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1 **Title**

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3 Combined effects of Resveratrol and Epigallocatechin-3-gallate on post thaw boar sperm and IVF  
4 parameters

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23

24 Abstract

25

26 Frozen-thawed boar semen suffer a fertility decrease that negatively affects its widespread use. In  
27 recent years supplementing frozen-thawed boar sperm with different antioxidants gave interesting  
28 and promising results; the aim of the present work was to study the effect of supplementing boar  
29 sperm thawing medium for 1 h with combination of epigallocatechin-3-gallate (EGCG, 50  $\mu$ M) and  
30 Resveratrol (R, 2mM), on boar sperm motility (assessed by CASA), viability, acrosome integrity,  
31 mitochondrial function, lipid peroxidation and DNA integrity (assessed by flow cytometry), protein  
32 tyrosine phosphorylation (assessed by immunofluorescence) and on in vitro fertilization (IVF).

33 Our results demonstrate that sperm motility is negatively affected by R (alone or associated with  
34 EGCG,  $p < 0.05$ ) in comparison to control and EGCG groups both at 1h and 4 h; this effect is evident  
35 both in average motility parameters and in single cells kinematics, studied by cluster analysis, that  
36 showed the presence of a specific cell population with simil-hyperactivated features in R group  
37 ( $p < 0.01$ ).

38 Viability, acrosome integrity, mitochondrial functionality and lipid peroxidation are not influenced  
39 by the addition of the antioxidants; finally, DNA integrity is negatively influenced by R (both alone  
40 or associated with EGCG) both at 1h and 4h incubation ( $p < 0.05$ ). Finally, tyrosine phosphorylated  
41 protein immunolocalization, used as capacitation parameter, is not affected by the different  
42 treatments.

43 Penetration rate is strongly enhanced by R, both alone or associated with EGCG ( $p < 0.05$ ); EGCG  
44 increases penetration rate as well but to a lower extent.

45 Our findings demonstrate that the combination of R and EGCG could positively affect frozen-thawed  
46 boar sperm fertility in vitro; the effect is evident also in R groups, thus demonstrating that this  
47 antioxidant is predominant, and no synergic effect is present. Some insights are needed to understand  
48 if, in particular R (that showed the strongest effect) could be profitably used for artificial insemination  
49 in vivo, given the detrimental effect of this molecule on both sperm motility and DNA integrity.

50

51 Keywords

52

53 Resveratrol

54 Epigallocatechin-3-gallate

55 Pig

56 Frozen-thawed spermatozoa

57

58 1. Introduction

59

60 Cryopreservation is a useful technique to store germinal cells, and in particular spermatozoa; in boar  
61 sperm production, this particular field represent an important challenge for commercial farm or AI  
62 centres as nowadays is not yet suitable for a widespread use and it is of utmost importance in gene  
63 banking, research and advanced reproductive biotechnologies [1,2].

64 During cryopreservation, sperm cells undergo some changes which are mainly concentrated in the  
65 cooling-freezing passage [3]. Sperm membrane is particularly susceptible to cryodamage, as in pig  
66 the cholesterol:lipid ratio is lower than in other species [4–6], moreover freeze-thawing can affect

67 sperm nuclear proteins (histones and protamine) and DNA [7–9], and can lower mitochondrial  
68 activity [10]. Cryodamage also induces structural modifications in several proteins, such as  
69 membrane transporters (e.g. Ca<sup>2+</sup> channels, glucose transporters) [10–12], impairs sperm motility and  
70 affects the distribution of the different motile subpopulations [13,14].

71 Attention has been given to damages derived from reactive oxygen species (ROS). These molecules  
72 have a recognized and important role in sperm function, as they are involved in sperm activation and  
73 capacitation [15–18]. These substances (e.g. anion superoxide, hydrogen peroxide and nitric oxide),  
74 when produced at controlled rates have a stimulatory role on some events related to capacitation,  
75 sperm-oocyte interaction and acrosome reaction [15,17], via the activation of some internal pathways  
76 (cyclic AMP-Protein Kinase A ; mitogen activated protein kinase/extracellular regulated Kinases;  
77 protein tyrosine phosphorylation) that are also linked to hyperactivated motility and acrosome  
78 reactivity. However, the excessive accumulation of some of these molecules into the cell or in the  
79 surrounding environment may represent a danger for sperm cells [16,19–21]. In fact, and as  
80 previously reported [1,21], ROS can affect sperm integrity (i.e. sperm plasma membrane, acrosome,  
81 DNA, mitochondria), thereby impairing their function (motility, hyperactivation, sperm-oocyte  
82 interaction). Specific features of boar sperm cells are potential targets for ROS such as:  
83 polyunsaturated fatty acid (PUFA) and phospholipase A present in the membrane [21].

84 Several antioxidants (L-cysteine,  $\alpha$ -tocopherol, lutein, butylated hydroxytoluene, Trolox, ascorbic  
85 acid, epigallocatechin-3-gallate , reduced glutathione, resveratrol) have been added to preservation  
86 media to mitigate the adverse effects of ROS and cryopreservation in boar spermatozoa and to better  
87 maintain the sperm function [1,22–24]. While, some molecules, such as ascorbic acid and reduced  
88 glutathione, have been demonstrated to be active and powerful, either alone or combined [24,25],  
89 others, such as resveratrol , have not been found to exert a positive impact [26]. The latter, anyway,  
90 showed an interesting effect on vitrified porcine oocytes [27] and may be much efficient in protecting  
91 from the freezing process.

92 In a recent study, we supplemented the thawing medium with natural antioxidants (epigallo catechin  
93 3-gallate and resveratrol) and observed an increase in vitro fertilization rate of boar frozen semen and  
94 no change in either sperm viability and acrosome integrity [22].

95 Based on the above-mentioned researches the aim of the present study was to test the effects of the  
96 combination of resveratrol (R) and epigallocatechin-3-gallate (EGCG) added to thawing medium on  
97 both sperm parameters and fertilizing ability.

98

## 99 2. Materials and Methods

100

101 Unless otherwise specified, all chemicals were purchased from Sigma-Aldrich (Saint-Louis, MO,  
102 USA).

103

### 104 2.1 Experimental design

105 All the experiments were conducted following the guidelines of the Ethic committee when applicable.  
106 Boar semen frozen in 0.5 mL straws was purchased from a commercial company (Inseme S.P.A.,  
107 Modena, Italy).

108 Three straws from the same ejaculate were thawed in a water bath at 37°C under agitation for 30  
109 seconds and subsequently pooled and diluted in Beltsville Thawing Solution (BTS) at a dilution rate

110 1:3. Only thawed samples with sperm viability higher than 40%, as evaluated by SYBR14/PI test (see  
111 below), were used. Thereafter, each sample was divided into four aliquots, each corresponding to one  
112 of the following treatments: control (CTR), resveratrol 2 mM (R), Epigallocatechin-3-gallate 50  $\mu$ M  
113 (EGCG) and R+EGCG at the same concentrations.

114 The antioxidants doses were chosen on the basis of the results of our previous work [22].

115 Sperm suspensions were kept for 1 h at 37°C in the dark and subsequently used in Experiment 1 or  
116 2.

#### 117 2.1.1 Experiment 1. Assessment of sperm parameters

118

119 An aliquot (500  $\mu$ L) of each sperm suspension incubated at 37°C for 1 h in the dark was used to  
120 evaluate: sperm motility, viability, acrosome integrity, mitochondrial activity, lipid peroxidation and  
121 DNA integrity (See below for description of the methods). The remaining part of sperm suspension  
122 was kept at 37°C for an additional 3-h period in the dark and the same analyses were subsequently  
123 performed as reported by other Authors [28]. Four different animals (1 ejaculate each) were used and  
124 each experiment was repeated three times.

125 For tyrosine phosphorylation immunostaining analyses, an aliquot of spermatozoa incubated for 1 h  
126 in the dark at 37°C from each experimental group was fixed, while another aliquot was washed twice  
127 in Brackett and Oliphant's (BO) medium [29] supplemented with 12% foetal calf serum (FCS) and  
128 0.7g/L caffeine (IVF medium) and then resuspended in the same medium at a final concentration of  
129  $30 \times 10^6$  spermatozoa/mL and incubated 1 h under capacitating condition (5% CO<sub>2</sub>, 39° C). Finally  
130 samples were fixed for immunolocalization of tyrosine phosphorylated proteins. Three different  
131 animals (1 ejaculate each) were used and each experiment was repeated twice (n=6).

132

#### 133 2.1.2 Experiment 2. Effects of R and EGCG on sperm fertilizing ability

134

135 .

136 After 1 h incubation in BTS with the different antioxidants, aliquots of sperm cells were used for in-  
137 vitro fertilization (IVF) trials and final sperm concentration was adjusted to  $1 \times 10^6$  spz/mL (See  
138 Section 2.4). Oocytes were treated as described in Section 2.4.

139 Three different animals (1 ejaculate each) were used and each experiment was repeated three times  
140 for IVF (n=9).

141

142

#### 143 2.2 Sperm motility assessment

144

145 Sperm motility was assessed using a computer-assisted sperm analysis system (CASA, Hamilton  
146 Thorne, IVOS Ver. 12); the standard boar setup was used (60 frame per sec; 45 n. of frames; min  
147 contrast 49; min cell size 6 pixels; progressive cells: VAP 20.1  $\mu$ m/sec; straightness percentage 75;  
148 static cell cutoff: VAP 20  $\mu$ m/sec, VSL 5  $\mu$ m/sec). Approximately one thousand cells at  $30 \times 10^6$   
149 sperm/mL were evaluated for each sample using a fixed-height Leja Chamber SC 20-01-04-B (Leja,  
150 The Netherlands). Parameters assessed were percentages of total motile spermatozoa (TM),  
151 percentages of progressively motile spermatozoa (PM), curvilinear velocity (VCL  $\mu$ m/sec), average  
152 path velocity (VAP  $\mu$ m/sec), straight line velocity (VSL  $\mu$ m/sec), percentages of straightness (STR)  
153 and linearity (LIN), average lateral head displacement (ALH  $\mu$ m) and beat cross frequency (BCF Hz).

154 Together with global sample analysis, individual sperm tracks were assessed and VCL, VAP, VSL,  
155 STR, LIN, ALH and BCF were recorded for each motile spermatozoon. These parameters were used  
156 to study the distribution of sperm subpopulations in all treatments (See statistical analysis – cluster  
157 analysis for sperm motion).

158

## 159 2.3 Flow cytometry analysis

160

161 Information about flow cytometry analyses is reported taking into account the recommendations of  
162 the International Society for Advancement of Cytometry [30]. Flow cytometry analyses were  
163 conducted to evaluate sperm viability, acrosome integrity, mitochondrial function, lipid peroxidation  
164 levels and DNA fragmentation. In each assay, sperm concentration was adjusted to  $1 \times 10^6$   
165 spermatozoa/mL in a final volume of 0.5 mL BTS, and spermatozoa were then stained with the  
166 appropriate combinations of fluorochromes, following the protocols described below. Samples were  
167 evaluated through a FACSCalibur flow cytometer (Becton Dickinson, Milan, Italy) equipped with a  
168 488 nm argon-ion laser. Emission measurements were made by means of three different filters:  
169 530/30 band-pass (green/FL-1), 585/42 band-pass (orange/FL-2) and >670 long pass (far red/FL3)  
170 filters. Data were acquired using the BD CellQuest Pro software (Becton Dickinson).

171 Signals were logarithmically amplified and photomultiplier settings were adjusted to each particular  
172 staining method. FL1 was used to detect green fluorescence from SYBR14, fluorescein  
173 isothiocyanate (FITC)-conjugated *Pisum sativum* agglutinin (PSA), low mitochondrial membrane  
174 potential (JC1 negative), and BODIPY 581/591, whereas FL2 was used to detect orange fluorescence  
175 from high mitochondrial membrane potential (JC1 positive) and FL3 was used to detect orange-red  
176 fluorescence from propidium iodide (PI).

177 Side scatter height (SS-h) and forward scatter height (FS-h) were recorded in logarithmic mode (in  
178 FS vs. SS dot plots) and sperm population was positively gated based on FS and SS while other events  
179 were gated out. A minimum of 10,000 sperm events were evaluated per replicate.

180 In FITC-conjugated PSA flow cytometric assessment, percentages of non-DNA-containing particles  
181 (alien particles), ( $f$ ) were determined to avoid an overestimation of sperm particles in the first quadrant  
182 ( $q_1$ ) as described by [31], according to the following formula:

$$183 \quad q'_1 = \frac{q_1 - f}{100 - f} \times 100$$

184  
185 where  $q'_1$  is the percentage of non-stained spermatozoa after correction.

186

187

### 188 2.3.1. Sperm membrane integrity (SYBR14/PI)

189

190 Sperm viability was assessed by checking the membrane integrity using two separate fluorochromes  
191 SYBR-14 and PI (LIVE/DEAD Sperm Viability Kit; Molecular Probes, Invitrogen, Milan, Italy).  
192 SYBR-14 is a membrane-permeable dye, which stains the head of viable spermatozoa in green, while  
193 PI is a membrane-impermeable dye that only penetrates through disrupted plasma membrane, staining  
194 the sperm heads of non-viable cells in red. Sperm samples were diluted with BTS to a concentration  
195 of  $1 \times 10^6$  spermatozoa/mL and aliquots of 500  $\mu$ L were stained with 5  $\mu$ L SYBR-14 working solution  
196 (final concentration: 100 nM) and with 2.5  $\mu$ L of PI (final concentration: 12  $\mu$ M) for 10 min at 37°C  
197 in darkness. Viable spermatozoa exhibited a positive staining for SYBR-14 and negative staining for

198 PI (SYBR-14+/PI-). Single-stained samples were used for setting the voltage gain for FL1 and FL3  
199 photomultipliers.

200

### 201 2.3.2 Acrosome integrity analysis (PSA-FITC/PI)

202

203 Sperm acrosome intactness was assessed by *Pisum sativum* agglutinin (PSA) conjugated with  
204 fluorescein isothiocyanate (FITC) (2.5 mg/mL stock solution; 0.5mg/mL working solution) coupled  
205 with Propidium Iodide (2.4mM stock solution). Sperm samples were diluted with BTS to a  
206 concentration of  $1 \times 10^6$  spermatozoa/mL and aliquots of 500  $\mu$ L were stained with 10  $\mu$ L FITC-PSA  
207 (final concentration: 10  $\mu$ g/mL) and with 3  $\mu$ L PI (final concentration: 14  $\mu$ M) for 10 min at 37 °C in  
208 darkness. Four different sperm subpopulations were distinguished: a) viable acrosome-intact  
209 spermatozoa were those cells that did not stain with either FITC-PSA or PI and appeared in the lower  
210 left quadrant of FL1 vs. FL3 plots; b) viable spermatozoa with disrupted acrosome stained only in  
211 green with FITC-PSA and were found in the lower right panel; c) non-viable spermatozoa with intact  
212 acrosome stained with PI only and appeared in the upper left quadrant; and d) non-viable spermatozoa  
213 with disrupted acrosomes were found in the upper right quadrant and stained positively with both  
214 stains.

215

### 216 2.3.3 Mitochondrial membrane potential analysis (JC1/PI)

217

218 5,5',6,6'-tetrachloro-1,1',3,3'-tetraethylbenzimidazolyl carbocyanine iodide (JC-1) was used to  
219 evaluate mitochondrial membrane potential. When it comes in contact with mitochondria with high  
220 membrane potential, JC-1 forms multimers (known as J-aggregates) and emits orange fluorescence  
221 at 590 nm, which is detected by FL-2 photomultiplier. In contrast, when mitochondria have low  
222 membrane potential, JC-1 maintains its monomeric form (M-band) and emits green fluorescence at  
223 530 nm, which is detected by FL-1 photomultiplier.

224 Sperm samples were diluted with BTS to a concentration of  $1 \times 10^6$  spermatozoa/mL and aliquots of  
225 500  $\mu$ L were stained with 5  $\mu$ L JC1 (at a final concentration of 1 $\mu$ g/mL) and 3  $\mu$ L of PI (at a final  
226 concentration of 14  $\mu$ M); samples were successively incubated at 37 °C for 30 min in the dark.

227 PI positive cells were gated out in a FL-1/FL-3 dot plot; PI negative cells were gated and analysed in  
228 a FL-1/FL-2 plot. High mitochondrial membrane potential cells (HMMP) stained orange (higher FL-  
229 2) and low mitochondrial membrane potential cells (LMMP) stained green (higher FL-1).

230

### 231 2.3.4 Lipid peroxidation analysis (Bodipy/PI)

232

233 BODIPY 581/591 (Molecular Probes Eugene, CA, USA) stock solution was prepared diluting 1 mg  
234 of the molecule in 1980  $\mu$ L DMSO. For analysis, sperm samples were diluted with BTS to a  
235 concentration of  $1 \times 10^6$  spermatozoa/mL; aliquots of 500  $\mu$ L were centrifuged at 900x g for 2 min  
236 at room temperature; the supernatant was discarded, and sperm pellet resuspended with 492  $\mu$ L BTS  
237 and stained with 5  $\mu$ L BODIPY stock solution (final concentration 0.01  $\mu$ g/mL and 3  $\mu$ L of PI (at a  
238 final concentration of 14  $\mu$ M). Cells were incubated for 30 min at 37 °C in the darkness and  
239 subsequently analysed.

240 As no separate sub-populations in FL1-FL3 plots were detectable, a relative fluorescence  
241 quantification method was used, as described by [19]. Briefly, the instrument was set with 10

242 references of the same ejaculate of frozen-thawed boar semen and the mean FL1 signal was registered.  
243 For each analysis, one sample of the same reference was used to set the voltage and gain of the  
244 instrument to get the same reference value; subsequently the experimental samples were run.

245

#### 246 2.3.5 Sperm chromatin structure assay (SCSA)

247

248 Sample preparation and processing, as well as flow cytometer adjustments, were performed as  
249 previously described [32–34]. Briefly, 50  $\mu$ l of each semen sample were immediately frozen and  
250 stored at  $-80^{\circ}\text{C}$  until analysis (maximum 2 weeks). Sperm samples were handled individually and  
251 were thawed in a  $37^{\circ}\text{C}$  water bath. Immediately after thawing (30–60 s), aliquots of thawed semen  
252 were added with 200  $\mu$ L of a buffer solution (0.186 g disodium EDTA, 0.790 g Tris-HCl and 4.380  
253 g NaCl in 500 mL deionized water; pH adjusted to 7.4). This was mixed with 400  $\mu$ L of an acid  
254 detergent solution (2.19 g NaCl, 1.0 ml of 2 N HCl solution, 0.25 ml Triton X, and deionized water  
255 quantum sufficit to a final volume of 250 ml). After 30 sec, 1.2 ml of the acridine orange solution  
256 were added Cell flow rate was set on low which resulted in an actual flow rate of 100–200 cells/sec.  
257 A total of 5,000 events was evaluated for each sample. Sperm from a single control boar were used  
258 as a biologic control to standardize instrument settings between days of use. The flow cytometer was  
259 adjusted such that the mean green fluorescence was set at the 500 channel (FL-1 at 500) and mean red  
260 fluorescence at the 150 channel (FL-3 at 150). Data were acquired in a list mode (linear scale), and  
261 analysis was performed using winlist software (Verity Software House). The percentage of sperm  
262 with abnormal DNA was defined by the parameter DNA fragmentation index (DFI).

263

264

#### 265 2.4 In vitro fertilization (IVF) trials

266

267 Oocytes were cultured as already described [22]; briefly, ovaries were obtained from pre-pubertal  
268 gilts at a local abattoir. Cumulus oocyte complexes (COCs) from follicles 3–6 mm in diameter were  
269 aspirated using a 18-gauge needle attached to a 10-mL disposable syringe. Intact COCs were selected  
270 under a stereomicroscope and only COCs with more than two layers of intact cumulus oophorus and  
271 with uniform cytoplasm were used. Next, COCs were washed three times with NCSU 37 [35]  
272 supplemented with 5.0  $\mu\text{g}/\text{mL}$  insulin, 1mM glutamine, 0.57 mM cysteine, 10 ng/mL epidermal  
273 growth factor (EGF), 50  $\mu\text{M}$   $\beta$ -mercaptoethanol and 10% porcine follicular fluid (IVM medium).  
274 Groups of 50 COCs were transferred to a Nunc 4-well multidish containing 500  $\mu$ L of the same  
275 medium per well and in vitro matured at  $39^{\circ}\text{C}$  and 5%  $\text{CO}_2$  in a humidified atmosphere. During the  
276 first 22 h of in vitro maturation, IVM medium was supplemented with 1.0 mM db-cAMP, 10 IU/mL  
277 equine chorionic gonadotropin (eCG) (Folligon; Intervet, Boxmeer, The Netherlands) and 10 IU/mL  
278 human chorionic gonadotropin (hCG) (Chorulon; Intervet). For the last 22–24 h of IVM, COCs were  
279 transferred to fresh maturation medium [36].

280 Groups of 50 matured oocytes, freed from cumulus cells by repeated gentle pipetting, were transferred  
281 to 500  $\mu$ L IVF medium containing  $1 \times 10^6$  spz/mL. After 1 h of co-culture, oocytes were transferred  
282 to fresh IVF medium and cultured for 20 h. The oocytes were then mounted on microscope slides,  
283 fixed in acetic acid/ethanol (1:3; v:v) for 24 h and stained with Lacmoid. Oocytes were observed  
284 under a phase-contrast microscope and parameters evaluated were: penetration rate (number of  
285 oocytes penetrated/total inseminated), monospermy rate (number of oocytes containing only one

286 sperm head–male pronucleus/total fertilized) and total efficiency (number of oocytes containing only  
287 one sperm head–male pronucleus/total inseminated). Degenerated and immature oocytes were not  
288 counted.

289

## 290 2.5 Immunolocalization of tyrosine phosphorylated proteins in spermatozoa

291

292 Sperm cells preparation were analysed as described by [37]; sperm cells ( $30 \times 10^6$  spermatozoa/mL)  
293 were spread onto poly-L-lysine-coated slides, fixed with absolute methanol at  $-20^\circ\text{C}$  for 10 min and  
294 then with acetone for 30 sec. Slides were washed with phosphate buffered saline solution (PBS), let  
295 dry and then blocked with 10% (v/v) FCS in PBS for 30 min. A primary antibody against tyrosine  
296 phosphorylated proteins (Upstate Millipore, Watford, UK) was added at a 1:200 dilution (v:v) in PBS  
297 10% FCS. Incubation was carried out overnight at  $4^\circ\text{C}$  in humid chambers. After three washings in  
298 PBS, slides were incubated with a goat anti-mouse (dilution 1:800), FITC-conjugated secondary  
299 antibody for 1 h in the dark. Next, slides were washed again three times in PBS and mounted with  
300 anti-fading Vectashield mounting medium with PI (Vector Laboratories) to counterstain the nuclei.  
301 Negative controls were treated similarly with the omission of the primary antiserum. Images were  
302 obtained using a Nikon digital camera installed on a Nikon epifluorescence microscope (Nikon Inc.,  
303 Melville, NY, USA). Two-hundred cells were counted for each replicate.

304 Different patterns of tyrosine phosphorylated sperm proteins were identified, following the  
305 description by [37] with some modifications: A: spermatozoa with acrosome and equatorial sub  
306 segment positivity; B: spermatozoa with tail positivity (whole tail or the principal piece only) and  
307 acrosome and/or equatorial sub-segment positivity; C: spermatozoa with tail positivity (whole tail or  
308 principal piece only); and NEG: spermatozoa with no positive signal.

309

310

## 311 2.6. Statistical analyses

312

313 Statistical analyses were performed using R (version 3.4.0). Values are expressed as mean  $\pm$  standard  
314 deviation (SD), unless otherwise specified and level of significance was at  $P \leq 0.05$ .

315

### 316 2.6.1 Effects of treatment upon sperm function parameters, tyrosine phosphorylation and IVF 317 outcomes

318

319 Motility and post thawing parameters assessed by flow cytometry expressed as percentages were  
320 transformed with arcsine square root. Subsequently all variables (both motility and post thawing  
321 parameters) were tested for normality and homogeneity of variances through Shapiro-Wilk and  
322 Levene tests. Then, a mixed effect model was set to determine treatment and time effects (1 and 4 h  
323 at post-thaw) and their interaction, with the boar ejaculate and repetition as random effects.

324 As for IVF trials, the variables (i.e. penetration rates and monospermy) were analysed using a general  
325 linear model with binomial distribution and a Tukey post-hoc test was subsequently run to determine  
326 differences between treatments.

327 Finally, tyrosine phosphorylation data were arcsin square root-transformed. Normality of the residues  
328 was checked by Shapiro Wilk test and homogeneity of the variances was tested with Levene test.  
329 One-way ANOVA and Tukey post hoc test were used to assess differences between treatments.

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## 2.6.2 Cluster analysis for motility parameters

Sperm kinematics parameters were registered for each individual motile sperm cell from three boars, each analysed twice at 1 and 4 h post-thaw; ALH, BCF, VCL, VAP, VSL, LIN and STR were recorded and used for cluster analysis.

As reported by [38], data were first normalized, then a principal component analysis was performed to reduce the total number variables. Finally, a hierarchical clustering using the Ward's method and Euclidean distances was applied to the Principal components and 4 clusters were identified. Finally, a chi square analysis was used to assess difference in the composition of the different clusters in relation to treatment. In total, 17,953 cells were analysed.

## 3. Results

EGCG did not affect sperm motility in comparison with CTR, while R and R+EGCG affected all sperm parameters. TM, VAP and VCL in R and R+EGCG treatments were significantly ( $P < 0.05$ ) lower than CTR and EGCG at both 1 and 4 h post-thaw. In addition, the overall motility parameters were found to decrease throughout post-thaw incubation time (i.e. 1 h vs. 4 h post-thaw; Supplementary file 1 and Fig.1 panels A, B).

On the other hand, whereas PM, VSL, BCF, LIN and STR were also significantly lower in R and R+EGCG treatments than in CTR and EGCG, the effects of post-thaw incubation time were less apparent (Supplementary file 1 and Fig.1). Finally, ALH showed a significant decrease due to treatment (with resveratrol) and post-thaw incubation time and their interaction (Supplementary file1).

The principal component analysis resulted in four principal components that were used for subsequent cluster analysis (Supplementary file 2). The resulting analysis showed four distinct clusters. These different clusters showed different motion characteristics (Table 1) that allowed us to identify them as: 1) slow non-progressive; 2) average; 3) rapid progressive; 4) rapid non-progressive.

Spermatozoa were assigned to the different clusters and, as reported in Table 2, significant differences in the percentages of spermatozoa belonging to each subpopulation were found between treatments (CTR, R, EGCG, R+EGCG).

Sperm viability, evaluated through SYBR14/PI test, was not influenced by treatments, but a significant reduction in this parameter was observed in all the treatments throughout post-thaw incubation time (1 and 4 h of incubation after thawing) (Fig. 1, panel C).

Percentages of viable spermatozoa with an intact acrosome, evaluated by PSA-FITC/PI test, were not influenced by treatment or post-thaw incubation time (Fig. 1, panel D).

With regard to mitochondrial membrane potential, and as shown in Fig 1, panel E, percentages of viable spermatozoa displaying high mitochondrial membrane potential were not influenced by the treatment. However, those percentages were significantly reduced in all the treatments throughout post-thaw incubation time.

Live sperm membranes lipid peroxidation measurement showed no significant differences between treatments and times of post-thaw incubation (Fig. 1, panel F).

374 Finally, sperm DNA integrity, measured by DNA fragmentation index (DFI%), showed significant  
375 ( $p<0.05$ ) differences between treatments (R and R+EGCG compared to CTR and EGCG) and for R  
376 and R+EGCG groups, times of incubation (Fig. 1, panel G).

377

378 Immunolocalization of tyrosine phosphorylated proteins after 1 h incubation in BTS showed no  
379 significant difference between groups (CTR, EGCG, R, EGCG+R) with around 95% spermatozoa  
380 showing A-pattern and the remaining 5% no positivity. Although the percentage of cells displaying  
381 the different patterns changed after 1 h of incubation in capacitating conditions (incubation in IVF  
382 medium for 1h at 39° C in a humidified chamber 5% CO<sub>2</sub>), no significant effect between treatments  
383 was observed (Fig. 1, panels H-M).

384

385 Penetration rates were found to be increased when R and/or EGCG were added. Notwithstanding, the  
386 extent of that increase compared to control was even higher when both R and EGCG (R+EGCG)  
387 were added in combination ( $P<0.001$ ; Fig.2, panel A). Monospermy (number of oocytes penetrated  
388 by only one spermatozoon divided by the total number of fertilized oocytes) was also affected by  
389 treatments, as R and R+EGCG exhibited significantly ( $P<0.05$ ) lower monospermy rates than CTR  
390 and EGCG (Fig. 2, panel B).

391 Total efficiency was not influenced by the different treatments (Fig.2 panel C).

392 The total number of oocytes analysed was 1594, divided into the different groups as follow: 395  
393 oocytes CTR, 435 EGCG, 378 R, 386 R+EGCG.

394

395

396

#### 397 4. Discussion

398

399 Cryopreservation induces some alterations on boar spermatozoa that bring about a loss of fertility  
400 [39], due to numerous effects strictly related to the process [1,2]. One concern is the excessive  
401 generation of ROS that tends to induce hyper-oxidation damage in various sperm structures, such as  
402 plasma membrane, DNA, nucleus and mitochondria [17,20,40].

403 The present work aimed at limiting the negative effects of ROS generation by adding, in combination,  
404 two different natural antioxidants, R and EGCG after thawing boar spermatozoa. A previous study  
405 [22], demonstrated that 50  $\mu$ M EGCG and 2 mM R were the most effective doses and resulted in  
406 the highest IVF rates of *in vitro* matured oocytes following IVF [22]. The same effect was observed  
407 also in the present work. However, the most noticeable finding of this work after evaluating the single  
408 and combined effects of both antioxidants, was that R is more powerful than EGCG in enhancing  
409 penetration rates *in vitro*. In fact, whereas EGCG induced a significant increase in the percentage of  
410 fertilized eggs when compared to the control, the extent of that increase was even higher in the case  
411 of R. However, no synergic effect was observed when the two antioxidants (R+EGCG) were  
412 supplemented in combination. The effects on fertilization were promising: the increase in penetration  
413 rates could be very encouraging for the addition of these substances to post-thawing media for boar  
414 spermatozoa, being that post-thawing fertility seems to be one of the major limitations for the use of  
415 frozen-thawed boar semen in a wide scale [39,41]. In this regard, artificial insemination trials should  
416 be performed to verify whether the strong effect observed in IVF is maintained *in vivo*, which would  
417 involve an effect on both farrowing rates and litter sizes. The selection of “good freezing” boars is a

418 central strategy for the application of frozen-thawed boar semen on a large scale; the males we used  
419 for IVF trials were chosen because of their good semen quality after thawing and their ability to  
420 fertilize oocytes *in vitro*.

421 One of the main questions raised from these results is which mechanism could explain the strong  
422 effect on IVF.

423 The first trial we performed, together with IVF, was aimed to study the localization of tyrosine  
424 phosphorylated proteins in sperm cell; as we did in other reports [37,42]. This parameter, known to  
425 be related to sperm capacitation, was used to detect different sperm subpopulations patterns (A, B, C  
426 and Neg) [37] and we studied the changes in their distribution due to the aforementioned treatments.  
427 Immunolocalization results clearly showed no difference between groups. Evidently, this parameter  
428 could be retained too “downstream” in the capacitation events to be thoroughly changed by the  
429 different treatments [37]. It should also be remarked that, after 1 h of incubation in BTS medium, no  
430 difference was found between groups; in particular, almost 95% of the sperm cells showed the A  
431 pattern, typical of non-capacitated spermatozoa.

432 To better determine whether any change on sperm metabolism and membrane composition resulted  
433 from the addition of R and ECGC to frozen-thawed spermatozoa, we studied a wider panel of sperm  
434 parameters, while only viability and acrosome integrity were evaluated in our previous work [22].  
435 We assessed sperm viability, acrosome integrity, mitochondrial membrane potential, lipid  
436 peroxidation and DNA integrity through flow cytometry and sperm motility (including motile  
437 subpopulations) by CASA. All the flow cytometric analyses were performed both at 1 and 4 h after  
438 thawing, keeping the semen at 37°C.

439 Sperm viability and acrosome integrity were not influenced by the addition of antioxidants. These  
440 results match with those obtained in our previous study [22] even if the current study evaluated those  
441 parameters by flow cytometry and our previous work used epifluorescence microscope. It is worth  
442 noting that there was a decrease in viable spermatozoa throughout post-thaw incubation time (i.e.  
443 between 1 h and 4 h of incubation).

444 Regarding the metabolic status of spermatozoa, we checked mitochondria functionality of viable  
445 cells, with a particular emphasis on the percentages of viable spermatozoa with high mitochondrial  
446 membrane potential (HMMP) as reported by [43]. These percentages did not change between  
447 treatments thus indicating that these two natural antioxidants have no impact on mitochondrial  
448 function. In addition, a significant, even if not dramatic, drop in HMMP cells was recorded between  
449 1 and 4 h of incubation. In this context, one should note that the control semen after 1 h of incubation  
450 exhibited a very high percentage of viable cells with HMMP (around 85%), and it would therefore  
451 have been difficult to further increase this percentage. Other reports indicate that mitochondrial  
452 function of boar spermatozoa during and after cryopreservation is impaired [10]; however, no  
453 distinction between viable and non-viable spermatozoa was made by the aforementioned work, which  
454 could explain why their data were different compared to ours.

455 From our results, it is reasonable to affirm that those cells that survive to cryopreservation are  
456 effectively functionally intact, both after 1 and 4 h of incubation at 37°C. As natural antioxidants  
457 were not effective in increasing the high percentage of cells with HMMP, the key point in  
458 ameliorating freezing protocols is to maintain as many viable spermatozoa as possible after freeze-  
459 thawing procedures. It should be also taken into account that mitochondrial activity in boar  
460 spermatozoa does not seem to be impaired by ROS (that could be formed in excess during the  
461 cryopreservation process) [44]. This information is supported by other reports [8,45–48] that clearly

462 show that the main energy source for boar spermatozoa is not the oxidative phosphorylation (taking  
463 place in the mitochondria), but anaerobic glycolysis [47,49].  
464 Lipid peroxidation was investigated through Bodipy; also, for this parameter we did not find any  
465 difference between treatments and no changes between 1 and 4 h of incubation were observed. We  
466 checked the mean fluorescent intensity of Bodipy fluorochrome exhibited by viable spermatozoa and  
467 it was evident that viable spermatozoa surviving to cryopreservation did not undergo a dramatic  
468 change in lipid peroxidation. These data confirm that the oxidative process after cryopreservation is  
469 not so heavily detrimental for porcine sperm cells [23,50] and, thus, that impact of the addition of  
470 antioxidants may only be marginal.

471 Together with the high positive impact of R and EGCG on IVF, a very strong effect of R is evident  
472 on sperm motility: both total and progressive motility were negatively affected by R. Also, the other  
473 motility parameters followed the same trend, with a detrimental effect caused by R. The reason for  
474 this drop in motility is not clear. Whereas some Authors showed a positive effect of R on rat, bull and  
475 human sperm motility [51–53] during liquid storage, other reports on equine [54] and porcine species  
476 [26] indicated that this molecule exerts detrimental effects on sperm motility. However,  
477 cryopreserved sperm cells seem to be more sensitive to this antioxidant. The key point is that although  
478 spermatozoa motility (checked at 1 h incubation at 37°C, at the beginning of the incubation time for  
479 IVF) is evidently compromised by R, their fertilizing ability was highest. This surprising and, to some  
480 extent, paradoxical effect deserves further research. Therefore, future studies should verify whether  
481 frozen-thawed boar sperm treated with R also exhibits higher reproductive performance *in vivo*.  
482 While the *in vitro* system is standardized and allows understanding some features of the fertilization  
483 process, there is great difference between *in vivo* and *in vitro* environment, the first one being more  
484 “selective” towards spermatozoa and the second one being fitted to obtain a good fertilization rate.  
485 In this context, another question that arises is whether R-treated sperm cells are able to pass the  
486 selection operated by the female genital tract and to fertilize a higher number of oocytes if compared  
487 to untreated frozen-thawed spermatozoa. At present, we have no data to answer this question, as no  
488 experimental evidence is provided from *in vivo* trials yet.

489 To better delineate the features of R-treated sperm motility, we performed cluster analysis using  
490 kinematics parameters of single sperm cells [13,55,56]. On the basis of cluster analysis sperm were  
491 classified into four clusters, with the following characteristics: one included sperm cells with low  
492 VSL, VCL, VAP and linearity and was considered as “slow non-progressive”; the second showed  
493 average parameters’ values; the third showed high velocity and highly linear cells (high VSL, LIN  
494 and STR) and was considered to be “rapid progressive”; finally, the last one showed high velocities  
495 but low linearity and was defined as “rapid non-progressive”. The effects of R on the proportions of  
496 each sperm subpopulation were very apparent, as those treatments in which R was present (R and  
497 R+EGCG) showed a significant increase in the percentage of slow non-progressive cells, which was  
498 concomitant with a dramatic decrease in the percentage of “rapid progressive” cells. In addition, at 1  
499 h, there was a significant increase in the percentage of “rapid non-progressive” cells, more evident in  
500 the R groups, but also present in the EGCG one, while at 4 h this cluster is equally represented in  
501 both treatments. If we consider “rapid non-progressive” cells as hyperactivated-like spermatozoa  
502 [57], this could provide a proof of the ameliorative effect of EGCG and particularly of R on IVF..  
503 Therefore, under the controlled IVF environment and the small IVF volume of the incubation dish, it  
504 is likely that a higher number of “hyperactivated” cells could reach the oocytes.

505 The last result deserving discussion regards DNA integrity. SCSA assay showed a noticeable effect  
506 of R, as the presence of this antioxidant in the thawing medium significantly increased the percentage  
507 of spermatozoa with fragmented DNA both at 1 and 4 h post-thaw. This fact was really surprising, as  
508 other articles reported that freeze-thawing induces slight some detrimental changes on boar sperm  
509 nucleus, in particular regarding the protamine-histone-DNA structure and the integrity of disulphide  
510 bonds between nucleoproteins [7,41]. Other reports evaluated DNA fragmentation in boar  
511 spermatozoa using SCSA and found no difference between fresh and frozen-thawed semen [58,59].  
512 The levels DFI reported in those studies were similar to those obtained in our control group.  
513 Therefore, our results on R effects should be interpreted taking into account that [59,60] found  
514 negative correlations between DFI and farrow rate and average total number of pigs born, thus  
515 suggesting that a spermatozoon with a fragmented DNA can fertilize an egg, but the outcome is lower  
516 than that obtained with intact spermatozoa.

517 In conclusion, R and EGCG showed a positive effect on in vitro fertility of boar spermatozoa if added  
518 after thawing, as they both increased penetration rate, with R being much influent on this parameter,  
519 so that it masked the effect of EGCG when the two antioxidants were used together showing no  
520 synergic effect. Anyway, R showed a negative impact on boar frozen thawed spermatozoa because it  
521 negatively affects sperm motility and DNA integrity. All other parameters indicate that both the  
522 molecules are, as other antioxidants, almost ineffective (viability, acrosome integrity, lipid  
523 peroxidation, mitochondrial function). Taken together these results are difficult to be explained: from  
524 one side boar sperm characteristics are poorly enhanced or negatively affected by the molecules, but  
525 on the other side, a positive effect on sperm function is evident.

526 On the basis of our results it is necessary to understand if the positive effect is also maintained in  
527 vivo; in addition, further studies are needed to understand the effective mechanism by which the  
528 molecules act and to verify whether the development of the *in vitro* fertilized zygotes is normal or  
529 could be negatively affected.

530

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533

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539

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