



Upfront osimertinib and as sequential therapy in patients with *EGFR*-mutant non-small cell lung cancer (NSCLC): benefit across patients groups in a real-world retrospective cohort—the smile study

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Background: Osimertinib is the preferred first-line (L1) treatment for epidermal growth factor receptor-mutant (*mEGFR*) advanced non-small cell lung cancer (aNSCLC). Intensification of L1 with chemotherapy or amivantamab has shown improved outcomes at the cost of increased toxicity, raising questions about the optimal patients selection. A sequence involving first-generation tyrosine kinase inhibitor (TKI) (1G) followed by osimertinib might be considered. This study assessed the efficacy of these therapeutic strategies based on the clinical profiles of a real-life cohort.

Methods: Retrospective multicenter study including consecutive patients with *mEGFR* (ex19/ex21) aNSCLC treated with either osimertinib or the sequence of 1G followed by osimertinib (“sequence group”). Central nervous system (CNS) metastases were permitted. We assessed progression-free survival (PFS) of the global strategy (PFSglob) defined as the time between L1 start and progression after L2 treatment or death. Secondary endpoints were overall survival (OS), PFS of the L1 treatment, and tumor response according to each center daily practice [objective response rate (ORR) and disease control rate (DCR)].

Results: A total of 300 patients with *mEGFR* aNSCLC were enrolled (n=161 in the osimertinib group, n=139 in the sequence group). Baseline characteristics in both groups were similar except for baseline CNS

involvement (41% in osimertinib-group *vs.* 25%), poor performance status (PS) ≥ 2 (21% *vs.* 10%) and high-tumor burden (TB), defined as >3 metastatic sites or CNS involvement (51% *vs.* 35%). The osimertinib group had longer median first-line PFS (PFS1; 19.0 *vs.* 16.8 months, $P=0.03$). The sequence group had improved PFSglob *vs.* the osimertinib-group (32.4 *vs.* 26.5 months, $P=0.04$) but this difference was not significant in multivariate Cox analysis (adjusted on age, smoking history, number of metastatic sites, liver, CNS and soft tissue metastasis, and PS) nor after a propensity score matching analysis, osimertinib upfront was associated with better PFSglob in the poor-prognosis groups: high-TB, CNS or liver involvement and poor PS.

Conclusions: In this real-life study we showed that osimertinib upfront demonstrated prolonged PFS1 *vs.* 1G followed by osimertinib, with better PFSglob in patients with poor-prognosis *mEGFR* aNSCLC. This study raises the question of patients selection and treatment tailoring for the first line management of metastatic *mEGFR* non-small cell lung cancer (NSCLC).

Keywords: Epidermal growth factor receptor (EGFR); non-small cell lung cancer (NSCLC); osimertinib; tyrosine kinase inhibitor (TKI)

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Introduction

Lung cancer stands as the leading cause of cancer-related death worldwide (1). The identification of driver molecular alterations in oncogenes involved in lung cancer development marked a major breakthrough in therapeutic strategy. The subsequent development of various generations of targeted therapies demonstrated improvements in survival and quality of life, with a favorable safety profile in patients harboring such alterations.

Epidermal growth factor receptor (EGFR) mutations are found in approximately 10–15% of advanced non-small cell lung cancer (aNSCLC) cases in European populations and 50% in Asian populations. The two most prevalent alterations of the *EGFR* gene are exon 19 deletions and exon 21 L858R mutations (2). Tumors with these mutations exhibit sensitivity to EGFR tyrosine kinase inhibitors (TKIs). The initial therapies showing a survival benefit over chemotherapy in patients with *EGFR*-mutant (*mEGFR*) advanced NSCLC were first-generation TKIs (erlotinib, gefitinib) (3-5). Subsequently, second and third-generation TKIs were developed.

Osimertinib, a third-generation TKI, was initially administered upon progression on erlotinib or gefitinib if patients developed the T790M resistance mutation (6). Moreover, osimertinib demonstrated superiority over first-generation TKIs, including in brain metastases, in the first-line setting in 2018 becoming the preferred upfront therapy in this population since then (7,8).

Recently, new strategies intensifying first-line treatment with osimertinib plus platinum-based chemotherapy or lazertinib with amivantamab have been reported with improved progression-free survival (PFS) (9,10). However, these combinations also induce more toxicity, raising questions about the optimal patient selection. For now, osimertinib remains the standard of care.

Indeed, in a selected population, treatment with osimertinib as monotherapy upfront, and particularly as

Highlight box

Key findings

- Osimertinib should be prescribed upfront for patients with advanced epidermal growth factor receptor (*EGFR*)-mutant non-small cell lung cancer (NSCLC) who have central nervous system (CNS) or liver metastases, or a poor performance status.

What is known and what is new?

- Osimertinib, as well as first- and second-generation tyrosine kinase inhibitors, are recommended as possible first-line treatments for *EGFR*-mutant NSCLC.
- Intensification of first-line treatment (e.g., chemotherapy with osimertinib or amivantamab-lazertinib) can be proposed for certain subgroups.

What is the implication, and what should change now?

- A sequential treatment strategy could be explored for patients with a low tumor burden or no CNS metastases.

sequential therapy, has demonstrated the potential for achieving significantly prolonged PFS and overall survivals (OS) (11). Although the use of osimertinib as sequential therapy in T790M+ tumors is not a common practice, the sequence strategy involving the use of a first-generation TKI followed by osimertinib (if T790M+ mutation is present) could still be considered as an option for certain patients. This is supported by the recent findings from the APPLE trial, which demonstrated promising outcomes in this specific patient group (12). Unfortunately, the specific profile of this “responder” population remains unknown. Exploring subgroups potentially benefiting of sequential anti-EGFR treatment is clinically interesting as it allows patients to stay on oral and well tolerated drugs as long as possible. In the real-world international multicenter SMILE study, we aimed to assess the efficacy of osimertinib upfront and as sequential therapy based on the clinical profile of patients with *mEGFR* advanced NSCLC. We present this article in accordance with the STROBE reporting checklist (available at <https://tldr.amegroups.com/article/view/10.21037/tlcr-24-881/rc>).

Methods

Study design and population

Retrospective multicenter study (15 centers in France, Italy, Spain, Belgium and Argentina) enrolling patients with histologically confirmed untreated advanced NSCLC harboring exon 19 or 21 *EGFR* sensitizing mutations treated with osimertinib upfront or as sequential therapy after 1st or 2nd generation of EGFR-TKI from November 2020 to July 2022. Central nervous system (CNS) metastases were permitted.

We classified the population in two groups: the sequence group that received first generation TKI followed by osimertinib, and the osimertinib group that received osimertinib upfront.

Patients' follow-up was conducted in according to each centers' daily practice until data collection.

Data were registered in an electronic Case Report Form (CRF) from each patients' medical record. The study was conducted in accordance with the Declaration of Helsinki and its subsequent amendments. This study was approved by the internal ethics committee at Gustave Roussy (IRB number 2021-01) and in each center according to the local rules. No consent to participate was needed for participation as it is a retrospective study.

Endpoint definition

The primary endpoint was the PFS of the global strategy (PFS_{glob}) defined as the time between the initiation of first line and the progression or death under second line, whichever occurred first. Secondary endpoints included first-line PFS (PFS1) and OS. PFS1 was defined as the time between the start of first line and progression or death under first line treatment, whichever occurred first. OS was defined as the time between the initiation of first line treatment start and death from any cause (Figure S1).

Tumor response was evaluated according to each center's daily practice. The disease control rate (DCR) was defined as the percentage of patients who achieved complete response (CR), partial response (PR) and stable disease (SD) and objective response rate (ORR) was defined as the percentage of patients who achieved CR or PR.

Statistical analysis

Median values (interquartile range) and frequencies (percentage) were provided for descriptions of continuous and categorical variables, respectively. Mean and proportions were compared using the Student's *t*-test and chi-square test (or Fisher's exact test, if appropriate), respectively.

PFS_{glob}, PFS1 and OS were estimated using the Kaplan-Meier method and described using median values with their 95% confidence intervals (95% CIs) or percentage at key time points. Follow-up was calculated using the reverse Kaplan-Meier method.

Variables associated with survival were assessed with univariate and multivariate Cox models.

A sensitivity analysis was performed to consider the imbalance between the two treatment groups. Hence, we constructed a propensity score using the MatchIt package of R. In this score we included all statistically imbalanced clinical characteristics between the two groups and matched patients from the two groups according to the propensity score (1:1 matching). We then validated the endpoints analysis on this matched cohort.

We analyzed the following prognostic groups: high/low TB (high-TB; >3 metastatic sites or CNS involvement), presence/absence of CNS involvement, poor performance status (PS ≥ 2) vs. good (PS 0–1), and presence/absence of liver metastases.

Regarding missing data, no imputation was made, and variables with more than 20% of missing data were

discarded.

All statistical analyses were performed with R studio, P values <0.05 were considered statistically significant.

Results

Study population

A total of 300 patients with mEGFR advanced NSCLC were enrolled in our study (Figure S2). In this cohort 66.3% were female, 58.3% non-smokers, with 51.3% older than 65 years (Table 1). Osimertinib was administered as the first-line treatment in 161 patients, while 139 patients received osimertinib in the second-line setting (sequence group). Baseline CNS involvement was observed in 33.8% of the patients and liver metastasis in 14.1% of the patients.

In the sequence group, first-line TKI was gefitinib, erlotinib, afatinib or dacomitinib in 35.3%, 33.8%, 26.6% and 0.7% of the patients, respectively. Baseline characteristics in both groups were similar except for baseline CNS involvement (41.2% in osimertinib-group *vs.* 25.2%), poor PS ≥ 2 (21.3% in osimertinib-group *vs.* 9.2%) and high tumor burden (TB) (51.3% in osimertinib-group *vs.* 34.6%).

The characteristics of the population included in the propensity score is summarized in Table S1.

Survival endpoints

After a follow up of 36.1 months (95% CI: 32.0–40.5), median PFSglob was 30.4 months (95% CI: 26.5–34.2), while the median OS and PFS1 were 41.2 months (95% CI: 35.2–51.2) and 18.0 months (95% CI: 16.0–20.4), respectively (Table 2).

The median PFSglob was 26.5 months [95% CI: 20.9–not reached (NR)] in the osimertinib group versus 32.4 months (95% CI: 29.4–38.4) in the sequence group (P=0.04). The 18 months PFSglob rates were 56.2% (95% CI: 47.9–65.9) and 65.9% (95% CI: 58.4–74.3) in the osimertinib and sequence group, respectively (Table 2).

Median PFS1 was 19.0 months in the osimertinib-group *vs.* 16.8 months in the sequence-group (P=0.03), and median OS was NR in the osimertinib group *vs.* 43.8 months in the sequence-group (P=0.006). The 18 months rates are displayed in Table 2.

In multivariable analysis, after adjustment on age, smoking history, number of metastatic sites, liver, CNS and soft tissue metastasis, and PS, we did not find any

difference between osimertinib upfront and second line use for the PFSglob and OS (sequence *vs.* osimertinib: HR for PFSglob 0.89, 95% CI: 0.59–1.35, P=0.59; HR for OS 0.71, 95% CI: 0.44–1.17, P=0.18). There was a benefit in the use of osimertinib upfront in term of PFS1 (sequence *vs.* osimertinib: HR for PFS1 1.93, 95% CI: 1.34–2.76). These results are summarized in Table S2. In the sensitivity analysis using a propensity score, no difference in survival endpoint was observed between the two treatment groups (Table 3).

Response endpoints

Two hundred and five patients were evaluable for response. In this population, ORR was 83.7%, and DCR was 96.9% (Table 2). No differences were observed in terms of ORR between the osimertinib and the sequence groups (80.9% *vs.* 87.0%, respectively; P=0.15). DCR was also comparable (95.5% in osimertinib group *vs.* 98.6% in sequence group, P=0.18).

No difference in response rates was observed in the matched propensity score cohort (Table 3).

Subgroups analysis

Finally, we explored the effect of osimertinib upfront in subgroups of interest. Osimertinib upfront was associated with better PFSglob in the poor-prognosis groups (Figure 1): high-TB, CNS or liver involvement and poor PS. In contrast, the sequence group had improved PFSglob in patients with low-TB (38.5 *vs.* 35.5 months, P=0.05), no liver (36 *vs.* 30 months, P=0.02) or CNS involvement (37.9 *vs.* 35.5 months, P=0.07). These results are summarized in Table S3.

Discussion

In this retrospective international multicenter study, we observed similar outcomes in a cohort of 300 patients treated for mEGFR NSCLC when comparing upfront osimertinib with a sequence strategy in term of global PFS. However, the use of osimertinib in the first-line setting demonstrated superiority in term of PFS1 compared to a sequence strategy. Poor prognosis subgroups, including patients with poor PS, CNS and liver involvement, and high TB, derived greater benefit from upfront osimertinib. In contrast, the sequence strategy showed similar outcomes in patients without CNS involvement or low TB.

Table 1 Baseline characteristics of the study population

Characteristics	Overall sample (N=300)	Osimertinib group (N=161)	Sequence group (N=139)	P
Age, >65 years	154 (51.3)	83 (51.6)	71 (51.1)	0.93
Gender, female	199 (66.3)	98 (60.9)	101 (72.7)	0.03
Smoking history				0.52
Former	96 (33.3)	57 (35.8)	39 (30.2)	
Never smoker	168 (58.3)	88 (55.3)	80 (62.0)	
Current	24 (8.4)	14 (8.9)	10 (7.8)	
Missing	12	2	10	
Type of EGFR mutation [†]				
Exon 19	195 (65.0)	99 (61.5)	96 (69.1)	0.17
Exon 21	106 (35.3)	59 (36.6)	47 (33.8)	0.61
Exon 20 co-mutation	13 (4.3)	7 (4.3)	6 (4.3)	0.99
<i>TP53</i> co-mutation [†]				<0.01
Not performed	142 (51.6)	63 (42.0)	79 (63.2)	
Yes	27 (9.8)	18 (12.0)	9 (7.2)	
Missing	25	11	14	
Stage at EGFR at time of initiation of TKI [†]				–
IV	276 (94.5)	149 (94.3)	127 (94.8)	
Missing	8	3	5	
Number of metastatic sites [†]				0.71
>3	68 (23.2)	38 (24.1)	30 (22.2)	
Missing	7	3	4	
Metastatic location [†]				
CNS	101 (33.8)	66 (41.2)	35 (25.2)	<0.01
Missing	1	1	0	
Liver	42 (14.1)	23 (14.4)	19 (13.8)	0.88
Missing	2	1	1	
Pleura	100 (33.6)	52 (32.3)	48 (35.0)	0.62
Missing	2	0	2	
Lung	156 (52.0)	77 (47.8)	79 (56.8)	0.12
Bone	156 (52.0)	84 (52.2)	72 (51.8)	0.95
Soft tissue	7 (2.3)	4 (2.5)	3 (2.2)	>0.99
Missing	2	1	1	
Tumor burden [†]				<0.01
High	128 (43.5)	81 (51.3)	47 (34.6)	
Missing	6	3	3	
ECOG PS [†]				0.01
≥2	39 (16.3)	30 (21.3)	9 (9.2)	
Missing	61	20	41	

Data are presented as n (%) or number. [†], patients could have comutations. Tumor burden, defined as high if >3 metastatic sites or CNS involvement. CNS, central nervous system; ECOG PS, Eastern Cooperative Oncology Group performance status; EGFR, epidermal growth factor receptor; TKI, tyrosine kinase inhibitor.

Table 2 Survival and response endpoints in the overall cohort and according to treatment group before the propensity score matching

Variables	Whole cohort (n=300)	Osimertinib (n=161)	Sequence (n=139)	P
PFSglob				0.04
Median, months	30.4 (26.5–34.2)	26.5 (20.9–NR)	32.4 (29.4–38.4)	
18 months rate, %	71.7 (66.5–77.2)	56.2 (47.9–65.9)	65.9 (58.4–74.3)	
24 months rate, %	60.1 (54.3–66.4)	55.5 (47.1–65.2)	44.7 (37.0–54.0)	
OS				<0.01
Median, months	41.2 (35.2–51.2)	NR (25.9–NR)	43.8 (38.7–54.0)	
18 months rate, %	83.0 (78.7–87.5)	74.9 (68.0–82.4)	91.4 (86.8–96.2)	
24 months rate, %	70.9 (65.5–76.7)	62.5 (54.2–72.0)	79.5 (73.0–86.6)	
PFS1				0.03
Median, months	18.0 (16.0–20.4)	19.0 (16.1–26.5)	16.8 (14.1–19.8)	
18 months rate, %	50.0 (44.4–56.3)	53.3 (45.5–62.4)	47.1 (39.4–56.3)	
ORR, %	83.7	80.9	87.0	0.15
DCR, %	96.9	95.5	98.6	0.18

Data in parentheses are presented as (95% CI). CI, confidence interval; DCR, disease control rate; NR, not reached; ORR, objective response rate; OS, overall survival; PFS1, progression-free survival for first line; PFSglob, progression-free survival of the global strategy.

Table 3 Survival and response endpoints in the overall cohort and according to treatment group after the propensity score matching

Variables	Propensity matched cohort (n=192)	Osimertinib (n=96)	Sequence (n=96)	P
PFSglob				0.30
Median, months	31.2 (25.9–37.3)	30.1 (23.9–NR)	32.4 (27.1–38.5)	
18 months rate, %	73.0 (66.7–79.8)	64.7 (55.0–76.1)	80.2 (72.6–88.6)	
24 months rate, %	60.3 (53.3–68.2)	58.2 (47.9–70.6)	63.2 (54.2–73.7)	
OS				0.10
Median, months	43.4 (38.1–59.1)	NR (26.4–NR)	44.9 (39.4–64.2)	
18 months rate, %	85.2 (80.2–90.5)	78.1 (69.8–87.4)	91.7 (86.3–97.4)	
24 months rate, %	72.5 (66.0–79.6)	67.2 (57.3–78.7)	77.8 (69.8–86.7)	
PFS1				<0.01
Median, months	17.8 (15.3–21.2)	23.3 (16.1–NR)	16.5 (13.0–20.4)	
18 months rate, %	49.4 (42.6–57.4)	55.2 (45.4–67.0)	44.7 (35.7–56.0)	
ORR, %	83.5	79.6	87.4	0.15
DCR, %	97.9	97.8	97.9	>0.99

Data in parentheses are presented as (95% CI). CI, confidence interval; DCR, disease control rate; NR, not reached; ORR, objective response rate; OS, overall survival; PFS1, progression-free survival for first line; PFSglob, progression-free survival of the global strategy.

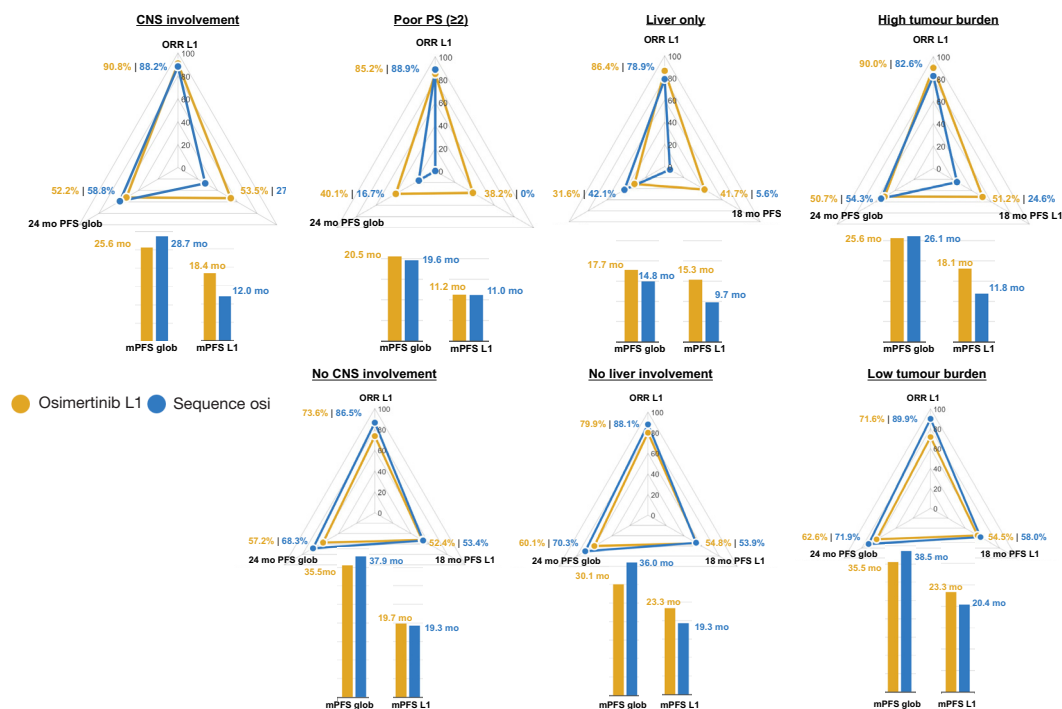


Figure 1 Subgroups analysis results (response and survival endpoints). For each subgroup of interest (presence or absence of CNS or liver metastasis, poor PS, and high/low tumor burden), the radar plots represent the ORR and PFS rate at 18 months for the first line, and the 24 months-rate for the PFSglob. The bar plots represent the mPFSglob and mPFS1. CNS, central nervous system; L1, first line; mPFS, median progression-free survival; mPFS1, median progression-free survival for first line; mPFSglob, median progression-free survival of the global strategy; ORR, objective response rate; PFS, progression-free survival; PFSglob, global progression-free survival; PS, performance status.

Until now, the current standard of care is upfront osimertinib, established by the phase III FLAURA trial in 2018 (7,8). In this trial osimertinib upfront demonstrated improvements in PFS (median 18.9 vs. 10.2 months for standard EGFR-TKI), OS and CNS activity with a similar response rate. However, in this study only 47% of the patients in the osimertinib arm received osimertinib as second line treatment, which was the standard of care at that time.

The outcomes of our cohort closely resemble those of the FLAURA study in term of median PFS1 in the osimertinib group and response rates. Of note, our sequence group demonstrated superior outcomes compared to FLAURA, with higher median PFS1 (16.8 vs. 10.2 months) and OS (43.8 vs. 31.8 months). At 24 months, 79% of the patients from our sequence group were still alive compared to 59% in the standard treatment group in the FLAURA trial. Unfortunately, we lack results for patients from the FLAURA trial who underwent the sequence (standard

EGFR-TKI followed by osimertinib).

In line with our cohort, a recent randomized trial exploring serial ctDNA monitoring and sequential strategy in *mEGFR* NSCLC (12), the sequence arm demonstrated comparable survival outcomes to the upfront osimertinib group, with a median OS of 42.8 months, identical to the OS observed in our cohort. Similar results were found in another retrospective cohort in 74 Japanese patients (13).

The comparison with these randomized trials suggests that our cohort, despite being in a real-life setting, included a population comparable to pivotal trials in this scenario. Another study assessing the outcomes of osimertinib in a real-world cohort found similar survival benefit than ours (14).

However, it is important to note the potential selection bias in our retrospective data collection.

Initially, the sequence group had a better PFSglob compared to the osimertinib group, attributed to imbalanced poor prognostic factors. After applying a

propensity score, the sequence group showed similar PFSglob to the osimertinib group. Similarly, multivariable Cox models, adjusting for imbalanced poor prognostic factors, revealed that upfront osimertinib was associated with longer PFS1 but similar OS and PFSglob compared to the sequence group.

In our subgroup analysis, we investigated the benefit of upfront osimertinib in specific patient groups. Firstly, in patients with CNS involvement at diagnosis, our study demonstrated a higher 18-month PFS1 rate (53.5% *vs.* 27.6%). These findings are in line with previous reports (15,16). Indeed, the FLAURA trial reported a higher CNS response rate (91% *vs.* 68%) and a longer CNS-PFS (NR in 2018 *vs.* 13.9 months, $P=0.01$). Osimertinib plays a major role in CNS protection by delaying the onset of brain metastases in the first-line setting, providing a distinct advantage in this regard; however, in the absence of the CNS or in the presence of poor prognostic factors, first or second-generation TKIs may still have a role, especially in countries with limited access to third-generation TKIs (i.e., low-middle-income countries).

Liver metastases, known as a poor prognosis factor in *mEGFR* NSCLC, lacked specific results in the FLAURA trial (17,18). In our study, patients with liver metastasis had a dreadful prognosis, and upfront osimertinib demonstrated benefit in term of PFSglob (median 17.7 *vs.* 14.8 months) and PFS1 (median 15.3 *vs.* 9.7 months). Lastly, patients with poor PS experienced greater benefit from upfront osimertinib. All these findings suggest that patients with aggressive disease and poor prognosis factors at diagnostic may benefit from a more potent and intensified first-line treatment strategy (19).

Combinations with platinum-based chemotherapy in the FLAURA 2 trial (NCT04035486), and with lazertinib and amivantamab (NCT04487080) have recently demonstrated improved outcomes *vs.* osimertinib (9,10). First line PFS was 25.5 and 23.7 months, in the experimental arms of the FLAURA 2 and MARIPOSA trials, respectively. OS data are still pending for these trials, but we already have a signal for higher toxicity rates in both experimental arms. Moreover, combination of osimertinib with anti-angiogenic drugs (NCT04181060) could also provide interesting results compared with osimertinib alone in the first line setting. Our results support the fact that combination therapies could be an interesting option for patients with poor prognosis factors whereas, 1st and 2nd generation TKI could be prescribed in the specific subgroup of patients with low TB.

It is important to acknowledge limitations attributed to the retrospective design of our study. A notable limitation is the presence of selection bias, given that patients in the sequence arm were required to undergo two lines of treatment, a condition not applicable to the osimertinib group. To address this bias, a propensity score was generated for correction. Despite the limitation, this study represents the largest real world study in a European population exploring the potential advantages of upfront Osimertinib or as sequential therapy in advanced *mEGFR* NSCLC. Our results corroborate the one from Okuma *et al.* in an Asian population (19).

Conclusions

In this retrospective international study involving 300 patients, we demonstrated the superiority of first-line osimertinib *vs.* a standard EGFR-TKI in term of PFS1. Additionally, our findings highlight the efficacy of osimertinib in the management of patients with poor prognosis factors (i.e., CNS, liver metastasis, poor PS). For patients with a low TB, the sequence strategy, involving osimertinib in the second-line setting, remains a viable option.

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Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <https://tcr.amegroups.com/article/view/10.21037/tcr-24-881/rc>

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki and its subsequent amendments. This study was approved by the internal ethics committee at Gustave Roussy (IRB number 2021-01) and in each center according to the local rules. No consent to participate was needed for participation as it is a retrospective study.

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