 341 period. Both antimicrobials had been used to treat clinical salmonellosis in weaners on the farm in 342 343 the period prior to the study. It would have been informative to investigate the impact of this treatment regime on AMR in commensal *E. coli* and establish an earlier AMR baseline, before cessation of 344 group treatment, but unfortunately due to practical restrictions we were not able to collect samples 345 during this preceding period. To provide a greater resolution into the effect of antimicrobial 346 withdrawal we undertook susceptibility testing of 259 E. coli isolates purified from the pig faecal 347 348 samples. Reduced susceptibility to tetracycline, ampicillin, and trimethoprim-sulfamethoxazole was most common, and these antimicrobials have the greatest use in the pig sector (EFSA, 2020; UK-349 350 VARSS, 2018), although of these only trimethoprim-sulfamethoxazole had been used recently on this farm. Importantly, amongst the isolates studied, reduced susceptibility towards ciprofloxacin was 351 352 very low and no isolates were resistant to cefotaxime, as both are highest priority critically important antimicrobials. Examination of isolates by time-point revealed several important changes in AMR 353 354 prevalence. Of particular note is the significant decrease in the proportion of MDR isolates and

significant increase in isolates susceptible to the antimicrobial panel used over the 11 months. These 355 356 key outcome indicators provide a useful correlation with antimicrobial use and suggest that a change from group to individual treatment is a practicable approach for reducing the burden of AMR in pigs. 357 358 There was also a significant increase in the proportion of isolates susceptible to ampicillin and trimethoprim-sulfamethoxazole, but not to the other six antimicrobials tested. This highlights the 359 complexity and challenges presented by AMR, with many factors not yet fully elucidated, especially 360 regarding the mechanism of dissemination and maintenance of resistance on farms (Davies and 361 Wales, 2019). Indeed, AMR bacteria may be isolated repeatedly from subsequent groups of animals, 362 363 even in the face of little external selection pressure and frequent cleaning and disinfection (Davies 364 and Wales, 2019). A longer study period or an increased sampling and testing effort might have 365 helped uncover smaller effects of withdrawal on AMR prevalence. However, power calculations based on our sample size of 53 indicates that there was an approximately 15 % chance that a decrease 366 367 of 10 % per time point from an initial prevalence ranging from 30% to 50% would be detected as statistically significant. Furthermore, although harbouring AMR provides a clear selective advantage 368 369 for *E. coli* in the presence of antimicrobials, it may impose little or no selective disadvantage in their 370 absence (Davies and Wales, 2019). Indeed bacterial adaptions such as compensatory mutations and 371 plasmid addiction systems are recognised drivers for the maintenance of AMR in bacterial populations (Davies and Wales, 2019) The farm environment plays a critical role as potential 372 reservoir of resistant microorganisms that can re-colonise livestock after the cessation of 373 antimicrobial use (Davies and Wales, 2019). Farm biosecurity (e. g. controlling access by wildlife) 374 and effective cleaning and disinfection are therefore important tools in the control of AMR (Davies 375 and Wales, 2019; Magnusson et al., 2019; WHO, 2015). The farm involved in this study did not 376 employ an all-in/all out management system but did have a generally good routine of cleaning and 377 378 disinfection after depopulation of the pig pens. However, in the weaner building cleaning and 379 disinfection was done on a pen basis and there was the potential for seepage of contaminated material 380 through poorly fitting wooden panels to adjacent pens. Environmental sampling at this farm demonstrated the presence of AMR E. coli not only in recently cleaned and disinfected pens, but also 381 382 on cleaning tools, drinkers, and in wildlife faeces collected from animal pens. During this study we provided advice on good management practices such as all-in/all-out, pen segregation using concrete 383 384 walls, effective biosecurity measures, and pest control to help minimize the spread of pathogens (such as Salmonella) and AMR bacteria. Implementation of similar interventions would be applicable more 385 broadly to all pig farms (Davies and Wales, 2019; Magnusson et al., 2019). This study highlighted 386 the importance of the environment and good biosecurity through the analysis of the WGS data, which 387 388 showed that an *E. coli* clone harbouring ten AMR genes (clone 3; Fig. 4) was present on cleaning

tools and in pigs at the beginning and end of the 11 month study. Even though the sequencing effort 389 390 was small (n = 46 isolates from pigs), we identified three more *E. coli* clones (each with a different ST; Fig. 4) that were present in pigs at more than one time point, illustrating the persistence of AMR 391 392 on farms even when antimicrobial use is very low. Indeed, Birkegård et al., 2017 suggest that antimicrobial exposure is not the only important determinant of AMR gene levels. We have 393 previously demonstrated the persistence of AMR E. coli in humans (Card et al., 2015; Kirchner et 394 al., 2014) and this finding in pigs was not unexpected. It is possible that clones circulated in pigs and 395 396 repeatedly contaminated the environment, leading to transfer between batches. These persistent 397 clones may be well adapted to survival in the pig gut and be spread between animals via direct contact, fomites, or wildlife vectors (Ahmed et al., 2017; Davies and Wales, 2019). The antimicrobial 398 399 resistance genes found in the isolates examined by WGS have been commonly reported in similar studies on resistance genes in E. coli isolates from pigs in Canada, Denmark and UK (AbuOun et al., 400 401 2020; Ahmed et al., 2017; Boerlin et al., 2005; DANMAP, 2018; Gerzova et al., 2015). Whole genome sequencing also showed that AMR genes were often linked and potentially located on 402 403 plasmids, such as the putative ColRNAI plasmid that harboured trimethoprim, sulphonamide, and 404 streptomycin resistance genes (Table 1). Linkage of AMR genes may have contributed to the 405 significantly higher proportions of MDR *E. coli* obtained from APR- and SXT-containing plates. The four exemplar putative plasmids harbouring AMR genes possessed Incompatibility types 406 previously described in Enterobacteriaceae (AbuOun et al., 2020; Ahmed et al., 2017) and each was 407 present in two or more different *E. coli* lineages and persisted across multiple visits to the farm. This 408 provides further evidence that plasmids present a mechanism for the maintenance and dissemination 409 410 of resistance in the commensal E. coli population, especially given the small sequencing effort employed in this project. In future, the use of long read sequencing would provide valuable additional 411 insight into plasmid structure, maintenance, and changes over time (Duggett et al., 2018). Overall, 412 examination of resistance by age class showed that weaners harboured greater proportions of resistant 413 E. coli than finishers. Finisher pigs had significantly fewer APR- and SXT-resistant E. coli 414 determined by quantitative bacteriology; significantly less E. coli isolates with reduced susceptibility 415 416 (i.e. NWT) towards ampicillin, apramycin, florfenicol, trimethoprim-sulfamethoxazole, and streptomycin; and significantly less MDR E. coli. Weaner pigs were housed in pens where individual 417 418 animals were previously treated with antimicrobials and, as noted above, faced a potential for cross-419 contamination between pens. In contrast finisher pigs were housed in pens where antimicrobials had not been used. The prevalence of resistant E. coli is often higher in young animals because they are 420 421 more prone to enteric disease and stressed by weaning and mixing with other litters and thus more 422 likely to be treated with antimicrobials (Akwar et al., 2008). Our findings regarding the association

of age class with resistance prevalence correlate with previous studies (Akwar et al., 2008) and help 423 424 inform risk models assessing the potential transfer of resistance to humans via the food chain. In conclusion, although antimicrobial use is strongly associated with an increased abundance of 425 426 commensal AMR bacteria in humans (Card et al., 2015; Kirchner et al., 2014) and animals (EFSA, 2020), few studies have examined the impact of reduced antimicrobial use on AMR prevalence at the 427 farm level. A core objective of the Global Action Plan on AMR is the prudent and efficient use of 428 antimicrobials in public and veterinary medicine (WHO, 2015). To promote responsible antimicrobial 429 use in the livestock sector the FAO recommends individual antimicrobial treatment of pigs, based on 430 431 a diagnosis of disease, over the use of group treatment (Magnusson et al., 2019). In this study, we provide strong evidence that implementation of these recommendations can lead to significant 432 433 reductions in key indicators for AMR prevalence, although some residual level of AMR was found to remain. Our findings therefore provide evidence which may assist producers and policy makers in 434 435 the implementation of Action Plans on AMR. In future it would be informative to undertake a detailed, longer term, economic cost/benefit analysis, encompassing the cost of farm inputs and 436 437 income obtained, as well as capturing any adverse effects, to further support the implementation of 438 these treatment practices.

439

### 440 Declaration of Competing Interest

441 The authors report no declarations of interest.

442

# 443 Acknowledgements

This work was supported by the UK Veterinary Medicine Directorate under Projects VM0533D and 444 VM0533B. One Health European Joint project ARDIG also supported this work. ND, RPS, RD, SAC, 445 MFA and FM were funded by the UK Veterinary Medicine Directorate and funding from the UK 446 Department for Environment, Food & Rural Affairs. FO, MR and ADL were funded by funding from 447 the Department of Veterinary Medical Sciences, Bologna University, Italy and by the Italian Society 448 of Pig Pathology (SIPAS). RMC was supported by the UK FAO Reference Centre for Antimicrobial 449 450 Resistance (which receives funding from the Department for Environment, Food & Rural Affairs and UK aid funding from the Department of Health and Social Care's Fleming Fund). The Authors wish 451 to thank the farmer and the private veterinarian of the farm visited in this study for their assistance 452 with access to and information about the premise. The Authors wish to thank the APHA Central 453 Sequencing Unit for the whole genome sequencing of isolates. 454

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# 456 Appendix A. Supplementary data

457 Supplementary material related to this article can be found, in the online version, at 458 doi:https://doi.org/10.1016/j.vetmic.2021.109125.

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Fig. 1. Farm history and experimental design. Graphic timeline of the administration and withdrawal of antimicrobials and schedule of the sampling visits on-farm over the 11 months of the study period.



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**Fig. 2.** Proportion of wild type (WT) and non-wild-type (NWT) *E. coli* isolates at farm visits T1, T2, and T3. Antimicrobials tested were ampicillin (AMP), tetracycline (TET), cefotaxime (CTX), florfenicol (FLO), ciprofloxacin (CIP), streptomycin (STR), apramycin (APR) and trimethoprim-sulfamethoxazole (SXT). Interpretative criteria to define reduced susceptibility are shown. Significant differences between visits T1 and T3 were determined using Linear-by-Linear. Cochran-Armitage test; \* indicate respectively p < 0.05



**Fig. 3.** Proportion of A) fully susceptible indicator and B) total of Multidrug Resistant *E. coli* isolates at farm visits T1 and T3. Full susceptibility was defined as susceptibility to all nine antimicrobials tested and multidrug resistance was defined as resistance to three or more antimicrobial classes; \* and \*\* indicate respectively p < 0.05 and p < 0.001 respectively.



Plasmid incompatibility type	Linked AMR genes	<i>E. coli</i> clone	<i>E. coli</i> ST	Isolate ID	Plasmid contig size (bp)	Visit
		1	10	ADL21	109,551	1
		1	10	ADL26	109,755	1
		1	10	ADL56	109,555	1
		1	10	ADL86	109,755	1
		1	10	ADL216	109,551	1
		1	10	ADL221	109,551	1
		1	10	ADL276	109,552	2
IncHI2	strA; strB	1	10	ADL278	109,552	2
		4	165	ADL302	100,023	2
		4	165	ADL519	99,865	3
		5	1112	ADL41	108,510	1
		n/a	10	ADL490	97,758	3
		n/a	34	ADL282	109,555	2
		n/a	398	ADL101	109,555	1
		n/a	683	ADL306	89,493	2
		2	10	ADL33	6,951	1
CalDNAI	<pre>strA; strB; sul2; dfrA14</pre>	2	10	ADL63	6,895	1
COIRNAI		n/a	48	ADL272	6,895	2
	strB; sul2	n/a	540	ADL467	4,740	2
		3	new	ADL137	1,943	2
IncFIA/B	$bla_{ ext{TEM-1b}}$	3	new	ADL629	2,183	3
		n/a	new	ADL478	1,947	3
		n/a	345	ADL360	4,638	2
IncQ1	strA; strB; sul2	n/a	130	ADL648	4,640	2
		n/a	88	ADL62	4,639	1

**Table 1.** Summary of four different candidate plasmids detected in assemble whole genome sequences that harboured AMR genes and were presentin multiple  $E. \ coli$  lineages and time points. Where relevant  $E. \ coli$  clones is indicated (n/a = isolated not assigned to a clone).

**Fig. 4.** Maximum likelihood phylogenetic tree generated from core genome single nucleotide polymorphisms of *E. coli* from pigs harbouring the prevalent MDR resistance pattern (n <sup>1</sup>/<sub>4</sub> 46) and the environment (n <sup>1</sup>/<sub>4</sub> 2). The origin of the isolate (weaner, grower, finisher, environment), visit number, agar used for isolation (CHROMagar ECC (ECC), CHROMagar ECC with apramycin (APR) and CHROMagar ECC with sulfamethoxazole/trimethoprim (SXT)) and Sequence Type (-indicates a new ST) is given. AMR gene presence is indicated by black filled squares. Clones discussed in the text are boxed.



	CUDOMagan	Farm visit (no. of samples)							
Age class	CHKOwagar	T1 (17)	T2 (18)	T3 (18)					
	ECC <sup>a</sup>	$5.1 \times 10^{6}$	$1.5 \times 10^{7}$	$8.4 \times 10^{6}$					
Weaners (6)	SXT <sup>b</sup>	$6.4 \times 10^{5}$	$2.7 \times 10^{6}$	$8.8 \times 10^5$					
	APR <sup>c</sup>	$2.7 \times 10^{5}$	$1.7 \times 10^{6}$	$2.7 \times 10^{5}$					
	ECC	$3.6 \times 10^{6}$	$5.3 \times 10^{7}$	$2.2 \times 10^{6}$					
Growers (6)	SXT	$6.6 \times 10^{5}$	$4.9 \times 10^{6}$	$2.8 \times 10^{5}$					
	APR	$3.0 \times 10^{5}$	$2.3 \times 10^{6}$	$5.5 \times 10^4$					
	ECC	$2.3 \times 10^{5}$	$1.7 \times 10^{7}$	$9.8 \times 10^{5}$					
Finishers (6)	SXT	$1.3 \times 10^4$	$1.3 \times 10^{6}$	$3.6 \times 10^{4}$					
	APR	$2.5 \times 10^2$	$2.3 \times 10^4$	$1.4 \times 10^{2}$					
	ECC	-	$4.7 \times 10^{6}$	-					
Total	SXT	-	$4.5 \times 10^{5}$	-					
	APR	-	$5.2 \times 10^{4}$	-					

**Supplementary Table 1.** Bacterial counts of presumptive *E. coli* isolated from different pig age classes, recovered from CHROMagar ECC with and without apramycin and sulfamethoxazole/trimethoprim. Number of samples collected per visit and per age classes during each visit are shown in brackets.

<sup>a</sup> ECC. CHROMagar ECC

<sup>b</sup> STX. CHROMagar ECC + 4 mg/L of trimethoprim-sulfamethoxazole (1:19)

<sup>c</sup> APR. CHROMagar ECC + 4 mg/L of 32 mg/L of apramycin

Supplementary Table 2: Commensal *E. coli* isolates obtained by culture in the presence or absence of antimicrobials. Data is presented grouped by age-class and by isolate susceptibility: fully susceptible, reduced susceptibility to 1 or 2 antimicrobial classes, and multidrug resistance (reduced susceptibility to  $\geq 3$  antimicrobial classes). The asterisk (\*) indicated significant difference (p <0.05) in the frequency of MDR *E. coli* isolated from finisher and weaner pigs

E coli	Age on class	All sensitive				_	1 or 2	Abs		MDR class			
population		T1 (51)	T2 (54)	T3 (54)	T1+T2+T3 (159)	T1 (51)	T2 (54)	T3 (54)	T1+T2+T3 (159)	T1 (51)	T2 (54)	T3 (54)	T1+T2+T3 (159)
	Weaners	3 (20%)	5 (27,8%)	4 (22,2%)	12(23,5%)	4 (26,7%)	6(33,3%)	6(33,3%)	16(31,4%)	8(53,3%)	7 (38,9%)	8 (44,4%)	23 (45,1%)*
tor	Growers	1 (5,6%)	9(50%)	7 (38,9%)	17(31,5%)	9(50%)	4(22,2%)	7(38,9%)	20(37%)	8(44,4%)	5 (27,8%)	4 (22,2%)	17(31,5%)
lica	Finishers	2(11,1%)	10(55,6%)	<u>9 (50%)</u>	21 (38,9%)	12(66,7%)	7(38,9%)	7(38,9%)	26(48,1%)	4(22,2%)	1 (5,6%)	2(11,1%)	7 <u>(13%)</u> *
Inc	Tot Age class	6(11,8%)*	24 (44,4%)	20(37%)*	50(31,4%)	25 (49%)	17(31,5%)	20(37%)	62 (39%)	20(39,2%)	13 (24,1%)	14 (25,9%)	47 (29,6%)
ng		T1 (15)	T2 (17)	T3 (16)	T1+T2+T3 (48)	T1 (15)	T2 (17)	T3 (16)	T1+T2+T3 (48)	T1 (15)	T2 (17)	T3 (16)	T1+T2+T3 (48)
aini s	Weaners	0	0	0	0	0	0	4(66,7%)	4(23,5%)	5(100%)	6(100%)	2(33,3%)	13 (76,5%)
ont late	Growers	1(16,7%)	0	0	1 (5,9%)	1(16,7%)	2 (40%)	2(33,3%)	5(29,4%)	4(66,7%)	3 (60%)	4(66,7%)	11(64,7%)
P_C	Finishers	0	0	0	0	0	3(50%)	2(50%)	5(35,7%)	4(100%)	3 (50%)	2(50%)	9(64,3%)
AP	Tot Age class	1(6,7%)	0	0	1 (2,1%)	1 (6,7%)	5(29,4%)	8(50%)	14(29,2%)	13 (86,7%)	12(70,6%)	8(50%)	33 (68,8%)
ng		T1 (16)	T2 (18)	T2 (18)	T1+T2+T3 (52)	T1 (16)	T2 (18)	T2 (18)	T1+T2+T3 (52)	T1 (16)	T2 (18)	T2 (18)	T1+T2+T3 (52)
aini s	Weaners	0	0	0	0	0	2(33,3%)	1(16,7%)	3(17,6%)	5(100%)	4(66,7%)	5 (83,3%)	14 (82,4%)
SXT-conta plate:	Growers	0	0	0	0	2(33,3%)	1(16,7%)	2(33,3%)	5(27,8%)	4(66,7%)	5 (83,3%)	4(66,7%)	13 (72,2%)
	Finishers	0	0	0	0	1 (20%)	2(33,3%)	3(50%)	6(35,3%)	4 (80%)	4 (66,7%)	3 (50%)	11 (64,7%)
	Tot Age class	0	0	0	0	3 (18,8%)	5 (27,8%)	6(33,3%)	14(26,9%)	13 (81,3%)	13 (72,2%)	12 (66,7%)	38(73,1%)

**Supplementary Table 4:** Frequencies of the most common multidrug-resistant (resistance to  $\ge 3$  antimicrobial classes) patterns among the 259 *E. coli* commensal isolates tested.

Reduced susceptibility profile		T1		T2		Т3
AMP/SXT/CIP/FLO/TET/AMINO	4	8.7%	1	2.6%	1	2.9%
AMP/SXT/FLO/TET/AMINO	5	10.9%	3	7.9%	0	0.0%
AMP/SXT/CIP/FLO/AMINO	0	0.0%	1	2.6%	0	0.0%
AMP/SXT/TET/AMINO	22	47.8%	17	44.7%	9	26.5%
AMP/FLO/TET/AMINO	1	2.2%	0	0.0%	0	0.0%
AMP/SXT/FLO/AMINO	0	0.0%	1	2.6%	0	0.0%
AMP/SXT/FLO/TET	0	0.0%	2	5.3%	6	17.6%
AMP/SXT/CIP/TET	0	0.0%	1	2.6%	0	0.0%
AMP/CIP/TET/AMINO	0	0.0%	1	2.6%	0	0.0%
AMP/SXT/TET/	5	10.9%	2	5.3%	7	20.6%
AMP/SXT/AMINO	5	10.9%	2	5.3%	3	8.8%
AMP/TET/AMINO	2	4.3%	1	2.6%	4	11.8%
SXT/TET/AMINO	2	4.3%	6	15.8%	4	11.8%
AMP/FLO/TET	0	0.0%	0	0.0%	0	0.0%
Total of MDR*	46	56.1%	38	42.7%	34	38.6%

Antimicrobial tested: Ampicillin (AMP), tetracycline (TET), cefotaxime (CTX), florfenicol (FLO), ciprofloxacin (CIP), trimethoprim-sulfamethoxazole (SXT) and apramycin and/or streptomycin (AMINO).