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Enantioseparation and determination of asenapine in biological fluid micromatrices by HPLC with diode array detection

This is the final peer-reviewed author's accepted manuscript (postprint) of the following publication:

*Published Version:*

Enantioseparation and determination of asenapine in biological fluid micromatrices by HPLC with diode array detection / Protti, Michele; Vignali, Alice; Sanchez Blanco, Teresa; Rudge, James; Bugamelli, Francesca; Ferranti, Anna; Mandrioli, Roberto; Mercolini, Laura. - In: JOURNAL OF SEPARATION SCIENCE. - ISSN 1615-9306. - ELETTRONICO. - 41:6(2018), pp. 1257-1265. [10.1002/jssc.201701315]

This version is available at: <https://hdl.handle.net/11585/624091> since: 2019-02-18

*Published:*

DOI: <http://doi.org/10.1002/jssc.201701315>

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Protti, M.; Vignali, A.; Sanchez Blanco, T.; Rudge, J.; Bugamelli, F.; Ferranti, A.; Mandrioli, R.; Mercolini, L. Enantioseparation and Determination of Asenapine in Biological Fluid Micromatrices by HPLC with Diode Array Detection. *Journal of Separation Science* **2018**, *41* (6), 1257–1265.

The final published version is available online at:

<https://doi.org/10.1002/jssc.201701315>

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1 **Enantioseparation and determination of asenapine in biological fluid**  
2 **micromatrices by HPLC with diode array detection**

3  
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6  
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11  
12 **RUNNING TITLE:** Asenapine chiral analysis in miniaturised biosamples

13  
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21  
22 **Abbreviations:** BIN, Barrel and Insert Needle; DAD, diode array detection;  
23 DBS, dried blood spots; DMS, dried matrix spots; DPS, dried plasma spots; IS,  
24 internal standard; MEPS, MicroExtraction by Packed Sorbent; RT, room  
25 temperature; SNP, asenapine; USA, United States of America; VAMS,  
26 volumetric absorptive microsampling; VAMS-B, volumetric absorptive  
27 microsamples of blood; VAMS-P, volumetric absorptive microsamples of  
28 plasma.

29  
30 **Keywords:** Asenapine; Chiral stationary phase; Enantioseparation; Method  
31 validation; Volumetric Absorptive Microsampling.

32 **ABSTRACT**

33

34 Asenapine is a recent drug approved in the European Union for the treatment  
35 of bipolar disorder. An original approach has been developed for asenapine  
36 analysis in patients treated with the drug, including miniaturised microsampling  
37 procedures, separation and quantitation of drug enantiomers. An original  
38 enantioselective method based on HPLC with diode array detection was  
39 developed and applied to the determination of asenapine enantiomer levels in  
40 innovative haematic samples: four micromatrices have been tested, two based  
41 on dried matrix spots (dried blood spots and dried plasma spots) and two  
42 based on volumetric absorptive microsampling (from blood and plasma). Chiral  
43 separation was achieved on a cellulose-tris(3,5 dimethylphenylcarbamate)  
44 column, with a mobile phase containing bicarbonate buffer and ACN. The  
45 method was validated with satisfactory results of linearity and precision on all  
46 matrices that showed also a significant performance in terms of stability,  
47 feasibility and reliability when compared to fluid plasma sampling, handling and  
48 processing. Among micromatrices, both volumetric absorptive microsampling  
49 types were superior to dried matrix spots in terms of data reproducibility and  
50 correspondence with plasma levels. The bioanalytical approach proposed  
51 herein provides for the first time a chiral HPLC method for the determination of  
52 asenapine enantiomers, coupled to a very effective microsampling strategy.

## 53 **1 INTRODUCTION**

54 Asenapine (5-chloro-2,3,3a,12b-tetrahydro-2-methyl-1*H*-dibenz(2,3-  
55 6,7)oxepino(4,5-*c*)pyrrole, SNP, Figure 1) is one of the most recent  
56 antipsychotic drugs commercialised for the treatment of bipolar disorder and  
57 schizophrenia: it gained approval in both the United States of America (USA)  
58 and the European Union for the treatment of manic or mixed episodes of  
59 bipolar I disorder and also for schizophrenia in the USA [1,2]. In contrast to  
60 most traditional neuroleptics and atypical antipsychotics (which have a bicyclic  
61 or tricyclic structure), SNP is a tetracyclic molecule somewhat akin to the  
62 antidepressants mianserin and mirtazapine [3]. SNP has a very broad affinity  
63 spectrum towards central nervous system (CNS) receptors, binding with  
64 antagonistic activity to serotonin 5-HT<sub>2C</sub>, 5-HT<sub>2A</sub>, 5-HT<sub>7</sub>, 5-HT<sub>2B</sub> and 5-HT<sub>6</sub>  
65 receptors, as well as to adrenergic  $\alpha_{2B}$ , dopamine D<sub>3</sub>, histamine H<sub>1</sub> and H<sub>2</sub> and  
66 dopamine D<sub>2S</sub> and D<sub>2L</sub> ones, albeit with lower affinity [4]. On the contrary, its  
67 activity toward muscarinic receptors is quite low, reducing the incidence of  
68 metabolic and cardiac side effects and sedation [5]. It is due to this peculiarly  
69 wide receptor affinity profile that SNP has proven to be effective in bipolar  
70 disorder treatment. Patients taking SNP can benefit from the analysis of its  
71 levels in the body by determining drug blood or plasma concentrations and  
72 their correlation to therapeutic activity and possible side/toxic effects [6]. SNP  
73 is a chiral compound containing two chiral centers, thus existing as four  
74 stereoisomers. Since the two *trans*-isomers, (3*aR*,12*bR*)-SNP and (3*aS*,12*bS*)-  
75 SNP, have higher binding affinity than the two *cis*-isomers, SNP is  
76 commercially available only as a racemic mixture of *R,R*- and *S,S*-enantiomers  
77 [7] (Figure 1a-b). In order to obtain more significant information and results  
78 from the analyses, the simultaneous determination of both SNP enantiomers is  
79 advisable and in this paper an original enantioselective HPLC - diode array  
80 detection (DAD) method has been developed on purpose.  
81 Haematic analyses are normally carried out on macroscopic (a few millilitres)  
82 blood samples drawn from patients, from which plasma or serum are then  
83 obtained, pre-treated and finally analysed. We are instead proposing four

84 original microsampling approaches, based on dried matrix spots (DMS) of  
85 either blood (dried blood spots, DBS) or plasma (dried plasma spots, DPS) and  
86 on volumetric adsorption microsampling (VAMS) from blood (VAMS-B) or  
87 plasma (VAMS-P). The DMS strategy involves microvolumes of haematic  
88 matrix, in order to facilitate pre-treatment, handling, storage and transport  
89 procedures. In addition to these feature, VAMS are obtained using innovative  
90 samplers able to overcome certain specific disadvantages of dried matrices,  
91 such as haematocrit-dependent volumetric bias and homogeneity issues [8,9].  
92 DMS and VAMS were applied for the monitoring of patients under therapy with  
93 SNP, and the results were compared to those obtained with classic fluid blood  
94 sampling and plasma analysis. To the best of our knowledge, just one paper  
95 has been published on SNP chiral separation, but using capillary  
96 electrophoresis (CE) with unmodified  $\beta$ -cyclodextrin, and no biological  
97 application [10]. On the other hand, some analytical methods have been  
98 published for the non-enantioselective determination of SNP in biological  
99 matrices [11-19] among which those designed for patient monitoring are very  
100 few [16-19] and only one dealing with micromatrices [19]. None of them was  
101 applied to real samples from psychiatric patients, or compared different and  
102 novel dried microsampling approaches.

103

## 104 **2 MATERIALS AND METHODS**

### 105 **2.1 Chemicals, solutions and equipment**

106 Asenapine maleate (racemic mixture, >98% purity), promethazine (> 98%  
107 purity, used as the internal standard, IS), HPLC-grade (> 99.8%) methanol and  
108 ACN, ammonium bicarbonate, Whatman (Maidstone, USA) 903 protein saver  
109 and Whatman FTA™ DMPK-B IND cards were purchased from Merck Italy  
110 (Milan, Italy). Ultrapure water (18.2 M $\Omega$  cm) was obtained by means of a MilliQ  
111 apparatus by Millipore (Milford, USA). Analyte and IS stock solutions were  
112 obtained by dissolving the pure standards in methanol. Stock solutions were  
113 stable for at least three months when stored at -20°C (as assessed by HPLC);  
114 standard solutions were prepared fresh every day. A Whatman Harris Uni-Core

115 Punch, 10 mm was used for punching the DMS discs out of the spotting cards.  
116 Mitra<sup>®</sup> VAMS<sup>™</sup> microsamplers (20 µL) were provided by Neoteryx (Torrance,  
117 USA). For MicroExtraction by Packed Sorbent (MEPS) procedures, an SGE  
118 Analytical Science (Melbourne, Australia) 100-µL syringe with a C8 Barrel and  
119 Insert Needle (BIN) was used.

120

## 121 **2.2 Sample collection and pre-treatment**

122 *Plasma:* "blank" plasma samples were obtained from drug-free healthy  
123 volunteers. Real samples were from bipolar patients receiving the standard  
124 SNP oral daily doses of 20 mg, and were drawn 2 h after drug administration.  
125 Blood was collected in glass tubes containing sodium EDTA as the  
126 anticoagulant and then centrifuged (within 2 h from collection) at 1500×g for 15  
127 min at 4°C; the supernatant (plasma) was then transferred to polypropylene  
128 tubes and stored at -20 °C until analysis. A 5-µL aliquot of analyte standard  
129 and/or ISs solution at known concentrations and 100 µL of ultrapure water  
130 were added to 100 µL of plasma; the vial was then vortex-mixed. The mixture  
131 was subjected to pre-treatment by MEPS in a C8 BIN assembly, which had  
132 been already activated by drawing and discarding 100 µL of methanol 3 times  
133 and conditioned with 100 µL of water 3 times. The sample was loaded onto the  
134 BIN with 12 draw/discharge cycles at a 5 µL/s speed; the BIN was then  
135 washed with 100 µL of water 2 times and the analyte and the IS were eluted  
136 with 500 µL of methanol (single cycle). The eluate was brought to dryness, re-  
137 dissolved in 50 µL of the mobile phase and analysed by HPLC-DAD.

138 *Micromatrices:* for DBS, blood drops were collected from healthy volunteers or  
139 patients by means of a sterile, disposable finger pricker, and transferred on a  
140 Whatman 903 protein saver card. As soon as blood was completely absorbed,  
141 5 µL of analyte standard and/or ISs solution at known concentrations were  
142 carefully transferred onto each spot. The spots were dehydrated by microwave  
143 drying at 700 W for 1.5 minutes, then stored protected from light in a plastic  
144 bag containing desiccant until analysis. To extract the analytes and the IS, a  
145 10-mm diameter circle was punched out from the card with a punching tool

146 and transferred into a vial with 500  $\mu$ L of methanol. The vial was then subjected  
147 to both microwave-assisted extraction at 210 W for 1 min and ultrasound-  
148 assisted extraction for 20 min, then centrifuged at 1000 $\times$ *g* for 1 min. The  
149 supernatant was dried, re-constituted in 50  $\mu$ L of mobile phase and injected  
150 into the HPLC system. For DPS, aliquots of 20  $\mu$ L of plasma from healthy  
151 volunteers or patients were transferred onto Whatman FTA™ DMPK-B IND  
152 cards by micropipetting. All the subsequent extraction steps were the same  
153 previously described for DBS, except the whole spots were completely  
154 punched out with the punching tool before extraction. As regards VAMS, the  
155 Mitra 20- $\mu$ L device is composed of a polypropylene rod with a small globous  
156 tip of a proprietary polymeric porous material. The tip size and porosity of the  
157 microsamplers are calibrated to absorb a constant volume of a fluid when  
158 exposed to it by wicking. After adding 5  $\mu$ L of IS solution to 500  $\mu$ L of the  
159 desired matrix (either blood or plasma), the 20- $\mu$ L VAMS microsampler tip was  
160 brought into contact with the surface of the mixture with an angle of about 45°,  
161 without completely immersing it. The microsampler was left in this position for  
162 5 s and then dried upright on the back end, at room temperature (RT), for 1 h in  
163 the dedicated clamshell case. In this way, VAMS-B and VAMS-P were  
164 obtained. These samples were stored at RT, in the dark and in a dry place for 2  
165 months at most. To extract the analyte and the IS, the microsampler tip was  
166 detached from the plastic support and subjected to ultrasound-assisted  
167 extraction for 20 min in 500  $\mu$ L of methanol. The resulting solution was dried,  
168 re-dissolved with 50  $\mu$ L of mobile phase and injected into the HPLC system.

169

### 170 **2.3 HPLC-DAD analysis**

171 All samples were analysed with an original HPLC-DAD method. The instrument  
172 was an Agilent (Palo Alto, USA) 1100 Series HPLC system, including a  
173 quaternary pump and a diode array detector. The chromatographic separation  
174 was carried out on a Phenomenex (Torrance, USA) cellulose tris(3,5-  
175 dimethylphenylcarbamate) (Lux Cellulose-1), 4.6 mm  $\times$  100 mm, 5  $\mu$ m)  
176 enantioselective column, thermostatted at 25°C. Cellulose-tris(3-chloro-4-



177 methylphenylcarbamate) (Lux Cellulose-2), cellulose tris(4-chloro-3-  
178 methylphenylcarbamate) (Lux Cellulose-4) and amylose tris(5-chloro-2-  
179 methylphenylcarbamate) (Lux Amylose-2) columns with the same dimensions  
180 and granulometries were tested in preliminary assays. The mobile phase was a  
181 mixture of ACN (60%, v/v) and 50 mM sodium bicarbonate in water (40%, v/v),  
182 flowing at 0.7 mL/min. Quantitative data was recorded at a wavelength of 210  
183 nm and processed by means of ChemStation ver. B.04.03 software.

184

## 185 **2.4 Method validation**

186 *Linearity:* calibration samples were prepared by diluting analyte and IS working  
187 standard solutions with blank matrices. The resulting spiked samples were  
188 subjected to the respective sample preparation procedure before injection into  
189 HPLC-DAD. The analysis was carried out in triplicate for each concentration.  
190 Calibration curves of both SNP enantiomers were constructed by the least-  
191 square method, plotting analyte to IS peak area ratios versus the  
192 corresponding nominal concentrations. LOQ and LOD values were assessed  
193 by analysing seven different calibration samples and defined as the lowest  
194 concentrations generating chromatographic peaks with signal-to-noise ratios  
195 of 10 and 3, respectively [20,21].

196 *Absolute recovery, precision:* absolute recovery was evaluated by spiking blank  
197 samples with known analyte amounts (at three different concentrations,  
198 corresponding to the lower limit, a middle value and a high value of each  
199 calibration curve), then carrying out sample preparation and chromatographic  
200 analysis. Analyte and IS absolute peak areas were compared to those of  
201 standard solutions analysed at the same concentrations and the percentage  
202 absolute recovery was calculated. To test intraday and interday precision, the  
203 assays described above were repeated six times within the same day and six  
204 times over six different days, respectively. Both values were expressed as  
205 percentage relative standard deviation (RSD%).

206 *Stability:* for plasma, blank samples were spiked at a 20 ng/mL level (10 ng/mL  
207 for each enantiomer), then stored at -20°C for 2 months. At regular intervals (1

208 week), a different sample vial was thawed, pre-treated and analysed. For the  
209 dried micromatrices (DBS, DPS, VAMS-B, VAMS-P), blank samples were  
210 spiked at a 20 ng/mL level (10 ng/mL for each enantiomer), then stored  
211 protected from light in sealed plastic bags containing desiccant for 2 months.  
212 At regular intervals (1 week), a different sample was pre-treated and analysed.  
213 The measured analyte concentrations were compared to those of the same  
214 samples extracted and analysed immediately after biosampling (plasma), or  
215 biosampling and drying (dried matrices).

216 *Selectivity:* was tested using 6 independent blank samples from healthy  
217 volunteers not subjected to pharmacological therapy, which were individually  
218 analysed and evaluated for endogenous interferences. Standard solutions of  
219 several drugs, which are commonly co-administered with SNP to psychiatric  
220 patients, were also injected at a 500 ng/mL level to ascertain that no  
221 interference was generated. Selectivity was considered acceptable when no  
222 peak whose signal exceeded the LOD for the analyte, or 5% of the signal/noise  
223 ratio for the IS was observed.

224 *Accuracy:* was assessed by re-analysing haematic samples from bipolar  
225 patients in a further analytical session: known amounts of the analyte at three  
226 levels (lower limit, middle value and high value of the calibration curve) and of  
227 the IS at a constant level were added to the samples, pre-treated and  
228 analysed. Percentage recovery of the added analytes was calculated and the  
229 assays were repeated three times.

230

## 231 **2.5 Quantitative data comparisons**

232 All results obtained from SNP-treated patient samples were compared by  
233 plotting the results from each dried matrix (DBS, DPS, VAMS-B or VAMS-P)  
234 versus those obtained from plasma analysis. Then, the least-square method  
235 was applied to calculate linearity correlation coefficient and slope of each  
236 curve.

237

## 238 **3 RESULTS AND DISCUSSION**

### 239 **3.1 Preliminary assays: chiral separation**

240 At first, polymeric chiral stationary phases with a broad range of applicability  
241 were tested: cellulose-tris(3,5-dimethylphenylcarbamate), cellulose tris(3-  
242 chloro-4-methylphenylcarbamate), cellulose tris(4-chloro-3-  
243 methylphenylcarbamate) and amylose tris(5-chloro-2-methylphenylcarbamate).  
244 In these sorbents the polysaccharide chains provide a wide array of steric  
245 interaction sites: the chlorine- or methyl-substituted methylphenylcarbamate  
246 residues greatly increase the chances of interaction with the selector, both with  
247 hydrogen bonds, dipole interactions and  $\pi$ - $\pi$  interactions. Of these sorbents,  
248 just the cellulose-tris(3,5-dimethylphenylcarbamate) sorbent (cellulose-1)  
249 provided some separation in preliminary assays. Since this is the stationary  
250 phase with the least dipole interaction-forming capability, it is possible that  
251 SNP chiral recognition relies mainly on hydrogen bonding and  $\pi$ - $\pi$  interactions.  
252 Further assays were thus carried out on the cellulose-1 sorbent only. Initially, a  
253 mixture of ammonium bicarbonate and ACN (29:71, v/v) was tested as the  
254 mobile phase. This mixture was used to obtain a slightly basic pH and  
255 complete compatibility with MS detection, in order to allow future applications  
256 using this kind of detection. Moreover, the volatile buffer could be useful for  
257 possible adaptation of the method to preparative enantiomeric separations of  
258 SNP. This mobile phase provided complete separation ( $R_s = 1.8$ ) of SNP  
259 enantiomers, but with excessive retention (run time > 60 min). Increasing the  
260 ACN percentage in the 29-70% (v/v) range decreased run times  
261 correspondingly, but with a progressive loss of resolution. The highest ACN  
262 percentage that maintained almost complete enantioresolution ( $R_s = 1.44$ ) was  
263 60% (v/v), corresponding to an acceptable run time of 10 min. Flow rate was  
264 also studied, varying it in the 0.4-1.2 mL/min range. The best efficiency was  
265 found between 0.5 and 0.7 mL/min, with higher flow rates producing shorter  
266 analytical runs: the best compromise was found to be 0.7 mL/min. Column  
267 temperature can have drastic effects on chiral separation; for this reason, it  
268 was studied between 15 and 40°C. In this range, lower temperatures always  
269 granted better resolution, but differences were relatively small and came at the

270 cost of stronger retention and thus longer run times. 25°C was the chosen  
271 temperature as the best compromise that still provided good resolution. In  
272 order to obtain maximum sensitivity, the spectra of the analyte peaks provided  
273 by the HPLC-DAD system were studied. The spectrum of SNP in the described  
274 experimental conditions has two absorbance maxima, at 210 nm and at 235  
275 nm, but the first one was 4 times more intense, thus 210 nm was chosen for  
276 quantitative purposes. Several (non-chiral) compounds were tested as possible  
277 ISs. Among them, promethazine gave the best results: It bears some structural  
278 resemblance to the analyte and has similar lipophilicity, but with lower  
279 retention; thus, it does not increase run time. Under these final  
280 chromatographic conditions, the enantiomer peaks were fully resolved, and  
281 peak asymmetry was almost negligible (data not shown).

282

### 283 **3.2 Sample pre-treatment procedures**

284 *Plasma*: although plasma is inherently a macromatrix, a reduced-volume  
285 approach was applied to its pre-treatment. For this purpose, MEPS was used:  
286 It is a small volume variant of solid phase extraction, where a few milligrams of  
287 stationary phase are enclosed in a syringe-mounted BIN, and small amounts of  
288 sample and solvents are passed through it using the syringe. Preliminary  
289 assays were carried out on spiked blank plasma (100 µL) to find the best  
290 extraction sorbent: C8, C2 and SDVB (polystyrene-divinylbenzene copolymer)  
291 were tested and best results of analyte extraction and matrix clean-up were  
292 obtained using the C8 BIN. Washing and elution solvents and volumes were  
293 also optimized; two washing steps with 100 µL of pure water were chosen as  
294 the optimal washing steps, allowing satisfactory interference elimination and  
295 optimal analyte retention. The methanol elution volume was studied in the 100-  
296 1000 µL range; best results were obtained with 500 µL. Higher elution volumes  
297 did not increase extraction yields and significantly increased the amount of  
298 eluted interference.

299 *Micromatrices*: each of the two DMS techniques has different advantages and  
300 drawbacks. Regarding DBS, although some volumetric bias could be produced

301 by whole blood viscosity variability, the blood volume corresponding to  
302 specific spot dimensions is relatively constant ( $3.5 \text{ mm}^2/\mu\text{L}$ ): a 20- $\mu\text{L}$  volume is  
303 approximated with a 10-mm spot radius. Drying time and conditions were the  
304 first investigated parameters, taking into account both RT and microwave-  
305 assisted drying. At RT, 1h was enough to achieve complete drying for both  
306 DMS matrices; however, microwave drying significantly cut drying times: 1.5  
307 min using a 700-W power. The extraction step is usually carried out on DMS  
308 with a suitable solvent and the resulting sample can be injected as such.  
309 Alternatively, the extract can be subjected to further clean-up steps [22-24]. In  
310 this case, solvent nature and volume were the only tested parameters,  
311 obtaining comparable results for both DMS matrices: pure methanol proved to  
312 be the most efficient extractor, while also granting satisfactory purification from  
313 matrix interference. Extraction yields did not improve beyond the 500- $\mu\text{L}$  limit,  
314 thus selected as the extraction volume. As regards VAMS devices, previous  
315 papers have decisively demonstrated their good sampling volume accuracy,  
316 both for blood-based [9] and for urine- and saliva-based [25] matrices.  
317 Sampling time was tested in the 2-10 s range. Different sampling times  
318 produced small, non-significant differences in volume, and their effect on  
319 analyte quantitation was negligible. Due to the fast VAMS tip saturation and to  
320 the lack of oversampling effects observed, a 5-s contact time was set for both  
321 VAMS-B and VAMS-P. In order to optimize total pre-treatment time, drying  
322 time was also tested: complete water evaporation) was achieved in less than 1  
323 h; this time was chosen as the drying time for all the analytical assays. Like for  
324 DMS, pre-treatment procedures were kept as simple as possible to increase  
325 throughput. Extraction solvent, volume and time were optimized: 500  $\mu\text{L}$  of  
326 either pure ACN or methanol gave the best results in terms of extraction yield,  
327 although pure ACN produced stronger interference as well, thus methanol was  
328 chosen. Ultrasound helped increasing extraction yields, and 20 min of  
329 ultrasound treatment proved to be sufficient to quantitatively extract the  
330 analytes and the IS. No important differences in extraction yields and sample  
331 purification were noted between VAMS-B and VAMS-P when using the same

332 pre-treatment procedure. The chromatograms of a blank VAMS-P sample and  
333 a blank VAMS-B sample spiked with racemic SNP standard solution  
334 (concentration: 10 ng/mL for each enantiomer) are presented in Figure 2a-b.

335

### 336 **3.3 Method validation**

337 *Linearity:* for the setup of calibration curves, each micro- and macro-matrix  
338 was spiked with racemic standard solutions of the analyte (seven  
339 concentrations) and the IS at a constant concentration of 50 ng/mL. Method  
340 sensitivity on plasma for both enantiomers was 0.2 and 0.07 ng/mL in terms of  
341 LOQ and LOD, respectively; method linearity on the same matrix was assessed  
342 between 0.5 and 50 ng/mL. LOD and LOQ values respectively of 0.8 and 2.5  
343 ng/mL were obtained for both SNP enantiomers on all the four considered  
344 micromatrices; the linearity range was verified between 0.5 and 50 ng/mL for  
345 DBS, DPS, VAMS-B and VAMS-P. Good linearity was obtained for both  
346 enantiomers in all matrices ( $r^2 \geq 0.9989$ ) and the best data have been provided  
347 by the VAMS approach.

348 *Absolute recovery, precision:* blank plasma and blank micromatrices were  
349 spiked at three analyte levels. As can be surmised from Table 1, absolute  
350 recovery on all matrices was satisfactory, in the 81.7-99.8% range, for both  
351 analyte enantiomers. Precision was good, producing RSD values lower than  
352 6.0%. Both VAMS types granted better recovery and precision than DMS.

353 *Stability:* dried micromatrices often demonstrate higher stability than  
354 “traditional” macromatrices, even when the former ones are stored at RT. In  
355 this case, the stability of the analyte in dried matrices at RT was compared to  
356 that in plasma samples under freezing (-20°C) and deep-freezing (-80°C)  
357 conditions. After 1 month, all dried matrices stored at RT consistently provided  
358 higher extraction yields than plasma (> 78%); in VAMS in particular, they were  
359 always higher than 91%. The superior stability observed in dried samples can  
360 be attributed to the water loss, which negatively impacts both chemical and  
361 enzymatic reactions. No significant stability differences were found between

362 the two enantiomers, indicating that they are probably configurationally stable  
363 in the conditions employed.

364 *Selectivity:* blank matrix samples (from healthy volunteers) were pre-treated  
365 and analysed. Standard solutions of several drugs, which are commonly co-  
366 administered with SNP to psychiatric patients, were also injected at a 500  
367 ng/mL level to ascertain that no interference was created by them:  
368 carbamazepine, gabapentin, lamotrigine, valproate and vigabatrin among  
369 antiepileptics; aripiprazole, chlorpromazine, clozapine, loxapine and olanzapine  
370 among antipsychotics; citalopram, sertraline and venlafaxine among  
371 antidepressants. No interfering peak was detected in any of the matrices and  
372 for any of the tested drugs.

373

#### 374 **3.4 Analysis of real samples and accuracy**

375 The methodology was applied to the analysis of macro- and micro-samples  
376 from patients undergoing therapy with SNP for bipolar disorder. All matrices  
377 were simultaneously obtained, either by venipuncture or by fingerpricking, to  
378 ensure that any inconsistency in results could not be attributed to sampling.  
379 The chromatogram of a VAMS-B sample from a patient is reported in Figure  
380 2c. As can be seen, sample purification and chiral separation are both  
381 satisfactory. In this case,  $R_s$  is slightly higher than that obtained on spiked  
382 blank samples (Figure 2b); this result is probably due to the combination of  
383 different matrix and concentration effects. Table 2 reports the quantitative data  
384 obtained from the analysis of real samples from bipolar patients. Concentration  
385 differences between the two enantiomers were small and non statistically  
386 significant. This confirms what is currently known from early studies carried out  
387 by the drug manufacturer [26,27]. As a rule, raw analytical data from plasma-  
388 based matrices can be used as such for quantitation, while those obtained  
389 from blood-based matrices have to be elaborated to account for sample  
390 viscosity (related to the haematocrit), and for red blood cell / plasma  
391 partitioning [28]. A constant red blood cell / plasma concentration ratio of 1/3  
392 was applied in all cases, according to literature evidence [26]. A mean

393 haematocrit value of 38% was used for samples coming from female patients  
394 and of 48% for those from male patients. Both corrections have already been  
395 included in the results of blood-based matrices (DBS, VAMS-B) in Table 2. In-  
396 line UV spectra were used to both confirm the identity of the analyte  
397 enantiomer and IS peaks, and to verify peak purity. Finally, good accuracy was  
398 reached in all matrices and the VAMS technique gave the best SNP recovery:  
399 always higher than 95.3%.

400

### 401 **3.5 Comparison between macro- and micro-sample results**

402 In order to evaluate the performance of each matrix approach, SNP  
403 enantiomeric concentrations obtained from patient plasma samples were  
404 compared to those obtained from each micromatrix. Linear correlation analysis  
405 between the results showed that both linearity and slope values were very  
406 close to 1 for all dried matrices, confirming that they closely mimic plasma  
407 behaviour and produce reliable data. However, the best correspondence with  
408 plasma levels was observed for VAMS matrices. These results are statistically  
409 significantly different from those obtained using DMS sampling; on the other  
410 hand, no significant difference was observed between VAMS-B and VAMS-P  
411 (nor between DBS and DPS). This, together with the better precision and  
412 recovery results, means that VAMS can be confidently rated as more reliable  
413 than DMS for SNP enantiomer analysis with this method. The better  
414 performance of VAMS could probably be attributed to the very good volume  
415 reproducibility of the sampling tips (as opposed to the intrinsic uncertainty of  
416 blotting and punching out the spots). All in all, the dried matrices produce  
417 better analyte stability and are surely more practical and more easily handled  
418 than plasma. Among micromatrices, blood-based ones (DBS and VAMS-B) are  
419 more practical than plasma-based ones (DPS and VAMS-P), since they can be  
420 obtained with a minimally invasive fingerpicking and do not require any sample  
421 manipulation prior to blotting or absorbing.

422

## 423 **4 CONCLUDING REMARKS**



424 Four different microsampling and pre-treatment procedures were proposed  
425 and compared for the determination of SNP enantiomers in human blood. An  
426 original enantioselective method based on HPLC-DAD was developed and  
427 validated, allowing for the first time the chromatographic separation and  
428 quantitation of SNP enantiomers in biological samples, within reasonable run  
429 times and with good reproducibility. The analytical method coupled to DBS,  
430 DPS, VAMS-B and VAMS-P demonstrated to be rapid and selective, with  
431 significant advantages over classical approaches exploiting macromatrices,  
432 and plasma in particular: the tested dried microsamples were more stable over  
433 time and more easily stored. Result comparisons have shown the four  
434 micromatrix approaches produce sound results, always strictly correlated with  
435 the corresponding data produced by classic plasma analysis. However, VAMS  
436 sampling provided consistently better results than DMS sampling in terms of  
437 precision, recovery and correspondence with plasmatic concentrations.  
438 Although all matrices are suitable for the analysis of SNP in psychiatric  
439 patients, VAMS are clearly preferable and will be proposed to clinicians as an  
440 attractive alternative to classical plasma sampling. The coupling of VAMS  
441 micromatrices and enantioselective analysis provides a solid platform that can  
442 be adapted to different needs and situations, with the added advantage of also  
443 obtaining advanced, reliable insights into chiral aspect of SNP interactions with  
444 the human body. Assays are currently in progress in order to unequivocally  
445 assign the correct absolute configuration to the chromatographic peaks of the  
446 two SNP enantiomers.

447

#### 448 **FIGURE LEGENDS**

449 **Figure 1.** Chemical structures of (a) (*R,R*)-SNP, (b) (*S,S*)-SNP, (c) promethazine  
450 (IS).

451 **Figure 2.** Chromatograms of (a) a blank VAMS-P sample, (b) a blank VAMS-B  
452 sample spiked with racemic SNP standard solution (concentration: 10 ng/mL  
453 for each enantiomer), (c) a VAMS-B sample from a bipolar patient treated with  
454 20 mg/day of SNP (patient n. 6, see Table 2). Experimental conditions: column,

455 Lux Cellulose-1 (4.6 mm × 100 mm, 5 µm) thermostatted at 25°C; mobile  
456 phase, ACN / 50 mM ammonium bicarbonate (60/40, v/v); flow rate, 0.7  
457 mL/min; detection, diode array at 210 nm.

458

#### 459 **ACKNOWLEDGMENTS**

460 This work was supported by RFO (Ricerca Fondamentale Orientata - Oriented  
461 Fundamental Research) grants from Alma Mater Studiorum - University of  
462 Bologna, Italy.

463

#### 464 **CONFLICTS OF INTEREST**

465 All authors declare there are no conflicts of interest.

466

467

#### 468 **5. REFERENCES**

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## HIGHLIGHTS

- For the first time, asenapine enantiomers have been completely resolved by HPLC using a cellulose-based sorbent.
- The original, enantioselective HPLC-DAD method has been fully validated.
- Miniaturised dried haematic matrices (DMS and VAMS) have been implemented and compared to classical plasma samples.
- Suitable extraction and pre-treatment procedures have been devised for each matrix.
- The method has been applied to real samples from bipolar patients undergoing therapy with asenapine.

**Table 1.** Absolute recovery and precision in spiked matrices.

Analyte	Concentration level <sup>a</sup>	Matrix	Absolute recovery, % <sup>b</sup>	Precision, RSD% <sup>c</sup>	
				Intraday	Interday
SNP1	Low	Plasma	95.1	4.0	4.1
		DBS	85.1	4.6	4.8
		DPS	90.7	4.1	4.4
		VAMS-B	99.1	3.6	3.8
		VAMS-P	98.7	3.5	4.0
	Intermediate	Plasma	94.4	3.7	3.9
		DBS	83.2	4.4	4.9
		DPS	87.5	3.8	3.8
		VAMS-B	98.8	3.0	3.3
		VAMS-P	96.3	3.1	3.5
	High	Plasma	93.0	3.0	3.2
		DBS	83.1	4.7	5.9
		DPS	86.0	3.2	3.3
		VAMS-B	98.6	2.5	2.7
		VAMS-P	99.7	2.9	2.8
SNP2	Low	Plasma	95.8	3.7	4.9
		DBS	81.7	4.9	5.1
		DPS	89.3	4.1	4.4
		VAMS-B	97.2	3.2	3.6
		VAMS-P	96.8	3.1	3.9
	Intermediate	Plasma	95.0	3.2	4.1
		DBS	87.2	5.4	5.9
		DPS	85.8	3.9	4.3
		VAMS-B	95.5	2.6	3.3
		VAMS-P	93.7	3.0	3.7
	High	Plasma	93.7	3.1	3.8
		DBS	85.6	4.9	5.7
		DPS	85.5	3.2	4.1
		VAMS-B	99.6	2.0	2.2
		VAMS-P	99.8	2.5	2.6
IS	50 ng/mL	Plasma	90.9	3.5	4.6
		DBS	86.4	4.1	4.8
		DPS	87.3	4.2	4.7
		VAMS-B	98.4	2.1	2.0
		VAMS-P	99.1	2.0	2.3

<sup>a</sup> For each matrix, “Low” corresponds to the lower LOQ, “Intermediate”, to an intermediate point and “High” to a high value of the respective linearity curve.

<sup>b</sup>  $n = 6$ , mean value.

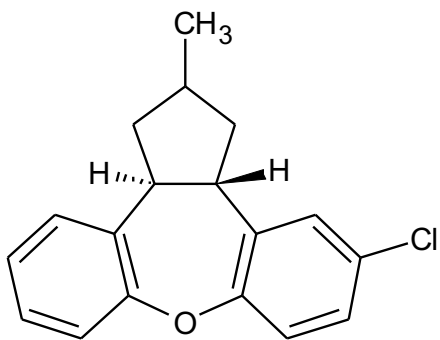
<sup>c</sup>  $n = 6$ .

**Table 2.** Quantitative results from patients taking SNP.

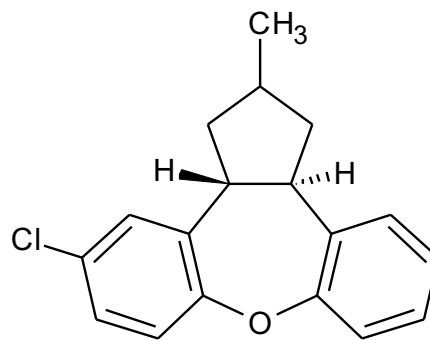
Patient n.	Concentration found, ng/mL <sup>a</sup>									
	SNP1					SNP2				
	Plasma	DBS	DPS	VAMS-B	VAMS-P	Plasma	DBS	DPS	VAMS-B	VAMS-P
1	3.4	3.6	3.2	3.4	3.5	3.5	3.7	3.3	3.5	3.4
2	2.9	3.2	3.0	3.0	3.1	2.9	3.2	3.0	2.9	3.0
3	3.3	3.5	3.4	3.3	3.4	3.5	3.4	3.3	3.5	3.4
4	3.2	3.4	3.1	3.3	3.3	3.3	3.4	3.2	3.4	3.3
5	3.6	3.8	3.3	3.7	3.5	3.5	4.0	3.3	3.5	3.4
6	3.8	4.0	3.6	3.9	3.8	3.9	4.0	3.6	4.0	2.8
7	3.0	3.2	2.7	3.0	2.9	2.9	3.3	2.8	2.9	3.0

<sup>a</sup> *n* = 3, mean value.

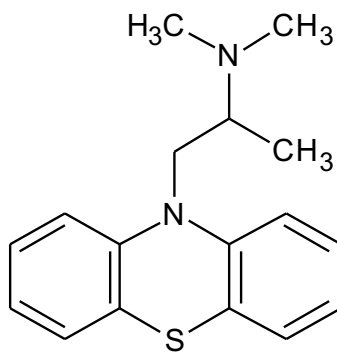




a – (R,R)-Asenapine



b – (S,S)-Asenapine



c – Promethazine (IS)

