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Application of ground heat exchangers in cow barns to enhance milk cooling and water heating and storage

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(Article begins on next page)

1 **Application of ground heat exchangers in cow barns to enhance milk**
2 **cooling and water heating and storage**

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14

15 ***ABSTRACT***

16 This paper presents an innovative ground heat exchanger with double-circuit, GeoUWT (Geothermal
17 Underground Water Tanks), and the required preconditions for installing this kind of configuration in
18 the livestock sector. Dedicated Thermal Response Test was conducted on the test site to represent barn
19 conditions and to estimate the heat exchange capacity of the GeoUWT in a realistic case study
20 performance. This dynamic simulation of geothermal heat exchange between the process fluids on the
21 barn - precooling of the produced milk and warming required amount of water - proved enhanced
22 potential compared to existing systems of direct heat exchange. The additional value is an innovative
23 solution for underground water storage at fixed target temperature.
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26 ***Keywords:*** underground thermal energy storage, renewable energy, ground heat exchanger, direct
27 thermal exchange, precision livestock farming, rural buildings
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1. INTRODUCTION

1.1 Energy and water demand in the dairy cattle sector

Energy consumptions are projected to significantly increase in all energy-consuming sectors in the future decades [1]. This growing demand can be satisfied either by boosting the energy supply, including low-carbon energy sources, or with a better management and reduction of the demand [2]. Sustainable and low greenhouse gas emission solutions and energy efficiency could help in solving both sides of the energy demand problem. Agri-food is a very complex energy-consuming sector since it is based on several feedstocks and manifold production steps. Therefore, understanding the total energy content of final agricultural products and possible applications of renewable energy solutions is currently challenging. Besides, agriculture and livestock farming are the major energy consuming sectors and they are responsible together for 34% energy embedded in food-production in Europe [3], [4]. The energy involved in final food production does not account only for direct energy uses, such as fuel for the machinery or powering the devices, but it includes also indirect energy flows, such as the energy needed to produce and transport fertilisers, to operate irrigation systems, to feed and to guarantee the animal welfare [5]. According to OECD [6], in the OECD area, 68% of the direct energy consumed in agricultural sector origins from fossil fuels whereas only 4% comes from renewable energy sources entailing an immediate change oriented to energy efficiency and sustainability.

Within this context, the dairy cattle farming sector is characterized by relevant energy demand but at the same time could hold several opportunities of enhancement for increase its energy efficiency. More in detail, in intensive livestock farms, dairy cattle barn usually includes zones for cow resting, feeding and milking, besides service rooms for milk storage, technical plants, offices and other minor services for workers (e.g. restrooms, changing room). Energy requirements for the permanent equipment are mainly due to milk refrigeration, milking operations, artificial lighting, forced ventilation, manure removal. The animal welfare turns out to be an important aspect for both production quantity and milk quality, but at the same time, requires specific indoor parameter ranges. The optimal habitat for a dairy cow is between -5°C and 25°C [7], ranges from 50% to 80% of relative humidity [8] and needs adequate air exchange [9]. These parameters are usually summarized by the Temperature-Humidity Index (*THI*),

59 a widespread measure in the farming context, indicating the real climatic impact perceived by the cows.

60
61 It can be expressed as follows [10]: 65

62 66
63 $THI = T_{db} + 0.36 \cdot T_{dp} + 41.2$ 67 (1)

68
69 where T_{db} represents the dry bulb temperature [°C] and T_{dp} the dew point temperature [°C].

70
71 In the barn, the suitable conditions for the cows are generally obtained through a properly designed
72 building envelope, which should be predominantly open in warmer climatic region in order to enhance
73 heat dispersion in the hot season, while allowing enough protection from the cold winds. In the warmer
74 season, in order to mitigate the heat stress, which represents a serious threat to cow's welfare and milk
75 production [11], energy for microclimate control is needed. In fact, heat stress affects cows' behavior
76 [12], milk production, milk quality [13] and conception rate [14]. The technical solutions mostly
77 adopted in open barns make use of manifold systems, such as moving shading screens and fans
78 combined with water soakers. Instead, in the colder period, in the Mediterranean area, the energy for
79 barn heating concerns the production of hot water to clean and disinfect the milking system and the
80 tanks. Moreover, a slight warming of the drinking water is advisable, especially in the cold days, in
81 order to stimulate water intake so to improve milk production. The scientific literature [15] indicates,
82 as optimal, a warmed water with temperature around 18°C. In the most of Italian cow barns, the drinking
83 water is directly provided by the well, usually having temperature lower than 18°C especially in the
84 cooler season. To avoid decrease of milk production, several barns started to introduce electrical heating
85 system to rise the temperature in the drinking troughs.

86
87 The main electricity usages, obtained by the monitoring of a sample of dairy farms in Italy [16], are
88 represented by milk harvesting (23% of total yearly electricity consumption), milk refrigeration (19%)
89 and water heating (15%). Water pumping, including irrigation, covers 13% of the demand; ventilation
90 and misting absorb the 5%, while 4% is required for lighting and 4% for brushing. Manure removal
91 calls for a fraction of the 5% of energy assessed for slurry management, while the remaining percentage
92 is mainly related to field operations. Energy saving in dairy cattle barns represents, currently, an
93 unavoidable design target. In particular, the dairy facilities can reuse energy of highly consuming milk
94 cooling process to warm up the drinking water for cows.

95 Besides energy issues, a few concerns about the environmental impacts of livestock production have
 96 grown especially in the last two decades. Livestock productions have been acknowledged as intensive
 97 consumers of freshwater resources: beyond the usage for growing feed crops or forages, also drinking,
 98 cleaning and processing animal products call for significant water volumes [17]. Drinking represents a
 99 significant component of blue water usage by dairy farms, since cows have a drinking water requirement
 100 (WR) up to 130 liters of water every day, in 10-15 visits to the drinker [18]. The consumption of water
 101 depends on dry matter percentage of the ration, milk yield and environmental temperature. Robinson et
 102 al. [19] surveyed average usages, in free stall dairy barns, ranging from 113.6 l/day to 196.0 l/day per
 103 cow, from August 2013 through December 2014, over 12 selected farms. VanderZaag et al [20]
 104 measured the use of pumped water over a full year on a small dairy farm in Ontario with 34 lactating
 105 cows and 39 non-lactating animals. 82% of annual average water use was drinking water and 18% was
 106 used for the milking system cleaning. When *THI* was below 50, water use ranged from 4.3 to 4.8 l/kg
 107 of milk, and it increased to a maximum of 6.7 l/kg at a *THI* of 68, being 5.35 l/kg of milk the annual
 108 average water use.

109 More in detail, Meyer et al. [21], based on the data of 60 German Holstein cows, calculated WR as a
 110 function of milk production (*MP*), average ambient temperature (*AAT*), animal weight (*AW*) and sodium

111 intake (*SI*), according to the following formula: 115
 112 116
 113
$$WR = -26.120 + 1.516 \cdot AAT + 1.299 \cdot MP + 0.058 \cdot AW + 0.406 \cdot SI$$
 117 (2)
 118

119 where: *WR* is expressed in [kg/day], *AAT* in [°C], *MP* in [kg/day], *AW* in [kg] and *SI* in [g/day].

120 Thus, just for example, a cow weighting 750 kg, producing 35 kg of milk/day, with an average
 121 temperature of 35°C and 50 g/day of sodium intake, will require about 136 kg/day of water.

123
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 125 ***1.2 Smart energy applications for barns***
 126
 127 Energy efficiency strategies, including smart systems for optimal energy use and innovative renewable
 128 energy systems, are crucial for the sustainable progress of the livestock farming sector. In fact, energy
 129 efficiency and renewable energy solutions, such as lighting bulbs replacement, cleaning and
 130 maintenance programs of refrigerators and pumps, use of anaerobic digesters for electricity production

131 and placement of photovoltaic panels over the roof have become a common standard both in the
132 industrial livestock farming facilities and in family-run farms [22]–[25]. Among the most innovative
133 energy solutions, implementation of geothermal systems and utilization of heat waste including use of
134 heat pumps was considered, in recent years, in several national and international projects in the
135 agricultural sector, all of them emphasizing the importance of respecting the specific needs. During the
136 mapping project of dairy farms in Sweden (2012 -2013), a study about integration of heat pumps was
137 conducted and part of the Swedish contribution to IEA HPP Annex 35 [26] dealing with implementation
138 of industrial heat pumps. The study has investigated the possibility of implementing a heat pump on a
139 case study farm (Arla dairy in Götene, Sweden) for water heating (55-80°C) using the heat recovery
140 from the chiller’s condenser (30°C) [27]. Moreover, a recent study [28] has showed the possibility of
141 using the ground to store the low temperature heat coming from the wastewater, cooling units and
142 compressed air at the NÖM dairy plant, to provide heating and cooling for the old military camp
143 “Martinek-Kaserne” and finally, in return, to feed the cooling supply for the dairy plant.

144 The use of ground as heat-bank, so to overcome the mismatch between availability and needs, is called
145 Underground Thermal Energy Storage (UTES) and can be used for both long and short-term purposes
146 [29] and leads to an improvement in the use of renewable sources [30]. Most common types of UTES
147 are confined aquifers [31], Borehole Heat Exchangers (BHEs) [32] and caverns [33]. Recently,
148 Underground Water Tanks (UWT) have been hypothesized for purposes of UTES, too [34]. By using
149 UWT, the heat capacity of the water medium gives the possibility to consider planned and controlled
150 charge/discharge cycles. Conventional UWT storages are large reinforced concrete structures, mostly
151 connected with solar collectors [35]. Recently, Kappler et al. [36] investigated the possibility of using
152 UWT for tempering climate conditions, thus substituting heat exchangers. Moreover, several studies
153 debate the potential of submersing the BHEs in groundwater and surface water, primarily because of
154 the benefits of induced convection phenomena and additional capacity for heat exchange [37].

155 Gustafsson and Westerlund [38] presented a research about the effects of thermally induced convective
156 heat flow on the groundwater filled BHEs. Even in cases where groundwater flow is limited or absent,
157 convection terms occur and lead to an increase of the heat transfer with respect to grouted BHEs. As a
158 result, borehole thermal resistance is lower, and the system proves to be more efficient. In Istria Region

159 (Croatia), helical heat exchangers (HHE) were installed in concrete UWT, buried 2m deep in two
160 projects, one in Labin and one in Buzet [39], [40]. Preliminary results showed general feasibility of this
161 configuration, but further studies are still needed for system optimization. Recently, Focaccia and Tinti
162 [41] developed a laboratory prototype of an innovative configuration of BHE inserted in a protective
163 casing filled with water. The research, analysing both thermocouple and visual records, has shown that
164 natural convection movements are triggered in the water inside the UWT, due to the thermal activation
165 of the BHE.

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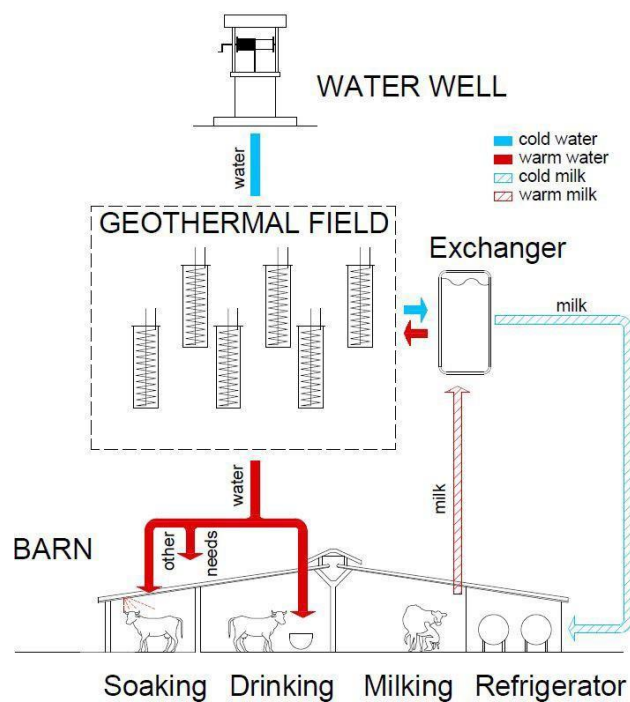
1.3 Aim of the study

170 Following the encouraging results of the application of shallow geothermal system in the agrifood
171 facilities [42], [43], this paper presents a pre-feasibility study for an efficient application of UWT as
172 UTES in the livestock sector, with focus on the cow barns needs. Specifically, the aim of this work is
173 to study the theoretical feasibility of an integrated system able to pre-cool the produced milk and warm
174 up the water used for cows' needs (drinking and soaking) by means an innovative shallow geothermal
175 system. The principle of this work is that, by means of free heat exchange enhanced by the HHE placed
176 inside a UWT, the system will warm up the water and cool down the milk at every milking operated in
177 the barn. Moreover, the water contained in the UWT will be kept at proper temperature for cow drinking
178 during the day avoiding the installation of water pre-heaters.

179 It is worth to note that most of the cow barns in Italy are equipped with milking parlour allowing the
180 total milk collection in about four hours every day. To reduce the energy consumption for milk cooling,
181 very few barns have installed systems for free heat exchange between water and milk. As better
182 explained in the following Sections, an effective heat exchange requires a volume of water comparable
183 to the cow barn daily need and should be performed during the milking operation time (four hours). To
184 avoid water waste, the barns should be equipped with reservoir able to keep the daily volume of water
185 that often represents an economical unsustainability. Due to its geometry, the system proposed here,
186 besides the heat exchange enhancing, can keep the water at a proper temperature for the time needed
187 by cows during the day.

188 The study considers the use of a recent new UWT concept, with HHE inserted, based on the geometry
 189 of the RAUGEO Helix[®]. The new system (hereinafter GeoUWT) was recently tested in a real scale
 190 experiment in the LAGIRN Lab of University of Bologna [44] and has showed a combined potential of
 191 efficient cooling, energy storage and contemporary heating of casing water for non-potable uses.
 192 The study is based on a real case study barn located in Emilia-Romagna Region (Italy). The missing
 193 data on energy and water needs are derived from the historical data collected in other cow barns of the
 194 Emilia-Romagna Region having similar characteristics to that considered here. The input data, related
 195 to the GeoUWT system, are derived from the experimental investigations conducted in Bologna in the
 196 years 2018-2019 on the GeoUWT prototype. The results of the test have been applied to the present
 197 study, to evaluate the efficiency of the system in providing milk cooling and contemporary heating of
 198 water for livestock necessities. The great amount of required water in a barn and the need of achieving
 199 target temperatures for drinking and cleaning are thus combined with the necessity of milk refrigeration
 200 to optimize energy usage. The operating scheme of the system proposed here is summarized in Figure
 201 1. The system is composed of three main parts: the barn, the milk refrigerator and the geothermal field
 202 “GeoUWT”. The details of the various elements will be described in the following Section.

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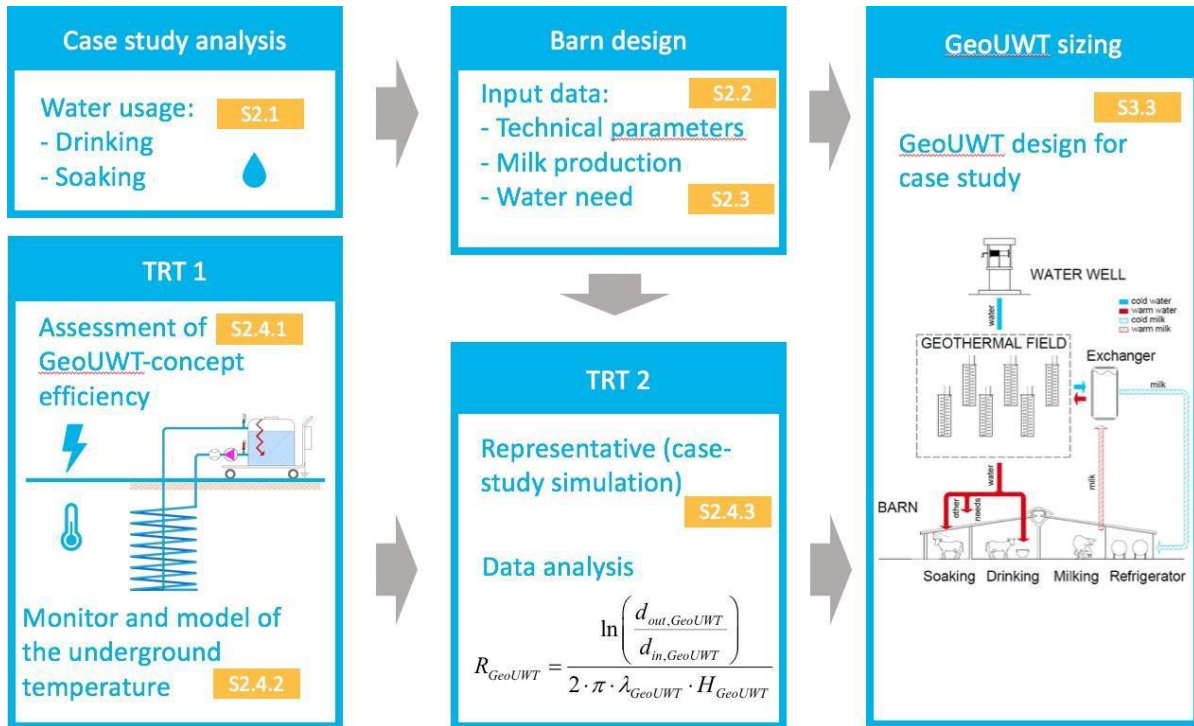


206 Figure 1. Simplified operating scheme showing the three parts of the system: the cow barn, the milk
207 refrigerator and the geothermal field “GeoUWT”.

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229 **2. MATERIALS AND METHODS**

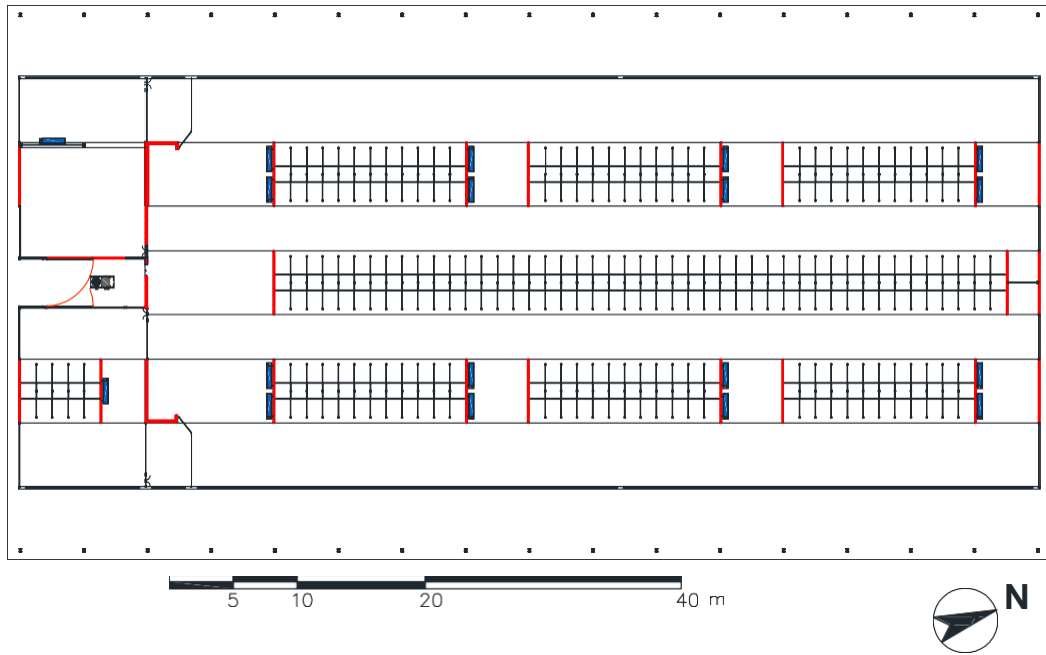
230
 231 The scheme of the research is presented in Figure 2, indicating the different subsections of Section 2
 232 where each aspect is dealt with, and how the various phases are interrelated with each other.



233
 234 Figure 2. Scheme reporting a graphical explanation of the research process. The yellow rectangles refer
 235 to the subsections of this paper, identified by S.

236
 237
 238 **2.1 Description of the case study**

239 The farm “Montagnini” was selected as case study in the present work. The farm has two main facilities
 240 hosting the cows: a new modern barn for the lactating cows and the older stable hosting dried cows and
 241 heifers and containing the milking parlour of the farm. The new barn is located in the Emilia-Romagna
 242 Region (in the North of Italy), in a plain countryside about 25 km north of Bologna (WGS84 coordinates
 243 44°42'59.2"N 11°27'04.9"E, 17 m a.s.l.). 270 lactating cows were reared in the barn, which has
 244 rectangular plan layout with dimension of 42.22×80.30m. The longitudinal axis (i.e. the longer
 245 dimension) is SW-NE-oriented with -20° azimuth angle (see Figure 3).
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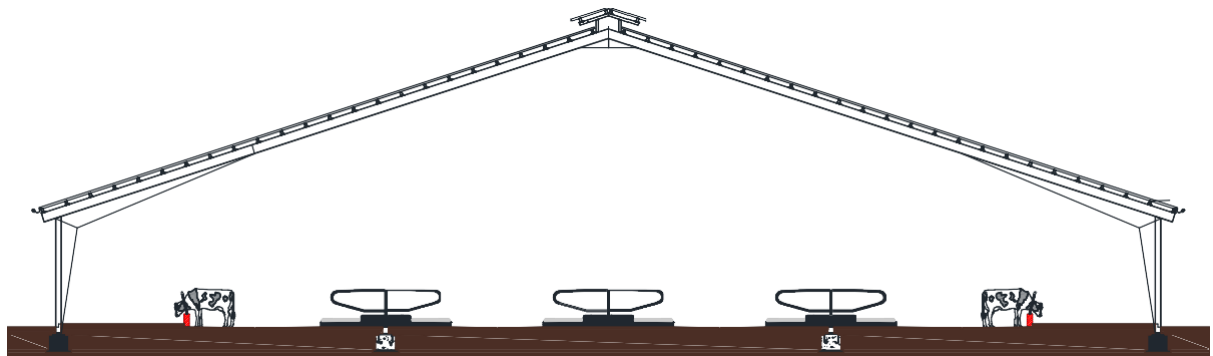
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Figure 3. Plan view of the case study barn.

The inner part of the barn represents the resting area where closing fences along the symmetry axis allows to subdivide the herd into two groups, as both the resulting parts of the barn can be independent. The elevation of the building creates a symmetrical double pitched roof with no internal column, with ridge along the longitudinal direction. It has 33% slope, height at eaves of 4.00m and ridge height of 12.15m, with continuous ridge opening (see Figure 4). The long sides of the building are open, to enhance natural ventilation for both displacement effect and stack effect.



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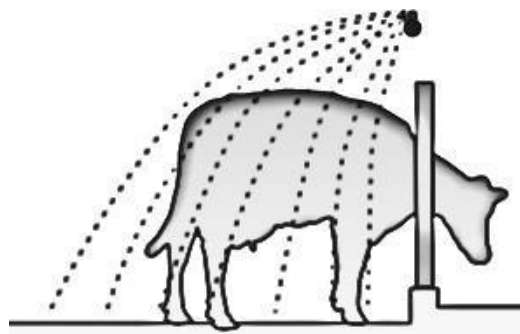
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Figure 4. Transverse cross-section of the case study barn.

268
269 Indoor thermo-hygrometric conditions are controlled also through forced ventilation, by means of high-
270 volume low speed (HVLS) fans with horizontal blades, activated by a temperature-humidity sensor
271 situated close to the barn centre. Further cooling benefit is achieved through low-pressure, large-droplet
272 water soaker lines installed above the feeding lanes. This sprinkler system completely wets the cows by
273 soaking the hair coat and it proved to reduce the body temperature and improve the dry matter intake,
274 the conception rates and live calf birth rate [45].

275 A pipeline is thus installed next to the feeding area (see Figure 5) and mounted with low pressure 180°
276 nozzles with spray pattern with a radius of max. 2.50m, which is suitable to avoid wetting the cubicles
277 bedding. Spraying is activated when *THI* measured in the barn is over a specific threshold. It is
278 important to remark that usually, in the barns, water is delivered independently on the presence of
279 animals under the soaker lines, as no sensor of presence is installed.

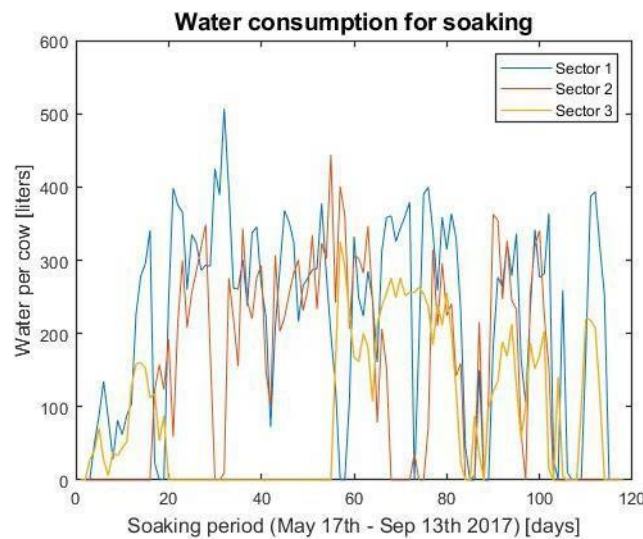
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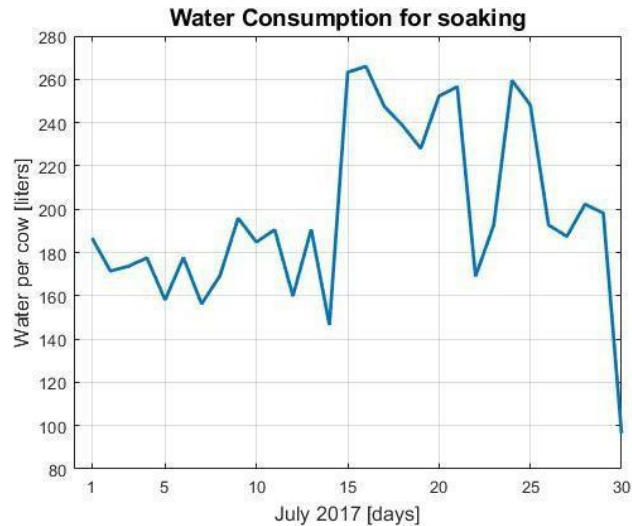
284
285 Figure 5. Scheme of a large-droplet water soaker line installed above the feeding barrier with the
286 function of watering the cow during feeding.

287
288
289 In addition to the study case farm, the research group has studied several dairy cattle barns in the
290 Bologna countryside allowing to collect a wide data set on sprinkler systems in order to gather
291 information and assess the water consumptions for each cow. Specifically, the research group studied a
292 sprinkler system widespread in commercial and experimental dairy barns identical in terms of brand
293 and model to the case study system. This system is located in a barn in an area close to the case study
294 therefore with similar environmental conditions. In this barn, the sprinkler system covers a total area

295 with 77 cows, divided into three sectors of 21 (sector 1), 27 (sector 2) and 29 (sector 3) cows
296 respectively. The three sectors are independently controlled since three temperature and humidity
297 sensors are present. As previously said these systems are activated only by *THI* values regardless the
298 presence of the cows under the sprinklers. Specifically, for *THI* values over 75 the water is supplied.
299 The central unit of the system globally returned over 236 000 records steps in a 2-year period. For each
300 record, *THI* and water consumptions (in litres) are included.
301 Year 2017 has been taken as reference for this study. The *THI* overtook the threshold of 75 in May 17th
302 for the first time, and September 13th the last time, therefore a period of 120 days has been investigated.
303 Figure 6 shows the average water consumption for each cow in the three sectors. The graph remarks
304 the high variability of the water supply during the whole period and among the sectors. A further period
305 from 1st to 30th July (period of 30 days) representative of the month with the highest number of soaker
306 activations was analysed. For this second period, characterized by fewer oscillations, the data coming
307 from the three sectors are gathered and the water consumption trend is exhibited in Figure 7.



308
309 Figure 6. Water consumption of soaker system per cow during the period of activation (from 17thMay
310 to 13thSeptember) for the three sectors.



311
 312
 313 Figure 7. Water consumption of soakers in the period with highest number of activations (1st July –
 314 30th July) for estimating average water demand per cow.

315
 316
 317 As reference values, from the analysis of the recorded data, we obtain an average water consumption
 318 of about 137 litres per cow per day in the 120-day period of activation of the soakers, and 198 litres per
 319 cow per day in the 30-day period representative of the month of the year with highest number of soakers
 320 activation.

321 The milking system is represented by a recent 2×15 herringbone milking parlour hosted in the older
 322 barn located 27 m South-West from the new barn described above. Herd milk yield is recorded daily.
 323 Data about milk production, energy demand for milk refrigeration, target temperatures of hot water for
 324 cleaning and of drinking water were collected during on-site surveys and interviews with the farmer,
 325 carried out in December 2018. Data about water usage for cooling through water soakers were recorded
 326 by the electronic central unit controlling the forced ventilation and the watering system of the barn.
 327 Based on data collected on-site in *Montagnini* barn, daily average milk production per cow is around
 328 35 kg. Drinking water intake trend corresponds to milk production as cows' need for water intake
 329 increases after the production sessions. According to a sample of farmers interviewed, since there is no
 330 precise measurement of the water consumption for drinking purpose in the farm, an average daily water
 331 consumption of 200 l per cow was considered, value consistent with scientific literature. Table 1 reports
 332 the timing of daily phases in the *Montagnini* barn.

333 Table 1 Daily phases in the *Montagnini barn*.

334

5.00-7.00	1 st milking session
5.30-8.00	1 st milk pre-cooling
6.00-9.00	1 st peak of drinking water demand
14.00-16.00	Peak of water soaking
18.00-20.00	Milk refrigeration
17.00-19.00	2 nd milking session
17.30-20.00	2 nd milk pre-cooling
18.00-21.00	2 nd peak of drinking water demand

335

336

337 Technical preconditions for application of GeoUWT concept on the described theoretical model of
 338 typical cow barn in Emilia-Romagna Region were investigated. The final aim was to present solutions
 339 in form of required number of GeoUWTs. Key parameters for this aim were chosen and analysed in
 340 order to ensure a certain flexibility of adjustment according to different possible barn dimensions.

341

342

343 **2.2 Main technical parameters and relations**

344

345 Some of the target parameters and relations analysed in this research are presented hereinafter. Energy
 346 and water needs were derived from historical data of typical barns in the Region while GeoUWT energy
 347 capacity specifications were defined from a dedicated Thermal Response Test (TRT) performed for
 348 simulating the typical barn operation (see Section 2.4.3).

349 Required number of GeoUWTs is defined as the total thermal energy required for milk precooling and
 350 the specific heat exchanged by one GeoUWT, according to TRT results:

351
$$n_{GeoUWT} = \frac{En}{En_{GeoUWT}} \quad (3)$$

352
353
354
355
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357
358

361 Where:

362

363 n_{GeoUWT} is the number of GeoUWT (-);

364

365 En is the required thermal energy (J);

366

367 En_{GeoUWT} is the thermal energy exchanged by one GeoUWT (J).

368 The total required thermal energy is a function of the milk (mass) produced in one session and the
 369 temperature difference between starting temperature and target temperature:

$$370 \quad En = c_{milk} \cdot M_{milk} \cdot \Delta T_{milk} \quad 372 \quad (4)$$

373
371

374 Where:

375

376 c_{milk} is the milk heat capacity (J/kg·K);

377

378 M_{milk} is the total milk mass in the storage tank after one milking session (kg);

379

380 ΔT_{milk} is the temperature difference between starting ($T_{milk,start}$) and target ($T_{milk,target}$) milk temperature

381 (K).

382 Therefore, it was possible to calculate the number of cows supplied by one single GeoUWT as:

383

$$384 \quad n_{cows,GeoUWT} = \frac{n_{cows}}{n_{GeoUWT}} \quad 389$$

393
385
388
390 (5)

392

393 Where:

394

395 $n_{cows,GeoUWT}$ is the number of cows for single GeoUWT (-);

396

397 n_{cows} is the total number of cows (-).

398

399 The total drinking water required for the cows, in the present case study is extracted from a nearby

400 groundwater well. It can be related to the stored water inside the GeoUWT by the following equation:

$$401 \quad V_{w,day} = V_{w,GeoUWT} \cdot n_{s,day} \cdot n_{GeoUWT} \quad 403 \quad (6)$$

404
402

405

406 Where:

407

408 $V_{w,day}$ is the volume of water extracted from the well for daily supply (l);

409

410 $V_{w,GeoUWT}$ is the volume of water kept in a GeoUWT (l);

411

412 $n_{s,day}$ is the number of milk sessions per day (-).

2.3 Input data for the theoretical system design

Considering the optimal conditions for milk storage and transport, it is possible to get an insight about required energy demand (En) for milk refrigeration after the milking process, in case without the milk precooling system (Table 2). Production quantities and corresponding water intake are assumed according to the data collected from *Montagnini* farm and mentioned Holsteins' calculation for water requirements (approximately 150 l/cow daily). Regarding milk thermophysical properties, the production temperature is 40°C, the storage temperature is 4°C and the milk specific heat capacity is 3.93 kJ/(kg·K). Two milking sessions per day, $n_{s,day}$, were taken into consideration.

Table 2. Energy demand for milk refrigerating process without the precooling ($\Delta T = 36^\circ\text{C}$)

n_{cows}	1	10	300	270 (<i>Montagnini</i>)	300 (theoretical model)
M_{milk} / day (kg)	35	350	10 500	8 000	10 000
M_{milk} / session (kg)	17.5	175.0	5 250.0	4 000.0	5 000.0
V_w / day (l)	150	1 500	45 000	54 000	45 000
V_w / session (l)	74	750	22 500	27 000	22 500
En / day (kWh)	1.37	137.00	411.00	314.40	393.00
En / session (kWh)	0.685	68.500	205.500	157.200	196.200

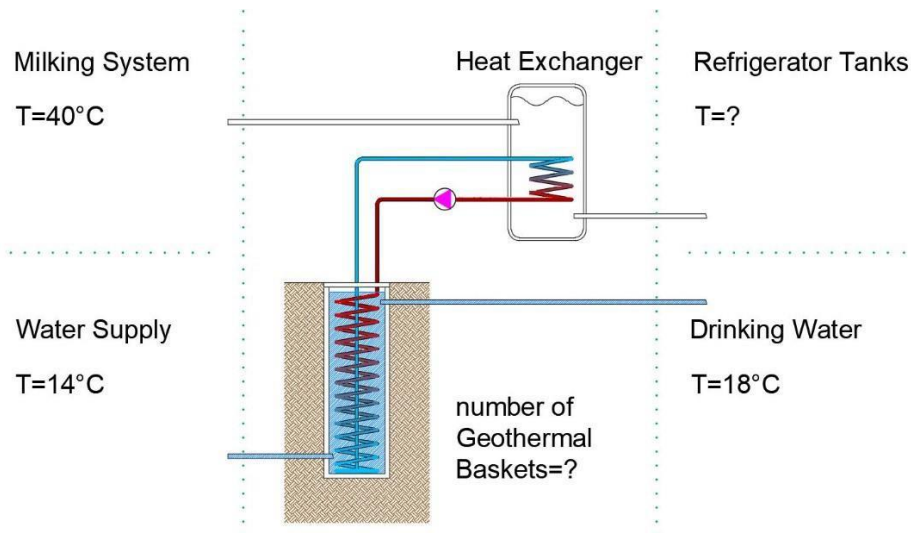
With respect to the daily water needs, several scenarios were created (based on the theoretical model from the table 2), considering the different seasonal water demand per cow and case with installation of the sprinkle-cooling system in summer season. Winter scenario **1a** covers the case of average daily water consumption per cow, while **1b** considers possible increase in water consumption because of increased milk production due to optimal living conditions and drinking water temperature (18°C). Summer scenario **1c** considers the case of barn without installed cooling system, with the respect of noticed increase of water demand per cow in summer season from the case study data. Scenario **1d** covers the case of the barn with the installed cooling system in form of water sprinkles activated at certain ambient condition. The complete analysis can be found in Annex 1, whose input information are presented in Table 3.

Table 3. Input data

Input parameter	Value	Unit
C_{milk}	3.93	kJ/(kg·K)
$T_{milk,start}$	40	°C
$T_{milk,target}$	4	°C
M_{milk}/day	10 000	kg
$M_{milk}/session$	5 000	kg
n_{cows}	300	/
$n_{s,day}$	2	/
Drinking water / day		
Scenario 1a	150	l
Scenario 1b, 1c, 1d	200	l
Drinking water / session		
Scenario 1a	75	l
Scenario 1b, 1c, 1d	100	l
Sprinkler water / day (summer)		
Scenario 1d	200	l
Sprinkler water / session (summer)		
Scenario 1d	100	l

440
441 The study case farm has been considered as a suitable application for the UTES technology in the form
442 of GeoUWT, which is supposed to be used to match the energy demand for milk cooling with the needs
443 of water heating in double-circuit process. For this purpose, a scheme of the GeoUWT installation is
444 reported in Figure 8.

445
446
447
448
449



450
451 Figure 8. Scheme of the GeoUWT inside the process water and milk cooling circuits of the cow barn.

2.4 Design methods for the GeoUWT system applied to the cow barn

The procedure adopted to design the GeoUWT for the specific cow barn application is divided in three parts:

1. The validation of GeoUWT concept, by realisation of an experimental setup at University of Bologna labs;
2. The modelling of underground temperature, varying with seasonality;
3. The description of the dedicated TRT realised and the mathematical model for data analysis.

2.4.1 Experimental setup

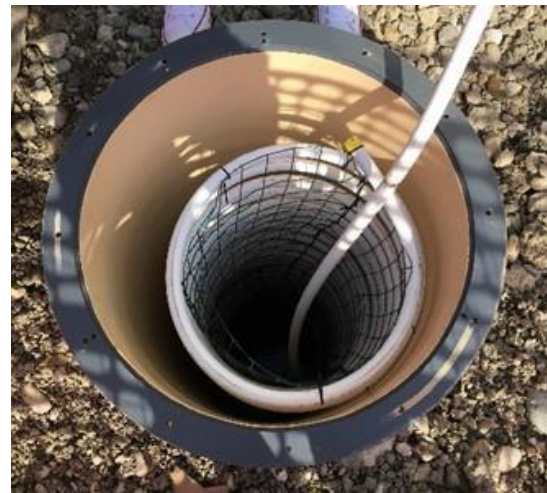
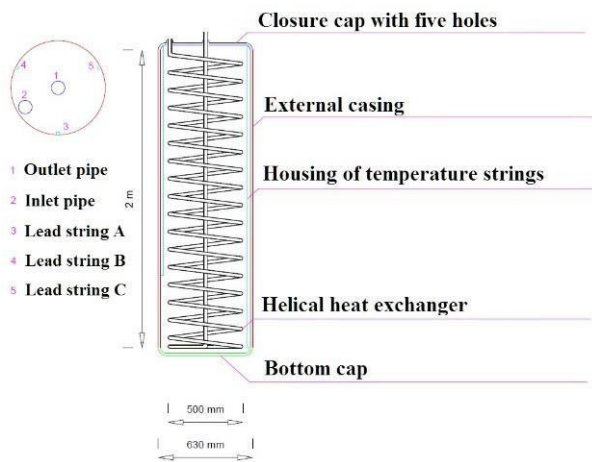
Firstly, the efficiency of GeoUWT on long term stimulation was verified by performing a long term thermal response test on two HHEs: the first one was buried in the ground, 2.0 m deep, and the second one was installed inside a UWT, positioned at 4.0 m of distance, together forming the GeoUWT [44]. The reason for selecting a helical configuration lies in the fact that it provides higher heat transfer per meter of unit comparing to conventional BHEs [46]. The conventional HHE and the GeoUWT were installed in the area of the Laboratory of the School of Engineering and Architecture, in April 2018. The annulus of the GeoUWT was filled with distilled water as thermoconductive fluid with the possibility to replace its whole volume. The HHE pipes were also filled with distilled water. To avoid the infiltration of groundwater and chemical elements inside the GeoUWT, but also to avoid leakage of the fluid from the tank, bottom of the casing was sealed. In the final configuration, casing walls were in contact with the ground to ensure the heat exchange, mechanical strength, elasticity and low thermal resistance. Detailed description of the test site and installation procedures were provided by Tinti et al. [44]. For the sake of relevant comparison, both HHEs consist of the same configuration. Table 4 reports the main properties of both HHEs and UWT, while Figure 9 presents the scheme of the GeoUWT.

Table 4. Main characteristics of the helical heat exchanger HHE and the underground water tank UWT of the experimental campaign

Helical heat exchangers HHE properties	
Material	PE-Xa
External diameter	25.0 mm
Thickness	2.3 mm

Internal diameter	20.4 mm
Length	40.0 m
Vertical length of the cylinder	2.0 m
Diameter of the cylinder	500.0 mm
Number of coils	26
Spacing between coils	80.0 mm
Weight	7.5 kg
Fluid volume	13.07 l
Underground water tank UWT properties	
Material	PVC
Material of the bottom	PE
Material of the closure cap	PE
External diameter	630.0 mm
Thickness	16.0 mm
Internal diameter	614.0 mm
Fluid volume (with installed HHE):	572.0 l

482
483
484



485

(a)

(b)

486 Figure 9. The GeoUWT: (a) experimental configuration and (b) picture of the prototype installation
487 [43].

488
489 Extensive field thermal response test (TRT) and related monitoring campaign were performed for
490 several months in both summer and winter seasons, to conduct power and efficiency analysis and
491 comparison between the two HHEs subjected to heat injection in the ground (thus cooling a hypothetical
492 end user).

493 TRT was performed by using a lightweight machine (named M-TRT), with three individual heaters of
494 500 W, a sufficient power for relevant measurement on described HHEs [47] since even larger helical
495 configurations are estimated to achieve between 400 W and 700 W [48]. Standard TRT analysis on
496 vertical heat exchangers has the objective of estimating ground thermal conductivity and borehole

497 thermal resistance [49]. In the case of HHEs and in particular GeoUWT, due to the particular geometry,
 498 the very shallow configuration (2 m) and the high impact of weather conditions during the test, this
 499 approach is hardly feasible, and results of thermal resistance would be affected by high degree of
 500 incertitude [50]. Therefore, for the purposes of contrasting the performances of the two HHEs, authors
 501 have chosen to perform long TRT, at different power steps, to compare the exploited heat dissipation
 502 capacity of the two configurations in different weather and power conditions. More information about
 503 specifics of the M-TRT machine can be found in [51]. Multiple power-step TRT was conducted
 504 simultaneously on both HHEs in summer (28.05.2018 - 18.06.2018) and winter season (27.01.2019 -
 505 17.02.2019).

506 Power analysis were conducted on both HHEs, by measuring the inlet and outlet temperatures, $T_{f,in}$ and
 507 $T_{f,out}$, for time steps of 15 seconds, and then using Equation 7:
 508
 509

$$510 \quad P = q_f \cdot \Delta T_{HHE} \cdot \rho_f \cdot c_f \quad 512 \quad (7)$$

514
 515 Where:

516
 517 q_f is the constant flow injected in each HHE (0.15 l/s);

518
 519 ρ_f is the circulating fluid density (1000 kg/m³);

520
 521 c_f is the circulating fluid heat capacity (4.19 kg/(J·K));

522
 523 ΔT_{HHE} is the temperature difference ($T_{f,in} - T_{f,out}$) of the circulating fluid inside the HHE at each time step
 (K);

524
 525 $T_{f,in}$ is the inlet temperature of circulating fluid (°C);

526
 527 $T_{f,out}$ is the outlet temperature of circulating fluid (°C).
 528

529 **2.4.2 Modelling underground temperature**

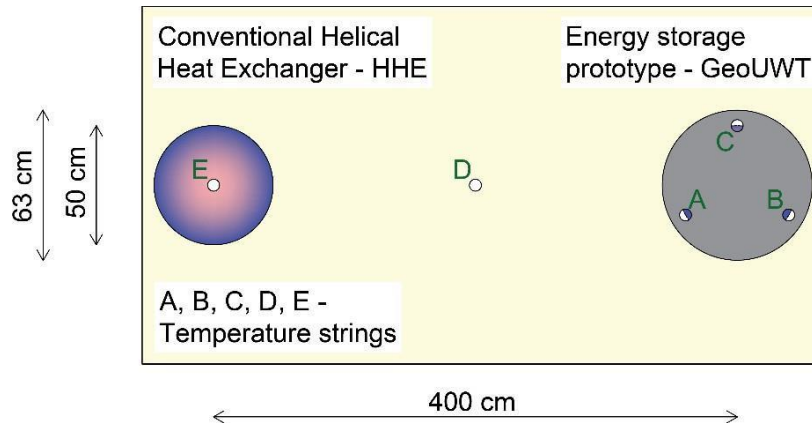
530
 531 The underground temperature down to 2 m depth varies with seasonality. Therefore, a temperature
 532 model occurs, to define the temperature boundary condition around the GeoUWT.

533 In order to do so, the test site was equipped with temperature strings in different zones of the area, able
 534 to measure both the undisturbed ground and water temperature and the heat wave due to the TRT work.

535 Five temperature strings were placed to measure the temperature of the ground and fluid in the annulus

536 of GeoUWT at different layers – each 0.4 m of the depth. Three of them were installed inside the UWT
537 to measure the temperature of the fluid (A, B, C strings), one was installed between the HHEs (D string)
538 inside a dedicated pipe, and the last (E string) was installed in the centre of the conventional HHE buried
539 in the ground (see Figure 10).

540



541

542 Figure 10. Layout of the test site with the positions of the temperature strings

543

544

545 The monitoring of the temperature distribution in the ground was conducted from October 2018 and is
546 currently ongoing. The registration and record of the measurements taken with the sensors were
547 performed by using the Long-Range Radio Technology. Accuracy and precision of the temperature
548 sensors are 0.01°C and +/- 0.03°C, more details about the technology can be found in [52]. In the further
549 representation of results, index t_1 represents the deepest layer of monitoring (2.0 m) and t_5 is the
550 shallowest (0.4 m) below the surface level. Recorded data were used in combination with the data of
551 ambient drifts for the local area (Table 5) in order to create an approximation of the annual model of
552 undisturbed temperature distribution. Due to the prolonged heat injection during TRTs performed
553 during January and February 2019, it is possible to observe influence of induced heat wave on the
554 ground temperature so recorded temperatures in that period are not relevant for the annual model.
555 Moreover, together with an unusual trend of air temperature in Bologna during the spring period of
556 2019 (March–May), which was not following usual annual waveform distribution, it resulted in a
557 discrepancy from the model. For that reason, recorded data of October-December 2018 were chosen for

558 fitting the temperature distribution. To describe the temperature distribution of the underground, the

559 Hillel's correlation in Equation 8 was chosen [53]:

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573

$$T(d, t) = T_g + A_{o,s} \cdot e^{-\left(\frac{d}{\Psi_p}\right)} \cdot \sin \left(2\pi \cdot \frac{t - d}{p} - \frac{2\pi d}{3} \right) \quad (8)$$

575

576

577 Where:

578

579 T_g is the temperature of the ground, function of depth and time (°C);

580

581 T_m is the annual average external temperature (°C);

582 $A_{o,s}$ is the external temperature wave amplitude (°C);

583 d is the depth (m);

584 p is the period (days);

585

586 t is the time (days);

587

588 Dumping depth $\Psi_p = \sqrt{2 \alpha_{eff} / \omega}$ is the depth at which the annual temperature amplitude of the ground

589 decreases to $1/e$ of surface air temperature amplitude and ω is a period for the sine function, $\omega = 2\pi/p$;

590 α_{eff} is the effective ground thermal diffusivity (m²/day). Climate data of the test site in Bologna are

591 presented in Table 5.

592

593

594 Table 5. Climate data for the test site (Bologna, Italy)

595

T_m	15.5 °C
$A_{o,s}$	13.0 °C
p	365 days

596

597

598

599 **2.4.3 Dedicated TRT and data analysis for cow barn case study**

600

601 Although traditional TRT was conducted, it was clear that the potential of GeoUWT system could be

602 much higher, when performing a double circuit, with total or partial replace of the fluid in the GeoUWT

603 annulus, when needed. This can provide extra potential for heat exchange and storage and keep the

604 surrounding soil indefinitely below the complete thermal saturation state. A secondary functional usage

605 of the extracted fluid would give an additional value to this concept, better adapting to the cow barn
 606 case study.

607 For the specific case study of the cow barn, a dedicated TRT should last for the time of heat extraction
 608 from the milk (approximately two hours) and should respect the following assumptions:

- 609 - Milk temperature after milking ($T_{milk,start}$): 40°C;
- 610
- 611 - Milk target temperature for storage ($T_{milk,target}$): 4°C;
- 612
- 613 - Water temperature ($T_{GeoUWT,start}$): 14°C (constant, taken from a well at 50 m depth in confined
 614 aquifer);
- 615 - Optimum temperature of drinking water for cows ($T_{GeoUWT,target}$): 18°C.

616 In order to use the experimental results of the dedicated TRT, to assess the potential use of GeoUWT
 617 for the cow barn, a thermal model of the system has been created, thus calculating the total heat
 618 exchanged and the peak thermal power after operation period, as well as the necessary time to reach the
 619 tank water temperature needs. Being the heat injection time relatively short, and the GeoUWT walls
 620 low thermal conductive, ground thermal modification due to the TRT work has not been considered.
 621 Equations used in the thermal model are presented below. Particularly, the heat transfer rate between
 622 the circulating fluid and the tank water (Equation 9) has been compared with the heat transfer rate inside
 623 the HHE circuit (See equation 7), to get proportions usable for estimating temperature behaviour for
 624 different temperature starting levels.
 625

$$\begin{aligned}
 &626 \quad P = 2 \cdot \frac{\lambda_{HHE}}{L_{HHE}} \cdot \frac{(T_{HHE} - T_{GeoUWT})}{\ln \left(\frac{d_{out,HHE}}{d_{in,HHE}} \right)} \quad \begin{matrix} 631 \\ 632 \end{matrix} \quad (9) \\
 &633 \\
 &634 \\
 &635
 \end{aligned}$$

636 where:
 637

- 638 - P is the heat rate calculated for the time step (W);
- 639
- 640 - $d_{out,HHE}$ is the external radius diameter of pipe of the HHE (0.0250 m);
- 641
- 642 - $d_{in,HHE}$ is the internal radius diameter of the pipe of the HHE (0.0204 m);
- 643
- 644 - λ_{HHE} is the pipe thermal conductivity (0.41 W/(m·K));
- 645 - L_{HHE} is the total length of the HHE (40 m);

646 - T_{HHE} is the average temperature of the circulating fluid inside the HHE in the time step (°C);

647
648 - T_{GeoUWT} is the average temperature of the water inside the GeoUWT in the time step (°C).

649
650 A logarithmic regression on average water temperature measured in the tank has been performed

651
652 (Equation 10). 656

653 657

654 $T_{GeoUWT,i} = a \cdot \ln(t_i) + b$ 658 (10)

655
660
661 The coefficients a and b have been used to reconstruct the tank water temperature behaviour, subjected
662 to different external conditions, causing different initial undisturbed values.

663 On the other hand, for each time step the power value P and the correspondent $\square T_{HHE}$ have been

664 calculated by proportion with the behaviour of representative TRT in the time step.

665 For each time step, the new outlet and inlet water temperatures are calculated as follows:

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678

$$\left\{ \begin{array}{l} T_{f,out,i} = \frac{\square_{in,HHE} \cdot \left(\frac{P \cdot \ln \left(\frac{d_{out,HHE}}{d} \right) T_{GeoUWT,i} - \square_{HHE,i} \Delta T}{2 \square_{HHE} \cdot L} \right)}{2} + T_{f,in,i} \\ T_{f,in,i} = \Delta T_{HHE,i} + T_{f,out,i} \end{array} \right. \quad (11)$$

679 Total energy dissipated, after a certain period is therefore:

680 685

681
682 $En = \sum_{i=1}^{n_i} P_i \cdot t_i$ 686 (12)

683
684
688 After obtaining the behaviour of T_{GeoUWT} along time, it was finally possible to estimate the time needed
689 to reach the target temperature for different starting points with the following equation.

690
691
692
693
694

$$t_{target} = \exp \left(\frac{T_{GeoUWT,target} - b}{a} \right) \int_{t_{undisturbed}} t \quad (13)$$

698
699 where:

700 - $T_{GeoUWT,target}$ is the optimum temperature of the tank water, which is 18°C in the cow barn case study
701 (°C);

702 - $t_{undisturbed}$ is the initial time of calculation, with the tank water at undisturbed temperature (s);

703
704 - t_{target} is the time needed to reach the target temperature (s).

705 Moreover, it has been necessary to evaluate the thermal storage potential of GeoUWT, and particularly
 706 whether and in which situations the system recovers the initial conditions between two different phases
 707 related to the milking sessions of the farm, presented in Table 1.

708 The water tank thermal resistance is the following:

709

$$710 \quad R_{GeoUWT} = \frac{\ln\left(\frac{d_{out,GeoUWT}}{d_{in,GeoUWT}}\right)}{2 \cdot \lambda_{GeoUWT} \cdot H_{GeoUWT}} \quad 714 \quad (14)$$

711
712
713
717816
719

720 Where:

- 721 - $d_{out,GeoUWT}$ is the external diameter of the GeoUWT (0.630 m);
- 722
- 723 - $d_{in,GeoUWT}$ is the internal diameter of the GeoUWT (0.614 m);
- 724
- 725 - λ_{GeoUWT} is the thermal conductivity of the GeoUWT (made in PVC) (0.17 W/(m·K));
- 726
- 727 - H_{GeoUWT} is the height of GeoUWT (2 m).
- 728

729 The equations for the thermal behaviour of a fluid stored in a tank apply. The heat exchange between
 730 ground and the water inside the tank is compared to the heat capacity of the water kept inside the
 731 GeoUWT (Equation 15):

732

$$733 \quad P = \frac{T_{w,st} - T_g}{R_{GeoUWT}} = -\rho_w \cdot c_w \cdot V_w \cdot \left(\frac{\partial T}{\partial t} \right) \quad 740 \quad (15)$$

741
742
743
744
745
746

746 Where:

- 747 - $T_{w,st}$ is the water starting temperature of heat release, after heat injection through the HHE;
- 748
- 749 - T_g is the average ground temperature along the GeoUWT external wall, varying according to
 750 seasonality;
- 751 - ρ_w is the water density inside the GeoUWT (1000 kg/m³);
- 752 - c_w is the water specific heat capacity inside the GeoUWT (4186 J/(kg·K));
- 753
- 754 - V_w is the water volume inside the GeoUWT (0.572 m³).
- 755

756 Knowing the possible ending temperature in the tank, after total heat release ($T_{w,end}$), it is then possible
 757 to obtain the time needed to reach the initial conditions (see Equation 16).

758

$$759 \quad t_{heat,release} = R_{GeoUWT} \cdot \rho_w \cdot c_w \cdot V_w \cdot \ln\left(\frac{T_{w,st} - T_g}{T_{w,end} - T_g}\right) \quad 767 \quad (16)$$

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772 By using Equation 16, inserting tank water and ground temperature difference for each time step of the
 773 heat injection phase, heat losses to the ground can be calculated for all the possible starting conditions
 774 (tank water temperature and ground temperature).

775 Additional analysis of the energy consumption of the pumps was based on the pump power of M-TRT
 776 machine, as it is sufficient for supplying one HHE: 781

778 782
 779
$$El_{pumps,day} = P_{pump} \cdot t_s \cdot n_{GeoUWT} \cdot n_{s,day} \quad 783 \quad (17)$$

780

785 where:

- 786
 787 - $El_{pumps,day}$ is the electric energy consumption due to water circulation in one day (J);
 788
 789 - P_{pump} is the circulation pump power consumption (W);
 790
 791 - t_s is the time of a milk session (s).
 792
 793

794 3 RESULTS AND DISCUSSION

795 3.1 Main results of the preliminary experimental tests

796
 797
 798 In the comparison tests presented in paragraph 2.4.1, theoretical power analysis for cooling mode
 799 proved higher heat exchanger power for GeoUWT with peaks of improvement up to 200% in
 800 comparison with the conventional HHE, highly dependent also on weather conditions. Having a high
 801 frequency of temperature measurements, it was then possible to integrate all power results, avoiding
 802 considerable errors, thus getting a preliminary quantification of heat dissipation capacity of GeoUWT
 803 with respect to simple HHE. Total thermal energy dissipated in two systems, at different time periods
 804 of heat injection, is presented in Table 56. Further details can be found in Tinti et al. [43].

805
 806

807 Table 6. Thermal energy dissipated in the ground during the TRT tests by GeoUWT and conventional
 808 HHE both in summer and winter. T_s is the undisturbed temperature of the soil at the start of the test

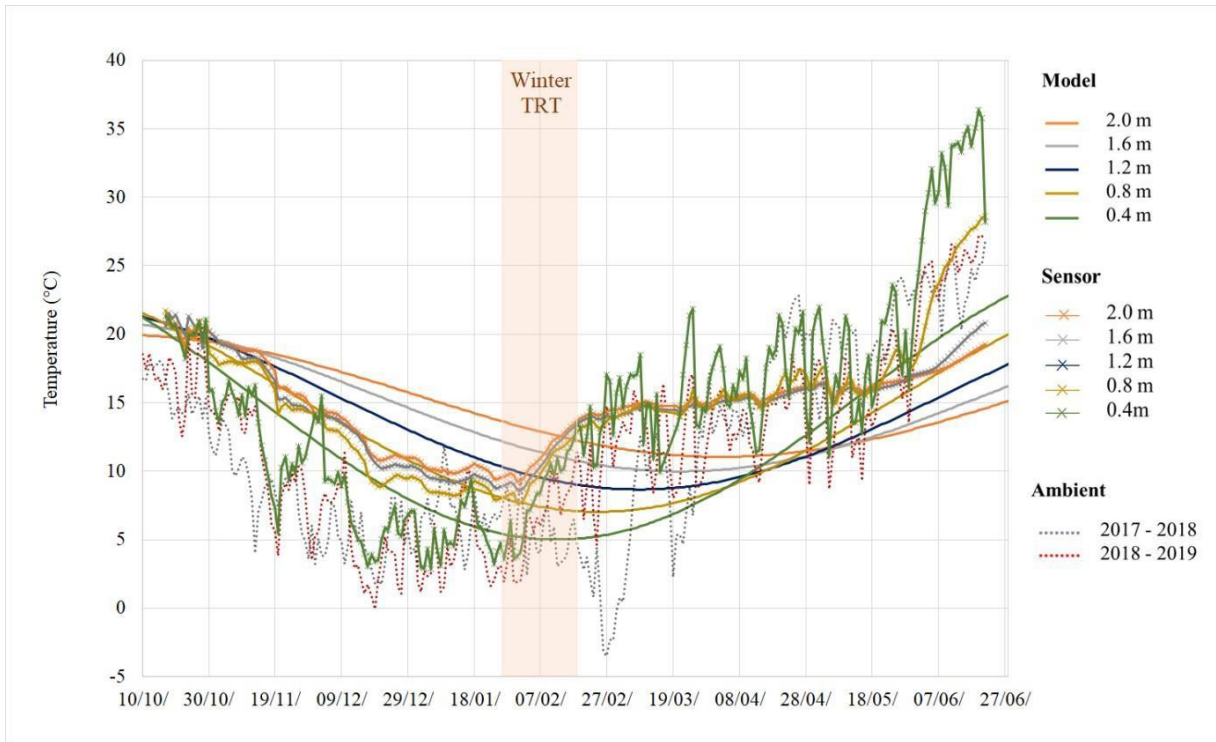
809

TRT Results	Summer $T_s = 24.8^\circ\text{C}$		Winter $T_s = 12.9^\circ\text{C}$	
	GeoUWT (kWh)	Conventional HHE (kWh)	GeoUWT (kWh)	Conventional HHE (kWh)
12	6.087	0.838	10.876	2.897
24	14.243	4.702	21.256	6.182
48	19.719	9.568	29.722	12.764
60	26.830	11.899	41.203	16.168

72	33.836	14.100	50.758	21.692
84	40.361	16.056	58.606	29.194
96	46.657	17.991	66.558	35.867
108	52.488	19.637	75.637	40.774
120	58.194	20.963	84.105	47.136

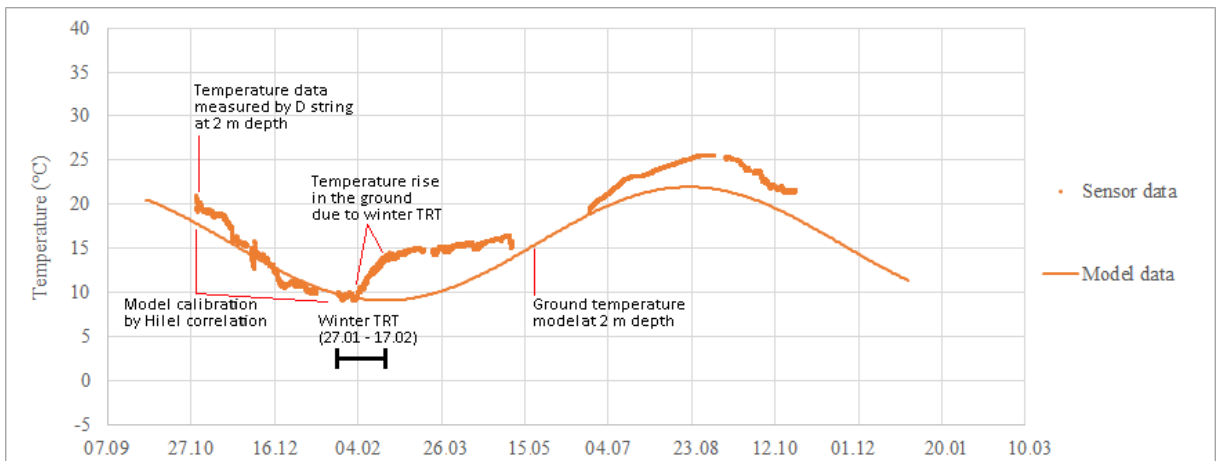
810
811
812 Despite the claimed advantages for cooling, further possibilities should be explored for a continuous
813 work during the whole year, overall to avoid freezing problem in winter season. These reside in the
814 potential for injecting and storing heat in winter and for the potential of re-using and changing the water
815 in the annulus, thus partially restoring the natural state condition.
816 Moreover, thanks to the monitoring system of ground temperature during the test period, it was possible
817 to verify that GeoUWT did not cause a faster thermal depletion of the surrounding ground than
818 conventional HHE. On the contrary, theoretical efficiency of injected heat dissipation was higher in
819 GeoUWT than in conventional HHE: 1.5 - 2.5 % in summer season and 5.0 – 10.0% in winter season
820 [44]. Possible explanations for this reside in the larger heat exchange area and the induced natural
821 convection effects inside of the casing.

822
823
824 **3.2 Undisturbed ground temperature analysis**
825
826 An estimation of the ground temperature wave around the GeoUWT is needed, from the surface to the
827 final depth (2m), to get the natural conditions where the analysis has been conducted. The wave was
828 constructed by performing Equation (8).
829 Basic statistical method RMSE (Root Mean Square Error) was used to determine the deviation of
830 synthetic model from measured data (Table 7). The required initial attempt value of equivalent effective
831 thermal diffusivity, α_{eff} for the ground environment was estimated according to catalogue values for soil
832 type at the test site and previously estimated values for similar soil formation near to the test site [43].
833 *Microsoft Excel Solver Add-in* was used in order to find the minimal value of deviation by changing the
834 value of thermal diffusivity for the five layers, from 0.4 to 2.0 m depth. Results of the analysis are
835 presented in Figure 11 (evidencing the discarded TRT period, with probable local thermal disturbance
836 on the ground), while the development of the model for the whole year is shown in Figure 12.



837

838 Figure 11. Wave temperature analysis in the ground from 0.4 to 2.0 m depth. 839



840 Figure 12. Wave temperature model of undisturbed ground at 2 m depth.

841 Table 7. Results of effective ground thermal diffusivity.

Sensor name	t_5	t_4	t_3	t_2	t_1
Depth (m)	0.4	0.8	1.2	1.6	2.0
Hillel model					
average α_{eff} (D, E) (m^2/d)	0.03	0.03	0.03	0.03	0.03
average RMSE (D, E) ($^{\circ}C$)	3.887	2.418	1.814	3.110	2.240

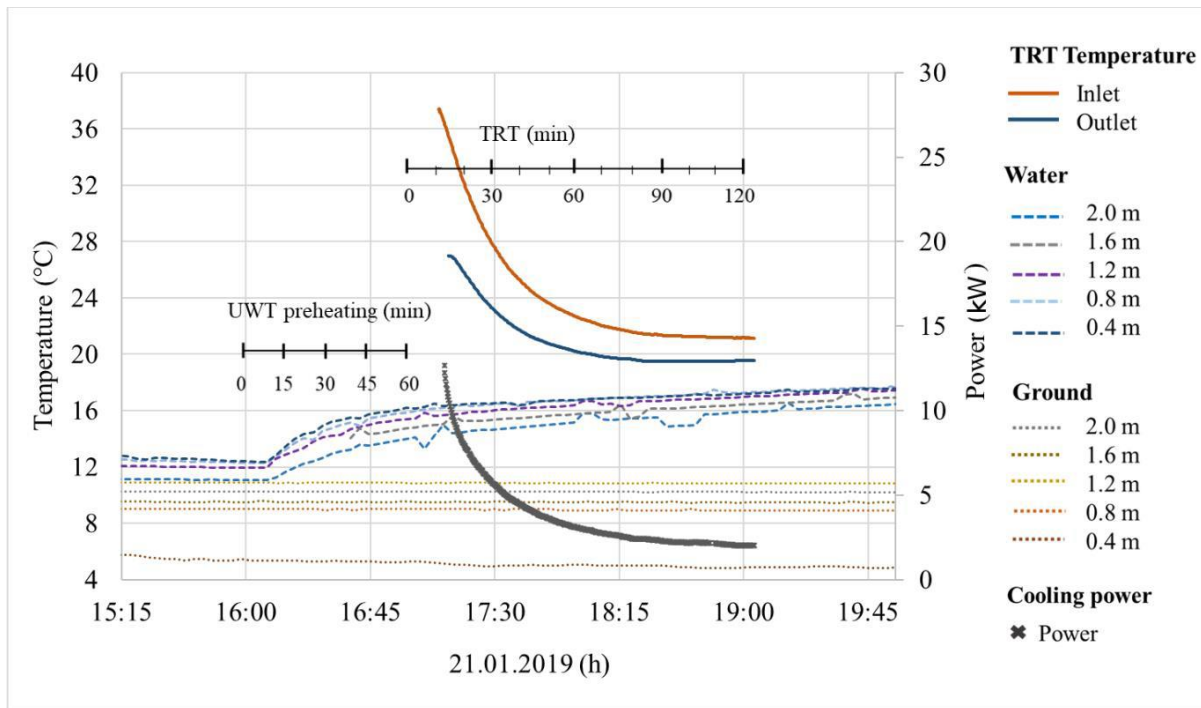
847

848 Predicting ground temperature wave is useful to determine the GeoUWT behaviour (in both charge and

849 discharge phases) subjected to different boundary conditions in the surrounding ground.

3.3 *Results of the dedicated TRT data analysis*

850
851
852 Using the data of paragraph 2.4.3, a dedicated TRT was conducted on GeoUWT on 21st of January
853 2019, to recreate a similar situation to that of the cattle barn case study (Figure 13), with recordings at
854 time step of 15 seconds. At the beginning of the test, water temperature was around 11°C. Circulation
855 with the turned-on heaters was conducted for one hour in order to heat up the water inside the protective
856 casing from undisturbed 11°C to 14°C, which is the constant temperature of the cow's drinking water
857 supply coming from the groundwater well. Real time monitoring of temperature water along the strings
858 A, B and C allowed reaching the desired value. Afterwards, water inside the tank of M-TRT machine
859 was heated up, without circulation, to approximately 40°C, which is the temperature of the milk at the
860 cow barn after production. All three heaters of the M-TRT machine were switched on during the
861 heating, with power approximately 1300 W. While heating the water, no circulation in the HHE was
862 allowed. After temperature of the water reached target temperature of 40°C, circulation started, with
863 heaters still on. Theoretical power of the heat exchange was calculated for each interval of recorded
864 inlet and outlet temperature from M-TRT machine. Since energy consumption of the milk refrigerating
865 process depends on the initial temperature of the stored milk, attempt of this test was to estimate the
866 minimal achievable temperature of the circulating fluid via heat exchange with the water inside the
867 GeoUWT. The test ended when the temperature of the water inside of the GeoUWT approximately
868 reached target temperature of optimal drinking water conditions for the cows (18°C). At the same time,
869 the resulting temperature differences of the inlet working fluid at the beginning of the test (40°C) and
870 the end of the test (20.5°C) were recorded. With this information, it was possible to insinuate the
871 achievable temperature difference of the produced milk after heat exchange with water inside of
872 GeoUWT. The period of heat injection (with subsequent cooling of the M-TRT machine's tank water)
873 and heat exchange between the HHE and the water inside the GeoUWT, lasted 1.9 h. During the TRT,
874 no modifications on ground temperature were recorded by the D string.



875
 877 Figure 13. TRT dedicated test to simulate the inclusion of GeoUWT in the cow barn milking process.
 878

879
 880 Moreover, the discharge phase, between two milking sessions, has been calculated as well, to
 881 understand the storage potential of GeoUWT.
 882

883 Heat exchange was calculated for each measured time step. The temperature release in the circulating
 884 fluid follows a logarithmic behaviour.

885 By using the procedure described by Equations (9-14) in paragraph 2.4.3, it has been possible to get an
 886 estimation of energy dissipated, peak and average power, water temperature reached in the tank and
 887 time to reach the target temperature of 18°C for each different case of starting water temperature (see
 888 Table 8).

889 Table 8: Interest parameters calculated for the specific test conditions of TRT and estimated for other
 890 starting temperature.
 891

Interest parameters	TRT ($T_{\text{start}} = 15.4^{\circ}\text{C}$)	$T_{\text{start}} 10^{\circ}\text{C}$	$T_{\text{start}} 11^{\circ}\text{C}$	$T_{\text{start}} 12^{\circ}\text{C}$	$T_{\text{start}} 13^{\circ}\text{C}$	$T_{\text{start}} 14^{\circ}\text{C}$	$T_{\text{start}} 15^{\circ}\text{C}$	$T_{\text{start}} 16^{\circ}\text{C}$	$T_{\text{start}} 17^{\circ}\text{C}$	$T_{\text{start}} 18^{\circ}\text{C}$	$T_{\text{start}} 19^{\circ}\text{C}$	$T_{\text{start}} 20^{\circ}\text{C}$
Energy GeoUWT after 1 h (kWh)	2.83	3.75	3.58	3.41	3.25	3.08	2.91	2.74	2.58	2.41	2.24	2.07
Energy GeoUWT after 2 h (kWh)	3.97	5.25	5.02	4.78	4.55	4.31	4.08	3.84	3.61	3.37	3.14	2.90
Average power after 1 h (kW)	2.82	3.73	3.57	3.40	3.23	3.07	2.90	2.73	2.57	2.40	2.23	2.06
Average power after 2 h (kW)	1.63	2.15	2.06	1.96	1.87	1.77	1.67	1.58	1.48	1.38	1.29	1.19
Water temperature reached after 2 h ($^{\circ}\text{C}$)	16.86	15.09	15.24	15.46	15.74	16.12	16.59	17.17	17.83	18.59	19.41	20.28
Time to reach target temperature 18°C (h)	4.76	7.16	7.01	6.79	6.47	5.98	5.24	4.14	2.48	0.00	-3.72	-9.31

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The following considerations apply:

- 895
- 896 - By the modelling, it has been possible to define the behaviour of GeoUWT for the exact
 897 temperature of the water well, which is 14°C .
 - 898 - At temperature of 14°C , energy dissipated in the GeoUWT for the 2 hours of the test is 4.31
 899 kWh. After 2 hours, tank water temperature reaches 16.2°C , while around 6 hours of heat
 900 injection are necessary to reach 18°C .
 - 901 - The modelling allows defining the behaviour of the system for different starting temperature,
 902 which is the case if ground and water temperature are influenced by weather variations (not the
 903 case of water taken from the well). In fact, in case water is taken by aqueduct, with pipes buried
 904 at 1.5-2.0 m depth, its temperature can vary from $5-8^{\circ}\text{C}$ (winter) to $22-25^{\circ}\text{C}$ (summer),
 905 following seasonal ground temperature behaviour (See Figure 12). The model allows also
 906 defining the behaviour of the system in these conditions.
 - 907 - To get 18°C within the two hours of the test, a starting temperature of more than 17°C is needed.
 908 In that case, the dissipated energy of milk precooling would be less, around 3.61 kWh.
 909

910
 911 The data correspondent to initial temperature of 14°C are assigned to the HHE in the test conditions
 912 and they are used for the energy improvement of the cow barn case study.

913 After that, thermal storage potential has been calculated by following the procedure expressed by
 914 Equations (15-17). Results have been obtained for two distinct situations:

- 915 - Situation A: water for cows is taken from the well (Table 9). In this case, the initial temperature
 916 is always at 14°C, independently from the ground temperature.
- 917 - Situation B: water for cows is taken from the aqueduct (Table 10). In this case, the initial water
 918 temperature is conditioned by the ground temperature. In the present case study, the
 919 temperature monitoring inside the GeoUWT and in the ground allowed considering a difference
 920 among them around 1.5 °C constant throughout the year.

921 Table 9: Time for heat release calculated for the situation A, with water taken from the well, at
 922 constant temperature of 14°C. In the table it is evidenced the specific case investigated in this work.
 923

<i>Time for heat release (h)</i>		<i>Temperature reached (°C)</i>												
		15,09	15,24	15,46	15,74	16,12	16,59	17,17	17,83	18,59	19,41	20,28		
Ground temperature (°C)	8.0	Water temperature, from the well (°C)	14.0	1.34	1.51	1.74	2.04	2.42	2.88	3.39	3.96	4.55	5.15	5.74
	8.5		14.0	1.45	1.63	1.88	2.20	2.61	3.09	3.64	4.24	4.86	5.48	6.10
	9.0		14.0	1.58	1.78	2.05	2.39	2.83	3.34	3.93	4.56	5.21	5.87	6.51
	9.5		14.0	1.74	1.96	2.24	2.62	3.09	3.64	4.27	4.94	5.63	6.32	7.00
	10.0		14.0	1.93	2.17	2.49	2.90	3.40	4.00	4.67	5.38	6.12	6.85	7.56
	10.5		14.0	2.18	2.44	2.79	3.24	3.79	4.44	5.16	5.93	6.71	7.48	8.23
	11.0		14.0	2.49	2.78	3.17	3.67	4.28	4.99	5.77	6.59	7.43	8.25	9.04
	11.5		14.0	2.90	3.24	3.67	4.23	4.91	5.70	6.55	7.45	8.34	9.22	10.06
	12.0		14.0	3.49	3.87	4.38	5.02	5.78	6.66	7.60	8.58	9.55	10.49	11.38
	12.5		14.0	4.38	4.84	5.43	6.17	7.05	8.04	9.09	10.16	11.22	12.23	13.18
	13.0		14.0	5.91	6.47	7.20	8.08	9.11	10.24	11.43	12.62	13.78	14.88	15.90
	13.5		14.0	9.28	10.01	10.92	12.02	13.26	14.59	15.96	17.30	18.58	19.78	20.88

963 For many working conditions, heat losses are present (see Table 8 for comparison with injected heat).
964 Therefore, only one part of the injected heat is stored in the tank. As an example, for the selected case
965 study (red box in the Tables), of the 4.310 kWh injected in the two operation hours, 0.660 kWh are
966 transmitted to the ground, while 3.650 kWh are stored. Being the heat losses relatively small with
967 respect to the total heat injected, the ground temperature variation around the GeoUWT during the two
968 operation hours was not taken into consideration at this stage of research.

969 A research survey allows analysing different milk pre-cooling solutions in case-study region. Currently,
970 milk direct pre-cooling systems circulate wastewater used for cleaning and provide ΔT of 10-12°C, with
971 milk temperature decrease from 40°C to 30°C, approximately. On the other hand, ΔT of approximately
972 20°C from dedicated TRT indicates the opportunity for significant milk-precooling potential of
973 GeoUWT concept. Final temperature of the water inside the GeoUWT is in the range with optimal
974 temperatures of the drinking waters for the cows. With the available information related to the ground
975 annual temperature profiles (Figure 12), it is possible to consider the storage function of the GeoUWT
976 for the water at requested temperature range.

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980 **3.4 Sizing of the GeoUWT for the case study**

981 Several scenarios were developed for the estimation of the impact of GeoUWT implementation in the
982 case study based on the combination of the data from Azienda Agricola Famiglia Montagnini and from
983 other barns in Emilia Romagna Region, resulting in the theoretical model of 300 cows (see Table 2).

984 A specific insight about effect of different input parameters has been applied. As a fixed scenario,
985 complete temperature recovery of GeoUWT system is expected between the milk production sessions.
986 Implementation of the novel system of water-heating/milk-cooling in the dairy barn would require
987 installation of circulation pump to induce circulation of the working fluid from the tank with milk to
988 GeoUWT.

989 The target temperature of milk precooling defines the energy needed by the system, and so how many
990 GeoUWTs must be used. Equations (3-5) have been applied for this scope.

991 Calculated energy data of the GeoUWT from the dedicated TRT on the prototype are presented in Table
 992
 993 12. The rough evaluation of electric energy cost in Emilia Romagna region is also reported.
 994

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Table 12. Energy data of the GeoUWT.

Input parameter	Value	Unit
En_{GeoUWT}	4.31	kWh
P_{pump}	50	W
t_s	2	h
Electric energy cost	0.3	€/kWh

1001

1002 A complete preliminary investment analysis is presented in Annex Table A3, with the hypothesis of
 1003 installation of 25 GeoUWTs, by homemade solution, supposing that the equipment and man-work are
 1004 already available for the farm.

1005 Different target temperatures of the milk were taken into consideration, with fixed number of the cows
 1006 in the theoretical barn model, so to define the energy needed by the system for different configurations
 1007 with Equations (3-5).

1008 This allowed to compare the results of necessary number of GeoUWTs to suit the energy demand of
 1009 single milk session with different target ΔT of produced and precooled milk (Table 13).

1010 Table 13. Data set of number of required GeoUWTs, based on different target milk temperature, for
 1011 300 cows.

1012

Target T_{milk} (°C)	En / session (kWh)	n_{GeoUWT} (milk precooling)	El_{pump} / year (kWh)	Yearly pump energy cost (€)	Initial investment (€)
29.0	60.04	14	1 022.00	306.60	13 758
28.0	65.50	16	1 168.00	350.40	15 770
27.0	70.96	17	1 241.00	372.30	16 855
26.0	76.42	18	1 314.00	394.20	17 781
25.0	81.88	19	1 387.00	416.10	18 946
24.0	87.33	21	1 533.00	459.90	21 038
23.0	92.79	22	1 606.00	481.80	22 043
22.0	98.25	23	1 679.00	503.70	23 049
21.0	103.71	25	1 825.00	547.50	25 140
20.0	109.17	26	1 898.00	569.40	26 146

1013 Full version of this table with comparison of required number of GeoUWT for different water demand
1014 scenarios is present in Annex Table A1. Being the water volume contained inside a GeoUWT equal to
1015 576 l, it has been possible to calculate the water availability for the different drinking scenarios
1016 considered, varying from 75 l/session up to 200 l/session, using Equation 6. For set requirements of
1017 selected typical barn, n_{GeoUWT} necessary to cover drinking water demand exceeds the required number
1018 of units to cover milk precooling energy demand. Being the GeoUWT used for both purposes at the
1019 same time, according to the chosen scenario, the user can choose to dimension the field either based on
1020 water demand, thus covering the whole energy need, or based on energy demand, thus covering a
1021 percentage of the whole water need.

1022 In this way, it was possible to find the most suitable combination according to the farm owner
1023 preferences. The number of installed GeoUWT can be chosen to entirely cover the milk precooling
1024 energy needs, or, on the other hand, to supply cows with optimal temperature of water, according to the
1025 four proposed water demand scenarios. Moreover, additional analysis was done for the target $\Delta T = 20$
1026 °C, corresponding to the performed dedicated TRT and optimal drinking water temperature, for variable
1027 number of cows (Table 14). This approach gives insight about required number of installed GeoUWT
1028 units for smaller barns or increase of cattle number for existing barns.

1029 Table 14. Data set of number of GeoUWTs, based on different number of cows, for target milk
 1030 temperature 20°C.

1031

n_{cows}	En / session (kWh)	n_{GeoUWT} (milk precooling)	El_{pump} / year (kWh)	Yearly pump energy cost (€)	Initial investment (€)
20	7.28	2	146	43.80	1 451
50	18.19	5	365	109.50	4 468
100	36.39	9	657	197.10	8 570
150	54.58	13	949	284.70	12 673
200	72.78	17	1241	372.30	16 855
250	90.97	22	1606	481.80	22 043
300	109.17	26	1898	569.40	26 146
500	181.94	43	3139	941.70	43 641
1000	363.89	85	6205	1 861.50	86 836

1032
 1033
 1034 Full table of corresponding required number of GeoUWTs for fixed precooling ΔT and fulfilling water
 1035 demand for different scenarios and variable number of the cows is presented in Annex Table A2.

1036 With respect of the chosen model of typical Emilia-Romagna Region barn, two possible solutions for
 1037 required number of GeoUWTs were selected: the first one based on the energy demand for precooling
 1038 produced quantity of milk for target milk temperature 20°C and the second one based on sufficient
 1039 water volume at optimal temperature for drinking or/and cooling purposes. For all scenarios presented
 1040 in Section 2.3, alternative numbers of GeoUWTs, based on water and energy demand, have been
 1041 calculated. Table 15 shows the results for winter scenarios (1a and 1b), while Table 16 shows the
 1042 results for summer scenarios (1c and 1d), both on the typical case study barn. It is worth noticing to say
 1043 that once dimensioned the ground heat exchangers field for one of the two seasons, the same system
 1044 will be used also for the remaining one, at the best of its capacity.

1045
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 1047
 1048 Table 15. Winter scenarios for the required number of the installed GeoUWTs

1049
 1050

	Scenario 1a	Scenario 1b
$V_{w,GeoUWT}(l)$	576	576
n_{cows}	300	300
$n_{s, day}$	2	2

Per day		
Drinking water needs / cow (l)	150	200
Total water demand (l)	45 000	60 000
Per session		
Drinking water needs / cow (l)	75	100
Total water demand (l)	22 500	30 000
n_{cows} / GeoUWT (water demand)	8	6
n_{cows} / GeoUWT (milk precooling)	12	12
En (kWh)	109.17	109.17
El_{pump} , (kWh) (water demand)	3.9	5.2
El_{pump} , (kWh) (milk precooling)	2.6	2.6
n_{GeoUWT} (water demand)	40	53
n_{GeoUWT} (milk precooling)	26	26

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Table 16. Summer scenarios for the required number of the installed GeoUWTs

	Scenario 1c	Scenario 1d
$V_{w,GeoUWT}$ (l)	576	576
n_{cows}	300	300
$n_{s, day}$	2	2
Per day		
Drinking water needs / cow (l)	200	200
Cooling water needs / cow (l)	0	200
Total water demand (l)	60 000	120 000
Per session		
Total water demand / cow (l)	100	200
Total water demand (l)	30 000	60 000
n_{cows} / GeoUWT (water demand)	6	3
n_{cows} / GeoUWT (milk precooling)	12	12
En (kWh)	109.17	109.17
El_{pump} , (kWh) (water demand)	5.2	10.4
El_{pump} , (kWh) (milk precooling)	2.6	2.6
n_{GeoUWT} (water demand)	53	105
n_{GeoUWT} (milk precooling)	26	26

1056

1057 Tables 15 and 16 clearly show that it is possible to install a system of ground heat exchangers capable
1058 to meet the requirement of precooling the entire milk yield and to rise the temperature of drinking water
1059 to the target set point. In particular, an investment of 53 GeoUWTs appears suitable to assure a quantity

1060 of drinking water at appropriate temperature which can fully cover the demand of a high producing
1061 herd: in winter time this is a necessary condition to allow a water intake adequate to high production
1062 performances. At the same time, the same investment is suitable to assure all the necessary drinking
1063 water at optimal temperature also in summer period. In any case a smaller investment, involving only
1064 40 GeoUWTs, is enough to assure milk precooling and proper heating of a quantity of drinking water
1065 adequate to the current standard production of the farm, however it is not enough in case milk yield
1066 substantially increases, e.g. by 15%. On the other hand, a notably greater field, comprising 105
1067 GeoUWTs, would be suitable to provide, in the warm season, also sprinkler water at the preferred
1068 temperature.

1069 Novel GeoUWT configuration has a significant potential for providing clean and renewable solution
1070 for precooling the produced milk on dairy barns. Temperature difference of precooling achieved with
1071 this concept is greater than that obtained by conventional direct milk precooling systems, which
1072 exchange heat with water to be used for cleaning purposes. Besides, multipurpose of GeoUWT concept
1073 is what provides an additional value since the same water used as heat sink for precooling the milk can
1074 be used as drinking water for cows. Optimal temperature of drinking water for cows is expected to
1075 improve living conditions and thus to increase the production of milk. At the same time, the frequent
1076 water substitution, temperature driven, guarantees the restoration of the heat exchange potential of
1077 GeoUWT, avoiding the ground thermal saturation in the surroundings.

1078 Even though tested GeoUWT prototype is installed in very shallow depth of 2 m, hence is affected by
1079 seasonal ambient conditions, several advantages of such kind of configuration can be shown. The most
1080 important is the simplicity of installation and corresponding costs since depth up to 2 m can be
1081 excavated with digging machines which are expected to already be available in farms. Generally, the
1082 most expensive part of installation of ~~GHE~~ ground heat exchangers for shallow geothermal systems is
1083 drilling/excavating part. Moreover, such a size makes the system easily adaptable for variable number
1084 of cows and provides extra flexibility for farmers in terms of deciding about the leading parameter for
1085 dimensioning the system: energy for milk precooling, target temperature water supply or cost.

1086 Further research on this aspect is necessary, as well as considering various input parameters such as
1087 water supply form different sources and at different temperatures. With the respect of chosen number

1088 of installed GeoUWT units, pre-planned connection between the units can help in achieving the most
1089 efficient configuration, since some of the unites could be left inactive during the winter period, without
1090 cooling needs.

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4. CONCLUSIONS

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1095 An original application of UWT as UTES was investigated to define a smart system to improve energy
1096 efficiency in the dairy livestock sector. The study of energy and water requirement of dairy barns
1097 showed that a suitable application for the UTES technology in the form of a spiral-shaped pipe
1098 immersed in a fluid, called GeoUWT, can perform suitably to match the energy demand for milk
1099 cooling with the needs of water heating for cow drinking and watering.

1100 The study assessed the technical feasibility of the implementation of such a shallow geothermal system
1101 in the dairy livestock farming sector. Specifically, the study analysed the application of a new system
1102 to enhance the free heat/cool exchange between water and milk in a case study cow barn in Northern
1103 Italy.

1104 The performances of GeoUWT were tested in the LAGIRN Lab of University of Bologna. The test
1105 aimed at identifying the GeoUWT heat exchange potentiality using different experimental sets, one of
1106 those was specifically designed for the system application in a cow barn, since the fluid temperature
1107 was set according to cow milk temperature. Results demonstrate the efficacy of GeoUWT if compared
1108 to traditional shallow geothermal systems. They also showed the increased efficiency due to regular
1109 changes of thermal exchange fluid.

1110 Scientific literature review and surveys in several cow barns carried out by the research group allowed
1111 to achieve data about milk production, water consumptions in different seasons etc., allowing to create
1112 a sound data set as input data in the study. Experimental tests and surveys assured the reliability of study
1113 simulations.

1114 The study of energy needs and water usage of dairy barns showed that a suitable application for the
1115 GeoUWT can enhance the direct thermal exchange between milk and water. Preliminary calculations,
1116 in fact, showed the suitability to match the energy demand for milk cooling with the needs of water
1117 heating for cow drinking and watering.

1118 Temperature difference of precooling milk achieved with this concept is greater than conventional
1119 direct systems; besides, multipurpose of GeoUWT concept provides an added value since the same
1120 water used as heat sink for precooling the milk can be used as drinking water for cows.

1121 Four scenarios have been created to simulate recurrent different conditions that can be found in the case
1122 study barn. The calculations have been implemented considering that all the water used for milk/water
1123 thermal exchange is necessary water for the barn operations (drinking, soaking and cleaning). The
1124 calculations were made fixing the target temperature of the water to 18.0°C, which is considered in
1125 literature the proper temperature of drinking water for cows. The analysis of the scenarios showed that
1126 different options are available in terms of levels of investment, depending on the quantity of drinking
1127 or sprinkler water that is meant to be led to the target temperature, thanks to the scalability of the system
1128 proposed. Moreover, the heat exchange potential of the system is planned to be exploited both in the
1129 cold and in the warm season.

1130 The results show the theoretical feasibility of the system and the enhancement of the free exchange due
1131 to the GeoUWT, moreover the necessity of the water usage allows proper fluid changes in the GeoUWT
1132 increasing its efficiency. Another important result concerns the use of GeoUWT as water thermal
1133 storage in fact the water can be kept at the fixed temperature, so that GeoUWT provides short-term
1134 underground heat storage with enhanced direct thermal exchange between water and milk.

1135 Through a dedicated design of the GeoUWT field, this system can provide the water at the proper
1136 temperature with no need of heaters and can reduce the power and the electric consumption to the milk
1137 refrigerators. Moreover, the provision of water at the proper temperature is expected to improve living
1138 conditions and increase water intake, thus increasing milk production, as acknowledged by survey and
1139 interviews with farmers and technicians of the sector.

1140 Finally, this paper demonstrated the theoretical feasibility of the system, based on scientific literature,
1141 survey and experimental data. Further studies will focus on the technical feasibility by means of
1142 experimental tests in the case study barns. They also will concern on the system equipment (such as
1143 pumps, pipes, valves, control systems, etc.) and they will consider maintenance procedure to guarantee
1144 the correct hygiene and therefore the system safety.

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1146
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1151 Lab of University of Bologna.

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APPENDIX

Table A1. Results of required number of GeoUWTs, supplied cows and pump energy consumption for fixing 300 cows for various target T_{milk} .

Target T_{milk} ($^{\circ}C$)	ΔT ($^{\circ}C$)	En/ session (kWh)	n_{GeoUWT}	V_w in GeoUWTs (l)	$n_{cows \& GeoUWT}$ (milk precooling)	Cows supplied by hot water (1a)	Cows supplied by hot water (1b,1c)	Cows supplied by hot water (1d)	El_{pump} / session (kWh)	El_{pump} / day (kWh)	El_{pump} / year (kWh)	Yearly pump energy cost (€)
29.0	11.0	60.04	14	8 064	22	36%	27%	13%	1.40	2.80	1 022.00	306.60
28.0	12.0	65.50	16	9 216	19	41%	31%	15%	1.60	3.20	1 168.00	350.40
27.0	13.0	70.96	17	9 792	18	44%	33%	16%	1.70	3.40	1 241.00	372.30
26.0	14.0	76.42	18	10 368	17	46%	35%	17%	1.80	3.60	1 314.00	394.20
25.0	15.0	81.88	19	10 944	16	49%	36%	18%	1.90	3.80	1 387.00	416.10
24.0	16.0	87.33	21	12 096	15	54%	40%	20%	2.10	4.20	1 533.00	459.90
23.0	17.0	92.79	22	12 672	14	56%	42%	21%	2.20	4.40	1 606.00	481.80
22.0	18.0	98.25	23	13 248	14	59%	44%	22%	2.30	4.60	1 679.00	503.70
21.0	19.0	103.71	25	14 400	12	64%	48%	24%	2.50	5.00	1 825.00	547.50
20.0	20.0	109.17	26	14 976	12	67%	50%	25%	2.60	5.20	1 898.00	569.40

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Table A2. Results of required number of GeoUWTs and related pump energy consumption for ΔT 20 $^{\circ}C$ for various number of cows

n_{cows}	En/ session (kWh)	n_{GeoUWT} (milk precooling)	Cows supplied by hot water (1a)	Cows supplied by hot water (1b,1c)	Cows supplied by hot water (1d)	El_{pump} / session (kWh)	El_{pump} / day (kWh)	El_{pump} / year (kWh)	Yearly pump energy cost (€)
20	7.28	2	77%	58%	29%	0.2	0.4	146	43.80
50	18.19	5	77%	58%	29%	0.5	1.0	365	109.50
100	36.39	9	69%	52%	26%	0.9	1.8	657	197.10
150	54.58	13	67%	50%	25%	1.3	2.6	949	284.70
200	72.78	17	65%	49%	24%	1.7	3.4	1241	372.30
250	90.97	22	68%	51%	25%	2.2	4.4	1606	481.80
300	109.2	26	67%	50%	25%	2.6	5.2	1898	569.40
500	181.9	43	66%	50%	25%	4.3	8.6	3139	941.70
1000	363.9	85	65%	49%	24%	8.5	17.0	6205	1 861.50

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Table A3. Preliminary analysis of installation costs for a scenario of 25 GeoUWTs.

Excavator rent	n_{GeoUWT}	Height (m)	Width (m)	Length (m)	Hours / GeoUWT	Working hours/day	days	€/day	
Hole excavating	25	2.5	1	1	1	12	3		
Duct excavating	25	0.5	0.5	0.5	0.5	12	2		
GeoUWT placement	25	2	0.6	0.6	0.2	12	1		
GeoUWT burying	25	2.5	1	1	0.4	12	1		
Duct burying	25	0.5	0.5	0.5	0.05	12	1		
Total							8	80	640
GeoUWT	n	Height (m)	Width (m)	Length (m)				€/GeoUWT	
External tank (prototype)	25	2	0.6	0.6				500	12 500
	n	External diameter (mm)	Internal diameter (mm)	m	Coils/HHE	Spacing (mm)		€/pipe	
HHE PE-X (material)	25	25	20	40	26	80		150	3 750
	n	HHE diameter (mm)	HHE length (m)	Weight (kg)	Hours / HHE	Working hours/day	days	€/day	
HHE (installation in the UWT)	25	500	2	7.5	2	12	5	50	250
PE Pipes connections (materials)	n	External diameter (mm)	Internal diameter (mm)	PN	Average length (m)		Total length (m)	€/m	
PE inlet pipes	50	32	26	16	50		2 500		
PE outlet pipes	50	32	26	16	50		2 500		
Total							5 000	1.5	7 500
Pipe welding	n	n welding / GeoUWT	n welding / milk collector	n welding / water well	n valves / cows	n valves / milk	Total number	€/each	
	25	4	2	1	1	2	250	2	500
TOTAL									25 140

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October 19th, 2019

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