

Alma Mater Studiorum Università di Bologna Archivio istituzionale della ricerca

Dynamics of an Active Earthflow Inferred From Surface Wave Monitoring

This is the final peer-reviewed author's accepted manuscript (postprint) of the following publication:

Published Version:

Bertello, L., Berti, M., Castellaro, S., Squarzoni, G. (2018). Dynamics of an Active Earthflow Inferred From Surface Wave Monitoring. JOURNAL OF GEOPHYSICAL RESEARCH. EARTH SURFACE, 123(8), 1811-1834 [10.1029/2017JF004233].

Availability:

This version is available at: https://hdl.handle.net/11585/675882 since: 2019-02-27

Published:

DOI: http://doi.org/10.1029/2017JF004233

Terms of use:

Some rights reserved. The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. For all terms of use and more information see the publisher's website.

This item was downloaded from IRIS Università di Bologna (https://cris.unibo.it/). When citing, please refer to the published version.

(Article begins on next page)

This is the final peer-reviewed accepted manuscript of

Bertello, Lara; Berti, Matteo; Castellaro, Silvia; Squarzoni, Gabriela: Dynamics of an Active Earthflow Inferred From Surface Wave Monitoring. JOURNAL OF GEOPHYSICAL RESEARCH. EARTH SURFACE 123. ISSN 2169-9003

DOI: 10.1029/2017JF004233

The final published version is available online at: http://dx.doi.org/10.1029/2017JF004233

Rights / License: The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. For all terms of use and more information see the publisher's website.

This item was downloaded from IRIS Università di Bologna (https://cris.unibo.it/)

When citing, please refer to the published version.

Dynamics of an Active Earthflow Inferred From Surface Wave Monitoring

Lara Bertello¹, Matteo Berti¹, Silvia Castellaro², and Gabriela Squarzoni¹

¹Department of Biological, Geological and Environmental Sciences, University of Bologna, Bologna, Italy, ²Department of

Physics and Astronomy, University of Bologna, Bologna, Italy

Abstract Earthflows are clay-rich, slow-moving landslides subjected to periodic accelerations. During the stage of rapid movement, most earthflows exhibit a change in behavior from a solid to a fluid-like state. Although this behavior has been extensively documented in the field, the mechanism leading to the rapid acceleration of earthflows is still poorly understood. Some studies suggest that earthflows essentially behave as Coulomb plastic solids, attributing the flow-like appearance to distributed internal shearing; others believe that these landslides can be treated as viscous fluids, pointing out that the material undergoes a phase transition by increasing its moisture content. Minimal data are currently available to support these different findings. In this study, we present the results of periodic and continuous measurements of Rayleigh wave velocity carried out in an active earthflow located in the northern Apennines of Italy. Our data indicate that the material undergoes significant changes in shear stiffness and undrained strength during rapid movements. In particular, the material exhibits a substantial drop of Rayleigh wave velocity as the earthflow accelerates, followed by a slow return to predisturbance Rayleigh velocities as the landslide decelerates. Soon after a surge, the earthflow material is extremely soft and the estimated gravimetric water content is above the liquid limit. In the following months, the shear stiffness gradually increases and the water content decreases to the plastic limit following a nonlinear trend typical of a consolidation process. These data demonstrate that the earthflow transforms into a viscous fluid by softening of the material and by water entrainment.

1. Introduction

Earthflows are among the most common type of landslides in many mountainous areas (Hungr et al., 2001; Keefer & Johnson, 1983; Picarelli et al., 2005; Simoni et al., 2013). They occur in fine-grained materials and are identified by a tongue or teardrop shape elongated in the downslope direction (Cruden & Varnes, 1996; Hutchinson, 1988). A specific feature of these landslides is their complex style of movement (Bovis & Jones, 1992; Hutchinson, 1970). Earthflows can continue to move slowly at a rate of less than 1 m/year over a long period, primarily by sliding on discrete basal and lateral slip surfaces (Baum et al., 2003; Keefer & Johnson, 1983; Schulz et al., 2009). Then, in response to critical rainfall conditions, they may suddenly accelerate and attain high velocities (up to several meters per hour) for a limited time (Coe et al., 2009; Varnes & Savage, 1996). During the surge of rapid movement, most earthflows create geomorphic features like bulging toes, arcuate ridges, and streamlines that suggest a flow-like behavior (D'Elia et al., 1998; Giordan et al., 2013; Handwerger et al., 2013).

Many researchers believe that the ability of earthflows to surge and rapidly accelerate is a consequence of excess pore water pressures generated along shear surfaces (Baum et al., 2003; Varnes & Savage, 1996; Van Asch & Malet, 2009). Others point out that such a behavior indicates a sudden change in the mechanical properties of the material, like a loss of shear stiffness or an increase of water content (Jongmans et al., 2015; Pastor et al., 2009, 2010; Picarelli et al., 2005). Although these factors are not mutually exclusive (an earthflow could be triggered by an increase of pore water pressures and subsequently undergo a change in mechanical properties as the movement continues) their relative importance is still poorly understood.

Pore water pressure is certainly the most significant factor that can trigger the initial movement, increase the displacement rate, or move earthflows on very gentle slopes (Coe et al., 2009; Hutchinson & Bhandari, 1971; Iverson & Major, 1987). However, clay-rich soils do not liquefy under an increase of pore water pressure (e.g. Seed et al., 2003). In soil mechanics, the term *liquefaction* denotes a condition where a granular material

behaves like a fluid because the effective interparticle stress σ' (given by the difference between the total

Key Points:

- The earthflow material at our study site undergoes significant changes in shear stiffness during rapid movements
- Rayleigh velocity decreases as the earthflow accelerates, then gradually increases through time as the landslide decelerates
- Internal deformation clearly played an important role in the dynamics of the Montevecchio earthflow

Correspondence to:

M. Berti, matteo.berti@unibo.it overburden stress σ and the pore water pressure u; Terzaghi, 1943) reduces essentially to 0 causing the particles to lose contact with each other. Soil liquefaction occurs in loosely packed, cohesionless soils (mostly sand) that tend to decrease in volume when subjected to shear stress (Seed et al., 2003). Clay materials with measurable plasticity are not susceptible to liquefaction because they have undrained cohesion; thus, the shear strength of clays does not become 0 when the effective stress becomes 0 (Robertson, 2010; Seed et al., 2003). Accordingly, most researchers consider earthflows as Coulomb plastic solids that primarily move by sliding and attribute the flow-like appearance to distributed internal shearing rather than mass liquefaction (Baum et al., 2003; Hungr et al., 2001; Keefer & Johnson, 1983).

Nevertheless, fine-grained materials can change from solid to plastic to fluid as the water content increases, showing distinct changes in behavior and consistency. The Atterberg limits are a conventional measure of the critical water contents at which these changes occur (Casagrande, 1932). The transition from a plastic to a fluid state due to an increase of the water content is referred to hereafter as *fluidization*. Fluidization differs from liquefaction because the material undergoes a change in behavior with a change in volume, while liquefaction essentially assumes undrained conditions and constant void ratio. Field observations indicate that earthflows may exhibit a significant increase in water content during mobilization (Hutchinson et al., 1974; Prior et al., 1968). Most active earthflows are so soft that they do not support a person's weight (Keefer & Johnson, 1983) or become "so wet and mascerated that all the debris may truly flow by continuous internal deformation" (Craig, 1979 cited in Moore, 1988, p. 59). Fluid rheologists have extensively investigated the solid-fluid transition of clays in laboratory rheometrical tests, defining the existence of a yield stress that separates a rigid/elastic domain and a fluid domain (Ancey, 2007; Coussot et al., 1998; Mainsant, Larose, et al., 2012). Most of these experiments are conducted on clay slurries at or above the liquid limit (*LLL*), which is the moisture content at which soil changes from a plastic to a fluid state measured using the conventional Casagrande apparatus (Casagrande, 1932).

The reasons for this different behavior (shear sliding of a plastic solid vs. viscous flow of a liquid material) are still unclear, but more can be learned by collecting relevant data from rapidly moving earthflows. The monitoring technique recently proposed by Mainsant, Jongmans, et al. (2012) can be useful for this purpose. The method relies on the continuous measurement of Rayleigh wave velocity (V_R) as an indicator of material fluidization (or loss of stiffness). Rayleigh waves are elastic waves which travel near the ground surface with a combination of longitudinal compression and dilation (Richart et al., 1970). These waves are the principal component of ground roll and propagate about 10% slower than shear waves (Telford et al., 1990). The idea behind the method is that, as the shear wave velocity in a fluid tends to 0 (Reynolds, 1997), the Rayleigh wave velocity measured inside a landslide should strongly decrease if the solid material fluidizes (Mainsant, Jongmans, et al., 2012; Mainsant et al., 2015). Mainsant, Jongmans, et al. (2012) monitored an earthflow located in the Swiss Alps and observed that Rayleigh velocities decreased continuously and rapidly for several days before a catastrophic stage of movement, suggesting a dramatic change in the mechanical properties of the material. To our knowledge, this is the only study that has documented the process of solid-to-fluid transition in earthflows. Therefore, more field data need to be collected in different geological and morphological settings in order to understand if rapid surging of earthflows is accompanied by softening and fluidization of the material or mainly occurs by shearing along internal and boundary shear surfaces.

In this study, we used Rayleigh wave velocity to investigate the behavior of the Montevecchio landslide, an active earthflow located in the northern Apennines of Italy (Savio River valley, Province of Cesena). In February 2014, the earthflow entered a period of intense activity that lasted for 17 months until June 2015. During this period, the earthflow experienced three surges of rapid movement characterized by the fluidization of the moving mass. We documented this process by periodic and continuous measurements of Rayleigh wave velocities carried out using the active multichannel analysis of surface waves (MASW) (Park et al., 1999) and the passive refraction microtremors (ReMi) techniques (Louie, 2001). Geophysical data were integrated by continuous measurements of rainfall and landslide displacement. The data reveal a complex relationship between rainfall, displacement rate, and Rayleigh velocity, providing new insight into the dynamics of active earthflows.

2. Study Area

The Montevecchio landslide is located in the northern Apennines of Italy, approximately 16 km to the south of the city of Cesena. The landslide occupies the valley of the Ribianco Creek, a tributary of the Savio River

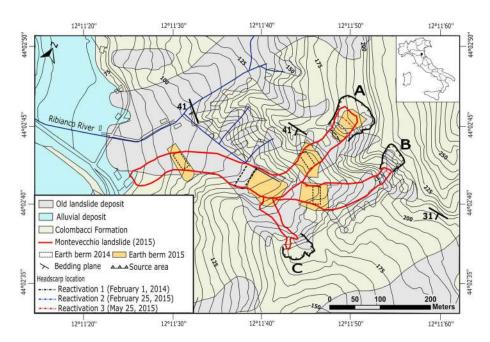


Figure 1. Geological map of the study area. The capital letters (A, B, and C) indicate the source areas of the Montevecchio earthflow. The red line shows the boundary of the landslide in July 2015. The colored dotted lines show the three reacti vations and the evolution of the headwall scarp in source areas A and B.

(Figure 1). The area is characterized by relatively gentle slopes (inclination in the range of 7° to 17°) covered by grass and native brush and ranges in elevation from 70 to 215 m above sea level. The upper part of the basin has typical badland morphologies characterized by small gullies, steep slopes (35° to 45°), and low vegetation coverage.

Bedrock geology consists of shallow marine deposits belonging to the Colombacci Formation (Ricci Lucchi et al., 2002). This formation was deposited from the Late Miocene to the Holocene with a maximum thickness of 450 m. In the study area, the Colombacci Formation consists of predominant marly and silty clay interbedded with thin layers of fine sandstone (sandstone/clay ratio is lower than 1/3). The clay is stiff to very stiff with a dark gray-blue color when fresh and becomes soft and brown when weathered. The sandstone layers are loose or only weakly cemented, the color turning from gray to yellow with weathering. The Colombacci Formation is well exposed on the source areas of the earthflow (zone A-B-C; Figure 1).

Old landslide deposits originated by multiple earthflow events occupy about 45% of the Ribianco basin (Figure 1). These deposits consist of a clay-rich colluvium containing scattered blocks of weakly cemented sandstone of variable size. The slopes covered by landslide deposits have an average inclination of about 13°. These landslides are subjected to periodic reactivations. The term reactivation (or remobilization) is current to indicate a phase of high activity after a long period of dormancy (Cruden & Varnes, 1996). Herein, reactivation is used to indicate a stage of rapid movement (with a velocity of several meters per day or per hour) that leads to the complete mobilization of the earthflow material. In the last 50 years, the Montevecchio landslide reactivated once in 1979, when it almost reached the houses and the road at the toe, then in 1997, 1999, 2002, 2005, 2006, and 2008 with local movements in the upper part of the slope. During the last period of activity (February 2014 to June 2015) the earthflow underwent a new complete remobilization (see next section).

Results from geotechnical tests show that the earthflow material is fairly uniform. It has medium plasticity (liquid limit LL = 50%; plastic index = 26%), and it is composed on average of 15% sand, 45% silt, and 40% clay. Blue methylene tests provide a specific surface of the clay of 112 \pm 1 m²/g, which is a typical value for an illite (Hang & Brindley, 1970), and an activity index of the clay fraction (Acb; Lautrin, 1989) equal to 12.5 \pm 0.5. The density is 1,850 kg/m³ in saturated conditions and 1,500 kg/m³ for the dry soil (average values of 500 g undisturbed samples taken within 1 m of the surface). Direct shear tests give a critical state friction angle ϕ'_{cs} =20° and a residual friction angle ϕ'_{r} =13°. The local climate is Mediterranean with two main rainy

periods from autumn to early winter (October to December) and during spring (March to May). The average annual precipitation is 780 mm, and the average annual snowfall is about 30 cm. The average annual temperature is 14 °C, and it ranges between 17 and 29 °C during the dry season and between 1 and 20 °C during the wet season.

3. Recent Activity of the Montevecchio Landslide

In February 2014, after a prolonged rainfall of 109 mm in 16 days, the Montevecchio earthflow entered into a new period of activity. The trigger rainfall was above the probabilistic rainfall threshold established for the area (Berti et al., 2012) and caused a large number of landslides in all the Emilia Romagna Region. The activity lasted for 17 months (until June 2015), and within this period the earthflow underwent three major reactivations (first reactivation: 1 February 2014; second reactivation: 25 February 2015; and third reactivation: 25 May 2015). As mentioned above, the term *reactivation* indicates the complete remobilization of the existing landslide deposits from the source area to the toe. Hereafter we also use the term *partial reactivation* to indicate the remobilization of only a portion of the landslide (generally the upper part) and *suspended phase* to indicate the time after a reactivation when the landslide slows down (Schadler, 2010). A reactivation corresponds to a stage of rapid earthflow movement with downslope velocity on the order of meters per hour. This stage generally lasts 2–5 days, then the velocity gradually decreases with time approaching some nonzero value. In fact, during the 17 months of activity, the landslide never stopped and the minimum recorded velocity was on the order of few millimeters per day.

The first reactivation (1 February 2014) started as sliding failure in the source area A (Figure 2a) and caused a retrogression of the head scarp of about 8 m. The landslide quickly propagated downslope (Figure 2b) at a speed of several meters per hour, and in a couple of days reached the toe (Figures 2c and 2d). Local authorities decided to protect the houses and the road by removing the advancing toe material, which was continuously excavated for weeks and deposited on the fluvial terrace to the other side of the road. In March and April 2014, the earthflow partially reactivated several times after heavy rain. The excavations at the toe continued, and four earth berms were built across the landslide to stop the movement (Figure 1). From May 2014, the earthflow entered a suspended phase that lasted about 9 months. During this period, the landslide velocity decreased gradually from meters per day to centimeters per day, with episodes of acceleration of 10-20 cm in a few days after intense rainfall events. The suspend phase ended with the second reactivation of 25 February 2015. This time the initial sliding failures involved both the source areas A and B (Figure 1) causing further retrogression of the head scarps, the complete mobilization of the earthflow, and the destruction of two earth berms. Further movements occurred in March 2015, then the landslide slowed down and almost stopped at the end of April 2015. The third and last reactivation was in 25 May 2015. Again, the landslide remobilized into a fluid, fast-moving earthflow that quickly reached the toe. Here local authorities removed the material 24 hr/day to save the houses. In June 2015, the earthflow almost stopped and significant consolidation works were carried out. Five earth berms were built across the landslide (Figure 1), and a trench drain system was realized to stabilize the middle upper part of the slope. The landslide remained essentially stable in the following years with some localized slides in the source area and along the north flank.

Field observations provide qualitative but valuable information on the reactivation mechanism of the Montevecchio earthflow. In all the three cases, the mobilization starts with a relatively small translational slide in the source area (zones A-B-C; Figure 1) that occur during or shortly after rainstorms. In the source area the bedding planes dip with the same direction as the slope scarp at an angle of 40° with the horizontal, promoting slope instability by translational sliding and flexural buckling. The rock exposed on the scarp is an alternation of marly clay and fine sandstone, with estimated values of the uniaxial compressive strength in the range 1–5 MPa (measured in the field by simple index tests; Hoek & Brown, 1977). Although the rock is fresh or only slightly weathered, it completely disintegrates after rupture and turns into loose, fine-grained debris. The material detached from the scarp accumulates on the head of the gently inclined earthflow deposits causing ground bulging, cracks openings, and the formation of lateral shear surfaces. Hutchinson and Bhandari (1971) first introduced the term undrained loading to describe the failure of a saturated landslide deposit due to undrained compression and consequent rise of pore water pressures.

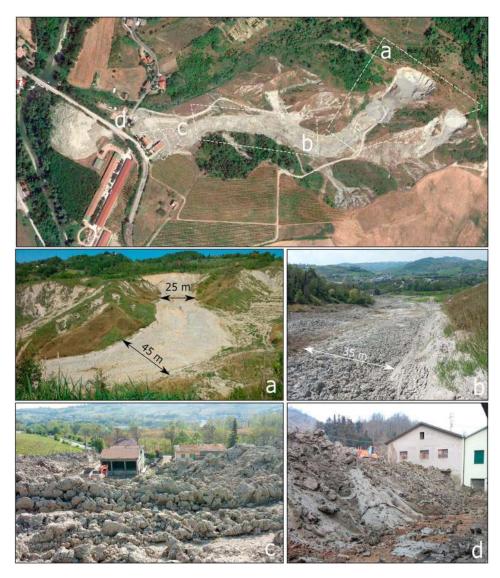


Figure 2. Photographs of the Montevecchio earthflow in July 2015. (a) Panoramic view of the source area A with the upper part of the earthflow channel; (b) main reach of the earthflow channel; (c, d) deposition area after the second reactivation of February 2015.

After the initial slide, a surge of rapid movement can occur leading to the transformation of the earth slide into an earthflow. Evidence for this change in behavior includes the following: (i) the landslide suddenly accelerates from millimeter to centimeters per day to meters per hour; (ii) a variety of flow structures appear on the ground surface, such as arcuate pressure ridges parallel to the contour lines, hummocks, lateral levees, and tongue-shaped lobes; and (iii) the material softens by increasing the water content. This latter evidence is of particular interest. After each surge we surveyed the landslide and perform several simple tests to assess material softness by inserting a steel tube (5-cm diameter, 2 m long) into the ground. These qualitative data confirm that soon after a reactivation the earthflow is in a fluid state, at least within the upper 2 m. The material shows the consistency of a clay slurry, and we could easily insert the steel tube into the ground by hand throughout its length. Unfortunately, the depth of the fluidized layer remains unknown because the earthflow was not accessible to heavy machinery after a surge.

After the stage of rapid movement, the earthflow decelerates. The velocity at the toe and along the main track gradually decreases from meters per day to centimeters per day, and the landslide continues to move within lateral shears zones with minor internal deformation. Interestingly, the material in the shear bands (20

to 40 cm thick) remain very soft for several weeks after the surge, while the landslide body becomes apparently stiffer and stronger.

4. Field Data

4.1. In Situ Measurements of Rayleigh Wave Velocity

4.1.1. Methodology

We documented the reactivation of the Montevecchio earthflow by means of periodic and continuous measurements of Rayleigh wave velocities, carried out using two standard techniques: the active MASW (Park et al., 1999) and the passive ReMi techniques (Louie, 2001). Both techniques exploit the properties of Rayleigh waves of different wavelengths to excite the material at different depths, thus traveling at different velocity: short wavelengths normally propagate slower (due to the lower velocity of shallow layers), while long wavelengths, which excite deeper layers, propagate faster (Aki & Richards, 1980; Ben-Menahem & Singh, 1981). MASW focuses on the signal produced by artificial sources, while ReMi exploits signals from natural sources.

The velocity of Rayleigh waves of different wavelengths into the ground is derived from the seismic signal recorded at different positions (a minimum of two) over time. Different mathematical algorithms can be used for this derivation. One of the simplest is to filter the signal at different frequencies and cross-correlate the filtered signal among all the geophone couples to find the time lag. Since the distance between each geophone couple is known, the propagation velocity can be obtained by dividing this distance by the time lag. The result of the cross-correlation algorithm (normalized to the autocorrelation function) can be plotted in frequency-velocity plots as shown in the conceptual example of Figure 3.

Since the dispersion of surface waves is a multimodal phenomenon, different velocity values are possible at the same frequency, each one corresponding to a different propagation mode. In the case of an ideal source, ideal receiver geometry, and ideal material (homogeneous and isotropic half-space), the fundamental mode is dominant in terms of energy. However, in real cases this does not always happen. Selecting the dispersion curve of the fundamental mode or correctly sorting the higher modes implies a degree of subjectivity which represents one of the limits of the method as extensively discussed in the literature (Castellaro, 2016; Foti et al., 2014; Gucunski & Woods, 1992; Tokimatsu et al., 1992). Here we restrict the discussion to what can be inferred from Figure 3. The propagation velocity distribution of a surface wave at a specific frequency is given by the normalized cross-correlation function at that frequency. The graduated color bar in Figure 3 represents the probability density distribution (in linear scale from 0 to 1) of the normalized cross-correlation function. The maxima of the distribution (blue dots in Figure 3) are the velocities associated with each frequency. The narrower the peaks (red shaded areas), the better the degree of accuracy of the velocity determination. Point A in Figure 3 indicates the Rayleigh velocity for a frequency of 30 Hz and the associated error bar, defined as the velocity range with a probability value higher than 0.8.

Rayleigh waves induce the maximum displacement in the subsoil at a depth which is approximately

 $z=\frac{|\vec{3}|}{\sqrt{2}}$, where λ is their wavelength and the range depends on the Poisson's ratio (Jones, 1962). This approximate relation provides a way to determine both the velocity profile in the subsoil (remembering that $\lambda = V_R/f$, where the velocity V_R and the frequency f are those of Figure 3) and the maximum investigation depth. Refined inversion algorithms can be used to evaluate the velocity profile in complex multilayered media. Here we refer to the common approximation of converting wavelength to depth by using the relation $z=\lambda/2.5$ (Castellaro, 2016; Foti et al., 2014). From this relation it also follows that the ideal aperture of the array is at least half the desired investigation depth $z_{\text{max}}/2$ (Park et al., 2007; Rix & Leipski, 1991), although arrays with $z_{\text{max}}/4$ can still be effective under specific circumstances (Castellaro, 2016).

These standard techniques differ from the method used by Mainsant, Jongmans, et al. (2012) in a major aspect. Mainsant, Jongmans, et al. (2012) derived the velocity values in the subsoil from the cross correlation of the signal between two geophones at known distance. The two geophones are planted in the stable ground on both sides of the landslide and provide the average Rayleigh velocity across the investigated section. Since the geophones are located outside the landslide, the system can operate even when the earthflow is rapidly moving. This is an important advantage compared to standard techniques that instead require access to the landslide area. However, the use of two geophones is appropriate only when the

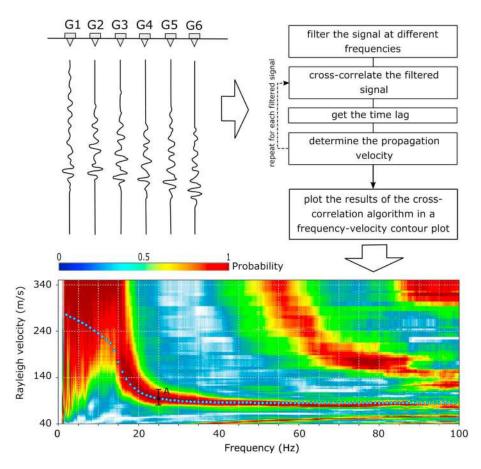


Figure 3. Conceptual example of the multichannel analysis of surface waves/refraction microtremors analysis. (top left) Schematic geophone array (G1 G6). (top right) Flowchart of the solving algorithm. (bottom) Frequency velocity plot showing the experimental propagation velocity distribution of a surface wave at a specific frequency. The graduated color bar shows the probability density distribution of the normalized cross correlation function; the blue dots indicate the most probable velocity values for each frequency. The point A indicates the Rayleigh velocity for a frequency of 30 Hz and the associated error bar, defined as the velocity range with a probability value higher than 0.8.

signal propagation is aligned with the geophone line. If this is not the case, the method provides apparent velocity values, larger than the real values by a factor $1/\cos\alpha$ where α is the angle between the signal propagation direction and the geophone alignment. The method can still provide correct results (that is an apparent velocity distribution centered on the real velocity value) provided that the noise distribution around the geophone line is homogeneous (Mulargia & Castellaro, 2013).

To overcome this limitation, we decided to use standard methods by employing the following: (a) active sources in line with the array, thus ensuring observation of real velocity values; (b) a larger number of geophones, which allows one to compute more precise (statistically redundant) velocity values with depth; and (c) in the case of purely passive surveys, where the source position with respect to the array is unknown, examination of several dispersion curves and retention in the analysis of only those showing the lowest velocity values, which are by definition those closer to the real velocity values (given that $V_{\rm apparent} = V_{\rm real} / \cos \alpha$). Moreover, standard techniques provide measurements of Rayleigh velocity that allow comparing the state of the material in different locations along the landslide.

4.1.2. Periodic Surveys

At Montevecchio, periodic measurements were done every 1–2 months (Table 1) along seven seismic lines. Four lines were located within the landslide area and three just outside the landslide as shown in Figure 4.

We used six vertically polarized 4.5-Hz geophones, pressed firmly into the ground and set at intervals of 2 m each (total length of the seismic lines was 10 m). A 10-m aperture antenna can detect waves as long as 40 m, which corresponds to maximum investigation depth of \sim 12. The first 5 min of each acquisition was done in

Table 1Periodic Seismic Surveys Carried Out at Montevecchio (Location of the Measurement Sections in Figure 4)

	Measurement section						
Date	Α	В	C	D	E	F	G
2014/05/07	Χ	Х	Х	Χ	Х	Χ	Х
2014/06/06	Χ	Χ	Χ	Χ	Χ	Χ	Χ
2014/06/06	Χ	Χ	Χ	Χ	Χ	Χ	Χ
2014/07/27	Χ	Χ	Χ	Χ	Χ	Χ	Χ
2014/08/28		Χ	Χ	Χ			
2015/01/23		Χ	Χ	Χ			
2015/02/18			Χ				
2015/03/11		Χ	Χ	Χ			
2015/03/24		Χ	Χ	Χ			
2015/04/17		Χ	Χ	Χ			
2015/04/24	Χ	Χ	Χ	Χ			
2015/04/30			Χ		X	Χ	Χ
2015/05/07	Χ	Χ	Χ	Χ			
2015/05/19	Χ	Χ	Χ	Χ	X	Χ	Χ
2015/06/08	Χ	Χ	Χ	Χ			
2015/06/19		Χ	Χ	Χ			
2015/07/09	Χ	Χ	Χ	Χ			
2015/07/16		Χ	Χ				
2015/08/05	Χ	Χ	Χ	Χ			
2015/08/27			Χ				
2015/09/04	Χ	Χ	Χ	Χ			

Note. Dates are formatted as year/month/day.

the passive mode (ReMi), just acquiring the ambient seismic noise, while the last minute was in the active mode (MASW) by putting a seismic source (a jump of the operator) about 5 m apart from the first geophone, in order to ensure as planar as possible wavefronts at the geophones. All the geophones were connected to a Soilspy Rosina acquisition system, and data were processed using the software Grilla (http://moho.world).

The data were analyzed to obtain the fundamental dispersion curves. Besides the problems generally related to the interpretation of dispersion curves (see above), the difficult field conditions provided further sources of uncertainty. During the dry season, the surface of the landslide was pervaded by desiccation cracks and open fractures (Figures 5a and 5b), and a firm coupling of the geophones with the ground was difficult. Conversely, during the rainy season or after the major reactivation events (Figure 5c) the material was fluid and most measuring points were not accessible. Both the variable ground conditions and the different locations of the measuring points affected the accuracy of the results.

Figure 6 shows a typical Rayleigh wave phase velocity versus frequency plot (spectrum) obtained at Montevecchio using active (Figure 6a) and passive (Figure 6b) methods. The dispersion curve can be traced by following the red shaded areas of the frequency-velocity plots. In the active mode (Figure 6a) the dispersion curve is generally well defined over a wide range of frequencies and fundamental mode can easily be identified. In the passive mode (Figure 6b) the curve is discontinuous and the fundamental mode can be recognized only in some frequency intervals. For example, the dispersion curve shown in Figure 6b is not well defined around 10 Hz, from 13 to 17 Hz, and above 25 Hz. In fact, active source

methods are generally capable of resolving higher frequencies than passive methods because the source and receiver array can be tailored to the desired frequency range. On the contrary, the source for the ReMi survey was ambient seismic noise that typically contains significant low-frequency energy and lacks high-frequency signal, which can lead to poor resolution of shallow soil layers (Cox & Wood, 2010; Louie, 2001; Strobbia & Cassiani, 2011).

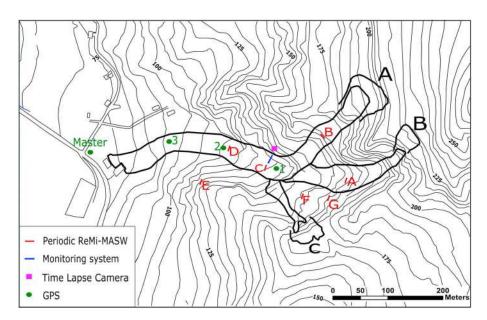


Figure 4. Map showing the location of the monitoring system and periodic seismic surveys. ReMi = refraction microtre mors; MASW = multichannel analysis of surface waves; GPS = Global Positioning System.



Figure 5. Photographs showing the difficult ground conditions encountered during periodic seismic surveys. (a, b) Cracks and open fractures characterize the landslide surface during the dry period; (c) water ponds and soft soil reduce the accessibility soon after a reactivation or an intense rainfall.

4.1.3. Continuous Measurements

Periodic surveys were integrated by continuous measurements of surface wave velocity. To this aim, a cost-effective self-produced monitoring system was designed to include these features: (1) easy to install in the field and quick to remove; (2) low maintenance; (3) light enough to be carried by hand; (4) resistant to harsh field conditions (intense rainfall events and large ground displacements); (5) minimal energy consumption; and (6) compatibility with other geotechnical sensors. A number of preliminary tests were conducted to find the optimal configuration. Different combinations of sampling rate (50 to 300 Hz), number

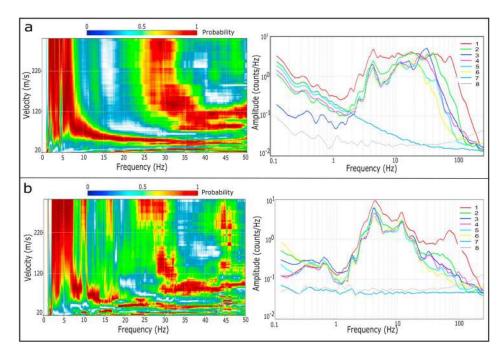


Figure 6. Rayleigh wave phase velocity spectra acquired on 23 January 2015 along section C: (a) active survey; (b) passive survey. Numbers 1 to 8 indicate the geophones.



Figure 7. Photographs of the Montevecchio monitoring system. (a) Geophone amplifiers inserted in a plastic box; (b) con tinuous monitoring system installed in the main track of the earthflow channel. (c, d) Equipment damaged by a reactivation of the earthflow.

of geophones (two to four) and duration of the acquisition session (from 30 s to 5 min) were tested in order to balance the desired signal accuracy with the capabilities of the datalogger and the power requirement. This appeared to be a suitable configuration for our needs: (i) Campbell CR1000 data logger with CFM100 Compact Flash Module (2 GB); (ii) four vertical geophones at 4.5 Hz with four signal amplifiers (gain = 500); (iii) power supplied by a 12-V 7-Ah battery recharged by a 20-W solar panel. Good results were obtained by reading the four geophones at 300 Hz for 2 min every 1 hr, thus simulating the execution of 24 ReMi surveys every day.

The monitoring system was installed at Montevecchio on 16 May 2014. The geophones were placed on the main track of the earthflow channel with a spacing of 2 m (Figure 4, blue line) and buried at a depth of 20 cm (Figures 7a and 7b) to avoid the atmospheric thermal effect and to ensure an adequate coupling with the ground (Beekman, 2008). In the periodic surveys burial was not required because we hand-tamped around the geophones to ensure good coupling. The signals acquired with this type of approach require to be stable in time, implying a relatively constant background noise over the period of interest (Hadziioannou et al., 2009). Based on direct observation during the first field tests, the main source of ambient seismic noise vibration was the national road located at the toe of the landslide (about 400 m away from the monitoring system) which constitutes a spatially stable background noise. The data collected from the datalogger were periodically downloaded and analyzed using the same software adopted for periodic surveys (Grilla).

Also, in this case, dispersion curves were sometimes difficult to interpret; thus, we decided to classify each curve as *good*, *fair*, or *bad* according to the quality of the phase velocity spectrum (Figure 8). Figure 8a shows a dispersion curve classified as good: Here the fundamental as well as a number of higher modes can clearly be distinguished in a wide frequency interval (5–50 Hz). The case (b) shows a fair dispersion curve in which the fundamental mode can be recognized only at low frequencies (5–10 Hz). Case (c) shows a dispersion curve classified as bad because the fundamental mode cannot be detected. Bad curves are generally due to electrical problems with the signal amplifiers, cable ruptures, or bad ground coupling. For the purpose of the analysis, we only considered the good (a) or fair (b) dispersion curves. As representative velocity values, we picked the central points of the red range (which represents the highest probability range of velocity), while we used the red range boundaries (probability value higher than 0.8) to define the error bars (Figure 3).

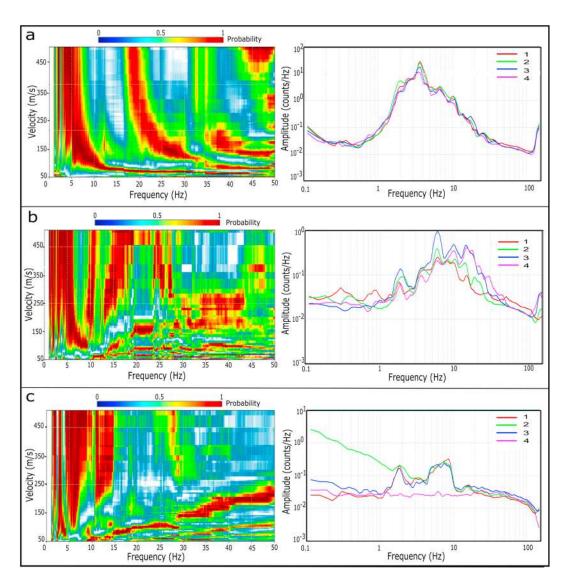


Figure 8. Example of three dispersion curves acquired by the monitoring system. These curves were classified as *good* (a), *fair* (b), and *bad* (c) according to the quality of the phase velocity spectrum (see text). Numbers 1 to 8 indicate the geo phones. The graduated color bars show the probability density distribution of the normalized cross correlation function.

Field monitoring was difficult and sometimes risky due to the strong landslide activity. Figures 7c and 7d show the monitoring system just after the reactivation of 25 February 2015: All the equipment was moved downslope for about 100 m, the rain gage was destroyed, and both the geophones and the amplifiers were lost. The landslide was not accessible for almost 2 months, not even to retrieve the equipment. The system was rebuilt and reinstalled on 7 May 2015. Less than 1 month later, the earthflow reactivated again and the monitoring system was again destroyed. During the monitoring period, we reinstalled the system six times because of the continuous landslide movements.

4.2. Landslide Displacement

Landslide movement was measured using continuous Global Positioning System (GPS) monitoring and a time lapse camera. The GPS system consists of one reference station located in a stable area outside the land-slide and three rover stations installed along the earthflow (Figure 4). Rover GPS devices were LEICA-GMX901 antenna (single frequency; 10-Hz update; horizontal accuracy: 3 mm + 0.5 ppm; vertical accuracy: 5 mm + 0.5 ppm) powered by two batteries (12 V 14 Ah in parallel) and recharged by a 60-W solar panel. Rover stations were equipped with Wi-Fi direction antennas (model Ubiquiti Nanostation M5) for

transmitting data to the reference station. The GPS receiver, the control unit, and the Wi-Fi antenna were installed on a 2-m-long pole equipped with a helicoid tip that was screwed into the ground. The reference station was a dual-frequency LEICA GMX902 antenna connected to an industrial PC. The PC ran the software Leica GNSS Spider to process the data in real time. Power to the reference station was provided via a connection to the grid at 220 V. Raw data are processed in real time to determine the GPS coordinates of rovers in differential mode with respect to the reference station, that is, by calculating the baseline, which is the distance between rover and reference GPS antennas. Since the baseline of rover 1 (the one closest to the monitoring station) is nearly coincident with the direction of movement of the landslide, the measured displacements were not projected.

The time-lapse camera is a Brinno TLC200 that was placed outside the right flank of the earthflow (Figure 4) shooting the monitoring system. The camera has a focal length of 36 mm, and it was set to take one picture every 30 min with a resolution of 640×480 pixels. An AVI video is created in the camera during recording, which results in a file of about 0.2 MB/frame stored on an 8-GB SD card. The analysis of these videos was carried out with the free software Tracker. The displacement was calculated knowing the dimension of an object in the camera view (a wood pole with red/white markings) and its distance from the camera. The pole was placed in the midline of the channel in order to measure the maximum velocity of the earthflow.

5. Results

5.1. Periodic Acquisitions

Periodic seismic surveys were performed at Montevecchio from May 2014 to September 2015. For the sake of clarity, we divide the data set into the three periods that followed the three main reactivations.

Figure 9 illustrates the data collected after the first reactivation (May 2014 to January 2015). The charts show the profiles of Rayleigh wave velocity (V_r) measured inside (sections A, B, C, and D) and outside (sections E and F) the landslide area in the different campaigns (location in Figure 3). The dates of the seismic surveys are reported as days elapsed since the last mobilization (in this case the partial reactivation of 27 April 2014) in order to highlight the variation of V_r with time. As it can be seen, the Rayleigh wave velocity increased over time inside the landslide, while it remained constant outside. In particular, soon after the reactivation (10 days later) the landslide material was characterized by very low values of $V_r \approx 50$ m/s with no significant differences between the four sections. Then V_r increased. The rate of recovery along the earthflow was however different: In the source area (section A) it was faster than in the lower part (section D), whereas sections B and C showed intermediate values. For instance, in 271 days, the Rayleigh wave velocity at a depth of 5 m increased by 100, 45, 30, and 15 m/s moving from sections A to D.

The data collected after the second reactivation (March to May 2015) provided similar results (Figure 10). The first survey was done only 14 days after the reactivation of 25 February, when the landslide material was still partially fluid. The data show very low velocity profiles throughout the earthflow (see sections B, C, and D; section A is missing because it was not accessible) revealing a sharp drop in V_r compared to initial conditions (end of the period in Figure 9). V_r remained low in the next 2 weeks due to the continuous movements of the earthflow, then gradually increased to the values shown before the mobilization. In this case, the recovery rate was similar in the three sections. The Rayleigh wave velocity outside the landslide remained constant and equal to that measured in the first period ($V_r \approx 200-250$ m/s).

The data of the third period (June to September 2015) show a similar trend (Figure 11). Again, the lowest values of V_r occurred soon after the reactivation of 25 May 2015, then the wave velocity increased to the initial value. During this third period the variation of V_r with time was quite complex (especially in sections B and C) because of the extensive consolidation works carried out from July to September 2015, that triggered partial reactivations of the earthflow around the construction area of the earth berms. The last survey was on 4 September 2015. After that, local authorities installed a dense network of trench drains and drainage channels to stabilize the landslide, and most of the material was reworked up to a depth of 2–4 m.

The chart in Figure 12 summarizes the data collected inside and outside the landslide area over the whole period. For this comparison, we used the Rayleigh wave velocity measured at a depth of 2 m, where the dispersion curves are well defined. Despite the difficulties posed by the harsh field conditions and the uncertainties in these geophysical measurements, a clear trend emerges from the data: The Rayleigh wave velocity

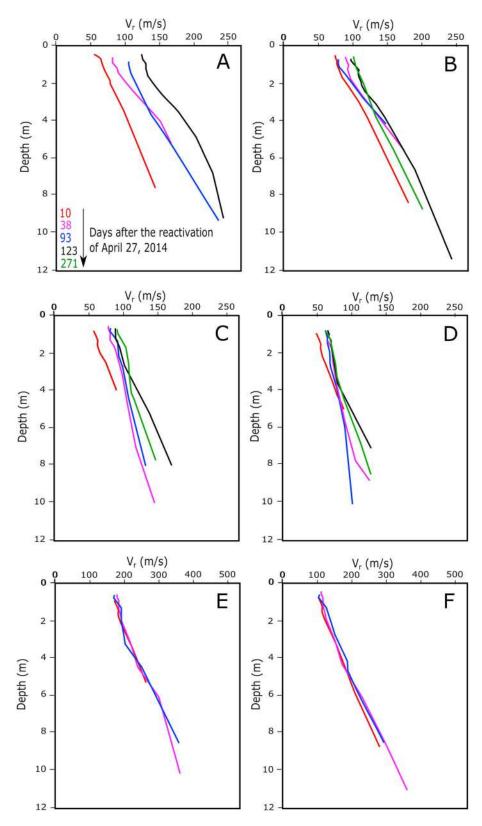


Figure 9. Rayleigh wave velocity profiles measured after the reactivation of 27 April 2014 inside (a d) and outside (e, f) the landslide. Note the change in scale between (a d) and (e, f). Locations of each site are shown in Figure 4.

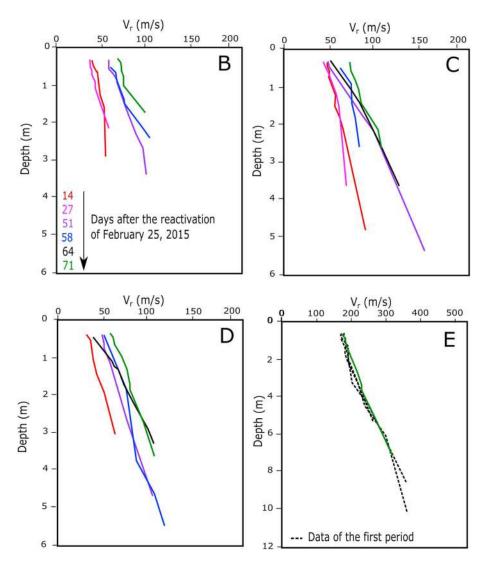


Figure 10. Rayleigh wave velocity profiles measured after the reactivation of 25 February 2015 inside (b) and outside (e) the landslide. Note the change in scale for site E. Sites F and G (located outside the landslide) are not shown because the Rayleigh velocity profiles remained constant. Locations of each site are shown in Figure 4.

dropped to very low values as the earthflow reactivated, then it increased to the initial values following a nonlinear trend.

5.2. Continuous Monitoring

Continuous monitoring was designed to capture the change in material properties during the mobilization of the earthflow. The Montevecchio monitoring system was installed in May 2014 (after the first reactivation of 1 February 2014) and recorded the second and third reactivations. The third reactivation of 25 May 2015 is the best documented, both the GPS and the time lapse camera being active. Figure 13 shows the data collected 3 weeks before and after this event. The red and blue dots indicate the Rayleigh wave velocity at a frequency of 11 and 15 Hz, which correspond to an approximate depth of 1 and 2 m, respectively. The gray dots are the velocities at 8 Hz (approximately 3 m). The investigation depth is restricted to the first meters because the dispersion curves obtained by the monitoring system are poorly represented for low frequencies (section 4.1). However, since the velocity profiles obtained by the periodic surveys are almost linear with depth and vary evenly over time (Figure 11), we believe that these data are representative of the general behavior of the landslide.

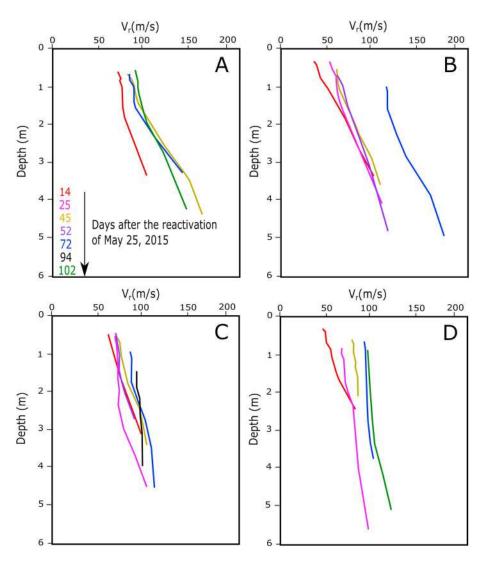


Figure 11. Rayleigh wave velocity profiles measured in the period June 2015 to September 2015 inside the landslide. Sites F and G (located outside the landslide) are not shown because the Rayleigh velocity profiles remained constant. Locations of each site are shown in Figure 4. (a d) Sites A D.

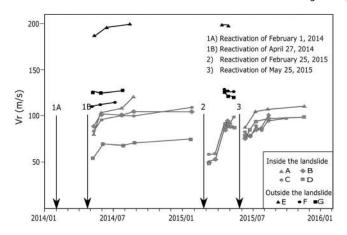


Figure 12. Variation of Rayleigh wave velocity with time during the whole period of measurement. Each point indicates the value measured at a depth of 2 m. Arrows show the start of the main reactivation events of the earthflow.

In the first 3 weeks of May 2015, the landslide was slowly moving at a rate of less than 1 cm/day. Rayleigh velocities were fluctuating around 50-55 m/s, as typically observed during the suspended state of activity of the landslide. On 22 May, it started to rain at 01:10 a.m. and continued until 24 May at 08:40 a.m. with 47 mm in 56 hr. About 11 hr after the beginning of the rain (small inset in Figure 13a) the landslide started to accelerate and the displacement rate increased by 5 times (from 0.8 to 4 cm/day, Figure 13b). The Rayleigh velocity dropped to 30-35 m/s (30% drop) and remained low for the next 2 days, 23 and 24 May, until the first surge of rapid movement (Figure 13c). The first surge started around midnight on 24 May, 16 hr after the end of the rain: The landslide quickly accelerated to 5.8 m/day and reached the peak velocity of 10 m/day (200 times higher than the day before) in the morning of 25 May. In a few hours the earthflow moved downslope of 5–7 m disrupting the geophones array. The landslide then slowed down, and the velocity decreased to 1.2 m/day in the following 10 hr. A second rainfall event of 24 mm in 3 hr occurred on 26 May at 05:30 p.m., leading to the complete reactivation of the

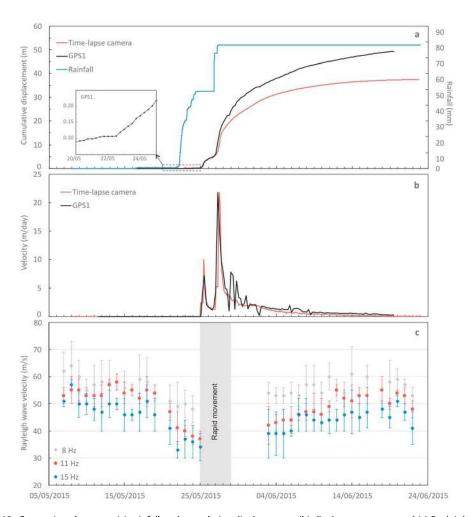


Figure 13. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate, and (c) Rayleigh velocity measured by the monitoring system before and after the reactivation of 25 May 2015.

earthflow. This second surge lasted 3 days with a peak velocity of 22 m/day and a total displacement of about 35 m. The geophones were buried by the landslide, and most of the equipment was destroyed.

The monitoring system was reinstalled on 3 June 2015. The data collected after the surges confirm the results of periodic surveys, showing an increase of Rayleigh velocities as the landslide decelerates. Three weeks after the reactivation, V_r almost returned to the initial values of 50–60 m/s. Rayleigh velocities remained essentially constant until the end of July 2015 (Figure 14). On 26 July, the local authorities started to build an earth dam in the source area A (location in Figure 1) causing a partial reactivation of the landslide. The monitoring system recorded an increase of the displacement rate (from about 5 to 40 cm/day) accompanied by a decrease in V_r of about 20% (Figure 14c). Again, V_r increased to 50–60 m/s as the earthflows decelerates.

Figure 15 shows the data collected during the second reactivation of 25 February 2015. The general trend depicts a progressive increase of the displacement rate (Figures 15a and 15b) accompanied by a decrease of the Rayleigh velocity (Figure 15c). However, a closer look shows some complexity. Rayleigh velocity started to decrease below its normal range on 31 January, while the landslide was slowly moving at a constant speed of about 5 cm/day. Time lapse videos revealed that in those days the ground started to bulge due to the rapid loading of an upload slide. In the next days the Rayleigh velocity remained low (around 45 m/s) and essentially constant, although the displacement rate increased in response to the rainfall event of 3–6 February (160 mm in 4 days). The lowest values of Rayleigh velocity (less than 40 m/s) were recorded anyway just before the complete reactivation of 25 February.

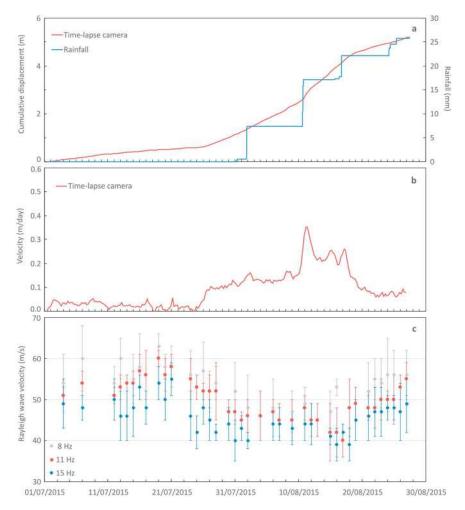


Figure 14. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate, and (c) Rayleigh velocity measured by the monitoring system from June to August 2015.

Figure 16 shows the data recorded 5 months after the first surge, during a long stage of suspended activity (July to November 2014). In that period, the landslide was moving very slowly (Figure 16a) with a trend of slightly decreasing velocity (few millimeters per day, Figure 16b). As expected, the Rayleigh velocities remained essentially constant with small fluctuations around 50 m/s (Figure 16c). The temporary accelerations exhibited by the landslide in response to the rainfall events did not cause any detectable decrease of Rayleigh velocity.

6. Discussion

The data collected at Montevecchio indicate that the mechanical properties of the earthflow material change during surges. The periodic measurements of Rayleigh wave velocity (Figures 9–11) provide the clearest evidence of this variation. Soon after a surge, the values of V_r are very low within the entire thickness of the flowing mass, then they gradually increase through time as the landslide decelerates. The general trend is similar for the three reactivations and across the landslide (Figure 12), although the absolute values of V_r and the rate of recovery are quite different. Possible reasons for these differences are the variable thickness of the landslide, the influence of partial reactivations, the different rate of residual movement, and the effect of consolidation works. For instance, the construction of an earth berm close to section A (Figure 1) is the reason for the rapid increase of V_r observed in that area after the first reactivation (Figure 9a), while the continuous excavations carried out at the toe of the landslide explain the low rate of recovery in section D (Figure 9d).

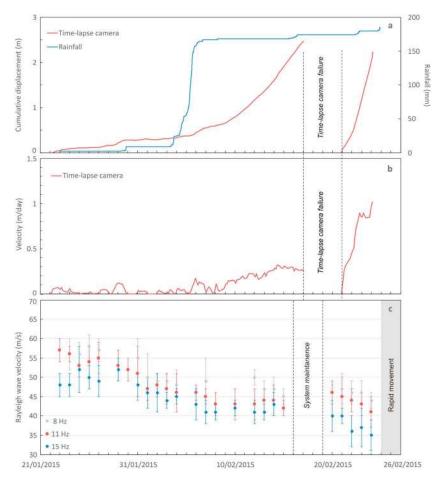


Figure 15. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate, and (c) Rayleigh velocity measured by the monitoring system before the reactivation of 25 February 2015.

Figure 17a provides an overall view of the data collected by periodic surveys. Each point shows the mean Rayleigh velocity measured at a depth of 2 m inside (sections A to D) and outside (sections E and F) the landslide area. Time is reported as number of days elapsed since the last surge. The chart shows that inside the earthflow the Rayleigh velocity increases with time of 30-40% following a power function. A strong increase of V_r occurs in the first 50-70 days after a reactivation, then the velocity seems to attain a constant value (though the curve is not well constrained in the long term). Outside the landslide area, V_r is constant and remarkably higher. These data can be interpreted according to the general theory of surface wave propagation. Rayleigh waves travel with a horizontal wave speed V_r slightly lower than the shear wave speed V_s . The ratio V_r/V_s is a function of the material's Poisson ratio v (Achenbach, 2012):

$$\frac{V_r}{V_s} = \frac{0.862 + 1.14\nu}{1 + \nu} \tag{1}$$

varying from 0.90 for v = 0.5 (soft soils in undrained conditions) to 0.95 for v = 0.2 (stiff soils in drained conditions). In an elastic solid, the velocity of a shear wave is controlled by the solid's density (ρ) and shear modulus (G_0) :

$$V_s = \sqrt{\frac{G_0}{\rho}} \tag{2}$$

where the notation G_0 indicates the initial shear modulus at very small strains (0.001% or less). Since the density ρ has a negligible effect on V_s compared to G_0 , the observed variation of Rayleigh velocity at

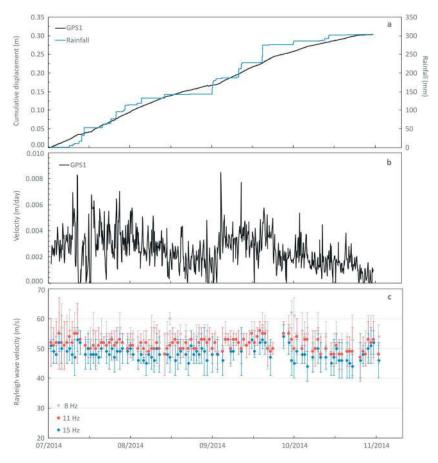


Figure 16. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate, and (c) Rayleigh velocity measured by the monitoring system during the suspended phase from July to November 2014.

Montevecchio can be interpreted as a change in the shear stiffness of the earthflow material. Figure 17b shows the values of G_0 computed from the shear velocity assuming $\nu=0.5$ and constant soil density $\rho=1$, 600 kg/m³ (taken as the average between the density at the liquid limit $\rho\approx1,400$ kg/m³ and the average density measured in the field $\rho\approx1,800$ kg/m³). As can be seen, the shear modulus of the earthflow material is very low soon after mobilization ($G_0\approx5$ MPa) then increases up to 15–20 MPa in a few months. This change in shear stiffness suggests a transition from a very soft to a stiff clay (Ortiz & Simo, 1986).

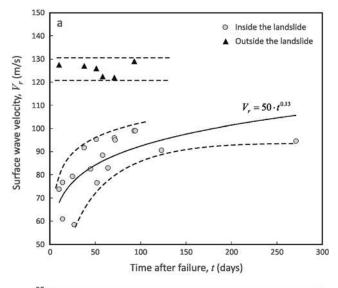
Similar results are obtained using undrained shear strength (s_u). A number of V_s -based correlations have been proposed in the literature to estimate s_u . Mayne (2007) derived a generalized relation between shear wave velocity and cone tip resistance (q_t in kilopascals) suitable for clay materials from soft to firm:

$$V_s = 1.75q_t^{0.627} (3)$$

Nguyen et al. (2014) found a correlation between G_0 and net cone tip resistance ($q_t = \sigma_{v0}$, where σ_{v0} is the total vertical stress) better constrained for soft clays:

$$G_0 = 89.1(q_t \quad \sigma_{v0})^{1.50} \tag{4}$$

These relationships can be inverted to obtain q_t and combined with the classical formula $s_u = (q_t - \sigma_{v0})/N_{kt}$ (where $N_{kt} \approx 14$ is a bearing factor; Robertson, 2009) to get an estimate of undrained strength. The results obtained with the two formulas (using V_s from 64 to 109 m/s in equation (3), and G_0 from 7 to 19 MPa in equation (4)) are similar: The undrained strength is as low as 10–20 kPa soon after reactivation and increases up to 30–50 kPa in a few months. Two cone penetration tests carried out at the toe of the earthflow 3 weeks after the first reactivation confirm these estimates: in the first 8 m, the tests show a uniform profile of s_u with



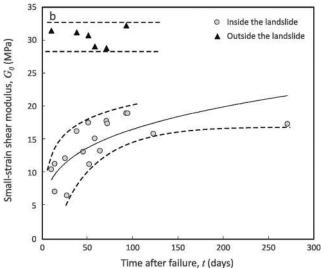


Figure 17. Charts showing the variation of Rayleigh velocity at a depth of 2 m (a) and the corresponding variation of small strain shear stiffness (b) with the time elapsed after a surge. Each point represents the mean value of V_r or G_0 obtained by periodic surveys inside (gray dots) or outside (black triangles) the landslide area.

depth with average values in the range 15–20 kPa. According to the British Standard 5930 (BSI, 2015), this change in strength indicates the transition from a very soft to a firm clay.

The data collected by the monitoring system provide evidence of changes in the material properties before a surge. Rayleigh velocity decreases about 20-30 m/s (about 30% of the initial value) just before the rapid movements of February and May 2015 (Figures 13-15), indicating that the material softened as the earthflow approached a new reactivation. The observed drop is about 10 times larger than the standard deviation of measurements computed when the landslide is not moving (2.2 m/s obtained as the average of the standard deviations calculated for the three frequencies in Figure 16). However, the relationship between displacement rate and Rayleigh velocity is not simple. In particular, there is no correlation between landslide speed and V_r drop (apparently, V_r decreases a similar amount regardless of the velocity attained by the landslide) and also the timing of the drop may differ (section 5.2). Unfortunately, available data do not allow one to establish why there are these differences, mostly because of the limited accuracy of the measurements. A series of tests conducted in the field showed that the dispersion curve obtained without an active seismic source and using only four geophones instead of the six used in periodic surveys is often discontinuous or poorly defined. This makes it difficult to detect the Rayleigh velocity of the fundamental mode and introduces significant uncertainties in the data.

Despite these uncertainties, the data seem to provide a consistent picture: The earthflow material softens during a surge and then recovers to the initial state when the velocity decreases and the landslide comes to rest. The observed behavior cannot be explained by a simple sliding mechanism in which the landslide moves as a plastic solid. The drop in shear stiffness clearly plays an important role in the rapid movement of the Montevecchio earthflow.

What is now more difficult to establish is whether the measured variation of V_r may indicate a solid-to-fluid transition of the earthflow. In principle, we could infer the void ratio e of the material from the shear stiffness G_0 and compute the gravimetric water content at saturation w ($w = e/G_s$, where $G_s \approx 2.7$ is the specific gravity of solids) in order to evaluate the state of the earthflow. However, going from Rayleigh velocities to void ratio is fraught with uncertainties, mostly because the various forms of the G_0 e functions published in the literature might not apply to our

field conditions. In particular, the measured change of Rayleigh velocity at Montevecchio could be due to the opening (or closing) of fissures and cracks within the earthflow rather than dilation (or contraction) of the soil skeleton. The following analysis therefore provides only a rough estimate of e and should be taken with care

Santos and Correia (2000) compared a number of empirical e G_0 relationships and proposed the following function for soils with high percentages of fines:

$$G_0 = 4,000e^{-1.3}p^{0.5} (5)$$

where p is the mean effective stress. Inverting the equation and assuming $p \approx \sigma_{v0}^{'} = 12$ kPa (effective vertical stress at a depth of 2 m considering $\rho = 1$, 600 kg/m³ and water table at the ground surface), we can estimate e from G_0 . According to equation (5) the observed increase of shear stiffness after a surge (G_0 from 5 to 20 MPa) corresponds to a decrease of void ratio from $e \approx 2$ to $e \approx 0.7$. The equivalent change in terms of gravimetric water content is from $e \approx 80\%$ to $e \approx 80\%$. By comparing these values with the Atterberg limits

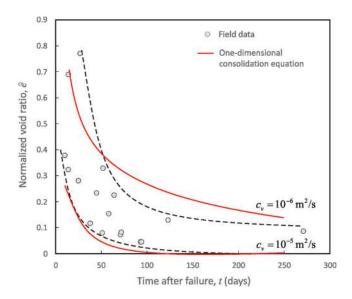


Figure 18. Variation of the normalized void ratio (see text) with the time elapsed after a surge. Each point represents the mean value of void ratio obtained by periodic surveys inside the landslide area. Red lines indicate the theoretical trend predicted by the one dimensional Terzaghi equation for two values of the coefficient of consolidation c_V typical of fine grained materials.

(plastic limit PL = 26%; liquid limit LL = 50%), it turns out that the water content of the earthflow material is well above the liquid limit soon after a surge and close to the plastic limit a few months later. These results are consistent with the field evidence of a fluidized surface of the earthflow that becomes stiffer with time (section 3).

The change of void ratio with time is of particular interest because it allows a quantitative analysis of observed behavior. Figure 18 shows this trend using a normalized void ratio index (\hat{e}) that depicts the relative variation of e with respect to the minimum and maximum values estimated above ($e_{\min} = 0.7$ and $e_{\max} = 2$):

$$\widehat{e} = \frac{e_{\text{max}} \quad e}{e_{\text{max}} \quad e_{\text{min}}} \tag{6}$$

The trend of the experimental points is consistent with the exponential decrease of pore volume (and increase of material stiffness) that occurs with time during the consolidation of a porous material. In fact, it agrees well with the theoretical trend (red curves in Figure 18) predicted by the one-dimensional consolidation theory (Terzaghi, 1943). Terzaghi's consolidation theory allows one to compute the change in void ratio of the soil skeleton to the change in effective stress by means of a coefficient of consolidation (c_v) determined in the oedometer test. The theoretical curves in Figure 18 are computed using typical values of c_v for fine-grained

material (Holtz & Kovacs, 1981). These simple calculations suggest that the Montevecchio earthflow is in a fluid state soon after a rapid stage of movement and returns to a plastic state as the material consolidates.

A further point of discussion is the possible use of this technique for early warning of earthflow movement. Mainsant, Jongmans, et al. (2012) detected a decrease of the relative Rayleigh wave velocity well before the reactivation of their monitored landslide (a first 2% drop about 1 month before the movement, and a second 7% drop 4 days before). Mainsant et al. (2015) carried out some laboratory experiments on artificial clay slopes having different water content and confirmed a drop in V, values before the failure. Based on these, the authors suggested that field monitoring of surface wave velocity could be potentially used to predict landslides (Mainsant, Jongmans, et al., 2012). These results are more uncertain. Also, in our case the Rayleigh velocities start to drop a few days before a surge (Figures 13 and 15), but the relationship between V, and landslide speed is not straightforward. Besides the uncertainty in the data (as discussed above), a possible explanation is that we started to monitor the landslide after a major reactivation (February 2014) that completely remobilized the existing deposits, generating a dense network of pervasive cracks and fissures within the landslide mass. The two surges of February and May 2015 were subsequent reactivations of a completely remolded material. In these conditions, the effect of prefailure cracking and deformations is probably negligible, and we could only detect the main changes in shear stiffness associated with the very rapid movements. Therefore, our data cannot prove (or disprove) the use of Rayleigh wave monitoring for early landslide detection.

Finally, we comment on the technique adopted at Montevecchio for the continuous monitoring of Rayleigh wave velocity. The system configuration (four vertical geophones at 4.5 Hz; 2-min sessions at 300 Hz every 1 hr; passive mode) proved its effectiveness but with a low accuracy compared to periodic surveys. Several modifications can be done to improve results: (1) Combine active and passive mode acquisition in order to improve the dispersion curve at high-frequency ranges (for example, using an automatic hammer controlled by the datalogger that hits the ground during the measurement session); (2) use more geophones to ensure an adequate data redundancy (Tokimatsu, 1997). As an alternative to surface wave monitoring, one could use a down-hole probe specifically designed for long-term monitoring in order to get direct measurements of shear wave velocity inside an active landslide. A further improvement is to combine geophysical data with geotechnical sensors to monitor the water content of the material. Conventional dieletric sensors have an accuracy of 2–3% (Starr & Paltineanu, 2002) and should easily detect the dramatic change of water content required for the earthflow to transition to a liquid state.

7. Conclusions

Rayleigh wave monitoring proved to be an effective method to investigate changes in material properties that occur in active earthflows. In this study, we monitored rainfall, ground displacement, and Rayleigh wave velocity of an earthflow located in the northern Apennines of Italy during a two-year period of intense activity. Based on these data, several conclusions can be drawn:

- 1. As the earthflow accelerates approaching a stage of rapid movement, the material exhibits a significant drop of Rayleigh wave velocity (V_r) ; V_r then gradually increases through time as the landslide decelerates, returning to the initial values in a few months.
- 2. The observed variation of Rayleigh velocity indicates that the earthflow material undergoes a significant change in shear stiffness and undrained strength during each reactivation.
- 3. A simple mechanism of rigid-block sliding cannot account for the observed changes of material properties; therefore, internal disturbance and remolding play an important role in the dynamics of the Montevecchio earthflow.
- 4. Tentative estimates of the gravimetric water content suggest that the earthflow material is well above the liquid limit soon after a surge and decreases with time to the plastic limit following a nonlinear trend typical of a consolidation process; these estimates are consistent with the field evidence of a fluidized surface of the earthflow that becomes stiffer with time.
- 5. At Montevecchio, there is no clear evidence that Rayleigh velocity starts to decrease well before the landslide starts to move, as found by Mainsant et al. (2015). However, in our case the material was completely remolded by previous movements; thus, we probably missed the initial cracking that occurs when the landslide reactivates after a long period of dormancy.
- 6. Because of the difficult field conditions and limited accuracy of the data, available measurements do not allow the precise identification of the relationship between rainfall, displacement rate, and Rayleigh velocity. In order to get better results from field monitoring, we suggest the use of six to eight geophones (instead of four), the use of an active seismic source controlled by the data logger, and installation of soil moisture sensors at different depths for direct measurement of water content inside the landslide.

Acknowledgments

This work was supported by the Civil Protection Agency of the Emilia Romagna Region under the framework agreement "Special activities on support to the forecast and emergency planning of Civil Protection with respect to hydrogeological risk" (ASPER RER, 2011 2015 and 2016 2021). The authors would also like to acknowledge the Editor, the Associate Editor, and the anonymous reviewers of JGR, who provided constructive comments and suggestions which improved the quality of the paper. All the data used in this paper are listed in the references or are included in the figures and tables.

References

Achenbach, J. D. (2012). Wave propagation in elastic solids. Amsterdam, The Netherlands: Elsevier.

Aki, K., & Richards, P. G. (1980). Quantitative seismology, theory and methods. San Francisco: W. H. Freeman & Co. https://doi.org/10.1017/ 50016756800034439

Ancey, C. (2007). Plasticity and geophysical flows: A review. Journal of Non Newtonian Fluid Mechanics, 142(1 3), 4 35. https://doi.org/ 10.1016/j.jnnfm.2006.05.005

Baum, R. L., Savage, W. Z., & Wasowski, J. (2003). Mechanics of earth flows. Paper presented at International Workshop on Occurrence and Mechanisms of Flows in Natural Slopes and Earthfills, Sorrento, Italy.

Beekman, A. N. (2008). A comparison of experimental ReMi measuraments with various source, array, and site condition, (Master's thesis). University of Arkansas.

Ben Menahem, A., & Singh, S. J. (1981). Seismic waves and sources. New York: Springer Verlag. https://doi.org/10.1007/978 1 4612 5856 8 Berti, M., Martina, M. L. V., Franceschini, S., Pignone, S., Simoni, A., & Pizziolo, M. (2012). Probabilistic rainfall thresholds for landslide occur rence using a Bayesian approach. Journal of Geophysical Research, 117, F04006. https://doi.org/10.1029/2012JF002367

Bovis, M. J., & Jones, P. (1992). Holocene history of earth flow mass movement in south central British Columbia: The influence of hydrocli matic changes. Canadian Journal of Earth Sciences, 29(8), 1746 1755. https://doi.org/10.1139/e92 137

BSI (2015). BS 5930: 2015 The code of practice for site investigations. Milton Keynes: British Standards Institute.

Casagrande, A. (1932). Research on the Atterberg limits of soils. Public Roads, 13(8), 121 136.

Castellaro, S. (2016). Soil and structure damping from single station measurements. Soil Dynamics and Earthquake Engineering, 90, 480 493. https://doi.org/10.1016/j.soildyn.2016.08.005

Coe, J. A., McKenna, J. P., Godt, J. W., & Baum, R. L. (2009). Basal topographic control of stationary ponds on a continuously moving landslide. Earth Surface Processes and Landforms, 34(2), 264 279. https://doi.org/10.1002/esp.1721

Coussot, P., Laigle, D., Arattano, M., Deganutti, A., & Marchi, L. (1998). Direct determination of rheological characteristics of debris flow. Journal of Hydraulic Engineering, 124(8), 865 868, https://doi.org/10.1061/(ASCE)0733 9429(1998)124:8(865)

Cox, B. R., & Wood, C. M. (2010). A comparison of linear array surface wave methods at soft soil site in the Mississippi embayment. Paper presented at GeoFlorida 2010, Orlando, Florida.

Craig, D. (1979). Some apsects of mudslide stability in East County Antrim, Northern Ireland, (Doctoral thesis). Queen's University of Belfast,

Cruden, D. M., & Varnes, D. J. (1996). Landslide types and processes. In A. K. Turner, & R. L. Shuster (Eds.), Landslides: Investigation and miti gation, (Vol. 247, pp. 36 75). Washington: National Academy Press.

D'Elia, B., Picarelli, L., & Leroueil, S. (1998). Geotechnical characterization of slope movements in structurally complex clay soils and stiff iointed clays, Rivista Italiana di Geotecnica, 33, 5 32.

Foti, S., Lai, G. C., Rix, G. J., & Strobbia, C. (2014). Surface wave methods for near surface site characterization. London: CRC Press. https://doi.org/ 10.1201/b17268

Giordan, D., Allasia, P., Manconi, A., Baldo, M., Santangelo, M., Cardinali, M., et al. (2013). Morphological and kinematic evolution of a large earthflow: The Montaguto landslide, southern Italy. Geomorphology, 187, 61 79. https://doi.org/10.1016/j.geomorph.2012.12.035

- Gucunski, N., & Woods, R. D. (1992). Numerical simulation of the SASW test. Soil Dynamics and Earthquake Engineering, 11(4), 213 227. https://doi.org/10.1016/0267 7261(92)90036 D
- Hadziioannou, C., Larose, E., Coutant, O., Roux, P., & Campillo, M. (2009). Stability of monitoring weak changes in multiply scattering media with ambient noise correlation: Laboratory experiments. *The Journal of the Acoustical Society of America*, 125(6), 3688–3695. https://doi.org/10.1121/1.3125345
- Handwerger, A. L., Roering, J. J., & Schmidt, D. A. (2013). Controls on the seasonal deformation of slow moving landslides. *Earth and Planetary Science Letters*. 377 378.
- Hang, P. T., & Brindley, G. W. (1970). Methylene blue absorption by clays minerals. Determination of surface areas and cation exchange capacities (clay organic studies XVIII). Clays and Clay Minerals, 18(4), 203 212. https://doi.org/10.1346/CCMN.1970.0180404
- Hoek, E., & Brown, E. T. (1977). Practical estimates of rock mass strength. *International Journal of Rock Mechanics and Mining Sciences*, 34(8), 1165–1186
- Holtz, R. D., & Kovacs, W. D. (1981). An introduction to geotechnical engineering, Civil Engineering and Engineering Mechanics Series, (). New Jersey: Prentice Hall
- Hungr, O., Evans, S. G., Bovis, M. J., & Hutchinson, J. N. (2001). A review of the classification of landslides the flow type. *Environmental and Engineering Geoscience*, 7(3), 221 238. https://doi.org/10.2113/gseegeosci.7.3.221
- Hutchinson, J. N. (1970). A coastal mudflow on the London clay cliffs at Beltinge, North Kent. *Geotechnique*, 20(4), 412 438. https://doi.org/10.1680/geot.1970.20.4.412
- Hutchinson, J. N. (1988). General report: Morphological and geotechnial parameters of landslides in relation to geology and hydrogeology.

 Paper presented at Fifth International Symposium on Landslides. Rotterdam. Netherlands.
- Hutchinson, J. N., & Bhandari, R. K. (1971). Undrained loading, a fundamental mechanism of mudflows and other mass movements. Geotechnique, 21(4), 353–358. https://doi.org/10.1680/geot.1971.21.4.353
- Hutchinson, J. N., Prior, D. B., & Stephens, N. (1974). Potentially dangerous surges in an Antrim [Ireland] mudslide. *Quarterly Journal of Engineering Geology*, 7(4), 363–376.
- lverson, R. M., & Major, J. J. (1987). Groundwater seepage vectors and potential for hillslope failure and debris flow mobilization. Water Resources Research, 22(11), 1543 1548. https://doi.org/10.1029/WR022i011p01543
- Jones, R. (1962). Surface wave technique for measuring the elastic properties and thickness of roads: Theoretical development. *British Journal of Applied Physics*, 13(1), 21–29. https://doi.org/10.1088/0508-3443/13/1/306
- Jongmans, D., Baillet, L., Larose, E., Bottelin, P., Mainsant, G., Chambon, G., & Jaboyedoff M. (2015). Application of ambient vibration tech niques for monitoring the triggering of rapid landslides. Paper presented at Engineering Geology for Society and Territory, Torino, Italy.
- Keefer, D. K., & Johnson, A. M. (1983). Earthflows: Morphology, mobilization and movement. U.S. Geological Survey Professional Paper (1264), U.S. Government Printing Office.
- Lautrin, D. (1989). Utilisation pratique des parametres derives de l'essai au blue de methylene dans le les projets de genie civile. Bulletin de Liaison des Laboratories des Ponts et Chaussees, 160, 29 41.
- Louie, J. N. (2001). Faster, better: Shear wave velocity to 100 meters depth from refraction microtremor arrays. *Bulletin of Seismological Society of America*, 91(2), 347 364. https://doi.org/10.1785/0120000098
- Mainsant, G., Chambon, G., Jongmans, D., Larose, E., & Baillet, L. (2015). Shear wave velocity drop prior to clayey mass movement in laboratory flume experiment. *Engineering Geology*, 192, 26 32. https://doi.org/10.1016/j.enggeo.2015.03.019
- Mainsant, G., Jongmans, D., Chambon, G., Larose, E., & Baillet, L. (2012). Shear wave velocity as an indicator for rheological changes in clay materials: Lessons from laboratory experiments. *Geophysical Research Letters*, 39, L19301. https://doi.org/10.1029/2012GL053159
- Mainsant, G., Larose, E., Bronnima, C., Jongmans, D., Michoud, C., & Jaboyedoff, M. (2012). Ambient seismic noise monitoring of a clay landslide: Toward failure prediction. *Geophysical Research Letters*. 117. F01030. https://doi.org/10.1029/2011JF002159
- Mayne, P. (2007). Cone penetration testing, a synthesis of highway practice. Trasportation Research Board: Washington, DC.
- Moore, R. (1988). The clay mineralogy, weathering and mudslide behaviour on coastal cliffs, (Doctoral thesis). King's College, University of London.
- Mulargia, F., & Castellaro, S. (2013). A seismic passive imaging step beyond SPAC and ReMiTM. Geophysics, 78, 63 72.
- Nguyen, H. Q., DeGroot, D. J., & Lunne, T. (2014). Small strain shear modulus of marine clays from CPT. Paper presented at 3rd International Symposium on Cone Penetration Testing, Las Vegas, Nevada, USA.
- Ortiz, M., & Simo, J. S. (1986). An analysis of a new class of integration algorithms for elastoplastic constitutive relation. *International Journal for Numerical Methods in Fluids*, 23(3), 353–366.
- Park, C., Miller, R., & Xia, J. (1999). Multi channel analysis of surface waves. *Geophysics*, *64*(3), 800 808. https://doi.org/10.1190/1.1444590 Park, C. B., Miller, R. D., Xia, J., & Ivanov, J. (2007). Multichannel analysis of surface waves (MASW) Active and passive methods. *The Leading Edge*, *26*(1), 60 64. https://doi.org/10.1190/1.2431832
- Pastor, M., Blanc, T., & Pastor, M. J. (2009). A depth integrated viscoplastic model for dilatant saturated cohesive frictional fluidized mixtures: Application to fast catastrophic landslides. *Journal of Non Newtonian Fluid Mechanics*, 158(1 3), 142 153. https://doi.org/10.1016/j.jnnfm.2008.07.014
- Pastor, M., Manzanal, D., Fernandez Merodo, J. A., Mira, P., Blanc, T., Drempetic, V., et al. (2010). From solids to fluidized soils: Diffuse failure mechanisms in geostructures with applications to fast catastrophic landslides. *Granular Matter*, 12(3), 211 228. https://doi.org/10.1007/s10035 009 0152 4
- Picarelli, L., Urcioli, L., Ramondini, G., & Comegna, L. (2005). Main features of mudslides in tectonised highly fissured clays shales. *Landslides*, 2(1), 15 30. https://doi.org/10.1007/s10346 004 0040 2
- Prior, D. B., Stephens, N., & Archer, D. R. (1968). Composite mudflows on the Antrim coast of north East Ireland. *Geografiska Annaler*, 50(2), 65 78. https://doi.org/10.1080/04353676.1968.11879773
- Reynolds, J. M. (1997). An introduction to applied and environmental geophysics. Chichester: John Wiley.
- Ricci Lucchi, F., Bassetti, M. A., Manzi, V., & Roveri, M. (2002). Il Messiniano trent'anni dopo: Eventi connessi alla crisi di salinità dell'avanfossa appenninica. Studi Geologici Camerti, 1, 127 142.
- Richart, F. E., Hall, J. R., & Woods, R. D. (1970). Vibrations of soils and foundations. Englewood Cliffs, NJ: Prentice Hall, Inc.
- Rix, G. J., & Leipski, E. A. (1991). Accuracy and resolution of surface wave inversion. In S. K. Bhatia, & G. W. Blaney (Eds.), *Recent advances in instrumentation, data acquisition and testing in soil dynamics* (pp. 17 32). San Diego, CA: American Society of Civil Engineers.
- Robertson, P. K. (2009). Evaluation of flow liquefaction and liquefied strength using the cone penetration test. *Journal of Geotechnical and Geoenvironmental Engineering*, 136(6), 842 853.
- Robertson, P. K. (2010). Estimating in situ state parameter and friction angle in sandy soils from CPT. Paper presented at 2nd Internation Symposium of Cone Penetration Test, Signal Hill, California, USA.

- Santos, J. A., & Correia, G. (2000). Shear modulus of soils under cyclic loading at small and medium strain level. Paper presented at 12WCEE 2000. Auckland. New Zeland.
- Schadler, W. (2010). Slope movements of the earthflow type engineering geological investigation, geotechnical assessment, and modelling of the source areas on the basis of case studies from the Alps and Apennines. Berlin: Logos verlag Berling GmbH.
- Schulz, W. H., Mackenna, J. P., Kibler, J. D., & Biavati, G. (2009). Relations between hydrology and velocity of a continuously moving landslide Evidence of pore pressure feedback regulating landslide motion? *Landslides*, 6(3), 181 190. https://doi.org/10.1007/s10346 009 0157 4
- Seed, R. B., Cetin, K. O., Moss, R. E. S., Kammerer, A. M., Wu, J., Pestana, J. M., et al. (2003). Recent advances in soil liquefaction engineering: A unified and consistent framework. Eartquake Engineerig Research Center, Report No. EERC 2003 6, California, USA.
- Simoni, A., Ponza, A., Picotti, V., Berti, M., & Dinelli, E. (2013). Earthflow sediment production and Holocene sediment record in a large Apennine catchment. *Geomorphology*, 188, 42 53. https://doi.org/10.1016/j.geomorph.2012.12.006
- Starr, J. L., & Paltineanu, I. C. (2002). Methods for measurement of soil water content: Capacitance devices. In J. H. Dane & G. C. Topp (Eds.), *Methods of soil analysis: Part 4 physical methods* (1660 pp.). Madison, WI: Soil Science Society of America.
- Strobbia, C., & Cassiani, G. (2011). Refraction microtremors: Data analysis and diagnostics of key hypotheses. *Geophysics*, 76(3), MA11 MA20. https://doi.org/10.1190/1.3560246
- Telford, M. W., Geldart, L. P., & Sheriff, E. R. (1990). Applied geophysics. Cambridge, UK: Cambridge University Press. https://doi.org/10.1017/CBO9781139167932
- Terzaghi, K. (1943). Theoretical soil mechanic. New York: John Wiley. https://doi.org/10.1002/9780470172766
- Tokimatsu, K. (1997). Geotechnical site characterization using surface waves. Paper presented at 1st Intl. Conf. Earthquake Geotechnical Engineering. Tokyo.
- Tokimatsu, K., Tamura, S., & Kojima, H. (1992). Effects of multiple modes on Rayleigh wave dispersion characteristics. *Journal of Geotechnical Engineering*, 118(10), 1529 1543. https://doi.org/10.1061/(ASCE)0733 9410(1992)118:10(1529)
- Van Asch, T. W. J., & Malet, J. P. (2009). Flow type failures in fine grained soils: An important aspect in landslide hazard analysis. *Natural Hazards and Earth System Sciences*, 9(5), 1703 1711. https://doi.org/10.5194/nhess 9 1703 2009
- Varnes, D. J., & Savage, W. Z. (1996). The Slumgullion earth flow: A large scale natural laboratory. U. S geological survey bulletin (2130), U.S. Government Printing Office.