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FoxP3, CTLA-4, and IDO in Canine Melanocytic Tumors

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1 Foxp3, CTLA-4, and IDO in canine melanocytic tumors

2

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22 **ABSTRACT**

23 Despite promising immunotherapy strategies in human melanoma, there are few  
24 studies on the immune environment of canine melanocytic tumors . In humans, the  
25 activation of immunosuppressive cell subpopulations, such as regulatory T cells  
26 (Tregs) that express forkhead box protein P3 (FoxP3), the engagement of  
27 immunosuppressive surface receptors like cytotoxic T lymphocyte antigen (CTLA-4),  
28 and the secretion of molecules inhibiting lymphocyte activation, such as  
29 indoleamine-pyrrole 2,3-dioxygenase (IDO), are recognized as immunoescape  
30 mechanisms that allow tumor growth and progression.

31 The aim of our study was to investigate the expression of these immunosuppression  
32 markers in canine melanocytic tumors, and to postulate their possible role in  
33 melanoma biology and progression. Fifty-five formalin-fixed, paraffin-embedded  
34 canine melanocytic tumors (25 oral melanomas; 20 cutaneous melanomas; 10  
35 cutaneous melanocytomas) were selected to investigate the expression of FoxP3,  
36 CTLA-4, and IDO by immunohistochemistry and RT-qPCR. All of the tested markers  
37 showed high gene and protein expression in oral melanomas and were differently  
38 expressed in cutaneous melanomas when compared to their benign counterpart.  
39 IDO expression was associated with an increased hazard of death both in  
40 univariable and multivariable analyses ( $P < 0.001$ ). FoxP3 protein expression  $> 6.9$   
41 cells/HPF was an independent predictor of death ( $P < 0.05$ ). CTLA-4 gene and protein  
42 expression were associated with a worse prognosis, but only in the univariable  
43 analysis ( $P < 0.05$ ).

44 FoxP3, CTLA-4, and IDO likely play a role in canine melanoma immunoescape.

45 Their expression, if supported by future studies, could represent a prognostic tool in

46 canine melanoma and pave the way to future immunotherapeutic approaches in  
47 dogs.

48

49 **KEYWORDS**

50 Melanoma, immunosuppression, FoxP3, CTLA-4, Indoleamine-pyrrole 2,3-  
51 Dioxygenase, dogs, prognosis.

52

53 Human melanoma is recognized as one of the most immunogenic tumors.  
54 Melanoma cells bear a high mutational burden compared to other malignancies, and  
55 can acquire hundreds of mutations per megabase.<sup>1,33,53</sup> Recently, tumor  
56 heterogeneity has been demonstrated to further contribute to the host immune  
57 response, and is a better predictor of immunotherapy outcome compared to  
58 mutational burden.<sup>21,83</sup> Despite melanoma's immunogenicity, the host immune  
59 response is not effective in controlling tumor progression because the tumor itself is  
60 able to mold the immune response to its own benefit through the process of  
61 immunoediting.<sup>17</sup> It is currently believed that the interplay between the tumor and the  
62 immune system can be divided into three phases. The elimination phase is  
63 characterized by the development of a tumor-specific immunity, in which tumor-  
64 specific CD4+ and CD8+ cells are able to eliminate the tumor. The equilibrium phase  
65 is characterized by a dynamic balance between tumor cell variants that survived the  
66 elimination phase and the host immune system. Last, during the escape phase,  
67 selected tumor cells avoid immune detection and elimination.<sup>18,43</sup> The role of different  
68 immune cell populations in the process of immunoediting has been widely  
69 investigated in humans. This led to the development of new immunotherapy  
70 strategies, including immune checkpoint blockade, that target the host's immune

71 system to improve or restore protective immune functions, as well as inhibit  
72 immunosuppressive pathways activated during the escape phase. This type of  
73 treatment has been applied to different types of cancer, especially melanoma,  
74 resulting in durable responses, even in patients with metastatic disease.<sup>10,39</sup>  
75 The transcription factor forkhead box protein P3 (FoxP3) is involved in ensuring  
76 immune homeostasis,<sup>36</sup> but is also a key molecule suppressing cytotoxic T cell  
77 activity in anti-tumor immune response.<sup>31,36</sup> FoxP3 is expressed in Tregs, which  
78 have been associated with a negative prognosis both in human melanoma and other  
79 solid cancers.<sup>23,41,61</sup> A recent study also showed that Tregs were less numerous in  
80 areas of melanoma regression, confirming their potential role in the establishment of  
81 an immunosuppressive environment.<sup>24</sup> Moreover, in a murine melanoma model, it  
82 was shown that selective FoxP3 depletion achieved through vaccination led to the  
83 depletion of myeloid-derived suppressor cells (MDSCs), the reduction of tumor  
84 growth, and the improvement in survival rates,<sup>50</sup> supporting the role of Tregs in  
85 tumor progression and growth. The presence of FoxP3<sup>+</sup>Tregs has been also  
86 reported in canine tumors, included melanoma.<sup>11,57,65</sup>  
87 Cytotoxic T lymphocyte antigen (CTLA-4), also known as CD152, is a member of the  
88 family of immunoglobulin-related receptors expressed on both activated lymphocytes  
89 and Tregs and is responsible for T cell regulation and preservation of a normal  
90 immune environment. CTLA-4 binds to high-affinity B7 ligands (CD80 and CD86) on  
91 antigen-presenting cells (APCs). This leads to the inhibition of T cell responses and  
92 proliferation (T cell exhaustion), and antagonizes the binding of the T cell-stimulating  
93 receptor, CD28.<sup>15,16,63,70,80</sup> The importance of CTLA-4 in immune responses was  
94 shown when fatal autoimmunity was observed in CTLA-4-deficient mice due to the  
95 release of self-reactive T cells, suggesting that CTLA-4 is a negative regulator of T

96 cell response.<sup>79</sup> Anti-tumor immunity is predominantly mediated by T cells and  
97 CTLA-4 has been shown to play a pivotal role in cancer-associated immunoediting,  
98 particularly in the escape phase.<sup>70,80</sup> Persistent antigen exposition by melanoma  
99 cells and chronic stimulation of the immune system seems to be critical in the  
100 hyperactivation of inhibitory checkpoints on immune cells such as CTLA-4, resulting  
101 in the suppression of cytotoxic T cells.<sup>25</sup> CTLA-4 is also the target of Ipilimumab, an  
102 immune checkpoint inhibitor that is used to treat melanoma.<sup>9,34</sup>

103 Another pathway that could contribute to peripheral tolerance and therefore to  
104 cancer immunoescape, is mediated by indoleamine-pyrrole 2,3-dioxygenase (IDO),  
105 an enzyme with immunosuppressive properties postulated to impair the antitumor  
106 immune response in melanoma.<sup>59</sup> IDO can be produced by MDSCs, dendritic cells  
107 (DCs), macrophages, and tumor cells, and it is believed to inhibit effector T-cells by  
108 depleting tryptophan in the tumor microenvironment.<sup>28,47,49</sup> Tryptophan catabolites  
109 (such as L-kynurenine) can further suppress the proliferation of activated T cells and  
110 promote the differentiation and activation of Tregs and CTLA-4 expression.<sup>14,46</sup>

111 Inhibition of IDO, in combination with other immunotherapeutic drugs, can lead to  
112 improved response rates during melanoma therapy.<sup>8</sup> IDO blockade can reduce  
113 tumor growth, intratumoral immunosuppression, and stimulate robust systemic  
114 antitumor effects.<sup>29,32,45</sup> Nevertheless, a recent phase III study did not observe any  
115 difference in the group of patients with metastatic melanoma treated with IDO  
116 inhibitors when compared to the placebo-treated group.<sup>35</sup> Therefore, further studies  
117 are required to better understand the role of this enzyme as a potential therapeutic  
118 target.

119 During the last few years, growing evidence suggests canine melanomas,  
120 particularly mucosal melanomas, might be a predictive a preclinical model for human

121 melanoma.<sup>26</sup> Still, further studies are recommended to better characterize the canine  
122 disease, including on the immunological front. The aim of this study was to  
123 retrospectively investigate the presence of immunoescape mechanisms in canine  
124 melanocytic tumors, through the analysis of FoxP3, CTLA-4, and IDO gene and  
125 protein expression and to gain more information on the possible similarities between  
126 canine and human melanoma immunology.

127

## 128 **MATERIALS AND METHODS**

### 129 *Case selection*

130 Cases were retrospectively selected on the following inclusion criteria: histological  
131 diagnosis of melanoma or melanocytoma,<sup>73</sup> with immunohistochemical positivity for  
132 Melan-A and/or PNL2; availability of follow-up information; and minimum follow-up of  
133 time 365 days.

134 Mitotic count was assessed following a proposed standardized method.<sup>42</sup> A  
135 telephone survey was conducted with the referring veterinarians, to collect data on  
136 the clinical tumor staging, the follow-up, local recurrence, and the cause of death.  
137 Disease-free and overall survival times were calculated from the day of sample  
138 registration in our departments.

139

### 140 *Immunohistochemical labeling and evaluation*

141 Samples were cut into 5 µm sections, mounted on poly-L-lysine coated slides,  
142 dewaxed and rehydrated. Heavily pigmented tumors were bleached overnight at  
143 room temperature with 30% H<sub>2</sub>O<sub>2</sub> following a standardized protocol.<sup>57</sup>  
144 Immunohistochemistry was performed on serial sections with antibodies against  
145 Melan A (pH 9.0 antigen retrieval; 1:150; mouse monoclonal, clones A103-M27C10-

146 M29E3; Abcam, Cambridge, UK), PNL2 (pH 6.0 antigen retrieval; 1:150; mouse  
147 monoclonal, clone PNL2; Santa Cruz Biotechnology, Dallas, Texas, US), FoxP3 (pH  
148 9.0 antigen retrieval; 1:100 dilution; rat monoclonal, clone FJK-16s; Thermo Fisher,  
149 Waltham, Massachusetts, US), CTLA-4 (pH 9.0 antigen retrieval; dilution 1:100;  
150 mouse monoclonal, clone F-8; Santa Cruz Biotechnology, Dallas, Texas, US), and  
151 IDO (pH 9.0 antigen retrieval; 1:50 dilution; rabbit polyclonal; Biorbyt, Cambridge,  
152 UK) with standardized protocols previously reported.<sup>57</sup> FoxP3 FJK-16s clone is  
153 reported to cross-react with canine antigen,<sup>44</sup> whereas anti-IDO and anti-CTLA4  
154 antibodies were stated to cross-react with canine tissue and to be suitable for FFPE  
155 material in the datasheet provided by the manufacturer. The labeling pattern  
156 observed in the lymph node supported the specificity of both the antibodies  
157 (Supplemental Figures S1-3). Tris-EDTA (pH 9.0) was used to perform heat-induced  
158 epitope retrieval for CTLA-4. Immunolabeling was revealed with 3-amino-9-  
159 ethylcarbazole (Dako, Glostrup, Denmark); Mayer's hematoxylin was applied as a  
160 counterstain. Reactive canine lymph node was used as a positive control for all the  
161 antibodies of this study (Supplemental Figures S1-3). Negative controls were run by  
162 incubating sections with TBS and omitting the primary antibody and by incubating  
163 control tissue with isotype-matched antibody (only for monoclonal antibodies) to  
164 assess the absence of non-specific labeling. Positive cells were counted by two  
165 operators, in 5 HPF (FN 20), selecting "hot spots" and avoiding areas of necrosis  
166 and/or near ulceration; a mean value was then obtained for each case and  
167 expressed as the number of positive cells/HPF. The same method was applied for  
168 the evaluation of FoxP3, CTLA-4, and IDO positive cells. The expected labeling was  
169 nuclear for FoxP3, both membrane and cytoplasmic for CTLA-4, and granular and  
170 cytoplasmic for IDO.



171 Thirty out of 55 cases were part of the selected cases for our previous study,<sup>52</sup>  
172 whereas 25/55 cases were investigated *ex novo*.

173

#### 174 *RNA extraction and Real Time PCR (RT-qPCR)*

175 Three-to-5 (depending on sample size), 8 µm-thick, sections were cut from paraffin  
176 blocks. Normal tissue around the tumor was resected and discarded with the help of  
177 a sterile scalpel blade or a sterile needle. RNA extraction was performed with a  
178 commercial kit (Invitrogen™ PureLink™, FFPE RNA Isolation Kit) following the  
179 manufacturer's instructions. Residual genomic DNA was removed from the total RNA  
180 by DNase I, amplification grade, (Thermo Fisher Scientific, Waltham, MA, USA)  
181 following the manufacturer's specifications. RNA quantity was evaluated by both  
182 NanoDrop 2000 spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA)  
183 and Qubit 2.0 Fluorometer (Life Technologies, MA, USA). Total RNA (500 ng) was  
184 reverse transcribed using the SuperScript® VILO™ Master Mix (Thermo Fisher  
185 Scientific, Waltham, MA, USA), according to the manufacturer's specifications.  
186 Successful reverse transcription was confirmed by PCR amplification of the *Canis*  
187 *familiaris* GUSβ gene (NM\_001003191). Primers on reference genes (GUSβ, HMBS)  
188 and on genes of interest were designed on available sequences using the Primer-  
189 BLAST suite (Table 1). Whenever possible, primers were located in different exons  
190 or at exon–exon junctions to minimize inaccuracies due to residual genomic DNA  
191 contamination. For each primer pair, a preliminary RT-qPCR assay was performed  
192 on a bulk of samples generating a standard curve by using 4-fold serial dilutions.  
193 Efficiency (E) with related linear correlation coefficients (R<sup>2</sup>) and the amplification of  
194 non-specific products or primer-dimer artifacts were assessed. The RT-qPCR  
195 reactions were carried out on CFX96 Touch instrument (BioRad, Hercules, CA) as

196 previously described.<sup>7</sup> Data analysis was carried out with Bio-Rad CFX Manager  
197 software (ver. 3.2.2). To analyze gene expression stability of HKGs, geNorm  
198 algorithm, included on CFX Manager software (vers. 3.2.2), was applied.<sup>81</sup> geNorm  
199 provides a ranking of the tested genes, considering their expression stability,  
200 selecting reference genes according to the stability measure M (average pairwise  
201 variation of each gene against all others). The expression ratio of the genes of  
202 interest was normalized to the relative abundance of the two reference genes using  
203 the  $\Delta\Delta Cq$  method. Non-detects were imputed with GenexPro software (ver.6) to  
204 avoid introducing bias as previously reported.<sup>40</sup>

205

#### 206 *Statistical Analysis*

207 Diagnostic graphics were used to test assumptions and outliers. We analyzed  
208 distributions within the categorical variable “breed” using Chi-square goodness of fit  
209 tests. Differences in number of positive cells and mRNA expression of IDO, FoxP3,  
210 and CTLA-4 between diagnoses were analyzed using Kruskal Wallis and Mann-  
211 Whitney tests. Values were expressed as medians with interquartile range (IQR).  
212 Correlations were evaluated by using Spearman rank correlation coefficient ( $\rho$ ).  
213 Correlation was defined as high when absolute value of  $\rho > 0.5$ , medium when  $\rho$   
214 ranged from 0.3 to 0.5, and low when  $\rho < 0.3$ .<sup>20</sup> We used the Life Table method to  
215 determine survival probabilities. The differences of survival rate according to  
216 diagnosis were evaluated by Kaplan–Meier curve and log-rank test. Dogs that died  
217 of other causes or were lost to follow-up were considered censored. We used the  
218 Cox proportional hazards model to evaluate the influence of parameters on survival.  
219 In addition to the univariable analysis, each parameter (IDO (cells/HPF), FoxP3  
220 (cells/HPF), CTLA-4 (cells/HPF), IDO mRNA, FOXP3 mRNA, CTLA4 mRNA) was

221 assessed through a multivariable model that also included the diagnosis. We used  
222 variance inflation factors (VIF) to identify multicollinearity.<sup>20</sup> The prognostic  
223 significance of each variable was expressed as hazard ratio (HR) with corresponding  
224 95% confidence intervals (CIs) and P values. Finally, we used the receiver operating  
225 characteristic (ROC) analysis to assess the diagnostic accuracy of the parameters  
226 and their cut-offs for predicting survival. The state variable (positive category) was  
227 the tumor-related death. Optimal cut-off values were determined as points on the  
228 curve closest to (0, 1) and by the Youden index. Then, dichotomous variables for  
229 each parameter were created based on their cut-off and submitted to Cox  
230 regression,<sup>56</sup> also adjusting for diagnosis. All statistical analyses were performed  
231 using SPSS 25.0 software (IBM, SPSS Inc., Chicago, IL, USA) and statistical  
232 significance was set at  $P \leq 0.05$ .

233

234

## 235 **RESULTS**

### 236 *Sample population and mitotic count*

237 The final caseload was represented by 25 oral melanomas, 20 cutaneous  
238 melanomas, and 10 cutaneous melanocytomas. Thirty-five dogs were male (6/35, 17  
239 % were neutered) and 19 were female (6, 32% were spayed). For one animal the  
240 age was unknown. Most of the dogs were mixed breed (19/55, 34%;  $P < 0.001$ ),  
241 followed by German Shepherd and Dachshund (5/55, 9% each), Labrador Retriever  
242 (4/55, 7%), and Boxer (3/55, 5%). The median age was 11 years (range, 1–16  
243 years). Follow-up at the end of the study ranged from 365 to 1615 days, with a  
244 median follow-up of 653 days. More than 60% of the study population had a follow-  
245 up time longer than 2 years at the end of the study.

246 The 6-month and 1-year estimated survival probabilities are shown in Supplemental  
247 Table S1. Median survival time for mucosal melanoma was 240 days (IQR=77-433  
248 days), while it was not reached for cutaneous melanoma or melanocytoma. Log-rank  
249 test showed lower survival time for dogs with mucosal melanoma compared to dogs  
250 with cutaneous melanoma (P=0.005). No deaths or recurrences were recorded for  
251 the cases of melanocytoma.

252 Mitotic counts were higher in oral melanomas (Median=42, IQR=32-61) than in  
253 cutaneous melanomas (Median=14, IQR=7-50, P<0.05) and melanocytomas  
254 (Median= 1, IQR=0-2, P<0.001). The majority of the cases were treated only with  
255 surgical excision (45/55; 81.8%), whereas 6 cases did not receive any treatment  
256 (10.1%) and four cases (7.2%) were treated with surgical excision and different  
257 chemotherapy protocols (Supplemental Table S2).

258

### 259 *Immunohistochemistry*

260 FoxP3, CTLA-4, and IDO positive cells were identified in all oral and cutaneous  
261 melanomas, while some cutaneous melanocytoma did not contain any cells  
262 expressing these proteins. FoxP3 and CTLA-4 immunolabeling was localized in the  
263 nucleus and the cytoplasmic membrane, respectively, in cells with scant cytoplasm,  
264 interpreted as lymphocytes. IDO was mostly expressed in the cytoplasm of cells with  
265 moderate to abundant cytoplasm (interpreted as histiocytes/macrophages), and  
266 rarely in neoplastic cells.

267 Immunohistochemical labeling revealed that the number of FoxP3<sup>+</sup>Tregs (Figs. 1, 2,  
268 and 3) was higher in oral melanomas, than cutaneous melanomas and cutaneous  
269 melanocytomas. Similarly, the number of CTLA-4 positive cells/HPF (Figs. 4, 5, and  
270 6) was higher in oral melanomas than in cutaneous melanomas and cutaneous

271 melanocytomas. The number of IDO positive cells (Figs. 7, 8 and 9) was higher in  
272 oral melanoma than in cutaneous melanomas and cutaneous melanocytoma.  
273 Results are summarized in Figures 10-12.

274

#### 275 *RT-qPCR*

276 After RNA extraction, samples showed A260/280 ratio ranging from 1.64 to 2.02.  
277 Both *GUSβ* and *HMBS* genes displayed relatively high stability with M values of 0.4,  
278 far below the accepted limit of 1.5.<sup>81</sup> Linear correlation coefficients (R<sup>2</sup>) of primer  
279 pairs varied from 0.94 to 0.99 and PCR efficiencies (E) ranged between 77.2 and  
280 97.7. The expression level of the three genes was also associated with the  
281 histological diagnosis (P<0.001). *IDO* and *CTLA4* gene expression was significantly  
282 upregulated in the group of oral melanomas compared to cutaneous melanomas  
283 (P<0.05) and cutaneous melanocytomas (P<0.001). The expression of *FOXP3* was  
284 instead upregulated in both oral and cutaneous melanomas, when compared to  
285 cutaneous melanocytomas (P<0.001). Gene expression results are summarized in  
286 Figures 13-15.

287

#### 288 *Correlations between FoxP3, CTLA-4, and IDO protein, transcripts and mitotic count*

289 All of the examined parameters evaluated with both immunohistochemistry and RT-  
290 qPCR showed positive correlations between them and with mitotic counts  
291 (Supplemental Table S3). A strong correlation was observed between FoxP3<sup>+</sup>  
292 cells/HPF and CTLA-4<sup>+</sup> cells/HPF ( $\rho=0.709$ , P<0.01). Also, mitotic count strongly  
293 correlated with the protein expression of FoxP3 ( $\rho =0.706$ , P<0.01) and CTLA-4 ( $\rho$   
294  $=0.650$ , P<0.01), and with gene expression of *IDO* ( $\rho =0.563$ , P<0.01).

295 The correlation between gene expression and immunohistochemical protein  
296 expression was moderate for *FOXP3* ( $\rho = 0.493$ ,  $P < 0.01$ ) and *CTLA-4* ( $\rho = 0.477$ ,  
297  $P < 0.01$ ), and moderate-to-low for *IDO* ( $\rho = 0.327$ ,  $P < 0.05$ ).

298

#### 299 *Prognostic significance of IDO, FoxP3, and CTLA-4*

300 The univariable Cox analysis (Table 2) showed an increased hazard of death in  
301 association with an increased expression of IDO and CTLA-4, both at the protein and  
302 mRNA levels ( $P < 0.05$ ). FoxP3 expression was associated to the hazard of death  
303 only when evaluated by immunohistochemistry ( $P < 0.01$ ). Death due to melanocytic  
304 tumor was also related to mitotic count ( $HR = 1.011$ ,  $95\%CI = 1.003-1.019$ ;  $P < 0.01$ )  
305 and the animal's age ( $HR = 1.222$ ,  $95\%CI = 1.049-1.423$ ;  $P < 0.05$ ). The models  
306 adjusted for diagnosis showed that only IDO protein expression ( $P < 0.05$ ) was an  
307 independent factor in the multivariable analysis.

308 We investigated the sensitivity and specificity associated with a previously defined  
309 IDO cut-off value of 14.7 cells/HPF.<sup>57</sup> This cut-off value showed a 57% sensitivity  
310 and 79% specificity. In contrast, a cut-off value of 8.4 cells/HPF was identified in this  
311 study with an 82% sensitivity and 68% specificity.

312 Table 3 shows the other results of ROC analysis and the optimal cut-offs for  
313 predicting melanoma-related mortality. The highest area under the curve (AUC) was  
314 found for FoxP3<sup>+</sup> cells/HPF ( $AUC = 0.849$ ;  $P < 0.001$ ), followed by *CTLA4* mRNA  
315 ( $AUC = 0.802$ ;  $P < 0.001$ ) and *IDO* mRNA ( $AUC = 0.798$ ;  $P < 0.001$ ).

316 Dichotomous variables were created for each parameter based on their optimal cut-  
317 offs ( $<$  or  $\geq$  of the cut-off) and analyzed by Kaplan-Meier survival curves (Figures 16-  
318 21) and Cox models adjusted for diagnosis (Table 4). Categorizing according to their  
319 optimal cut off, FoxP3 ( $P < 0.05$ ) positive cells/HPF had a prognostic value for tumor-

320 related death, independent of the diagnosis of melanocytoma, oral melanoma or  
321 cutaneous melanoma.

322

## 323 **DISCUSSION**

324 In humans, a growing number of studies characterizing the melanoma immune  
325 environment has led to the successful use of immunotherapy, particularly by  
326 targeting PD-1 and CTLA-4.<sup>52</sup> In veterinary medicine, there are fewer studies on  
327 cancer immunity and on the application of immunotherapy.<sup>2,37,57,58</sup>

328 Canine cutaneous melanomas are usually benign and surgical resection is typically  
329 curative; still, their behavior can be quite unpredictable.<sup>19,67,74</sup> On the other hand,  
330 mucosal melanomas, particularly oral melanomas, show a malignant behavior with a  
331 predisposition to the development of lymph node and lung metastasis, similar to  
332 human melanomas.<sup>73</sup> Several studies suggest the dog is a valuable spontaneous  
333 preclinical model in melanoma research since canine oral melanoma shares  
334 numerous similarities with the more rare human disease.<sup>60,72,82</sup>

335 While different aspects of canine melanoma biology have been investigated,  
336 <sup>6,7,26,27,30,66,71</sup> the immune environment of canine melanoma is still largely unknown.

337 Our study aims to investigate the immune environment of canine melanocytic tumors  
338 to acquire further information on the possible mechanisms of immunosuppression  
339 and evasion involved in tumor progression; targets of our investigation are in  
340 particular FoxP3, CTLA-4, and IDO.

341 The mean survival time of dogs with oral melanomas in this study was 240 days.

342 This is higher than is the previously mean survival time of 147 days.<sup>75</sup> This  
343 discrepancy could be because of earlier diagnosis, and because of the inclusion of  
344 labial melanomas in this study, which are reported to have a longer survival time.

345 This result endorses the necessity for further studies to better characterize oral and  
346 mucocutaneous canine melanocyte biology, together with melanoma behavior in  
347 association with different sites of origin. A detailed description of the tumor's  
348 anatomical site of origin of the should be provided by the clinician/surgeon at the  
349 time of tissue submission for histopathological analysis; this would enable further  
350 evaluationson the prognostic significance of the primary tumor location.

351 FoxP3 is an transcription factor involved in Tregs development and function, and is  
352 currently considered their most specific marker and the main regulator of Treg  
353 lineage committment.<sup>3,68</sup> Still, Tregs are a heterogeneous population and better  
354 characterization of these cells could include other markers such as CD4, CD25, and  
355 CD45RO.<sup>55</sup> In our study, increased FoxP3 immunohistochemical expression and  
356 *FOXP3* gene expression was associated with a higher hazard of death due to  
357 melanocytic tumor, but they lost significance when the models were adjusted for  
358 diagnosis. However, when FoxP3 expression was categorized according to its  
359 optimal cut-off, the survival analysis indicated that the hazard of death was 6 times  
360 higher in dogs with FoxP3 $\geq$ 6.9<sup>+</sup> cells/HPF. These results seem to imply that a higher  
361 infiltration of FoxP3<sup>+</sup> cells could be associated with a worse prognosis in dogs,  
362 similar to human melanomas.<sup>22,51</sup> The aforementioned cut-off value was similar to  
363 the 6.1 cells/HPF value previously reported by our group,<sup>57</sup> but the new cut off  
364 showed both higher sensitivity and specificity in this study. It could be postulated that  
365 FoxP3 may be a major player in immunoescape mechanisms favoring tumor growth  
366 and progression, particularly in oral and cutaneous melanomas. Furthermore, the  
367 strong correlation between FoxP3<sup>+</sup>Tregs and CTLA-4, together with the moderate  
368 correlation of these two proteins with IDO protein expression, may point at a synergic  
369 role of these molecules in the establishment of an immunosuppressed tumor



370 microenvironment. A strong correlation was also evidenced between FoxP3 protein  
371 expression and mitotic count, supporting FoxP3<sup>+</sup>Tregs role in favoring tumor growth.  
372 The correlation between gene and immunohistochemical protein expression was  
373 moderate or moderate-to-low for all tested molecules. This could be due to the  
374 higher sensitivity of RT-qPCR when compared to the immunohistochemical  
375 quantification, but also to poor mRNA quality in FFPE samples, which directly affects  
376 the efficiency of some primer combinations (as low as 77.2%). The authors are  
377 aware that these are sub-optimal values however, as reported in a previous study,<sup>7</sup>  
378 accuracy in gene expression profile should not be compromised.

379 Markers to identify Tregs are limited and often non-specific,<sup>62</sup> making the  
380 characterization of this T cell subpopulation, within tumor immune environment,  
381 difficult and still not completely understood. To overcome this problem, a  
382 colocalization of CD4, CD25 and FoxP3 would be useful to correctly identify Tregs  
383 subpopulation also in canine melanomas. Moreover, the presence of FoxP3<sup>+</sup>Tregs  
384 could be influenced by tumor site, molecular subtype of the tumor, and tumor stage,  
385 adding further bias to their evaluation.<sup>68</sup>

386 During the last few years, immunotherapies with monoclonal antibodies directed  
387 against CTLA-4 and PD1 revolutionized the treatment of patients with advanced  
388 melanoma in human medicine. Still, the role of CTLA-4-expressing cells have been  
389 explored in veterinary oncology in a limited manner. In the present study, we  
390 described the expression of this molecule in canine melanocytic tumors, suggesting  
391 that both CTLA-4 immunohistochemical and gene expression may associated with  
392 the histological diagnosis and with an increased hazard of death (univariable  
393 analysis), similarly to what reported in human melanomas.<sup>12</sup> However, in the  
394 multivariable analysis, CTLA-4 lost its statistical significance, suggesting that CTLA-4

395 may be not an independent predictor. Still, the association between the protein and  
396 gene expression of this marker and the tumor mitotic count, which is considered one  
397 of the most affordable prognostic factors in canine melanomas,<sup>4,73</sup> may corroborate  
398 the hypothesis of an immunosuppressive role of CTLA-4 in melanoma growth. To the  
399 best of our knowledge, this is the first study focusing on CTLA-4 within canine  
400 melanoma microenvironment; previous studies aimed at characterizing the  
401 expression of this costimulatory molecule in circulating cells during neoplastic  
402 disease and in a healthy subject.<sup>76-78</sup> Our results, although preliminary, highlight the  
403 presence of this molecule within canine melanoma and open the the path for new  
404 investigations on the role of CTLA-4-associated pathways in canine oncology.

405 IDO can be expressed by different cell types, in particular MDSCs, DCs,  
406 macrophages, and tumor cells. It acts both on APCs and T cells, causing immune  
407 suppression and facilitating cancer progression.<sup>5</sup> Our results show that IDO  
408 immunohistochemical expression was an independent predictor of mortality, even  
409 when the model was adjusted for diagnosis (melanocytoma, oral melanoma,  
410 cutaneous melanoma). The optimal cut-off value for IDO immunohistochemical  
411 expression in this study was set at 8.4 cells/HPF, compared to the 14.7 cells/HPF  
412 value that was used in our previous study. In addition to the different characteristics  
413 of the sample population, this incongruity can also be explained by the different  
414 percentages of sensitivity and specificity associated with this new cut-off. In the  
415 present study, the lowest cut-off was associated with a higher sensitivity (82%) in the  
416 prediction of death due to melanoma. By setting the IDO cut-off at 14.7 cells/HPF in  
417 this case series, the specificity improves, reaching the value indicated in our  
418 previous study (79%), but it is not balanced by an adequate sensitivity (57%). The  
419 greater accuracy is indicated by the higher AUC in the present study, together with

420 the higher number of cases with a complete follow-up (55 vs 52) in this study,  
421 suggests that the lower cut-off is preferred.

422 One of the possible limits of our study is related to the fact that we did not stratify our  
423 cases by diagnosis. On one hand, the stratification would have led to a drastic  
424 reduction in both the sample size and the number of “events” (i.e. deaths) to be  
425 included for survival analysis. On the other hand, the diagnosis of  
426 melanocytoma/melanoma poses often some doubts in the diagnostic routine of the  
427 pathologist, particularly in borderline lesions. By avoiding stratification, the cut-offs  
428 set for our markers were defined independent of the histologic diagnosis and  
429 pathologist’s the judgment of the. Investigations based on a larger study are required  
430 to confirm these results and to better assess these markers’ prognostic value.

431 The role of IDO in canine melanoma may be similar its role in human melanoma,  
432 where IDO protein expression has been shown to have a prognostic role in both  
433 cutaneous melanoma and nodal metastases.<sup>13,54,64</sup> Gene expression, on the other  
434 hand, was significant only in the univariate Cox analysis. The loss of significance  
435 could be because of the high mRNA expression variability detected by RT-qPCR due  
436 to the use of FFPE material to retrieve mRNA. In fact, even though numerous  
437 studies have used this protocol, fresh-frozen tissue are preferred to avoid partial  
438 mRNA degradation.<sup>38</sup> Our results suggest that IDO is involved in canine tumor  
439 immunoescape and progression, but mechanistic studies are needed to confirm this  
440 finding. Also, IDO could be implicated in the activation of Treg cells within the canine  
441 melanoma microenvironment, as indicated by the moderate correlation between the  
442 variables in this study and in other models.<sup>48,69</sup>

443 Interestingly, all of the markers tested in our study showed a significant difference  
444 between the cutaneous melanomas and cutaneous melanocytomas, suggesting

445 increased activation of immunosuppressive pathways in malignant cutaneous tumors  
446 compared to their benign counterpart. This finding, if further confirmed by ongoing  
447 investigations, could confirm similar mechanisms of immune evasion in the dog as  
448 compared to human species. Furthermore, these markers could be useful in  
449 prognosticating canine cutaneous melanocytic tumors. If confirmed by prospective  
450 studies, IDO<sup>+</sup> cells/HPF and the threshold of >6.9 FoxP3<sup>+</sup> cells/HPF might be useful  
451 in the evaluation of canine melanocytic tumors, as evidenced by the multivariable  
452 analysis.

453 Taken together, the results from our study seem to confirm the presence of  
454 immunosuppressive tumor microenvironment mechanisms controlled by FoxP3,  
455 CTLA-4, and IDO in canine melanoma, particularly in the most aggressive oral form.  
456 After this retrospective investigation, prospective studies on fresh/frozen tissue  
457 aiming at the confirmation of these results, including and extending our investigation  
458 to other immune populations and to metastatic lesions, have been planned. Further  
459 investigations on the immune environment of canine melanocytic tumors should be  
460 initiated, aiming to both better characterize canine melanoma biology and immune  
461 environment and to possibly employ immunotherapeutic strategies in the canine  
462 species.

463

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467

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696

697 **Figure 1-3.** Melanocytic neoplasms, dog. The immunolabeling is nuclear in small  
698 cells with scant cytoplasm (lymphocytes). Immunohistochemistry for FoxP3 (AEC  
699 chromagen and hematoxylin counterstain). **Figure 1.** Oral melanoma, oral mucosa,  
700 dog, case 10. Numerous FoxP3<sup>+</sup> cells are scattered among neoplastic cells. **Figure**  
701 **2.** Cutaneous melanoma, haired skin, dog, case 33. FoxP3<sup>+</sup> cells are present within  
702 the neoplasm. **Figure 3.** Cutaneous melanocytoma, haired skin, dog, case 50. No  
703 FoxP3<sup>+</sup> cells are observed at the center or periphery of the tumor. **Figure 4-6.**

704 Melanocytic neoplasms, dog. Immunolabeling is observed on the cell membrane of  
705 small cells with scant cytoplasm (lymphocytes). Immunohistochemistry for CTLA-4.

706 **Figure 4.** Oral melanoma, oral mucosa, dog, case 23. Occasional CTLA-4<sup>+</sup> cells are  
707 present among neoplastic cells and also in lymphocytic aggregates at the periphery  
708 of the tumor. **Figure 5.** Cutaneous melanoma, haired skin, dog, case 44. Scattered  
709 small aggregates of CTLA-4<sup>+</sup> cells are present within the neoplasm. **Figure 6.**

710 Cutaneous melanocytoma, haired skin, dog, case 51. No CTLA-4<sup>+</sup> cells are observed  
711 at the center or the periphery of the tumor. **Figure 7-9.** Melanocytic neoplasms, dog.

712 IDO is expressed in the cytoplasm of cells with moderate to abundant cytoplasm  
713 (interpreted as histiocytes/macrophages), and rarely in neoplastic cells.

714 Immunohistochemistry for IDO. **Figure 7:** Oral melanoma, oral mucosa, dog, case 8.

715 IDO<sup>+</sup> cells are numerous and often in multifocal aggregates. **Figure 8.** Cutaneous  
716 melanoma, haired skin, dog, case 28. Occasional IDO<sup>+</sup> cells are scattered among  
717 neoplastic cells. **Figure 9.** Cutaneous melanocytoma, haired skin, dog, case 49.

718 Single IDO<sup>+</sup> cells are occasionally observed in the neoplasm, as highlighted in the  
719 inset.

720

721 **Figure 10-12.** Box plots of the number of FoxP3, CTLA-4, and IDO-positive  
722 cells/HPF. The horizontal line in the box is the median, the whiskers are 1.5 times  
723 the inter-quartile range, and the stars are outliers. ns=not significant, \*P<0.05,  
724 \*\*P<0.01, \*\*\*P<0.001 (multiple comparisons by Mann-Whitney tests).

725 **Figures 13-15.** *FoxP3*, *CTLA-4*, and *IDO* mRNA levels according to diagnosis. The  
726 horizontal line in the box is the median, the whiskers are 1.5 times the inter-quartile  
727 range, and the stars are outliers. ns=not significant, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001  
728 (multiple comparisons by Mann-Whitney tests).

729

730 **Figure 16-21.** Kaplan-Meier survival curves by optimal cut-off values of FoxP3  
731 (Figure 16; 6.9 cells/HPF), CTLA-4 (Figure 17; 2.2 cells/HPF), and IDO (Figure 18;  
732 8.4 cells/HPF), and *FOXP3* (Figure 19; 35.9 mRNA expression level), *CTLA4* (Figure  
733 20; 10.1 mRNA expression level), and *IDO* (Figure 21; 22.7 mRNA expression level).  
734 Vertical hash marks represent censored cases.

735