WIT Transactions on Ecology and the Environment

VOLUME 253, 2021





Sustainable City 2021

The Sustainable City XV

WIT*PRESS*

WIT Press publishes leading books in Science and Technology. Visit our website for the current list of titles. www.witpress.com

WITeLibrary Home of the Transactions of the Wessex Institute. Papers published in this volume are archived in the WIT eLibrary in volume 3of WIT Transactions on Ecology and the Environment (ISSN 3 3 The WIT eLibrary provides the international scientific community with immediate and permanent access to individual papers presented at WIT conferences. Visit the WIT eLibrary at www.witpress.com/elibrary.

FIFTEENTH INTERNATIONAL CONFERENCE ON URBAN REGENERATION AND SUSTAINABILITY

SUSTAINABLE CITY XV

CONFERENCE CHAIRMAN

Stavros Syngellakis

Wessex Institute, UK Member of WIT Board of Directors

INTERNATIONAL SCIENTIFIC ADVISORY COMMITTEE

Alejandro Acosta Collazo Colin Booth Roger Brewster Paul Carrion Camilo Cerro Lien Kwei Chien Nelson Cordeiro Carmela Cucuzzella Marwan G. Elmubarak Andrew Furman Antonio Galiano Garrigos Ove Tobias Gudmestad Giuseppe Iiritano Sharmila Jagadisan Arzu Kocabas Danila Longo Maria Eugenia Lopez Lambas

Isabel Madaleno Roberto Magini Guido Marseglia Jose Luis Miralles i Garcia Logan Munsamy Giuseppe Musolino Richard Mwaipungu Cristiana Piccioni Antonio Pratelli Elena Cristina Rada Corrado Rindone Rosa Rojas-Caldelas Francesco Russo George Rzevski Juan Jose Sendra Marichela Sepe Vincenzo Torretta

ORGANISED BY

Wessex Institute, UK

SPONSORED BY

WIT Transactions on Ecology and the Environment International Journal of Environmental Impacts International Journal of Transport Development and Integration International Journal of Energy Production and Management

WIT Transactions

Wessex Institute Ashurst Lodge, Ashurst Southampton SO40 7AA, UK

Senior Editors

H. Al-Kayiem Universiti Teknologi PETRONAS, Malaysia

G. M. Carlomagno University of Naples Federico II, Italy

A. H-D. Cheng University of Mississippi, USA

J. J. Connor Massachusetts Institute of Technology, USA

J. Th M. De Hosson University of Groningen, Netherlands

P. De Wilde Vrije Universiteit Brussel, Belgium

> N. A. Dumont PUC-Rio, Brazil

A. Galiano-Garrigos University of Alicante, Spain

F. Garzia University of Rome "La Sapienza", Italy

M. Hadfield University of Bournemouth, UK

S. Hernández University of A Coruña, Spain

J. T. Katsikadelis National Technical University of Athens, Greece

J. W. S. Longhurst University of the West of England, UK

E. Magaril Ural Federal University, Russia

S. Mambretti Politecnico di Milano, Italy **W. J. Mansur** Federal University of Rio de Janeiro, Brazil

J. L. Miralles i Garcia Universitat Politècnica de València, Spain

G. Passerini Università Politecnica delle Marche, Italy

> **F. D. Pineda** Complutense University, Spain

D. Poljak University of Split, Croatia

F. Polonara Università Politecnia delle Marche, Italy

D. Proverbs Birmingham City University, UK

T. Rang Tallinn Technical University, Estonia

> **G. Rzevski** The Open University, UK

P. Skerget University of Maribor, Slovenia

B. Sundén Lund University, Sweden

Y. Villacampa Esteve Universidad de Alicante, Spain

P. Vorobieff University of New Mexico, USA

S. S. Zubir Universiti Teknologi Mara, Malaysia

- B. Abersek University of Maribor, Slovenia
- Y. N. Abousleiman University of Oklahoma, USA
- G. Alfaro Degan Università Roma Tre, Italy
- K. S. Al Jabri Sultan Qaboos University, Oman
- D. Almorza Gomar University of Cadiz, Spain
- J. A. C. Ambrosio IDMEC, Portugal
- A. M. Amer Cairo University, Egypt
- S. A. Anagnostopoulos University of Patras, Greece
- E. Angelino A.R.P.A. Lombardia, Italy
- H. Antes Technische Universitat Braunschweig, Germany
- M. A. Atherton South Bank University, UK
- A. G. Atkins University of Reading, UK
- D. Aubry Ecole Centrale de Paris, France
- H. Azegami Toyohashi University of Technology, Japan
- J. M. Baldasano Universitat Politecnica de Catalunya, Spain
- J. Barnes University of the West of England, UK
- J. G. Bartzis Institute of Nuclear Technology, Greece
- S. Basbas Aristotle University of Thessaloniki, Greece
- A. Bejan Duke University, USA
- M. P. Bekakos Democritus University of Thrace, Greece
- G. Belingardi Politecnico di Torino, Italy
- R. Belmans Katholieke Universiteit Leuven, Belgium
- D. E. Beskos University of Patras, Greece
- S. K. Bhattacharyya Indian Institute of Technology, India
- H. Bjornlund University of South Australia, Australia
- E. Blums Latvian Academy of Sciences, Latvia
- J. Boarder Cartref Consulting Systems, UK
- **B. Bobee** Institut National de la Recherche Scientifique, Canada
- H. Boileau ESIGEC, France
- M. Bonnet Ecole Polytechnique, France
- C. A. Borrego University of Aveiro, Portugal
- A. R. Bretones University of Granada, Spain
- F-G. Buchholz Universitat Gesanthochschule Paderborn, Germany

- F. Butera Politecnico di Milano, Italy
- W. Cantwell Liverpool University, UK
- C. Capilla Universidad Politecnica de Valencia, Spain
- D. J. Cartwright Bucknell University, USA
- P. G. Carydis National Technical University of Athens, Greece
- J. J. Casares Long Universidad de Santiago de Compostela, Spain
- A. Chakrabarti Indian Institute of Science, India
- F. Chejne National University, Colombia
- J-T. Chen National Taiwan Ocean University, Taiwan
- J. Chilton University of Lincoln, UK
- C-L. Chiu University of Pittsburgh, USA
- H. Choi Kangnung National University, Korea
- A. Cieslak Technical University of Lodz, Poland
- C. Clark Wessex Institute, UK
- S. Clement Transport System Centre, Australia
- M. C. Constantinou State University of New York at Buffalo, USA
- M. da C Cunha University of Coimbra, Portugal
- W. Czyczula Krakow University of Technology, Poland
- L. D'Acierno Federico II University of Naples, Italy
- M. Davis Temple University, USA
- A. B. de Almeida Instituto Superior Tecnico, Portugal
- L. De Biase University of Milan, Italy
- **R. de Borst** Delft University of Technology, Netherlands
- G. De Mey University of Ghent, Belgium
- A. De Naeyer Universiteit Ghent, Belgium
- N. De Temmerman Vrijie Universiteit Brussel, Belgium
- D. De Wrachien State University of Milan, Italy
- L. Debnath University of Texas-Pan American, USA
- G. Degrande Katholieke Universiteit Leuven, Belgium
- S. del Giudice University of Udine, Italy
- M. Domaszewski Universite de Technologie de Belfort-Montbeliard, France

- K. Dorow Pacific Northwest National Laboratory, USA
- W. Dover University College London, UK
- C. Dowlen South Bank University, UK
- J. P. du Plessis University of Stellenbosch, South Africa
- R. Duffell University of Hertfordshire, UK
- A. Ebel University of Cologne, Germany
- V. Echarri University of Alicante, Spain
- K. M. Elawadly Alexandria University, Egypt
- D. Elms University of Canterbury, New Zealand
- M. E. M El-Sayed Kettering University, USA
- D. M. Elsom Oxford Brookes University, UK
- F. Erdogan Lehigh University, USA
- J. W. Everett Rowan University, USA
- M. Faghri University of Rhode Island, USA
- R. A. Falconer Cardiff University, UK
- M. N. Fardis University of Patras, Greece
- A. Fayvisovich Admiral Ushakov Maritime State University, Russia
- H. J. S. Fernando Arizona State University, USA
- W. F. Florez-Escobar Universidad Pontifica Bolivariana, South America
- E. M. M. Fonseca Instituto Politécnico do Porto, Instituto Superior de Engenharia do Porto, Portugal
- **D. M. Fraser** University of Cape Town, South Africa
- G. Gambolati Universita di Padova, Italy
- C. J. Gantes National Technical University of Athens, Greece
- L. Gaul Universitat Stuttgart, Germany
- N. Georgantzis Universitat Jaume I, Spain
- L. M. C. Godinho University of Coimbra, Portugal
- F. Gomez Universidad Politecnica de Valencia, Spain
- A. Gonzales Aviles University of Alicante, Spain
- D. Goulias University of Maryland, USA
- K. G. Goulias Pennsylvania State University, USA
- W. E. Grant Texas A & M University, USA
- S. Grilli University of Rhode Island, USA
- R. H. J. Grimshaw Loughborough University, UK
- D. Gross Technische Hochschule Darmstadt, Germany
- R. Grundmann Technische Universitat Dresden, Germany

- O. T. Gudmestad University of Stavanger, Norway
- R. C. Gupta National University of Singapore, Singapore
- J. M. Hale University of Newcastle, UK
- K. Hameyer Katholieke Universiteit Leuven, Belgium
- C. Hanke Danish Technical University, Denmark
- Y. Hayashi Nagoya University, Japan
- L. Haydock Newage International Limited, UK
- A. H. Hendrickx Free University of Brussels, Belgium
- C. Herman John Hopkins University, USA
- I. Hideaki Nagoya University, Japan
- W. F. Huebner Southwest Research Institute, USA
- M. Y. Hussaini Florida State University, USA
- W. Hutchinson Edith Cowan University, Australia
- T. H. Hyde University of Nottingham, UK
- M. Iguchi Science University of Tokyo, Japan
- L. Int Panis VITO Expertisecentrum IMS, Belgium
- N. Ishikawa National Defence Academy, Japan
- H. Itoh University of Nagoya, Japan
- W. Jager Technical University of Dresden, Germany
- Y. Jaluria Rutgers University, USA
- D. R. H. Jones University of Cambridge, UK
- N. Jones University of Liverpool, UK
- **D. Kaliampakos** National Technical University of Athens, Greece
- D. L. Karabalis University of Patras, Greece
- A. Karageorghis University of Cyprus
- T. Katayama Doshisha University, Japan
- K. L. Katsifarakis Aristotle University of Thessaloniki, Greece
- E. Kausel Massachusetts Institute of Technology, USA
- H. Kawashima The University of Tokyo, Japan
- B. A. Kazimee Washington State University, USA
- F. Khoshnaw Koya University, Iraq
- S. Kim University of Wisconsin-Madison, USA
- **D. Kirkland** Nicholas Grimshaw & Partners Ltd, UK
- E. Kita Nagoya University, Japan
- A. S. Kobayashi University of Washington, USA
- D. Koga Saga University, Japan
- S. Kotake University of Tokyo, Japan

- A. N. Kounadis National Technical University of Athens, Greece
- W. B. Kratzig Ruhr Universitat Bochum, Germany
- T. Krauthammer Penn State University, USA
- R. Laing Robert Gordon University, UK
- M. Langseth Norwegian University of Science and Technology, Norway
- B. S. Larsen Technical University of Denmark, Denmark
- F. Lattarulo Politecnico di Bari, Italy
- A. Lebedev Moscow State University, Russia
- D. Lesnic University of Leeds, UK
- D. Lewis Mississippi State University, USA
- K-C. Lin University of New Brunswick, Canada
- A. A. Liolios Democritus University of Thrace, Greece
- D. Lippiello Università degli Studi Roma Tre, Italy
- S. Lomov Katholieke Universiteit Leuven, Belgium
- J. E. Luco University of California at San Diego, USA
- L. Lundqvist Division of Transport and Location Analysis, Sweden
- T. Lyons Murdoch University, Australia
- L. Mahdjoubi University of the West of England, UK
- Y-W. Mai University of Sydney, Australia
- M. Majowiecki University of Bologna, Italy
- G. Manara University of Pisa, Italy
- B. N. Mandal Indian Statistical Institute, India
- Ü. Mander University of Tartu, Estonia
- H. A. Mang Technische Universitat Wien, Austria
- G. D. Manolis Aristotle University of Thessaloniki, Greece
- N. Marchettini University of Siena, Italy
- J. D. M. Marsh Griffith University, Australia
- J. F. Martin-Duque Universidad Complutense, Spain
- T. Matsui Nagoya University, Japan
- G. Mattrisch DaimlerChrysler AG, Germany
- F. M. Mazzolani University of Naples "Federico II", Italy
- K. McManis University of New Orleans, USA
- A. C. Mendes Universidade de Beira Interior, Portugal
- J. Mera Polytechnic University of Madrid, Spain
- J. Mikielewicz Polish Academy of Sciences, Poland
- R. A. W. Mines University of Liverpool, UK

- C. A. Mitchell University of Sydney, Australia
- K. Miura Kajima Corporation, Japan
- A. Miyamoto Yamaguchi University, Japan
- T. Miyoshi Kobe University, Japan
- G. Molinari University of Genoa, Italy
- F. Mondragon Antioquin University, Colombia
- T. B. Moodie University of Alberta, Canada
- D. B. Murray Trinity College Dublin, Ireland
- M. B. Neace Mercer University, USA
- D. Necsulescu University of Ottawa, Canada
- B. Ning Beijing Jiatong University, China
- S-I. Nishida Saga University, Japan
- H. Nisitani Kyushu Sangyo University, Japan
- B. Notaros University of Massachusetts, USA
- P. O'Donoghue University College Dublin, Ireland
- R. O. O'Neill Oak Ridge National Laboratory, USA
- M. Ohkusu Kyushu University, Japan
- G. Oliveto Universitá di Catania, Italy
- R. Olsen Camp Dresser & McKee Inc., USA
- E. Oñate Universitat Politecnica de Catalunya, Spain
- K. Onishi Ibaraki University, Japan
- P. H. Oosthuizen Queens University, Canada
- E. Outa Waseda University, Japan
- O. Ozcevik Istanbul Technical University, Turkey
- A. S. Papageorgiou Rensselaer Polytechnic Institute, USA
- J. Park Seoul National University, Korea
- F. Patania Universitá di Catania, Italy
- B. C. Patten University of Georgia, USA
- G. Pelosi University of Florence, Italy
- G. G. Penelis Aristotle University of Thessaloniki, Greece
- W. Perrie Bedford Institute of Oceanography, Canada
- M. F. Platzer Naval Postgraduate School, USA
- **D. Prandle** Proudman Oceanographic Laboratory, UK
- R. Pulselli University of Siena, Italy
- I. S. Putra Institute of Technology Bandung, Indonesia
- Y. A. Pykh Russian Academy of Sciences, Russia
- A. Rabasa University Miguel Hernandez, Spain
- F. Rachidi EMC Group, Switzerland
- K. R. Rajagopal Texas A & M University, USA
- J. Ravnik University of Maribor, Slovenia

- A. M. Reinhorn State University of New York at Buffalo, USA
- G. Reniers Universiteit Antwerpen, Belgium
- A. D. Rey McGill University, Canada
- **D. N. Riahi** University of Illinois at Urbana-Champaign, USA
- **B. Ribas** Spanish National Centre for Environmental Health, Spain
- K. Richter Graz University of Technology, Austria
- S. Rinaldi Politecnico di Milano, Italy
- F. Robuste Universitat Politecnica de Catalunya, Spain
- A. C. Rodrigues Universidade Nova de Lisboa, Portugal
- **G. R. Rodríguez** Universidad de Las Palmas de Gran Canaria, Spain
- C. W. Roeder University of Washington, USA
- J. M. Roesset Texas A & M University, USA
- W. Roetzel Universitaet der Bundeswehr Hamburg, Germany
- V. Roje University of Split, Croatia
- R. Rosset Laboratoire d'Aerologie, France
- J. L. Rubio Centro de Investigaciones sobre Desertificacion, Spain
- T. J. Rudolphi Iowa State University, USA
- S. Russenchuck Magnet Group, Switzerland
- H. Ryssel Fraunhofer Institut Integrierte Schaltungen, Germany
- S. G. Saad American University in Cairo, Egypt
- M. Saiidi University of Nevada-Reno, USA
- R. San Jose Technical University of Madrid, Spain
- F. J. Sanchez-Sesma Instituto Mexicano del Petroleo, Mexico
- B. Sarler Nova Gorica Polytechnic, Slovenia
- S. A. Savidis Technische Universitat Berlin, Germany
- A. Savini Universita de Pavia, Italy
- G. Schleyer University of Liverpool, UK
- R. Schmidt RWTH Aachen, Germany
- B. Scholtes Universitaet of Kassel, Germany
- A. P. S. Selvadurai McGill University, Canada
- J. J. Sendra University of Seville, Spain
- S. M. Şener Istanbul Technical University, Turkey
- J. J. Sharp Memorial University of Newfoundland, Canada
- Q. Shen Massachusetts Institute of Technology, USA

- G. C. Sih Lehigh University, USA
- L. C. Simoes University of Coimbra, Portugal
- A. C. Singhal Arizona State University, USA
- J. Sladek Slovak Academy of Sciences, Slovakia
- V Sladek Slovak Academy of Sciences, Slovakia
- A. C. M. Sousa University of New Brunswick, Canada
- H. Sozer Illinois Institute of Technology, USA
- P. D. Spanos Rice University, USA
- T. Speck Albert-Ludwigs-Universitaet Freiburg, Germany
- C. C. Spyrakos National Technical University of Athens, Greece
- G. E. Swaters University of Alberta, Canada
- S. Syngellakis Wessex Institute, UK
- J. Szmyd University of Mining and Metallurgy, Poland
- H. Takemiya Okayama University, Japan
- I. Takewaki Kyoto University, Japan
- C-L. Tan Carleton University, Canada
- E. Taniguchi Kyoto University, Japan
- S. Tanimura Aichi University of Technology, Japan
- J. L. Tassoulas University of Texas at Austin, USA
- M. A. P. Taylor University of South Australia, Australia
- A. Terranova Politecnico di Milano, Italy
- T. Tirabassi National Research Council, Italy
- S. Tkachenko Otto-von-Guericke-University, Germany
- N. Tomii Chiba Institute of Technology, Japan
- T. Tran-Cong University of Southern Queensland, Australia
- R. Tremblay Ecole Polytechnique, Canada
- I. Tsukrov University of New Hampshire, USA
- R. Turra CINECA Interuniversity Computing Centre, Italy
- S. G. Tushinski Moscow State University, Russia
- R. van der Heijden Radboud University, Netherlands
- **R. van Duin** Delft University of Technology, Netherlands
- P. Vas University of Aberdeen, UK
- R. Verhoeven Ghent University, Belgium
- A. Viguri Universitat Jaume I, Spain
- S. P. Walker Imperial College, UK
- G. Walters University of Exeter, UK
- B. Weiss University of Vienna, Austria

- T. W. Wu University of Kentucky, USA
- S. Yanniotis Agricultural University of Athens, Greece
- A. Yeh University of Hong Kong, China
- B. W. Yeigh University of Washington, USA
- K. Yoshizato Hiroshima University, Japan
- T. X. Yu Hong Kong University of Science & Technology, Hong Kong
- M. Zador Technical University of Budapest, Hungary
- **R. Zainal Abidin** Infrastructure University Kuala Lumpur, Malaysia
- K. Zakrzewski Politechnika Lodzka, Poland
- M. Zamir University of Western Ontario, Canada
- G. Zappalà National Research Council, Italy
- R. Zarnic University of Ljubljana, Slovenia

The Sustainable City XV

Editor

Stavros Syngellakis Wessex Institute, UK Member of WIT Board of Directors



Editor:

Stavros Syngellakis Wessex Institute, UK Member of WIT Board of Directors

Published by

WIT Press Ashurst Lodge, Ashurst, Southampton, SO**G**A A, UK Tel: **4 0 328** Fax **4 0 328** E-Mail: witpress@witpress.com http://www.witpress.com

For USA, Canada and Mexico

Computational Mechanics International Inc25 Bridge Street, Billerica, MA 01821, USATel: 5678Fax5758E-Mail: infousa@witpress.comhttp://www.witpress.com

British Library Cataloguing-in-Publication Data

A Catalogue record for this book is available from the British Library

ISBN: 978-1-78466-447-3 eISBN: 978-1-78466-448-0 ISSN: **4** (print) ISSN: **3 4** online)

The texts of the papers in this volume were set individually by the authors or under their supervision. Only minor corrections to the text may have been carried out by the publisher.

No responsibility is assumed by the Publisher, the Editors and Authors for any injury and/or damage to persons or property as a matter of products liability, negligence or otherwise, or from any use or operation of any methods, products, instructions or ideas contained in the material herein. The Publisher does not necessarily endorse the ideas held, or views expressed by the Editors or Authors of the material contained in its publications.

© WIT Press **Q**

Open Access: All of the papers published in this journal are freely available, without charge, for users to read, download, copy, distribute, print, search, link to the full tex, or use for any other lawful purpose, without asking prior permission from the publisher or the author as long as the author/copyright holder is attributed. This is in accordance with the BOAI definition of open access.

Creative Commons content: The CC BY 4.0 licence allows users to copy, distribute and transmit an article, and adapt the article as long as the author is attributed. The CC BY licence permits commercial and non-commercial reuse.

Preface

The present volume contains a selection among the papers presented at the \mathbf{f} h International Conference on Urban Regeneration and Sustainability (Sustainable City 2021), organised by the Wessex Institute of Technology. This conference was originally scheduled to take place in Bilbao, in Spain, but subsequently had to be held online due to SARS-Covid pandemic.

Sustainable City 2021 follows a series of very successful meetings that started in Rio (2000), followed by Segovia (2002), Siena (2004), Tallinn (2006), Skiathos (2008), A Coruña (2010), Ancona (20), Kuala Lumpur (1), Siena (1), Medellin (1), Alicante (1), Seville (2017) and Valencia (2019). Last year's meeting was the first online edition, nevertheless, both 2 2 and 2 meetings attracted a large number of delegates as well as papers and presentations of high quality; this testified the worldwide interest in and success of the conference series.

Urban areas are met with a series of environmental challenges arising from the generation of waste and pollution as a consequence of ex essive consumption of natural resources; this contributes to a rise in social and economic imbalances. As cities continue to grow all over the world, these problems tend to become more acute and the search of new solutions becomes necessary. Coastal areas and coastal cities are particularly important due to their specific features. Their strategic location facilitates transportation and the development of related activities, but this requines the existence of large ports, with the corresponding increase in maritime and road traffic and all its inherent negative effects. New challenges are triggered by the SARS-Covid2 pandemic, which will forever change the city as a whole, and that change must be carefully driven to avoid shocks and dead ends.

The contributors of this conference address a wide range of issues connected, among others, to:

- improving the capacity to manage human activities, pursuing welfare and prosperity in the urban environment;
- investigation on or planning of a city considering the relationships between the parts and their connections with the living world;
- consideration of the dynamics of its networks (flows of energy, matter, people, goods, information and other resources) which are fundamental for an understanding of the evolving nature of today's cities;
- development of well-planned and managed urban environments, not only for reasons of efficiency and economics but also to avoid inflicting environmental degradation that causes

the deterioration of natural resources, qa lity of life and human health;

- consideration of the function and maintenance of ordered structures directly or indirectly supplied and maintained by natural systems;
- the multidisciplinary components of urban planning, the challenges presented by the increasing size of the cities, the amount of resources required and the complexity of modern society.

Large cities represent a fertile ground for architects, engineers, city planners, social and political scientists, and other professionals able to conceive new ideas and time them according to technological advances and human reqi rements. The variety of topics and epe riences is one of the main reasons behind the success of the series, which attracts a substantial number of contributions, in particular case studies investigated by contributors with distinct backgrounds belonging to different countries. The knowledge expressed, transmitted and discussed in all "Sustainable City" conferences will be crucial in shaping the post-pandemic cities of tomorrow.

For this reason, the papers contained in this book, as well as those from previous conferences since **Q** have been archived in the eLibrary of the Wessex Institute (http://www.witpress.com/ elibrary) where they are permanently accessible to the international scientific community.

The editor wishes to acknowledge the support of the authors, the members of the International Scientific Advisory Committee (ISAC), the referees, Marta Graczyk, the conference co-ordinator, as well as the WIT Press staff and Isabelle Rham, in particular.

Finally, the editor and ISAC members wish to honour the memory of the late Professor Carlos Brebbia, founder of Wessex Institute, who established this series of meetings having foreseeing its impact and appeal.

The Editor, **D**

Contents

Section 1: Urban strategies

Climate-neutral and smart cities: A European policies' overview Andrea Boeri, Danila Longo & Marco Palma	3
Planning with nature: Sustainable urban prototypes for Portuense district in Rome, Italy Francesca Silvestrini, Fabiola Fratini & Roberto Magini	15
Growing up: An urban design approach based on increased density Olivier Chamel & Bernd Dahlgruen	27
Exploring an integrated pathway for sustainable urban development of refugee camp cities and informal settlements <i>Mahesh Keswani</i>	37
Hacking the next Mexican territories: How to face the "crisis" of the territories and the gated-communities' system <i>Emanuele Giorgi</i>	51
Interaction between creative clusters and the built environment: Digital technologies versus urban buzz Selin Aktan	51
Urban planning revolution for increasing pedestrian mobility in Lisbon, Portugal Jorge T. Ribeiro, Alexandra R. Vieira, Susana Rosado & Francisco Serdoura	73

Section 2: Planning, development and management

Mental maps of Lisbon metropolis (Portugal) as a teaching strategy	
in urban geography	
Isabel Maria Madaleno	87
Planning sustainability in higher education: Three case studies	
Marco Schiavon, Marco Ragazzi, Elena Magaril, Maxim Chashchin, Anzhelika Karaeva, Vincenzo Torretta & Elena Cristina Rada	99

ITACA protocol: A possible path to sustainability in the governance	
Giuseppe Iiritano, Giovanna Petrungaro, Barbara Corasaniti	
Massimiliano Bagagli, Lorenzo Federiconi & Costanzo di Perna	111
Teaching and researching sustainable urban development processes	
Rien van Stigt	123
Delivering national economic infrastructure in South Africa:	
A review of strategic integrated project #3 Deena Govender	135
Environmental assessment and tourist carrying capacity for the	
development of geosites in the framework of geotourism, Guayaquil, Ecuador	
Paúl Carrión-Mero, Fernando Morante-Carballo, Paula Palomeaue-Arévalo & Boris Apolo-Masache	149
	177
Quality affordable housing concept: Case studies in Mehr, Iran, Dharavi, India, Al-Sharq, Jordan and Bashayer Al-Khair, Egypt	
Adel El-Menshawy & Amr Sharaby	161
Aspects of the public domain in regenerating waterfronts:	
Nataša Danilović Hristić, Nebojša Stefanović & Saša Milijić	173
Section 3: Circular economy at the city level	
Circular economy in Chile: Background, law and opportunities <i>Valeria Scapini & Priscilla Berrios</i>	185
Regional resurgence of wool	
Andra Camelia Clițan	195
Evaluating global municipal solid waste management efficiency from a circular economy point of view	
Elena Cristina Rada, Athanasia Tolkou, Ioannis Katsoyiannis, Elena Magazil, Andrey Kisaley, Eabio Conti, Marco Schiwon	
& Vincenzo Torretta	207
Sustainable design thinking and social innovation for beating barriers	
to circular economy Deniz Deniz	219
	-

Section 4: Urban metabolism

Space needed to make a city sustainable and necessary changes to reach it:	
The case of Germany	
Udo Dietrich	229
Water sustainability: A case study using social and economic metabolism perspective	
Elsa Gómez-Villán, Purificación Vicente-Galindo	
& Nathalia Tejedor-Flores	241
Urban metabolism as an approach to achieve resource efficient communities: A case study of Al Shakhloba, Burullus Lake, Kafr El Sheikh, Egypt <i>Alshaimaa Elabasy & Mohamed Ibrahim</i>	. 253
Greening the grey: Implementing green urban solutions, as adaptation response to climate change, in a pilot project in Legazpi, Basque Country, Spain Jon Laurenz Senosiain, Jone Belausteguigoitia & Daniel Roehr	265

Section 5: The community and the city

Measuring participation: A comparative study of citizen engagement processes in urban planning Jone Belausteguigoitia, Irati Alonso, Ane Chueca, Ane Elizegi, Sofía Hierro, Lucía Olavarri & Estibaliz Sanz	279
Potential of the public space to promote environmental behavior Marina Montelongo & Udo Dietrich	293
Property management of affordable housing: A resilience perspective <i>Xiaolin Lao</i>	309
Section 6: Social, cultural and economic aspects	
Sustainability of housing typologies in historic sites Alejandro Acosta Collazo	325
Towards socially sustainable waterfront urban regeneration: The case of Zayed Port design, Abu Dhabi Baraah Moutaz Hamdoon & Khaled Galal Ahmed	335
Challenges of Turkish heritage impact assessment practices: Case of Canal Istanbul, Turkey Burcu Can Cetin & Nuran Zeren Gulersoy	347

Investigation of the sense of community levels: Variables, dimensions and spatial analysis approach Pakinam Ashraf, Hany M. Ayad & Dina M. Saadallah	
Impact of lighting on children's learning environment: A literature review	
Michael Lekan-Kehinde & Abimbola Asojo	371
Section 7: Architectural issues	
Future of dwelling: The advantages of prefabrication in alleviating the residential crisis	
Camilo Cerro	383
Responsive façades design using nanomaterials for optimizing buildings' energy performance	
Heba Nabil Abdel Aziz & Mohamed Ibrahim Abdelall	397
Residential architecture: Evaluation of tenants' satisfaction in private culture	
Liudmila Cazacova & Balkiz Yapicioglu	409
Influencing factors on cooling demand of high-rise buildings in hot/humid climates: A review	
Bara Anaya, Danlin Hou, Ibrahim Hassan, Liangzhu (Leon) Wang & Aziz Rahman	423
Re-envisioning infrastructures, re-arming sustainable (unconventional) public spaces: Free design exercises for further improvement of the	
urban regeneration project for the Ferrocarril de Cuernavaca district of Mexico City, Mexico	
Giuseppe Caldarola	435

Section 8: The S3 city: Smart, sustainable and safe

Municipal IoT implementation strategy for Brasília, Brazil: Smart city guidelines at the local level <i>Felipe Muñoz la Rivera, Bruno Ávila Eça de Matos & Victor Lozano-Igualt</i>	
Education for sustainable development: A stakeholder analysis between	
a Chinese and Sino-foreign university Avotunde Dawodu, Franklyn Awonfor, Haoyue Dai, Shengyu Li	
Chengyang Wu, Xiaoyan Yang & Ziyi Yan	463

Section 9: Urban transportation and planning

Estimating and updating gap acceptance parameters for HCM6th roundabout capacity model applications Antonio Pratelli, Lorenzo Brocchini & Nicola Francesconi	477
Retrofitting public transport systems to reduce health hazards from pandemics Rockley G. Boothroyd	487
Study on effectiveness of Shinkansen Station catchment area: A case study of Fukushima Shinkansen station, Japan Kittipong Tissayakorn, Fumihiko Nakamura, Shinji Tanaka, Ryo Ariyoshi & Shino Miura	499

Section 10: Environmental management

Approaches to the assessment of ecological and economic efficiency of investment projects: Brief review and recommendations	
for improvements	
Anzhelika Karaeva, Elena Magaril, Hussain Al-Kayiem,	
Vincenzo Torretta & Elena Cristina Rada	5
Smart happy city	
Lamiaa Shaheen & Mohamed Abdel Aal Ibrahim	7
Adjusted energy benchmarking system under COVID conditions:	
Juan David Barbosa, Min Lin & Edwin Rodriguez-Ubinas	9
Socio-economic models to assess and policy instruments to steer	
the impact of nature-based solutions: A review Rita Mendonça, Peter Roebeling, Teresa Fidélis & Miguel Saraiva	1
Examining the effects of the COVID-19 lockdowns on reducing pollutant concentrations in US urban areas:	
Evidence from Los Angeles, Seattle and New York City	
Edward Wei	5
Public knowledge and perception of climate change and global warming	
in the context of environmental challenges and policies in Saudi Arabia	
57′ Abdulaziz I. Almulhim	7

Section 11: Waste management

Proposal for the management of solid waste generated in a university	
campus: A case study	
Bethy Merchán-Sanmartin, Patricia N. Almeida, Mayra Brocel,	
Bryan R. Pinto, Karen Córdova, Anthony Mullo, Paúl Carrión-Mero	
& Edgar Berrezueta	593
Solid waste management: A case study of Phuket Old Town Night	
Market, Thailand	
Sineenart Puangmanee & Kanlayarat Chuaisinuan	605
-	

Section 12: Urban agriculture

Potential threats of peri-urban Tuong-mango production and policy
Truong Hong Vo Tuan Kiet, Pham Thi Nguyen & Nguyen Thi Kim Thoa
Urban agriculture as a sustainable option for solid waste management: Case study of an Indian city
Hari Prasad Agarwal, Suchandra Bardhan & Debashish Das
Author index

SECTION 1 URBAN STRATEGIES

This page intentionally left blank

CLIMATE-NEUTRAL AND SMART CITIES: A EUROPEAN POLICIES' OVERVIEW

ANDREA BOERI, DANILA LONGO & MARCO PALMA Department of Architecture, University of Bologna, Italy

ABSTRACT

Cities are increasingly defined as one of the most important actors in the transition to a climate-neutral Europe. The reasons are multiple, and some of them are the increasing number of inhabitants and the demographic trends; the percentage of emissions produced by the urban areas; the innovation potential, thanks to the concentration of creativity and knowledge; the political dimension that helps in involving the citizens; the economical role and the fact that cities and towns are transport networks' hubs. Recognizing this crucial role, the European Union is updating its own policies on the matter, promoting challenging strategies as the Horizon Europe Mission on "Climate-neutral and Smart Cities". This article analyses the European policies and strategies aimed at tackling the climate change in the urban areas. They are making the cities the forefront where to speed up the transition and promote flagship actions able to pave the way for reaching the European Green Deal goals. Moving from the main policies, this article investigates the overall ratio behind them and presents the tools and the actions promoted by the European Commission to support the local authorities in recognizing and facing the challenge, which is at the very core of the New Generation EU programme and a key enabler in designing the post-Covid Europe. On the other hand, this article briefly presents the main activities that cities are expected to develop in the next decade in order to fully contribute to the European ambition to be the first carbon-neutral continent on the planet by 2050.

Keywords: cities, citizens engagement, climate change, tools for transition, policies.

1 INTRODUCTION

Since the beginning of 2020, we are experiencing a dramatic pandemic with millions of deaths and extreme negative social and economic consequences. Our daily life is unexpectedly radically changed, and all those elements have demonstrated to the humanity how fragile is the societal systems we assumed to be untouchable.

However, many scientists warn us about the fact that the consequences and changes caused by Covid-19 are minor in comparison to what we may see in the next decades due to the global warming and the climate change. Limiting our focus on direct health related risks, the Intergovernmental Panel on Climate Change (IPCC) underlines that "any increase in global warming is projected to affect human health, with primarily negative consequences and risks from some vector-borne diseases, such as malaria and dengue fever, are projected to increase with warming from 1.5°C to 2°C, including potential shifts in their geographic range" [1].

International efforts to limit the temperature increase are often judged by experts and environmental associations and activists as contradictory since declarations of commitment are not followed by concrete action plans. The Sustainable Developments Goals (SDGs) adopted by all United Nations Member States in 2015 sets the shared blueprint of the 2030 Agenda for Sustainable Development. This Agenda, together with the Paris Agreement, is today the most comprehensive international agreement on the issue. However, both these documents delegate member states in adopting adequate measures to contribute to the global effort, without setting a clear and common action plan.



WIT Transactions on Ecology and the Environment, Vol 253, © 2021 WIT Press www.witpress.com, ISSN 1743-3541 (on-line) doi:10.2495/SC210011 In the last years, the European Union proposed itself as the global leader of the climate transition, adopting ambitious policies and setting goals and milestones to reach the climate-neutrality within the 2050.

In this context, cities are assuming a main role. On one hand, urban areas are responsible of 70% of the global CO_2 emissions and two-thirds of the energy consumption. In the European Countries, "the building sector is the single largest energy consumer (40%), the largest raw materials user (50% of the extracted materials) and thus one of the largest greenhouse gas emitters (36% of energy-related direct and indirect emissions) [2]. As stated by the European Mission Board on Climate-neutral and smart cities, "cities cover about 3% of the land on Earth, yet they produce about 72% of all global greenhouse gas emissions" [3]. Considering that "the population residing in urban areas is projected to reach 83.7% in 2050" [4], it is clear how crucial it is to radically transform our cities.

On the other hand, there is an increasing number of cities adopting plans and strategies for facing climate change. European Eurobarometer has measured the growing concern of European citizens, underlining that "awareness of climate change and the need for action is increasingly widespread and one of the largest demonstrations of this in recent times is the youth movement for climate that has garnered strong support in countries around the word" [5].

Municipalities and local authorities are the first level of governance, with the stronger relation with citizens, and it partially explains local efforts. Furthermore, many cities are facing growing issues related to climate change, as floods, heat islands, pollution, extreme weather events, and this encourages them to act. But "cities are also the melting pot where decarbonization strategies for energy, transport, mobility and even industry and agriculture coexist and intersect" [6]. Considering all these elements together, we can assume the urban areas are one of the crucial actors in facing the climate emergency and putting in place ambitious policies and adequate solutions.

The European Commission recognizes this role through its policy documents. In the last Multiannual Financial Framework there are increased resources and activities devoted in supporting cities willing to promote and realize demonstrators, governance reforms and structural transition actions toward a net zero greenhouse gas emissions society within 2050.

2 FACING CLIMATE CHANGE IN URBAN AREAS: THE EUROPEAN GREEN DEAL AND THE MAIN RELATED POLICIES

The European commitment to climate change is growing every year more. A complex policies review is currently in place to align different legislations and regulations to the political objectives set by the European Commission and the Member States. New policies, strategies and regulations have been adopted as well, and the already existing legislations will be updated for guaranteeing a coherent scenario. The core of this approach set by the European Green Deal.

92% of European citizens "agree that greenhouse gas emissions should be reduced to a minimum while offsetting the remaining emissions, in order to make the EU economy climate neutral by 2050" [7]. It seems that the communication of the European Commission establishing "a European strategic long-term vision for a prosperous, modern, competitive and climate neutral economy" [8] benefits a widespread consensus among the European citizens.

The vision establishes a precise goal to be reached within the 2050: making Europe the first carbon-neutral continent on the planet. This ambitious target is rooted on the scientific evidence that underlines the need to face global warming containing the temperature increase

within 1.5°C above pre-industrial levels. However, it is as well a development strategy, betting on climate neutrality as a fundamental pillar to guarantee Europe's prosperity.

According to this point of view, European Commission estimates that the EU economy will "more than double by 2050 compared to 1990 even as it fully decarbonized. A trajectory compatible with net-zero greenhouse gas emissions, together with a coherent enabling framework, is expected to have a moderate to positive impact on GDP with estimated benefits of up to 2% of GDP by 2050 compared to the baseline. Very important, these estimates do not include the benefit of avoided damage of climate change and related adaptation costs" [8].

The strategy "set[s] the direction of travel for the EU climate and energy policy, and frames what the EU considers as its long-term contribution to achieving the Paris Agreement temperature objectives in line with UN Sustainable Development Goals" [8]. The plan describes a holistic approach, and, for that reason, it does not promote new policies, but strives for influencing both the European and the national strategies, laws, and rules. The first legislations and regulations included in the European Green Deal are the Climate Law, the Climate Pact, the new European Strategies on Climate Adaptation, and the 2030 Climate Target Plan, which are presented in the following sections.



Figure 1: European green deal, regulations, and policies.

While active citizens engagement is at the base of the strategy, different sub-fields of intervention are energy efficiency, renewable energy, mobility, industry, infrastructures and agriculture.

In the framework of this long-term vision, citizens – who are requested to "embrace change, get engaged and experience it as beneficial for their lives and that of their children" [8], but also to play a key role as consumers – and cities have a crucial role. The European Commission recognizes that cities are already laboratories for transformative and sustainable solutions, underlining that many of the needed transformations can take place in the urban areas.

2.1 The climate law

The European Climate Law proposed by the Commission represents the legislative face of the European commitment in facing climate change. It defines roles and instruments for



implementing the European Green Deal's principles, setting the path for both realizing the transition and monitoring it.

While we are writing this article, the Climate Law is still under negotiation between the European Commission, the Member States' Council, and the European Parliament. However, some agreements have already been reached and the main points which will characterize the law are already set down.

Thanks to the law, the objective of a net zero emission Europe within 2050 will be legally binding. Furthermore, intermediate targets will be established and the first refers to 2030 when Europe aims at reaching the target of reducing the greenhouse gas emissions by at least 55% compared to the 1990's levels. Moreover, the Commission will monitor if and how national measures will be in line with the European targets, and in case the targets are not met, the Member States will be obliged to take in due account the Commission's recommendations.

Moreover "the Commission proposes the adoption of a 2030–2050 EU-wide trajectory for greenhouse gas emission reductions, to measure progress and give predictability to public authorities, businesses and citizens" [9].

2.2 The climate pact

"We have not moved fast enough to prevent irreversible and catastrophic climate change" [10]: this is the main reason why, among the other policies, the Commission has decided to promote a European Climate Pact aiming at bringing together "regions, local communities, civil society, industry and schools [that] will design and commit to a set of pledges to bring about a change in behaviour, from the individual to the largest multinational" [11].

The Pact is not strictly a policy nor a strategy or a funding tool. On the contrary, it reflects the confidence about the essential role played by non-institutional actors in facing the climate change emergency. It is the reason which pushes the European Commission in looking into new inclusive and participative paths able to produce a huge impact on the European society.

Through the European Climate Pact, the Commission tries to actively involve different stakeholders – from citizens to bigger organizations – to:

- create a network of those already active in climate action and attract interest of those 'indifferent' or 'hard to reach', with the general objective of raising awareness.
- Engage with citizens and stakeholders, in order to spread potential solutions and best practices: the Climate Pact Ambassadors, who are volunteers endorsed by the European Commission, are at the core of this type of activity that foresee climate literacy and education programmes as well.
- Support citizens, local authorities, and other stakeholders in implementing actions, particularly thanks to digital tools aimed at helping citizen dialogues and spreading information through a Knowledge Hub.

Although the Climate Pact is designed to operate on all the different fields that influence climate change, four beginning topics have been identified: green areas, green mobility, green buildings, and green skills. These topics have been chosen for two main reasons: on the one hand, they are the most prioritized items from the European Union; on the other hand, policies, support mechanisms and European strategies on these topics are already in place and need to be boosted.



2.3 The new European strategy on adaptation to climate change

"Halting all greenhouse gas emissions would still not prevent the climate impacts that are already occurring" [12]. The European Strategy on Adaptation to Climate Change works on the other face of the coin: recognizing the fact that climate change is already ongoing – and the consequences are inevitable – the strategy emphasizes the crucial role of adaptation for reaching the European 2050's goals.

Knowledge and digitalization are at the core of this strategy. Sharing information and facilitate a climate assessment decision-making are two crucial points in the adaptation's path. By this means, the Climate Adapt Platform is at the same time a strategic European tool for disseminating knowledge and information and for promoting a European climate and health observatory. The platform collects information and data from different sources – as Copernicus – and contributors.

The local dimension is considered crucial for adaptation. For that reason, the strategy promotes two main pillars: on the one hand, it wants to foster a just and fair way for spreading adaptation measures; that means both supporting local authorities in implementing actions and reskilling workers through tailored educational programmes. On the other hand, the strategy aims at integrating climate resilience in national fiscal frameworks: this will push the development of tools for measuring the potential impact of climate-related risks on public finance and prevent the fiscal impact of climate-related events which may have a negative impact on economic growth.

Concerning the type of actions, nature-based solutions (NBS) on a larger scale are considered an important contributor for reaching multiple Green Deal objectives. They are not only useful for improving the resilience but are also considered as a solution for carbon removals. For that reason, the Commission aims at leveraging investment on this kind of blue and green infrastructure, which involve the urban areas as well. Regarding infrastructures, the strategy also underlines that "extreme weather and long-lasting climatic changes can damage buildings and their mitigation potential e.g., solar panels or thermal insulation [...]. However, buildings can also contribute to large-scale adaptation" [12].

2.4 The 2030 climate target plan

In September 2020, the European Commission proposed new climate targets to be reached within the 2030, underling that "the climate crisis remains the defining challenge of our time" [13]. The Commission bases the proposal on the evidence that "in 2019 EU emissions, including removals, were down by an estimated 25% compared to 1990, while over the same period the economy has grown by 62%. This proves that we can tackle climate change and ensure sustained economic growth" [13]. On the other hand, measures foreseen by the existing legislative tools are non-enough in order to guarantee a secure, well-planned and sustainable path towards making Europe the first continent carbon-neutral within the 2050. For these reasons, a new and more ambitious target has been set up, and the Commission proposed to reduce the overall CO_2 emission by 55% within 2030, compared to 1990.

Reaching this goal means developing multi-sector actions, intervening also in areas in which to reduce emissions has been proved to be harder. From this point of view, particular challenges exist on transport, agriculture, and buildings.

The document set targets on different fields, like building and power generation, renewable energy, heating and cooling, buildings renovation, transport, industry.



3 TRANSFORMING THE CITIES: THE MAIN POLICIES

Specific policies have been established over the years to support local authorities in enhancing their actions on different fields. During the last years, the focus has been partially shifted on issues related to climate change, considering the actions fields from mitigation and adaptation to inclusiveness and awareness. How to govern the processes is a focal point as well. This section describes the main European policies aimed at enforcing the local governments' role in guiding the transition.

3.1 The new Leipzig charter

The Leipzig Chapter has been adopted by the European Ministers responsible on Urban Matters in 2007 and refocused in November 2020 with the aim of providing a policy framework to adequately respond the challenges underlined by the 2030 Agenda for Sustainable Developments, the Paris Agreement, and the European Commission's Green Deal. The document sets the strategic principles of a good urban governance.

It recognizes cities as enabler of cultural social, ecologic, and economic interaction, underling that culture is at the core of any sustainable urban development. According to this point of view, the chapter remembers that "most cities are unique, historically grown centers of outstanding cultural value shaping Europe's urban heritage and the identity of its citizens" [14]. For the same reasons, cities are places of pluralism, creativity and solidarity and laboratories for new forms of problem solving and test beds for social innovation.

The chapter identifies three spatial levels that need to be activated for pursuing the common good: the neighbourhood, where it is possible to set out actions aimed at community building and inclusiveness; the local authorities, which are the intermediate bodies linking the small-scale neighbourhoods with the wider functional areas; this last dimension is defined as the complex network of functional interdependencies and partnerships where a resilient development can take place. This implies a strong coordination and collaboration between town and cities and their surrounding suburban and rural areas.

Three different action areas characterize the urban transformation's concept, which has to integrate social, ecological and economic dimensions of the sustainable development: the just city, where no-one has left behind; the green city, where actions on green spaces, energy, building efficiency, biodiversity, green and blue infrastructures, natural-based solutions, mobility and services are designed and implemented in a coordinated and synergic manner; the productive city, promoting especially new small sustainable and local business, lowemission manufacturing and urban agriculture. Two are the main enablers: the digitalization and high-quality public spaces allowing people to interact, exchange and integrate into the society.

For reaching these goals, the chapter set a list of cities needs as well: clear and coordinated legal framework conditions; investment capacities; adequately skilled employees; steerability and shaping of infrastructures.

3.2 The New European Bauhaus initiative

"The New European Bauhaus (NEB) initiative is a think-do tank. A design lab, accelerator, and network at the same time. A creative and interdisciplinary movement, convening a space of encounter to recuperate and revisit sustainable practices forms, empower the most inspiring practices of today, and design future ways of living, at the crossroads between art, culture and science" [15]. This is the brief definition the European Commission gives about this new initiative strongly endorsed by Ursula Von Der Leyen at the beginning of 2021. In



a video [16], the President of the European Commission said that the NEB is "a project of hope" that designs "how we want to live after the pandemic while respecting the planet and protecting our environment". The initiative has been presented by her as "an inclusive and collective process" engaging "professional architects, citizens, CEOs of big companies and innovative start-ups" bringing their ideas together.

The keywords of the initiative are creativity, innovation, imagination design. The European Commission aims at fostering the transition requested by the European Green Deal connecting the actions required for facing the climate change with improvement of the citizens' quality of life. Green and digital transitions are therefore mutually connected, and the expectations are to push transformations in the market and in the behaviours as well, focusing beyond buildings.

The New European Bauhaus has been structured in 3 different phases:

- The Design phase, with the aim to connect with existing initiatives and projects to see where and how the NEB can accelerate, concretize and materialize good ideas.
- The Delivery phase, that aims at learning and benefit from five NEB pilots selected through a call for proposals and open to all the design phase's participants ("community of practice").
- The Dissemination phase, with the aim to diffuse good ideas and concepts to a broader audience in Europe and beyond.
- 3.3 Involving the local authorities: Covenant of Mayors and Green City accord

The Covenant of Mayors is one of the longest running European initiatives related to sustainability and cities. Launched in 2018 by the European Commission as a tool for promoting energy efficiency and energy transition, it has been updated in 2015 as the Covenant of Mayors for Climate and Energy, after being merged with the twin initiative Mayors Adapt. Since 2016 the initiative joins forces with the Compact of Mayors, the global initiative launched by the United Nations in 2014.

It involves cities on a voluntary basis, committing the local authorities to a shared vision and common goals. With the latest updates, the initiative has aligned its goals with the European Green Deal, paving the way for reaching the 2050's objectives.

"In order to translate their political commitment into practical measures and projects, Covenant signatories commit to submitting, within two years following the date of the local council decision, a Sustainable Energy and Climate Action Plan (SECAP) outlining the key actions they plan to undertake. The plan features a Baseline Emission Inventory to track mitigation actions and a Climate Risks and Vulnerability Assessment" [17]. After the approval the local plans are included in the initiative and the signatories commit themselves in monitoring the progresses.

Nowadays, more than 10,000 local administrations around Europe participate in the initiative, involving more than 334,832,000 European citizens. The Covenant of Mayors represents the most recognized European initiative that supports and coordinates the Municipalities in planning how to mitigate and adapt to climate change, but the participation is different within Member States.

The Green City Network [18] has been launched by the Commission in 2021, focuses on environmental management and involving cities on five main goals which the signatories want to achieve by 2030: improving the air quality; promoting water use efficiency; enhancing urban biodiversity; advancing circular economy and reducing noise pollution. The document does not set any baseline or target, which are both delegated to the signatory authorities which are expected to go beyond the minimum requirement set by EU legislation.

4 FINANCING THE TRANSITION: THE MAIN EUROPEAN TOOLS Financial aspects are crucial for implementing policies and developing transformative actions that will radically change the urban landscape and lifestyles as well. In this section, we focus on the main financing tools provided by the European Commission, deepening those that are expected to provide the most disruptive innovations.

4.1 The financing programmes: Horizon Europe, Life and the new European Urban Initiative post 2020

We are currently at the beginning of the new Multiannual Financial Framework adopted by the European Parliament, EU Member States in the Council, and the European Commission on 10 November 2020. The agreement includes the Recovery Plan for facing the pandemic and its consequences, and the long-term budget for 2021–2027 consists of €1.074 trillion.

The Multiannual Financial Framework covers different funding programmes which focus on a multitude of topics and challenges. The main headings are:

- Single Market, Innovation and Digital
- Cohesion, Resilience and Values
- Natural Resources and Environment
- Migration and Border Management
- Security and Defence
- Neighbourhood and the Word
- European Public Administration

At least 30% of the overall budget will be spent on projects and activities contributing to facing the climate change. Certainly, the climate transition – which, on the European documents, need to be fair, inclusive, and just – will be one of the goals of every European Funding Programmes, and each of them will face the challenge from different points of view. However, two programmes will pave the path for the transition: Horizon Europe and Life Programme.

Horizon Europe is the framework programme for research and innovation, the one with the biggest budget in the European context. Climate change is a cross-cutting issue, characterizing all the three programme pillars. However, specific destinations and topics are included especially in pillar two. Concerning the cities' role, a specific section is included in the cluster 5, destination 2, where topics that aim at facing climate change in urban areas are proposed in the section "Communities and cities". Furthermore, following Professor Mazzuccato's proposal, Horizon Europe includes Missions defined as "commitments to solve some of the greatest challenges facing our world" [19]. One of these Missions is devoted to promoting climate-neutral and smart cities (see Section 4.2).

Life is the European funding programme historically devoted to preserve the environment, the nature, and the biodiversity. Since 2014, it has partially changed its own mission, including climate action as a sub-programme.

Towns and cities can find financial support also through the new European Urban Initiative post 2020, rooted on the experience of the programme Urban Innovative Actions, which have had five thematic calls in the period 2014–2020. The new initiative is structured on three main strands:



- capacity-building, with the aim of fostering a community of practice able to support cities around Europe in accelerating the transition.
- innovative actions, with which experimentation in the area of sustainable urban development focusing on innovation and governance can be carried out.
- knowledge dissemination, sharing data and information to support a better policy design.

This initiative is programmed under the European Regional Development Fund (ERDF).

4.2 The Mission "Climate-neutral and smart cities"

"The introduction of a Climate City Mission is a radical new way of achieving climate neutrality – and of doing so faster, by 2030. The Mission aims to promote system innovation across the value chain of city investment, targeting multiple sectors such as governance, transport, energy, construction, and recycling, with support from powerful digital technologies. As such, it requires a change in regulations, approaches and instruments combined with the willingness to go beyond existing schemes and habits. The Mission also demands a change of attitude towards practical aspects of implementation, but also as concerns people and organizations working together: citizens, local governments, central and regional governments, and European institutions. We expect citizens, city administrations and political leaders to show commitment, imagination and determination" [20].

The role the urban areas are gathering is well demonstrated by the Mission '100 climateneutral cities by 2030 – by and for the citizens. If in the past cities were the location where deploying actions, this new approach defines them as one of the main actors of the transition. The focus changes and moves from technologies to governance. Even though innovation in different fields is still required, the process on how the transformation can be implemented is considered as the real potentially disruptive element for reaching the 2050 targets.

The most important innovation introduced by the Mission's approach is considering the governance as the key-enabler for any transformation. Focusing on how a zero-emission society can be reached, the Mission introduces two different but complementary levels: the first is the local level, where citizens need to be actively involved. That is not just a democracy issue: the inhabitants are at the same time citizens – having the right to participate in the transformation of the place where they live – and users, producers, consumers, and owners. It means that they are key actors without whom it is not possible to reach the goal. In other words, the concept of democracy is itself moving up from the delegation towards new decision-making forms in which "citizen engagement has to be inclusive, deliberative and influential" [21].

The second level is the one that includes different institutional actors. In the last decades, local authorities where more beneficiaries than actors of the European policies. They could receive funds for implementing actions, but the institutions involved in defining the priorities where mainly the regional and national governments. The Mission's approach, on the contrary, poses the cities at the very center# of the priorities' definition. It happens for two main reasons: on one side, differences between cities in societal, environmental, economic, and urban dimensions are recognized and solutions need to be designed taking into account these aspects. Starting from this evidence, the Mission asks the cities to self-assess their readiness and design specific and targeted solutions. On the other side, the Mission recognizes the interconnections between local, regional, national, and European policies, calling for an integrate and multi-level approach. By this way, local authorities become the nodal point of a network of institutional relations that involve all the different actors, connecting their actions with the regional and national strategies for carbon neutrality by



2050 and assuming that the measures taken should not be physically unconnected or stop working at the borders of the cities.

The main tool foreseen by the Mission as underpinning these characteristics is the Climate City Contract that should be the result of a co-creation's approach involving citizens, local communities, stakeholders, and relevant institutions. Ideally, the contract has to be signed by all institutions involved in the policies, both at the local, regional, national and European level.

The climate contract includes the goals and the targets, specifies the strategy and the action plan for transition, and identifies stakeholders and responsibilities.

5 POLICIES ANALYSIS AND SHORT CONCLUSIONS

The European Commission gives great emphasis on the potential role Europe can play in the global context for facing the climate change and the increasing importance of urban areas in developing actions in line with the ambitious goals which have been set.

Reading the Commissioners' statements and the principles set through regulations and strategies, we can find a comprehensive approach that covers many aspects related to the complexity of the challenge: air, soil, water, human activities, energy, buildings, mobility, and many other topics are part of documents as the European Green Deal. At the same time, we can find an increasing coordination between different strategies and policies, which refer to the same challenges covering different aspects. The funding instruments seem to be aligned with the strategic propositions; however, implementation will be crucial to understand the impacts of the actions.

Participation, civic engagement, and empowerment are often quoted in these documents. There is no doubt about the importance of involving citizens in changing our cities and our behaviours. However, it is still ambiguous which kind of participatory tools the European Union will set out in the following years. In the last months consultation appeared as the most used instrument by the Commission, and during the introduction of new policies like the European Green Deal and the New European Bauhaus, the European Commission asked citizens and stakeholders to share opinions and ideas. However, this may not be enough to ensure an increasing role to European citizens in defining policies, investments, and actions for facing climate change. Indeed, the decision process remains unchanged, and the tools introduced seems to be more like a kind of polls than new and innovative participatory paths.

Following this argumentation, the choice of the stakeholders to be at the core of the process will make a huge difference. In the last decades Europe has often been the space in which lobbies and multi-national powers strongly influenced the decision-making process whereas civic organizations and social movements had a very marginal voice. The kind of balance of power to be set out in the following years will define the strategy underpinning the climate transition, the selected technologies, and the social solutions. The Europe we will live in 2050 will be the results of this balance.

More in general, it is still unclear how successfully demonstrators and pilot actions promoted and funded by the policies presented in this article will be replicated in towns and cities. From this point of view, a clear European vision appears to be undeveloped, and the financing tools seem to be dedicated at promoting single best practices and flagship initiatives more than finding structural solutions that involve all the Member States. Moreover, how general objectives and challenging goals set out by the Commission for the European continent will be translated into national and local concrete actions and investments is an issue that the documents analysed in this article do not present in depth. Thinking about subsidiarity, this may seem as a good strategy; however, strong differences between Member States may negatively affect the final results, preventing Europe to reach the common goals.



How European strategies are transposed into national legislations is still problematic and represents a threat to the strategies' implementation.

Following this point, the European approach seems to still lack capacity building and technical support tools. Europe has dozens of big cities with efficient administrative structures, but we cannot forget the hundreds of thousands of small towns and villages, which are the core of the European urban landscape. These ones do not often have structured internal offices able to develop programmes and projects for implementing the European projects. From that point of view, new technical assistance instruments and dedicated financing initiatives seems to be crucial to spread best practices and involve all European citizens – and not only those living in the biggest and richest cities – in a just transition toward a net zero emissions Europe.

In conclusion, declarations and actions are still far from each other. The 2050 European vision is more a development plan than a social and ecological answer to the crisis; furthermore, following the Arnstein's Ladder [22] on the degrees of citizen participation, the citizens' empowerment seems more a tokenism than a real and effective citizens control on policies and choices. Member States still have the final world about how to implement the policies described in this article. Municipalities are often more engaged than national authorities in facing climate crisis, but without a strong coordination and multilevel synergies, the challenging goals the European Union wants to reach are not achievable. Cities are without any doubt key players in facing global warming and involving the inhabitants in huge changes: however, policies need not only a vision, but precise and mandatory goals, measurable impacts, and large investments. Involving European citizens in achieving a climate-neutral continent means shifting power from lobbies to communities, establishing common deliberative processes that municipalities can use in every European country in order to develop local mitigation strategies.

REFERENCES

- [1] Masson-Delmotte, V. et al. (eds), Summary for policymakers, global warming of 1.5°C: An IPCC special report on the impacts of global warming of 1.5°C above preindustrial levels and related global greenhouse gas emission pathways, in the context of strengthening the global response to the threat of climate change, sustainable development, and efforts to eradicate poverty, IPCC. https://www.ipcc.ch/sr15/ chapter/spm/. Accessed on: 26 Apr. 2021.
- [2] European Commission, Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, European Climate Pact: Brussels, 2020.
- [3] European Mission Board on Climate-neutral and smart cities, 100 climate-neutral cities by 2030 by and for the citizens. Report of the Mission Board, 2020.
- [4] United Nations, Department of Economic and Social Affairs, Population Division, World Urbanisation Prospects 2018: Highlights (ST/ESA/SER.a/421), 2019.
- [5] European Parliament, Special Eurobarometer 490, Climate Change, 2019.
- [6] European Mission Board on Climate-neutral and smart cities, 100 climate-neutral cities by 2030 by and for the citizens. Report of the Mission Board, 2020.
- [7] European Parliament, Special Eurobarometer 490, Climate Change, 2019.
- [8] European Commission, Communication from the Commission to the European Parliament, the European Council, the Council, the European Economic and Social Committee of the Regions and the European Investment Bank. A Clean Planet for all – a European Strategic Long-Term Vision for a Prosperous, Modern, Clean, Competitive and Climate Neutral Economy (COM (2018) 773 Final), 2018.



- [9] European Commission, European Climate Law. https://ec.europa.eu/clima/policies/ eu-climate-action/law_en. Accessed on: 22 Apr. 2021.
- [10] European Commission, Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, European Climate Pact: Brussels, 2020.
- [11] European Parliament, European Parliament Resolution of 16 Nov. 2017 on the EU Environmental Implementation Review (2018/C 356/14), 2018.
- [12] European Commission, Communication from the European Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, Forging a Climate-resilient Europe the new EU Strategy on Adaptation to Climate Change, Brussels, 2021.
- [13] European Commission, Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, Stepping up Europe's 2030 Climate Ambition. Investing in Climate-Neutral Future for the Benefit of our People, Brussels, 2020.
- [14] Informal Ministerial Meeting on Urban Matters, The New Leipzig Chapter Adopted on 30 Nov. 2020.
- [15] European Commission, New European Bauhaus, 2021.
- [16] Statement by Ursula von der Leyen on the occasion of the launch of the design phase of the New European Bauhaus. https://europa.eu/!RQ49Gv, Accessed on: 14 Apr. 2021.
- [17] European Commission, Signatories' Commitments. https://www.covenantofmayors.eu/about/covenant-initiative/objectives-andscope.html. Accessed on: 26 Apr. 2021.
- [18] European Commission, Green City Accord. Clean and Healthy Cities for Europe, 2021.
- [19] European Commission, What are the EU Missions? https://ec.europa.eu/info/horizoneurope/missions-horizon-europe_en. Accessed on: 27 Apr. 2021.
- [20] Hanna Gronkiewicz-Waltz, Chair of the Mission Board for Climate Neutral and Smart Cities. Report of the Mission Board, Sep. 2020.
- [21] European Commission, 100 Climate-neutral cities by 2030 by and for the Citizens. Report of the Mission Board, 2020.
- [22] Arnstein, S.R., A ladder of citizen participation. Journal of the American Institute of Planners, 35(4), pp. 216–224, 1969.

