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Andrey A. Grachev [a, b, *], **Christopher W. Fairall** [a], **Byron W. Blomquist** [a, b],
Harindra J. S. Fernando [c], **Laura S. Leo** [c], **Sebastián F. Otárola-Bustos** [c],
James M. Wilczak [a], **Katherine L. McCaffrey** [a, b, 1]

[a] NOAA Earth System Research Laboratory, 325 Broadway, R/PSD3, Boulder, CO 80305-3337, USA

[b] Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO, USA

[c] Department of Civil & Environmental Engineering & Earth Sciences, University of Notre Dame, Notre Dame, IN, USA

[*] *Corresponding author. E-mail address*: Andrey.Grachev@noaa.gov (Andrey A. Grachev)

[1] Now at: S & P Global Market Intelligence, Boulder, CO, USA

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42 **Abstract**

43

44 Measurements of the surface energy fluxes (turbulent and radiative) and other ancillary

45 atmospheric/soil parameters made in the Columbia River Basin (Oregon) in an area of complex

46 terrain during a 10-month long portion of the second Wind Forecast Improvement Project (WFIP

47 2) field campaign are used to study the surface energy budget (SEB) and surface fluxes over

48 different temporal scales. This study analyzes and discusses SEB closure based on half-hourly,

49 daily, monthly, seasonal, and sub-annual (~10-month) temporal averages. The data were

50 collected over all four seasons for different states of the underlying ground surface (dry, wet, and

51 frozen). Our half-hourly direct measurements of energy balance show that the sum of the

52 turbulent sensible and latent heat fluxes systematically underestimate positive net radiation by

53 around 20-30% during daytime and overestimate negative net radiation at night. This imbalance

54 of the surface energy budget is comparable to other terrestrial sites. However, on average, the

55 residual energy imbalance is significantly reduced at daily, weekly, and monthly averaging

56 timescales, and moreover, the SEB can be closed for this site within reasonable limits on

57 seasonal and sub-annual timescales (311-day averaging for the entire field campaign dataset).

58 Increasing the averaging time to daily and longer time intervals substantially reduces the ground

59 heat flux and storage terms, because energy locally entering the soil, air column, and vegetation

60 in the morning is released in the afternoon and evening. Averaging on daily to sub-annual

61 timescales also reduces random instrumental measurement errors and other uncertainties as well

62 as smooths out a hysteresis effect (phase lag) in the SEB relationship between different

63 components. This study shows that SEB closure is better for dry soils compared to wet soils and

64 the statistical dependence of the turbulent fluxes and net radiation for freezing soil surfaces

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65 appears weak, if not non-existent, apparently due to lack of the latent heat of fusion term in the

66 traditional SEB equation.

67

68 **Keywords:** Energy balance closure • Radiative fluxes • Surface energy budget • Time

69 averaging • Turbulent fluxes

70

1. Preamble

Surface energy fluxes (turbulent, radiative, and ground heat) are important in a wide variety of applications including climate modelling, weather forecasting, land-atmosphere simulations, agricultural and forestry research, and environmental impact studies. A direct application of the surface energy fluxes is the net surface energy budget (SEB). Energy balance closure including all components of the SEB at the air-surface interface is necessary for a better understanding of the atmosphere-surface exchange mechanisms and to improve models over representative areas and yearly timescales.

Surface energy balance closure is a formulation of the conservation of energy principle (the first law of thermodynamics). In other words, the SEB equation is a statement of how the net radiation is balanced by turbulent sensible, latent, and soil heat fluxes in the absence of other energy sources and sinks. Comprehensive SEB studies have been conducted since the 1950-60s (e.g., Lettau and Davidson 1957; Long et al. 1964). Since the late 1980s, it has become obvious that the surface energy balance is difficult to close at temporal scales less than several hours (e.g., at half-hourly and hourly averaged time scales) as reported in many studies (e.g., Wilson et al. 2002; Foken et al. 2006; Mauder et al. 2007; Oncley et al. 2007; Cava et al. 2008; Foken 2008; Jacobs et al. 2008; Panin and Bernhofer 2008; Higgins 2012; Leuning et al. 2012; Stoy et al. 2013; Cuxart et al. 2015; Majozi et al. 2017; Gao et al. 2017a and references therein). According to field measurements, the sum of the turbulent fluxes of sensible and latent heat plus the ground heat flux in most cases (generally during daytime) is systematically smaller than that required to balance the net radiation; whereas this sum generally overestimate the net radiation at night. The lack of energy balance closure at half-hourly and hourly measurements is a

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94   fundamental and pervasive problem in micrometeorology. Note, however, that in some cases the

95   authors reported that the energy budget can be closed within reasonable limits (e.g., Lamaud et

96   al. 2001; Jacobs et al. 2008), but these successes are rare.

97   This study utilizes the data of surface fluxes (turbulent and radiative) and other ancillary

98   atmospheric and soil data collected in the Columbia River Gorge area near Wasco, Oregon,

99   during a 10-month long period within the second Wind Forecast Improvement Project (WFIP 2)

100   from 24 June 2016 through 1 May 2017 (year days 176–487 with respect to 1 January 2016). The

101   WFIP 2 project is a four-year multi-disciplinary effort intended to improve short-term weather

102   forecast models and better understand various unresolved physical processes that affect wind

103   energy generation in regions of complex terrain such as coastlines, mountains, and canyons, in

104   order to develop and evaluate improved surface-flux parameterizations (see Bianco et al. 2019;

105   Olson et al. 2019; Shaw et al. 2019; Wilczak et al. 2019 for details). The observational phase of

106   the WFIP 2 allows for an analysis of the SEB for different soils over a broad range of temporal

107   scales based on first principles.

108   The main objectives of this study are twofold. The first objective is an investigation of

109   the non-closure of the SEB for three different type of soils (dry, wet, and frozen surfaces) using

110   the same instruments, experimental setup, location, and data processing. The second objective is

111   an analysis of SEB closure at different temporal scales from half-hourly to daily and even

112   monthly, seasonal and sub-annual averaged time series. In some sense, this study bridges

113   micrometeorological measurements and climatological timescales through temporal averaging.

114   The layout of the paper is as follows. The theoretical background (basic SEB equations) and the

115   energy balance closure problem are considered in Section 2. Instruments, data collection and site

116   descriptions are documented in Section 3. Main findings of the study based on analysis of the

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117 WFIP 2 experimental data (e.g., time series, SEB at different timescales, and over different types

118 of soil surfaces) are described in Section 4. The conclusions are summarized in Section 5.

119

120 **2. The Surface Energy Balance Closure Problem**

121

122 The law of conservation of energy at the interface between atmosphere and land in the

123 absence of other energy sources and sinks is written as:

$$H_S + H_L + G = R_{net} \tag{1}$$

125 where G is the soil heat flux, R_{net} is the net radiation defined as the balance between

126 downwelling (incoming) and upwelling (outgoing) SW and LW radiation:

$$R_{net} = SW_{down} - SW_{up} + LW_{down} - LW_{up} \tag{2}$$

128 The turbulent fluxes of sensible heat H_S and latent heat H_L in (1) can be estimated by the eddy

129 correlation method according to

$$H_S = c_p \rho \overline{w'\theta'} \tag{3}$$

$$H_L = \mathcal{L}_e \rho \overline{w'q'} \tag{4}$$

132 where ρ is the mean air density, θ is the air potential temperature, q is the air specific humidity,

133 c_p is the specific heat capacity of air at constant pressure, and $\mathcal{L}_e$ is the latent heat of evaporation

134 of water. Here w is the vertical velocity component, the prime $[']$ denotes fluctuations about the

135 mean value, and an overbar is an averaging operator (half an hour in this study).

136 As mentioned above, numerous direct measurements of all SEB components in (1) have

137 shown that over land the sum $H_S + H_L + G$ in most cases (generally during daytime)

138 systematically underestimate the net radiation R_{net} by about 20-30% (Foken and Oncley 1995;

139 Wilson et al. 2002; Meyers and Hollinger 2004; Foken et al. 2006; Mauder et al. 2007; Cava et

140 al. 2008; Foken 2008; Jacobs et al. 2008; Panin and Bernhofer 2008; Higgins 2012; Leuning et

141 al. 2012; Stoy et al. 2013; Masseroni et al. 2014; Gao et al. 2017a).

142   Because the energy balance at the surface often cannot be closed based on experimental

143 observations, the SEB equation (1) is typically formulated as (e.g., Foken et al. 2006):

144 $$H_S + H_L + G + Res = R_{net} \qquad (5)$$

145 where Res is any residual term (imbalance). Equation (1) assumes an ideal case, when all the

146 fluxes are measured at the infinitesimal interface between an atmosphere and a soil, while Eq. (5)

147 implies a two-layer (atmosphere and soil) column of finite thickness (e.g., Foken 2008, Fig. 1).

148 The turbulent and soil fluxes in (5) are measured at the upper and lower boundary planes of the

149 total layer respectively. Clearly a variety of factors may be responsible for the lack of SEB

150 closure in the layer (e.g., Foken et al. 2006; Mauder et al. 2007; Higgins 2012; Leuning et al.

151 2012). Therefore, in general Res can be partitioned as:

152 $$Res = T + S + X \qquad (6)$$

153 where T is an additional transport (vertical and horizontal) through all boundary planes, S is a

154 total storage in the two-layer column, and X indicates all other unspecified contributions to (1).

155   The additional transport term T in (6) includes the divergence of the horizontal turbulent

156 flux caused by complex terrain or heterogeneities in the underlying surface and soil heat transfer

157 by convection or circulation (in addition to conductive heat flux G) and/or by convective water

158 flux in the water-saturated soils at the bottom plane (the water flux in the soil is a consequence of

159 the law of conservation of mass in the case $H_L \neq 0$). The storage term, S, can be partitioned as

160 (e.g., Meyers and Hollinger 2004; Oncley et al. 2007; Leuning et al. 2012; Masseroni et al.

161 2014):

162 $$S = S_a + S_g + S_p + S_c + S_x \qquad (7)$$

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163   Where S_a is storage of energy in the air column due to radiative and/or sensible heat flux

164   divergence (the air enthalpy change), S_g is the ground heat storage above a a heat flux plate

165   measurement level, S_p is the radiation consumed in photosynthesis (the photosynthesis flux), S_c

166   is the canopy heat storage in biomass (the rate change in enthalpy of the vegetation), and S_x is all

167   other storage terms, e.g. the atmospheric moisture change and the canopy dew water enthalpy

168   change (Jacobs et al. 2008). The term X in Eq. (6) may include several factors: loss of low-

169   frequency covariance contributions to the turbulent fluxes induced by insufficient averaging time

170   and/or inadequate resolution of high-frequency flux components; choice of coordinate systems;

171   the mismatch between the footprint of the turbulent heat fluxes and the measurements of

172   radiation components and soil heat fluxes; instrumental errors; the latent heat of fusion term (see

173   below); etc. The lack of SEB closure raises concerns regarding eddy covariance measurements at

174   standard half-hourly and hourly averaging time scales. There are suggestions that increasing the

175   turbulence averaging time can improve SEB closure by capturing additional sensible and latent

176   heat fluxes at low-frequencies (e.g., Foken 2008).

177   Recall that failure to close the energy balance is associated with a systematic bias.

178   Specifically, for a positive net radiation, $R_{net} > 0$ (generally during daytime), the left-hand side

179   of Eq. (1) is routinely smaller than the right-hand side ($Res > 0$ in Eq. (5)) and vice versa for a

180   negative net radiation, $R_{net} < 0$ (generally during nigh). An immediate problem for the

181   additional terms (6) is that the different terms contribute differently (either positively or

182   negatively) to the bias. While all the storage terms (7) and the loss of high-frequency

183   components in the turbulent fluxes contribute positively to Res in the case $R_{net} > 0$, it is difficult

184   to see why the loss of low-frequency covariance associated with local circulations would always

185   lead to an underestimation of the turbulent fluxes, and the same could be said about advection

186 (Finnigan 2008). For example, according to SHEBA data (Grachev et al. 2005, Fig. 8), the low-

187 frequency flux components can be both positive and negative. Thus, while the storage term S in

188 Eq. (6) is always positive (systematic contribution) for the positive net radiation, the other two

189 terms, T and X, contribute positively or negatively to the bias (random contribution).

190   The various terms in Eq. (1) differ greatly in magnitude. Generally the soil heat flux G is

191 relatively small compared with the net radiation, but in some cases the G term in Eq. (1) cannot

192 be ignored. Magnitude of the ratio G/R_{net} will typically vary between 0.05 and 0.50, depending

193 on the period of the day, thermal properties of the soil, surface cover, soil moisture content, and

194 solar irradiance (Kustas et al. 1993). For example, midday values of the ratio of the soil heat flux

195 and the net radiation, G/R_{net}, are about 0.15 for measurements over fields of bare soil, alfalfa,

196 and cotton near Phoenix, AZ according to Kustas and Daughtry (1990), and 0.14-0.17 for a no-

197 till cornfield in central Iowa in November (Sauer et al. 1998). During the night or in the

198 fall/winter, G is an important term in (1), when R_{net} is low and stable atmospheric conditions

199 cause H_S and H_L to be small. Despite its relative importance, the soil heat flux is not often

200 measured, including this study where the observational site was not instrumented with a heat flux

201 plate (e.g., see survey in Liebethal et al. 2005; Liebethal and Foken 2007; Gao et al. 2017b; Yang

202 and Wang 2008).

203   The soil heat flux can be estimated from soil temperature profile measurements using

204 Fourier's Law of Heat Conduction (gradient method)

$$G(z) = -\lambda \frac{\partial T_S}{\partial z} \qquad (8)$$

206 where λ is the thermal conductivity of the soil and $\partial T_S/\partial z$ is the vertical temperature gradient of

207 the soil temperature, T_S. In practical applications the first derivative of the soil temperature in (8)

208 is usually replaced by the finite-difference approximation in the soil layer Δz and Eq. (8) reduces

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209   to $G(z) \approx -\lambda \Delta T_S / \Delta z$. Fourier's Law (8) presumes steady state heat conduction, one-dimensional

210   heat flow, an isotropic and homogeneous material, constant thermal conductivity λ, and no

211   internal heat generation. Generally, soil is a three-phase material (water, air, solid) and

212   application of Eq. (8) is considerably more difficult. The thermal conductivity of soil depends on

213   the conductivity of each phase and their proportions; that is, λ varies by composition of the solid

214   fraction (e.g., mineral type and particle size), water content (thermal conductivity of water is

215   about two to three times greater than that of soil), amount of organic matter, and bulk density. As

216   a result, λ values can change between layers within Δz even for the same soil due to changes in

217   water content. Another complexity of the gradient method (8) is associated with non-stationarity

218   (diurnal variations), even during a half-hour averaging period. The diurnal cycle of solar

219   radiation modulates a sinusoidal variation in the ground surface heat flux and diurnal thermal

220   waves in the top soil layer. The temperature wave damps exponentially with depth and its lag

221   time increases with depth. The ground heat flux is theoretically π/4 (1/8 cycle) out of phase with

222   the temperature wave (hysteresis effect); that is, the ground heat flux is largest three hours ahead

223   of the surface temperature for a diurnally varying surface temperature cycle (Arya 1988; Garratt

224   1992; Gao et al. 2010). Thus, while the gradient method (8) is simple to employ under field

225   conditions, accurate measurement of λ and the vertical soil temperature gradient is challenging.

226   The impact of the hysteresis effect in diurnal cycles and the effect of the wave phase difference

227   between different atmospheric and/or soil variables on the SEB closure are discussed in number

228   of studies (e.g., Gao et al. 2010, 2017a; Sun et al. 2013).

229   Direct measurements of the turbulent flux of carbon dioxide allow estimation of a storage

230   term S_p in (7) associated with the photosynthesis flux. The photosynthesis flux S_p is the change

231   in the Gibbs free energy and, according to Nobel (2009, Chapter 6.5, p. 313), about 479 kJ of

232   energy is stored per mole of CO_2 fixed into photosynthetic products; that is, S_p [W m^{-2}] = −0.479

233   F_{CO_2} [μmol m^{-2} s^{-1}]. For example, a canopy assimilation rate of F_{CO_2} =10 μmol m^{-2} s^{-1} equates to

234   energy flux of S_p = 4.79 ≈ 5 W m^{-2} (cf., Meyers and Hollinger 2004, their Fig. 5 and Masseroni

235   et al. 2014, their Fig. 3). Thus, the photosynthesis storage term is relatively small; according to

236   estimate by Finnigan (2008), $S_p \approx 0.01 R_{net}$. The canopy heat storage term (e.g., because of

237   changes in leaf temperature), S_c, may be also a factor in the lack of closure, but it cannot be

238   easily assessed and flux values cannot be easily corrected for this influence in the framework of

239   our study. According to Meyers and Hollinger (2004, Figs. 4 and 5) and Masseroni et al. (2014,

240   Fig. 3), generally $S_c \leq S_p$. Although the soil heat flux G in Eq. (5) and the storage terms S_a and

241   S_g in Eq. (7) can be estimated from the observations, their contribution to SEB closure at half-

242   hourly time scales lies beyond the scope of this study.

243   The SEB (1) and the fact that the turbulent fluxes are highly correlated with the net

244   radiation (e.g., see plots presented in Sub-section 4.3 shortly) provide an objective approach to

245   estimate turbulent fluxes independently of a conventional bulk flux algorithm. Traditionally, the

246   SEB is considered closed in numerical models of the climate system and in other applications

247   (e.g., for remote sensing), allowing for estimation of missing terms as the residual of the others

248   (e.g., Cuxart et al. 2015 and references therein). Similar ideas are used in soil-vegetation-

249   atmosphere transfer schemes where H_S and H_L are estimated from thermal infra-red data (i.e.

250   radiometric surface temperature) and SEB, Eq. (1) (e.g., Priestley and Taylor 1972; Su 2002;

251   Kustas et al. 2004; Ezzahar et al. 2012; Yao et al. 2015 and references therein).

252

253   **3. Observation Site and Instrumentation**

254

255   Figure 1 shows the study area located along the Columbia River Gorge in eastern Oregon

256 and Washington states. This region was chosen because of its combination of complex terrain

257 and extensive wind farm development. These measurements provide insight into the structure

258 and evolution of atmospheric flows and other physical processes in complex terrain leading to

259 improvements in parametrization of subgrid-scale processes in NWP models to support wind

260 energy forecasting (see Bianco et al. 2019; Olson et al. 2019; Shaw et al. 2019; Wilczak et al.

261 2019). Federal agencies, private companies, and universities collaborated on the WFIP 2 project,

262 deploying wind profiling radars, sodars, lidars, networks of tall meteorological towers, and other

263 instruments across a range of spatial scales (Figs. 1 and 2).

264   In this observational study we use measurements of half-hourly averaged turbulent and

265 radiative fluxes, surface meteorology, and basic soil parameters from the Physics Site 1 tower

266 (PS01, 45.64°N and 120.68°W) located near Wasco, Oregon, (Fig. 1) to examine SEB closure

267 over different soil conditions (dry, wet, and frozen) and at different averaging timescales.

268 Turbulent fluxes and mean meteorological data were measured continuously on a 10-m

269 meteorological tower at two levels, nominally 3 m and 10 m (Fig. 2). Each level was

270 instrumented with identical fast response three-axis sonic anemometers sampling wind velocity

271 and sonic temperature at 20 Hz (R.M. Young Model 81000) and Rotronics HC2S3 temperature

272 and relative humidity probes (T/RH, sampling frequency = 1 Hz). The HC2S3 probes were

273 housed in ventilated radiation shields. A fast-response (20 Hz) open path infrared gas analyzer

274 (LI-7500, LI-COR Inc.) was collocated at 3-m height with the lower sonic anemometer for direct

275 measurements of water vapor turbulent flux and other relevant turbulent statistics. Measurements

276 were collected by a data-logger (Campbell CR3000) and successively parsed into 15-minute data

277 files for cell-modem network transmission to remote data storage.

278   Tower-based eddy covariance measurements provide a long-term near continuous

279 temporal record of half-hourly averaged turbulent momentum, mass, and energy fluxes. The

280 mean wind speed and wind direction were derived from the sonic anemometers, with rotation of

281 the measurement axes to place the measured wind components in a streamline coordinate system

282 based on half-hour averaged 20-Hz data. In this study, we performed tilt-corrections of the sonic

283 anemometer data using the "planar-fit" method rotation of the coordinate system proposed by

284 Wilczak et al. (2001), which essentially fits a climatological plane through the streamlines of the

285 local flow. The planar-fit angles were calculated from 30-min averages at each level over the 10-

286 month dataset (for the period 24 June 2016 to 01 May 2017). Several data-quality indicators

287 based on objective and subjective methods have been applied to the original flux data to remove

288 spurious or low-quality records. Specifically, turbulent data have been edited for unfavorable

289 relative wind direction for which the tower was upwind of the sonic anemometers, non-

290 stationarity, minimum or/and maximum thresholds for the turbulent statistics, etc. (e.g., Bariteau

291 et al. 2010; Grachev et al. 2011; Grachev et al. 2015; Blomquist et al. 2014 and references

292 therein). In particular, sonic anemometer data based on the planar-fit procedure were flagged as

293 bad if mean vertical velocity component differed by more than 0.2 m s^{-1} from the plane.

294   Measurements of soil temperature and moisture were made at five levels located

295 nominally at 5, 10, 20, 50, and 100 cm depths below the ground surface approximately 5 m from

296 the flux tower. Campbell Scientific 107 temperature sensors and a CS616 water content

297 reflectometer were used to measure temperature and soil moisture (in volumetric water content)

298 respectively. No direct measurements of surface soil heat flux with a heat flux plate were

299 performed at this site. The soils at the WFIP 2 Physics Site PS01 are primarily well-drained silt

300   (73%), with minor components of sand (14%), and clay (13%) and average 152 cm in depth

301   before reaching harder rock (water table).

302   The downwelling and upwelling radiation was measured from two radiation masts

303   located near the flux tower (Fig. 2). Eppley pyranometer (PSP) and pyrgeometer (PIR) are used

304   to measure the shortwave and infrared radiation. Both 'slow'-response radiation and soil data

305   reported here are based on raw measurements sampled at 1 Hz which were subsequently

306   averaged over 1-min time intervals and recorded by a data-logger. The instrument suite located

307   on the tower was operated by University of Notre Dame scientists; solar radiation and soil

308   science instruments were conducted by the NOAA/ESRL team.

309

310   **4. Analysis of the WFIP 2 Data**

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312   *4.1. Time Series*

313

314   In this sub-section, we analyze the time series of half-hour averaged surface fluxes and

315   basic meteorological variables to describe weather and soil conditions, surface fluxes, and other

316   relevant variables as observed during the entire WFIP 2 field campaign for the period 24 June

317   2016 to 01 May 2017 (Year Days 176-487 with respect to January 1, 2016 UTC). Figures 3 and

318   4 show the time series of 'slow' half-hourly averaged basic meteorological variables collected at

319   the Physics Site PS01 near Wasco, Oregon (Fig. 2), except for the rain rate which was measured

320   near another observational site located near the Wasco State Airport (WCO). Figure 5 shows the

321   time series of short-wave (SW) and long-wave (LW) radiation (downwelling and upwelling),

322   radiation budgets, and also the surface albedo observed at Physics Site PS01. By convention,

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323   radiation fluxes are positive when directed toward the surface, fluxes away are negative. The net

324   radiation describes the balance between incoming (downwelling) and outgoing (upwelling) SW

325   and LW radiation. The surface albedo (reflectivity of a surface) in Fig. 5d is derived from the

326   ratio of the upwelling SW radiation (i.e., reflected from the surface) to the downwelling SW

327   radiation. Time series of the half-hour averaged friction velocity $u_* = \sqrt{-\overline{w'u'}}$, sensible H_S and

328   latent H_L heat fluxes defined by Eqs. (3)–(4) are shown in Fig. 6.

329   Figure 3b shows that the prevailing winds have a bimodal distribution with the two

330   dominant wind directions ~ 180° apart (easterly and westerly winds). These predominant winds

331   generally blow parallel to the ridge in the Fig.2. The air and soil temperatures display the

332   familiar strong seasonal trend with maximum in mid-summer and minimum during winter (Figs.

333   3c and 4a). The seasonal pattern of the air temperature (Fig. 3c) is highly correlated with soil

334   thaw and freeze (Figs. 4a and 5d). The onset of near-surface soil freezing occurred in the autumn

335   on about days 343–344 (8–9 December 2016). Frozen ground started warming when the gradient

336   of the subsurface temperature changed sign on about days 428–429 (3–4 March 2017). The air

337   temperatures rise above freezing during spring melt on about days 424–425 (27–28 February

338   2017) and eventually reaches a summer maximum (Fig. 3c). The rather constant temperature of

339   the soil at 5 and 10-cm levels near 0°C (Fig. 4a) on about days 344–428 (9 December 2016–3

340   March 2017) is due to the snow cover when present (cf. Fig. 5d), to the rather high moisture

341   content of the soil (Fig. 4b), and to releasing latent heat of fusion of soil water as soil freezes.

342   The near-zero topsoil temperatures on these days are due to the phase transition of water to ice

343   and are associated with the so-called "zero-curtain" effect (e.g., Grachev et al. 2018 and

344   reference therein). Release of latent heat during the freezing of pore water results in the

345   maintenance of isothermal temperatures at or around 0°C within the freezing ground layer over

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346   extended periods (Fig. 4a). The zero curtain decouples the soil from the atmosphere, preventing

347   cooling in the underlying ground layer (zero soil heat flux) for its duration (e.g., see Grachev et

348   al. 2018 Figs. 9c and 9d) and thus protecting the ground from severe freezing.

349   The date of the first snowfall and the occurrence of the snow-free date are determined

350   radiometrically as the date when the surface albedo first rises above and drops below 30%

351   respectively (Stone et al. 2002). This behaviour of the albedo around a first snowfall/melt date is

352   associated with a distinguishing feature of the upwelling (reflected) SW solar radiation which is

353   changes rapidly during snowfall and snowpack disintegration, i.e., during the transition from the

354   low-albedo bare soil to snow cover and vice versa. According to Fig. 5d, the albedo increases

355   suddenly on days 342–344 (7–9 December 2016) with the first snowfall in the vicinity of the flux

356   tower. The date of snow melt is evidenced by the large reduction in albedo that occurs on days

357   425–426 (28 February–1 March 2017), i.e., when the snow cover essentially disappears (Fig.

358   5d). These dates of the first snowfall and snow melt are in close correspondence with the dates of

359   the soil freezing and melt derived independently from the temperature measurements.

360   The annual cycle of the downwelling SW radiation SW_{down} at half-hourly resolution is

361   clearly evident with maximum flux values in mid-summer of about 940 to 960 W m^{-2} (Fig. 5a)

362   and values that drop to minimum during winter months. Similarly, the downwelling longwave

363   radiation LW_{down} reaches a minimum in winter and a maximum in summer (Fig. 5b). A net

364   longwave radiative loss (difference between blue and red symbols in Fig. 5b) occurs throughout

365   the year (Fig. 5c). Hence, the net radiation R_{net} is weakly negative during winter months (Fig.

366   5c). The peak in R_{net} occurs during spring/summer when the snow melts and the surface albedo

367   reaches the low summertime values and SW_{down} is near the annual peak (Fig. 5).

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368   Figure 6 shows the seasonal cycles of the friction velocity and the turbulent fluxes of the

369   sensible heat, and latent heat at half-hourly resolution. It is obvious that friction velocity (Fig. 6a)

370   and the wind speed (Fig. 3a) are highly correlated to one another. The annual course of the

371   sensible heat flux and the net radiation, R_{net} are qualitatively very similar (cf. Figs. 5c and 6b)

372   because the balance between solar and longwave radiation is the principal energy source for

373   daytime surface warming and evaporation (see Eq. (1)). Concurrently, the annual course of the

374   latent heat flux shown in Fig. 6c depends on both R_{net} according to Eq. (1) and soil moisture

375   (Fig. 4a). Figure 6 shows that during the winter and cold seasons, the sensible and latent heat

376   fluxes were small and mostly irregular when the ground is covered with snow and air

377   temperatures are generally below freezing. However, the turbulent fluxes increase rapidly in

378   magnitude when air temperatures rise above freezing during spring melt and eventually reach a

379   summer maximum (cf. Figs. 3 and 6). During late summer and early autumn all turbulent fluxes

380   rapidly decrease in magnitude when the air temperature decreases and falls below freezing.

381   Based on the seasonal behaviour of the surface fluxes and surface meteorology shown in

382   Figs. 3-6 for the entire field campaign (from 24 June 2016 to 01 May 2017), we sort the data into

383   three categories separated by threshold values deduced from the time series of soil temperature

384   (Fig. 4a) and soil moisture at 5 cm depth (Fig. 4b). We distinguish three soil conditions (see also

385   Figs. 4d and 6d):

386   (i) Dry bare or lightly vegetated soil surfaces, the soil temperature at 5 cm depth > 1°C and the

387   soil moisture at 5 cm depth ≤ 0.07;

388   (ii) Wet bare or lightly vegetated soil surfaces, the soil temperature at 5 cm depth > 1°C and the

389   soil moisture at 5 cm depth > 0.07;

390   (iii) Frozen (snow covered) soils surfaces, the soil temperature at 5 cm depth ≤ 1°C.

17

391   Soil moisture content above is measured as the ratio of the volume of liquid water to soil volume.

392

393   *4.2. SEB at different timescales*

394

395   In this and next sub-sections, we consider, first, the incomplete the energy balance

396   equation, $H_S + H_L$ versus R_{net}; that is, Eq. (1) without the ground heat flux term G since direct

397   measurements of G (by a flux plate) were not available. Further in Sub-section 4.5 we will

398   estimate the soil heat flux G in Eq. (1) based on the available information (soil temperature and

399   moisture, upwelling longwave radiation) to evaluate influence of G for the "complete" SEB

400   closure equation, $H_S + H_L + G$ versus R_{net}, at different temporal scales for the entire dataset and

401   separately for different soil types. Thus, the incomplete SEB closure equation (without the

402   ground heat flux G) is based only on the direct measurements of the surface fluxes H_S, H_L, and

403   R_{net}; whereas, the "complete" SEB closure equation (with G) is based on the direct

404   measurements of H_S, H_L, R_{net} plus modelled G (indirect estimates).

405   The closure of the incomplete SEB during the entire field campaign is shown in Fig. 7.

406   Fig. 7a shows a scatter plot of the sum of the sensible and latent heat fluxes versus the net

407   radiation for half-hourly averaged fluxes. Fig. 7b presents a similar plot based on daily, monthly,

408   and sub-annual (311 days) averages. Note that the daily, weekly, monthly etc. data points in this

409   study are derived from the original half-hourly averaged fluxes averaged over longer time scales.

410   Our direct measurements of SEB in Fig. 7a are roughly consistent with past eddy-covariance

411   results over land showing that the sum of H_S and H_L systematically underestimate the net

412   radiation for $R_{net} > 0$ (generally during daytime convective conditions) and overestimate for

413    $R_{net} < 0$ (generally during nocturnal boundary layer). According to Fig. 7a, the linear regression

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414 forced through the origin for the half-hourly data is $y = 0.77x$ with the correlation coefficient R^2

415 = 0.94 (number of data points, $N = 3624$) in the case $R_{net} > 0$ (dashed magenta line) and the

416 regression is $y = 0.27x$ with $R^2 = 0.41$ and $N = 897$ in the case $R_{net} < 0$ (dotted red line). In total

417 for both positive and negative R_{net} the linear regression forced through the origin is $y = 0.76x$

418 (not shown) with $R^2 = 0.95$ and $N = 4521$.

419   Thus, the SEB imbalance for half-hourly averaged fluxes over the entire WFIP 2 field

420 campaign (from 24 June 2016 to 01 May 2017) is about 24%. This mean imbalance is generally

421 consistent with other efforts to close the SEB (e.g., Foken and Oncley 1995; Wilson et al., 2002;

422 Meyers and Hollinger 2004; Foken et al., 2006; Oncley et al. 2007; Cava et al. 2008; Foken

423 2008; Jacobs et al. 2008; Panin and Bernhofer 2008; Higgins 2012; Leuning et al., 2012; Stoy et

424 al., 2013; Masseroni et al., 2014; Cuxart et al., 2015; Gao et al., 2017a). Despite multiple review

425 papers that discuss the lack of energy closure at half-hourly and hourly averaged timescales, and

426 the myriad of studies devoted to the investigation of the individual factors of the imbalance at

427 these averaging period, the SEB closure at the longer averaging times (from the daily to sub-

428 annual timescales) has not been systematically examined. In recent years, several papers (e.g.,

429 Finnigan et al. 2003; Mauder and Foken, 2006; Leuning et al. 2012; Charuchittipan et al. 2014;

430 Reed et al. 2018) have worked to address this issue, however, there is no consensus on how to

431 improve energy closure.

432   Foken et al. (2006) applied the ogive analysis to the data measured over a maize field of

433 the LITFASS-2003 experiment and was focused mainly on data from three selected days, where

434 the averaging time was extended up to 4 hours. According to Charuchittipan et al. (2014),

435 extension of the averaging time by a few hours does not significantly improve the energy

436 balance. Previous work by Leuning et al. (2012) has shown that energy closure is observed at

19

437   only 8% of flux sites in the La Thuile synthesis dataset (produced after a workshop in La Thuile,

438   Italy, in February, 2007) with half-hourly averages but this increases up to 45% of sites using

439   daily averages. Recent work by Reed et al. (2018) examined the effect of temporal variation in

440   the SEB for different site locations and seasons at annual and sub-annual timescales. Here, we

441   focus on the longer averaging time intervals than in the papers mentioned above; that is,

442   substantially longer daily averages (up to annual timescales).

443   Our data shows that, in general, increasing the averaging time consistently from half-

444   hourly to daily and longer time intervals substantially reduces the SEB imbalance. According to

445   Fig. 7b, the linear regression forced through the origin is $y = 0.85x$ with the correlation

446   coefficient $R^2 = 0.93$ ($N = 243$) in the case of daily averages (green circles and dashed magenta

447   line) and in the case monthly (30-day) averages the regression is $y = 0.91x$ with $R^2 = 0.99$ and N

448     $= 10$ (i.e., ten full months, blue triangles and dotted red line). Moreover, the SEB can be closed

449   for this site within several percent on sub-annual timescale (red star); that is, $< H_S > + < H_L >$

450     $= 1.02 < R_{net} >$ where $< \cdots >$ denotes an averaging operator (the arithmetic mean) for all

451   available half-hourly values of the surface energy fluxes during the entire field campaign (311-

452   day dataset). In this case, $N = 1$ (one data point). Given sufficient data, it would be ideal for these

453   purposes to average half-hourly or hourly data for a full year without large gaps (365-day

454   averaging for a common year).

455   On average, the scatter plots of the daily and monthly averaged data (Fig. 7b) show

456   substantial reduction of the incomplete SEB imbalance as compared with the half-hourly

457   averages. However, imbalances between the turbulent fluxes and the available energy may be

458   worse at daily timescales (cf., Leuning et al., 2012), and in a select number of cases still occur in

459   the monthly averages (cf., Reed et al., 2018), implying some processes extend beyond daily and

20

460   monthly timescales. In the next sub-section, we consider some of the factors leading to these

461   biases.

462

463   *4.3. SEB over different types of soil surfaces*

464

465   In this sub-section we consider the incomplete SEB and the surface energy fluxes over

466   the entire field campaign at half-hour and longer averaging time scales separately for the three

467   soil conditions identified in Section 4.1. Figure 8 shows scatter plot of the turbulent flux terms in

468   Eq. (1) versus R_{net} at half-hourly resolution observed from 24 June 2016 to 01 May 2017 for

469   three different soil surfaces (dry, wet, and frozen). Recall also that the soil heat flux, G, which

470   was not measured, is not used here for the SEB closure analysis (e.g., in Figs. 7 and 8). The

471   turbulent fluxes of the sensible and latent heat shown in Fig. 8a, b increase systematically with

472   increasing net radiation because R_{net} is normally the principal source of energy for daytime

473   warming of the surface and evaporation. We note different slopes of the bin-averaged fluxes

474   (solid lines) for different soil conditions. According to Fig. 8a, the bin-averaged dependence of

475   H_S is generally steeper for drier than for wetter soils, whereas the situation with H_L is obviously

476   opposite; the latent heat flux is small over dry soil surfaces indicating that evaporation is

477   negligible (Fig. 8b). Note also that sensible and latent heat fluxes are comparable over wet soil

478   surfaces (cf. Figs. 8a and 8b) and the Bowen ratio $Bo = H_S/H_L$ averaged around 1 during

479   daytime (not shown). The different behavior of the turbulent fluxes in Fig. 8 for different soil

480   conditions is perhaps due to the different physical properties of the soils. It can be assumed that

481   wet and/or water-saturated soils, which have larger heat storage due to high specific heat

482   capacity and higher thermal conductivity, cause the observed behaviour of H_S and H_L versus

21

483 R_{net} (Fig. 8a and 8b). If the soil surface is frozen, both H_S and H_L are small and highly variable

484 as mentioned earlier (cf. Fig.6).

485 According to the bin-averaged data in Fig. 8c, the sum $H_S + H_L$ is further from closure

486 for wet soils compared to dry soils. The statistical dependence of the turbulent fluxes and R_{net}

487 for frozen soil surfaces appears weak, if not non-existent (Fig. 8c). As mentioned in Section 4.1,

488 during the cold season topsoil temperatures remain close to 0°C and the soil heat flux term in Eq.

489 (1) $G = 0$ for an extended period of time. This isothermal freezing process is referred to as the

490 "zero-curtain" effect (e.g., Grachev et al. 2018). Under such conditions, the latent heat of fusion

491 term must be added to Eq. (1). The nature of this term is associated with the change between the

492 liquid and solid phases, as when water turns to ice. The absence of the latent heat of fusion term

493 in the SEB equation explains why Eq. (1) doesn't work for the case of frozen soil surfaces as

494 shown in Fig. 8c. However, a detailed discussion of the SEB during the zero-curtain period is

495 beyond the scope of this paper.

496 The linear regression forced through the origin (not shown) for the dry soil data shown in

497 Fig. 8c is $y = 0.79x$; that is, the SEB imbalance is 21% in this case. For wet soils over the entire

498 field campaign (Fig. 8c), the linear regression forced through the origin is $y = 0.72x$, implying

499 that there is an imbalance of 28%, which is high but not unusual. The linear regression of Fig. 8c

500 for the frozen (snow covered) soil surfaces is $y = 0.096x$, implying a weak correlation between

501 the turbulent fluxes and the net radiation. Thus, the surface energy balance in the form of Eq. (1)

502 cannot be applied to freezing soil surfaces without the inclusion of a latent heat of fusion term.

503 Our results for the SEB closure over dry and wet soils are consistent with prior studies.

504 According to Roxy et al. (2014), SEB closures were lower when the latent heat fluxes were

505 highest, mainly during wet periods; the mean SEB closure was found to be 0.742 and 0.795 for

22

506   wet and dry seasons, respectively. Cuxart et al. (2015) reported that very large imbalances are

507   related to high values of soil moisture, especially in warm conditions. However, a multi-year

508   analysis of the surface energy balance in a semi-arid savanna ecosystem in South Africa by

509   Majozi et al. (2017) revealed a SEB closure of 0.93±0.11 with the dry season having the highest

510   energy imbalance (0.70) while the mean SEB closure during the wet season was 1.12.

511   Figure 9 shows that increasing the averaging time from half-hourly to daily and longer

512   time intervals substantially reduces the SEB imbalance for each soil type (though with some

513   reservations for the frozen soils). For dry soils in Fig. 9a, the linear regression for daily averages

514   forced through the origin is $y = 0.92x$ and the SEB can be closed within one percent on a

515   seasonal timescale (red six-pointed star). For wet soils (Fig. 9b), the linear regression of daily

516   averages is $y = 0.79x$ and the SEB closure is ≈ 0.95 at a seasonal timescale. For frozen soil

517   surfaces (Fig. 9c), the SEB closure is ≈ 0.06 at daily timescales and ≈ 0.34 at a seasonal

518   timescale.

519   Although, increasing the averaging time from half-hourly (Fig. 8c) to daily and longer

520   time intervals (Fig. 9) consistently reduces the SEB imbalance in general for each specific soil

521   condition, the situation is not so obvious if we compare the SEB imbalance between different

522   soil types. For example, the SEB closure at half-hourly resolution for dry soils (≈ 0.79) may be

523   approximately the same as the SEB closure for wet soils (≈ 0.79) at longer (daily) timescales. In

524   addition, the SEB imbalance may be worse at monthly timescales for wet (≈ 0.86) and frozen ($\approx$

525   0.19) soils compared to daily averages (≈ 0.92) for dry soils. Thus, our results support and clarify

526   previous findings by Leuning et al. (2012) and Reed et al. (2018) where in a select number of

527   cases, increasing the averaging time does not always lead to reduction of the SEB imbalance

528   because SEB closures were compared for different sites or different seasons.

529

530   *4.4. Case studies: the uninterrupted time series ("golden files")*

531

532   In the previous sections, we considered the incomplete SEB imbalance based on

533   measurements over the entire WFIP 2 field campaign (311-day dataset from 24 June 2016 to 01

534   May 2017) with and without separation into the three different soil conditions identified in

535   Section 4.1. However, the original in situ data often contain gaps, from very short (half-hour to

536   few hours) up to relatively long (few weeks, Figs. 3-7). Occasional gaps in the data time series

537   are mainly due to equipment failures, calibrations and maintenance operations or general system

538   breakdown. Data quality assurance/control procedures lead to the rejection of "bad" data,

539   generating additional gaps in the data records. For example, turbulent data were edited for

540   spikes, unfavorable relative wind directions, non-stationarity, etc. Averaging of the fragmented

541   data sets raises issues in the interpretation of the results. Gap-filling techniques are commonly

542   used to estimate the missing data; for example, short gaps in data can be filled by interpolation.

543   However, a gap-filling procedure replaces missing empirical data by simulated synthetic values

544   that can introduce more errors.

545   To overcome these limitations, we consider the longest available uninterrupted time

546   series of relatively good data for each soil condition defined in Section 4.1 ("golden files").

547   Figures 10-12 show continuous monthly (30-day long) records of the SEB components for dry,

548   wet, and frozen soils respectively. From data in Figs. 10-12, the mean incomplete SEB closure

549   (the slope of a linear regression line with forced origin) for half-hourly averaged data is ≈ 0.80

550   for the dry soils ($N = 1405$), ≈ 0.73 for wet soils ($N = 1201$), and ≈ 0.12 for frozen soils ($N =$

551   988). Note that the number of the half-hourly averaged data points in the case of dry (Fig. 10),

24

552   wet (Fig. 11), and frozen (Fig. 12) soils is less than the maximum amount possible for one month

553   (N = 1440 = 48×30) due to quality control. For daily averages the mean incomplete SEB closure

554   is ≈ 1.02, ≈ 0.82, and ≈ 0.22 for dry (Fig. 10), wet (Fig. 11), and frozen (Fig. 12) soils

555   respectively (N = 30 for each soil type). Thus, the incomplete SEB can be closed for the dry soil

556   within about two percent on a daily timescale for "golden files" data in Fig. 10. Further, the mean

557   incomplete SEB closure based on the one-month averages for the data in Figs. 10-12 are ≈ 1.06

558   (dry soil), ≈ 0.86 (wet soil), and ≈ 0.19 (frozen soil). Thus, the results based on the almost

559   uninterrupted 30-day time series of fluxes ("golden files", Figs. 10-12) support our previous

560   findings derived from the data collected during the entire 311-day field campaign (gapped time

561   series). That is: (i) increasing the averaging time from half-hourly to daily and monthly intervals

562   generally reduces the incomplete SEB imbalance for specific type of soil; and (ii) the incomplete

563   SEB closure is lower for the wet soils compared to dry soils (e.g., 0.73 vs. 0.80 respectively at

564   half-hourly resolution), while closure is only ≈ 12% for frozen soils, apparently due to the lack of

565   a latent heat of fusion term in (1). One can estimate the missing latent heat of fusion term in (1)

566   should be ≈ 0.8-0.9 of R_{net} to close the SEB during the cold season.

567   As expected, the SEB flux components and the topsoil temperature difference series have

568   a pronounced diurnal cycle (rising and falling patterns) for both dry and wet soils (Figs. 10 and

569   11), whereas for frozen soils (Fig. 12) such a cycle is much less evident (with some exceptions of

570   the net radiation, Fig. 12a). On the diurnal time scale, variations in the turbulent heat fluxes are

571   dominantly forced by daily rhythms in the incoming solar radiation, which also drives changes in

572   the air and soil temperatures. Furthermore, diurnal variations of the residual energy are also

573   observed for both dry (Fig. 10c) and wet (Fig. 11c) soils. According to Figs. 10c and 11c, the

574   daily patterns of the residual energy are characterised by positive values during the first part of

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575   the day and by a sign reversal in the second half of the day. Thus, the observed daily patterns of

576   the residual energy (Figs. 10c and 11c) confirm that the SEB is more easily balanced at daily

577   averaging timescales than half-hourly because of the cancellation of energy residuals of opposite

578   sign. The diurnal variations of the residual energy reported here (Figs. 10c and 11c) are generally

579   in good agreement with previously published results (e.g., Lamaud et al. 2001; Cava et al. 2008;

580   Higgins 2012). The difference of the soil temperature between 10 cm and 5 cm levels for dry,

581   wet, and frozen soils is shown in Figs. 10d, 11d, and 12d respectively. This temperature

582   difference is ultimately related to the ground heat flux G through Eq. (8). In general, the diurnal

583   cycle of solar radiation modulates a sinusoidal variation of the temperature difference in top soil

584   layer (Figs. 10d and 11d) and, therefore, the ground surface heat flux and diurnal temperature

585   waves. Thereby, much of the energy that enters the soil during the day returns to the atmosphere

586   at night through terrestrial longwave radiation. For this reason, G is often the smallest component

587   of the daily surface energy balance (solid blue lines in Figs. 10d and 11d). The near-zero

588   temperature difference in the top soil layer during the freezing period (Fig. 12d) is associated

589   with near isothermal temperatures at or around 0°C (zero soil heat flux) and the "zero-curtain"

590   effect as discussed in Sub-section 4.1 earlier.

591   Figure 13 summarizes the incomplete SEB closure equation (without the ground heat flux

592   G) at different temporal scales discussed in Sub-sections 4.2-4.4. The red symbols and lines in

593   Fig. 13 represent all measurements. The SEB imbalance categorized by soil condition are shown

594   in Fig. 13 as green symbols and green dash line (dry soils), blue symbols and blue dash line (wet

595   soils), and cyan symbols and cyan dash line (frozen soils), respectively. The averaged SEB

596   values plotted on this graph for three different soil types are derived from the entire 311-day field

597   campaign except the monthly averages that are based on the uninterrupted 30-day time series for

598   each type of soil shown in Figs. 10-12 ("golden files"). Averaging operator $< \cdots >$ in Fig. 13

599   denotes the arithmetic mean of the all available original 30-min surface energy fluxes through

600   temporal averaging for a specific timescale (daily, weekly, monthly, seasonal and sub-annual).

601   Figure 13 is the visual representation of our primary findings; that is, increasing the averaging

602   time consistently from half-hourly to longer time intervals substantially reduces the SEB

603   imbalance and the closure is lower for the wet soils as compared to dry soils whereas the SEB

604   closure for frozen soils is very poor, if not non-existent.

605

606   *4.5. Soil heat flux evaluation at different temporal scales*

607

608   The purpose of this study is not to investigate closure of the SEB at standard half-hourly

609   time scales (or forcing SEB closure based on the direct measurements of all SEB components

610   mentioned in Section 2). Instead, in this study we evaluate SEB closure over a range of

611   timescales from half-hourly to daily, monthly, seasonal and even sub-annual averaged timescales

612   based on the available direct measured fluxes H_S, H_L, and R_{net}. In other words, we consider the

613   SEB closure at long time intervals (up to annual timescales) through temporal averaging of the

614   original 30-min data. These time intervals are substantially longer daily averages than those

615   considered in many previous studies (see Section 4.2). In this section we consider estimates of

616   the soil heat flux G in (1) and its impact on the SEB closure at different temporal scales.

617   As mentioned earlier, we do not have direct measurements of the soil heat flux during the

618   WFIP 2 field campaign. Moreover, directly measuring G is a challenging problem in general.

619   Thus, there are numerous approaches to compute the soil heat flux, that use different input data

620   from in situ measurements (e.g., see Liebethal et al. 2005; Liebethal and Foken 2007; Gao et al.

621   2017b; Yang and Wang 2008 and references therein). Different methods for evaluating G can use

622   various in situ measurements such as soil temperature, soil moisture, net radiation, etc. In

623   addition, some parameterization approaches can be suitable for different times of the day. The

624   most common approach for determining G is the calorimetric method (e.g., Liebethal et al.

625   2005). Some other approaches proposed G as a simple fraction (linear or nonlinear function) of

626   the net radiation (e.g., Gao et al. 2017b and references therein). Liebethal and Foken (2007)

627   reviewed six different parameterization approaches for G and compared those with the

628   calorimetric method. In a later study, Gao et al. (2017b) evaluated nine different methods for

629   calculating the soil heat flux at the surface ($z = 0$) by comparing the calculated and measured

630   soil heat flux at 5 cm depth. In this section, we use a traditional calorimetric approach for

631   determining G at the interface (e.g., Liebethal and Foken 2007, Section 3.1; Gao et al. 2017b,

632   Section 2.1), which combines the flux measurements (by a flux plate) or estimations (from Eq.

633   (8)) at some reference depth Δz below the surface and the calorimetry (estimation of the change

634   in heat storage in the layer between the surface and the depth Δz). Recall that in the current

635   study, we consider the SEB closure at time intervals substantially longer than daily averages, and

636   the soil heat flux is relatively small and, therefore, less important as compared with the turbulent

637   and radiative surface fluxes at these scales. However, we estimated G based on the available

638   information to evaluate the influence of G at different temporal scales.

639   Here, we use measurements of soil temperature and moisture to estimate G in (1) based

640   on the Fourier's Law of Heat Conduction (8). We can integrate (8) from the interface down to

641   depth Δz, and relate the flux to the difference in the temperature at the interface to the

642   temperature at depth Δz. Near the surface we can linearly approximate $T(z)$, so according to the

643   calorimetric method

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644
$$G = \frac{\lambda}{\Delta z}[\theta_0 - T_S(\Delta z)] + \frac{1}{2}\rho_S c_{pS}\frac{\partial T_S}{\partial t}\Delta z \qquad (9)$$

645   where ρ_S is the soil density and c_{pS} is the soil specific heat, T_S is the soil moisture measured at 5

646   cm depth; that is $\Delta z = 5$ cm, and $\theta_0 = T_S(0)$ is the surface (skin) temperature estimated from the

647   upwelling (outgoing) longwave radiation, LW_{up}, measurements. Subscripts 'zero' and 'S' in (9)

648   denote surface (a value at the interface $z = 0$) and soil reference height values respectively.

649   Based on our data for the entire experimental period, we estimated the thermal conductivity of

650   the soil λ [W m^{-1} K^{-1}] via

651
$$\lambda = 0.180 + 1.09Q_{S5} \qquad (10)$$

652   where Q_{S5} [vol/vol] is the soil moisture measured at 5 cm depth. Our estimation (10) is

653   consistent with the coefficients for bare soil from Yao et al (2015) model. We have chosen type

654   'GRA' (Yao et al. 2015, their Table 1), which includes grassland and barren or sparsely vegetated

655   soil (soil at the PS01 tower site is essentially a plowed fallow field with little vegetation). Note

656   that due to the different the thermal conductivity and heat capacity of the soil, the soil heat flux G

657   of wet soil is significant larger than of dry soil (see Eqs. (9) and (10)).

658   The results of the SEB closure computations without and with the soil heat flux G in Eq.

659   (1) at different temporal scales for the entire dataset and separately for dry and wet soils are

660   summarized in Table 1. The SEB closure without G (ratio of $H_S + H_L$ to R_{net}) is based only on

661   the direct measurements of the surface fluxes and the data presented in Table 1 correspond to

662   values plotted in Fig. 13; that is, the red symbols for entire dataset, the green symbols for dry

663   soils, and the blue symbols for wet soils, respectively. The SEB closure with G (ratio of $H_S +$

664    $H_L + G$ to R_{net} in Table 1) is based on the direct measurements of H_S, H_L, R_{net} and G modelled

665   by Eq. (9). The case of frozen soil surfaces was not included in Table 1 because the freezing

666   period is associated with zero soil heat flux, $G = 0$, (the "zero-curtain" effect as discussed in

29

667   Sub-section 4.1 earlier) and, thus, there is no difference in the SEB closure with and without the

668   soil heat flux G. As expected, taking the soil heat flux into account in the SEB equation

669   substantially reduces the SEB imbalance at the half-hourly time scales. However, the importance

670   of this correction decreases with increasing averaging time from half-hourly to longer intervals

671   (i.e., to daily, monthly, and sub-annual timescales), because energy locally entering the soil in

672   the morning is released in the afternoon and evening; that is, because of the cancellation of the

673   soil heat flux (and other storage terms) of opposite sign. Table 1 also shows that, at all averaging

674   timescales, the SEB closure with G (as well as without G) is lower for wet soils compared to dry

675   soils.

676

677   **5. Concluding Remarks: Summary and Discussion**

678

679   In this study, we present an analysis of the surface energy budget (SEB) based on field

680   observations from the Columbia River Gorge in areas of complex terrain near Wasco, Oregon,

681   during a 10-month long portion of the WFIP 2 field campaign (e.g., Bianco et al. 2019; Olson et

682   al. 2019; Shaw et al. 2019; Wilczak et al. 2019). Measurements of half-hourly averaged turbulent

683   and radiative fluxes, surface meteorology, and basic soil parameters made at the PS01 site (Figs.

684   1 and 2) are used to examine the SEB closure (1) for the entire dataset and separately for

685   different soil conditions (dry, wet, and frozen) at various timescales (from half-hourly to sub-

686   annual averages). Note that similar analysis of energy closure variation over different temporal

687   scales in the literature is rare.

688   We considered (i) the incomplete the energy balance equation, $H_S + H_L$ versus R_{net}; i.e.,

689   Eq. (1) without the ground heat flux term G since direct measurements of G were not available

690   (Sub-sections 4.2 and 4.3), and the "complete" SEB closure equation, $H_S + H_L + G$ versus R_{net}

691   (Sub-sections 4.5). The incomplete SEB closure equation (without the ground heat flux G) is

692   based only on the direct measurements of the surface fluxes H_S, H_L, and R_{net}; whereas, the

693   "complete" SEB closure equation (with G) is based on the direct measurements of H_S, H_L, R_{net}

694   plus modelled G (indirect estimates based on Eq. (9)). We estimated the soil heat flux G in Eq.

695   (1) based on the available information (soil temperature and moisture, upwelling longwave

696   radiation) to evaluate influence of G at different temporal scales for the entire dataset and

697   separately for dry and wet soils (Sub-section 4.5 and Table 1). Including the estimates of the soil

698   heat flux G in the SEB closure equation substantially reduces the SEB imbalance, $H_S + H_L + G$

699   versus R_{net}, for each specific soil condition as compared to the incomplete the energy balance

700   equation, $H_S + H_L$ versus R_{net} especially at half-hourly averaged timescales (Table 1). However,

701   the importance of the soil heat flux decreases at the longer averaging times (from the daily to

702   sub-annual timescales). In addition, to support our findings derived from the gapped time series

703   collected during the entire 311-day field campaign (Sub-sections 4.2 and 4.3), we use the longest

704   available uninterrupted (almost without gaps) 30-day time series of relatively good data ("golden

705   files") for each type of soils (Sub-section 4.4) to overcome limitations associated with the gapped

706   series.

707   Our estimates of the complete and incomplete SEB closure equation are generally

708   consistent with past eddy-covariance results over land showing that at half-hourly resolution the

709   sum of turbulent fluxes of sensible and latent heat typically under balance the positive net

710   radiation by about 20-30% during daytime and overestimate negative net radiation at night (Fig.

711   7a). We note that the SEB-imbalance is a longstanding issue in micro-meteorology. However,

712   increasing the averaging time from half-hourly to longer intervals (i.e., to daily, monthly, and

31

713   sub-annual timescales) substantially reduces the SEB imbalance (Fig. 7b) and, additionally, the

714   longer averaging times can reduce the influence of instrumental measurement errors (e.g.

715   improper calibration) and sampling uncertainties. We find the SEB can be closed for this site

716   within reasonable limits on seasonal and sub-annual timescales (311-day averaging for the entire

717   field campaign dataset).

718   At all averaging timescales, the SEB closure was lower for wet soils compared to dry

719   soils while closure for frozen soils appears weak, if not non-existent (Fig. 13), likely due to the

720   lack of a latent heat of fusion term in the SEB equation (1). However, the latent heat of fusion

721   term is not significant at annual (or sub-annual) timescales because, on average, heat absorbed

722   from the atmosphere during the spring thaw is subsequently released during the fall freeze. This

723   is a temporal redistribution of energy in the top soil layer due to the water/ice-phase transition of

724   the annual freeze–thaw cycle. The SEB can be closed for dry soil within about two percent on a

725   seasonal timescale (81-day) over the entire dataset (Fig. 9a, Fig. 13, and Table 1) and even on a

726   daily timescale for month-long uninterrupted data records ("golden files", Fig. 10).

727   As mentioned earlier, the purpose of this study is not closure of the SEB at half-hourly

728   time scales, but rather, to evaluate SEB closure over a range of timescales. The problem of

729   surface energy imbalance and the individual factors (e.g., storage terms) that lead to the

730   imbalance at half-hourly and hourly time scales has been widely investigated in many studies

731   (e.g., references in Section 2). Here, we analyze and discuss the balance between net radiation

732   and turbulent sensible and latent heat fluxes. Direct measurements of the soil heat flux (by a flux

733   plate) were not available, which may be seen as a disadvantage, but in many numerical climate

734   models only the net radiation and sensible/latent heat fluxes are used to determine the surface

735   temperature. Thus, SEB closure for these fluxes (Fig. 13) is important. Nevertheless, complete

736 closure is possible at half-hourly and hourly averaged time scales by accurate determination of

737 all SEB components (turbulent, radiative, ground heat fluxes and storage energy terms) and

738 careful attention to all sources of measurement and data processing errors (e.g., Lamaud et al.

739 2001; Jacobs et al. 2008; Leuning et al. 2012).

740

741

742 **Acknowledgements**

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753 **References**

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Table 1

The SEB closure without and with the soil heat flux G in Eq. (1) at different temporal scales for the entire dataset and separately for dry and wet soils. Soil physical composition: silt (73%), sand (14%), and clay (13%).

937

Averaging timescales	$(H_S + H_L)/R_{net}$			$(H_S + H_L + G)/R_{net}$		
	Entire	Dry	Wet	Entire	Dry	Wet
Half-hourly	0.763	0.786	0.715	0.946	0.983	0.922
Daily	0.852	0.915	0.794	0.948	1.000	0.908
Weekly	0.908	0.939	0.883	0.980	0.998	0.967
Monthly	0.906	1.057	0.859	0.956	0.9828	0.954
Seasonal	0.989	0.9987	0.948	1.001	1.018	0.965
Sub-annual (311 days)	1.020	N/A	N/A	0.953	N/A	N/A

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Figure Captions

942

943 Fig. 1. Maps showing the WFIP 2 study area located along the Columbia River Gorge in eastern

944 Oregon and Washington states.

945

946 Fig. 2. View of the flux tower and instruments at the WFIP 2 Physics site PS01 during late

947 summer conditions (15 September 2016).

948

949 Fig. 3. Time series of (*a*) wind speed, (*b*) true wind direction, (*c*) air temperature, and (*d*) relative

950 humidity based on the half-hourly raw data for year days 176–487 (24 June 2016-01 May 2017)

951 observed at Columbia River Gorge, OR during WFIP 2 Project (Physics site PS01).

952

953 Fig. 4. Time series of (*a*) soil temperature and (*b*) soil moisture observed at the WFIP 2 Physics

954 site PS01, (*c*) rain rate observed near the Wasco State Airport, OR (WCO), and (*d*) soil types for

955 year days 176–487 (24 June 2016-01 May 2017). The data are based on half-hour averaging.

956

957 Fig. 5. Time series of (*a*) short-wave (SW) downwelling and upwelling radiation, (*b*) long-wave

958 (LW) downwelling and upwelling radiation, (*c*) SW balance, LW balance, and net radiation, and

959 (*d*) albedo for year days 176–487 (24 June 2016-01 May 2017) observed at Columbia River

960 Gorge, OR during WFIP 2 Project. The data are based on half-hour and daily averaging.

961

962 Fig. 6. Time series of (*a*) friction velocity observed at 3 and 10 m, (*b*) sensible heat flux observed

963 at 3 and 10 m, (*c*) latent heat (water vapor) flux, and (*d*) soil types for year days 176–487 (24

964   June 2016-01 May 2017) observed at Columbia River Gorge, OR during WFIP 2 Project. The

965   data are based on half-hour averaging.

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967   Fig. 7. Scatter plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net

968   radiation R_{net} based on (*a*) the half-hourly averaged (symbols) and the bin-averaged (solid line)

969   data and (*b*) the daily, monthly and 311-day (the entire dataset) averaged fluxes for the entire

970   dataset collected at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487

971   (24 June 2016-01 May 2017).

972

973   Fig. 8. Plots of the bin-averaged (solid lines) and 0.5-hr averaged (symbols) turbulent fluxes

974   (eddy-covariance) of (*a*) sensible heat H_S, (*b*) latent heat H_L, and (c) the sum $H_S + H_L$ (SEB

975   turbulent flux components) versus the net radiation R_{net} for dry, wet, and frozen soils observed

976   at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487 (24 June 2016-

977   01 May 2017).

978

979   Fig. 9. Plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net radiation

980   R_{net} separately for (*a*) dry, (*b*) wet, and (*c*) frozen (snow covered) soils based on daily averaged

981   fluxes and entire dataset averaging (81, 92, and 58-day averaging in each case respectively).

982

983   Fig. 10. One-month (30-day) time series of (*a*) the net radiation R_{net}, (*b*) the sum of the sensible

984   and latent heat fluxes $H_S + H_L$, (*c*) the residual energy $R_{net} - H_S - H_L$ and (*d*) difference of the

985   soil temperature between 10 cm and 5 cm levels for dry soils observed at the WFIP 2 Physics site

986   PS01 during year days 240–270 (27 August-26 September 2016). The data are based on half-

987   hour and daily averaging.

988

989   Fig. 11. Same as Fig. 10 but for wet soils observed at the WFIP 2 Physics site PS01 during year

990   days 450–480 (25 March-24 April 2017). The data are based on half-hour and daily averaging.

991

992   Fig. 12. Same as Fig. 10 but for frozen (snow covered) soils observed at the WFIP 2 Physics site

993   PS01 during year days 395–425 (29 January-28 February 2017). The data are based on half-hour

994   and daily averaging.

995

996   Fig. 13. The incomplete SEB closure equation (without the ground heat flux G) at different

997   temporal scales: ratio of turbulent energy fluxes $H_S + H_L$ to net radiation R_{net} for the entire

998   dataset (red solid line and red symbols) and separately for different soil types (dry, wet, and

999   frozen) plotted versus averaging time based on the data collected at Columbia River Gorge, OR

1000   during WFIP 2 Project.

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Fig. 1. Maps showing the WFIP 2 study area located along the Columbia River Gorge in eastern Oregon and Washington states.

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1014 **Fig. 2.** View of the flux tower and instruments at the WFIP 2 Physics site PS01 during late

1015 summer conditions (15 September 2016).

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1017
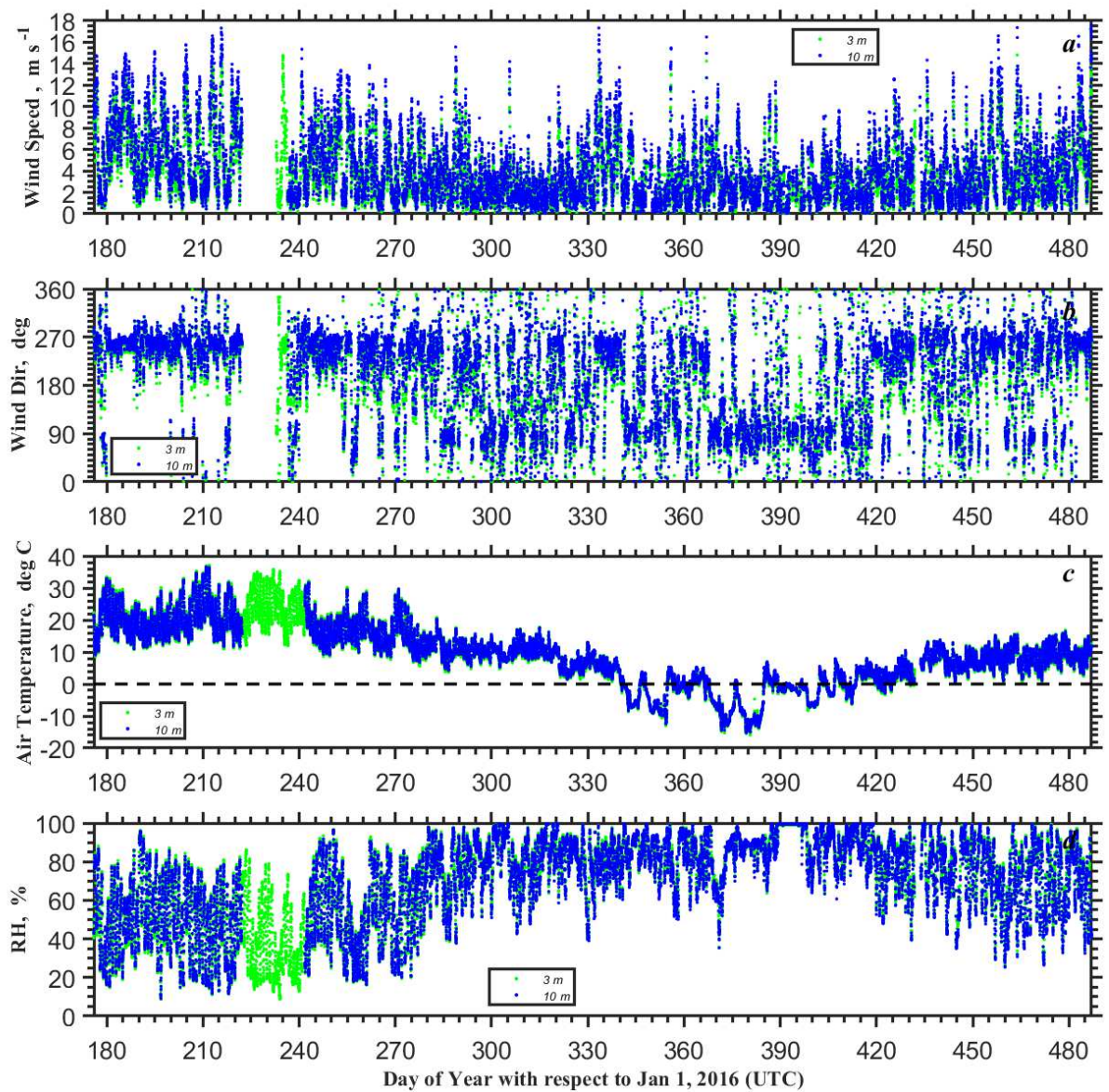
Fig. 3. Time series of (*a*) wind speed, (*b*) true wind direction, (*c*) air temperature, and (*d*) relative

humidity based on the half-hourly raw data for year days 176–487 (24 June 2016-01 May 2017)

observed at Columbia River Gorge, OR during WFIP 2 Project (Physics site PS01).

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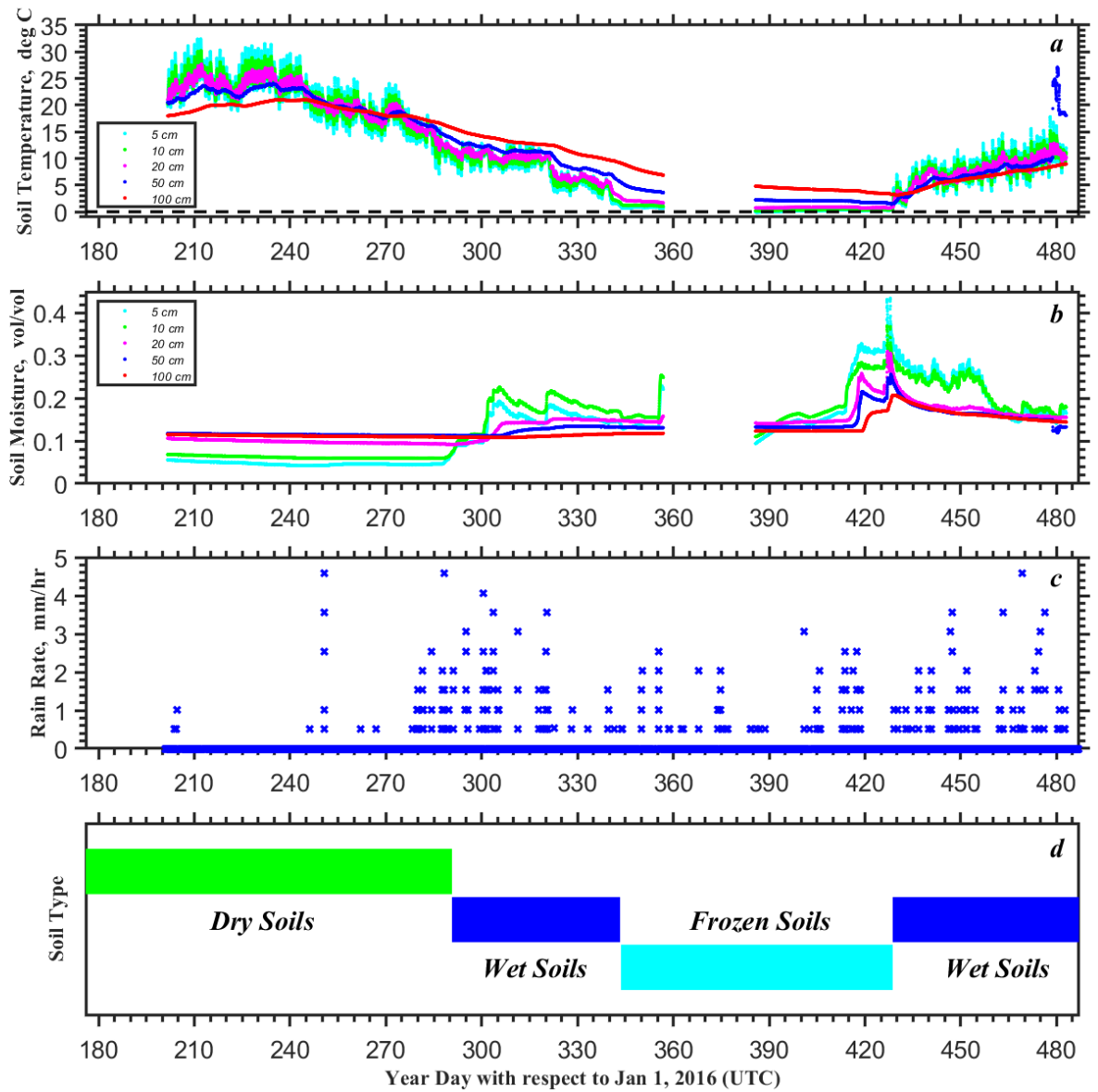
Fig. 4. Time series of (*a*) soil temperature and (*b*) soil moisture observed at the WFIP 2 Physics site PS01, (*c*) rain rate observed near the Wasco State Airport, OR (WCO), and (*d*) soil types for year days 176–487 (24 June 2016-01 May 2017). The data are based on half-hour averaging.

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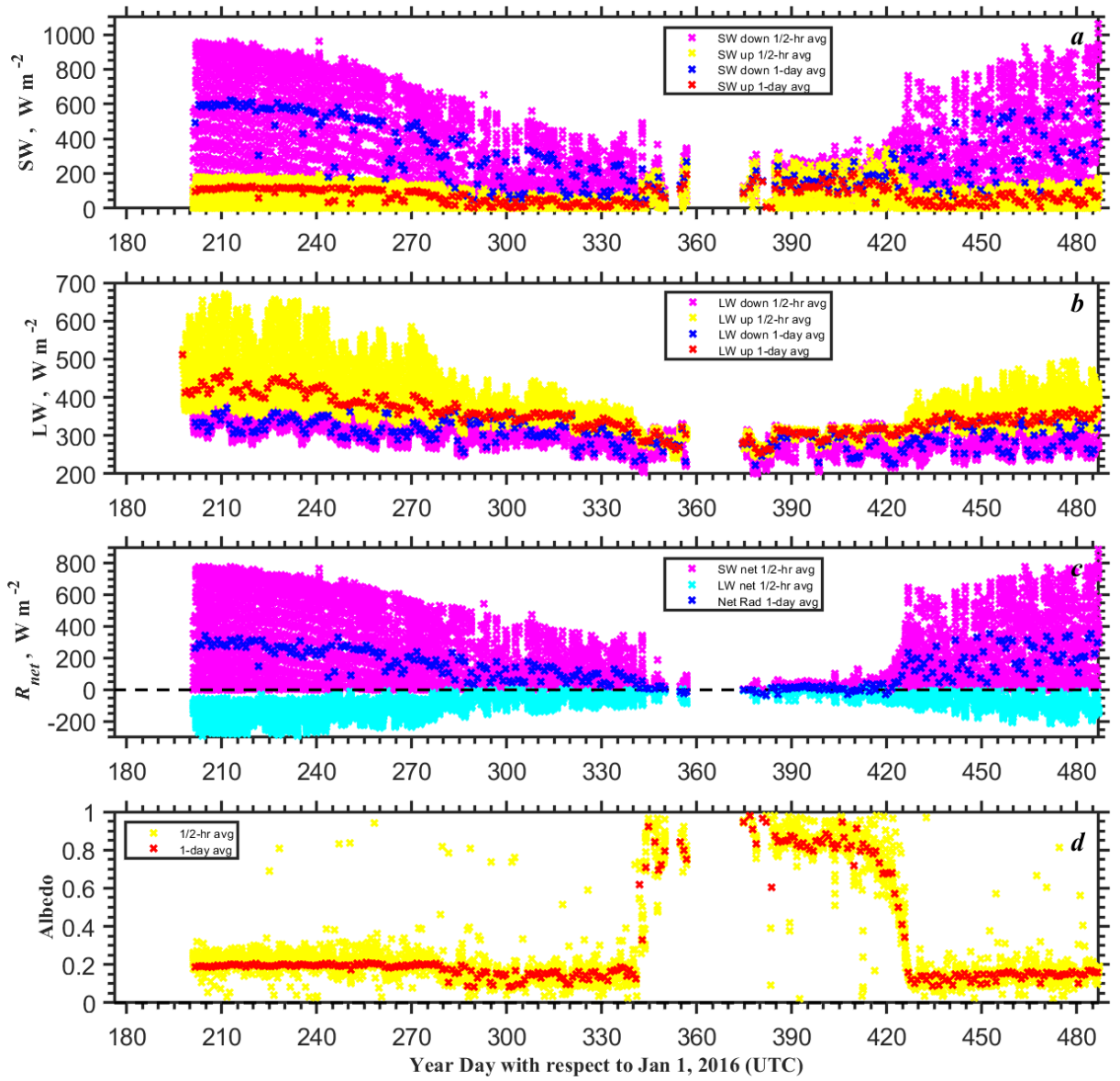
Fig. 5. Time series of (*a*) short-wave (SW) downwelling and upwelling radiation, (*b*) long-wave (LW) downwelling and upwelling radiation, (*c*) SW balance, LW balance, and net radiation, and (*d*) albedo for year days 176–487 (24 June 2016-01 May 2017) observed at Columbia River Gorge, OR during WFIP 2 Project. The data are based on half-hour and daily averaging.

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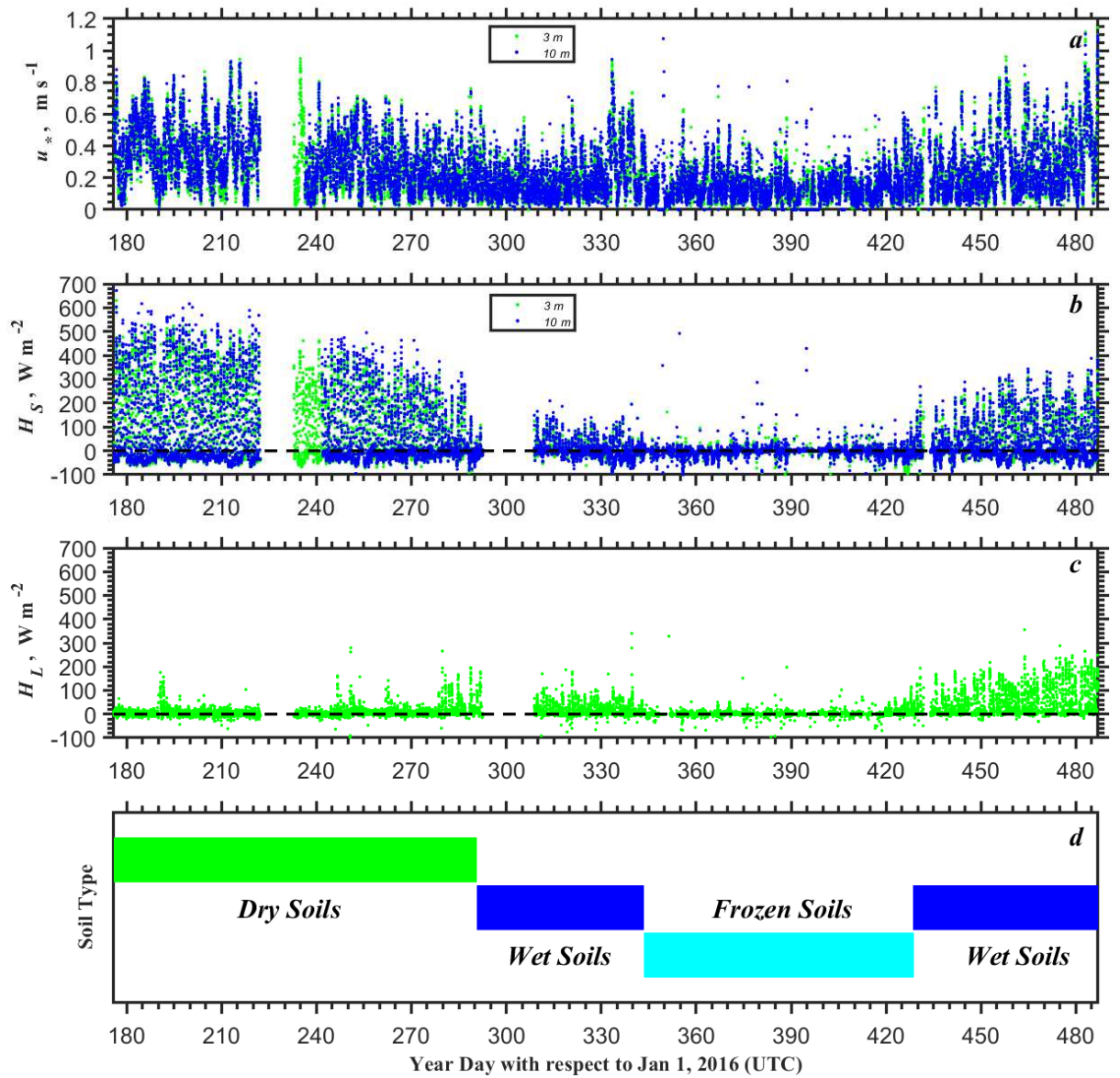
Fig. 6. Time series of (*a*) friction velocity observed at 3 and 10 m, (*b*) sensible heat flux

observed at 3 and 10 m, (*c*) latent heat (water vapor) flux, and (*d*) soil types for year days 176–

487 (24 June 2016-01 May 2017) observed at Columbia River Gorge, OR during WFIP 2

Project. The data are based on half-hour averaging.
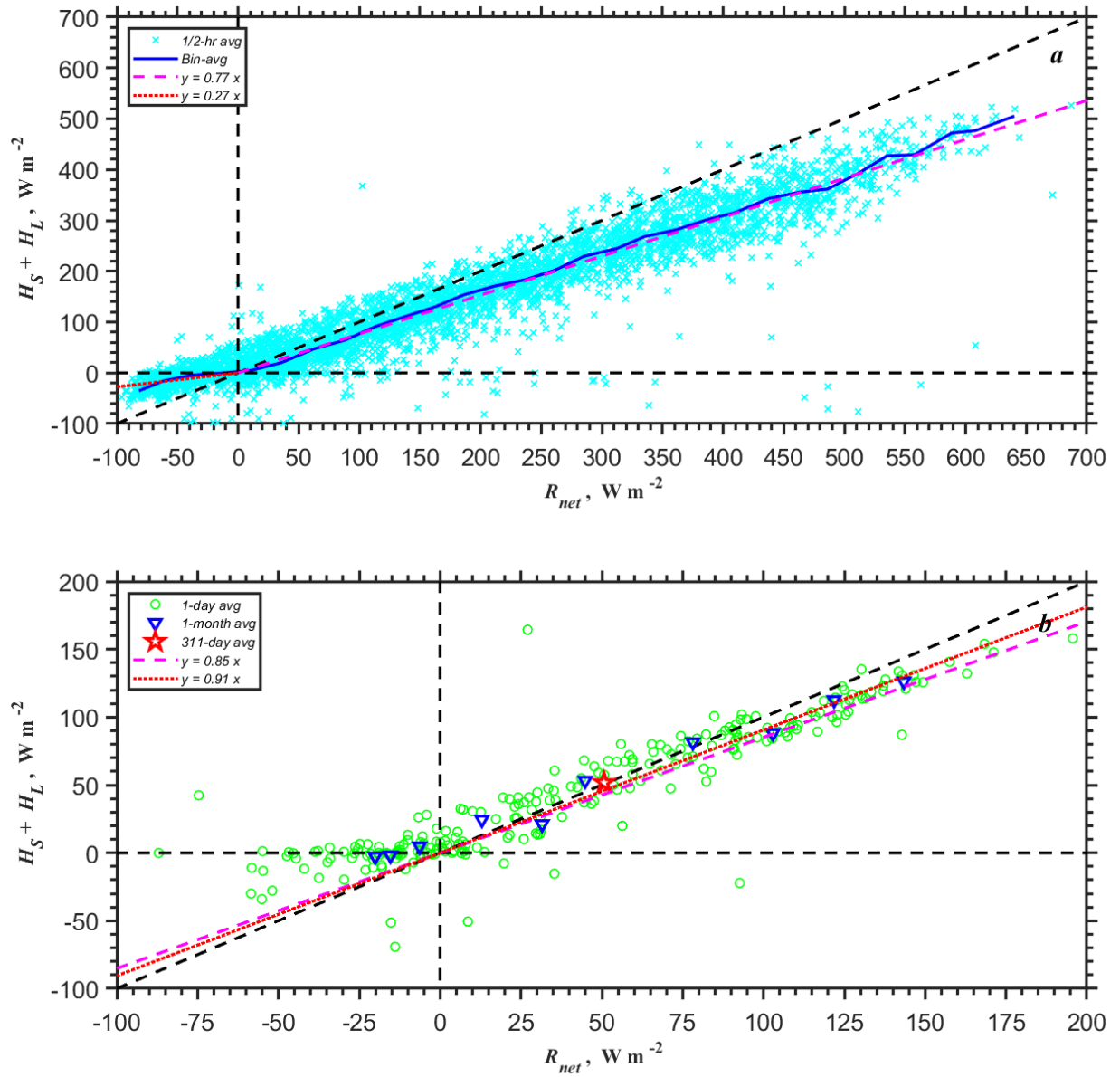
Fig. 7. Scatter plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net radiation R_{net} based on (*a*) the half-hourly averaged (symbols) and the bin-averaged (solid line) data and (*b*) the daily, monthly and 311-day (the entire dataset) averaged fluxes for the entire dataset collected at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487 (24 June 2016-01 May 2017).
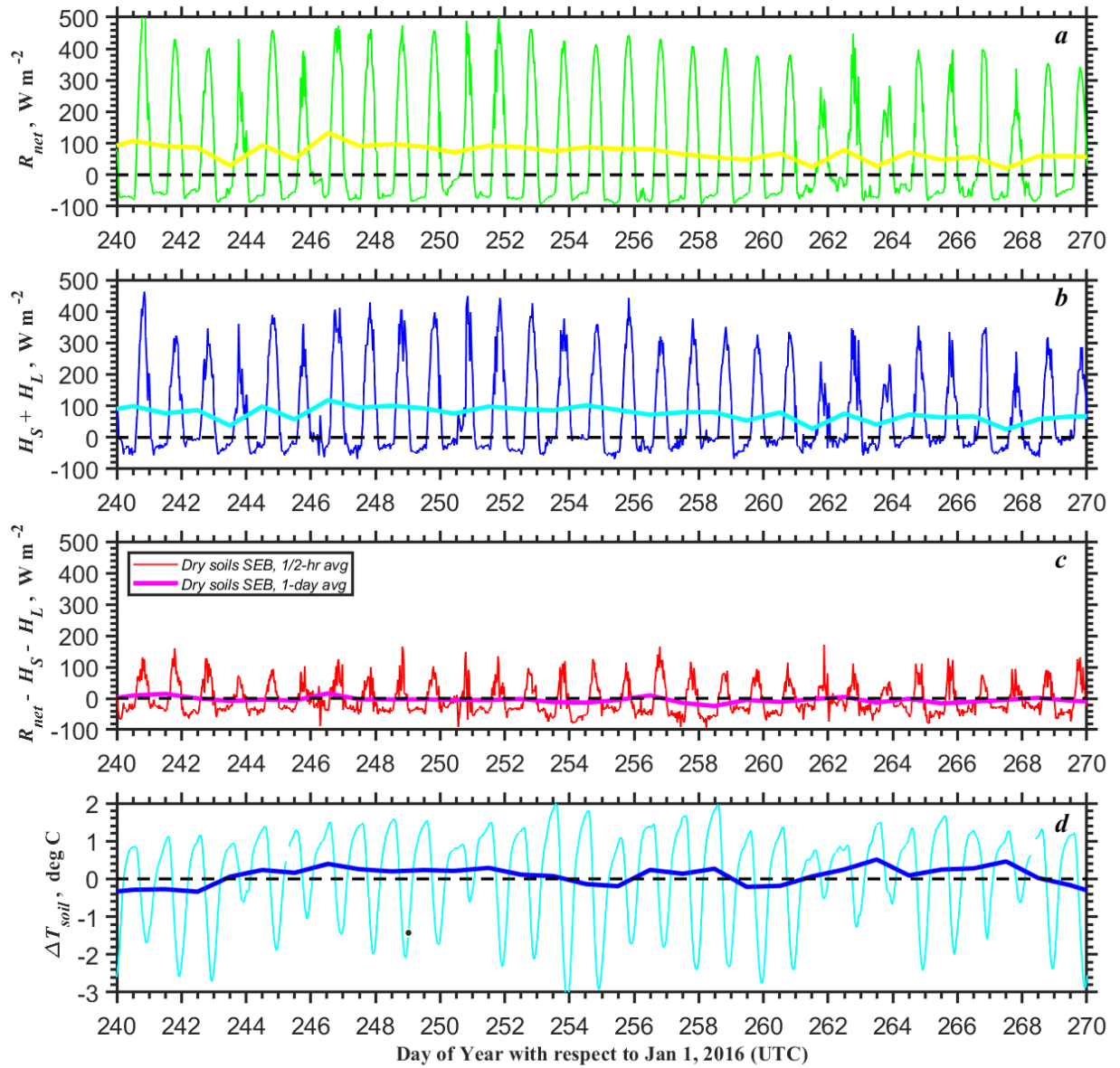
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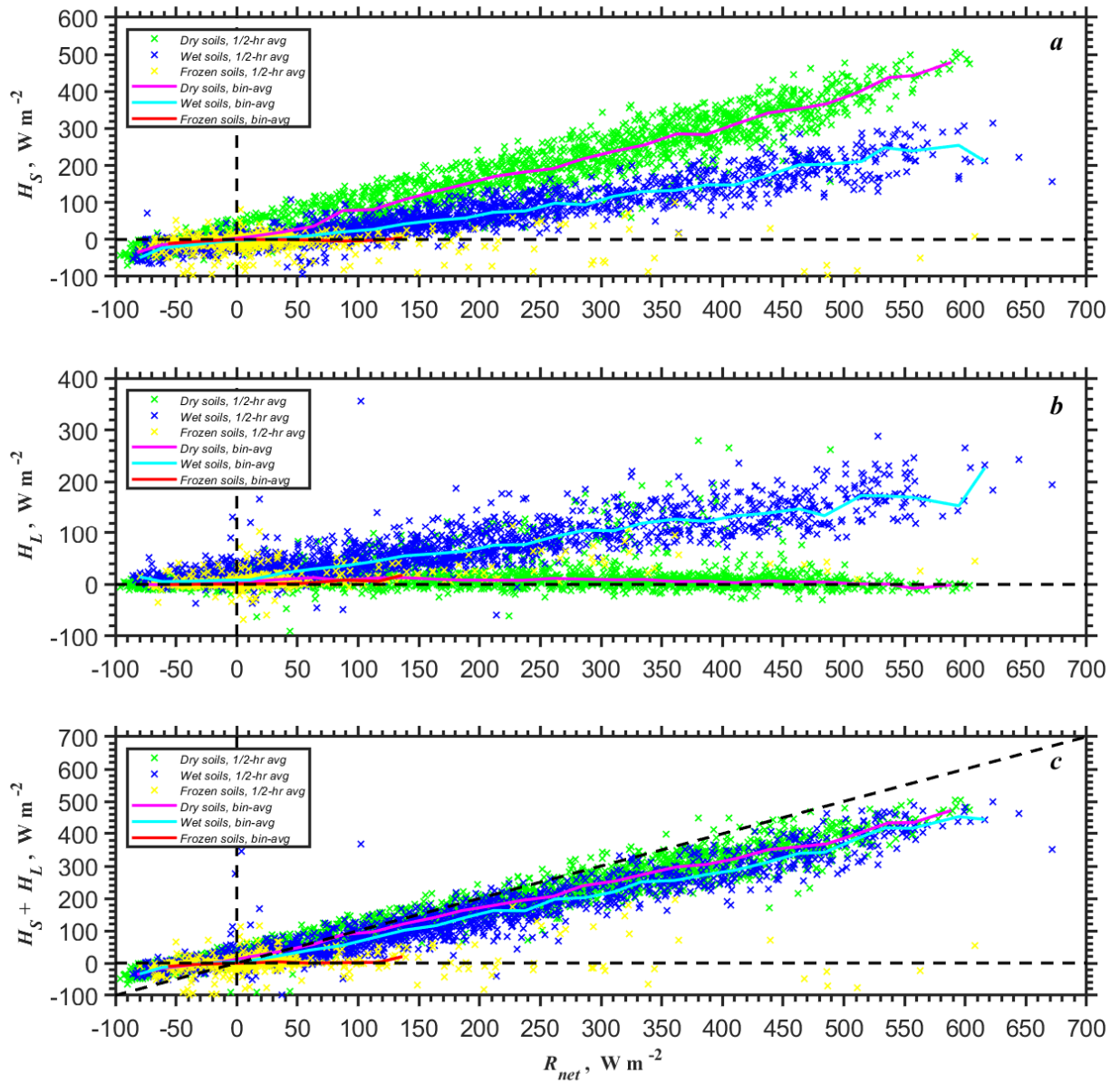
Fig. 8. Plots of the bin-averaged (solid lines) and 0.5-hr averaged (symbols) turbulent fluxes (eddy-covariance) of (*a*) sensible heat H_S, (*b*) latent heat H_L, and (c) the sum $H_S + H_L$ (SEB turbulent flux components) versus the net radiation R_{net} for dry, wet, and frozen soils observed at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487 (24 June 2016-01 May 2017).
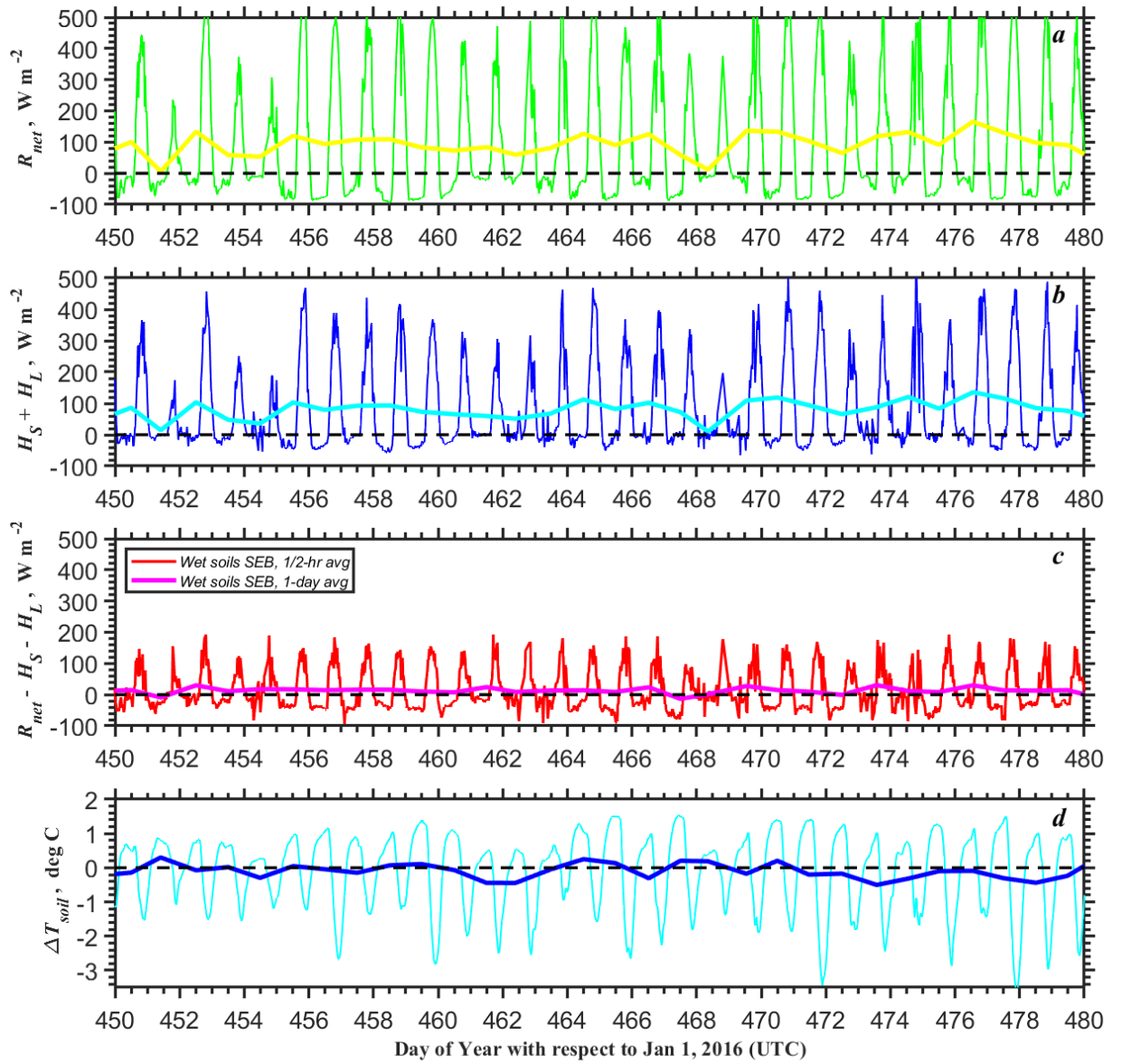
Fig. 9. Plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net radiation R_{net} separately for (*a*) dry, (*b*) wet, and (*c*) frozen (snow covered) soils based on daily averaged fluxes and entire dataset averaging (81, 92, and 58-day averaging in each case respectively).

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Fig. 10. One-month (30-day) time series of (*a*) the net radiation R_{net}, (*b*) the sum of the sensible and latent heat fluxes $H_S + H_L$, (*c*) the residual energy $R_{net} - H_S - H_L$ and (*d*) difference of the soil temperature between 10 cm and 5 cm levels for dry soils observed at the WFIP 2 Physics site PS01 during year days 240–270 (27 August-26 September 2016). The data are based on half-hour and daily averaging.

Fig. 11. Same as Fig. 10 but for wet soils observed at the WFIP 2 Physics site PS01 during year days 450–480 (25 March-24 April 2017). The data are based on half-hour and daily averaging.
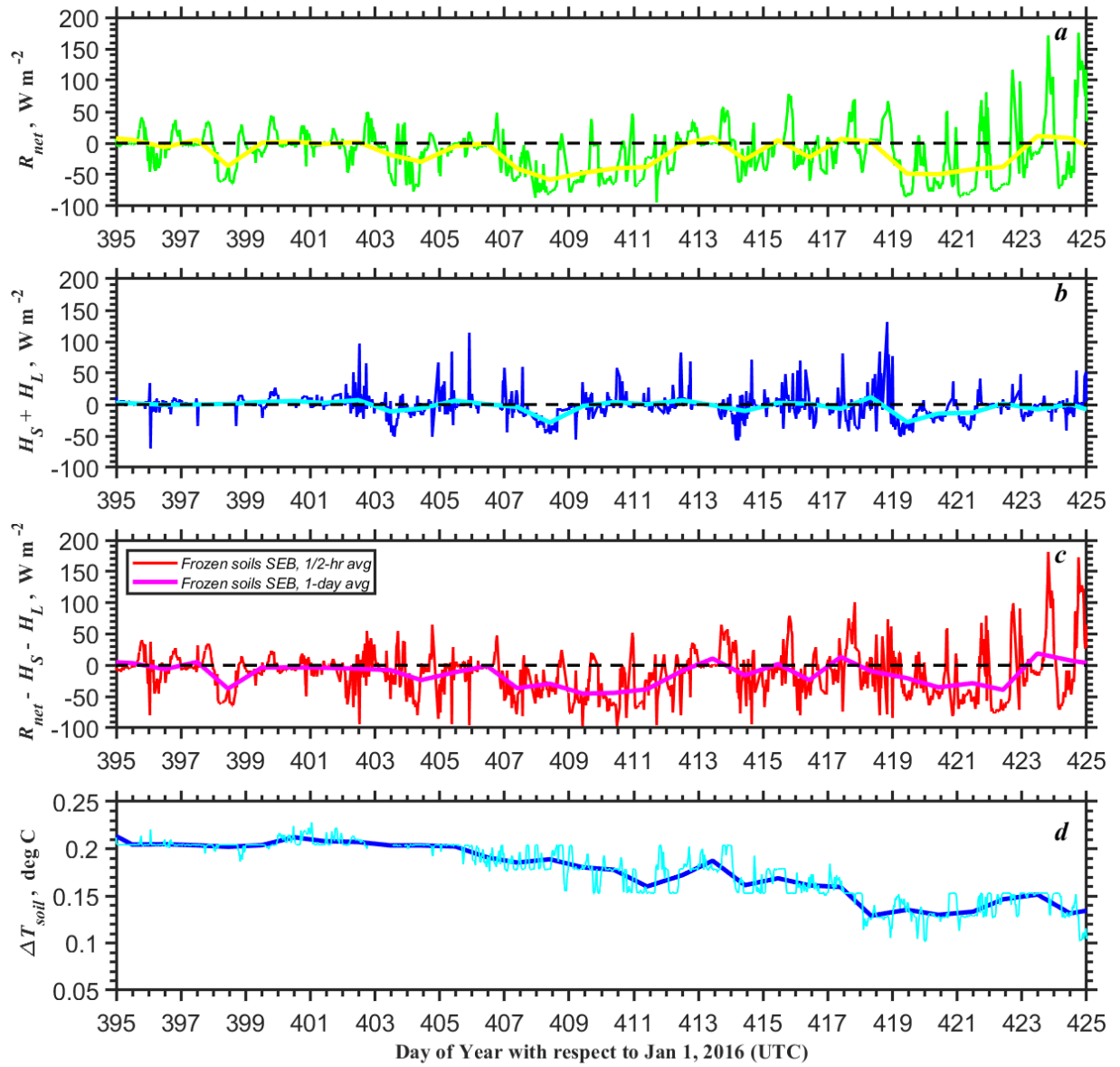
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1065   **Fig. 12.** Same as Fig. 10 but for frozen (snow covered) soils observed at the WFIP 2 Physics site

1066   PS01 during year days 395–425 (29 January-28 February 2017). The data are based on half-hour

1067   and daily averaging.

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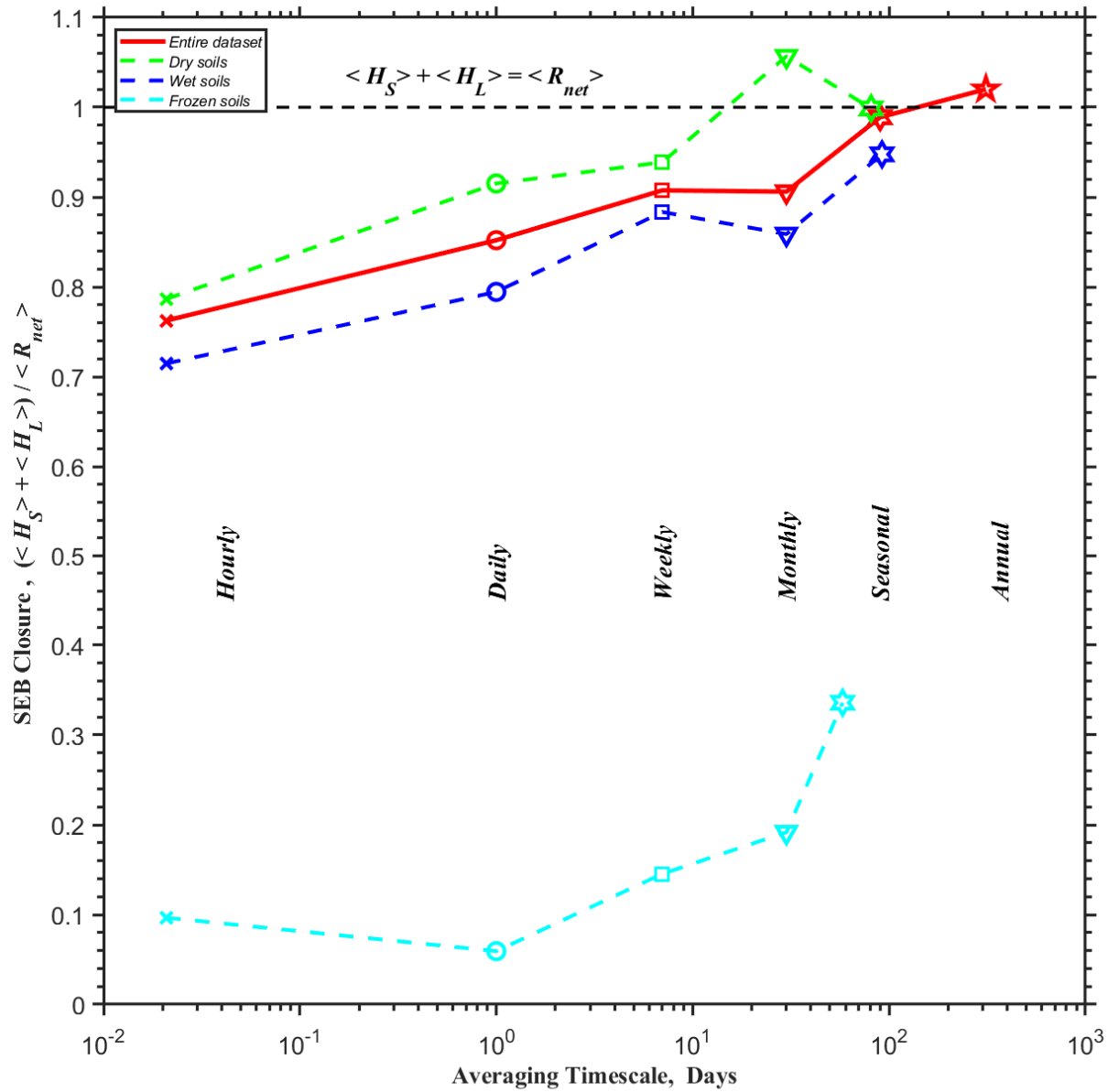
Fig. 13. The incomplete SEB closure equation (without the ground heat flux G) at different

temporal scales: ratio of turbulent energy fluxes $H_S + H_L$ to net radiation R_{net} for the entire

dataset (red solid line and red symbols) and separately for different soil types (dry, wet, and

frozen) plotted versus averaging time based on the data collected at Columbia River Gorge, OR

during WFIP 2 Project.

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