

Review

# The Pulsed Electron Deposition Technique for Biomedical Applications: A Review

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**Abstract:** The “pulsed electron deposition” (PED) technique, in which a solid target material is ablated by a fast, high-energy electron beam, was initially developed two decades ago for the deposition of thin films of metal oxides for photovoltaics, spintronics, memories, and superconductivity, and dielectric polymer layers. Recently, PED has been proposed for use in the biomedical field for the fabrication of hard and soft coatings. The first biomedical application was the deposition of low wear zirconium oxide coatings on the bearing components in total joint replacement. Since then, several works have reported the manufacturing and characterization of coatings of hydroxyapatite, calcium phosphate substituted (CaP), biogenic CaP, bioglass, and antibacterial coatings on both hard (metallic or ceramic) and soft (plastic or elastomeric) substrates. Due to the growing interest in PED, the current maturity of the technology and the low cost compared to other commonly used physical vapor deposition techniques, the purpose of this work was to review the principles of operation, the main applications, and the future perspectives of PED technology in medicine.

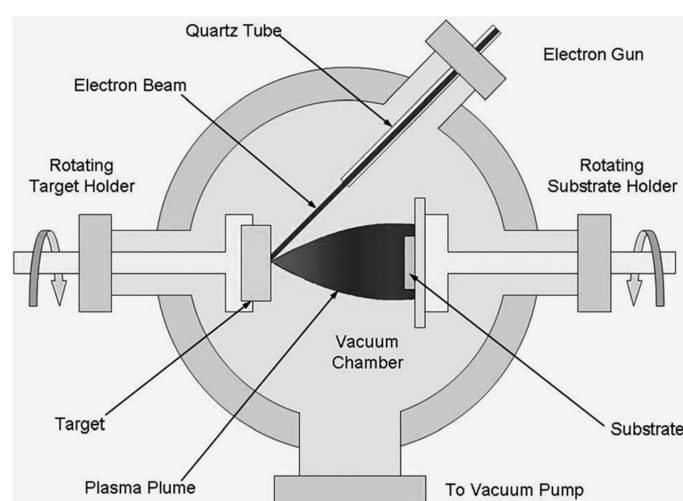
**Keywords:** pulsed electron deposition; thin films; orthopedic applications; bioactivity; ceramic coatings; yttria-stabilized zirconia; calcium phosphates; hydroxyapatite; biomimetic coatings; antibacterial coatings

## 1. Introduction

In the frame of physical vapor deposition (PVD) techniques, pulsed electron deposition (PED) is a well-established technology to fabricate thin films for photovoltaic, superconductor, and optoelectronic applications [1–7]. PED technology belongs to the family of the channel spark discharges, a type of hollow cathode glow discharge in which a target material is ablated by the local heating induced by an accelerated electron beam [8,9]. At the very beginning, this technique was mainly employed for the deposition of both inorganic, i.e., superconductive  $\text{MBa}_2\text{Cu}_3\text{O}_{7-x}$  [10], and organic, i.e., polytetrafluoroethylene (PTFE) [11], thin films.

The modifications introduced by Taliani and coworkers, aimed both at improving the reliability of the technique and at increasing the electron beam density [12,13], identify the transition of the PED technology from the traditional channel spark discharge to the pulsed plasma deposition technique

(PPD). Similar to the earlier technology, PPD bases on the extraction of electrons from a plasma generated in a rarefied gas through a narrow channel dielectric [14] (Figure 1). The electron beam generation system mainly comprises a hollow cathode connected to the electrical generator, a ring acting as the anode, and a dielectric tube connecting the cathode and the anode and extending beyond the anode [14]. The negative charges of the generated plasma are accelerated, through the application of an up to 20 kV potential difference between the hollow cathode and the anode, and channeled into the dielectric tube in order to reach the target placed at the right opposite end of the tube [14]. The target material impacted by the fast (200–400 ns) pulsed electrons is emitted in the form of a highly ionized plasma, called plasma plume, with the axis perpendicular to the surface of the target. The plasma plume enters in contact with the substrate where the material is, therefore, deposited, forming a film [14].



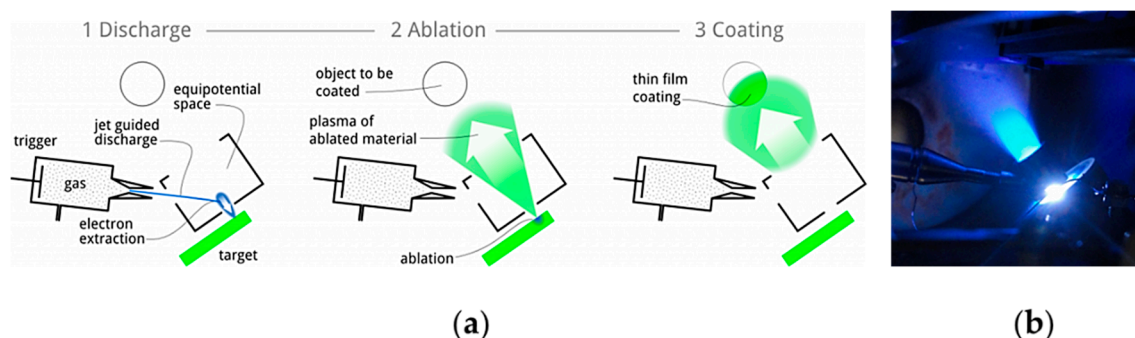
**Figure 1.** Scheme of the pulsed plasma deposition process, reproduced from [15] with permission from the Royal Society of Chemistry.

Conceptually similar to the widely exploited pulsed laser deposition (PLD), PPD shows additional advantages, mainly due to the replacement of the short pulse of photons in PLD with a short pulse of electrons. Indeed, with respect to PLD, PPD also enables one to process transparent or highly reflective materials and it exhibits higher electrical efficiency (30%) and lower capital costs [16]. A further advantage of PPD is the possibility to produce films with a controlled stoichiometry of the target, even for complex compounds, such as  $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$  [2]. In the framework of photovoltaic and optoelectronic applications, PPD has been employed for the deposition of a wide range of inorganic thin films, such as tungsten-doped thin oxide [1,4], pyrite [17], and transparent conductive p-type lithium doped [6] and potassium-doped [7] nickel oxide. Efforts have been also devoted to investigating the role of PPD operating conditions (i.e., substrate temperature, electron beam energy) and of the target's characteristics (such as density and composition) on the properties of the deposited coatings [18–20]. Finally, studies have aimed to evaluate the suitability of PPD technology for industrial applications, and concluded that the contextual use of multiple targets together with the active cooling of the electrodes and the target lead to a reduction of the deposition time and to an improvement of the uniformity of the coating's thickness [18,21].

More recently, a PED evolution named ionized jet deposition (IJD), entered the thin film technology market, with the explicit promise of overtaking the main drawbacks of PPD, such as the need for periodically substituting the dielectric tube confining the electron beam till the target surface, and providing, at the same time, superior power and robustness [22]. The IJD working principle is sketched in Figure 2: IJD is based on a pulsed electron source able to generate in vacuum ultra-short electric discharges in the megawatt range (MW) range, produced with a high voltage pulse amplitude up to 25 kV and a duration lower than 1  $\mu\text{s}$ . The discharge, supported by a gas jet, is directed into a solid target through a system of trigger and auxiliary electrodes, generating a superficial explosion

with the consequent emission of material in the form of a plasma. The plasma emitted by the target produces on the substrate, a dense coating presenting the same composition of the target [22].

With respect to PPD, IJD is characterized by a higher efficiency (82%–88%) [22] and leads to a more optimized stoichiometry conservation, turning out to be particularly suitable for: (i) the deposition of superconducting oxides or photoactive semiconductors, (ii) the production of crystalline thin films, and (iii) deposition on any kind of substrate, including thermo-sensitive materials. IJD has been tested for the deposition of SnS thin film layers, useful for photovoltaic applications [23,24]; amorphous chameleon coatings, namely, hard metal carbides and nitrides [25]; and macroscopically homogeneous, adhesive, and cross-linked poly(methylmetacrylate), polystyrene, polyvinylchloride coatings on stainless steel, and glass substrates [26].



**Figure 2.** (a) Scheme of the ionized jet deposition process; (b) system in operation [22].

As briefly summarized, since its introduction, PED has been exclusively exploited to obtain inorganic and organic coatings for photovoltaic or optoelectronic applications. No biomedicine-related applications of this technology were proposed until 2013 [14]. It should be noted that other, more established techniques have been used, since it takes many years to modify the surface of metals and metal alloys by making use of an electron beam [27]; however, due to the very different working principle compared to PED, these techniques will not be discussed within this review.

## 2. Scope and Methodology of the Review

Moved by the continuous demands for innovative yet sustainable approaches to improve the mechanical and biological performances of common implantable materials in orthopedic and dental fields, a number of PVD technologies have been tested over the years to endow the implants with functional coatings, including PLD [28], magnetron sputtering [29], electron beam physical vapor deposition [30], arc ion plating [31], and cathodic arc deposition [32].

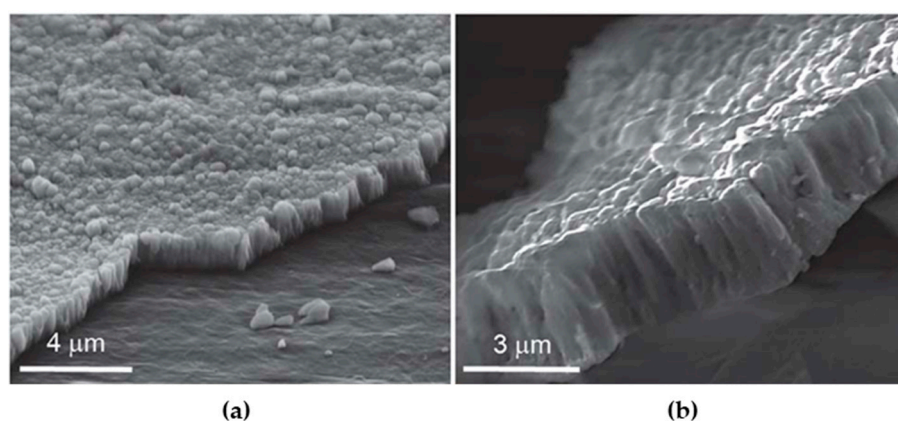
In this bio-context, PED has been only recently explored, triggered by the opportunity to obtain well-adhered, nanostructured, and stoichiometric thin films, and coatings on metallic, ceramic, or plastic substrates, along with lower costs for industrial up-scaling compared to competitor techniques. The aim of this work was, thus, to review and discuss the main scientific literature concerning bio-applications of the PED technology, in order to highlight the potentialities and main drawbacks, and point out future directions for the spread of this breakthrough technology in different biomedical sectors.

A systematic search using Google Scholar, Scopus, Web of Science, and Google Patents from 1996 until November 2019 was performed. Principal search strings to filter among the different techniques were: “channel spark discharge”, “channel spark ablation”, “pulsed plasma deposition”, “pulsed electron deposition”, “pulsed electron ablation”, and “ionized jet deposition”. Each of these terms was searched together with one or more of the following terms: “biomedical applications”, “ceramic”, “(hard) coatings (or thin films)”, “load bearing”, “articulating surfaces”, “orthopedic (or dental) application”, “bioactive”, “hydroxyapatite”, “calcium phosphate”, “biogenic”, “antibacterial”, “antimicrobial”, and “antiviral”. Finally, to find additional papers possibly missed through the database searches, the list of citations from each paper was reviewed.

### 3. Hard Ceramic Coatings for Articulating Surfaces in Joint Arthroplasty

The first reported biomedical application of PED technology is placed in the context of orthopedic prostheses for total joint arthroplasty (TJA) [15]. Nowadays, the vast majority of orthopedic prostheses consist of an ultra-high molecular weight polyethylene (UHMWPE) inlay (or its cross-linked variant, XPE) [33] articulating against a cobalt-chromium or titanium alloy component. Due to osteolysis and aseptic loosening of an implant [34], which is highly correlated to the formation of wear debris associated to the UHMWPE insert [35–37], the average lifetime for a TJA implant is of about 10 years [38]; then, a revision surgery is often required.

PED was formerly used to deposit, at room temperature, crystalline yttria-stabilized zirconia (YSZ) coatings directly on the surface of the UHMWPE inlay, with the aim of preserving it from wear and plastic deformation; thus, increasing the lifetime of the implant overall [15]. In a series of explorative works, micrometric-thick YSZ coatings showing a nanostructured surface texture (Figure 3) were deposited using a PPD setup [15]. YSZ coatings deposited at room temperature exhibited a cubic crystalline phase, conferring high fracture toughness and excellent adhesion to the plastic substrate, despite the significant mechanical mismatch between the harder coating and the softer substrate [15,39]. In addition, nanoindentation tests indicated a much higher strength of normal plastic deformation for YSZ-coated UHMWPE compared to uncoated UHMWPE, along with a drastically reduced creep of the plastic inlay [40]. In the same work, the authors investigated more into detail, the effect of the working gas pressure on the final characteristics of the coatings. Interestingly, they found that the YSZ coatings deposited at a lower working gas pressure (i.e.,  $5.5 \times 10^{-3}$  mbar) were less subjected to plastic deformation than those deposited at a higher pressure (i.e.,  $8.0 \times 10^{-3}$  mbar) [40], due to a higher indentation hardness of the latter.

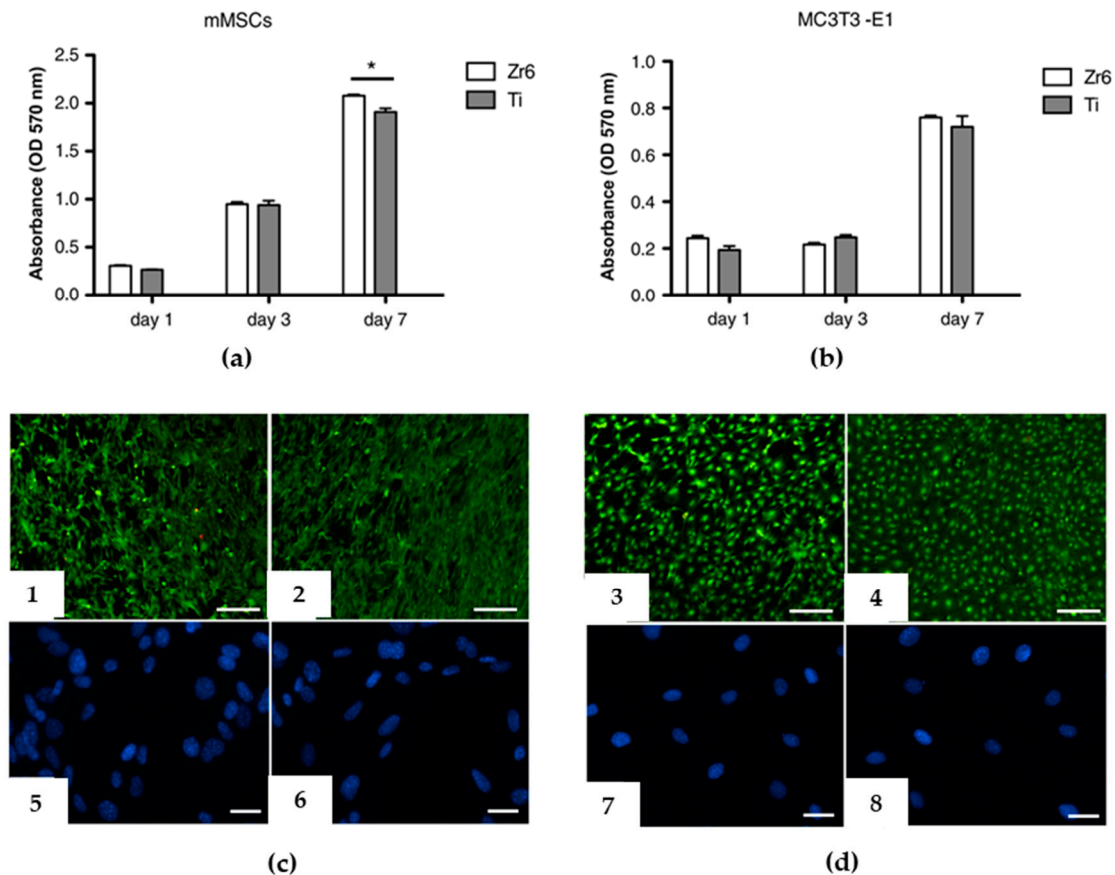


**Figure 3.** Scanning electron microscope (SEM) images of yttria-stabilized zirconia (YSZ) coatings deposited on ultra-high molecular weight polyethylene (UHMWPE): (a) microphotograph of a  $\approx 1.7$   $\mu\text{m}$  thick coating; (b) detail of the cross-section of a  $\approx 3$   $\mu\text{m}$  thick coating, reproduced from [15] with permission from the Royal Society of Chemistry.

In a subsequent study, finite element analysis (FEA) was used to determine the optimal coating's thickness in order to minimize UHMWPE strain, crack onset, and stresses at the coating-substrate interface [41]. Both nanoindentation, to simulate the application of high local loads, and micro-indentation tests, to investigate the adhesion of brittle coatings on ductile substrates, were simulated by FEA. The simulations indicated that the local stresses required to promote coating failure strictly depends on its thickness. Specifically, the authors found that thinner coatings ( $\approx 0.5$   $\mu\text{m}$ ) were more compliant to the applied load compared thicker coatings ( $2 \div 4$   $\mu\text{m}$ ), as an increase of the thickness led to a decrease of the contact area between the coating and the substrate and an increase of the corresponding minimum principal stress (i). The value of the maximum principal stress was instead achieved at the substrate-coating interface; therefore, a stress applied in this region may lead to coating delamination (ii) [41].

PPD has also been tested for depositing YSZ coatings on metal substrates, such as AISI 316-L, titanium, and titanium alloys, to indirectly protect the UHMWPE inlay from wear by coupling it with a low-friction counterpart [42–45]. In a series of papers, where a range of deposition parameters was investigated, the authors reported the possibility to reduce the wear rate of UHMWPE by finely tuning of the working gas pressure [42]. Indeed, gas pressures in the range  $(4\text{--}8) \times 10^{-3}$  mbar provided low roughness and high thickness coatings on AISI 316-L, capable of ensuring a reduced wear rate and a steady friction coefficient during the tribological test, both under dry and lubricated testing conditions [42]. Along with these results, the deposition of YSZ onto titanium and titanium alloy substrates, carried out with a working pressure of  $6 \times 10^{-3}$  mbar, significantly reduced the UHMWPE wear rate [43–45] (17% and 4% under dry and lubricated conditions, respectively) of the coated metallic substrate with respect to the uncoated one [43]. This behavior was ascribed to the reduction of the contact area between the polymeric component and the coated metal compared to the uncoated one, strongly limiting the formation of UHMWPE debris, which is related to severe abrasive and adhesive wear at the titanium counter-face [43,46,47].

In a subsequent study, the viabilities of mesenchymal stem cells (MSCs) and pre-osteoblast MC3T3-E1 cells on coated and uncoated titanium substrates [45] were investigated. Cell proliferation increased from day 1 to day 7 for both the samples and the cell types (Figure 4). On day 7, cell proliferation was higher on coated samples than on uncoated ones; further, no changes in the morphology of the nuclei nor abnormal alterations in MSCs and MC3T3 cells seeded on coated samples were detected [45], suggesting that the nanostructured YSZ coatings deposited by PED were non-cytotoxic.



**Figure 4.** Analysis of mesenchymal stem cell (MSC) (a) and MC3T3-E1 (b) cell proliferations by MTT assay after 1, 3, and 7 days of seeding on samples; \*  $p \leq 0.01$ ;  $n = 3$ . Analysis of cell viability was carried out through live/dead assay (c,d); calcein AM stains for live cells in green; EthD-1 stains for dead cells in red: (1) and (2) show MSCs; (3) and (4) show MC3T3-E1 seeded on coated and uncoated samples, respectively, after 3 days. Nuclear shape of MSCs (5,6) and MC3T3-E1 (7,8) on coated (5,7) and

uncoated (6,8) samples. Zr6 = coated samples; Ti = uncoated samples. Scale bars: 200  $\mu\text{m}$  (1–4), 25  $\mu\text{m}$  (5–8) [45]. Reprinted with permission from [45]. © 2016 Springer Nature.

In a very recent study, nanostructured YSZ thin films have been deposited by IJD onto different materials (i.e., glass, silicon, titanium, and poly(etheretherketone) (PEEK)), with the aim of investigating the effect of the roughness of the substrate on the microstructure of the growing film. The authors found that, while “smooth” substrates (glass and silicon), did not affect the film roughness, “rough” surfaces (titanium and PEEK) led to homogeneous films well resembling the topography of the substrate [48].

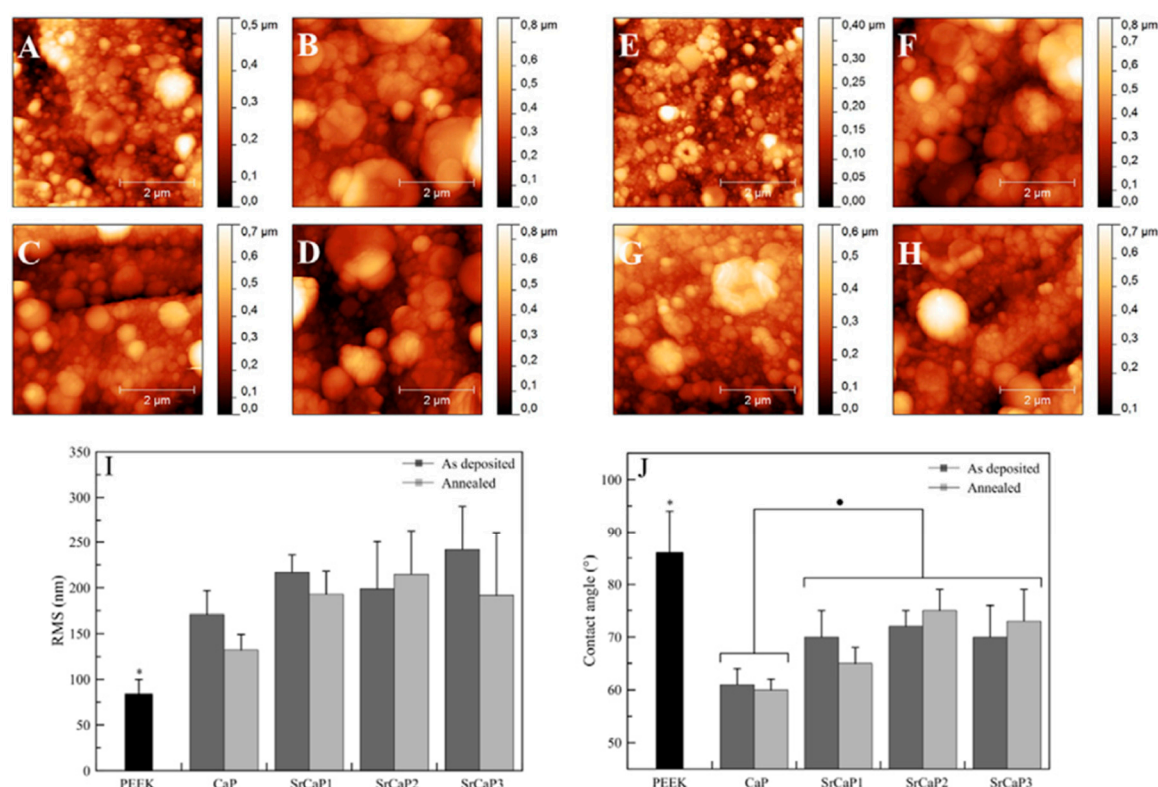
Zirconia-toughened-alumina (ZTA) is a ceramic composite material particularly appealing for dental and orthopedic applications due to its high strength, fracture toughness, elasticity, hardness, and wear resistance [49,50]. ZTA thin films were deposited by PPD onto the surface of AISI 420 substrates and showed high adhesion to the substrate, as documented by the relatively high load (0.8 N) required to achieve the crack onset in the coating during the micro-scratch testing, and the low friction coefficient when tested under lubricated conditions (0.12–0.15) [51]. Further on, X-ray diffraction (XRD) analysis indicated that ZTA coatings exhibited a mixed phase of tetragonal zirconia and  $\alpha$ -phase alumina embedded in a matrix of amorphous alumina [52].

#### 4. Bioactive Coatings and Thin Films by PED

During the last few decades, great effort has been dedicated to improving the integration of orthopedic and dental implants with the surrounding bone tissue (a process named osseointegration) [53–55]. One of the most investigated strategies relies on the coating of the implant surface with materials capable of triggering the biological cascade of events eventually leading to osseointegration (i.e., bioactive materials) [56]. Due to the chemical similarity with the inorganic phase of bone, calcium phosphates (CaPs) and hydroxyapatite (HA) in particular, have been extensively investigated both in vitro and in animal models [57].

In this context, PED technology has been tested for the deposition of nanostructured bioactive thin films on metallic and polymeric implants. In a first study, CaP films were deposited on titanium alloy substrate by ablating a sintered HA target; the analysis of morphology, microstructure and in vitro cytotoxicity were carried out focusing in particular on the effect of the post deposition thermal treatment performed at 600  $^{\circ}\text{C}$  for 1 h [58]. The treatment led to crystalline HA and improved the mechanical properties of the film compared to the nearly amorphous as-deposited one, for instance leading to increased elastic strain to failure, resistance to plastic deformation, and adhesion to the substrate. Besides, no significant differences were found in terms of cytotoxicity between as-deposited and treated films, both being capable of subtly promoting adhesion and proliferation of primary osteoblast cells [58]. Among the different implant materials, poly(etheretherketone) (PEEK) is increasingly used as an alternative to metals, especially for spinal fusion, due to excellent resistance to chemicals and sterilization process, and mechanical properties [59–62]. Nevertheless, PEEK totally lacks bioactivity (bioinert material) [63,64]. IJD has been used to deposit strontium doped-hydroxyapatite films on the PEEK substrates with the aim of improving its bioactivity [65]. Strontium ion ( $\text{Sr}^{2+}$ ) has been selected as dopant of HA because of its well-known osteogenic effect; i.e., its capability to promote osteoblasts activity (new bone apposition) and inhibit osteoclasts activity (old bone resorption) [66]. Similar to the previously reported study, a two-step approach was adopted. Different Sr/Ca molar ratio targets (from 0.04 to 0.20) were fabricated and ablated to obtain Sr-HA films with different content of Sr. Energy-dispersive X-ray spectroscopy (EDX) microanalysis confirmed the high degree of fidelity of stoichiometry transfer from the target material to the film. Films were characterized by dense and uniform packing of spherical-like micrometric and submicrometric grains, the size of which ranged mainly from 50 to 100 nm (Figure 5a,b) [65]. The formation of this nanostructures should be emphasized, since nanotopography plays an important role on promotion of new bone apposition [67]. Noteworthy is that the SrCaP coatings increased the surface roughness (Figure 5c) while decreasing the water contact angle (Figure 5d), corresponding to a higher wettability compared to hydrophobic PEEK. This should be remarked on, as the

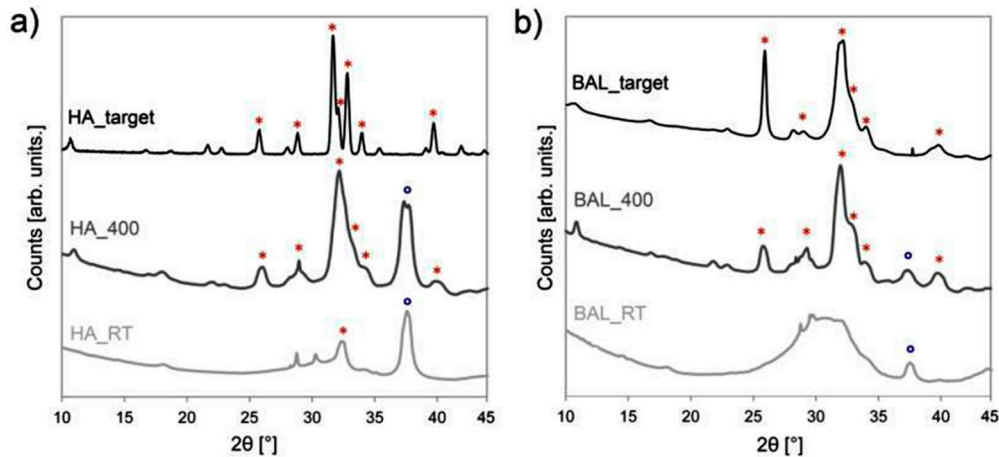
improvement of PEEK surface roughness and wettability is envisioned to promote adhesion, spreading, proliferation, and differentiation of bone cells [68].



**Figure 5.** Atomic force microscope (AFM) images of the as-deposited (A–D) and annealed (E–H) coatings; CaP ( $\text{Sr}^{2+}$ -free coating) (A,E), SrCaP1 (Sr/Ca molar ratio = 0.04) (B,F), SrCaP2 (Sr/Ca molar ratio = 0.10) (C,G), and SrCaP3 (Sr/Ca molar ratio = 0.20) (D,H). Root Mean Square (RMS) roughness (I) and water contact angle (J) of the bare PEEK, as-deposited, and annealed coatings. Reprinted with permission from [65]. © 2017 Elsevier.

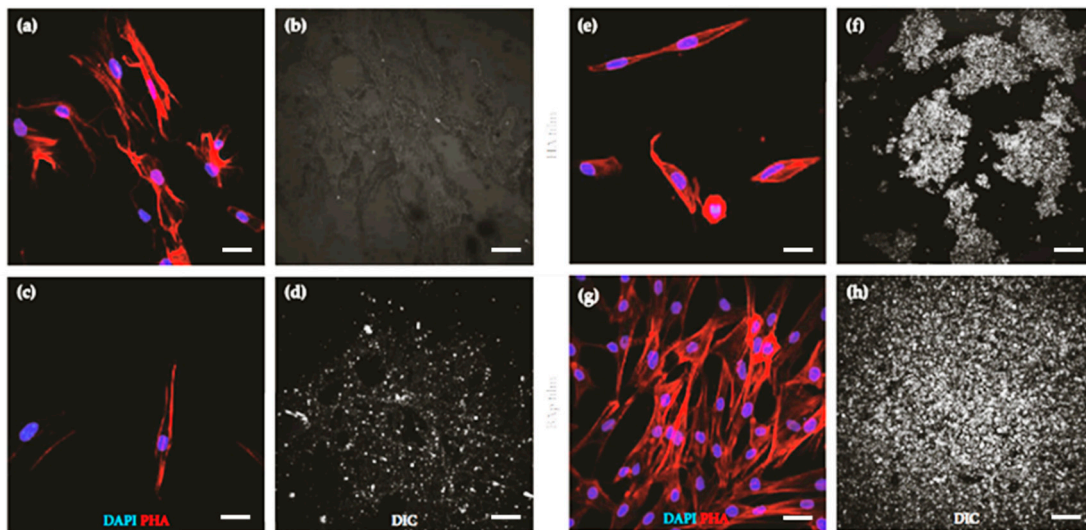
In the field of bioactive coatings, biomimetic coatings resembling the composition of natural bone apatite, which is indeed a calcium-deficient, highly-substituted, and poorly crystalline hydroxyapatite [69], are strongly desired, as they are expected to better promote differentiation implant osseointegration compared to highly-crystalline stoichiometric HA or other CaP phases [70–72]. Aiming to fabricate highly biomimetic coatings, bone apatite-like (BAL) thin films were deposited on titanium substrate for the first time by direct ablation of a biogenic source; i.e., a deproteinized bovine bone shaft, by making use of IJD technology [73,74]. EDX indicated that the composition of BAL thin films well mimicked that of the biogenic target, particularly with regard to Ca/P ratio and the amount of Mg and Na ions. Remarkably, treatment of the as-deposited highly amorphous films at 400 °C for 1 h promoted an increase in crystallinity [73,74] (Figure 6). Indeed, XRD patterns of as-deposited films showed nanocrystalline domains (HA, Figure 6a), or an essentially amorphous phase (BAL, Figure 6b) consistent with the different crystalline degree of the targets. After annealing, films crystallized in a phase close to the natural apatite structure [75].

Demonstrations of high adhesion of the BAL films onto the surfaces of 3D objects, such as dental screws, have been also reported [74].



**Figure 6.** XRD spectra of the hydroxyapatite (HA) (a) and bone apatite-like (BAL) (b) targets; as-deposited and annealed films. Reprinted with permission from [73]. © 2017 Elsevier.

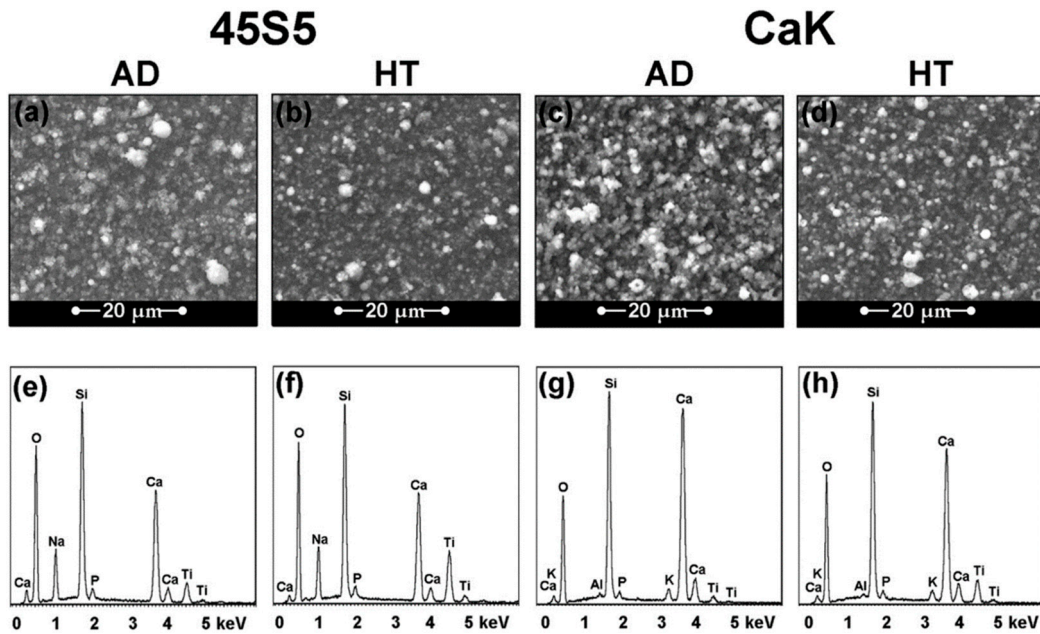
In light of these results, the adhesion, proliferation, and osteogenic differentiation of human dental pulp stem cells (hDPSCs) on BAL thin films were evaluated and compared with those achieved on stoichiometric HA thin films, either as-deposited or after the thermal treatment [76]. Results well demonstrated that hDPSCs cultured on annealed BAL thin films preserved their morphology and homogeneously proliferated through the whole surface when compared with the other films, as shown in Figure 7a,c,e,g [76]. Furthermore, cells grown in an osteogenic medium on annealed BAL thin films were able to express osteogenic markers, reaching a higher commitment towards osteogenic differentiation with respect to as-deposited and annealed HA and as-deposited BAL films [76].



**Figure 7.** Immunofluorescence images (a,c,e,g) showing cell morphology of hDPSCs cultured on HA and BAL films and differential interface contrast microscopy images (b,d,f,h) representing film adhesion to the underlying surface at 7 days of culturing. Immunofluorescence analysis was performed against phalloidin (PHA). Nuclei were counterstained with 4',6-diamidino-2-phenylindole (DAPI). Scale bar is 10  $\mu\text{m}$  [76].

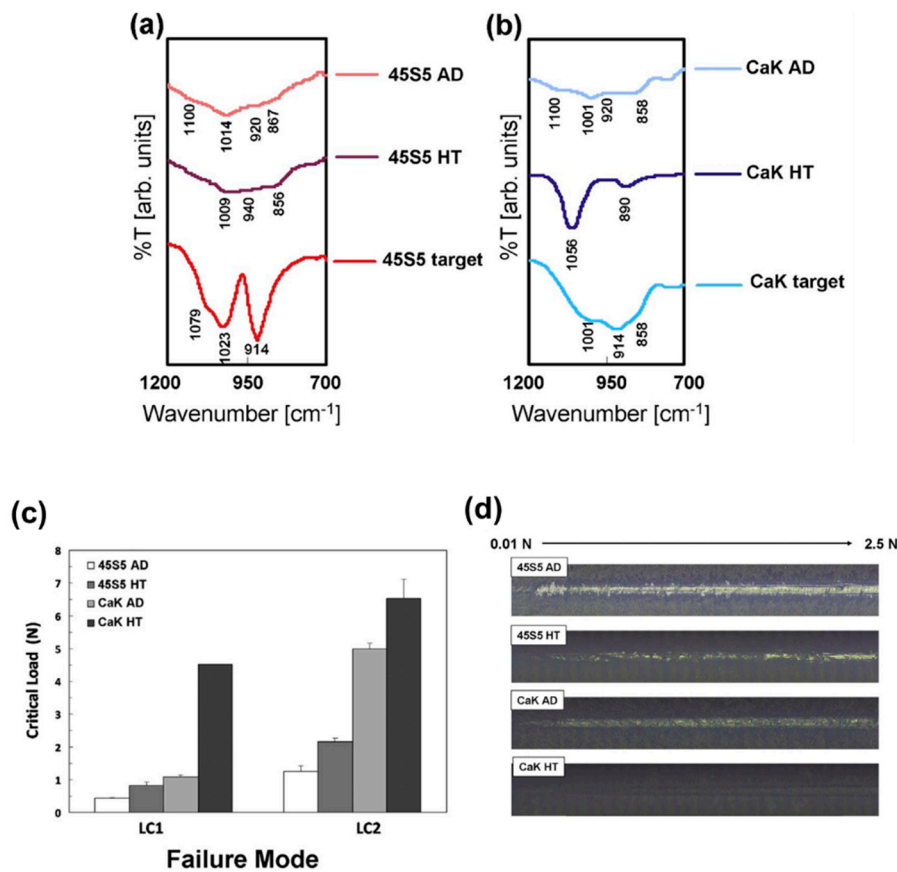
In the framework of biogenic coatings, PED has been used also for the fabrication of thin films made of bioglass [77]. Thin films of 45S5 and CaO-rich (named CaK) bioglasses were deposited by IJD on titanium alloy substrates [78]. The effect of the thermal annealing performed for 1 h at 600 °C and 750 °C for 45S5 and CaK, respectively, was also investigated [78]. The surface morphology of the as-deposited and annealed coatings was characterized by the presence of spherical aggregates, while the chemical composition of the films was very close to that of the bioactive glass target (Figure 8) [78].





**Figure 8.** SEM images of as-deposited (a,c) and thermal annealed (b,d) coatings; results of EDX analysis on as-deposited (e,g) and thermal annealed (f,h) coatings. Reprinted with permission from [78]. © 2017 Elsevier.

Notably, the resistances to delamination of the bioglass films were found to closely correlate to their crystallinity. The 45S5 annealed coatings were poorly crystalline, and consequently, exhibited poor resistance, as can be observed by evaluating the critical loads of partial and complete delamination and the worn track from the micro-scratch test (Figure 9). On the contrary, CaK annealed coatings were more crystalline and showed higher resistance to delamination [78].



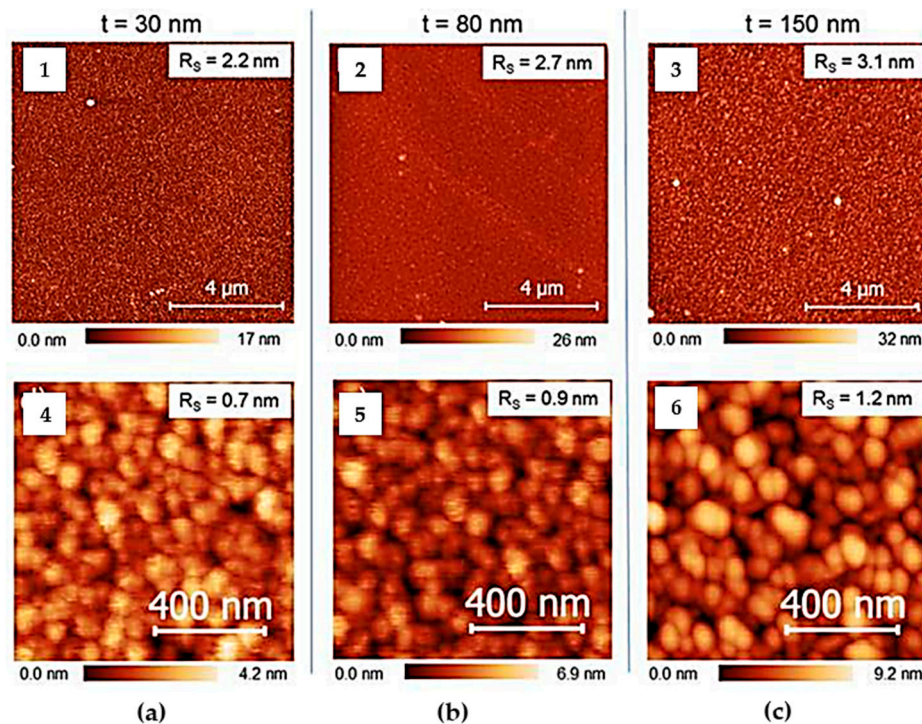
**Figure 9.** Fourier-transform infrared spectroscopy (FT-IR) spectra of 45S5 (a) and CaK (b) targets and for as-deposited and heat-treated coatings. Critical loads for partial (LC1) and complete (LC2) delamination (c) and optical images (objective magnitude: 5×) of the worn track (d) obtained by the micro-scratch test.

## 5. Antibacterial Thin Films by PED

About four million healthcare-related infections occur per year in Europe, causing about 37,000 deaths, 16 million additional days of hospitalization, and a direct cost of seven billion Euros [79]. Infections can start from microbial adhesion on the implant surface immediately upon insertion, during surgery, because of hematogenous spreading of the microorganisms to the implant, or because of spreading from an adjacent infection site, leading, in any case, to the formation of a biofilm. Upon formation of the biofilm, microorganisms are well protected from the host immune system and from antibiotics, so they become capable of proliferating and invading other implants or adjacent bone sites [80]. If biofilm formation occurs, eradication of the infection requires implant removal and revision surgery, and, in some cases, can have dramatic consequences for the patient. Currently, the most successful strategy for preventing infections is systemic antibiotic therapy, which, however, increases the risk of systemic toxicity and of the development of resistant bacterial strains [81]. For this reason, inorganic antimicrobial coatings able to locally deliver antibacterial agents directly in the site of insertion without causing bacterial resistance, are extremely appealing [82,83]. In addition, antimicrobial coatings must not only be effective and biocompatible, but must also not impair osseointegration; i.e., the direct structural and functional contact between the implant and the host bone [84].

A possible strategy is to coat implantable materials with materials eliciting both bioactive and antibacterial effects through the incorporation of inorganic factors such metal nanoparticles in bioactive coatings [85–87]. Silver (Ag) is undoubtedly the workhorse among the many inorganic antibacterial species, thanks to its widely documented wide spectrum anti-microbial and anti-viral efficacy [88,89]. Recently, efforts have been focused to the fabrication of nanostructured Ag thin films to exploit the enhanced antibacterial properties of nanostructured materials compared to micro-structured materials [90–92].

The suitability of IJD technology for the fabrication of Ag nanostructured thin films onto titanium alloy and silicon oxide substrates was explored [93]. By ablating a pure metallic Ag target, IJD provided pure metallic Ag films which were nanostructured and highly homogeneous (Figure 10). Notably, differently from ceramics, the high thermal conductivity and density of the Ag target (about three times higher than that of BAL and YSZ) allow one to deposit very smooth films, with nanometric corrugation (mean surface roughness in the range 0.7–1.2 nm), with low amounts of particulate and a mean size of the grains of few nanometers [93]. Further, AFM highlighted that no significant variation of the grain size could be observed by increasing the film thickness (Figure 10).



**Figure 10.** AFM images ( $10\ \mu\text{m} \times 10\ \mu\text{m}$ ) of Ag coatings deposited on Si at three different thickness values: (a)  $t = 48 \pm 8$ , (b)  $78 \pm 12$ , and (c)  $159 \pm 19$  nm. RMS roughness ( $R_s$ ) values are indicated in the label for each image. Reprinted with permission from [93]. © 2019 Elsevier.

For biomedical applications in which the control over the morphology is fundamental for the regulation of the balance between cytotoxicity and antimicrobial properties, the controlled dewetting of Ag thin films allowed some to obtain non-cytotoxic structures presenting antimicrobial properties [94,95]. The dewetting of thin films is a thermally-driven process, which, performed at a temperature below the melting point, leads to the evolution of the surface morphology up to the formation of separate droplets/3D islands [96–98]. The dewetting process was carried out in air for 1 h with temperature varying from 200 to 600 °C on Ag thin films deposited by means of IJD technology onto silica substrate [99]. The morphological analysis highlighted that for dewetting process performed at 200 °C, the surface of the film was still continuous and only a few hillocks were found. Further, temperature increased up to 300 °C promoted the formation of micrometric holes and the partial exposure of the silica substrate; by further heating the film up to 600 °C the formation and separation of 3D islands was detected [99].

Among inorganic antibacterial materials, magnetite nanoparticles were demonstrated to impair biofilm growth by rapidly penetrating the biofilm pores in the presence of an external magnetic field [100]. HA/magnetite coatings have been fabricated by PPD. The composition well resembled the one of the target, although a random and inhomogeneous distribution of the magnetic particles on the film's surface was highlighted by both electrostatic force microscopy and scanning tunneling microscopy [101]. The antibacterial tests, performed by evaluating the adhesion of *Escherichia coli* after 4 h of incubation on HA/magnetite and HA coatings with and without the presence of an external magnetic field (intensity 1.45 T), demonstrated the suitability of the HA/magnetite coatings in hindering the bacterial adhesion in comparison to HA coatings, independently from the application of the magnetic field [101]. According to the previous results [100], the presence of the magnetic field, induced by a permanent magnet placed below the samples, enhanced the anti-adhesive properties of the HA/magnetite coatings [101].

## 6. Summary and Future Perspectives

Despite the fact that PED has been used for several decades, application of PED to depositing coatings and thin films of biomedical interest is relatively new. However, in less than a decade, a

significant number of manuscripts concerning the use of PED in the biomedical field have been published (Table 1), likely due to the entry in the market of more and more robust versions of the technology, such as IJD.

**Table 1.** Summary of the available literature on PED technology for biomedical applications.

<b>Hard Coatings for Articulating Surfaces</b>			
<b>Target</b>	<b>Substrate</b>	<b>Coating's Main Features</b>	<b>References</b>
ZrO <sub>2</sub> stabilized with Y <sub>2</sub> O <sub>3</sub>	UHMWPE	Fully cubic crystalline phase; excellent adhesion to the plastic substrate; high strength to normal plastic deformation.	[31,39,40]
ZrO <sub>2</sub> stabilized with Y <sub>2</sub> O <sub>3</sub>	AISI 316-L	Low roughness and high thickness; reduction of the wear rate of polymeric counterpart; steady friction coefficient during the tribological test.	[42]
ZrO <sub>2</sub> stabilized with Y <sub>2</sub> O <sub>3</sub>	Titanium and titanium alloys	Significant reduction of UHMWPE wear rate; prevention of the formation of abrasion scratches on the substrate; no cytotoxic effects.	[43–45]
ZrO <sub>2</sub> stabilized with Y <sub>2</sub> O <sub>3</sub>	Glass, silicon, titanium, PEEK	Morphology independent from the substrate in case of flat substrates; morphology resembling the one of the substrate in case of rough substrates.	[48]
75% Al <sub>2</sub> O <sub>3</sub> /25% ZrO <sub>2</sub>	AISI 420	High adhesion to the substrate; increase of the surface roughness along with the thickness.	[51,52]
<b>Bioactive Coatings and Thin Films</b>			
<b>Target</b>	<b>Substrate</b>	<b>Coating's Main Features</b>	<b>References</b>
Sintered HA	Titanium alloy	Transition from amorphous to crystalline coatings by means of the thermal treatment of the deposited coating; cell adhesion and proliferation on both as-deposited and annealed coatings.	[58]
CaP target doped with Sr <sup>2+</sup>	PEEK	Same stoichiometry of the target; dense and uniform packing of spherical-like grains; Sr <sup>2+</sup> in the coating increased the surface roughness and decreased the water contact angle.	[65]
Biogenic HA (deproteinized bovine bone shaft)	Titanium	Composition well mimicking that of the target; increase in crystallinity up to that typical of biogenic apatite through the thermal treatment of the as deposited films; high adhesion on the surface of 3D objects.	[73,74]
Biogenic HA (deproteinized bovine bone shaft)	Glass	hDPSCs cultured on annealed films preserved their morphology and homogeneously proliferated through the whole surface; cells grown in an osteogenic medium on annealed thin films expressed osteogenic markers.	[76]
45S5 and CaK bioglasses	Titanium alloy	Spherical aggregates on both as-deposited and annealed coatings; chemical composition analogous to that of the starting bioactive glasses; resistance to delamination closely correlated to the crystallinity: the	[78]

Antibacterial Thin Films			
Target	Substrate	Coating's Main Features	References
Ag	Titanium alloy and silicon dioxide	Composition resembling the one of the target; homogeneity in thickness; presence of grains composed by small subunits with a size of few nm; no variation of the grains' diameter with the increase of the film thickness.	[93]
Ag	Silicon dioxide	Formation and separation of 3D islands from the coating when subjected to a dewetting process carried out at 600 °C for 1 h.	[99]
HA/magnetite	Silicon wafers	Composition resembling the one of the target, despite the random and inhomogeneous distribution of the magnetic particles on the surface; the coatings hindered the <i>E. coli</i> adhesion.	[101]

The deposition of functional metallic coatings represents one of the most promising research fields in which PED technology can provide highly performing solutions. For instance, due to its suitability to process thermosensitive substrates, PED can be used to deposit antibacterial coatings onto electrospun polymeric substrates with the aim to produce patches for wound healing and tissue regeneration (unpublished results by the authors). Furthermore, PED technology can be exploited to coat polymeric fibers with conductive materials for wearable electronics [102] and electrically conductive textiles [103], where flexible and well-adhesive conductive coatings are desired.

Besides, the deposition of bioactive or fully biomimetic coatings and the promising results obtained from the *in vitro* tests in terms of bioactivity, mechanical properties, and adhesion, certainly represent a valuable starting point for further *in vivo* investigations, aside from a novel promising strategy for endowing bio-inert implantable scaffolds with novel functional properties [104,105].

From that perspective, we envision that biofunctional coatings might be relevant, especially in those medical applications where the implant is used for the treatment or therapy of a chronic pathology. Envisioned fields of interest also include neurodegenerative diseases and prosthetics for the central and peripheral nervous system. In particular, high-surface area metallic electrodes for neural recording and stimulation [106] may be realized by PED, even on highly flexible elastomeric substrates, and conductive and dielectric thin films for batteries, pacemakers, and devices based on organic bioelectronics interfacing with the human body [107].

Nevertheless, some crucial aspects concerning the quality of the coatings fabricated by PED still deserve deeper investigation. For instance, whereas crystalline coatings can be easily deposited by PED from target materials exhibiting simple chemical composition (i.e., ZrO<sub>2</sub>) [15], this results in more challenges when complex or multiphase materials (i.e., calcium phosphates) are involved [58,73]. In these cases, the achievement of correct crystallinity (close to that of natural bone apatite), and therefore, also of optimal mechanical properties (coating toughness, hardness, and adhesion) still requires a post deposition thermal treatment. Obviously, this aspect is particularly relevant when the deposition is carried out at room temperature to allow the coating of soft and heat-sensitive materials [65].

In addition, some technological issues have still to be solved; for instance, the necessity of periodic cleaning of the auxiliary electrode in the IJD configuration or the relative costs for the installation of the equipment, albeit this feature is shared by all the main PVD techniques working in high vacuum. Finally, it should be remarked that PED is a line-of-sight technique, so the coating of complex shapes or 3D substrates is not trivial; in these cases, multi-step depositions or multi-axis rotating substrate holders must be considered to obtain homogeneous coatings.

## 7. Conclusions

In this work, we reviewed the recent technological developments and applications of PED in the biomedical field in light of its high versatility, mainly due to:

- The possibility to vary the depositions of a wide range of materials in a broad spectrum of operating independent conditions;
- The possibility to efficiently operate at low temperatures thanks to the high plasma density;
- The high fidelity in stoichiometry transfer from the target to the coating;
- The technological maturity and the competitive upscaling costs compared to similar techniques, such as PLD.

PED technology promises to conquer a remarkable role in the field of coating technology, even in the biomedical field where it can still be considered at its infancy.

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