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T-shape versus circular shape: a retrospective evaluation of intraoperative and postoperative complications in a cohort of 142 dogs undergoing TECA-LBO for end-stage otitis

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(Article begins on next page)

1 **T-shape vs circular shape: a retrospective evaluation of intraoperative and**  
2 **postoperative complications in a cohort of 142 dogs undergoing TECA-LBO for end**  
3 **stage otitis.**

4  
5 **OBJECTIVES:** To report the impact of the surgical approach (T-shaped or circular  
6 incision) on intraoperative and postoperative complications associated with total ear canal  
7 ablation and lateral bulla osteotomy.

8 **METHODS:** Medical records of dogs that underwent total ear canal ablation and lateral  
9 bulla osteotomy with T-shaped or circular incisions between at 10 referral hospitals were  
10 retrospectively reviewed. Intraoperative and postoperative complications and follow-up  
11 were analyzed and compared between groups using Fisher's exact test for categorical data  
12 and the Mann-Whitney rank-sum test for numerical data, with  $P < 0.05$  considered  
13 significant.

14 **RESULTS:** One-hundred and forty-two dogs were included, totaling 156 surgeries: 84  
15 were performed via circular incision (O-group), and 72 with a T-shaped incision (T-group).  
16 The groups were similar for sex ( $P = 0.182$ ) and bodyweight ( $P = 0.836$ ) distribution; the T-  
17 group was older at the time of surgery ( $P = 0.019$ ).

18 Intraoperative bleeding occurred in 13/156 (8%) dogs (11 O-group [7%], 2 T-group [1%])  
19 more frequently in the O-group ( $P = 0.03$ ).

20 Postoperative complication rate was 38.6% ( $n = 60$ ): wound complications occurred in  
21 31/156 (20%) dogs (8 O-group [5%], 23 T-group [15%]) more frequent in the T-group  
22 ( $P < 0.001$ ); postoperative facial nerve neuropathy occurred in 31/156 (20%) dogs (22 O-  
23 group [14.1%], 9 T-group [5.8%]) associated with the O-group ( $P = 0.045$ ).

24 **CLINICAL SIGNIFICANCE:** Surgical preference may guide the choice between  
25 performing a T-shaped or circular incision during TECA-LBO. Circular incisions were  
26 associated with a higher incidence of intraoperative haemorrhage and postoperative facial  
27 nerve neuropathy, while T-shaped incisions may increase the risk of wound complications.  
28 Most complications in both groups were minor or self-limiting.

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48 **INTRODUCTION**

49 The combination of total ear canal ablation (TECA) with lateral bulla osteotomy (LBO)  
50 currently represents the most effective treatment option for patients suffering end-stage ear  
51 disease unresponsive to medical management (Sharp, 1990). The presence of proliferative  
52 epithelial hyperplasia secondary to chronic inflammation is reported to be the primary  
53 indication for surgery in 59% to 85% of the patients (Sharp, 1990). Other indications for  
54 TECA-LBO include congenital anomalies, proliferative growths, aural tympanokeratoma,  
55 severe facial or aural trauma, neoplasia and para-aural abscessation (Saridomichelakis *et*  
56 *al.*, 2007).

57 Several complications have been reported in veterinary literature such as haemorrhage,  
58 nerve injuries, wound breakdown, **chronic abscessation and fistulation, necrosis of the**  
59 **pinna**, cosmetical alteration, and infection (Smeak, 2011; Coleman *et al.*, 2016).

60 Neurological signs associated with TECA-LBO include Horner's syndrome, vestibular  
61 signs and facial nerve paresis or paralysis. Of these, facial nerve neuropathy was most  
62 frequently encountered **complication** in dogs, with reports ranging from 13 to 48.9%,  
63 **though it was rarely permanent and infrequently required intensive medical intervention**  
64 (Seward 1958; Smeak, 2011; Spivack *et al.*, 2013).

65 The surgical technique was originally described with a T-shaped incision, which outlines  
66 the external meatus and projects the incision over the vertical ear canal, immediately  
67 ventral to the tragus, allowing further access to the horizontal portion of the ear canal and  
68 osseous auditory meatus (Beckman *et al.*, 1990; Smeak, 2011), however, many surgeons  
69 applied a modification of the technique consisting of a single circular incision outlining  
70 the auditory meatus, resulting in a smaller approach (Smeak 2012).

71 In dogs with erect ears and in cats, the loss of cartilage support at the base of the pinna can  
72 lead to cosmetic alterations, such as the ear failing to stand. To mitigate the risk of  
73 developing a floppy-ear appearance, other techniques were developed, such as the use of  
74 a single pedicle advancement flap and an inverted U-V shaped incision have been  
75 proposed. These approaches help preserve the structural integrity of the pinna and reduce  
76 the likelihood of ear collapse (Venker-van Haagen, 1983; McNabb *et al.*, 2004).

77 Although the topic is frequently discussed among surgeons, there is a lack of evidence  
78 supporting a difference in complication rate or outcomes based on the surgical approach  
79 for TECA-LBO. A search was conducted on June 15, 2024, using the keywords “TECA-  
80 LBO”, ”dog ear surgery”, ”surgical approach TECA”, “complication rate TECA”, across  
81 Google Scholar and PubMed databases; no studies were identified that had compared the  
82 impact of incision shape on surgical complications.

83 A limited operating window with consequent poor visualisation was believed to be one of  
84 the leading causes associated with iatrogenic damage of the major neuro-vascular  
85 structures (Smeak *et al.*, 1986; Smeak, 2011).

86 The aim of this study was to evaluate whether performing a circular or a T-shaped incision  
87 would affect the incidence of intraoperative and postoperative complications associated  
88 with total ear canal ablation. These authors hypothesised that there was no difference in  
89 intraoperative or postoperative complications and outcome between the two types of  
90 incision.

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95 **MATERIALS AND METHOD**

96 **Study design and inclusion criteria**

97 Medical records from 10 referral centres were retrospectively reviewed to identify canine  
98 patients who underwent total ear canal ablation (TECA) and lateral bulla osteotomy (LBO)  
99 for end-stage otitis between March 2006 and February 2023.

100

101 **Keyword used**

102 Keywords used to retrieve cases were “Total ear canal ablation and lateral bulla  
103 osteotomy”, “TECA-LBO”, “end-stage otitis” and “ear surgery.” If the search function  
104 was not available in the medical record system, the investigator’s case log was searched  
105 instead.

106

107 **Data extraction**

108 Data collected included signalment (breed, gender, age, body weight), clinical  
109 presentation, diagnostic technique (computed tomography [CT], otoscopy, magnetic  
110 resonance image [MRI]), surgical technique, **use of surgical drains**, intraoperative and  
111 postoperative complications, culture and sensitivity results, histopathology reports and  
112 length of hospitalization. The surgical records were examined for each dog. Bilateral  
113 staged procedures were classified as such if the diagnosis of bilateral disease occurred at  
114 the time of presentation, but left and right TECA-LBOs were performed separately within  
115 any time frame.

116 Intraoperative complications were defined as complications occurring between skin  
117 incision and closure and recorded in the medical records at the time of surgery.

118 Short term follow-up was estimated < 30 days, medium term was between 30 days and one  
119 year, whilst long term follow-up was considered > one year. Follow-up was obtained by  
120 evaluating the medical records.

121 Postoperative complications were classified according to the Clavien-Dindo grading  
122 system: grade 1 included any deviation from a standard postoperative recovery that  
123 resolved with conservative measures or medical management, such as anti-inflammatory  
124 therapy. Grade 2 comprised complications requiring prolonged pharmacological treatment  
125 or blood transfusion. Grade 3 included complications necessitating surgical intervention  
126 or those with persistent clinical signs at long-term follow-up (>1 year). Grades 4 and 5  
127 describe complications that lead to life-threatening complications and death of the patient  
128 respectively (Clavien *et al.*, 2009). Onset, duration, and severity of the complications were  
129 retrospectively extracted from the medical records.

130 Prophylactic antibiotic therapy was selected independently in each centre and retrieved  
131 from the medical records.

132

### 133 **Surgical technique**

134 TECA-LBO procedures were performed according to previously described techniques  
135 (Smeak, 1986; Beckman *et al.*, 1990; Smeak, 2011; Smeak, 2012). In short, in the O-group,  
136 a circular incision through skin and cartilage was made over the external acoustic meatus,  
137 extending from just ventral to the tragus to the antihelix, cranially to the lateral crus of the  
138 helix, and caudally to the medial process of the antitragus (Figure 1). In the T-group, this  
139 circular skin incision was combined with a vertical extension beginning at the level of the  
140 tragus and extending ventrally over the vertical ear canal (Figure 2).

141 In both groups, blunt dissection of the subcutaneous tissue and auricular muscles exposed  
142 the vertical ear canal, enabling careful dissection of peri-cartilaginous tissue along the  
143 horizontal canal to the rim of the bony external auditory meatus. (Smeak, 1986; Beckman  
144 *et al.*, 1990). Following amputation of the ear canal, a lateral bulla osteotomy was  
145 performed in all dogs with the aid of rongeurs or high-speed burr (Mehrkens *et al.*, 2021;  
146 Smeak, 2012), and a sample was then acquired for culture and sensitivity test from the  
147 bulla following curettage and lavage with sterile saline.

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#### 149 **Inclusion and exclusion criteria**

150 Dogs were included in the study if they underwent TECA-LBO surgery for the treatment  
151 of end-stage otitis media/externa. Bilateral single session TECA- LBO was classified as  
152 such if the two stages of the procedure were performed under the same anaesthetic event;  
153 these dogs were excluded from the study.

154 Dogs that underwent TECA-LBO with a comprehensive clinical record with an available  
155 description of the surgical approach and a follow-up period of at least 14 days were  
156 included in the study. The selected cases were divided into two groups according to the  
157 shape of the incision: T-group (T- shaped skin incision) and O-group (circular skin  
158 incision). Dogs were excluded from the study if the leading reason for performing TECA-  
159 LBO was a revision surgery, a diagnosis of para-aural abscess or if the histopathological  
160 diagnosis was consistent with neoplastic disease. Dogs with preoperative clinical signs of  
161 facial nerve neuropathy, preoperative vestibular signs, with incomplete medical record or  
162 missing surgical report were excluded.

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165 **Data reporting and statistic**

166 Using the above-mentioned criteria, data were recorded using a spreadsheet (Microsoft  
167 Excel 365, Microsoft Corporation, Redmond, WA).

168 Descriptive statistics were calculated between the O-group and the T-group in the period  
169 between surgery and the latest available follow-up (Microsoft Excel 365). All variables  
170 were tested to identify the association between the two groups and intraoperative and  
171 postoperative complications.

172 Intraoperative complications **included in this study for evaluation** were restricted to  
173 iatrogenic damage to the facial nerve and inner ear, and inadvertent laceration of the  
174 maxillary artery and vein, retroglenoid vein, internal and external carotid arteries.  
175 Postoperative complications included the development of facial nerve neuropathy,  
176 vestibular signs, wound complications, cosmetic alterations, pinna necrosis and para-aural  
177 abscess.

178 Categorical data were summarised as frequencies and percentages; significant associations  
179 were analysed using the exact Fisher test. Numerical variables were summarised with the  
180 median (and IQR); comparisons between two groups were performed with the Mann-  
181 Whitney rank-sum test for independent samples. All the analyses were performed using  
182 the open-source software R (R Studio), and a P value of less than 0.05 was considered  
183 statistically significant.

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189 **RESULTS**

190 **Study population**

191 Clinical records of 310 client owned dogs were retrospectively reviewed. A total of 154  
192 dogs were excluded for the following reasons: 35 due to a diagnosis of neoplasia, 27  
193 because of incomplete medical records, 73 underwent a single-stage bilateral procedure,  
194 and 19 presented with preoperative facial nerve paralysis.  
195 One-hundred and forty-two dogs met the inclusion criteria. Of these, 128 underwent  
196 unilateral TECA-LBO and 14 dogs underwent staged bilateral procedures. Each surgery  
197 was statistically evaluated singularly, accounting for a total of 156 TECA-LBO procedures  
198 (O-group 84/156; T-group 72/156).

199 Crossbreed dogs represented 15% (n=23) of the whole population, whilst French bulldog  
200 was the most represented brachycephalic breed 11% (n=17). The remainder of the breeds  
201 represented in the study were grouped in table 1.

202 A total of 26 dogs (17%), with even distribution between the two groups, were classified  
203 as extreme brachycephalic breeds according to the criteria previously established in other  
204 studies (O'Neill *et al.*, 2015; Banks *et al.*, 2023).

205 The population of this study included 98/156 (63%) male (51 intact [33%] and 47 neutered  
206 [30%]) and 58/156 (37%) female dogs (17 intact [11%] and 41 neutered [26%]). Mean  
207 body weight was 22.5 kg (range 6-73). There was no difference in distribution between the  
208 two groups regarding sex (P=0.182) or body weight (P=0.836); the mean age at  
209 presentation varied between the two groups, with dogs in the T-group undergoing surgery  
210 at an older mean age of 7.9 years (range 2-16) compared to 6.4 years (range 1-13) in the  
211 O-group (P=0.019).

212 Short-term follow-up (median 18 days, range 14–30 days) was available for 142/156 (91%)  
213 dogs; medium-term follow-up (median 120 days, range 30–365 days) was available for  
214 66/156 (42%) dogs, and long-term follow-up (median 812 days, range 367–2396 days)  
215 was available for 69/156 (44%) dogs. There was no significant difference in follow-up  
216 duration between the two groups.

217

### 218 **Preoperative clinical findings**

219 An ECVS diplomate was the primary surgeon in 99/156 (63.5%) cases (O-group [67/156,  
220 43%]; T-group [32/156, 20%]), being overrepresented in the O-group ( $P < 0.001$ ), while  
221 in 57/156 (36.5%) cases surgery was performed by surgical residents under direct  
222 supervision of an ECVS diplomate (O-group [17/156, 11%]; T-group [40/156, 26%]).  
223 Eight/156 dogs (5%) presented with inability to fully open the mouth with no difference  
224 in distribution between the two groups ( $P = 0.75$ ).

225 Computed tomographic study was performed in a total of 102/142 (72%) dogs to  
226 investigate the clinical signs and paired with otoscopic evaluation in 28/142 (20%) and  
227 with MRI in one (0.7%) dog. Magnetic resonance imaging was performed in 17/142 (12%)  
228 dogs and completed with otoscopy in one (0.7%) dog.

229 Surgery was performed in 37/142 (26%) dogs following the sole otoscopic evaluation of  
230 the ear canal in cases of severe stenosis of the ear canal.

231 Surgery was performed in 37/142 (26%) dogs based solely on otoscopic evaluation, in  
232 cases where chronic disease and severe ear canal stenosis were present.

233 The most common findings on CT scans included varying degrees of ear canal stenosis,  
234 dystrophic mineralization of the ear canal, and erosive changes in the bulla, with evidence

235 of middle ear disease on the contralateral ear. On MRI, the most frequently observed  
236 findings were middle ear effusion and otitis interna.

237 **Eighteen/156** dogs (**11.5%**) were previously diagnosed with skin disease of different  
238 aetiology. **Four/156** cases (**2.5%**) were affected by a medically controlled endocrinopathy  
239 (diabetes [n=1], Cushing's syndrome [n=1], and hypothyroidism [n=2]).

240

### 241 **Intraoperative findings**

242 Of the **156** procedures, **84 (54%)** were performed with a circular incision (O-group), and  
243 **72 (46%)** with a T-shape incision.

244 One-hundred and **twenty-eight** dogs/**156 (82%)** underwent unilateral TECA-LBO (O-  
245 group [**80/156, 51%**]; T-group [**62/156, 40%**]). The remainder **14/156 (9%)** dogs (O-group  
246 [**4/156, 2.5%**]; T-group [**10/156, 6.5%**]) underwent staged bilateral procedures. Surgery  
247 was performed on the right ear in **69/156 (44%)** patients, and on the left ear in **87/156**  
248 (**56%**) dogs with no difference in distribution within the groups.

249 Each anaesthetic protocol was independently tailored by the anaesthetist in charge of the  
250 single case. Mean anaesthetic time was **168** minutes (range **90-320**) (O-group **158** minutes  
251 [range **90-300** minutes]; T-group **191** minutes [range **95-320** minutes]), with the T-group  
252 associated with a longer anaesthetic time (P= **0.007**).

253 **Prophylactic antibiotics** was performed with cefuroxime in **95/156 (60.9%)** dogs (O-group  
254 [**66/156, 42.3%**]; T-group [**29/156, 18.6%**]), cefazolin in **45/156 (28.8%)** dogs (O-group  
255 [**18/156, 11.5%**]; T-group [**27/156, 17.3%**]), and potentiated amoxicillin in **16/156 (10.2%)**  
256 **dogs** (O-group [**3/156, 1.9%**]; T-group [**13/156, 8.3%**]).

257 Surgical time was 89 minutes (range 35-200) (O-group 79 minutes [range 35-175 minutes];  
258 T-group 108 minutes [range 60-200 minutes]), where a longer surgical time was recorded  
259 in the T-group ( $P < 0.001$ ).

260 Intraoperative complications occurred in 17/156 (11%) dogs, with a positive association  
261 with the circular incision ( $P = 0.04$ ).

262 The facial nerve was accidentally transected during the procedure in four/156 (2.5%) dogs,  
263 two (1%) in the O-group, and two (1.3%) in the T-group. This finding was not statistically  
264 significant ( $P = 0.63$ ).

265 Intraoperative bleeding occurred in 13/156 (8%) dogs, of which 11 (7%) in the O-group,  
266 and two (1%) in the T-group, with a statistically significant association observed between  
267 the circular shape and the occurrence of intraoperative blood vessel damage ( $P = 0.03$ ).

268 Most of the hemorrhages encountered in surgery 11/156 (7%) (O-group [9/156, 6%]; T-  
269 group [two/156, 1%]) were considered grade 1 complications whilst two/156 (1.3%) dogs,  
270 both belonging to the O-group, required blood transfusion and therefore considered grade  
271 2 complications.

272 None of the dogs included in the study had surgical drains placed intraoperatively.

273 Intraoperative complications were listed in the table 2.

274

### 275 **Postoperative clinical findings and outcome**

276 In the population of this study, 60/156 (38.6%) dogs suffered complications related to  
277 surgery, of which 30/156 (19.2%) in the O-group, and 36/156 (23.1%) in the T-group; with  
278 a positive association between T-shaped incision and postoperative complications  
279 ( $P = 0.016$ ).

280 Thirty-one/156 (20%) dogs developed facial nerve neuropathy post-operatively, where  
281 22/156 (14.1%) dogs belonged to the O-group, and 9/156 (5.8%) to the T-group, with  
282 statistically significant association between the shape of the incision the development of  
283 facial nerve neuropathy (P=0.049).

284 Clinical signs resolved within the short-term follow-up period in the majority of dogs.  
285 Persistent clinical signs were documented in seven dogs, with follow-up available as long-  
286 term in four cases, and short-term in three cases (median 365 days; range 14–487 days).

287 Five/156 (3.2%) dogs, all from the O-group, developed vestibular signs postoperatively  
288 (P=0.054); the clinical signs were recorded as mild consisting of the sole head tilt and  
289 resolved at the latest follow-up in all dogs.

290 Wound complications occurred in 31/156 (20%) dogs, eight/156 (5%) of which belonged  
291 to the O-group and 23/156 (15%) to the T-group, with a positive association between the  
292 T-shaped incision and the onset of postoperative wound-related complications (P=<0.001).

293 Four/156 (2.6%) dogs (three in the T-group and one in the O-group) developed major  
294 wound dehiscence and one/156 (0.6%) dog in the T-group developed pinna necrosis  
295 requiring total pinnectomy, these cases were classified as grade 3 complications requiring  
296 revision surgery. The remaining complications included grade 1 events in 22/156 dogs  
297 (14.1%), consisting of self-limiting conditions such as seroma formation and partial wound  
298 dehiscence managed by secondary intention healing, and grade 2 complications in 4/156  
299 dogs (2.6%) that required multiple courses of antibiotics due to persistent infection.

300 Cosmetic alteration of the ear carriage was reported in 10/156 (6.4%) procedures, with  
301 four (2.6%) dogs in the O-group, and six (3.9%) in the T-group; with no statistically  
302 significant difference between the two groups (P=0.75).

303 A diagnosis of para-aural abscess was recorded in **nine/156 (5.8%) dogs, five/156 (3.2%)**  
304 in the O-group and **four/156 (2.6%)** in the T-group, (P=0.61). **For these nine dogs, medium**  
305 **and long-term follow-up was available in three and six dogs respectively (median 495;**  
306 **range 150-1825 days).**

307 **Postoperative** complications in relation to the shape of the incision were listed in the table  
308 3 and 4.

309

### 310 **Aftercare**

311 The **mean** length of hospitalisation was **1.6** days (range **0-4**) (O-group mean **1.6** days  
312 [range **1-4** days]; T-group mean **1.6** days [range **0-4** days]).

313 Histopathological evaluation of the ear canal was requested in **103/156 (66%) dogs,**  
314 confirming the diagnosis of end-stage otitis.

315 Culture and sensitivity test was performed in **112/156 (72%)** of the procedures. Samples  
316 harvested prior closure yielded a positive culture in **82/156 (53%)** of the cases, whilst  
317 **30/156 (19%)** samples were found negative for microbiological growth.

318 Of the positive cultures, **62/156 (40%)** isolated a single microorganism, whilst two or more  
319 microorganisms were found in **20/156 (13%)** of the samples. *Staphylococcus spp* were the  
320 most frequently encountered microorganisms accounting for **41/156 (26%)** of the positive  
321 cultures. The remainder of the isolated microorganisms were *Pseudomonas* **25/156 (16%),**  
322 *Proteus spp* **13/156 (8%),** *Streptococcus spp* **8/156 (5%),** *Escherichia coli* **7/156 (4%)**; the  
323 population of microorganisms is detailed in table 5.

324 **Empirical antibiotics** was started in **121/156 (77.6%)** of the dogs (O-group [**71/156, 45.5%**];  
325 **T-group [50/156, 32%]).**

326 Postoperative antibiotic therapy was changed according to the culture and sensitivity  
327 results in 50/156 (9.6%) dogs (O-group [24/156, 15%]; T-group [26/156, 16.6%]) and  
328 consisted in the use of a single antibiotic in 19/156 (12.2%) of the cases and in a  
329 combination of two antibiotics in 15/156 (9.6%) dogs (O-group [5/156, 3.2%]; T-group  
330 [10/156, 6.4%]). In seven/156 (4.5%) (O-group [3/156, 3.8%]; T-group [4/156, 2.5%])  
331 dogs, the cultures showed a profile of antibiotic resistance.

332 Potentiated amoxicillin was the most prescribed antibiotic where an oral course was started  
333 in 49% of the dogs (n= 77/156), followed by cephalexin 26% (n= 41/156), marbofloxacin  
334 17% (n= 26/156), enrofloxacin 2% (n= 3/156), amikacin 2% (n= 3/156), doxycycline 0.6%  
335 (n= 1/156).

336 Antibiotic treatment was prescribed for a mean of 13 days (range 5-37). No statistical  
337 difference in infection rate nor length of treatment was found in the two groups.

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350 **DISCUSSION**

351 TECA-LBO has been considered a technically challenging procedure, requiring familiarity  
352 with the technique and a thorough understanding of the neurovascular structures  
353 surrounding the ear canal (Smeak, 1986; Sharp, 1990).

354 Iatrogenic injury to these structures has been proposed to result from restricted surgical  
355 access and limited intraoperative visualisation, and some authors have hypothesised that a  
356 T-shaped incision may offer a wider surgical field and improved exposure of the ear canal,  
357 thereby reducing the risk of neurovascular injury (Smeak, 2011). However, others authors  
358 have suggested that various modifications of the technique can be performed with  
359 comparable success rates (Lanz et al., 2004; Smeak, 2012).

360 Although frequently discussed among surgeons, the shape of the incision and its  
361 association with the incidence of surgical complication have not been evaluated yet, with  
362 surgeon preference driving the decision making.

363 In this study we examined the complication rate associated with TECA when comparing  
364 two different surgical approaches, a circular versus a T-shaped incision.

365 Our findings suggest that the shape of the surgical incision may play a role in the  
366 development of intraoperative and postoperative complications, with the circular incision  
367 more frequently associated with intraoperative vascular injury and postoperative facial  
368 nerve neuropathy, while the T-shaped incision may be linked to a higher occurrence of  
369 wound-related complications.

370 According to Smeak, intraoperative bleeding was a rarely reported complication occurring  
371 following accidental damage of the retroarticular vein, the external carotid artery,  
372 maxillary vein, or the internal carotid artery (Smeak, 2011).

373 In our population, intraoperative bleeding occurred in 13/156 (8%) dogs, 11 (7%) in the  
374 O-group, and two (1%) in the T-group, with a statistically significant association found  
375 between the O-group and the incidence of intraoperative bleeding (P=0.03).

376 These results supported the hypothesis that a more aggressive use of retractor devices  
377 may result in the alteration of the normal anatomical landmarks within the surgical field,  
378 leading to accidental vascular injury. Notably, all cases of major bleeding that required  
379 blood transfusion occurred in the O-group, suggesting that vessel control may have been  
380 more challenging in these cases, potentially due to limited exposure or altered tissue  
381 handling associated with this incision type, although surgeon experience and anatomical  
382 variation may also have contributed.

383 In our study 31/156 (20%) dogs were affected by facial nerve neuropathy following TECA-  
384 LBO, with a statistically significant but marginal association between the circular incision  
385 and facial nerve neuropathy (P=0.049).

386 This finding was in line with the current literature where it was reported between 13 and  
387 48.9% (Spivack *et al.*, 2013; Chan *et al.*, 2020), but despite its frequency, intraoperative  
388 factors affecting the incidence of facial nerve damage are yet to be found, and individual  
389 surgical technique or patient-specific variables, may play a significant role (Spivack *et al.*,  
390 2013; Chan *et al.*, 2020).

391 It is possible that a limited surgical approach necessitated more forceful use of retractor  
392 devices, which, combined with reduced visualization, may have contributed to iatrogenic  
393 facial nerve injury through compression or imprecise tissue handling, likely resulting in  
394 neuropraxia, with a transient interruption of nerve function and conduction without  
395 reaching complete axonal degeneration.

396 Spivack et al. (2013) reported that although facial nerve deficits occurred in 48.9% of dogs  
397 post-TECA-LBO, only 10.5% of these resulted in permanent clinical signs, with resolution  
398 taking up to 13 weeks in some cases; in the same study a minimum follow-up period of  
399 one year was used in that study to distinguish temporary from permanent deficits (Spivack  
400 *et al.*, 2013).

401 In our study, facial nerve deficits were still present in seven dogs at the latest follow-up.  
402 Long term follow-up suggested that at least four of these cases were likely to be permanent,  
403 however, for the remaining three dogs, where only short-term follow-up was available, it  
404 is possible that those deficits, although considered persistent at the time, might have  
405 resolved with a longer observation period.

406 Wound complications occurred in 31/156 (20%) of the cases, with a higher incidence  
407 recorded in the T-group ( $P < 0.001$ ), where in five dogs revision surgery was required and  
408 these were therefore considered grade 3 complications, with no significant difference in  
409 distribution within the groups. The vast majority of wound complications appeared to be  
410 minor and were managed either medically or conservatively with no impact on the final  
411 outcome.

412 The authors' hypothesis was that the additional incision and consequent dissection of  
413 subcutaneous tissues and muscle required for the T-shaped approach along with the tension  
414 at the junction between the horizontal and vertical portions may compromise wound  
415 closure and increase the risk of dehiscence, breakdown, or infection, particularly if the skin  
416 edges are not perfectly apposed.

417 In our study, 9/156 (5.8%) dogs developed para-aural abscesses within a median follow-  
418 up time of 495 days (range: 150–1825 days), similarly to what was previously described.

419 The development of para-aural abscess was previously linked to incomplete removal of  
420 the epithelial lining following lateral bulla osteotomy, and the clinical signs **were reported**  
421 **to** develop in a period ranging from 3 to 24 months following TECA-LBO surgery (Holt  
422 *et al.*, 1996; Spivack *et al.*, 2013; Smeak, 2016).

423 **For the population of this study, a long-term follow-up beyond one year was available in**  
424 **only 44% of cases, suggesting that para-aural abscesses may have been underreported,**  
425 **therefore, the true incidence of para-aural abscess formation in this cohort of dogs may**  
426 **have been higher than observed, highlighting the importance of extended postoperative**  
427 **monitoring in future studies.**

428 The loss of support following the ablation of the external auditory meatus is a significant  
429 concern for owners of dogs with erect ears. In our study, **10** dogs developed **an** abnormal  
430 ear carriage, which appeared to be independent of the incision shape. However, due to  
431 the retrospective nature of this study, the severity of the cosmetic alteration in ear  
432 carriage could not be determined from the medical records.

433 Several surgical techniques have been described in the literature to preserve ear carriage  
434 in dogs with erect ears, **to** include the inverted U-V shaped incision, first proposed by  
435 Venker-van Haagen in 1983, in which **a** skin incision **is performed** from the intertragic  
436 incisure to the ventral limit of the vertical ear canal, and from the tragohelicine incisure  
437 to the same ventral point. **Closure** is achieved by folding the caudal part of the pinna  
438 forward and performing routine reconstruction **resulting in a more rigid base** (Venker-  
439 van Haagen, 1983). Alternatively, a ventrally based single-pedicle advancement flap has  
440 been described in cats to relieve tension and help preserve ear carriage (McNabb *et al.*,  
441 2004).

442 While these techniques offer alternative approaches to ear canal ablation, a direct  
443 comparison with the more commonly employed T-shaped and circular incisions  
444 regarding the incidence of complications is not currently possible due to the limited  
445 published evidence on this topic.

446 A positive association between length of anaesthetic time and the incidence of  
447 postoperative complications was previously reported by Beal et al in 2000 (Beal *et al.*,  
448 2000).

449 In the current study, the T-group exhibited longer anaesthetic ( $P=0.007$ ) and surgical  
450 ( $P<0.001$ ) times, and this group also included a higher proportion of residents in training.  
451 These findings may help explain the marginally higher rate of postoperative complications  
452 observed, possibly due to differences in surgical technique, tissue handling, and overall  
453 procedural efficiency associated with less experienced surgeons.

454 Samples for culture and sensitivity testing were acquired following curettage and lavage  
455 of the bulla with sterile saline to collect the most representative sample (Beckman *et al.*,  
456 1990). Given the fact that TECA-LBO is considered a contaminated surgical procedure, in  
457 most cases empirical antibiotic prophylaxis was started before the results of the  
458 bacteriology became available, and the course of antibiotics was then either continued or  
459 suspended according to those results.

460 The authors of the present study restricted case selection on canine patients diagnosed with  
461 end-stage otitis as other indication for surgery, such as neoplasia, tympanokeratoma,  
462 severe ear trauma, or congenital abnormalities were reported to have a higher incidence of  
463 short- and long-term complications (Hardie *et al.*, 2008; Coleman *et al.*, 2016). These

464 conditions were therefore excluded to provide a more reliable comparison of complication  
465 rates specific to the surgical approach.

466 In the present study, statistical analysis revealed no significant differences between the two  
467 groups regarding sex ( $P=0.182$ ) and bodyweight ( $P=0.836$ ) distribution; however, it was  
468 noted that the T-group exhibited a greater average age when compared to the O-group  
469 ( $P=0.019$ ). Despite this age disparity, we believe that this finding was unlikely to impact  
470 the overall conclusions of the study, as the primary outcomes were not influenced by age-  
471 related factors.

472 In most of the dogs in this study (72%), computed tomographic study was the preferred  
473 imaging modality to investigate the ear disease; this preference is primarily due to the CT  
474 ability to assess bony structures, such as detecting lysis of the tympanic bulla or petrosal  
475 temporal bone, which are common findings in conditions like cholesteatoma (Travetti *et*  
476 *al.*, 2010).

477 While MRI is considered superior to CT for the evaluation of the soft tissue components  
478 such as the membranous labyrinth, cerebrospinal fluid, and neural structures, allowing  
479 better differentiation between intra- and extracranial causes of cranial nerve deficits  
480 (Garosi *et al.*, 2003), it is generally reserved for cases with neurological signs that require  
481 more in-depth investigation due to its limited availability, longer anesthetic times, and  
482 higher costs (Dvir *et al.*, 2000).

483 In 26% of dogs, video otoscopy alone was used as the sole imaging modality. In these  
484 cases, financial limitations may have influenced the decision to forgo advanced imaging  
485 such as CT or MRI. However, this is unlikely to have introduced significant surgical  
486 challenges, as the approach for dogs with end-stage otitis remains consistent regardless of  
487 imaging modality. While limited imaging may carry a theoretical risk of missing

488 concurrent pathology such as neoplasia, review of the complete medical records and  
489 histopathology findings revealed no cases where this impacted the surgical outcome or  
490 postoperative management.

491 The main limitation of this study remained its multi-institutional retrospective nature; this  
492 led to a higher variability in case management and to the inclusion of a larger number of  
493 clinicians, with different levels of experience.

494 Another important limitation of this study is the potential bias in the observed wound  
495 complication rate, which may be influenced by the fact that many dogs enrolled had likely  
496 received multiple courses of topical and/or systemic antibiotics prior to presentation. These  
497 previous treatments could have contributed to the development of multi-drug-resistant  
498 organisms or reduced the effectiveness of subsequent antibiotic therapy, thereby  
499 potentially impacting clinical outcomes.

500 The first 14 days were identified by Smeak in 2011 as the period with the highest incidence  
501 of postoperative complications, to include incisional complications, seroma formation,  
502 cellulitis, and neurological signs, ranging between 8 and 31% (Smeak, 2011).

503 Although in this study the patient's recovery was monitored for a minimum of 14 days  
504 after surgery, and therefore within our study period for all our patients, late-onset  
505 complications and recurrence might have potentially not been captured.

506 This represents a limitation of the study, as it may underestimate the full spectrum of  
507 postoperative complications; however, given the established pattern of complication  
508 timing, we believe this limitation is relatively minor in the context of the primary outcomes  
509 of the study.

510 In conclusion, performing TECA-LBO via a T-shaped incision, as originally described,  
511 appeared to be associated with a higher risk of wound complications, while the circular

512 incision was linked to a greater incidence of intraoperative bleeding and postoperative  
513 facial nerve neuropathy. The findings of this study suggested that the incision shape may  
514 have influenced the development of intraoperative and postoperative complications,  
515 although most were minor and self-limiting.

516

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523

#### 524 **CONFLICT OF INTEREST**

525 The authors declare no conflicts of interest related to this report.

526

527 **Ethical approval.** This work involved the use of non-experimental animals only (owned  
528 or unowned) and followed recognized high standards of individual veterinary clinical  
529 patient care. Ethical approval from a committee was not therefore needed.

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### 630 **Figure Legends**

631 **Figure 1.** A circular incision (O-group) is made around the external acoustic meatus,  
632 starting just below the tragus and extending cranially to the helix and caudally to the  
633 antitragus.

634 **Figure 2.** A T-shape incision (T- group) is made starting at the tragus, extending ventrally  
635 above the vertical ear canal

636

### 637 **Table Legends**

638

639 **Table 1.** Breeds

640 **Table 2.** Incidence of intraoperative complications in relation to the shape of the incision.

641 **Table 3.** Incidence of postoperative complications in relation to the shape of the incision.

642 **Table 4.** Wound complications.

643 **Table 5.** Isolated microorganisms.