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Radial variation of the stellar mass functions in the globular clusters M15 and M30: clues of a non-standard IMF?

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ABSTRACT

We exploit a combination of high-resolution *Hubble Space Telescope* and wide-field *ESO-VLT* observations to study the slope of the global mass function (α_G) and its radial variation ($\alpha(r)$) in the two dense, massive and post core-collapse globular clusters M15 and M30. The available data set samples the clusters' main sequence down to $\sim 0.2 M_\odot$ and the photometric completeness allows the study of the mass function between $0.40 M_\odot$ and $0.75 M_\odot$ from the central regions out to their tidal radii. We find that both clusters show a very similar variation in $\alpha(r)$ as a function of clustercentric distance. They both exhibit a very steep variation in $\alpha(r)$ in the central regions, which then attains almost constant values in the outskirts. Such a behaviour can be interpreted as the result of long-term dynamical evolution of the systems driven by mass-segregation and mass-loss processes. We compare these results with a set of direct *N*-body simulations and find that they are only able to reproduce the observed values of $\alpha(r)$ and α_G at dynamical ages (t/t_{th}) significantly larger than those derived from the observed properties of both clusters. We investigate possible physical mechanisms responsible for such a discrepancy and argue that both clusters might be born with a non-standard (flatter/bottom-lighter) initial mass function.

Key words: stars: mass function – globular clusters: individual – Galaxy: kinematics and dynamics – galaxies: star clusters: general.

1 INTRODUCTION

Globular clusters (GCs) are among the most populous, old, and dense stellar aggregates in the Universe, and they play a crucial role in the study of many aspects of stellar evolution, stellar dynamics, and the interplay between these two aspects (see e.g. Heggie & Hut 2003).

After an initial evolutionary phase likely driven by cluster environmental properties and stellar evolution, mainly related to high-mass star mass-loss and supernovae explosions (see e.g. Gieles et al. 2006; Kruijssen et al. 2011, 2012; Renaud & Gieles 2013; Rieder et al. 2013; Mamikonyan et al. 2017; Li & Gnedin 2019), the long-term dynamical evolution of a GC is driven by two-body relaxation and the external tidal field (see e.g. Heggie & Hut 2003 and references therein). The effects of two-body relaxation drive more massive stars towards the cluster's centre (mass segregation), while less massive stars migrate towards the cluster's outer regions. At the same time, this effect causes some stars to increase their energy and eventually escape the cluster.

The typical time-scale associated with the effects of two-body relaxation is of the order of 1–2 Gyr for most GCs (Meylan & Heggie 1997), which is significantly shorter than the average age of

Galactic GCs (~ 12 Gyr), thus suggesting that most of them have experienced quite a significant evolution. The internal dynamics of stellar aggregates affect objects of any mass and its effects have been often probed by means of massive test stars, like blue straggler stars, binaries, and millisecond pulsars (e.g. Lanzoni et al. 2007, 2016; Dalessandro et al. 2009, 2011; Ferraro et al. 2012, 2018; Cadelano et al. 2015, 2018, 2019).

The effects of mass segregation have also been traced by studying the radial variation of the slope of the stellar mass function (MF; Beccari et al. 2011; Dalessandro et al. 2015; Webb et al. 2017). In fact, the combined effects of mass segregation and star loss leads to the formation of gradients in the local (i.e. measured at different clustercentric distances) MF and to a gradual flattening of the global MF (e.g. Vesperini & Heggie 1997; Baumgardt & Makino 2003; Webb & Vesperini 2016). The effects of internal dynamics on variations in the local and the global MFs therefore need to be carefully considered in the interpretation of the observed differences between the MFs of various GCs. Interestingly, by means of detailed comparison between observations and *N*-body models, we have shown (Webb & Vesperini 2016; Webb et al. 2017) that the combined measurements of the internal radial variation in the slope of the MF (δ_α) and its global value (α_G) are able not only to trace the long-term dynamical evolution of a cluster but also

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Table 1. Main properties of the two clusters analysed in this work. From top to bottom: mass, 2D half-mass and tidal radii, log of the central density, age, metallicity, and log of the half-mass relaxation time.

Param.	M15	M30	Ref.
$M (10^5 M_\odot)$	4.99 ± 0.05	1.39 ± 0.06	B19
$r_{\text{hm}} (\text{arcsec})$	78 ± 8	92 ± 9	B20,F09
$r_t (\text{arcsec})$	750	850	B20,F09
$D (\text{Kpc})$	10.22 ± 0.13	8.0 ± 0.6	B19
$\log \rho_c (M_\odot \text{pc}^{-3})$	7.5	5.9	B19
Age (Gyr)	13.25 ± 0.75	13.25 ± 0.75	D10
[Fe/H]	-2.3	-2.3	C09,L13
$\log t_{\text{rh}} (\text{yr})$	9.39 ± 0.08	9.11 ± 0.09	This work

References: B19 (Baumgardt et al. 2019); B20 (Beccari et al. in preparation); F09 (Ferraro et al. 2009); D10 (Dotter et al. 2010); C09 (Carretta et al. 2009); L13 (Lovisi et al. 2013).

to put critical constraints on the system’s initial MF (IMF). This constraint is of critical importance, as the IMF influences most of the observable properties (e.g. chemical composition, mass-to-light ratio) of any stellar system, from star clusters to galaxies. Hence, detecting variations in the IMF can provide deep insight into the processes by which stars form. While significant efforts have been made to study the IMF in a variety of different environments, no consensus has been reached regarding its universality (e.g. Strader, Caldwell & Seth 2011; Kroupa et al. 2013; Kroupa 2020; Shanahan & Gieles 2015).

As a part of a large programme aimed at constraining the degree of dynamical evolution of GCs by analysing their MF radial variations and studying possible variations of their IMFs (Dalessandro et al. 2015; Webb et al. 2017), here we present a detailed study of the MF of two dynamically evolved GCs: M15 (NGC 7078) and M30 (NGC 7099). Both clusters orbit the Galactic halo and have quite similar structural properties. They are both dense [$\log \rho_c (M_\odot/\text{pc}^3) \sim 7.5$ and ~ 5.9 for M15 and M30, respectively] and relatively massive systems ($\sim 10^5 M_\odot$; Baumgardt & Hilker 2018), hosting a stellar population with a very similar metallicity ([Fe/H] ~ -2.3 ; Carretta et al. 2009; Lovisi et al. 2013) and age (~ 13.25 Gyr, Dotter et al. 2010). Table 1 summarizes the main properties of the two systems. Based on the analysis of their blue straggler stars radial distribution (Ferraro et al. 2012, 2018; Lanzoni et al. 2016; Beccari et al. 2019), both clusters appear to be dynamically very old. In addition, studies of the density profiles (Noyola & Gebhardt 2006; Ferraro et al. 2009; Beccari et al. in preparation) show that both clusters have already experienced core collapse. Undergoing core-collapse is another indication that these clusters are in advanced evolutionary stages and that their local and global MFs may have been significantly affected by evolutionary processes. Along the same line, in both clusters a double blue straggler star sequence has been observed (Ferraro et al. 2009; Beccari et al. 2019). Such a feature, which has been detected in several clusters now (namely M30, M15, NGC 362 and possibly NGC 1261; Ferraro et al. 2009; Dalessandro et al. 2013; Simunovic, Puzia & Sills 2014; Beccari et al. 2019), is interpreted as a clear indication of a quite advanced dynamical stage possibly connected with the core-collapse event.

The outline of the paper is the following: in Section 2, we present the data set, the data reduction, and artificial star test. Section 3 reports on the MFs of the two clusters and their radial variation. In Section 4, we compare the observational results with a set of N -body models. Finally, in Section 5 we draw our conclusions.

2 OBSERVATIONS AND DATA ANALYSIS

To study the radial variation of the MF along the entire cluster with adequate spatial resolution and photometric completeness, we combined high-resolution *Hubble Space Telescope* (HST) data with wide-field ground-based photometry. For M30 and M15, we made use of two twin data sets and data reduction strategies.

To sample the cluster’s innermost and crowded regions, we used the publicly available catalogues obtained as a part of the ACS *Treasury Survey of Galactic Globular Clusters* (Sarajedini et al. 2007). The survey was performed by using observations acquired with the Advanced Camera for Surveys aboard HST (proposal GO 10775; PI: Sarajedini). The data set are composed of images equally split between the F606W and F814W bands and obtained with a combination of long and short exposure times (see Sarajedini et al. 2007; Anderson et al. 2008 for details). The catalogues also provide calibrated Johnson V-band and I-band magnitudes, which we adopted throughout the whole work for homogeneity purposes with the wide-field catalogues. These images approximately sample the cluster’s extension till their half-mass radii (see Table 1).

The ground-based wide-field data set samples each cluster’s outer regions out to their tidal radii and consists of images acquired with the VIMOS camera mounted on the UT3 (Melipal) telescope at Paranal VLT/ESO observatory under Program ID: 097.D-0145(A) (PI: Dalessandro). In the case of M15, the data set is composed of 12 images obtained with the Johnson V filter with exposure times of 305 s and 12 images obtained with the Johnson I filter with exposure times of 280 s. The images sample two overlapping fields of view (see Fig. 1), the first one centred at about 500 arcsec west from the cluster centre and the second one at about 1250 arcsec west from the cluster. In the case of M30, the data set is composed of 16 images per filter and we adopted the same combination of filters, exposure times and field-of-view coverage. The resulting total field of views extend beyond each cluster’s tidal radii.

For each cluster, after correcting the images for bias and flat-field, we performed the photometric analysis independently on each image and on each chip of the detector by using DAOPHOT IV (Stetson 1987). As a first step, an adequate number of bright but not saturated stars have been chosen to model the point-spread function in each frame. This function was then applied to all the sources detected at 4σ above the background. We then created a master-list including all the sources detected in at least half of the images of each chip and, finally, a fit was forced in all the frames at the corresponding positions using DAOPHOT/ALLFRAME (Stetson 1994). For each star of the resulting catalogue, we homogenized the magnitudes measured in different images and their weighted means and standard deviations have been adopted as the star’s final magnitude and its related uncertainty. The instrumental positions have been transformed to the absolute system by using the stars in common with the *Gaia* Data Release 2 archive (Gaia Collaboration et al. 2018). The instrumental magnitudes have been reported to the Johnson photometric system by using the stars in common with the wide-field catalogue described by Stetson et al. (2019) and Ferraro et al. (2009) for M15 and M30, respectively.

The total field of view covered by both the high resolution and wide field data sets is shown in Fig. 1, while the obtained colour-magnitude diagrams (CMDs) are plotted in Figs 2 and 3.

2.1 Artificial star test

To study the MF of the clusters and its radial variation, it is necessary to take into account the completeness level of our catalogues for stars with different magnitudes and located at different distances from the

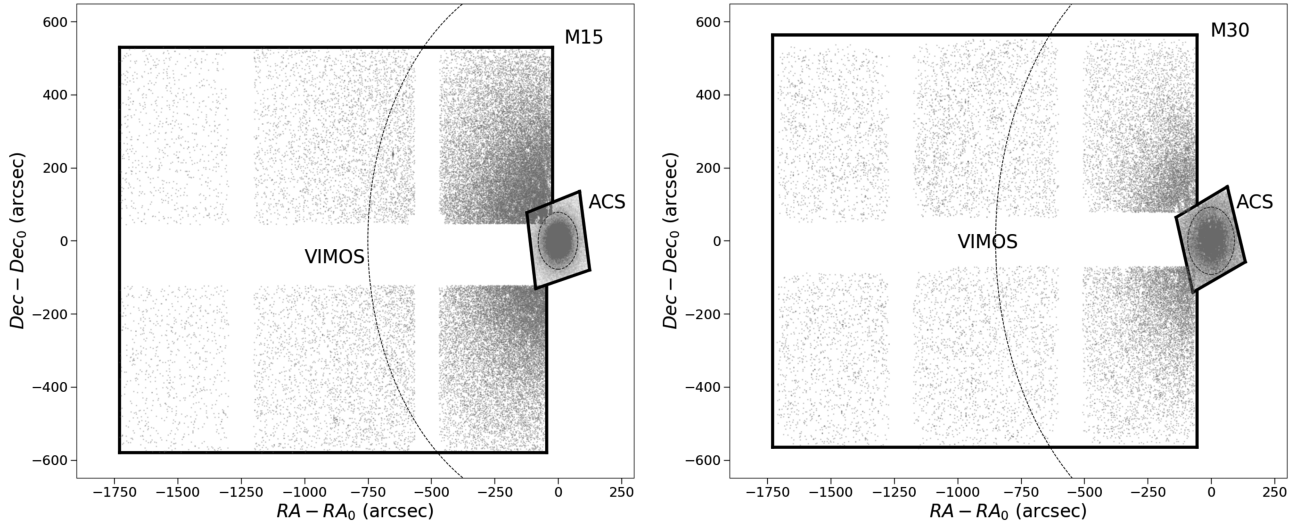


Figure 1. Field of views covered by the observations used in this work for M15 (left-hand panel) and M30 (right-hand panel). Each point represents a star. White regions without stars correspond to the inter-chip gaps of the VIMOS detector. The inner and outer dashed circles are the cluster's projected half-mass and tidal radii, respectively (see Table 1).

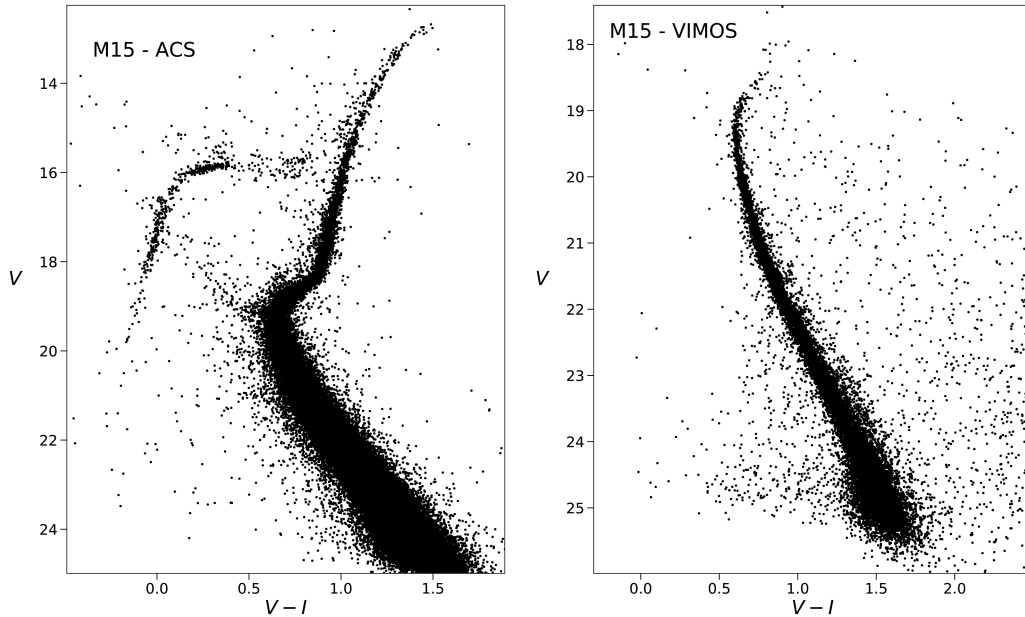


Figure 2. Left-hand panel: V versus $(V - I)$ CMD of M15 as obtained from the high-resolution *HST* data set by (Sarajedini et al. 2007). Right-hand panel: V versus $(V - I)$ CMD of M15 as obtained from the ground-based and wide-field VIMOS data set.

cluster centres. To this aim, we run artificial star experiments. For the ACS data set, we used the artificial star catalogues provided along with the main catalogues of the *ACS Survey of Galactic Globular Clusters* (see section 6 of Anderson et al. 2008).

For the VIMOS data set, we performed a large number of artificial star experiments following the prescriptions described in Dalessandro et al. (2015; see also Bellazzini et al. 2002). We created a list of artificial stars with a V -band input magnitude extracted from a luminosity function modelled to reproduce the observed ones in the same filters and extrapolated beyond the limiting magnitude. Then, to each of these stars, we assigned an I -band magnitude by interpolating along the mean ridge line of the clusters. These artificial stars were added to the real images by using the DAOPHOT/ADDSTAR

software. The photometric reduction process and the point-spread function models used for the artificial star experiments are exactly the same as described in Section 2. This process was iterated multiple times and, in order to avoid ‘artificial crowding’, stars were placed into the frames in a regular grid composed of 38×38 pixel cells (corresponding approximately to ten times the typical FWHM of the point spread function) in which only one artificial star for each run was allowed to lie. At the end of the runs, about 100 000 and 150 000 were simulated for the entire field of view covered by the M15 and M30 VIMOS data set, respectively.

A completeness value $C = N_o/N_i$, defined as the ratio between the number of stars recovered at the end of the artificial star test (N_o) and that of stars actually simulated (N_i), was assigned to each

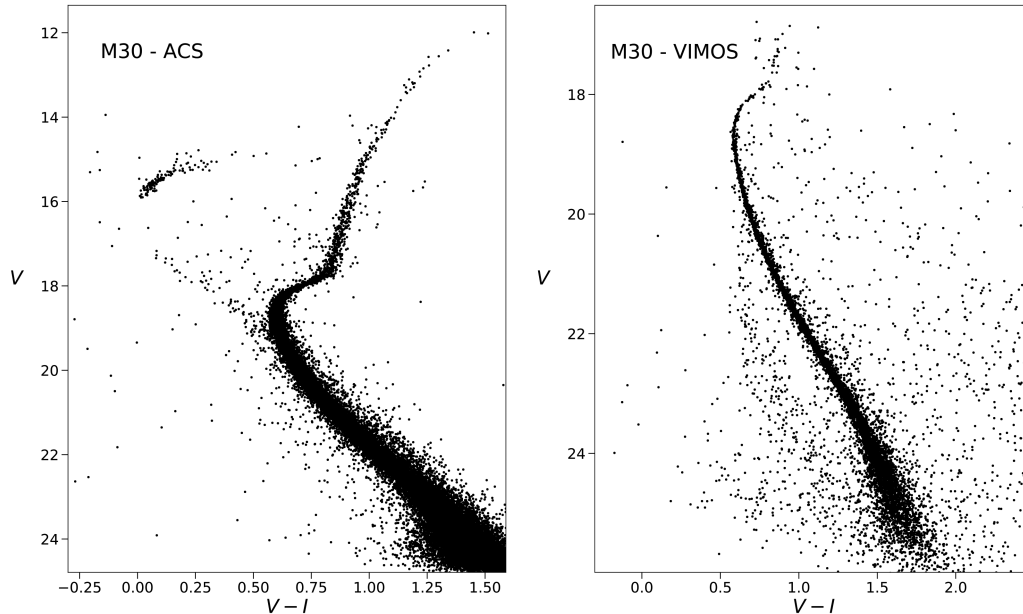


Figure 3. As in Fig. 2, but for the M30 data set.

star by using the following approach. To account for the effect of crowding (and therefore of the distance of the stars from the cluster centre) on the completeness, for each star the completeness C was derived by using only objects located within a radial bin centred on the location of the star and with a width of 5 arcsec and 50 arcsec for the ACS and VIMOS data sets, respectively. The bin widths were chosen as a compromise between having enough statistics and sampling a limited radial extension. Since the completeness level strongly depends on the stellar magnitude, we evaluated C considering only simulated objects within a 0.5 large magnitude bin, centred on the V -band magnitude of each star. Finally, the uncertainties σ_C on the completeness value of each star were computed by propagating the Poissonian errors. Fig. 4 shows the variation of C as a function of the V -band magnitude in a selection of radial bins.

3 MASS FUNCTION

To derive the cluster MF, we first selected as bona fide cluster members those stars lying along the observed and well-defined main sequence of the two clusters. To this end, we built the cluster mean ridge lines by computing the 3σ -clipped average colour of stars within different bins in the magnitude range $18.5 < V < 26$ and $18 < V < 26$ for M15 and M30, respectively. In both cases, we adopted a 0.25 mag bin width and in each bin we selected as bona fide cluster stars those located within 3σ the measured average colour (see the black curve in Fig. 5). We used isochrones from the Dartmouth Stellar Evolution Database (Dotter et al. 2007) for a stellar population with an age of 13.25 Gyr (Dotter et al. 2010) and with a metallicity of $[Fe/H] = -2.3$ and $[\alpha/Fe] = +0.2$, suitable for both clusters (Carretta et al. 2009; Lovisi et al. 2013). Absolute magnitudes were converted to the observed frame by adopting a distance modulus of $(m - M)_0 = 15.17$ and a colour excess of $E(B - V) = 0.08$ in the case of M15, while we adopted a distance modulus of $(m - M)_0 = 14.72$ and a colour excess of $E(B - V) = 0.05$ in the case of M30 (Ferraro et al. 1999). Fig. 5 shows that the isochrones nicely reproduce the observed CMDs, although a small deviation is visible

in the low-luminosity regions of both the clusters' main sequence. This, however, has a negligible effect in the following analysis. We applied an interpolation to derive the masses as a function of the V -band magnitude, as predicted by the isochrone models. As can be seen, both data sets cover a broad range of masses from $0.76 M_\odot$ (turn-off mass) down to $\sim 0.3\text{--}0.2 M_\odot$.

To compute the stellar MFs of each cluster, we counted the number of stars located at different distance bins from the cluster centre. In order to maximize the reliability of the results, we restricted the analysis only to the stars with completeness larger than 50 per cent. Thus in the case of M15 we considered only stars in the mass range of $0.40\text{--}0.75 M_\odot$ and located only at distances larger than 25 and 250 arcsec from the cluster centre of the ACS and VIMOS data set, respectively. In the case of M30, we considered stars in the same mass range but located at distances larger than 10 and 200 arcsec from the cluster centre of the two data sets. The completeness corrected number of stars and its uncertainty in each radial and mass bin are $N_{\text{corr}} = \sum_i^{N_{\text{obs}}} C_i^{-1}$ and $\sigma_{N_{\text{corr}}} = \sqrt{\sum_i^{N_{\text{obs}}} (\sigma_{C_i}/C_i)^2}$, respectively, where N_{obs} is the number of stars observed in a given bin, C_i is the completeness of the i th star, and σ_{C_i} is its uncertainty derived as described in Section 2.1. The MFs evaluated at different radial bins are plotted in Fig. 6. The number and widths of the radial bins have been set to sample approximately an equal number of stars, which is 24 000 and 8500 for the ACS data set and 2000 and 900 for the VIMOS data set of M15 and M30, respectively. To quantify the contamination by field interlopers, we evaluated, in different mass bins, the stellar density in an outer radial bin located at distances larger than 900 and 1300 arcsec from the centres of M15 and M30, respectively, beyond the cluster tidal radii (see Table 1). Then, in each radial and mass bin, we subtracted to N_{corr} the completeness corrected number of interlopers expected on the basis of the bin area and of the measured stellar density in the outer bin. Results of this analysis are shown in Fig. 6. As expected, the MF slopes decrease significantly moving from the cluster centres to the outskirts.

To quantify the radial variation in the slope of the stellar MFs, we performed a linear fit to each of the measured stellar MFs reported in Fig. 6. The resulting slopes are reported in Table 2 and they are

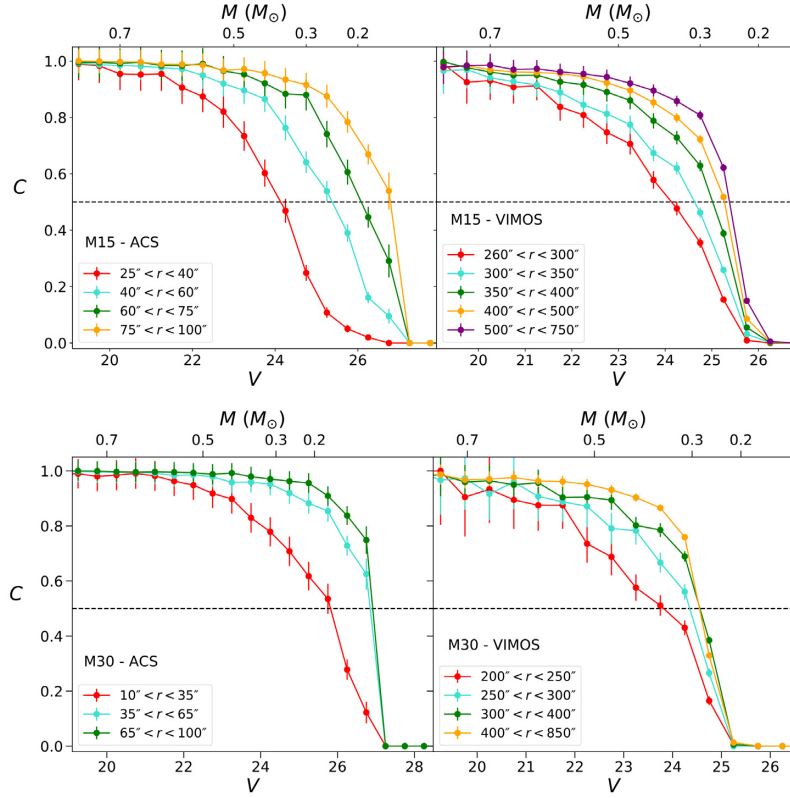


Figure 4. Completeness curves as a function of the V -band magnitudes (stellar mass) for both M15 (top panels) and M30 (bottom panels) and separately shown for the ACS data set (left-hand panels) and the VIMOS data set (right-hand panels). Different curves, extracted at different radial distances from the cluster centre, are plotted. The dashed horizontal lines mark the lowest completeness level ($C = 0.5$) considered in the data analysis.

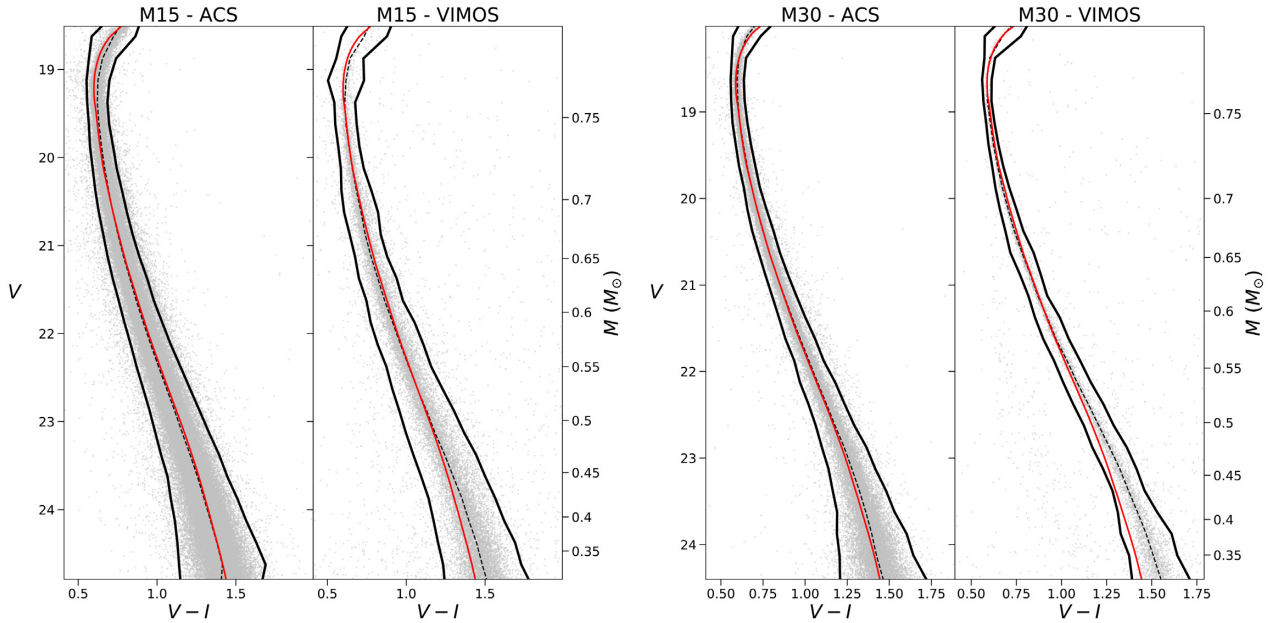


Figure 5. *Left-hand panel:* CMDs obtained from the ACS and VIMOS data set of M15. Black curves enclose the selected bona fide main-sequence cluster stars for which we derived the mass. The dashed black curve is the cluster mean-ridge line. The red curve is the adopted isochrone model from which we derive stellar masses at different V -band magnitudes. *Right-hand panel:* Same as in the left-hand panel, but for the case of M30.

plotted as a function of the logarithmic distance from the cluster centre expressed in units of half-mass radii r_{hm} in Fig. 7. For the 2D projected half-mass radius, r_{hm} , we adopted the values quoted in Table 1. Both clusters show quite similar radial variations of their

slopes, suggesting a similar dynamical evolution. Indeed, the central regions covered by the *HST* data set are characterized by a rapidly steepening of the slopes for increasing clustercentric distances. Such a trend is the expected outcome of the mass segregation process. On

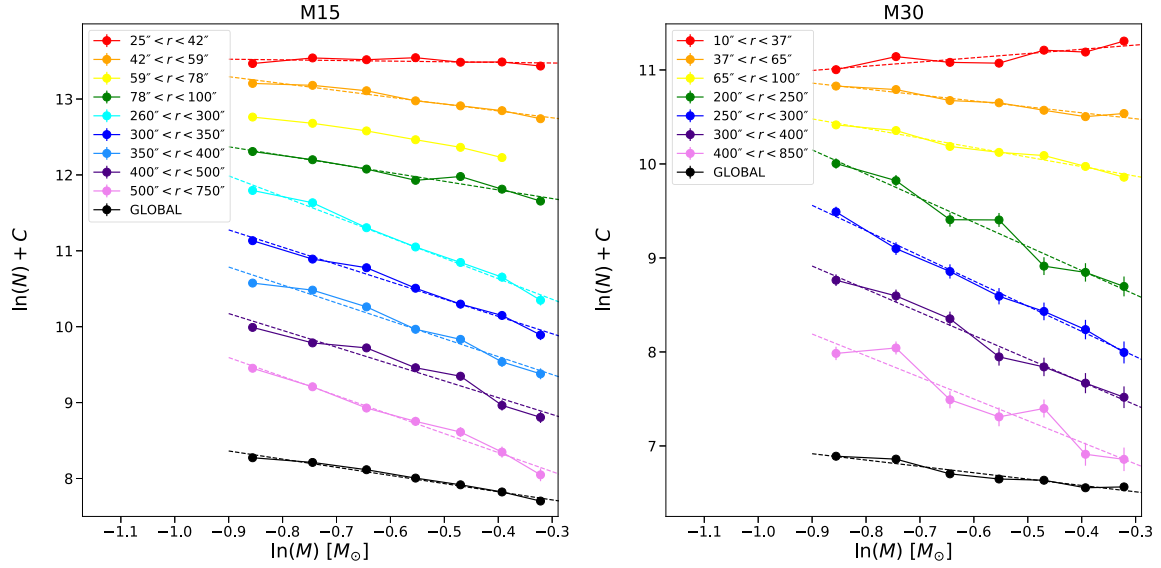


Figure 6. *Left-hand panel:* Stellar MFs obtained from the M15 data set used in this work. Different colours correspond to different radial bins, as specified in the legend. An arbitrary constant was added to the different MFs for clarity. The lines represent the linear best fit obtained for each of the MFs. *Right-hand panel:* Same but for the case of M30.

Table 2. Slopes of the stellar MFs derived at different distances r from the cluster centres.

M15		M30	
r (arcsec)	α	r (")	α
25–42	-0.08 ± 0.11	10–37	0.45 ± 0.16
42–59	-0.90 ± 0.10	37–65	-0.63 ± 0.09
59–78	-1.25 ± 0.11	65–100	-1.02 ± 0.10
78–100	-1.52 ± 0.13	200–250	-2.6 ± 0.3
260–300	-2.71 ± 0.16	250–300	-2.7 ± 0.1
300–350	-2.28 ± 0.15	300–400	-2.5 ± 0.2
350–400	-2.4 ± 0.2	400–850	-2.3 ± 0.4
400–500	-2.2 ± 0.3	GLOBAL	-0.68 ± 0.10
500–750	-2.51 ± 0.18		
GLOBAL	-1.07 ± 0.08		

the other hand, the cluster outskirts, mapped through the VIMOS data set, are characterized by nearly constant slopes that can be explained as the combined effect of mass segregation and preferential loss of low-mass stars in the external region of both clusters due to the interaction with the Galaxy potential.

3.1 Main sources of uncertainties

In the following, we discuss three potential sources of uncertainties and their impact on the derived MFs. These are the uncertainties on the photometric completeness assigned to each star, the accuracy on the assignment of stellar masses along the main-sequence and, finally, the role of binaries:

(i) To assess the impact of the photometric completeness uncertainties in the derivation of the MF slopes, we repeated several times, for each radial bin, the derivation of the MFs as described above. During each iteration, the completeness of each star was randomly drawn from a normal distribution centred on its completeness level and with a standard deviation equal to its uncertainty. At the end of the procedure, we obtained the MF slope distributions and we computed their 16th, 50th, and 84th percentiles to quantify the spread

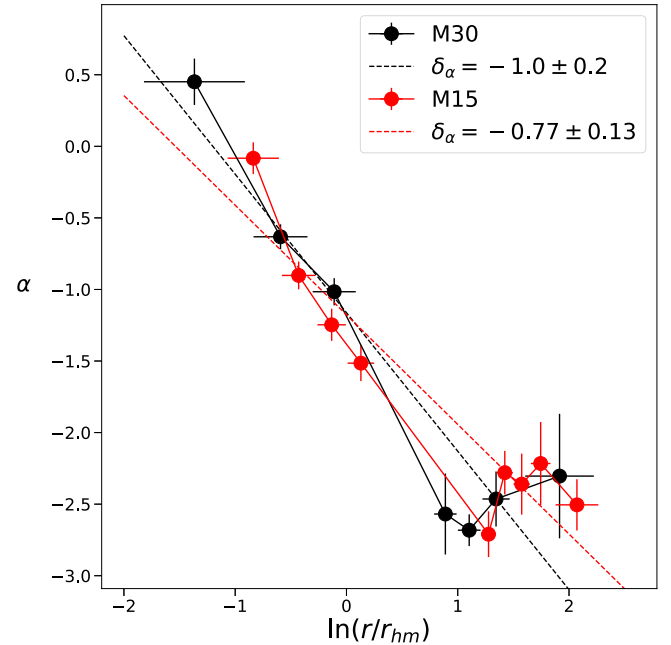


Figure 7. Variation of the slope of the MF with respect to the logarithmic distance from the cluster centre expressed in units of half-mass radii. The dashed lines are the best linear fit to the observed slope variations and their slopes δ_α are reported in the legend. Black and red points and lines are for M30 and M15, respectively.

introduced by the completeness uncertainties. Such a spread turned out to be as large as ~ 0.005 , thus negligible with respect to the uncertainties quoted in Table 2 and due to the residuals of the linear fit of the MFs.

(ii) The results here obtained are based on the stellar masses derived through the mass–luminosity relation predicted by the Dotter et al. (2007) isochrones. Different models differing in terms of various assumption about stellar evolution, underlying chemical

mixture and bolometric corrections could lead to slightly different mass–luminosity relation. To quantify the effect, this may have on the derived MF slopes, we repeated the whole analysis deriving the stellar masses using isochrones generated from the Victoria-Regina Isochrone Database (VandenBerg et al. 2014), the BaSTI stellar evolution models (Pietrinferni et al. 2004, 2006) and the PARSEC data base (Marigo et al. 2017). For each of these data bases, we extracted an isochrone with the same stellar age and metallicity used before. Results for both clusters show basically no differences in the radial variation of the MF slopes (δ_α , see Section 4). Also, the global MF slopes α_G obtained using the Victoria-Regina isochrone are basically the same as derived with the Dartmouth Stellar Evolution model. On the contrary, the α_G values obtained by using the BaSTI and PARSEC models turned out to give systematically flatter MFs (up to $\delta\alpha \sim 0.5$) than those reported in Table 2.

(iii) Finally, to evaluate the impact of binaries, we repeated the analysis selecting only the stars in the blue side of the mean ridge lines shown in Fig. 5. This region is in fact expected to be populated almost exclusively by single stars. The general results are unchanged and deviations from the values quoted in Table 2 are $\lesssim 15$ per cent. Therefore binary systems do not have a significant impact on our analysis. Indeed, both cluster’s host a small binary fraction ($\sim 2 - 3$ per cent; Milone et al. 2012) that is likely centrally segregated due to the advanced stage of dynamical evolution of both the systems.

4 COMPARISON TO *N*-BODY SIMULATIONS

4.1 The *N*-body simulation set

For a more quantitative interpretation of the observational results, we will compare them to *N*-body simulations from Webb & Vesperini (2016) that model the evolution of star clusters in a Milky Way-like external tidal field. The simulations were performed using the direct *N*-body code NBODY6 (Aarseth 2003), with each star cluster’s initial conditions generated assuming a Plummer density profiles (Plummer 1911) out to a cut-off of ten half-mass radii. In order to consider both initially compact and extended clusters, we will be comparing the observations to model clusters with initial half-mass radii $r_{hm, i}$ of 1.2 and 6 pc.

Both model clusters initially consists of 10^5 stars, with masses drawn from a Kroupa, Tout & Gilmore (1993) IMF in the range of $0.1 - 50 M_\odot$. Hence, their initial masses are approximately $6 \times 10^4 M_\odot$. Stars then evolve with time according to the stellar evolution algorithms of Hurley, Pols & Tout (2000), assuming a metallicity $Z = 0.001$.

The Milky Way-like potential within which both clusters are evolved is made up of a point-mass bulge, a Miyamoto & Nagai (1975) disc, and logarithmic halo. The bulge has a mass of $1.5 \times 10^{10} M_\odot$ while the disc has a mass of $5 \times 10^{10} M_\odot$ and scale radii of $a = 4.5$ kpc and $b = 0.5$ kpc. The logarithmic halo is scaled such that all three components combine to yield a circular velocity of 220 km s^{-1} at 8.5 kpc. Both model clusters have circular orbits at 6 kpc from the centre.

4.2 Comparing models and observations

In measuring the radial variation of the simulated cluster’s MFs, we project the positions of each stars on to a random two-dimensional plane and only include stars in the same mass range and fields of view as our observed data set. For each observed cluster, we have determined the boundaries of the fields of view in terms of the cluster’s half-mass radius. These boundaries are then used to

determine what subset of stars in each *N*-body simulation should be considered when measuring the MF and its radial variation, with the half-mass radius of the cluster at the current time-step being used to scale the boundaries. Following Webb et al. (2017), we present the cluster evolution in terms of the linear slope of the radial variation of the MF slopes, defined as $\delta_\alpha = d\alpha(r)/d\ln(r/r_{hm})$, which is a good measure of a GC’s degree of mass segregation, and the slope of the global MF α_G , which is a proxy of the mass lost by a cluster (Vesperini & Heggie 1997; Webb & Leigh 2015), with respect to the ratio between the cluster stellar age and its current half-mass relaxation time t/t_{th} .

First of all, we measured δ_α and α_G for both clusters. α_G was measured by counting all the stars in a single radial bin covering the entire radial extension considered for the local α measurements (see Table 2 and Fig. 7), thus in regions where the completeness is always larger than 50 per cent. Please note that, due to the field of view geometry (see Fig. 1), the outer radial bins cover smaller radial extensions than the inner ones and by consequence the latter have a larger weight in the derived α_G values. Therefore, extra-caution should be used when comparing the values here derived with those obtained using different data sets. We found $\delta_\alpha = -0.77 \pm 0.13$ and $\alpha_G = -1.07 \pm 0.08$ for the case of M15, while we found $\delta_\alpha = -1.0 \pm 0.2$ and $\alpha_G = -0.68 \pm 0.10$ for the case of M30. While this is the first time that δ_α is measured for these two clusters, we can compare the α_G values here derived with those quoted in previous works. Paust et al. (2010) found $\alpha_G = -0.92 \pm 0.06$ for M30, while no values is reported for M15. Sollima & Baumgardt (2017) found instead $\alpha_G = -1.16 \pm 0.06$ and $\alpha_G = -0.72 \pm 0.02$ for M15 and M30, respectively, while Ebrahimi et al. (2020) report $\alpha_G = -1.00 \pm 0.04$ and $\alpha_G = -0.80 \pm 0.03$ for M15 and M30, respectively. Finally, the compilation of Baumgardt & Hilker (2018) quotes $\alpha_G = -0.53$ and $\alpha_G = -1.02$ for M15 and M30, respectively. Therefore, there is a general reasonable agreement between our and previous works. However, we stress that all these literature values were obtained through a combination of observations and modelling, and, for the values reported in Baumgardt & Hilker (2018), also considering stars in a mass range slightly different than that adopted in this work. On the other hand, our results are based exclusively on observations, although the outer regions are not uniformly sampled and thus the α_G values are likely biased towards the inner regions of the cluster.

To evaluate the ratio t/t_{th} , we adopted $t = 13.25 \pm 0.75$ Gyr for both the clusters (Dotter et al. 2010), while we derived t_{th} following Spitzer & Hart (1971):

$$t_{th} = 2.054 \times 10^6 \text{ yr} \frac{M^{\frac{1}{2}}}{\langle m \rangle} \frac{r_{hm}^{\frac{3}{2}}}{\ln(0.4 \frac{M}{\langle m \rangle})},$$

where M is the cluster’s mass in units of solar masses, r_{hm} is the projected half-mass radius (see Table 1) in pc units and $\langle m \rangle$ is the mean stellar mass (assumed to be, as in the Harris 2010 catalogue, $\frac{1}{3} M_\odot$). We found $t_{th} = 2.5 \pm 0.5$ Gyr for M15 and $t_{th} = 1.3 \pm 0.3$ Gyr for M30, thus implying $t/t_{th} = 5.3 \pm 1.1$ and $t/t_{th} = 10 \pm 2$ for M15 and M30, respectively.

4.3 M15

In the left-hand panel of Fig. 8, we show the model cluster evolution in the $(\delta_\alpha, \alpha_G)$ plane, together with the measured positions of M15 in this parameter space. A nice match is reached with both the extended and compact cluster simulations. However, we note that M15 falls in a region of this diagram in which the expected evolution of δ_α is

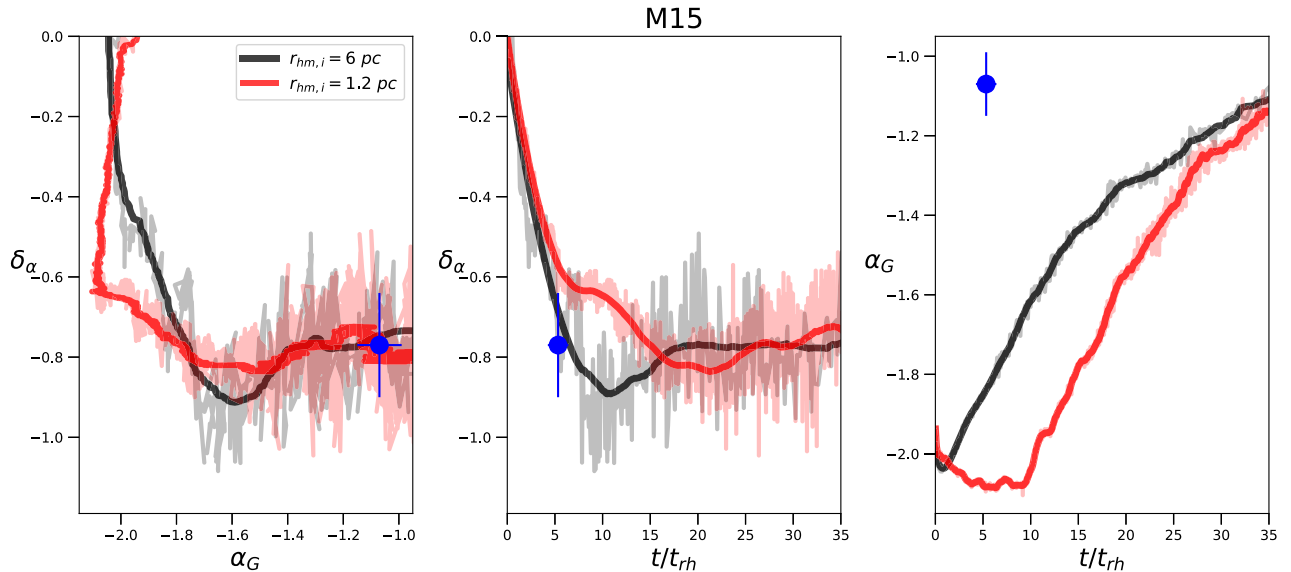


Figure 8. The left-hand panel shows the evolution of slope of the best linear fit to the observed variation in the slope of the stellar MF (δ_α) with respect to the slope of the global MF (α_G). The middle and right-hand panels show instead the evolution of δ_α and α_G with respect to the ratio between the cluster age and the instantaneous half-mass relaxation time (t/t_{rh}). The red and black lines correspond to the smoothed evolution of direct N -body star cluster simulations with initial half-mass radii of 1.2 and 6 pc. The shaded areas show instead the real values of the simulations. For comparison purposes, the blue points mark the positions of M15 in this parameter space.

largely insensitive to α_G variations. This is essentially due to the fact that δ_α stops decreasing since segregation in the core has stopped and tidal stripping in the outer regions prevents α from decreasing further. However, the global α will continue to increase as low-mass stars escape the cluster. For this reason, we also compared the behaviour of both δ_α and α_G with respect to t/t_{rh} . The middle and right-hand panels of Fig. 8 show that while the observed value of δ_α is well reproduced by the models at the estimated t/t_{rh} , α_G is significantly flatter than predicted. Indeed, at the cluster corresponding t/t_{rh} , the models predict an α_G value around -1.6 for the extended cluster and around -2 for the compact one. The model is able to match the observed α_G value only at significantly later stages of the evolution, around $t/t_{rh} \sim 30$, which is a factor of 3 larger than what estimated for M15. Any reasonable uncertainties on both age and relaxation time would be hardly able to account for such a large difference. It is also important to note that had we used the α_G values derived adopting other isochrones (see Section 3.1), the discrepancy between observations and simulations would have been even more severe.

The flatter α_G found in our observational data would suggest that M15 has lost significantly more mass than predicted by our simulations. However, the strength of the external tidal field adopted in the simulations is similar to that inferred from the orbit of M15 (which is currently on a slightly eccentric orbit at a Galactocentric distances around 4–10 kpc; Baumgardt et al. 2019). In addition, as shown in Webb & Vesperini (2016), the dependence of the evolution of both δ_α and α_G on the orbital properties is not sufficient to explain the observed discrepancy. Exceptional events, such as tidal shocks or interactions with molecular clouds, that could increase the mass-loss rate over a relatively short period of time, are not taken into account by the models. However, these are rare events that are unlikely to explain the observed discrepancy. In any case, further simulations are needed to firmly confirm the effects of such events in the evolution of both the radial and global MF slopes. As far as the possible effects of primordial mass segregations are concerned, Webb & Vesperini (2016) have shown that for old GCs and the mass range considered in this study a broad range of different degrees of primordial mass

segregation do not have a strong effect on the value of α_G after one Hubble time. Finally, our two simulations cover a broad range of initial half-mass radii and given the variation of the evolution of δ_α and α_G with this parameter, it is unlikely that the observed discrepancy could be explained by a different choice for the initial half-mass radius.

A different IMF, flatter than the Kroupa et al. (1993) IMF adopted here could easily remove the difference between observations and models, as this cluster would start the evolution with a larger value of α_G . Our analysis thus suggests that a different IMF might be the most likely explanation to the observed (α_G , δ_α , t/t_{rh}) trends.

4.4 M30

Fig. 9 shows the same diagnostic plots we used for M15 but for the case of M30. Also in this case the derived values of (δ_α , α_G) are nicely reproduced by the simulations. However, as in the case of M15, the simulations are not able to match the observations in the (α_G , t/t_{rh}) diagram, where actually the discrepancy is even larger than for M15. The α_G value measured for M30 is reached by the simulations at a very late stages of the evolution, around $t/t_{rh} \sim 40$, significantly larger than the value of this ratio determined from observational data. Also in this case, the derivation of α_G assuming different stellar evolution models (see Section 3.1) would further increase the mismatch.

The same arguments discussed for M15 also apply to M30. One difference to note is that M30 has a very eccentric orbit in a distance range of ~ 1.5 –8 kpc from the Galaxy centre, thus with a pericenter smaller than the distance adopted in our simulations. However, again, the dependence on the cluster's orbit (see Webb & Vesperini 2016) is unlikely to account for the differences between observational data and numerical models revealed by our analysis. In any case, given the differences between the real and simulated orbits, the discrepancy could be partially due to a larger degree of mass-loss due to the cluster highly eccentric orbit. It also worth mentioning that, on the basis of its current orbit, Massari, Koppelman & Helmi (2019) suggested

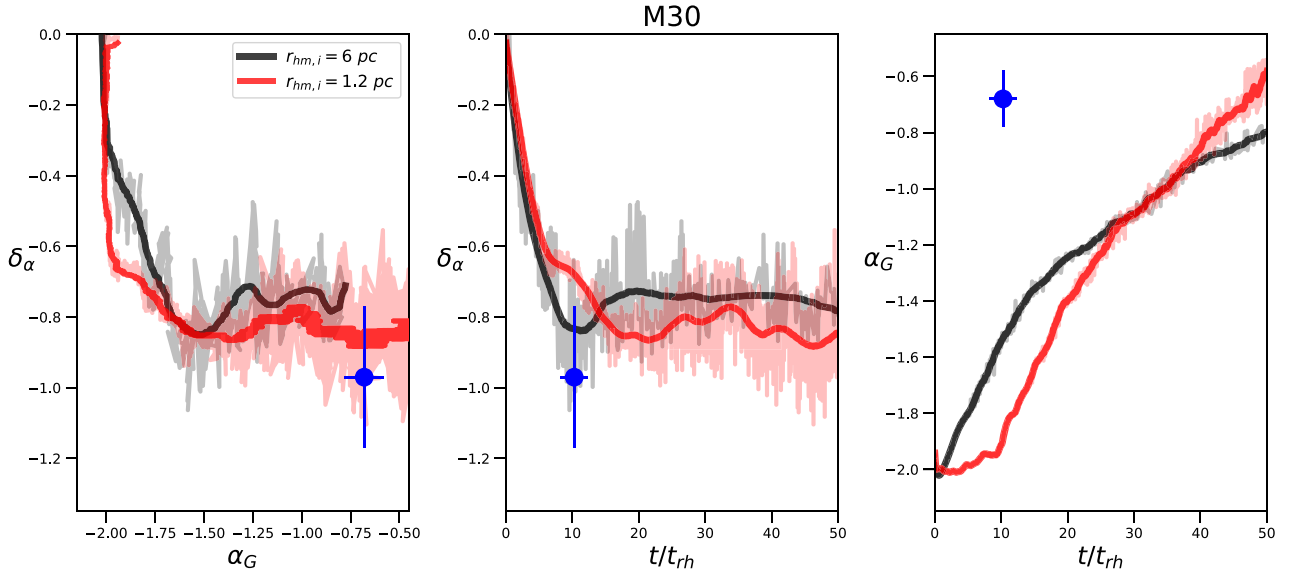


Figure 9. As in Fig. 8, but for the case of M30.

that M30 formed in the *Gaia*–Enceladus dwarf galaxy. This would mean that M30 could have experienced a milder tidal field than in the Galaxy, during the early stage of its evolution. However, this should not have a significant impact in our analysis, since the merger event with the dwarf *Gaia*–Enceladus dates back to ~ 10 Gyr ago (Kruijssen et al. 2020) and therefore the cluster spent most of its life in the Milky Way. Given all this, we suggest that also for this cluster a different, flatter/bottom-lighter, IMF is likely to be required to reconcile observational data with theoretical models.

5 CONCLUSIONS AND DISCUSSION

We used a combination of deep, high-resolution and wide-field optical observations of the dynamically old Galactic GCs M15 and M30 to investigate their dynamical evolution in terms of the radial variation of their stellar MF along the whole cluster radial extensions. Both clusters reveal a quite similar variation of the MF slopes with respect to the clustercentric distance. In fact, the inner regions (approximately within r_{hm}) show a progressive steepening of the MF slopes while moving away from the cluster centers. On the other hand, the outer regions (approximately from $5r_{\text{hm}}$ to their tidal radii) are characterized by almost constant MF slopes. This trend is the expected outcome of the long-term dynamical evolution driven by two-body encounters and progressive mass-loss due to the cluster interactions with the Galaxy.

We compared the observed results with a set of direct N -body models, following the cluster evolution in a Milky Way-like potential, assuming a standard Kroupa et al. (1993) IMF. Such a comparison has been performed by means of two powerful indicators of the cluster degree of mass-segregation and mass-loss: the radial variation of the MF slope (δ_α) and the slope of the global MF (α_G), respectively. We found that the models are able to nicely reproduce the measured values in the $(\delta_\alpha, \alpha_G)$ diagram. However, in both M15 and M30, the dynamical state of the cluster as traced by the $(\delta_\alpha, t/t_{\text{rh}})$ and $(\alpha_G, t/t_{\text{rh}})$ is reproduced only at significantly later stages of the evolution, when the ratio between the cluster age to the instantaneous half-mass relaxation time is ~ 3 – 4 times larger than the measured ratios for the two clusters. As largely discussed in Webb & Vesperini (2016), different assumptions about the initial binary fractions and

dark remnants (and their retention), as well as on the cluster’s orbit cannot account for such a differences. On the other hand, also the uncertainties on the observed quantities cannot explain the discrepancy between observations and simulations. The results obtained in this paper would suggest that the most likely explanation to such a significant discrepancy is the adoption of a non-universal IMF, flatter/bottom-lighter than the one assumed by models.

A correlation between the global MF slopes and the half-mass relaxation time (and the ratio of the age to the half-mass relaxation time) of a sample of Galactic GCs, with dynamically older clusters showing flatter MF slopes, was recently found by Sollima & Baumgardt (2017) and Ebrahimi et al. (2020). Such a correlation may be difficult to reconcile with significant variations of the IMF and it has been argued it is likely to result from the effects the dynamical evolution alone. However, the situation appears to be more complicated and the presence of IMF variations cannot be excluded. In general, the star-forming environment should play an important role in shaping the IMF of stellar systems (see e.g. Silk 1977; Zonoozi et al. 2011, 2014, 2016; Strader et al. 2011; Giersz & Heggie 2011; Haghi et al. 2017; Hénault-Brunet et al. 2020; Ebrahimi et al. 2020; Kroupa 2020, for some theoretical and observational studies about this topic). In this respect, it is important to point out that other studies have noted that the discrepancy between theoretical predictions and observations of metal-rich GC mass-to-light ratios might be due to a non-standard IMF, either bottom-light (i.e. fewer low-mass stars) or top-light MFs (i.e. fewer dark remnants) (see Strader et al. 2011 and Hénault-Brunet et al. 2020, in which other possibilities in alternative to a non-universal IMF are also discussed). The results obtained in the this work would suggest possible IMF variation also at very metal-poor regime.

One aspect that has not been investigated yet, neither theoretically nor observationally, concerns the possible variations in the IMF of multiple stellar populations observed in almost all Galactic GCs. Different observations suggest that the chemically anomalous second population (i.e. Na-rich, O-poor) of stars form in a compact system more segregated with respect to the first population of stars (see Lardo et al. 2011; Dalessandro et al. 2018, 2019) as predicted by multiple population formation scenarios (see Bastian & Lardo 2018; Gratton et al. 2019 for recent reviews). The implications of the different

formation environments of stars in the first and second populations are still unknown and the connection with the possible evidence of a non-universal IMF will require further studies.

More in general, constraining the IMF of stellar clusters have key implications on our understanding of their formation process and early evolution, with strong impact on the early enrichment undergone by stellar clusters, gas consumption efficiency, stellar cluster initial mass and their contribution to building-up of the Galactic halo.

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DATA AVAILABILITY

The data underlying this article will be shared on reasonable request to the corresponding author.

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