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## EFFECT OF COLD PLASMA TREATMENT ON THE FUNCTIONAL PROPERTIES OF FRESH-CUT APPLES

Ileana Ramazzina, Silvia Tappi, Pietro Rocculi, Giampiero Sacchetti,  
Annachiara Berardinelli, Angela Marseglia, and Federica Rizzi

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1 **EFFECT OF LD PLASMA TREATMENT ON THE FUNCTIONAL PROPERTIES OF**  
2 **FRESH-CUT APPLES**

3  
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22 **Abstract**

23 The atmospheric double barrier discharge (DBD) plasma technology is a promising tool in food  
24 industry as an alternative to traditional food preservation methods. However, the effect of the  
25 reactive species generated during the treatment on the content of bioactive compounds in food is  
26 still little studied, as well as there are no data concerning potential deleterious effects of DBD  
27 treated foods on human cells.

28 Some functional properties of DBD-treated minimally processed Pink Lady<sup>®</sup> apples were evaluated  
29 in comparison with untreated samples through different in-vitro and ex-vivo tests. Plasma treatment  
30 caused only a slight reduction of antioxidant content and antioxidant capacity (up to 10%), mainly  
31 limited to the amphiphilic fraction. Noteworthy, apple treated polyphenols extracts did not reduce  
32 cell viability and did not suppress the beneficial physiological cell response to oxidative stress in  
33 terms of reactive oxygen species production and phase II enzyme activation in human cultured  
34 colonocytes

35

36 **Keywords**

37

38 Cold plasma; Fresh-cut apple; Antioxidants; Antioxidant activity; Phase II enzymes

39

40

## 41 **Introduction**

42

43 The major issue for the food science is to maintain important food quality attributes, to increase the  
44 level of food safety and to enhance the products shelf-life. In the last decade, non-thermal  
45 technologies for food stabilization have been developed in response to the worldwide interest for  
46 more fresh-like and natural food products, minimizing the typical thermal alterations such as  
47 sensorial changes, formation of off-flavours and losses of nutritional components <sup>1,2</sup>. Among non-  
48 thermal treatments, cold gas plasma presents several advantages. Gas plasma is an ionised gas  
49 characterized by active particles such as electrons, ions, free radicals and atoms which are both in  
50 fundamental and excited states; the ionization occurs by applying energy to a gas or a gas mixture.  
51 However, when atmospheric air is used as working gas, reactive oxygen species (ROS) and reactive  
52 nitrogen species (NOS) are formed. These oxidative species can cause lipids peroxidation and  
53 proteins and DNA oxidation <sup>3</sup>, and may potentially interact with bioactive compounds altering their  
54 content/functional properties in food products.

55 A lot of studies have been published concerning the impact of plasma technology on components  
56 and properties of both solid and liquid foods <sup>2</sup>. These applications were mainly addressed to the  
57 reduction of the activity of oxidative enzymes such as polyphenol oxidase in fresh-cut apples <sup>4</sup>,  
58 peroxidase in tomatoes <sup>5</sup> and polyphenol oxidase and peroxidase in a polysaccharide gel model food  
59 system <sup>6</sup>.

60 Less studied is the effect of gas plasma treatment on antioxidants content and antioxidant activity in  
61 vegetable tissues <sup>7,8</sup>. Double barrier discharge (DBD) cold plasma treatments on minimally  
62 processed kiwifruit did not induce any textural changes and positively influenced the visual quality,  
63 without changing ascorbic acid and polyphenols content <sup>9</sup>. Possibly the effect of plasma on  
64 bioactive compounds may be different depending on the type of food matrix <sup>2,7-9</sup>.

65 Changes in food polyphenols and vitamins content after plasma treatment is of particular interest  
66 due to their potential effect on health properties. These compounds may protect against oxidative

67 stress by scavenging ROS, chelating trace elements involved in free radicals generation and  
68 inducing cellular antioxidant defence by modulation of redox-sensitive gene expression. However, a  
69 growing number of studies highlight the benefits derived from moderate oxidative stress, induced  
70 by polyphenols, as a key point to build resilience against various type of chronic human  
71 pathologies<sup>10</sup>.

72 According to Niemira<sup>11</sup>, the U.S. Food and Drug Administration (FDA) has not yet allowed the use  
73 of cold plasma for food processing because of the lack of knowledge on the primary modes of  
74 action and on the effects on sensory and nutritional properties of the products. In particular,  
75 researches about the impact on antioxidant properties and bioactive compounds, on the potential  
76 chemical residue effects and on the formation of toxicants are therefore needed in order to provide  
77 sufficient information to assess the health-related implication of the process.

78 The purpose of this study was to expand previous DBD cold plasma effects on visual quality and  
79 enzymatic activity of fresh-cut Pink Lady<sup>®</sup> apples<sup>4</sup> to information concerning their functional  
80 properties. In particular, antioxidant activity was evaluated through a multimodal approach,  
81 combining different assays for the analysis of antiradical activity and reducing activity of  
82 antioxidants. Polyphenols extracts were further analysed by HPLC-MS/MS (high-performance  
83 liquid chromatography-mass spectrometry), in order to quantitatively calculate differences in the  
84 polyphenols composition of DBD-plasma treated versus untreated minimally processed apples. We  
85 extended our investigation to the effect exerted by the above mentioned polyphenols extracts in  
86 human colonocytes. We have chosen, as experimental model, the Caco2 cell line, which is derived  
87 from human colon adenocarcinoma. Caco2 cells are widely used for biochemical and nutritional  
88 studies since they retain, upon in vitro cultivation, the morphology and most of the functions of the  
89 normal small intestine epithelial<sup>12</sup>. Caco2 cells have been previously used to study the intestinal  
90 absorption of food components such as tea polyphenols<sup>13</sup>, and the modulation of oxidative status  
91 by dietary flavanols<sup>12,14</sup>. Bearing this in mind, and clearly stated that this experimental work does  
92 not have the aim to investigate potential anticancer effect of apples polyphenols, we studied the

93 effects of DBD-treated and untreated apple polyphenols extracts on cell viability, intracellular ROS  
94 production and phase II enzymes activation. In parallel we treated Caco2 cells with Polyphenon  
95 E<sup>®15,16</sup>, a standardized green tea extract, FDA approved, which represents a source of highly  
96 purified and characterized green tea catechins.

97

## 98 **Materials and methods**

99

### 100 **Chemicals**

101 Chemicals of analytical grade were purchased from Sigma-Aldrich (Steinheim, Germany) except  
102 for hydrochloric acid and methanol, which were purchased from Romil (Feltham, UK).

103 Polyphenon E<sup>®</sup>, a standardized green tea extract preparation ((-)-epigallocatechin-3-gallate  
104 (EGCG), 68.58%; (-)-epigallocatechin (EGC), 10.56%; (-)-epicatechin (EC), 4.31%; (-)-  
105 epicatechin-3-gallate (ECG), 5.95%; (-)-gallocatechin-3-gallate (GC) and other trace catechin  
106 derivatives) was supplied by Polyphenon Pharma (New York).

107

### 108 **Raw material, handling and storage**

109 Apples (*Malus domestica* cv. 'Pink Lady<sup>®</sup>') harvested two weeks before, were provided by the local  
110 market. Fruits free from defects were transported to our laboratory and stored in a refrigerated  
111 chamber at  $5 \pm 1$  °C and saturated atmosphere in darkness for one week. Apples were characterized  
112 by a dry matter content of  $15.73 (\pm 0.29)$  g 100 g<sup>-1</sup> fw (fresh weight), a soluble solid content of  
113  $14.27 (\pm 0.35)$  °Brix and a titratable acidity of  $0.39 (\pm 0.03)$  mg malic acid g<sup>-1</sup> fw.

114

### 115 **DBD gas plasma generator, sample preparation and plasma treatments**

116 Cold plasma was generated by a dielectric barrier discharge (DBD) device that was already  
117 described and characterized by Ragni et al. <sup>17</sup>. It consists of an hermetic chamber containing three  
118 parallel pair of electrodes (brass) supplied by a DC power supply and powered by high voltage

119 transformers and power switching transistors. A 5 mm thick glass was used as dielectric material.  
120 As feed gas, atmospheric gas driven at 1.5 slm was chosen. Frequency of oscillation was 12.7 kHz  
121 and the power supply was in the range of 150 W. The discharge showed typical air non-equilibrium  
122 peaks of the second N<sub>2</sub> positive system and of the positive ion N<sub>2</sub><sup>+</sup> together with the presence of  
123 oxygen and nitrogen radicals and ions as commonly detected when atmospheric air is used to  
124 generate plasma<sup>17</sup>.

125 Apple slices (40×10×10 mm) were manually obtained from apple flesh using a sharp blade.  
126 Samples were exposed to cold plasma at a distance of 70 mm from the electrodes for a total 30  
127 (15+15 on each side) min.

128 In the treatment chamber and on the fruit surface, temperature was 22 °C and RH (relative  
129 humidity) 60%. Control sample were stored at the same temperature and humidity conditions for  
130 the duration of the treatment.

131 Treatment time was stressed to 120 (60+60) min of processing only for polyphenols and antioxidant  
132 activity determinations.

133

#### 134 **Polyphenols extract preparation**

135 Lyophilized freeze-dried apple powder was extracted in 60% methanol. For biological assay 3 g of  
136 powder was mixed with 20 mL of solvent; for HPLC analysis 250 mg of powder were extracted in  
137 1.5 mL of solvent mixture containing 1% (v/v) of formic acid. The suspension was vortexed  
138 vigorously for 2 min, than samples used for HPLC analysis were left for 60 min in a sonic bath,  
139 centrifuged for 20 min (20878 g), and supernatant was collected at 4 °C and transferred to a vial  
140 before the injection into the HPLC system. Samples used for *ex vivo* assays were centrifuged for 10  
141 min (10000 g); the supernatant was collected and the pellet was subjected to a second extraction.  
142 The total supernatant was dried in a rotary evaporator (mod. Laborota 4001, Heidolph, Germany) at  
143 35 °C and the dry residue used as extract for further analysis.

144

### 145 **High-performance liquid chromatography and mass spectrometry analysis**

146 Before analysis, 20  $\mu\text{L}$  of each internal standard were added to the samples (genistein, 580  $\mu\text{g}/\text{mL}$ ;  
147 genistin, 380  $\mu\text{g}/\text{mL}$ ). HPLC separations were carried out by means of a SUNSHELL C18 (2.1 i.d.  
148  $\times$  100 mm) column, 2.6  $\mu\text{m}$  particle size (Chromanik) with mobile phase, pumped at a flow-rate of  
149 0.3 mL/min, consisting of a mixture of acidified acetonitrile (0.1% formic acid) (solvent A) and  
150 0.1% aqueous formic acid (solvent B). Following 0–2 min, 2% B; 2–13 min, 2% to 30% B; 13–20  
151 min, 30% to 80% B; 20–22 min, 80% to 2% B; 22–30 min, 2% isocratic; this step was followed by  
152 the washing and reconditioning of the column.

153 The identity of the phenolic compounds was confirmed using a triple quadrupole mass spectrometer  
154 (Thermo Scientific, TSQ Vantage) with a heated electrospray ionization (H-ESI II) operating in the  
155 negative ionization mode. The capillary temperature was 270  $^{\circ}\text{C}$ ; the sheath gas and auxiliary gas  
156 were 40 and 5 arbitrary units, respectively; and the source voltage was 3 kV, Vaporizer  
157 Temperature 200  $^{\circ}\text{C}$  argon was used for MS/MS experiments with a Collision Pressure of 1.0.  
158 For the identification, a full scan analysis was performed scanning from  $m/z$  100 to 950, while a  
159 product ion scan experiment was applied for ions not fully identified in the previous method.  
160 Identification was performed by comparing the mass spectra with literature data and, whenever  
161 possible, the identification was confirmed by using pure standards of the components.

162

### 163 **Antioxidant activity and total phenolic content**

164 Antioxidant activity and total phenolic content of apple samples was assessed by different  
165 microplate assays as previously reported<sup>9</sup>. The antioxidant activity was performed by ABTS (2,2'-  
166 azino-bis(3-ethylbenzothiazoline-6-sulphonic acid)), DPPH (2,2-diphenyl-1-picrylhydrazyl) and  
167 FRAP (ferric reducing antioxidant power) methods. The total polyphenols content was quantified  
168 by the Folin-Ciocalteu phenol reagent.

169

### 170 **Cell culture and treatments**

171 Caco2 cells were purchased from ATCC and routinely grown in 1:1 mixture of Ham's F12:DMEM  
172 medium. Culture media was supplemented with 10% fetal bovine serum (Lonza, Basel,  
173 Switzerland), 2 mM L-glutamine, 100 U/mL penicillin and 100  $\mu$ g/mL streptomycin. Cells were  
174 incubated at 37 °C under a 5% CO<sub>2</sub> atmosphere. Cell harvesting was performed by Trypsin/EDTA  
175 (Sigma-Aldrich, Steinheim, Germany) treatment.

176 For reactive oxygen species determination and luciferase assay the Caco2 cells were grown in 1:1  
177 mixture of Ham's F12:DMEM medium without red phenol (Sigma-Aldrich, Steinheim, Germany).

178 1 mg/mL Polyphenon E<sup>®</sup> fresh stock solution was prepared in de-ionized sterile water and diluted  
179 immediately in complete medium at the final concentration required for each experiment.

180 The polyphenols extracts from treated and untreated apples prepared for biological assays were  
181 dissolved in cell cultured medium containing 1% DMSO and stored at -80 °C (stock solution  
182 contains 600 mg of liophilized apple powder/mL). Fresh solutions were prepared in complete cell  
183 medium at the final concentration required for each experiments. The control cells were cultured  
184 with medium containing max 0.08% DMSO.

185

#### 186 **WST-1 assay**

187 Inhibition of cell proliferation by polyphenols extracts was measured by WST-1 assay (Roche,  
188 Lewes, United Kingdom). The assay is based on the reduction of tetrazolium salt WST-1 to soluble  
189 formazan by electron transport across the plasma membrane of actively dividing cells. Formazan  
190 formation was detected at 450 nm spectrophotometrically. Caco2 cells were plated in quadruplicate  
191 in 96-well microplates at a density of  $4 \times 10^4$  cells/well and allowed to adhere overnight. Cells were  
192 treated with increasing concentrations of Polyphenon E<sup>®</sup> (1-20  $\mu$ g/mL) or polyphenols extracts from  
193 untreated and treated apples (1-75 mg/mL). After 5 h of incubation, the WST-1 assay was  
194 performed.

195

#### 196 **Reactive oxygen species determination**

197 The production of intracellular ROS was detected using the 2,7-dichlorofluorescein diacetate  
198 (DCFH-DA) assay. Briefly, Caco2 cells were seeded in black 96-well plates ( $4 \times 10^4$  cells/well) and  
199 allowed to attach overnight. After 5 hours of treatment with increasing concentrations of  
200 Polyphenon E<sup>®</sup> (1-20  $\mu\text{g}/\text{mL}$ ) or polyphenols extracts (28 and 75  $\text{mg}/\text{mL}$ ), cells were washed twice  
201 with PBS and loaded with 20 mM DCFH-DA in PBS for 15 minutes at 37 °C. After incubation,  
202 cells were washed with PBS and ROS generation was measured by the fluorescence intensity of  
203 dichlorofluorescein (DCF, exc. 475 nm, em. 535 nm) using an Enspire Multimode Plate Reader  
204 (Perkin Elmer, Waltham, Massachusetts). Inside the cells, DCFH-DA is cleaved by nonspecific  
205 esterases forming non-fluorescent DCFH, which is oxidized to the fluorescent compound DCF by  
206 ROS. In the same wells, the total protein content was quantified using the Bio-Rad DC Protein  
207 assay (Bio-Rad, Berkeley, California).

208

#### 209 **Plasmid construction and luciferase assay**

210 To generate the recombinant plasmid pGL4-NQO1, genomic DNA was extracted from human liver  
211 using the QIAamp DNA mini Kit (Qiagen, Venlo, the Netherlands) according to manufacturer's  
212 protocol. The upstream promoter region of the *Nqo1* gene (635 pb) was PCR amplified using the  
213 following primers: fw 5'-ACCTGCCTTGAGGAGCAGGGGTGGTGCAG-3', rv 5'-  
214 GGCTCTGGTGCAGTCCGGGGCGCTGATTGG-3'<sup>18</sup>. The PCR product was subcloned in the  
215 pCR<sup>®</sup>2-TOPO vector (Invitrogen, Carlsbad, California). The KpnI/XhoI restriction fragment  
216 obtained from digestion of TOPO-NQO1 was then ligated into the pGL4.10 vector (Promega,  
217 Madison, Wisconsin) and the resulting plasmid was sequenced.

218 Caco2 cells were seeded in a 96-well white microplate at a density of  $2 \times 10^4$  cells/well and  
219 transfected using Viafect (Promega, Madison, Wisconsin), using 0,2  $\mu\text{g}$  of pGL4-NQO1 and  
220 pGL4.10 empty vectors. Transfection efficiency was monitored by pEGFP-N1 transfection  
221 (Clontech Laboratories, Mountain View, California).

222 The luciferase activity was measured after 5 h incubation with increasing concentrations of  
223 Polyphenon E<sup>®</sup> (1-20 µg/mL) or polyphenols extracts (28 and 75 mg/mL) using the Britelite™ plus  
224 reactive (PerkinEmler, Waltham, Massachusetts) and the EnSpire<sup>®</sup> Multimode Plate Readers  
225 (PerkinEmler, Waltham, Massachusetts). The luciferase activity was normalized to the total protein  
226 content after checking for equal transfection efficiency in each well.

227

### 228 **RNA extraction, reverse transcription and quantitative real-time PCR**

229 Caco2 cells were seeded in 35 mm dishes at a density of  $6 \times 10^5$  and allowed to attach overnight.  
230 After 5 h of treatment with two different concentrations of polyphenols extracts from treated or  
231 untreated apple (28 and 75 mg/mL), total RNA was extracted with the Trizol Reagent (Fisher  
232 Molecular Biology, Rome, Italy) and cleaned-up with the NucleoSpin RNA isolation kit  
233 (Macherey-Nagel, Düren, Germany) according to the manufacturer's instruction. For reverse  
234 transcription reaction, 100 ng of total RNA from each experimental condition was combined with 1  
235 µL of random primers (0.2 µg/µL) and heated up to 65 °C for 5 min. Following a brief chill on ice,  
236 the Reverse Transcription mix (Thermo Scientific, Boston, MA) was incubated at 25 °C for 5 min,  
237 45 °C for 60 min and 70 °C for 10 min. The first strand synthesis reaction was diluted 1:2 than 2 µL  
238 of each cDNA preparation were used for quantitative real-time PCR with the set of primers  
239 described below. The thermal cycling comprised an initial denaturation step at 95 °C for 30 s,  
240 followed by 40 cycles of denaturation at 95 °C for 5 s, annealing and extension at 60 °C for 30 s.  
241 Analysis of results was performed by DNA Engine Opticon 4 (MJ Research, Walthman, MA) using  
242 the 2X SYBR Premix Ex Taq (Takara Bio Inc, Japan). Relative quantification was calculated by the  
243  $2^{-\Delta\Delta CT}$  method<sup>19</sup> using glyceraldehyde 3-phosphate dehydrogenase (GAPDH) as housekeeper gene  
244 for data normalization. The results are expressed as mean ± SD of two independent determinations,  
245 each performed in duplicate.

246

247 Primers sequences used for GST members were the following <sup>20</sup>: GSTT2-fw 5'-  
248 CTTTCCTGGGTGCTGAGCTA-3' and GSTT2-rv 5'-GGTGTTGGGAGGGTTTTCTT-3';  
249 GSTP1-fw 5'-GGAGACCTCACCTGTACCA-3' and GSTP1-rv 5'-  
250 CTGCTGGTCCTTCCCATAGA-3'; GSTA4-fw 5'-TCCGTGAGATGGGTTTTAGC-3' and  
251 GSTA4-rv 5'-GGTGGTTACCATCCTGCAAC-3'.

252 Primers sequences used for UGT members were the following <sup>21</sup>: UGT1A1-fw 5'-  
253 AATAAAAAAGGACTCTGCTATGCT-3' and UGT1A1-rv 5'-ACATCAAAGCTGCTTTCTGC-  
254 3'; UGT1A4-fw 5'-GAACAATGTATCTTTGGCCC-3' and UGT1A4-rv 5'-  
255 ACCACATCAAAGGAAGTAGCA-3'; UGT2B7-fw 5'-GGAGAATTTTCATCATGCAACAGA-3'  
256 and UGT2B7-rv 5'-CAGAACTTTCTAGTTATGTCACCAAATATTG-3'.

257

## 258 **Statistical analysis**

259 Data are expressed as mean values  $\pm$  SD for the indicated number of independent determinations.

260 Statistical significance was calculated by two-sided Student's t-test, and P values are indicated in  
261 the table and figure legends.

262

## 263 **Results and discussion**

264

### 265 **Phenolic content**

266 The phenolic content of apples was measured by HPLC-MS/MS analysis and the content of each  
267 detected phenolic is reported in Table 1. The total phenolic content of Pink Lady<sup>®</sup> apples of control  
268 untreated samples ranged between 2.47 and 2.80 mmol kg<sup>-1</sup><sub>dw</sub> (that corresponds to 117-129 mg kg<sup>-1</sup><sub>fw</sub>)  
269 which is slightly higher than literature data (90 mg kg<sup>-1</sup><sub>fw</sub>) reported in a previous study <sup>22</sup>.  
270 However, these values are lower than those of the most widely studied variety, Golden Delicious,  
271 whose values range between 233 and 417 mg kg<sup>-1</sup><sub>fw</sub> depending on agricultural practices and  
272 harvesting years <sup>23-26</sup>.

273 In a previous study a 30 (15+15) min treatment was found to be suitable for fresh-cut apple  
274 stabilization <sup>4</sup> since, at the same experimental conditions, a reduction in browning was observed by  
275 image analysis. In the same study, an inhibition of polyphenoloxidase (PPO) activity proportional to  
276 treatment time up to 57% for 30 min was reported. Reduction of enzymatic activity upon plasma  
277 exposure has been attributed to the oxidation of reactive side-chain of the amino acids by plasma  
278 radicals <sup>5,6</sup>, in particular OH, O<sub>2</sub><sup>-</sup>, HOO and NO, that promote a change in the secondary protein  
279 structure and the modification of some amino acids side chains of the enzyme <sup>27,28</sup>. On the basis of  
280 these results, an oxidation of the phenolic component could be hypothesised.

281 Conversely, in this study the total phenolic content evaluated by HPLC-MS/MS did not show any  
282 significant difference compared to control apples (Table 1). In order to verify if a longer time of  
283 exposure to DBD plasma, might determine a significant decrease of polyphenols, the product was  
284 over treated for 120 (60+60) min of processing. Differences in the total phenolic content were still  
285 not statistically significant, but, considering singular compounds, few differences were found in the  
286 Flavan-3-ols group, in particular significant reductions of Procyanindis dimer B1, trimer and trimer  
287 4 of respectively 56, 59 and 62% were found. Although oxidation reactions can easily take place  
288 during plasma treatment thanks to the production of radical species and the availability of oxygen in  
289 the atmosphere, phenolic content did not show variations after 30 min, albeit at the same treatment  
290 time a noticeable enzymatic activity inhibition was previously observed and after stressing plasma  
291 exposure up to 120 min promoted only a limited reduction to very few compounds.

292 The total phenolic index (TPI) of apple products has been estimated also by measuring their ability  
293 to reduce the Folin-Ciocalteu reagent, an extensively used method for the estimation of total  
294 phenolics, after solid phase extraction on C-18 cartridges, considered suitable technique for the  
295 separation of phenolic compounds <sup>9</sup>.

296 In this study, a total TPI of about 13.3 mmol kg<sup>-1</sup><sub>dw</sub> (2.2 mg GAE g<sup>-1</sup><sub>dw</sub>) was measured in the  
297 amphiphilic fraction (Table 2), after SPE separation; also in this case, this value is lower than that  
298 of Golden Delicious apples but comparable with literature results <sup>26,29</sup>.

299 The DBD plasma treatment significantly affected the TPI of apples with a decrease of about 9%  
300 after 30 min, while prolonging treatment time up to 120 min, lead to a decrease of about 33%  
301 (Table 2).

302 The spectrophotometric determination of total polyphenols overestimated the final polyphenol  
303 decrease, when compared to HPLC-MS/MS analysis that was not able to find significant differences  
304 between mean values after 30 min. This happened probably because the TPI assay is based on the  
305 capacity of phenolic compounds to reduce the Folin-Ciocalteu reagent under basic conditions, being  
306 the mechanism of the TPI assay based on an oxidation/reduction reaction. This result roughly  
307 indicates that the polyphenols which underwent oxidation with increasing processing time were  
308 characterized by a high reducing power.

309

#### 310 **Antioxidant activity**

311 In the present study, the antioxidant activity of apple samples was investigated with a variety of  
312 methods aimed to measure their radical scavenging activity (ABTS and DPPH assay) and reducing  
313 power (FRAP and TPI). As mentioned, the TPI is a method measuring the reducing power of the  
314 phenolic extract; for this reason it can be used to investigate the reducing power of a polyphenol  
315 mixture, being considered an antioxidant method<sup>30</sup>.

316 The ABTS (expressed as Trolox Equivalent Antioxidant Capacity, TEAC) and TPI assays were  
317 conducted on both the amphiphilic and hydrophilic extracts; the former showed a radical  
318 scavenging activity much higher than the latter, which accounts for about the 6% of total TEAC  
319 (sum of TEAC values of the amphiphilic and hydrophilic extracts). Similarly, the reducing power of  
320 hydrophilic extract, as measured by the TPI, accounted for about the 2% of total TPI (Table 2). This  
321 result is due to the fact that apple is very poor of water soluble polyphenols and ascorbic acid,  
322 which could filtrate through the cartridge set upon washing with the acidulated water extract prior  
323 to the elution of amphiphilic compounds.

324 The DBD plasma treatment significantly affected the TEAC of amphiphilic compounds of apples  
325 with a slight decrease (1%) after 30 min of processing, to reach values 29% lower than those of  
326 control apples when over treated (120 min). No differences were found for the TEAC of hydrophilic  
327 compounds between control and treated sample at both processing times (Table 2).

328 The DBD plasma treatment significantly affected the TPI of amphiphilic compounds of apples as  
329 well as total TPI, which showed both a significant decrease after 30 min (10 %), and after 120 min  
330 of processing (33%) (Table 2).

331 Differently from the ABTS assay, the TPI assay evidenced a final decrease (32 %) of the reducing  
332 power of hydrophilic compounds during plasma processing. These two assays differs for the  
333 mechanisms of action which are radical scavenging for ABTS and single electron transfer for TPI,  
334 hence the polyphenols consumed during plasma treatment showed lower radical scavenging activity  
335 than reducing power.

336 The DPPH• assay did not evidence any difference in antioxidant activity after 30 min of processing  
337 whilst it evidenced an antioxidant activity decrease (21%) after 120 min of processing (Table 2),  
338 showing a lower sensibility of this method than the ABTS assays.

339 The FRAP assay showed values similar to those of the DPPH• assay, not detecting significant  
340 differences after 30 min, but finding a higher decrease after 120 min (36 %).

341 Generally, the reduction of antioxidant activity after 30 min processing was limited and relative to  
342 the amphiphilic fraction.

343

#### 344 **Effect of treated and untreated apple polyphenols extracts on Caco2 cells viability**

345 In order to investigate the effect of plasma technology on cell viability we performed the WST-1  
346 colorimetric assay. Caco2 cells were seeded in a 96-well microplate and incubated for 5 h in the  
347 presence of different concentrations of polyphenols.

348 First of all we treated Caco2 cells with increasing concentration of Polyphenon E<sup>®</sup>. The  
349 concentration range of green tea polyphenols examined in our study (1-20 µg/mL), comprises the

350 concentrations achievable in human plasma (0,14-3,4  $\mu\text{g/mL}$ ) after a single oral administration of  
351 Polyphenon E<sup>®</sup> containing 400-1200 mg of pure EGCG<sup>15-16</sup>. As shown in Fig. 1A, Polyphenon E<sup>®</sup>  
352 did not cause cytotoxicity in the concentration range considered by us. This result is in agreement  
353 with data published by Salucci and co-workers, who reported that EGCG does not induce  
354 cytotoxicity in Caco2 cells below 46  $\mu\text{g/mL}$ <sup>31</sup>.

355 Then, we tested various concentration of our polyphenols extract, comprised in a range comparable  
356 with those used in Polyphenon E<sup>®</sup> assay. As shown in Fig. 1B no reduction on cell proliferation was  
357 observed when Caco2 cells were loaded with polyphenols extracted from plasma treated apples,  
358 even at the highest concentration tested. The polyphenols extract obtained from untreated apples  
359 caused a moderate increase in cell proliferation when used at 28 and 56 mg/mL. We might  
360 speculate that these differences might be related to the difference in the total polyphenols  
361 composition, which is not statistically significant when considered as a whole, but can be different  
362 in the ratio between various compounds taken as a single.

363

#### 364 **Effect of polyphenols extracted from treated and untreated apple on ROS production**

365 Intracellular ROS levels affect cell viability and high ROS concentrations can cause cellular  
366 damage. Using the DCFH-DA assay, we evaluated the modulation of intracellular ROS in Caco2.  
367 As made for WST-1 assay, we incubated Caco2 cells for 5 h with Polyphenon E<sup>®</sup>. We observed that  
368 concentrations ranging between 5-20  $\mu\text{g/mL}$  induced a significant increase in ROS production  
369 without reducing cell viability (Fig. 2A). The same biological effect has been reported on Caco2  
370 cells after incubation with high concentrations of raw apple extracts<sup>32</sup>. Green tea polyphenols may  
371 exert both an antioxidant and a pro-oxidant effect in different cell lines, mainly depending on the  
372 concentration tested<sup>33-35</sup>. Noteworthy, the pro-oxidative properties of plant-derived polyphenols are  
373 well documented<sup>33-35</sup> and represent, at least in part, one of the mechanism that promotes the  
374 activation of endogenous defenses against oxidative stress<sup>10</sup>. We then proceeded incubating Caco2  
375 cells for the same time with polyphenols extracted from treated and untreated apples. As shown in

376 Fig. 2B, the production of oxidizing species is, consistently with data reported in the literature,  
377 directly related to extracts' concentration. Intracellular ROS production was in any case lower in  
378 Caco2 cells receiving polyphenols extracted from plasma treated samples. At the concentration of  
379 75 mg/mL the plasma treated sample caused a decrease in ROS level of about 1.8-fold in  
380 comparison to the untreated sample. None of these concentrations exerted relevant effects on cell  
381 viability.

382

### 383 **Effect of polyphenols extracted from treated and untreated apples on phase II defence** 384 **enzymes**

385 To determine whether ROS induced by polyphenols' extracts are able to modulate the response of  
386 phase II defense enzymes, we performed both gene-reporter assay and qPCR.

387 Phase II enzymes catalyze conjugation reactions to transform toxic endogenous molecules and  
388 xenobiotics in hydrophilic compounds that can be easily excreted. They play also an important role  
389 in the metabolic inactivation of pharmacologically active substances. The Nrf2/EpRE pathway is  
390 one of the more characterized cell signaling pathway involved in the safeguard against oxidative  
391 stress. It regulates the expression of key protective enzymes such as glutathione peroxidase (GPX),  
392 glutathione S-transferase (GST), NADPH quinone oxidoreductase 1 (NQO-1) and UDP-  
393 glucuronosyltransferase (UGT)<sup>10,36</sup>.

394 A DNA fragment containing the EpRE sequence was subcloned upstream a firefly luciferase into a  
395 suitable promoterless reporter plasmid. After transient transfection with the expression plasmid,  
396 Caco2 cells were treated for 5 h with increasing concentrations of Polyphenon E<sup>®</sup>. At the same  
397 concentration able to induce an increase in ROS generation, we observed a statistically significant  
398 increase in luciferase activity (Fig. 3A). This data support the concept that, green tea polyphenols,  
399 are able to induce a beneficial moderate oxidative stress, which acts through the Nrf2/EpRE  
400 pathway, activating the EpRE elements located in the promoters of target genes, such as the phase II  
401 enzymes. Unfortunately, when we treated luciferase transduced Caco2 cells with our extracts, we

402 were not able to observe any activation of the EpRE element, on the contrary we observed a  
403 concentration dependent quenching of the analytical signal in comparison to control cells (Fig. 3B).  
404 However, the recombinant plasmid used for the gene reporter assay contains just one copy of the  
405 EpRE motif and previous data have shown a good correlation between the number of EpRE repeats  
406 and the luciferase activity, therefore we might suppose that we could increase the sensitivity of the  
407 assay by using a different reporter construct<sup>37</sup>. Nonetheless, we cannot rule out the possibility that  
408 during the extraction procedure, other molecules which are present in the sample irrespective of the  
409 type of treatment were coeluted with the polyphenols, and might have interfered with the assay.

410 Phase II enzymes are direct target of the transcriptional activity of Nrf2, a redox dependent  
411 transcription factor that binds the EpRE regulatory sequences of their promoters. GST family  
412 members are able to catalyze the conjugation of the sulfhydryl moiety of glutathione (GSH) with a  
413 broad range of endogenous and exogenous electrophilic substrates<sup>38</sup>. UGT family members are  
414 endoplasmic reticulum-bounded enzymes that catalyze glucuronidation of endogenous and  
415 exogenous substrates, like bilirubin, bile acids, steroids and xenobiotics<sup>21</sup>. Previous data have  
416 shown that in different colon tumor cell lines apple polyphenols<sup>39</sup> and digitoflavone<sup>40</sup> are able to  
417 induce gene expression of detoxification enzymes.

418 In order to acquire more information on the influence of plasma technology on apple's bioactive  
419 molecules, we quantified the mRNA levels of genes belonging to GST and UGT family enzymes by  
420 qPCR.

421 Fig. 4A and 4B show the relative expression of GSTs and UGTs genes measured in cells cultured  
422 with extracts obtained from plasma treated apples compared to cells receiving polyphenols  
423 extracted from untreated fruits (expression level fixed equal to 1). The mRNA levels of GSTs and  
424 UGTs are reduced following treatment at the lowest concentration tested (28 mg/mL) of  
425 approximately one half of the values measured in control cells. In contrast, when the concentration  
426 raise up to 75 mg/mL, we observed a 2.7 fold induction of UGT1A4. These data point out that after  
427 administration of apple polyphenols extracts derived from plasma treated samples, Caco2 cells are

428 normally viable and are still capable to activate the physiological response to moderate oxidative  
429 stress by increasing the transcription of phase II detoxifying enzymes.

430 This is the first experimental evidence that apple exposure to gas plasma does not seem to generate  
431 chemical species potentially harmful to human cells.

432 We demonstrated that polyphenols extract obtained from plasma treated samples does not induce  
433 significant changes in cell viability of human cultured colonocytes, in comparison with extracts  
434 obtained from untreated apples slides. Following the treatment Caco2 cells still retain their ability to  
435 react to a moderate oxidative stress by inducing detoxifying enzymes.

436 Further studies including tests *in vivo*, are required to provide sufficient information to assess the  
437 health-related implication of the application of gas plasma technology in food processing.

438

439

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443 qualitative, metabolic and functional aspects).

444

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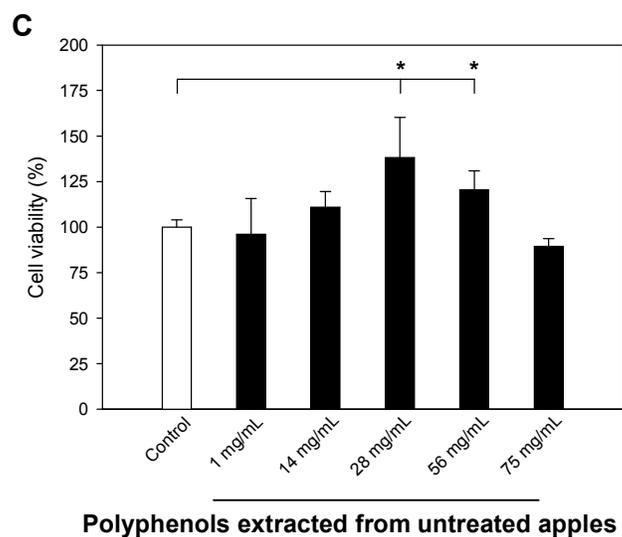
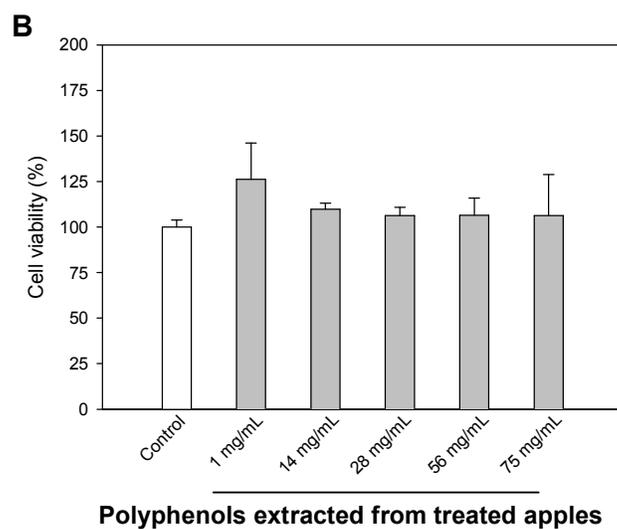
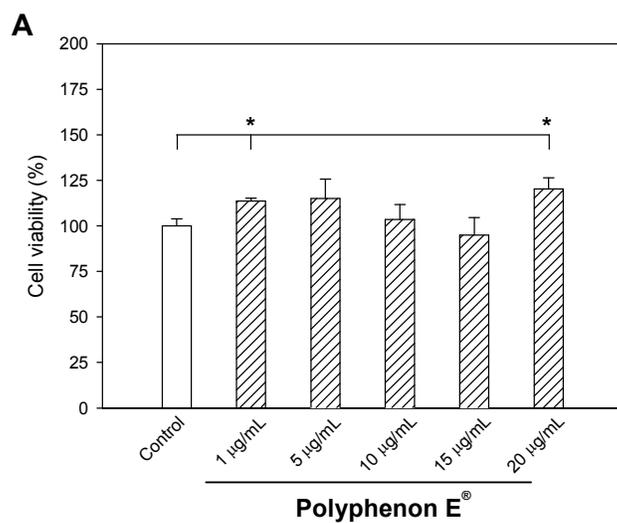
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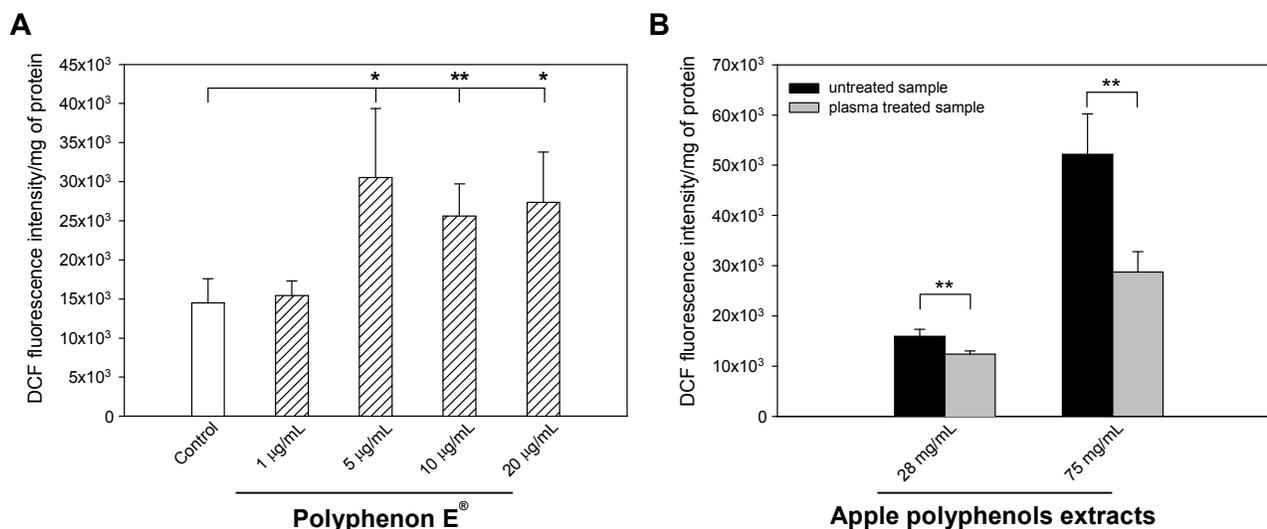
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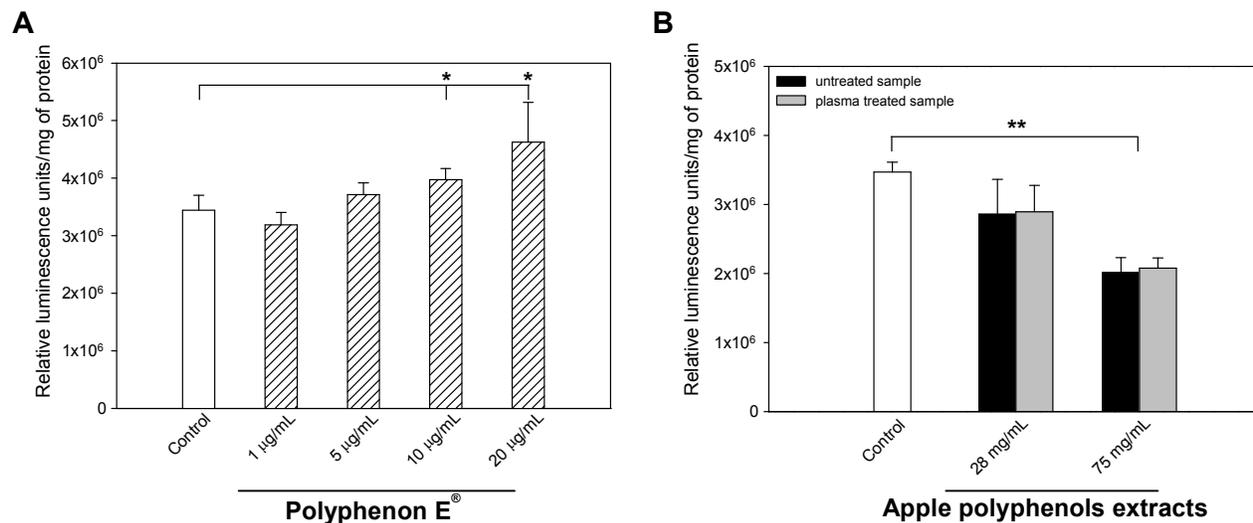
## Figures



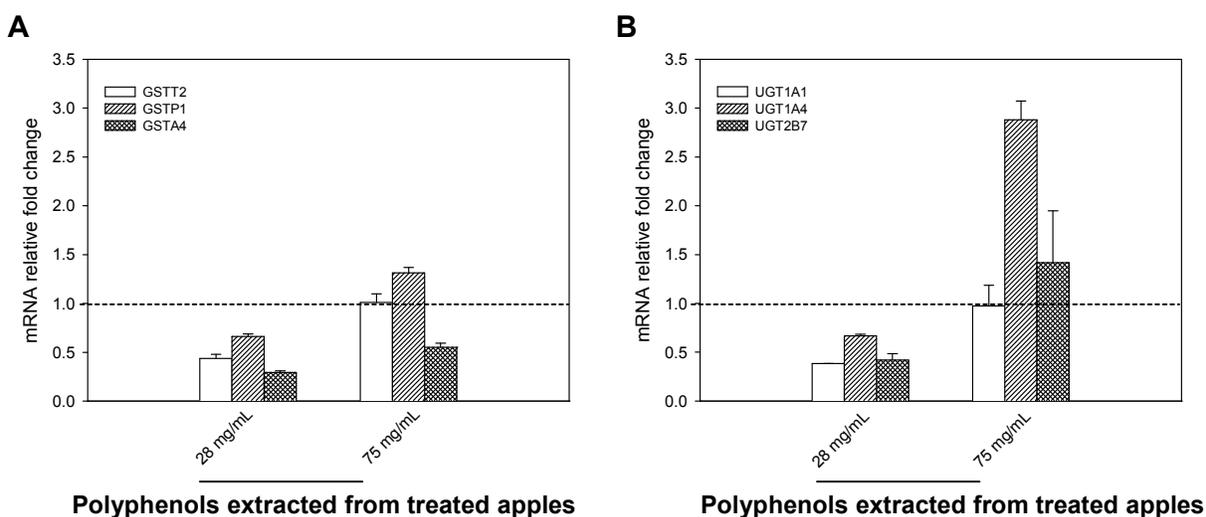
**Figure 1. Effects of polyphenols extracts on Caco2 cells viability.** Caco2 cells were treated for 5 h with different concentrations of Polyphenon E<sup>®</sup> (A), and polyphenols extracted from treated (B) and untreated (C) apples. Cell viability was determined by WST-1 assay. Control represents Caco2 cells incubated with culture medium containing max 0.08% DMSO. Data are presented as means  $\pm$  SD from four replicate wells of three different experiments as percentage of control sample. Statistical significance versus control was calculated by two-sided Student's t-test. \*,  $p < 0.05$ .



**Figure 2. Effects of polyphenols extracts on Caco2 cells ROS production.** Caco2 cells were treated for 5 h with different concentrations of Polyphenon E<sup>®</sup> (A) or apple polyphenols extracts (B). ROS production was determined by DCFH-DA assay. Control represents Caco2 cells incubated with cell culture medium. Data are presented as means  $\pm$  SD from eight replicate wells of three different experiments. Statistical significance was calculated by two-sided Student's t-test. \*,  $p < 0.05$ ; \*\*,  $p < 0.001$ .



**Figure 3. Effects of polyphenols on Caco2 cells luciferase activity.** Caco2 cells were treated for 5 h with different concentrations of Polyphenon E<sup>®</sup> (A) or apple polyphenols extracts (B). Control represents Caco2 cells incubated with culture medium containing max 0.08% DMSO. Luciferase activity was normalized for the total protein content. Data are presented as means  $\pm$  SD from four replicate wells of three different experiments. Statistical significance was calculated by two-sided Student's t-test. \*,  $p < 0.05$ ; \*\*,  $p < 0.001$ .



**Figure 4. Relative mRNA expression of Nrf2-activated phase II enzymes in Caco2 cells.** Caco2 cells were treated for 5 h with different concentrations of polyphenols extracted from treated and untreated apples. The mRNA levels of GSTs (A) and UGTs (B) enzymes were evaluated by qPCR. Relative quantification was calculated by the  $2^{-\Delta\Delta C_t}$  method using polyphenols extracts derived from untreated apples as reference sample (reference mRNA fold change equal to 1). Data are presented as means  $\pm$  SD from three different experiments. We considered statistically relevant mRNA fold changes  $< 0.75$  and  $> 1.5$ .

1 Table 1. Content of phenolics ( $\mu\text{mol kg}^{-1}_{\text{dw}}$ ) of Pink Lady<sup>®</sup> apples determined by HPLC-MS/MS as affected by plasma treatment time.

	Compound	30 min		120 min	
		C	T	C	T
Flavan-3-ols	Catechin	50.24 ± 3.21 <sup>a</sup>	39.79 ± 6.09 <sup>a</sup>	64.03 ± 5.64 <sup>a</sup>	36.81 ± 9.30 <sup>a</sup>
	Epicatechin	415.22 ± 20.12 <sup>a</sup>	343.88 ± 36.45 <sup>a</sup>	448.75 ± 57.84 <sup>a</sup>	281.74 ± 84.84 <sup>a</sup>
	Procyanidin dimer B1	45.46 ± 3.05 <sup>a</sup>	35.35 ± 4.65 <sup>a</sup>	49.39 ± 4.13 <sup>a</sup>	21.92 ± 6.27 <sup>b</sup>
	Procyanidin dimer B2	164.06 ± 5.34 <sup>a</sup>	134.29 ± 14.91 <sup>a</sup>	141.58 ± 22.89 <sup>a</sup>	72.53 ± 19.24 <sup>a</sup>
	Procyanidin dimer B4	11.26 ± 0.50 <sup>a</sup>	8.93 ± 1.13 <sup>a</sup>	9.78 ± 1.88 <sup>a</sup>	4.71 ± 1.44 <sup>a</sup>
	Procyanidin B trimer	9.64 ± 0.67 <sup>a</sup>	7.35 ± 1.21 <sup>a</sup>	10.52 ± 1.51 <sup>a</sup>	4.31 ± 1.35 <sup>b</sup>
	Procyanidin B trimer 2	18.63 ± 0.81 <sup>a</sup>	13.90 ± 1.54 <sup>a</sup>	12.98 ± 1.94 <sup>a</sup>	5.94 ± 2.08 <sup>a</sup>
	Procyanidin B trimer 3	58.73 ± 1.22 <sup>a</sup>	43.80 ± 4.83 <sup>a</sup>	49.97 ± 9.04 <sup>a</sup>	20.42 ± 6.55 <sup>a</sup>
	Procyanidin B trimer 4	8.05 ± 0.20 <sup>a</sup>	6.21 ± 0.66 <sup>a</sup>	7.72 ± 1.11 <sup>a</sup>	2.95 ± 0.87 <sup>b</sup>
	Procyanidin B trimer 5	0.74 ± 0.01 <sup>a</sup>	0.26 ± 0.37 <sup>a</sup>	0.81 ± 0.22 <sup>a</sup>	0.11 ± 0.10 <sup>a</sup>
Hydroxycinnamic acids	Caffeic acid	1.05 ± 0.02 <sup>a</sup>	1.07 ± 0.16 <sup>a</sup>	0.29 ± 0.08 <sup>a</sup>	0.31 ± 0.03 <sup>a</sup>
	Caffeoylquinic acid	1046.98 ± 738.44 <sup>a</sup>	1021.46 ± 687.92 <sup>a</sup>	1280.42 ± 915.80 <sup>a</sup>	1176.35 ± 871.53 <sup>a</sup>
	4-Coumaroyl quinic acid	96.62 ± 3.81 <sup>a</sup>	81.84 ± 13.06 <sup>a</sup>	163.62 ± 24.07 <sup>a</sup>	143.79 ± 38.64 <sup>a</sup>
	Coumaroyl quinic acid	301.80 ± 3.99 <sup>a</sup>	313.71 ± 37.79 <sup>a</sup>	294.07 ± 56.71 <sup>a</sup>	337.10 ± 55.94 <sup>a</sup>
Dihydrochalcones	Phloretin-2'-O-(2''-O-xylosyl)glucoside	169.98 ± 3.90 <sup>a</sup>	231.70 ± 43.65 <sup>a</sup>	172.64 ± 44.29 <sup>a</sup>	166.08 ± 20.53 <sup>a</sup>
	Phloridzin	32.77 ± 4.29 <sup>a</sup>	74.49 ± 24.54 <sup>a</sup>	51.56 ± 23.50 <sup>a</sup>	37.19 ± 6.42 <sup>a</sup>
Flavonols	Myricetin rhamnoside	3.01 ± 0.12 <sup>a</sup>	3.07 ± 0.42 <sup>a</sup>	4.30 ± 2.08 <sup>a</sup>	3.42 ± 0.63 <sup>a</sup>
	Quercetin	3.29 ± 0.18 <sup>a</sup>	4.22 ± 0.69 <sup>a</sup>	2.93 ± 1.08 <sup>a</sup>	2.46 ± 0.33 <sup>a</sup>
	Quercetin-O-glucoside	3.02 ± 0.11 <sup>a</sup>	3.09 ± 0.39 <sup>a</sup>	4.35 ± 2.02 <sup>a</sup>	3.42 ± 0.63 <sup>a</sup>
	Quercetin-O-rhamnoside	33.25 ± 0.22 <sup>a</sup>	40.83 ± 6.48 <sup>a</sup>	25.48 ± 8.03 <sup>a</sup>	22.87 ± 3.32 <sup>a</sup>
	Rutin	0.13 ± 0.00 <sup>a</sup>	0.05 ± 0.05 <sup>a</sup>	0.21 ± 0.07 <sup>a</sup>	0.00 ± 0.00 <sup>a</sup>
Total phenolics	hydrophilic + amphiphilic	2475.46 ± 40.01 <sup>a</sup>	2409.29 ± 248.74 <sup>a</sup>	2800.48 ± 441.07 <sup>a</sup>	2348.75 ± 396.41 <sup>a</sup>

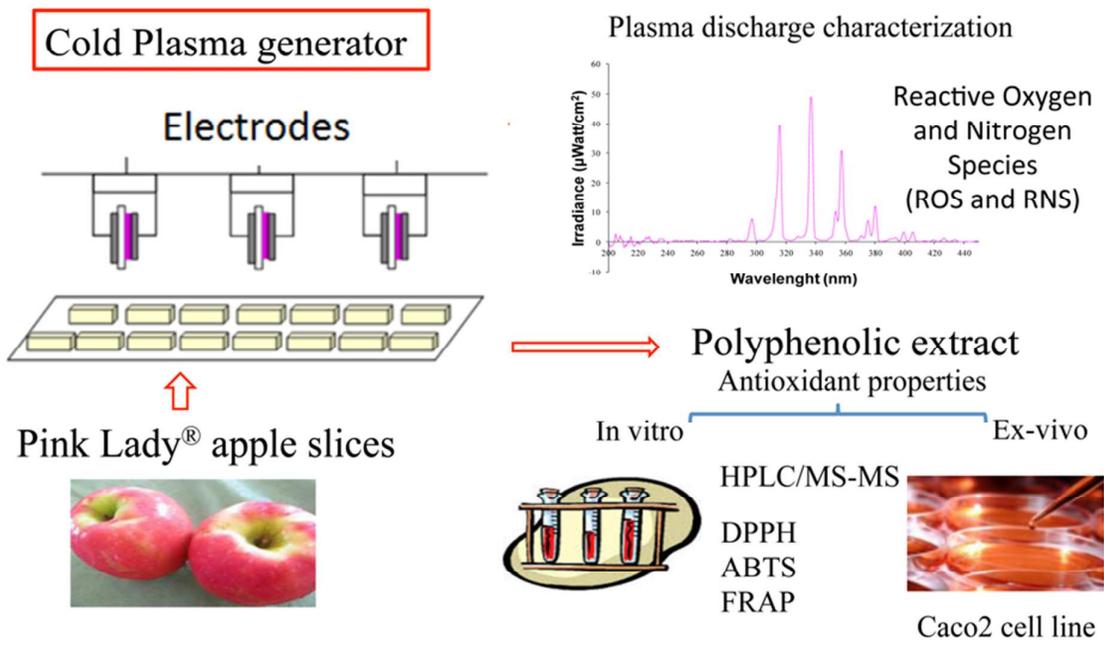
2 Values followed by different letters between control and treated sample of the same treatment time are significantly different at a  $p < 0.05$  level.

3 Table 2. Total phenolic index (TPI) and antioxidant activity ( $\mu\text{mol kg}^{-1}_{\text{d.w.}}$ ) of Pink Lady<sup>®</sup> apples  
 4 evaluated by different *in vitro* methods as affected by plasma treatment time.

Extract	30 min		120 min	
	C	T	C	T
TPI <sup>1</sup> amphiphilic	13320 ± 510 <sup>a</sup>	11987 ± 568 <sup>b</sup>	11420 ± 1189 <sup>a</sup>	7608 ± 514 <sup>b</sup>
TPI <sup>1</sup> hydrophilic	280 ± 86 <sup>a</sup>	351 ± 39 <sup>a</sup>	384 ± 19 <sup>a</sup>	258 ± 46 <sup>b</sup>
TPI <sup>1</sup> hydrophilic + amphiphilic	13600 ± 501 <sup>a</sup>	12338 ± 567 <sup>b</sup>	11768 ± 1192 <sup>a</sup>	7867 ± 510 <sup>b</sup>
ABTS <sup>2</sup> amphiphilic	14175 ± 300 <sup>a</sup>	13973 ± 316 <sup>b</sup>	13998 ± 1140 <sup>a</sup>	9930 ± 460 <sup>b</sup>
ABTS <sup>2</sup> hydrophilic	911 ± 334 <sup>a</sup>	732 ± 302 <sup>a</sup>	567 ± 171 <sup>a</sup>	532 ± 138 <sup>a</sup>
DPPH <sup>2</sup> hydrophilic + amphiphilic	24072 ± 2053 <sup>a</sup>	23647 ± 2496 <sup>a</sup>	20285 ± 745 <sup>a</sup>	16017 ± 2285 <sup>b</sup>

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7 TOC Graphic



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