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*Research Article*

### **Neonatal mortality, cold weather, and socioeconomic status in two northern Italian rural parishes, 1820–1900**

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## **Neonatal mortality, cold weather, and socioeconomic status in two northern Italian rural parishes, 1820–1900**

**Francesco Scalone<sup>1</sup>**

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### **Abstract**

#### **BACKGROUND**

Cold-related conditions represent one of the most common causes of neonatal death in many developing countries. The effects of cold external temperatures on neonatal mortality at the onset of demographic transition recently have attracted scholarly interest.

#### **OBJECTIVE**

First, we aim to study the effects of cold temperatures on neonatal mortality at the onset of demographic transition, focusing on two Italian rural parishes between 1820 and 1900. Second, we aim to assess whether the effects vary according to socioeconomic status (SES), especially among the most vulnerable social groups.

#### **METHODS**

We apply logistic regression and discrete-time event history analysis using micro-data from parish registers and daily records of external temperature.

#### **RESULTS**

The risk of death during the first month of life varied according to external temperature's variation and to socioeconomic status, demonstrating that neonates born to landless rural labourers generally suffered a higher neonatal mortality risk during winter and, more specifically, in case of low temperature at the childbirth during coldest months.

#### **CONCLUSIONS**

The risk of neonatal death increased as external temperatures decreased. The clear influence of temperature on the day of birth suggests that low temperatures on the day of birth exerted a fundamental scarring effect on children's survival. We also find significant differences in neonatal mortality by SES, resulting in more pronounced effects from season and temperature in rural proletarian families. The results show that

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during the second half of the 19th century characterised by intense socioeconomic transformations, rural proletarians experienced a clear worsening of living conditions.

## **1. Introduction**

Cold-related conditions, such as hypothermia (Lunze et al. 2013), and severe infectious diseases, such as neonatal sepsis or neonatal infection (Oza et al. 2015), are a common cause of neonatal death in many developing countries (Lawn, Cousens, and Zupan 2005). Focusing on a historical population, we investigate the role of local climatic conditions on neonatal mortality, usually measured by air temperature. Some recent studies have linked temperature and neonatal mortality in the northern Italian region of Veneto during the final centuries of the demographic ancien régime. The effect of cold weather on neonatal mortality has been demonstrated in Casalsérugo for the period 1700–1830 (Dalla Zuanna and Rosina 2011) and in Venice for 1816–1868 (Derosas 2009). Both studies also show the importance of living standards and nutritional trends.

Following this new scholarly interest, we first propose to assess the effects of cold external temperatures on neonatal mortality during a later period, at the onset of a demographic transition and amid intense socioeconomic transformations. Even though Italy is in the temperate area, winters of extreme cold are relatively frequent in the northern area of the peninsula. Accordingly, we focus on two rural parishes bordering Bologna, a town located in Emilia-Romagna, a northern Italian region, from 1820 to 1900, which includes the critical period after national unification (1861) when a severe economic crisis hit the rural population. Second, we assess whether the effect of cold weather on neonatal mortality in these parishes varied by socioeconomic status (SES), in order to shed light on the most vulnerable social group during this critical period of socioeconomic transformation. Clear individual socioeconomic disparities and high neonatal mortality and illiteracy rates make these two parishes similar to some contemporary communities in developing countries. By demonstrating that even in a pre-industrial society some socioeconomic groups were more capable of protecting their own newborns than others, we can provide useful suggestions for policy interventions in contemporary resource-limited environments.

Using multivariate statistical models, we estimate the effects of season and temperature using micro data from parish registers and daily records of external temperature. For these two parishes we are fortunate to have both reliable daily climate data (Menne et al. 2012a; Menne et al. 2012b) and detailed demographic information in parish registers (Rettaroli and Scalone 2012). We can also rely on precise information about the living standards of the rural population (Jacini 1885). The Jacini Report

(1885), which was commissioned by the Italian government in 1877, is the most complete and detailed study of Italian agriculture during the 1870s.<sup>3</sup> We consider interactions between cold climatic conditions (low temperature and winter season) and SES in order to assess neonatal mortality risk in the poorest families during colder winters.

In the following section we briefly introduce the background to neonatal mortality analysis. The next two sections describe the socioeconomic, climatic, and demographic characteristics of the study area and present the data sources. The fifth section covers the methods, while in the last two sections the results of the aggregate and micro-level analyses are discussed.

## **2. Background**

In this section we introduce the theoretical framework underlying the construction of our empirical model. First, we present evidence for the effect of cold weather on neonatal death from a preliminary review of previous studies on the association between climate and mortality in historical European populations. Second, we theoretically identify the possible mechanisms that relate cold weather conditions and low temperatures to neonatal mortality, underlining the role of hypothermia and cold-related infectious diseases and indicating a possible causal chain that starts with maternal health conditions and leads to neonatal frailty and the actions of infectious diseases. We then formulate the rationale for hypothesising the differential effects of cold weather by SES.

### **2.1 Effects of cold weather on neonatal mortality**

Studies exploring the effects of cold temperature on all-age mortality rates in temperate zones from the 20<sup>th</sup> century to the first decades of the 21<sup>st</sup> century are relatively frequent. Some are comparative studies (Healy 2003; Analitis et al. 2008; Keatinge et al. 1997), while others analyse the situation in specific countries or towns, such as England and Wales (Keatinge, Coleshaw, and Holmes 1989), the Netherlands (Kunst, Looman, and Mackenbach 1991; Huynen et al. 2001), London (Carson et al. 2006), and Madrid (Diaz et al. 2005). However, historical studies of the relationship between cold temperature and death rate are limited and are mainly based on month or season of

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<sup>3</sup> The report is based on a survey of agrarian property, cultivation methods, and living conditions in rural populations.

birth, monthly temperature averages, and/or crude mortality indicators, as in the case of studies on England and Wales (McDowall 1981), Italy (Ferrari and Livi Bacci 1985), London (Galloway 1985; Landers 1986), and German villages (Knodel 1984), and are rarely based on individual death records, as in the case of Sweden (Bengtsson 1993) and the Netherlands (Ekamper et al. 2009).

Most of these studies of populations living in quite different climatic areas show that people adopt specific strategies to face extreme climates. As Healy (2003) observes, the countries that suffer from the highest winter mortality excess are in the temperate zone. People in cold regions keep their houses warm and dress in warm clothes, while “people in mild winter regions become careless about cold stress, and protect themselves less effectively against cold” (Ekamper et al. 2009: 391).

Historical studies on infant and neonatal mortality have shown similar trends. In Italy, at least until the early 19<sup>th</sup> century, infant survival was strongly affected by climatic conditions (Breschi and Livi Bacci 1986, 1994; Breschi, Derosas, and Manfredini 2000; Bellettini and Samoggia 1984; Rossi and Tesolat 2006). Studies on infant mortality in northern and central Italy show a U-profile of neonatal mortality by birth month (Breschi, Derosas, and Manfredini 2000; Breschi and Livi Bacci 1994) and an evident relationship with the lowest winter temperatures (Derosas 2009; Dalla Zuanna and Rosina 2011; Ferrari and Livi Bacci 1985). Focusing on Casalserugo over the period 1700–1830 and Venice from 1816 to 1868, Dalla Zuanna and Rosina (2011) and Derosas (2009), respectively, show that higher winter neonatal mortality was primarily due to lower outdoor temperatures. These seminal studies both conclude that hypothermia likely played the main role in the higher neonatal mortality in winter and that colder weather conditions had a direct effect on neonatal deaths. Therefore, we expect that during the study period winter-born children had the greatest risk of neonatal mortality, while those born in summer had the lowest levels.

## **2.2 Theoretical framework**

According to the World Health Organization (WHO) (2006), death in the days after childbirth is mostly related to endogenous factors such as obstetrical causes, malformations, and prematurity. Exogenous factors such as infections become the primary causes after the first week of life. We first mention some of the most relevant endogenous factors not related to the environment and then focus on factors linked to climate conditions. Previous studies on neonatal mortality (e.g., Drevenstedt et al. 2008; Ward 2004; Pinnelli and Mancini 1997) have shown that being male increases the risk of early death. Newborns in multiple deliveries have higher levels of perinatal and

neonatal mortality (e.g., Reid 2001; Wrigley et al. 1997), as they are more likely to have low birth weight and be premature (Ward 1993).

Short-term economic crises could also affect neonatal and infant survival, as previous studies assessing the effect of grain price increases on infant and child mortality have found a negative effect on survival between ages 2 and 12 years. An earlier study takes grain prices as a proxy for living standards and nutritional levels and finds them to have no immediate effect on neonatal mortality but to have an effect one year after childbirth (Breschi, Derosas, and Manfredini 2004).

However, in our theoretical framework, specific endogenous factors represent the preconditions that make cold weather conditions more pernicious. Since it is known that low birth weight (Lawn et al. 2005), prematurity, and intrapartum complications represent the most frequent causes of early neonatal deaths (0–6 days of age) (Oza et al. 2015), adverse cold weather could play a role, further weakening the already fragile neonates' bodies and leaving them more exposed to and less defended against infectious diseases. In the medical literature, hypothermia is defined as a newborn body temperature of 35.5–36.5°C. Neonatal hypothermia is still frequent in neonates born in tropical environments and is generally considered to be a direct cause of death in only a small proportion of newborn deaths, as it is primarily associated with common causes of neonatal deaths, such as severe infections, prematurity, and asphyxia (Miller, Lee, and Gould 2011; Lunze et al. 2013). Especially in premature newborns and those with a low birth weight of less than 2,500 grams (WHO 2006), thermoregulatory mechanisms are easily overwhelmed, leading to metabolic deterioration and direct death from hypothermia or indirect mortality from associated causes, such as severe infections (Lunze and Hamer 2012; Oza et al. 2015). Given that infections such as sepsis and pneumonia prevail among late neonatal deaths (7–27 days of age) (Oza et al. 2015), severe cold conditions could also have a detrimental effect throughout the neonatal period after the first days or week of life.

To complete this argument it must be recalled that maternal health and nutrition are critical determinants of neonatal frailty, since infectious disease in mothers and heavy physical work can reduce birth weight (Woods 2009; Costa 1998). That maternal infectious disease leads to preterm delivery and fragile neonates has been proven and explained in several historical and contemporary contexts. For instance, in Derbyshire, England, during the 1918–1919 pandemic, influenza infection during pregnancy was shown to determine premature delivery and consequently more vulnerable newborns (Reid 2005). In South Asia, intrapartum compromise was found to increase neonatal death risk in cases of maternal infection (Iwamoto et al. 2013). In addition, neonates from depleted mothers could also be more fragile and thus less able to counter adverse conditions such as cold weather. According to the maternal-depletion hypothesis (Winkvist, Rasmussen, and Habicht 1992), short inter-birth intervals generally

impoverish the mother's physiological state, increasing neonatal mortality risks (DaVanzo et al. 2008), as the mothers are not able to recover from the pregnancy–breastfeeding cycle (DaVanzo et al. 2008; Scalone 2014). Previous studies have suggested that the higher risks for very young mothers could be due to mother–fetus competition for nutrients or difficulties in maternal physical growth (Kramer and Lancaster 2010), whereas infants born to older mothers could be affected by a higher likelihood of maternal morbidity and congenital abnormality (Pozzi 2002; Carolan and Frankowska 2011).

### **2.3 Differential effects of cold climate on neonatal mortality by socioeconomic status**

The effects of socioeconomic status on infant mortality have been explored in several studies on historical European and Asian populations (Bengtsson, Campbell, and Lee 2004). In addition, socioeconomic differentials in infant mortality still exist in developing countries in the 21<sup>st</sup> century, mainly linked to household wealth, size of the child at birth, birth interval (Khadka et al. 2015), and sociomaterial and housing deprivation (Almendra, Santana, and Vasconcelos 2017). Moving on from this assumption, we further hypothesise that socioeconomic differences could mediate and alter the effects of cold climate conditions among the different socioeconomic groups.

To argue that cold weather can have a differential effect on neonatal mortality by SES, nutritional disparities between social groups must be taken into account. Impoverished mothers can suffer chronic malnutrition and insufficient vitamin intake during pregnancy, leading to immature foetal development (Pozzi 2000). Consequently, low SES neonates have a low birth weight more often than neonates of other socioeconomic groups (e.g., Ward 1993) and can have greater exposure to the detrimental effects of cold weather and low temperatures (Derosas 2009). We therefore can assume that due to chronic malnutrition the neonates of poorer families more frequently had low birth weights, and thus were more exposed to the effects of severe cold temperature than wealthier families' neonates.

The hypothermia prevalence in some Indian resource-limited communities has been found to be strongly correlated with environmental temperatures (Bang et al. 2005; Darmstadt et al. 2006; Agarwal et al. 2007). Therefore, the differential effects of cold weather by SES could also be due to differences in household quality. Indeed, childbirth frequently occurs in the home, and therefore low body temperature in infants is also associated with parents' ability to heat the birthplace, as is the case in Nepal (Sreeramareddy et al. 2006). In the 19<sup>th</sup> century, poor housing conditions were widely reported among rural proletarians, who, in many Italian areas, could not afford a



fireplace, a proper chimney, or window frames in their homes (Jacini 1885). Dwelling standards, were thus related to SES, as the poorest groups likely lived in more precarious housing conditions.

More substantial family resources and better infant care have been observed when other women are coresident. The relatives who lived together in large and multiple households could support young mothers in caring for neonates (Breschi, Derosas, and Manfredini 2004; Breschi, Manfredini, and Pozzi 2004), preventing harmful childrearing practices such as premature exposure to cold weather conditions. By contrast, in simpler and nuclear households, mothers were the only persons involved and could not rely on help to protect their children from adverse climatic and environmental conditions. This is also true for the contemporary population in developing countries, as previous studies have shown that low body temperature in infants is also associated with young, inexperienced mothers without necessary family support (e.g., Zabelle et al. 1990).

To sum up, on the one hand, in the most disadvantaged social classes, neonates could suffer from a lack of material resources (e.g., housing inadequacy) and family protection (e.g., scarce childcare knowledge), reducing their defenses against the asperities of cold weather. On the other hand, the poorest, less nourished, most depleted and work-overloaded mothers could give birth more frequently to fragile preterm and/or underweight neonates, who are more exposed to the direct effects of hypothermia and its related diseases.

### **3. Study area**

#### **3.1 Geography, climate, and population**

Our study focuses on San Donnino and San Nicolò di Villola, two parishes in the flat, rural area of the Po valley, which lies northeast of the city of Bologna. Bologna is located in Emilia-Romagna, a northern Italian region. The two parishes are outside the ancient walls of Bologna in the rural belt surrounding the town. During the study period the separation of the rural hinterland was not only symbolic but also administrative and material. For centuries, the doors of the town were locked during the night, and custom officers strictly controlled the people and goods travelling from the countryside to the town. Nevertheless, important, strong economic relationships existed between the town and its bordering rural zone.

The climatic conditions of the Bologna plain included prolonged rainy and dry periods with frequent, sudden, violent weather events. Snow, frost, hail, and fog were common in cold seasons, while the hot summer weather favoured the development of

insects and mould, which sometimes spoiled crops. Furthermore, the seasons rarely changed gradually, so agricultural work was always uncertain (Tanari 1881).<sup>4</sup>

The two parishes had a combined population of 881 inhabitants in 1820, more than 1,000 in 1840, and 1,158 in 1900. During that time the number of families increased from 134 to 182. San Donnino always had a larger population than San Nicolò, and the difference grew from 93 inhabitants in 1820 to 250 in 1900. The population and number of families in San Nicolò remained constant during the study period, while the population of San Donnino increased by 44.6%, from 487 to 704. In both parishes the average household size remained stable, at 6.6 in 1820 and 6.4 in 1900 (Rettaroli and Scalone 2012).

### **3.2 Socioeconomic characteristics**

Until the last decade of the 19<sup>th</sup> century, before industrialisation, the plains around Bologna were mostly populated by two social groups tied to the agricultural sector – those bound by sharecropping contracts and those engaged in wage labour (Bellettini 1971; Kertzer and Hogan 1989). Sharecropping contracts were usually merely oral agreements splitting the harvest between the owner and the sharecropper (Breschi et al. 2014; Rettaroli, Samoggia, and Scalone 2017; Scalone et al. 2017). In 1860, of the 158 households in the two parishes, sharecroppers and rural landless workers headed 71 and 47 respectively. The latter mostly lived in nuclear families with an average of 4.3 members, while sharecroppers lived in larger multiple households with an average of 8.8 members (Rettaroli and Scalone 2012). Sharecroppers' contracts allowed them to enjoy relatively stable economic conditions (Bellettini 1971), while landless rural labourers could only count on seasonal and often temporary incomes, with no contractual protection (Tanari 1881).

Although education was compulsory and the parishes had enough schools, attendance was low because teachers were unable to understand the effective needs of the rural population and parents needed children to help in agricultural work. Schools were often far from children's homes and during winter they often could not attend due to snow, rain, and, frequently, a lack of decent clothing or shoes. In Bologna's rural areas the population still had a high illiteracy rate, at 63.3% in the 1870s (Tanari 1881).

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<sup>4</sup> The Jacini report collected survey results for twelve subdivisions of the country. Information on the study area of Bologna was collected in 1877–1879 and published in the final report edited by Luigi Tanari (1881).

### **3.3 Labourers' living conditions in the second half of the 19th century**

As our demographic and climate series extend into the early 20<sup>th</sup> century, it is necessary to consider the socioeconomic and demographic transformations of that period. It can be assumed that improvement in housing and progress in obstetrical techniques and childrearing knowledge during the 19<sup>th</sup> century contributed to reducing infant mortality in this rural area (Scalone et al. 2013). However, improved living conditions did not reach the whole population. During this period the poorest strata of the rural population and landless labourers experienced progressive worsening of their living standards due to economic and political transformations.

After national unification in 1861, cereals imported from the United States and France caused a market crisis in the agricultural sector. From 1869 a new tax on grinding wheat and cereals (*tassa sul macinato*) impoverished rural populations, especially landless rural labourers. The situation worsened further when the Italian government increased duties (1887–1888) on imported goods and the French authorities raised custom duties on Italian agricultural products, hurting the agricultural sector and lowering the living standards of the rural population (Cazzola 1996). During the same period the traditional sharecropping economy steadily declined, as large-scale, capitalist agriculture emerged in the Bologna area. The introduction of new, modern agricultural machines cut the number of working days for landless labourers and increased temporary and permanent unemployment (Cazzola 1996; Kertzer and Hogan 1989). Given the deteriorating living conditions of rural landless labourers in the second half of the 19<sup>th</sup> century, we expect that low temperatures could have severely affected this group of labourers.

In the studied area, sharecroppers usually could ensure adequate caloric intake throughout the year as they could rely on their own food supplies, preserved by drying and salting (Comizio Agrario di Bologna 1881; Tanari 1881). By contrast, the nutrition of landless rural labourers was generally only adequate during the work season and became insufficient in amount and variety during periods of unemployment. Other evident differences were related to clothing, as rural labourers and their children were more poorly dressed than sharecroppers and so more exposed to the winter cold. In 1881 Tanari wrote: “For neonates, the lack of good and delicate clothes can cause unhappy development, wounds and imperfections for the rest of their lives.”

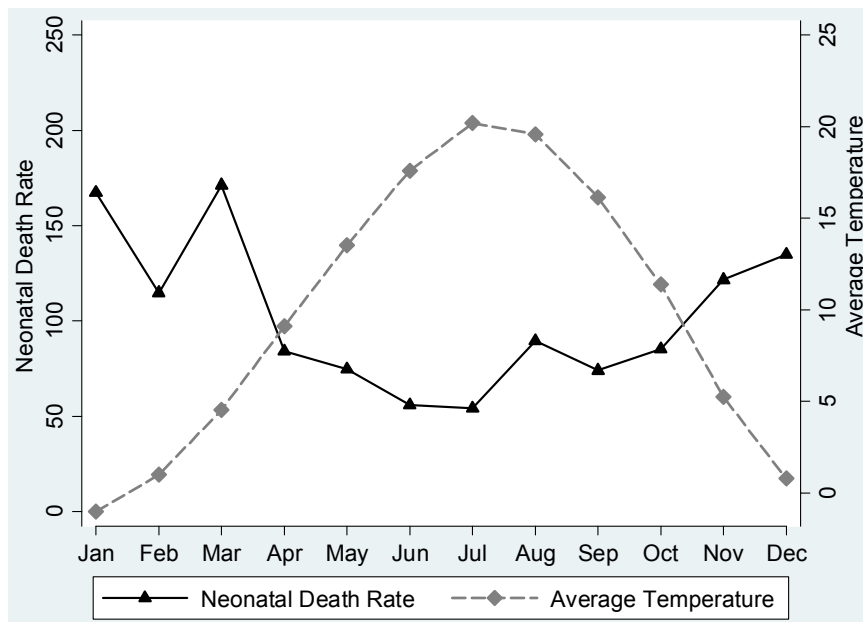
### **3.4 Neonatal mortality in the Bologna area**

Perinatal and infant mortality levels in the province of Bologna were lower than otherwise found in the region of Emilia-Romagna. Researchers have attributed these

differences to better geographic and environmental conditions (Angeli, Del Panta, and Samoggia 1995). Improvements in obstetrical assistance and infant care, which were already advanced in the 19<sup>th</sup> century,<sup>5</sup> probably also contributed to reducing perinatal risk. These advances might have also extended to rural families (Ward 2004).

From 1820 to 1900 the average neonatal mortality rate (deaths in the first month of life per 1,000 births) in San Donnino and San Nicoló was 105‰. In preliminary estimates based on this data, neonatal mortality rates were highest in winter and lowest in summer. As shown in Figure 1, neonatal mortality had a reverse relation with temperatures from 1820 to 1900.

**Figure 1: Neonatal mortality rate and average minimum temperature by month in San Donnino and San Nicoló of Villola, 1820–1900**



Statistics by cause of death are available for all of Italy from 1887. Nevertheless, it must be underlined that for a long time the classification of cause of death was poor in the first year of life – and especially in the first month of life, practitioners and doctors

<sup>5</sup> The first Italian chair in obstetrics was established at the University of Bologna in 1804, and obstetric and gynaecological clinics were established in 1860 in two hospitals, Ospedale Sant’Orsola and Ospizio degli Esposti (Scalone et al. 2017).

rarely attended childbirths in rural areas and so midwives were generally responsible for the cause of death declaration. Approximation and incorrect reporting of the diagnosis of cause of death greatly affected the quality of the statistics (Pozzi 2000). Although there is no precise information regarding the study area, statistics on perinatal causes of death in Emilia are available from 1888 (Table 1). More than half of deaths were due to infantile atrophy, approximately 9% to intestinal diseases, 8.5% to respiratory diseases, and 6% to sclerema<sup>6</sup> (skin lesions and inflammations).

**Table 1: Percentage distribution of neonatal deaths by cause in Emilia, 1888**

Causes	%
Birth asphyxia and apoplexy	2.5
Infantile atrophy	58.6
Convulsions	2.8
Respiratory diseases	7.7
Acute pneumonitis	1.5
Mouth diseases (excluding malignancy)	2.9
Diseases of the intestines: enteritis and diarrhoea	8.5
Sclerema (skin inflammations and lesions)	5.7
Other causes	9.8
Total	100.0
Number of deaths	10,511

Source: Direzione Generale della Statistica 1890.

Note: Other causes include all other causes accounting for less than 1% of deaths.

According to the definition of that time, atrophy (which literally means ‘lack of nourishment’) referred to underweight or immature body development due to congenital causes or poor maternal nourishment (Manfredini and Pozzi 2004). Both infantile atrophy and sclerema seem to be connected to weak, underweight, or premature neonates. Although we must be careful with low-quality death classifications, we can observe that a significant proportion of the neonatal deaths seem to be related to low weight or weakness in neonates.

## 4. Sources and data

### 4.1 Demographic sources

To investigate the relationship between temperature and the risk of death during the first month of life, we analyse the available demographic data and climate sources. We

<sup>6</sup> The exact cause of sclerema neonatorum is not known. It primarily affects very sick premature infants (James, Berger, and Elston 2006).

use parish registers and the Status Animarum of San Donnino and San Nicolò, covering approximately 11,000 individuals and showing 2,786 births and 292 deaths in the first month of life between 1820 and 1900 (Rettaroli and Scalone 2012). Together, these two sources provide complete information on the stock and flow of the populations of San Nicolò and San Donnino during the 19<sup>th</sup> century. The parish registers offer complete nominative information about the births, deaths, and marriages in their territories. The Status Animarum was a type of census drawn up by the parish priest each year during the Easter period, which included the name, sex, age, marital status, paternity, and maternity of each household member (Rettaroli and Scalone 2012). There may be a concern related to stillbirth registrations: The Catholic Church was concerned with saving neonates' souls, so priests tended to properly register early deaths, in some cases also including babies who died during childbirth. Consequently, the sources used could also include late foetal losses among early neonatal deaths.

The Status Animarum provides information on the occupations of heads of households (Rettaroli and Scalone 2012). The occupational classification scheme in that area distinguished three main socioeconomic groups based on paternal occupation at birth. The rural group included landless rural labourers, farmers, and sharecroppers. There was a nonrural group of artisans, petite bourgeoisie, and non-farm workers. The few individuals with unknown SES were classified in this nonrural third group. The Status Animarum lists individuals by family group, so it is also possible to consider specific household structures. Mortality estimates based on such family reconstitution could be biased due to unobserved migration, as death dates tend to be missing for individuals who moved and died in other places. We have considered 2,786 births in the baptism registers of San Donnino and San Nicolò whose mothers were registered in the parish Status Animarum. Therefore, we took into account the births from resident population mothers, who were less likely to migrate soon after childbirth. These births were then linked to 1,141 deaths at any age (not only infant) from burial registers. The linkage rate is relatively low since some of the 2,786 born died after 1900 (the end of the observed period) or moved and died in other parishes at older ages. However, the bias due to unobserved migrations generally has a limited impact on neonatal mortality measures, as new mothers and neonates rarely migrated within a month of delivery.

#### **4.2 Temperature registers**

Another source used in this research is climate registers from the Astronomic Observatory of the University of Bologna, which record the minimum, mean, and maximum temperatures for each day during the entire study period. The Astronomic Observatory of the University was located less than five kilometres from San Donnino

and San Nicolò, so it provides the ideal climate source for the demographic data used. These daily temperatures are available in the dataset of the Global Historical Climatology Network (Menne et al. 2012a; Menne et al. 2012b).

As in many temperate regions, the temperature range is wide. During the studied period Bologna's temperatures vary between the lowest value of  $-13.1^{\circ}\text{C}$  during winter months and the highest value of  $39.5^{\circ}\text{C}$  in summer months. Since our analysis refers to the effect of cold weather conditions we only took into account the series of minimum temperatures. Therefore, Table 2 refers to summary statistics of minimum temperatures calculated using individual days. It shows the minimum, maximum, and mean values; standard deviations; and some selected percentiles of the minimum temperatures, by month, over the two subperiods of 1820–1859 and 1860–1900.

**Table 2: Summary statistics of minimum daily temperature by month (degrees Celsius): Minimum, mean, and maximum values, standard deviations and percentiles**

1820–1859									
Month	Min	Mean	Max	SD	p10	p25	p50	p75	p90
January	-13.8	-1.2	7.1	3.2	-5.3	-3	-1	1	2.8
February	-10.5	1	9.5	3.2	-3.2	-1	1.2	3.2	5
March	-5.6	4.4	13.6	3.4	0	2.1	4.7	6.8	8.6
April	-0.6	8.7	17	2.9	5	6.7	8.8	10.7	12.5
May	-1.6	12.8	23	3.2	8.6	10.8	12.9	15	17
June	7	16.8	27.7	2.9	13	15	16.9	19	20.5
July	11.6	19.7	27	2.8	16	17.9	19.9	21.6	23.3
August	9.4	19.1	29	2.8	15.4	17.1	19.1	21	22.7
September	6.3	16.1	24.3	2.9	12.1	14.2	16.1	18.1	19.8
October	0	10.9	21	3.4	6.3	8.5	11	13.3	15
November	-6.2	5.2	15.2	3.4	0.6	2.8	5.1	7.6	9.6
December	-12.3	0.5	10.5	3.5	-4	-1.9	0.7	3	5
1860–1900									
Month	Min	Mean	Max	SD	p10	p25	p50	p75	p90
January	-16.9	-0.8	8.1	3.1	-4.9	-2.5	-0.6	1.3	2.9
February	-11.6	1	11.3	3.4	-3.3	-1	1.3	3.4	5.3
March	-5.8	4.6	16.3	3.6	-0.1	2	4.8	7.1	9.4
April	-1	9.5	21	3.3	5	7.4	9.6	11.9	13.8
May	3.8	14.2	24.3	3.4	10	12	14.1	16.5	18.8
June	9.3	18.4	28.1	3.1	14.4	16.3	18.5	20.6	22.3
July	12.1	20.7	28.4	2.8	16.6	18.8	20.9	22.5	24
August	10.8	20.1	29.1	2.8	16.3	18.1	20.3	22.1	23.8
September	6.6	16.2	24.6	3.1	12.5	14	16.1	18.5	20.3
October	1.9	11.9	20.3	3	7.5	10	12.3	14	15.6
November	-5.9	5.3	13.8	3.7	0.3	2.8	5.4	8.1	10
December	-12.3	1.1	10.6	3.4	-3.1	-1.1	1	3.5	5.4

Sources: Registers of Astronomical Observatory, University of Bologna; Global Historical Climatology Network, National Climatic Data Centre.

The data confirms that the winter months were the coldest, as December, January, and February had minimum values of less than 0 degrees Celsius in both subperiods. In these coldest months even the average values were frequently less than 0. It is worth noting that negative minimum values were also registered in March and November. Even if the averages for 1820–1859 are slightly less than those in the second subperiod, the lowest values are reached in the second subperiod. These monthly series appear to be stable, as the first and the second subperiods have nearly the same standard deviations.

### **4.3 Price series**

During the 19<sup>th</sup> century, Bologna was an important market for agricultural products from the surrounding area, such as corn, wheat, and other cereals, vegetables, hemp, rice, and grapes. Nevertheless, for the Bologna market no historical source reports a unique, complete series of cereal, wheat, or corn prices, as the various registers cover different subperiods of the study period. We therefore first reconstruct a series of wheat prices from 1820 to 1860 by collecting and harmonising prices from different sources (Dal Pane 1969; Fronzoni 2004; *Opera pia de' poveri vergognosi in Bologna* 1888). These prices are taken from the Bologna market and refer to the calendar year. Since wheat prices on the Bologna market are not available for the period 1861–1900, we covered this second interval relying on the national official series. It is possible to assume a high correlation between local and national prices series even in the period 1861–1900, since national unification in 1861 resulted in a national market. In a preliminary test we compare the reconstructed series to those of other towns in the Emilia area (Ferrara and Parma) and find similar, coherent trends. Prices increased progressively from the second half of the 1840s through the middle of the 1870s and then slightly decreased until the end of the century. A monthly price series would be preferable to precisely measure the impact of maternal nutritional stress during the foetal and neonatal periods. Unfortunately, the available data is limited to calendar years, so the price information refers to the average price level of two consecutive harvest years. Thus, this covariate has to be interpreted as a more general proxy to control for the market conditions and the nutritional levels in the medium term, including the year of birth and the year immediately preceding it.



#### **4.4 The person-day dataset**

The information in the various sources is linked on a nominative basis to reconstitute individual neonatal biographies in the first month of life. Nominative reconstitution produces a longitudinal person-day dataset suitable for a discrete-time event history analysis. This dataset has a rectangular shape in which each row contains information referring to each day of a neonate's life from the first to thirtieth day from birth (unless the newborn died before the thirtieth day of life), supplemented with a number of constructed variables representing the main biodemographic and socioeconomic characteristics. The biodemographic variables are the sex of the newborn (a dummy for female or male), multiple births (a dummy for a twin or a singleton), the mother's age (a four-category variable: less than 25 years, 25–34 years, more than 34 years, and 'unknown age'), time from the most recent birth, and survival status of the previous child (a four-category variable combining dummy variables for intervals shorter or longer than 19 months and the life status of the previous child). A time-varying variable takes into account the newborn's age, with the following neonatal subperiods: 0–1, 2–3, 4–6, 7–13, and 14 or more days. Two other covariates refer to SES and other specific household characteristics (the number of women aged 60 and older in the household). Other exogenous variables are also taken into account, controlling for the parish of residence, year of birth, season or month of birth, and wheat prices lagged one year before the birth.

The dataset also includes the main covariates in the analysis: season of birth and daily temperature and their interaction with SES. Each record reports two kinds of temperature registration. Time-constant temperatures refer to the day of birth and do not vary in the following person-day records. Time-varying temperatures change for each day-record according to the corresponding daily temperature.

### **5. Methods**

Following the approach proposed by Dalla Zuanna and Rosina (2011), we investigate the association between season of birth, temperature, and risk of neonatal death according to SES, combining logistic regression analysis with discrete-time event history modelling. Through logistic regression we first assess the neonatal mortality risk in winter and estimate the interaction between season and SES from 1820 to 1900. Second, we assess the effects of daily temperature and its interaction with SES focusing on the coldest period of the year (winter, together with the months of November and March) using a discrete-time event history approach. We estimate and compare models

for two subperiods (1820–1859 and 1860–1900), as we consider national unification in 1861 to be a turning point in Italy’s socioeconomic transformation.

### 5.1 Logistic regression analysis

In our first model we estimate the effects of season of birth and its interaction with SES, using the sample of  $n = 2,786$  neonates and 292 neonatal deaths during 1820–1900 and grouped among  $m = 947$  mothers. Since in this preliminary analysis all the covariates are time-constant and refer to the day of birth, we prefer to estimate a logistic regression model. So we use the following model, with the dummy variable “death (or not) in the first 30 days of life” as a response variable:

$$\text{logit}(\pi_{ij}) = \sum_{k=1}^K \beta_k X_{kij} + \alpha + \mu_j + \varepsilon_{ij} \quad (1)$$

where  $\pi$  denotes the probability that a neonate  $i$  from a mother  $j$  dies within the 30 days following delivery,  $\alpha$  represents a set of constants,  $\beta$  is a vector of parameters for the  $K$  covariates, and  $X$  is the vector of the time-constant covariates that refers to the day of birth.

A cluster random effect, grouping children with the same mother  $j$ , is included to take into account unexplained interfamily variation and thus improve the parameter estimates (Larsen et al. 2000). To account for unexplained mortality due to unobserved familial and maternal characteristics we create a latent variable, grouping the observed neonates by their mothers (Scalone et al. 2017). We therefore assume that the  $m$  cluster random variables are mutually independent and have identical Gaussian distributions, with a mean of zero and  $\sigma_u^2$  variance. Traditional measures to investigate variance components in random-effects logistic models are computed: the standard deviation  $\sigma_u$  of the cluster random effects and the intra-class correlation coefficient (ICC, often indicated by  $\rho$ ), given by the ratio of the variance between the second-level units to the total variance. The ICC represents the total individual variation in the probability of neonatal death attributable to variation among mothers. The ICC quantifies the variation within groups and can also be interpreted as a measure of the residual correlation in the probability of death between two randomly selected newborns with the same mother (Larsen et al. 2000; Larsen and Merlo 2005).<sup>7</sup>

As the modelling strategy, a set of logistic regression models is estimated (Table 3). First, a base model is shown, including only the SES and the season of birth. Next, a full model includes controls for other biodemographic and exogenous factors, such as

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<sup>7</sup> Stata 13 (StataCorp 2013) was used for the statistical analyses. The xtlogit commands were applied with the re option to estimate the random effects logistic regression models (Rodriguez and Elo 2003).

the number of individuals and older women in the household, time trends, wheat prices, and parish of residence. In the final model the interactions between SES and season of birth are also taken into account.

## 5.2 Discrete-time event history analysis

After testing the risk of neonatal mortality in the cold winter season, we consider the impact of daily external temperatures. The reconstituted micro-data permit multivariate statistical analysis, using event-history techniques to estimate the odds of death within a month of birth. The characteristics of the person-day dataset indicate that the use of discrete-time models with a person-day record as the unit of analysis is suitable (Allison 1982; Dalla Zuanna and Rosina 2011). We concentrate on births in November, December, January, February, and March over the period 1820–1900, as these months generally registered the highest neonatal mortality and the lowest temperatures. The second model is restricted to the coldest months, since extending the analysis to warmer months could confound and mask the effect of cold conditions and the differential impact by socioeconomic status. High temperatures during the warmest months could negatively affect mortality or have no impact, reducing the observed effect or making it nonlinear.

In total, 1,206 children born from November to March (32,355 person-days) and 175 events (deaths before the end of the first month of life) are taken into account.

We use the person-day dataset and extend equation (1), including a  $t$  subscript that refers to the current  $t^{\text{th}}$  day within 30 days after childbirth:

$$\text{logit}(\pi_{tij}) = \alpha + \mu_j + \sum_{k=1}^K \beta_k X_{kij} + \sum_{l=1}^L \gamma_l Z_{ltij} + \varepsilon_{tij} \quad (2)$$

where  $\beta$  represents a parameter vector,  $X$  refers to the time-invariant controls (biodemographic variables, household covariates, parish, birth year, wheat price, and minimum daily temperature registered on the birth day), and  $Z$  and  $\gamma$  stand for the time-varying covariates (neonate age and minimum current daily temperature) and the correspondent vector of coefficients, respectively. As in the previous model specifications, a cluster random effect  $\mu_i$  at the mother level is also included.

Preliminarily focusing on 1820–1900, the base discrete-event history model includes only SES categories and temperature. The full model also takes into account other biodemographic, household, and exogenous controls (Table 4). The final set of models (Table 5) further considers the interaction between SES and temperatures for the 1820–1900 period and the 1820–1859 and 1860–1900 subperiods.

### **5.3 Expected outcomes**

In the first logistic regression analysis, higher neonatal mortality risks are expected for the cold winter season and rural landless labourers. A significant interaction between season of birth and SES is also expected, as explained in the theoretical framework section. Regarding the control variables, higher neonatal mortality risks are expected for male newborns, twins, younger and older mothers, and shorter birth interval since the previous living child. Positive linear effects are expected for the number of individuals and the number of women age 60 years and older in the household, which are proxies for family wealth and caregiving attention, respectively. To control for the effects of short-term economic fluctuations, wheat prices are also taken into account, and increases in wheat prices are expected to negatively impact on neonatal survival. Controlling for the parish of birth, lower risks of neonatal death are expected for newborns in San Donnino, which was closer to the town of Bologna. The models include a birth year variable to control for the beneficial effects of progress in obstetrical care on neonatal survival; therefore, a negative linear effect is expected.

In the second discrete-event history model, temperatures are expected to have a negative linear effect as time-constant and time-varying variables. Significant interactions SES\*temperatures are also expected, since in the Bologna area the differential effects of low external temperature among the two main socioeconomic groups – sharecroppers and landless rural labourers – could be due to differences in living conditions and in the quantity and quality of nutritional intake. A control for the month of birth is also included. In rural areas the month of birth could also affect neonatal mortality, since it was also related to the calendar of agricultural work, influencing maternal physical and nutritional conditions.

Random effects at the mother level are also included in both the logistic and the event-history models. When passing from the base model to the full model including the biodemographic factors and the exogenous variables, we therefore expect that the residual variation due to the unexplained component at the family level will decrease, along with the ICC.

## **6. Results**

In the preliminary logistic regression the dependent variable is the probability of death within 30 days after childbirth, taking into account all births from 1820 to 1900. The results of this analysis are presented in Table 3. In the base model in Table 3 the group of artisans, bourgeoisie, and others experienced 29% lower neonatal mortality than the reference category of sharecroppers and farmers. The effect of the season of birth is

statistically significant. The risk of neonatal deaths in winter and spring is respectively 2.5 and 1.8 times higher than in summer, while the effect in autumn, with 1.5 times higher risk, has low significance. Thus, season of birth appears to have stronger effects than socioeconomic differences. This tendency is confirmed in the full model (Table 3), which shows stronger and even more significant seasonal effects.<sup>8</sup>

Considering the biodemographic covariates in the full model, female neonates experienced a lower risk of death, with weak statistical significance (less than 10%). Twins registered a much higher risk of neonatal mortality, showing strong statistical significance. Thirty out of sixty-two twins died in the first month of life, showing the high risks associated with a multiple birth. We do not find that family context plays a statistically significant role, although the results are consistent with our expectations. The number of household members and of women older than 60 years is inversely associated with neonatal mortality risk, with a low significance level of 10%.

In addition, a unit increment in wheat prices significantly increases the risk of neonatal death by 4.4%, with a one-year delay. As expected, the year of birth registers a significant declining linear effect.

Looking at the SES\*season interaction model, the group of artisans, bourgeoisie, and others had a significantly lower neonatal death risk during winter than the other two rural groups, whereas the landless labourers experienced higher risk in winter but without full statistical significance (approximately 10%). However, if we consider the net effect of the interactions, the landless rural labourers registered a far greater relative risk during winter than during other seasons, and than any other group does at any time  $[(\exp(\beta_{\text{landless}} + \beta_{\text{winter}} + \beta_{\text{landless*winter}})) = \exp(-0.123+0.869+1.237) = 7.260]$ .<sup>9</sup>

**Table 3: Logistic regression analysis of neonatal mortality risk in San Nicolò and San Donnino, 1820–1900**

	Mean/ percentage	Base model			Full model			Interaction model		
		Exp( $\beta$ )	SE	$\rho$	Exp( $\beta$ )	SE	$\rho$	Exp( $\beta$ )	SE	$\rho$
<b>Socioeconomic status of father at birth</b>										
Landless rural labourers	12.3	1.309	0.300	0.239	1.085	0.269	0.743	0.884	0.564	0.847
Sharecroppers and farmers (ref.)	41.0	1.000	–	–	1.000	–	–	1.000	–	–
Artisans, bourgeoisie and others	46.7	0.712	0.119	0.041	0.692	0.125	0.042	1.172	0.471	0.694
<b>Season of birth</b>										
Winter	26.4	2.492	0.534	0.000	2.589	0.567	0.000	2.384	0.851	0.015
Spring	28.0	1.873	0.406	0.004	1.836	0.407	0.006	2.697	0.945	0.005
Summer (ref.)	21.6	1.000	–	–	1.000	–	–	1.000	–	–
Autumn	24.1	1.476	0.339	0.090	1.426	0.337	0.132	2.496	0.917	0.013

<sup>8</sup> Other models that we do not show for sake of brevity also include an interaction age\* time-varying temperature without finding significant coefficients.

<sup>9</sup> For each SES, respectively ‘landless rural labourers’, ‘sharecroppers and farmers’, and ‘artisans, bourgeoisie and others’, we have calculated the relative risks in spring (1.938; 2.697; 1.435), summer (0.884; 1.000; 1.172), autumn (1.022; 2.496; 1.068), and winter (7.260; 2.384; 2.297).

**Table 3: (Continued)**

	Mean/ percentage	Base model Exp( $\beta$ ) SE $\rho$	Full model Exp( $\beta$ ) SE $\rho$	Interaction model Exp( $\beta$ ) SE $\rho$
<b>Sex of newborn child</b>				
Male (ref.)	52.4		1.000 – –	1.000 – –
Female	47.6		0.785 0.111 0.087	0.795 0.114 0.108
<b>Multiple births</b>				
Single (ref.)	97.8		1.000 – –	1.000 – –
Twins	2.2		20.35 8.115 0.000	22.02 8.942 0.000
<b>Age of mother at birth</b>				
< 25	25.4		0.827 0.151 0.297	0.833 0.154 0.322
25–34 (ref.)	48.7		1.000 – –	1.000 – –
≥ 35	23.5		0.887 0.163 0.514	0.881 0.164 0.495
Unknown	2.5		1.543 0.770 0.385	1.550 0.791 0.390
<b>Inter-birth interval and survival of previous child</b>				
No previous child	33.3		1.524 0.493 0.193	1.483 0.483 0.227
< 19 months and still living (ref.)	5.9		1.000 – –	1.000 – –
< 19 months and dead	6.7		1.184 0.462 0.665	1.130 0.447 0.758
≥ 19 months	54.1		1.120 0.357 0.721	1.126 0.361 0.712
Number of individuals in the household	9.0		0.968 0.019 0.090	0.967 0.019 0.085
Number of women age 60 years and older in the household	0.3		0.766 0.119 0.087	0.755 0.119 0.074
Year of birth	1858		0.991 0.004 0.033	0.992 0.004 0.043
<b>Parish</b>				
San Nicolò	41.7		1.000 – –	1.000 – –
San Donnino	58.3		1.121 0.186 0.491	1.120 0.189 0.500
Price (year-1)	18.2		1.044 0.023 0.049	1.043 0.023 0.054
<b>Interaction SES * Season of birth</b>				
Landless rural labourers*Winter				3.445 2.451 0.082
Landless rural labourers*Spring				0.813 0.597 0.778
Landless rural labourers*Autumn				0.463 0.369 0.334
Artisans, bourgeoisie and others*Winter				0.822 0.391 0.680
Artisans, bourgeoisie and others*Spring				0.454 0.218 0.100
Artisans, bourgeoisie and others*Autumn				0.356 0.182 0.044
<b>Clustered frailty</b>				
Sigma $\mu$		1.007	0.904	0.927
Rho		0.236	0.199	0.207
Log likelihood		-903.1	-852.1	-843.2
Overall p		0.000	0.000	0.000
Number of neonatal deaths		292	292	292
Number of births		2,786	2,786	2,786
Number of mothers		947	947	947

Sources: Parish registers and Status Animarum.

Note: The column 'Mean/percentage' reports the mean values of the numerical variables (Number of individuals in household, Number of women 60 years and over, Year of birth, Price at year-1) and the percentage distributions of the categorical covariates (Socioeconomic status, Season, Sex of newborn child, Multiple births, Age of mother, Distance from last birth and survival of last child, Parish, Month of birth, Age).

The event history models in Table 4 include only the births in November, December, January, February, and March during the study period, as these months generally saw the highest neonatal mortality rates and the lowest external temperatures. The first base model in Table 4 takes into account only SES, minimum temperature on the day of birth as the time-constant variable, and the temperatures on the following 29

days as the time-varying variable. Lower temperatures on the day of childbirth significantly increased the risk of neonatal death, whereas no significant effect is found for daily temperature.<sup>10</sup> This result is stable and persists in the full model (Table 4), with a 9% reduction in neonatal mortality risk for a unit increment in the temperature at birth.

It is confirmed that multiple births appeared to be extremely dangerous. Neonates of mothers younger than 25 years also had lower mortality risk after birth. To explain this result, we should remember the high average age at first birth noted in the study area (Rettaroli and Scalone 2012). Consequently, the mothers in this category likely were not so young and did not include many adolescents, who would have been more vulnerable to mother–foetus competition for nutrients or difficulties in maternal physical growth. Another explanation is that children of young mothers are more frequently first births, and the category ‘no previous birth interval’ (nonsignificant but with higher risk) implicitly controls for first child. In addition, the number of both individuals and women age 60 years and older in the household had nonsignificant effects during these coldest months. As expected, neonatal mortality risk progressively declined with neonate age.

Three other models are also examined by interacting temperature at birth and SES for the complete period of 1820–1900 and the two subperiods of 1820–1859 and 1860–1900. In the 1860–1900 model the significantly higher neonatal mortality level in San Donnino is an unexpected result, as this parish was closest to the urban area. Interestingly, for the 1860–1900 subperiod only the landless rural labourers had a significant interaction with temperature at birth. According to these results, in the last four decades of the 19<sup>th</sup> century the lower the class of the household the stronger the effect of the temperature at childbirth.

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<sup>10</sup> To determine whether low temperatures explain only death on the first day of life, we conduct an additional analysis excluding deaths on the day of birth. This sensitivity check confirms the results of the presented analysis. Therefore, we believe that a misleading registration of stillbirths among the births could only minimally bias the main results.

**Table 4: Discrete-time event history analysis of neonatal mortality risk in San Nicolò and San Donnino, November–March 1820–1900**

	Mean/ percentage	Base model			Full model		
		Exp( $\beta$ )	SE	<i>p</i>	Exp( $\beta$ )	SE	<i>p</i>
<b>Socioeconomic status of father at birth</b>							
Landless rural labourers	9.9	3.706	1.286	<b>0.000</b>	1.888	0.562	<b>0.033</b>
Sharecroppers and farmers (ref.)	44.3	1.000	–	–	1.000	–	–
Artisans, bourgeoisie and others	45.8	0.844	0.212	0.500	0.751	0.170	0.206
Temperature at birth	2.2	0.931	0.026	<b>0.010</b>	0.911	0.028	0.003
Temperature	2.5	1.018	0.024	0.436	1.031	0.028	0.255
<b>Sex of newborn child</b>							
Male (ref.)	52.8				1.000	–	–
Female	47.2				0.837	0.146	0.309
<b>Multiple births</b>							
Single (ref.)	98.8				1.000	–	–
Twins	1.2				14.88	6.735	0.000
<b>Age of mother at birth</b>							
< 25	26.2				0.555	0.131	0.012
25–24 (ref.)	49.9				1.000	–	–
≥ 35	21.7				0.875	0.199	0.557
Unknown	2.2				1.454	0.802	0.497
<b>Inter-birth interval and survival of previous child</b>							
No previous child	32.5				1.664	0.601	0.158
< 19 months and still living (ref.)	5.8				1.000	–	–
< 19 months and dead	7.7				0.867	0.409	0.762
≥ 19 months	54.0				0.923	0.324	0.820
Number of individuals in the household	9.5				0.961	0.023	0.104
Number of women age 60 years and older in the household	0.3				0.829	0.155	0.315
Year of birth	1,858				0.992	0.005	0.150
<b>Parish</b>							
San Nicolò (ref.)	41.4				1.000	–	–
San Donnino	58.6				1.270	0.258	0.240
<b>Month of birth</b>							
January	20.1				1.018	0.286	0.949
February	23.1				0.603	0.179	0.088
March	23.4				1.224	0.372	0.507
November	15.8				1.024	0.349	0.946
December (ref.)	17.7				1.000	–	–
Price (year-1)	18.3				1.050	0.029	0.074
<b>Age (in days)</b>							
0–1	7.4				5.229	1.318	0.000
2–3	7.1				4.622	1.178	0.000
4–6	10.4				3.277	0.831	0.000
7–13	23.5				1.874	0.442	0.008
14+ (ref.)	51.7				1.000	–	–
<b>Clustered frailty</b>							
Sigma $u$		1.750			1.003		
Rho		0.482			0.234		
Log likelihood		–1051.4			–975.0		
Overall <i>p</i>		0.000			0.000		
Number of neonatal deaths		175			175		
Number of child-days		32,355			32,355		
Number of mothers		638			638		

Source: Parish registers and Status Animarum.

Note: The column 'Mean/Percentage' reports the mean values of the numerical variables (Temperature, Temperature at birth, Number of individuals in household, Number of women 60 years and over, Year of birth, Price at year-1) and the percentage distributions of the categorical covariates (Socioeconomic status, Sex of newborn child, Multiple births, Age of mother, Distance from last birth and survival of last child, Parish, Month of birth, Age).



**Table 5: Discrete-time event history analysis of neonatal mortality risk in San Nicolò and San Donnino with interactions SES\*temperatures, November–March 1820–1859 and 1860–1900**

	1820–1900				1820–1859				1860–1900			
	Mean/ Percentage	Exp(β)	SE	p	Mean/ Percentage	Exp(β)	SE	p	Mean/ Percentage	Exp(β)	SE	p
<b>Socioeconomic status of father at birth</b>												
Landless rural labourers	9.9	2.267	0.721	0.010	9.1	1.042	0.608	0.943	9.9	3.675	1.439	0.001
Sharecroppers and farmers (Ref.)	44.3	1.000	–	–	43.6	1.000	–	–	44.3	1.000	–	–
Artisans, bourgeoisie and others	45.8	0.778	0.187	0.296	47.4	0.789	0.272	0.491	45.8	0.974	0.353	0.942
Temperature at birth	2.2	0.935	0.037	0.089	2.4	0.925	0.051	0.156	1.9	0.960	0.055	0.479
Temperature	2.5	1.029	0.028	0.286	2.9	1.011	0.039	0.784	2.1	1.056	0.041	0.161
<b>Sex of newborn child</b>												
Male (Ref.)	52.8	1.000	–	–	53.8	1.000	–	–	51.7	1.000	–	–
Female	47.2	0.841	0.146	0.321	46.2	0.914	0.232	0.724	48.3	0.776	0.184	0.285
<b>Multiple births</b>												
Single (Ref.)	98.8	1.000	–	–	98.7	1.000	–	–	98.8	1.000	–	–
Twins	1.2	15.30	6.87	0.000	1.3	16.00	12.31	0.000	1.2	15.81	8.30	0.000
<b>Age of mother at birth</b>												
< 25	26.2	0.562	0.132	0.014	26.4	0.607	0.206	0.142	26.0	0.465	0.157	0.023
25–24 (Ref.)	49.9	1.000	–	–	50.6	1.000	–	–	49.0	1.000	–	–
≥ 35	21.7	0.881	0.199	0.577	21.8	1.122	0.349	0.711	21.7	0.590	0.195	0.111
Unknown	2.2	1.364	0.755	0.576	1.2	1.900	3.741	0.744	3.3	1.161	0.610	0.776
<b>Distance from last birth and survival of last child</b>												
No previous child	32.5	1.684	0.608	0.149	33.7	1.561	0.866	0.422	31.2	2.049	0.949	0.121
< 19 months and still living (Ref.)	5.8	1.000	–	–	5.3	1.000	–	–	6.4	1.000	–	–
< 19 months and dead	7.7	0.896	0.424	0.817	8.3	0.563	0.410	0.431	7.0	1.507	0.957	0.518
≥ 19 months	54.0	0.933	0.328	0.843	52.7	0.858	0.448	0.769	55.5	1.178	0.556	0.728
Number of individuals in household	9.5	0.963	0.023	0.120	9.7	0.952	0.032	0.139	9.2	0.982	0.034	0.594
Number of women 60 years and over	0.3	0.818	0.153	0.283	0.2	0.892	0.325	0.755	0.5	0.865	0.198	0.527
Year of birth	1857.5	0.993	0.005	0.170	1839.0	1.023	0.017	0.165	1878.9	0.979	0.012	0.086
<b>Parish</b>												
San Nicolò (Ref.)	41.4	1.000	–	–	40.2	1.000	–	–	42.8	1.000	–	–
San Donnino	58.6	1.266	0.256	0.244	59.9	0.817	0.242	0.496	57.2	1.979	0.548	0.014
<b>Month of birth</b>												
January	20.1	1.035	0.292	0.903	20.7	0.875	0.351	0.739	19.4	1.172	0.485	0.702
February	23.1	0.593	0.176	0.079	23.2	0.474	0.204	0.083	23.0	0.881	0.377	0.768
March	23.4	1.252	0.381	0.460	25.4	0.924	0.398	0.854	21.0	1.676	0.753	0.251
November	15.8	1.038	0.353	0.914	14.9	0.670	0.337	0.426	16.8	1.542	0.744	0.370
December (Ref.)	17.7	1.000	–	–	15.8	1.000	–	–	19.8	1.000	–	–
Price (year-1)	18.3	1.051	0.029	0.067	16.4	0.980	0.051	0.696	20.4	1.048	0.038	0.197
<b>Age (in days)</b>												
0–1	7.4	5.196	1.311	0.000	7.3	6.315	2.191	0.000	7.4	4.130	1.557	0.000
2–3	7.1	4.603	1.173	0.000	7.1	3.762	1.444	0.001	7.2	5.318	1.835	0.000
4–6	10.4	3.270	0.830	0.000	10.4	3.685	1.291	0.000	10.4	2.812	1.043	0.005
7–13	23.5	1.867	0.441	0.008	23.5	1.825	0.619	0.076	23.5	1.906	0.627	0.050
14+ (Ref.)	51.7	1.000	–	–	51.8	1.000	–	–	51.5	1.000	–	–

**Table 5: (Continued)**

	1820–1900				1820–1859				1860–1900			
	Mean/ Percentage	Exp(β)	SE	p	Mean/ Percentage	Exp(β)	SE	p	Mean/ Percentage	Exp(β)	SE	p
<b>Interaction SES *</b>												
<b>Temperature at birth</b>												
Landless rural labourers *		0.903	0.060	0.129		0.979	0.134	0.876		0.830	0.068	0.023
Temp. at birth												
Artisans, bourg. and others *		0.978	0.046	0.635		1.013	0.067	0.845		0.922	0.065	0.250
Temp. at birth												
<b>Clustered frailty</b>												
Sigma u		0.989				1.236				0.403		
Rho		0.229				0.317				0.047		
Log likelihood		-973.8				-504.9				-455.2		
Overall p		0.000				0.000				0.000		
Number of neonatal deaths		175				89				86		
Number of child-days		32,355				17,378				14,977		
Number of mothers		638				357				306		

Source: Parish registers and Status Animarum.

Note: The column 'Mean/Percentage' reports the mean values of the numerical variables (Temperature, Temperature at birth, Number of individuals in household, Number of women 60 years and over, Year of birth, Price at year-1) and the percentage distributions of the categorical covariates (Socioeconomic status, Sex of newborn child, Multiple births, Age of mother, Distance from last birth and survival of last child, Parish, Month of birth, Age).

## 7. Conclusion and discussion

This analysis confirms that the risk of death during the first month of life varied according to weather conditions and increased as external temperatures fell. These results are obtained while controlling for biodemographic factors, temporal trends, short-term economic fluctuations, and observed and unobserved family characteristics. The highest risk of neonatal death is found in winter, and the lowest risk in summer. Significant interactions between SES and season of birth are also found, revealing higher neonatal mortality among rural labourers' families during winter.

An interesting result of this analysis is related to the effect of temperature: temperature on the day of birth had a clear influence on neonatal survival, while temperature on the days after birth was not related significantly to mortality risks. The results suggest that low temperatures on the day of birth exerted a fundamental scarring effect on children's survival. Newborns likely burn much of their fat reserves to face very cold weather and maintain their body temperature. As it is difficult for them to recover the necessary weight to face the risks of early life, the temperature on the day of birth appears to compromise their chances of survival, even in the following days. The effects of cold temperature are more severe when homes are badly heated and clothing is inadequate. Thus, the difficulty of newborns to maintain and gain weight, especially if they are underweight (Woods 2009; Costa 1998), increases the risk of hypothermia

and other cold-related causes of death. This tendency may explain why atrophy was the most common cause of neonatal death in the study region. The scarring effect of very cold weather on the day of birth therefore seems to be linked to weight loss in the first days after birth. In some cases too much weight loss leads to the child's death in the first week of life due to hypothermia and/or atrophy; in others it only reduces the weight of newborns, making them more exposed to infections such as sepsis and pneumonia, which are typical causes of late neonatal death. Therefore, cold temperatures on the day of birth appear to exert negative effects on newborns: they compromise their chance of survival and significantly increase their risk of death compared to children born when temperatures are not extreme.

That the time-varying temperature has no significant effect does not exclude that a hypothermia mechanism could be at work in the first few days after childbirth. If time-varying temperature has an effect on mortality only in the first few days of life, hypothermia due to exposure to cold could increase neonatal mortality risks on the day of exposure and the days immediately afterwards, since the newborn would be severely weakened. Accordingly, it can be expected that temperature, as a time-varying covariate, would have an effect with the right direction (the right sign) in the first few days after birth. However, we found a nonsignificant result because the estimated effect is diluted by the observations following the first few days of life when its effect is zero. In other models (not shown for the sake of brevity) this covariate was included as a categorical variable (equal or lower than  $-3^{\circ}\text{C}$ , between  $-3$  and  $+3$ , equal or greater than  $+3$ ), progressively increasing the neonatal mortality risk for the two coldest categories but without gaining any statistical significance. It is also worth mentioning that in another event history model (not reported for the purpose of simplicity) we excluded the first day of life and considered only the period from the second to the thirtieth days. Even then the effect of the temperature at birth was still significant and in the expected direction. On the one hand, this confirms that the pernicious effect of an early cold exposure at birth lasts over the following days. On the other hand, since temperatures on the first and second days of life are generally correlated, it further reveals that temperatures could play a role not only at birth but also in the days immediately afterwards.

We also find significant differences in neonatal mortality by SES, with more pronounced season-of-birth and temperature effects on the families of landless labourers. Landless labourers' low-quality housing and inadequate or poor clothing often increased neonates' vulnerability and exposure to cold and adverse weather conditions. Moreover, the poor diet of landless labourers and the resulting chronic maternal malnutrition could play a role in the increase in preterm or underweight births among this kind of rural worker.

The rural population was likely unaware of the modern child-rearing practices and theories that academics and doctors were then developing. These included proper methods of protecting neonates from cold and bad weather conditions. This argument is supported by a report from the Statistical Council of Bologna (Giunta di Statistica Bolognese), attributing neonatal mortality to “the transportation habits for the civil registration or the baptism, waiting not more than a few days or just some hours after childbirth, exposing the neonate to low temperatures and bad weather conditions on distances that sometimes – for rural families – were equal to several kilometres” (Bertoloni 1870). It is probable that local practices and behaviours commonly influenced new mothers. It is in this context that we can interpret the nonsignificant effect of older women in the household during the coldest months: even if they could assist in childrearing, their lack of modern knowledge could not protect neonates from adverse conditions such as severe cold. Moreover, as mentioned, illiteracy levels in the study area were still high, while family organisation and reproductive behaviours followed norms more traditional than innovative (Rettaroli and Scalone 2012).

Birth interval after the previous child was not found to be significant. A likely explanation is that Catholic registers did not note miscarriages and stillbirths, making this variable imprecise.

To explain why temperature\*SES interactions were found to be significant only in the more recent period of 1860–1900, it must be remembered that this period saw prolonged economic crises, increasing prices, and unemployment that hit the agricultural sector especially hard (Malanima 2006). Consequently, rural proletarians experienced deteriorating living standards and worsening maternal and foetal conditions, while low-weight neonates were less physiologically able to withstand colder temperatures. This context can explain the higher neonatal mortality in San Donnino, the nearest parish to Bologna. We already saw that this parish had higher population growth, most probably due to immigration fluxes (Scalone and Del Panta 2008). We can assume that amid growing economic difficulties these immigrants were the most vulnerable social group and suffered the worst living standards, precarious conditions, and higher neonatal mortality.

These two results reveal that, initially, social and economic progress did not necessarily result in a general improvement of neonatal survival, as the most vulnerable segment of the rural population experienced a significant worsening of living standards. Moreover, the major differences in neonatal mortality between socioeconomic groups could also be related to the notion of physiological capital (Fogel 2003). Maternal lifelong nutritional deficiencies could directly affect birth weight and neonatal conditions, determining neonates’ ability to face environmental threats. From this point of view, mothers’ and neonates’ conditions and fates are interrelated, confirming the key role of female conditions in poorer and rural societies.

An understanding of these mechanisms in the past can provide useful lessons for designing and implementing economic development policies in poor countries today. Given that in developing countries, hypothermia still plays a detrimental role in neonatal survival, the presented results underline how important is to protect neonates from cold-stress conditions. Mothers being aware of the extreme importance of protecting newborns from the cold, especially in the first day of life, could play a substantial role in reducing neonatal mortality. Therefore, making all mothers conscious, including the least-educated, those who belong to the most disadvantaged socioeconomic strata, and those who live in the poorest countries, could result in more rapidly meeting a fundamental target<sup>11</sup> of the Sustainable Development Goals (United Nations 2015).

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<sup>11</sup> Target 3.2: “By 2030, end preventable deaths of newborns and children under 5 years of age, with all countries aiming to reduce neonatal mortality to at least as low as 12 per 1,000 live births and under-5 mortality to at least as low as 25 per 1,000 live births.”

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