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Early growth characteristics and the risk of reduced lung function and asthma: A meta-analysis of 25,000 children

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## Original Article

# Early growth characteristics and the risk of reduced lung function and asthma: a meta-analysis of 25,000 children

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**Key words:** Preterm birth, low birth weight, infant growth, asthma, lung function, children, meta-analysis

1 **ABSTRACT**

2 **Background** Children born preterm or with a small-size-for-gestational-age are at increased  
3 risk for childhood asthma.

4 **Objective** To assess the hypothesis that these associations are explained by reduced  
5 airway patency.

6 **Methods** We used individual participant data of 24,938 children from 24 birth cohorts to  
7 examine and meta-analyze the associations of gestational age, size-for-gestational-age, and  
8 infant weight gain with childhood lung function and asthma (age range 3.9 – 19.1 years).  
9 Second, we explored whether these lung function outcomes mediated the associations of  
10 early growth characteristics with childhood asthma.

11 **Results** Children born with a younger gestational age had a lower FEV<sub>1</sub> (forced expiratory  
12 volume in 1 second), FEV<sub>1</sub>/FVC (FEV<sub>1</sub>/forced vital capacity), and FEF<sub>75</sub> (forced expiratory  
13 volume after exhaling 75% of vital capacity), whereas those born with a smaller size-for-  
14 gestational-age at birth had lower FEV<sub>1</sub> but higher FEV<sub>1</sub>/FVC (p-values<0.05). Greater infant  
15 weight gain was associated with higher FEV<sub>1</sub>, but lower FEV<sub>1</sub>/FVC and FEF<sub>75</sub> in childhood  
16 (p-values<0.05). All associations were present across the full range and independent of  
17 other early life growth characteristics. Preterm birth, low birth weight and greater infant  
18 weight gain were associated with an increased risk of childhood asthma (pooled odds ratio  
19 (95% CI): 1.34 (1.15, 1.57), 1.32 (1.07, 1.62) and 1.27 (1.21, 1.34), respectively). Mediation  
20 analyses suggested that FEV<sub>1</sub>, FEV<sub>1</sub>/FVC and FEF<sub>75</sub> may explain 7 (2, 10)% to 45 (15, 81)%  
21 of the associations between early growth characteristics and lung function.

22 **Conclusions** Younger gestational age, smaller size-for-gestational-age, and greater infant  
23 weight gain were across the full ranges associated with childhood lung function. These  
24 associations explain to a substantial extent the risk of childhood asthma.

25

26 **Capsule Summary**

27 Younger gestational age, smaller size-for-gestational-age at birth, and greater infant weight  
28 gain are independently and across the full ranges associated with lung function adaptations,  
29 and might explain 7-45% of the risk of childhood asthma.

30

31 **Clinical implications**

32 Early growth characteristics may persistently affect lung function, and thereby contribute to  
33 the risk of obstructive respiratory diseases in later life.

34

35 **Abbreviations**

36	FEV <sub>1</sub>	Forced expiratory volume in 1 second
37	FVC	Forced vital capacity
38	FEF <sub>25-75</sub>	Forced mid-expiratory flow
39	FEF <sub>75</sub>	Forced expiratory flow after exhaling 75% of the vital capacity
40	SDS	Standard deviation scores
41	ATS/ERS	American Thoracic Society / European Respiratory Society
42	BMI	Body mass index



43 **INTRODUCTION**

44 Children born extremely preterm or with a low birth weight have high rates of neonatal  
45 respiratory diseases such as infant respiratory distress syndrome and bronchopulmonary  
46 dysplasia (1). An accumulating body of evidence suggests that these children also have an  
47 increased risk of chronic obstructive respiratory diseases in adulthood (2). More recent,  
48 prospective studies in children suggest that preterm birth and small size for gestational age  
49 at birth increase the risk of childhood asthma (3). Recent results of a meta-analysis of  
50 individual participant data of 147,000 children participating in prospective birth cohort studies  
51 showed consistent associations of younger gestational age at birth and greater infant weight  
52 gain with childhood asthma (4). The associations of lower birth weight with childhood asthma  
53 seem to be largely explained by gestational age at birth (4). The mechanisms underlying the  
54 associations of early growth characteristics with childhood asthma are not known yet. Airway  
55 caliber is a key determinant of total airway resistance. A reduced airway caliber could result  
56 in airway obstruction that predisposes to asthma and chronic obstructive pulmonary  
57 diseases (5-7). Therefore, we hypothesized that the associations of early growth  
58 characteristics with childhood asthma might be explained by developmental adaptations of  
59 the lungs and airways, leading to relatively small airways and, hence, a reduction in  
60 expiratory flows reflected by lower lung function values (8). Thus far, previous studies  
61 focused on the associations of birth weight and infant weight gain with childhood lung  
62 function have reported inconsistent results (9-16). These inconsistent results might be due to  
63 the different ages at which spirometry was performed, and not taking other early growth  
64 characteristics or potential confounders into account.

65 To test the hypothesis that the associations of early life growth characteristics with  
66 childhood asthma are explained by reduced airway patency, we performed an individual  
67 participant data meta-analysis of 24,938 children from 24 birth cohort studies. We examined  
68 the strength, consistency, and independence of the associations of gestational age at birth,  
69 birth weight and infant weight gain with lung function outcomes in childhood and whether

70 these lung function outcomes explain the previously reported associations of early growth  
71 characteristics with risk of childhood asthma.

72

## 73 **METHODS**

74

### 75 **Sources of data**

76 European population-based birth- and mother-child cohorts participated if they included  
77 children born between 1989 and 2011, had information available on at least gestational age  
78 and weight at birth and lung function measurements in childhood (until age 18 years), and  
79 were willing and able to exchange original data.(4) We identified 50 European cohorts  
80 selected from existing collaborations on childhood health or asthma-related outcomes  
81 (www.chicosproject.eu, www.birthcohortsenrieco.net, www.ga2len.org,  
82 and www.birthcohorts.net; accessed until May 29, 2012). In total, 24 cohorts, comprising  
83 data on 24,938 children, fulfilled the criteria (**S-figure 1**).

84 Information about gestational age and weight at birth and weight in the first year of  
85 life was obtained by measurements, medical registries or parental questionnaires (**S-table**  
86 **1**). We created gestational age-adjusted birth weight standard deviation scores (birth weight  
87 SDS) based on European reference values (17). Infant weight gain in the first year was  
88 defined as the difference between weight at age 1 year (range 6-18 months) and weight at  
89 birth, divided by the number of months between these two measurements. Standard  
90 deviation scores (SDS) for age-specific infant weight gain were derived by intra-cohort  
91 means and standard deviations (18). Cohort specific growth characteristics are given in the  
92 **Supporting Information (S-table 2)**.

93 All cohorts obtained lung function measurements by spirometry, of which 22  
94 according to the recent guidelines of the American Thoracic Society / European Respiratory  
95 Society (ATS/ERS) (19-21), and 2 according to earlier guidelines of the ATS (22) or ERS  
96 and European Coal and Steel Community (23) (**S-table 1**). If cohorts had collected lung  
97 function data at multiple time points (n = 6 cohorts), we used the measurement closest to the

98 mean age of children (8.5 years) in the full meta-analysis. Variables for analyses were  
99 forced vital capacity (FVC), forced expiratory volume in 1 second (FEV<sub>1</sub>), forced mid-  
100 expiratory flow (FEF<sub>25-75</sub>) and forced expiratory flow after exhaling 75% of the vital capacity  
101 (FEF<sub>75</sub>). We mainly focused on FEV<sub>1</sub>, FEV<sub>1</sub>/FVC, and FEF<sub>75</sub>, which reflect reduced airway  
102 patency in obstructive lung diseases such as asthma or bronchopulmonary dysplasia due to  
103 preterm birth or low birth weight (24, 25). All lung function variables were converted into sex-  
104 , height-, age-, and ethnicity (Caucasian versus non-Caucasian) -adjusted Z-scores based  
105 on the Global Lung Initiative reference values (26). Asthma (yes / no) was defined as ever  
106 physician diagnosed asthma, and was obtained by medical registries (2 cohorts) or parental  
107 questionnaires adapted from the International Study on Asthma and Allergy in Childhood  
108 (ISAAC) (27) (22 cohorts) at the age of spirometry (**S-table1**). Cohort specific  
109 characteristics of lung function measurements and asthma are given in the **Supporting**  
110 **Information (S-table 3)**.

111 We included covariates based on known associations with childhood lung function  
112 from previous studies (28, 29). Information on covariates was mainly assessed by  
113 questionnaires (**S-table 1**). Potential confounders included maternal educational level,  
114 smoking during pregnancy, smoking during infancy of their offspring, history of asthma or  
115 atopy, child's sex, siblings, day care attendance in the first 2 years of life, breastfeeding,  
116 lower respiratory tract infections in the first 2 years of life, eczema, inhalant allergies, and  
117 body mass index (BMI) at the moment of lung function measurement. Cohort specific  
118 characteristics of all covariates are given in the **Supporting Information (S-tables 4-5)**.

119

## 120 **Statistical analysis**

121 First, we conducted 1-stage random effect regression analyses to study the separate and  
122 combined associations of gestational age, birth weight and infant weight gain with FEV<sub>1</sub>,  
123 FVC, FEV<sub>1</sub>/FVC, FEF<sub>25-75</sub> and FEF<sub>75</sub>. For these analyses, individual participant data from all  
124 cohorts were combined and modeled simultaneously taking into account clustering of  
125 participants within studies (30). To prevent multicollinearity in our regression models, we

126 initially assessed the separate associations of gestational age and birth weight with lung  
127 function. Thereafter, we assessed whether the associations of birth weight with lung function  
128 was driven by gestational age by creating gestational age adjusted birth weight standard  
129 deviation scores. The models focused on the associations of infant weight gain with lung  
130 function outcomes were adjusted for gestational age and weight at birth. For these analyses,  
131 we used early growth characteristics as continuous variables in the models providing p-  
132 values for trend. To test non-linear and dose-response associations, we categorized  
133 gestational age, birth weight SDS and infant weight gain SDS. As a sensitivity analysis, we  
134 conducted a 2-stage random effect meta-analysis to study the associations of gestational  
135 age, birth weight, and infant weight gain, and dichotomized preterm birth and low birth  
136 weight with each lung function outcome. For this analysis, we used linear regression models  
137 per cohort, after which pooled regression coefficients ( $\beta$ 's) from the per cohort effect  
138 estimates were calculated. We tested for heterogeneity between effect estimates using  $I^2$   
139 (31, 32). For all analyses, the first model was adjusted for child's sex (crude model), the  
140 second model was additionally adjusted for potential confounders (full model). To determine  
141 interactive effects between gestational age, birth weight and infant weight gain we added the  
142 corresponding multiplicative terms in the full model. Since we used Northern-European  
143 reference curves for birth weight SDS, we performed a sensitivity analysis to explore  
144 whether the associations were different in North-Western European subjects only. Numbers  
145 were too small to perform these analyses separately in other European regions. To assess  
146 differences in results related to pubertal growth changes, we repeated our analyses in strata  
147 of children aged  $< 11$  years and  $\geq 11$  years (33). We also performed a complete-case  
148 sensitivity analysis to explore any differences between complete and non-complete-case  
149 analyses, and sensitivity analyses in which we excluded cohorts that used parental report of  
150 early growth characteristics or that did not perform spirometry measurements according to  
151 the ATS/ERS guidelines.

152         Second, we conducted a 1-stage random effect regression analysis to assess the  
153 associations of early growth characteristics with asthma, and observed whether changes in

154 the effect estimates occurred after additional adjustment for lung function measures (FEV<sub>1</sub>,  
155 FVC, FEV<sub>1</sub>/FVC, FEF<sub>25-75</sub> and FEF<sub>75</sub>) as potential mediators (mediator model). The  
156 difference between the original effect estimates and the effect estimates after additional  
157 adjustment for potential mediators was expressed as percentage change. The percentage  
158 change was calculated by the formula:  $100 \times (\text{effect estimate}_{\text{mediator}} - \text{effect estimate}_{\text{original model}}) / (\text{effect estimate}_{\text{original model}} - 1)$ . A 95% confidence interval for the percentage change of  
159 the effect estimate was calculated using a bootstrap method with 1,000 resamplings (34-36).

161 For all analyses, missing values in covariates were used as an additional group in  
162 the categorical variables to prevent exclusion of non-complete cases. Statistical analyses  
163 were performed with R version 3.0.0 (libraries rmeta and metafor; The R foundation for  
164 Statistical Computing), and Comprehensive Meta-Analysis (Biostat, US).

165

## 166 RESULTS

167

### 168 Subject characteristics

169 Information about the main characteristics of the cohorts are given in **Table 1**. Detailed  
170 information about determinants, outcomes and covariates is given in the **Supporting**  
171 **Information (S-tables 1-5)**. Of all participants, 8.2% (n = 2,053) was born preterm (<37  
172 weeks of gestational age), and 4.8% (n = 1,191) was born with a low birth weight (<2,500  
173 gram). The mean age at which spirometry assessments were performed was 8.5 (range 3.9  
174 - 19.1) years. The proportion of children aged ≥11 years was 11.9% (n = 2,972).

175

### 176 Early growth measures and lung function outcomes

177 Results from the 1-stage random effect models showed that younger gestational age at birth  
178 was, across the full range, associated with lower FEV<sub>1</sub>, FEV<sub>1</sub>/FVC and FEF<sub>75</sub> in childhood  
179 (p-values for trend <0.01) (**Figures 1A-C**). A smaller size-for-gestational-age at birth across  
180 the full range was associated with lower FEV<sub>1</sub> and higher FEV<sub>1</sub>/FVC (p-values for trend  
181 <0.01) (**Figures 1D-E**). Small size-for-gestational-age at birth was not associated with FEF<sub>75</sub>

182 **(Figure 1F)**. Greater infant weight gain was associated with a higher FEV<sub>1</sub>, but with a lower  
183 FEV<sub>1</sub>/FVC and FEF<sub>75</sub> (p-values for trend <0.01; **Figures 1G-I**). Most associations showed a  
184 linear trend, except for the associations of birth weight with FEV<sub>1</sub>/FVC and infant weight gain  
185 with FEV<sub>1</sub> and FEV<sub>1</sub>/FVC which were non-linear (**Figures 1E, G, H**).

186 To explore the combined effects of gestational age, birth weight SDS and infant  
187 weight gain SDS, we performed tests for interaction between these early growth  
188 characteristics. These tests for interaction were significant for gestational age and birth  
189 weight SDS in relation to FEV<sub>1</sub>, FEV<sub>1</sub>/FVC, FEF<sub>25-75</sub> and FEF<sub>75</sub> (p-values for interaction  
190 <0.01; **Figure 2, S-table 9**). Stratified analyses showed that a lower birth weight was  
191 associated with lower FEV<sub>1</sub> and FEV<sub>1</sub>/FVC among children born after ≥ 32 weeks only,  
192 whereas higher birth weight was associated with FEF<sub>75</sub> only among term born children (p-  
193 values for strata <0.05).

194 No differences in results were observed when we used 2-stage random effect  
195 models of combined effect estimates (: **S-tables 6-7**). Also, the results from the sensitivity  
196 analyses showed similar results when we used cohorts with North-Western European  
197 subjects only, when we excluded cohorts that did not perform spirometry measurements  
198 according to the recent ATS/ERS guidelines, when we performed stratified analyses for  
199 children aged < 11 years or ≥ 11 years (**S-table 8**), or when we excluded cohorts that used  
200 parental report of early growth characteristics (data not shown).

201 **Figure 3** shows that compared to term born children, those born preterm had a lower  
202 FEV<sub>1</sub>, FEV<sub>1</sub>/FVC and FEF<sub>75</sub>, (pooled Z-score (95% CI): -0.20 (-0.26, -0.14), -0.15 (-0.21, -  
203 0.09) and -0.19 (-0.27, -0.11), respectively). Also, compared to normal birth weight children,  
204 those with a low birth weight had lower FEV<sub>1</sub>, FEV<sub>1</sub>/FVC and FEF<sub>75</sub> (-0.29 (-0.38, -0.21) and  
205 -0.16 (-0.25, -0.08) and -0.17 (-0.26, -0.08) respectively), independent of gestational age.  
206 Results of associations of growth characteristics with all lung function outcomes, including  
207 FVC and FEF<sub>25-75</sub> are given in the **Supporting Information: S-tables 6-8**.

208

209 **Early growth, lung function and asthma**

210 Preterm birth, low birth weight and greater weight gain were all associated with an increased  
211 risk of childhood asthma (OR (95% CI): 1.34 (1.15, 1.57), 1.32 (1.07, 1.62) and 1.27 (1.21,  
212 1.34), respectively. Mediation analyses suggested that FEV<sub>1</sub>, FEV<sub>1</sub>/FVC and FEF<sub>75</sub> may  
213 explain 7 (2, 10)% to 45 (15, 81)%. Specifically, after additional adjustment for FEV<sub>1</sub>,  
214 FEV<sub>1</sub>/FVC or FEF<sub>75</sub>, the associations of preterm birth with asthma attenuated with -7 (-19, -  
215 1)%, -14 (-40, -3)% and -39 (-69, -3)%, respectively. Similarly, the associations of low birth  
216 weight with asthma attenuated with -19 (-37, -12)%, -22 (-47, -11)% and -222 (-47, -11)%,  
217 respectively (**Table 2**). The strongest mediating effect was observed for FEF<sub>75</sub> for the  
218 association between gestational age and asthma (-45 (-81, -15)%). Similar trends were  
219 observed for greater weight gain, although the associations did not attenuate into non-  
220 significant.

221

## 222 **DISCUSSION**

223 In this meta-analysis of individual participant data of 24,938 children from 24 birth cohorts,  
224 we observed that lower gestational age, smaller size at birth and greater infant weight gain  
225 were all associated with lower childhood FEV<sub>1</sub>. The positive associations of birth weight and  
226 infant weight gain with FVC were larger than of the positive associations of birth weight and  
227 infant weight gain with FEV<sub>1</sub>. This combination resulted in associations of higher birth weight  
228 and infant weight gain with lower FEV<sub>1</sub>/FVC. Also, a lower gestational age at birth was  
229 associated with a lower FEF<sub>75</sub> in childhood, suggesting persistent reduction of small airways  
230 patency. A greater infant weight gain was associated with lower FEF<sub>75</sub>. Remarkably, these  
231 associations were present across the full-range of early growth and not restricted to clinically  
232 diagnosed preterm- or low birth weight children. Also, the observed associations of the early  
233 life growth characteristics with lung function outcomes were independent of each other.  
234 Stratified analyses showed that children born very preterm with a relatively low birth weight  
235 had the lowest FEV<sub>1</sub> and FEV<sub>1</sub>/FVC. The associations of early growth characteristics with  
236 childhood asthma were partly explained by lung function adaptations.

237           Whereas lung growth continues until the early adulthood, the most rapid  
238 development of airways and alveoli occurs in early life (37). Developmental adaptations in  
239 fetal life and infancy due to early life adverse exposures might result in impaired lung growth  
240 with smaller airways, decreased lung volume, and subsequently to an increased risk of  
241 bronchopulmonary dysplasia, asthma or COPD (9, 14, 38). Previous studies suggest that  
242 children with asthma already have a reduced lung function in the first months of life, and that  
243 this deficit progresses into childhood and early adulthood (39, 40). Airway caliber is a key  
244 determinant of total airway resistance and reduced caliber is a prominent feature of asthma  
245 and chronic obstructive pulmonary diseases (5-7). Lower lung function in early life is likely to  
246 lead to lower peak lung function in early adulthood, and the natural decline in FEV<sub>1</sub> from that  
247 point onwards will be accelerated by any additional adverse exposures (41). Thus, lung  
248 function during the lifecourse seems to be programmed at least partly in early life.

249           Children born preterm or with a very low birth weight are at increased risk of neonatal  
250 respiratory diseases (1). We observed that children born at a younger gestational age had a  
251 lower FEV<sub>1</sub>, even after taking FVC into account, and a lower FEF<sub>75</sub> in childhood. These  
252 associations were not only present among children born very preterm, but across the full  
253 range of gestational age at birth. Moreover, the associations of preterm birth with childhood  
254 asthma were partly explained by lung function. These findings are in line with previous  
255 studies showing persistent lung function adaptations in children and adults born preterm. A  
256 recent meta-analysis of 28 published studies showed that children born between 24 and 36  
257 weeks had a lower FEV<sub>1</sub> at ages 5 up to 23 years (42). These and other studies suggest that  
258 preterm birth has adverse effects on lung function, persisting into adulthood (42-44).

259           In the present study, a lower birth weight was associated with lower FEV<sub>1</sub> in  
260 childhood. This suggests that a lower birth weight leads to a persistent reduction of airway  
261 patency. A previous study analyzed 10 studies examining the associations of birth weight  
262 with FEV<sub>1</sub> in adults (range 19 – 70 years) (10). The authors reported a modest positive  
263 association between FEV<sub>1</sub> and birth weight. Two recent studies from longitudinal birth  
264 cohorts among adults reported strong positive associations of birth weight with FEV<sub>1</sub> and



265 FEF<sub>25-75</sub> in young adults aged 21 and 31 years (9, 11). The effect of birth weight was  
266 independent of preterm birth in both studies. However, studies among children showed  
267 conflicting results (12, 13). We observed an association of lower birth weight with lower  
268 FEV<sub>1</sub>, independent of gestational age at birth. We previously reported that the effect of lower  
269 birth weight on asthma was largely explained by gestational age (4). Therefore, although  
270 gestational age-adjusted birth weight is associated with lower lung function this seems not  
271 related to the risk of clinically manifest childhood asthma.

272         Previous studies examining associations between infant weight gain and childhood  
273 lung function have reported inconsistent results (14-16). Differences might be due to  
274 different ages at which spirometry was performed, not taking other weight characteristics  
275 into account, such as birth weight or current body mass index, and possible hidden bias due  
276 to the use of mL instead of Z-scores for lung function (45). In line with the findings for birth  
277 weight, we observed that lower infant weight gain was associated with a lower childhood  
278 FEV<sub>1</sub> and FVC (p-value for continuous variables <0.001) Lower infant weight gain was  
279 associated with a less lower FEV<sub>1</sub> than lower FVC which resulted in a higher FEV<sub>1</sub>/FVC.  
280 These results suggest dysanapsis, in which airways reflected by FEV<sub>1</sub> remain relatively  
281 small in relation to total lung volume reflected by FVC, as a result of a mismatch between  
282 airway and alveolar growth (46). Greater infant weight gain was also associated with a lower  
283 FEF<sub>75</sub>, which is in line with previous studies reporting associations of body mass index or  
284 adiposity with reduced expiratory flows and asthma (47, 48). A suggested mechanism is  
285 leptin release from adipose tissue, which might have pro-inflammatory effects in the airways  
286 (49), or a direct effect of increased body weight on lung function (50). However, our analyses  
287 were adjusted for childhood body mass index. Further studies are needed to explore  
288 whether the associations of infant weight gain with end-expiratory flows are explained by  
289 specific adiposity-related measures or biomarkers.

290         To the best of our knowledge this is the first study that examines the individual and  
291 combined associations of the main early growth characteristics with childhood lung function  
292 outcomes, and whether lung function adaptations explain the previously reported

293 associations of early growth characteristics with childhood asthma. Our results suggest that  
294 respiratory consequences of preterm birth and a low birth weight present across the full  
295 range. This observation might have important population effects, since the largest majority of  
296 children are in the less extreme ranges of gestational age and weight at birth. Furthermore,  
297 our results suggest that the associations of gestational age, birth weight and infant weight  
298 gain with childhood asthma are at least partly explained by adaptations in airway caliber. We  
299 observed strong effect estimates with wide confidence intervals which limits the precision.  
300 Therefore, these mediation effects should be interpreted carefully. The effect estimates for  
301 the observed associations could be considered as small and without clinical relevance for  
302 individuals. However, the associations may be important from an etiological respiratory  
303 developmental perspective and may be important on a population-level. The associations of  
304 early growth characteristics with lung function outcomes seemed already established before  
305 the pubertal growth spurt. The largest lung and airway growth occurs before pubertal growth  
306 spurt (37, 51), with FVC increasing proportionately more than the FEV<sub>1</sub> (33). Lung and  
307 airway growth is proportionally less after start of the pubertal growth spurt (33), which might  
308 explain the similar effect estimates before and after the pubertal growth spurt. Further  
309 studies are needed to identify the developmental adaptations of the lungs and immune  
310 system that might explain the mediating effect of lung function on the associations of early  
311 growth characteristics with childhood asthma. Identification of modifiable exposures may  
312 lead to development of future preventive strategies.

313         Some methodological limitations need to be discussed. We used data from 24  
314 ongoing cohort studies. Missing values always occur in these studies. Since we did not have  
315 additional data on patterns of missing values in all 24 cohorts, we were not able to perform  
316 multiple imputation. Data on childhood asthma was mainly obtained by parental  
317 questionnaires adapted from the International Study on Asthma and Allergy in Childhood  
318 (ISAAC) (27). This questionnaire has been validated in various age groups in many  
319 countries against measurements of bronchial hyperresponsiveness and doctor-diagnosed  
320 asthma, and is widely accepted in epidemiological studies. We did not have information on

321 use of asthma medication, which might have influenced the lung function values in asthmatic  
322 patients. This missing information on asthma medication may have influenced our effect  
323 estimates. We would expect that asthmatic children who use asthma medication would in  
324 general have had a higher lung function values in case of good adherence and inhaler  
325 technique. We used GLI reference data to convert lung function values into Z-scores. These  
326 prediction equations were based on 74,187 individuals including 31,840 individuals aged  
327 <20 years, of whom 58% were assessed before, and 42% were assessed during pubertal  
328 growth spurt (26). To date, the GLI normal values are considered the most accurate  
329 reference values for all age ranges, and have been adopted by both the ATS and ERS. For  
330 the covariates, we imputed missing values as additional category to prevent exclusion of  
331 non-complete cases. No differences in results were observed in complete case analyses. No  
332 direct clinical and laboratory information about pubertal growth was available. Also,  
333 although we took major potential confounders into account, residual confounding may still be  
334 an issue. No information was available about e.g. exposure to environmental micro-  
335 organisms or asthma severity. Exploring mediation of lung function for the association of  
336 early growth characteristics with asthma using the method proposed by Baron and Kenny  
337 might have been limited by misclassification of lung function measurements or asthma  
338 diagnosis although we aimed to reduce this issue by multi-level modelling (52). Most of the  
339 participating studies had measured childhood lung function and asthma at the same age.  
340 Therefore, further follow-up studies with longitudinally measured detailed data on lung  
341 function and asthma or related symptoms from birth onwards are needed to disentangle the  
342 direction of causality.

343 In conclusion, younger gestational age, lower birth weight and lower infant weight  
344 gain were independently associated with persistent changes in childhood lung function.  
345 These associations were present across the full spectrum of these early growth  
346 characteristics. Stratified analyses showed that children born very preterm with a relatively  
347 low birth weight had the lowest FEV<sub>1</sub> and FEV<sub>1</sub>/FVC. Our results suggest that associations  
348 of early growth with the risk of childhood asthma were partly explained by lung function

349 adaptations. Thus, fetal and infant growth patterns may persistently affect lung function, and  
350 thereby contribute to the risk of respiratory diseases in later life.

351

352 **Author's contributions**

353 HD, AS, JJ, VJ, and LD contributed to the study design, data analysis plan, data collection,

354 data analysis, data interpretation, writing, reviewing the manuscript critically and gave

355 consent for submission. All other authors contributed equally to study design, data analysis

356 plan, data collection, reviewing the manuscript critically and gave consent for submission.

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**Figure 1.** Associations of gestational age, birth weight and infant weight gain with FEV<sub>1</sub>, FEV<sub>1</sub>/FVC ratio and FEF<sub>75</sub>.

Legend:

Values represent Z-scores differences (95% confidence interval) from multi-level random effect models for the associations of gestational age at birth (A, B, C), gestational age adjusted birth weight (birth weight SDS) (D, E, F), and infant weight gain (SDS) (G, H, I) with lung function outcomes, compared with reference groups. Reference groups were 40-42.9 weeks of gestational age, 0-0.99 birth weight SDS and 0.00 – 0.99 infant weight gain (SDS) (largest groups), and represented by an open bullet. Lung function outcomes are forced expiratory volume in 1 second (FEV<sub>1</sub>), FEV<sub>1</sub>/forced vital capacity (FVC) ratio, and forced expiratory flow at 75% of the exhaled FVC (FEF<sub>75</sub>). Models are adjusted for maternal education, smoking during pregnancy, smoking during childhood, atopy, asthma and child's sex, number of siblings, daycare attendance, breastfeeding, respiratory tract infections, childhood eczema, inhalant allergies and body mass index. Infant weight gain SDS was additionally adjusted for birth weight and gestational age at birth.

**Figure 2.** Combined associations of gestational age and birth weight with FEV<sub>1</sub>, FEV<sub>1</sub>/FVC ratio and FEF<sub>75</sub>.

Legend:

Values are Z-score differences (95% confidence interval) from multi-level models for the combined associations of gestational age at birth and birth weight SDS (A, B, C) with lung function outcomes, compared with reference groups. Reference groups were >37 weeks of gestational age with -1.00 to 0.99 birth weight SDS (largest group), and represented by a bullet. Lung function outcomes are forced expiratory volume in 1 second (FEV<sub>1</sub>), FEV<sub>1</sub>/forced vital capacity (FVC) ratio, and forced expiratory flow at 75% of the exhaled FVC (FEF<sub>75</sub>). Models are adjusted for maternal education, smoking during pregnancy, smoking

during childhood, atopy, asthma and child's sex, number of siblings, daycare attendance, breastfeeding, respiratory tract infections, childhood eczema, inhalant allergies and body mass index. \*P-value < 0.05. \*\*P-value < 0.01. Given p-values reflect differences between birth weight SDS groups (A, B, C) within strata of gestational age using -1.00 to 0.99 birth weight SDS as reference group. P<sub>int</sub>: p-values of multiplicative interaction terms.

**Figure 3.** Forest plots of the associations between preterm birth and low birth weight with FEV<sub>1</sub>, FEV<sub>1</sub>/FVC ratio and FEF<sub>75</sub>.

Legend:

Values are pooled Z-score differences (95% confidence interval) from random effect meta-analysis for the associations of preterm birth vs. term birth (A, B, C) and low birth weight vs. normal birth weight (D, E, F) with lung function outcomes. Lung function outcomes are forced expiratory volume in 1 second (FEV<sub>1</sub>), FEV<sub>1</sub>/forced vital capacity (FVC) ratio, and forced expiratory flow at 75% of the exhaled FVC (FEF<sub>75</sub>). Models are adjusted for maternal education, smoking during pregnancy, smoking during childhood, atopy, asthma and child's sex, number of siblings, daycare attendance, breastfeeding, respiratory tract infections, childhood eczema, inhalant allergies and body mass index. Low birth weight was adjusted for gestational age.

**Table 1.** Characteristics of participating cohorts.

Cohort name (country)	N	Birth years	Gestational age at birth (weeks)	Birth weight (gram)	FVC	FEV <sub>1</sub>	FEV <sub>1</sub> / FVC	FEF <sub>25-75</sub>	FEF <sub>75</sub>	Childhood asthma
			Median (5-95% range)	Mean (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Yes
ALSPAC (United Kingdom)	6,873	1991- 1992	39.5 (1.9)	3,424 (543)	0.49 (1.28)	0.44 (1.17)	-0.07 (1.15)	0.04 (1.08)	0.30 (1.06)	17.9 (1,231)
BAMSE (Sweden)	2,042	1994- 1996	39.9 (1.8)	3,537 (551)	0.65 (0.93)	0.45 (0.96)	-0.37 (0.89)	-	-	14.8 (303)
BILD (Switzerland)	159	1999- ongoing	39.7 (1.3)	3,367 (441)	-0.23 (0.98)	0.02 (0.89)	0.33 (0.95)	-0.06 (0.87)	-	-
CONER (Italy)	217	2004- 2005	39.2 (1.4)	3,335 (457)	-1.76 (0.82)	-1.04 (0.90)	0.51 (1.65)	0.45 (1.00)	-	6.0 (13)
COPSAC2000 (Denmark)	314	1998- 2001	40.0 (1.6)	3,529 (531)	-0.53 (0.98)	-0.11 (1.03)	0.47 (0.95)	-	-	18.8 (59)
EDEN (France)	897	2003- 2005	39.3 (1.7)	3,284 (514)	-1.08 (1.05)	-0.77 (1.03)	0.21 (0.97)	-0.39 (1.01)	0.16 (0.88)	18.1 (162)
GASPII (Italy)	453	2003- 2004	39.2 (1.8)	3,314 (530)	0.06 (0.76)	-0.01 (0.88)	-0.15 (0.97)	-0.30 (0.90)	-	6.6 (30)
GENERATION R (The Netherlands)	1,927	2002- 2006	39.7 (1.9)	3,392 (576)	0.23 (0.92)	0.15 (0.95)	-0.19 (0.92)	0.15 (1.05)	-0.09 (0.89)	5.5 (106)
GENERATION XXI (Portugal)	1,562	2005- 2006	38.4 (2.1)	3,152 (551)	0.41 (0.95)	0.59 (0.98)	0.21 (0.82)	0.12 (0.85)	0.44 (0.80)	6.5 (102)
GINI (Germany)	707	1995- 1998	-	3,493 (479)	-	0.02 (0.92)	-	-	-	5.9 (49)
INMA Gipuzkoa (Spain)	277	2006- 2008	39.7 (1.4)	3,284 (436)	-0.54 (1.16)	-0.59 (1.17)	-0.05 (0.91)	-0.45 (0.99)	-0.16 (1.00)	5.4 (15)
INMA Menorca (Spain)	367	1997- 1998	39.2 (1.8)	3,200 (493)	0.01 (1.13)	-0.16 (1.07)	-0.24 (1.19)	-0.42 (1.29)	-0.06 (1.32)	4.9 (18)

**Table 1 (continued).** Characteristics of participating cohorts.

Cohort name (country)	N	Birth years	Gestational age at birth (weeks)	Birth weight (gram)	FVC	FEV <sub>1</sub>	FEV <sub>1</sub> / FVC	FEF <sub>25-75</sub>	FEF <sub>75</sub>	Childhood asthma
			Median (5-95% range)	Mean (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Mean Z-score (SD)	Yes
INMA Sabadell (Spain)	408	2004- 2007	39.8 (1.3)	3,261 (404)	-0.47 (1.38)	-0.57 (1.30)	-0.08 (1.03)	-0.61 (1.00)	-0.25 (1.12)	0.7 (3)
INMA Valencia (Spain)	455	2003- 2005	39.6 (1.7)	3,227 (491)	0.30 (1.10)	0.30 (1.08)	-0.04 (0.95)	-0.13 (0.91)	-0.04 (0.90)	-
ISLE OF WIGHT (United Kingdom)	1,030	1989- 1990	39.9 (1.5)	3,411 (510)	0.24 (0.91)	0.39 (1.01)	0.22 (1.03)	0.04 (0.99)	-	21.5 (221)
KOALA (The Netherlands)	438	2000- 2003	40.0 (1.2)	3,552 (467)	0.15 (0.94)	-0.13 (0.95)	-0.55 (0.84)	-	-	8.0 (35)
LEICESTER 1990 (United Kingdom)	290	1985- 1990	39.0 (2.2)	3,373 (599)	-0.33 (1.11)	-0.38 (1.12)	-0.76 (0.90)	-0.62 (1.01)	-	37.2 (108)
LEICESTER 1998 (United Kingdom)	1,476	1993- 1997	39.2 (2.0)	3,314 (592)	-0.41 (1.04)	-0.39 (1.05)	0.01 (1.03)	-	0.05 (0.94)	36.4 (538)
MAS (Germany)	641	1990	40.0 (1.4)	3,414 (460)	-0.06 (0.97)	0.24 (1.00)	0.41 (1.00)	1.15 (0.14)	-	5.0 (32)
PIAMA (The Netherlands)	1,767	1996- 1997	39.9 (1.7)	3,526 (540)	0.04 (0.95)	0.07 (1.04)	-0.04 (1.01)	-1.67 (1.21)	-0.21 (0.95)	10.0 (176)
RHEA (Greece)	666	2007- 2008	38.1 (1.7)	3,175 (506)	-0.25 (1.09)	-0.33 (1.14)	-0.10 (0.94)	-0.38 (0.96)	-0.17 (1.05)	5.9 (39)
SEATON (United Kingdom)	578	1997	39.5 (1.8)	3,488 (563)	-0.12 (1.08)	-0.06 (1.08)	-0.04 (0.96)	-0.27 (0.98)	-	20.1 (116)
SWS (United Kingdom)	803	1998- 2007	39.7 (1.9)	3,447 (548)	0.13 (1.01)	0.03 (0.95)	-0.18 (1.05)	-0.28 (0.94)	-	15.1 (121)
WHISTLER (The Netherlands)	591	2001- 2012	40.0 (1.3)	3,553 (499)	0.16 (1.11)	0.46 (1.14)	0.31 (0.93)	-0.04 (1.23)	0.12 (1.07)	9.3 (55)

N = number of participants with information on at least gestational age or birth weight, and a lung function outcome. Lung function outcomes are forced vital capacity (FVC), force expiratory volume in 1 second (FEV<sub>1</sub>), mid forced expiratory flow (FEF<sub>25-75</sub>) and force expiratory flow at 75% of the exhaled FVC (FEF<sub>75</sub>). Values are means (standard deviations) and percentages (absolute numbers) for the information on asthma. Additional information on data collection (Table S1), determinants (Table S2), outcomes (Table S3), and maternal and child related covariates (Tables S4, S5) is provided in the Supporting Information.

**Table 2.** Associations of birth weight, gestational age and infant weight gain with childhood asthma, additionally adjusted for lung function.

	Risk of childhood asthma Odds ratio (95% Confidence Interval)							
	Full model	Full model + FEV <sub>1</sub>	% change (95% CI)	Full model + FEV <sub>1</sub> /FVC	% change (95% CI)	Full model + FEF <sub>75</sub>	% change (95% CI)	
Gestational age (weeks)	0.94 (0.92, 0.97)** n = 15,019	0.95 (0.93, 0.97)** n = 14,832	-9.8% (- 16.4, - 5.3)**	0.95 (0.93, 0.97)** n = 14,017	-13.5% (-21.0, -7.3)**	0.97 (0.94, 1.00) n = 9,177	-44.6% (-81.1, -14.6)**	
Preterm birth (<37 weeks)	1.34 (1.15, 1.57)** n = 15,019	1.30 (1.11, 1.53)** n = 14,832	-7.3% (-18.8, -0.9)*	1.27 (1.08, 1.49)** n = 14,017	-14.4% (-39.6, -2.8)*	1.20 (0.99, 1.47) n = 9,177	-39.0% (-69.3, -3.4)*	
Birth weight (500 grams)	0.94 (0.90, 0.97)** n = 15,547	0.95 (0.91, 0.99)* n = 15,360	-18.9% (-37.0, -11.2)**	0.94 (0.90, 0.98)** n = 13,985	-10.5% (-21.9, -3.4)**	0.96 (0.92, 1.02) n = 9,135	-17.8 (-50.6, -9.0)**	
Low birth weight (<2,500 grams)	1.32 (1.07, 1.62)** n = 15,547	1.25 (1.02, 1.54)* n = 15,360	-19.0% (-37.3, -11.8)**	1.23 (0.99, 1.52) n = 13,985	-21.6% (-47.3, -11.4)**	1.05 (0.81, 1.36) n = 9,135	-82.5% (-149, 10.3)	
Birth weight (SDS)	0.98 (0.94, 1.03) n = 14,947	1.00 (0.96, 1.05) n = 14,760	-83.8% (-950, 825)	0.98 (0.94, 1.03) n = 13,946	-14.0% (-247, 281)	0.99 (0.93, 1.04) n = 9,122	-15.8% (-158, 169)	
Small for gestational age (<10th percentile)	1.18 (1.01, 1.37)* n = 14,947	1.13 (0.97, 1.32) n = 14,760	-28.9% (-253, 108)	1.16 (0.99, 1.36) n = 13,946	-18.8% (-123, 164)	1.20 (1.00, 1.44) n = 9,122	10.2% (-8.3, 26.2)	
Infant weight gain in first year (SDS), adjusted for gestational age and weight at birth	1.27 (1.21, 1.34)** n = 12,511	1.28 (1.22, 1.35)** n = 12,511	6.5% (2.3, 9.9)**	1.25 (1.18, 1.31)** n = 11,780	-8.4% (-16.1, -3.2)**	1.13 (1.06, 1.20)** n = 7,969	-60.8 (-115, 39.5)	

\*p<0.05 \*\*p<0.01. Values are odds ratios or percentage change in odds ratios (95% confidence interval) from random effect models and represent the risk of asthma per week, 500 grams or SDS increase in gestational age, birth weight, gestational age adjusted birth weight (birth weight SDS), or infant weight gain (SDS), respectively, or represent odds ratios or percentage change in odds ratios (95% confidence interval) in risk of asthma for preterm birth vs. term birth, low birth weight vs. normal birth weight or small for gestational age vs. normal and large for gestational age (<10<sup>th</sup> percentile vs >10<sup>th</sup> percentile). Percentage change in odds ratio (OR) is calculated using the formula  $(100 \times (OR_{mediator} - OR_{model\ 1}) / (OR_{model\ 1} - 1))$ , with corresponding 95% confidence interval obtained by bootstrap procedures. To enable comparison of effect estimates, results for gestational age adjusted birth weight and infant weight gain are presented as per SDS. Models are adjusted for maternal education, smoking during pregnancy, smoking during childhood, atopy, asthma and child's sex, number of siblings, daycare attendance, breastfeeding, respiratory tract infections, childhood eczema, inhalant allergies and body mass index (full model), and additionally for lung function outcomes (mediator model).



