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# **Accepted Manuscript**

Probiotic survival and in vitro digestion of *L. salivarius* spp. *salivarius* encapsulated by high homogenization pressures and incorporated into a fruit matrix.

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2 homogenization pressures and incorporated into a fruit matrix.

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# 12 Highlights

13 Encapsulated *L. salivarius* by HPH was successfully incorporated into an apple matrix.

14 *L. salivarius* content in dried apple was enough to have a probiotic effect.

- 15 Encapsulation exerted a protective effect after 14 days of storage.
- 16 Encapsulation exerted a protective effect against simulated gastrointestinal conditions.

17

# 18 Abstract

High pressure homogenization allows encapsulating microorganisms in continuous conditions. Microencapsulation of probiotic microorganisms may enhance their viability during food processing, storage and gastrointestinal passage. The aim of this work was to evaluate the probiotic survival and in vitro digestion of non-encapsulated and encapsulated *Lactobacillus salivarius* spp. *salivarius* by homogenization pressures homogenization at 70 MPa, included into an apple matrix by vacuum impregnation, dried by hot air drying and stored during 30 days. *Lactobacillus* 

salivarius spp. salivarius was encapsulated with alginate as a coating by homogenization pressures 25 at 70 MPa and it was added to mandarin juice. Juices with L. salivarius spp. salivarius encapsulated 26 and non-encapsulated were used as impregnation liquid to incorporate the probiotic microorganisms 27 in apple discs. Impregnated apple discs were dried at 40°C during 24 h and water activity, moisture, 28 counts of viable cells and survival during gastrointestinal simulation for the storage period of 30 29 days were evaluated. Dried apple discs with encapsulated L. salivarius spp. salivarius resulted with 30 higher amount of viable cells than in those non-encapsulated. Gastrointestinal simulation results 31 evidenced a protection of the microorganism due to the capsule effect. 32

33

# 34 Keywords:

35 Microencapsulation, hot air drying, high pressure homogenization, probiotic, gastrointestinal36 simulation.

## 37 1. Introduction

The benefits of probiotic microorganism consumption are increasingly known as scientific evidences demonstrate more and more that probiotic can protect host against a broad range of diseases from infection to psychological and even degenerative diseases (Avershina et al., 2017; Anderson et al., 2017; Pirbaglou et al., 2016).

In the development of functional foods with probiotic microorganisms, formulation, processing and storage should favour microorganism survival. Both, technologies and food matrix must be aimed at protecting microorganism cells against external stress factors. In addition, once the food is consumed, the effect of digestion through the gastrointestinal system must be taken into account.

The inclusion of probiotic microorganisms into the structure of a food matrix can help to maintain the integrity of the microbial cells. Moreover, hot air drying technology permits increasing the product shelf life by reducing the water activity and therefore the development of pathogenic 49 microorganism and conferring specific characteristics (Betoret et al., 2015). Under heat treatment 50 conditions there is a loss of probiotic viability and a stress response is activated which mechanism 51 are under study (Cappozzi et al., 2016; Fiocco et al., 2010; De Angelis et al., & Gobetti, 2004). 52 Afterwards, in a dehydrated regime, the probiotic viability increases while decreasing the water 53 activity (Ubbink & Krüger, 2006)

Microencapsulation of probiotic bacteria can be a very useful strategy to maintain survival rates 54 55 and viability higher during processing over the shelf life and after consumption when compared to non-encapsulated cells (Burgain et al., 2011; Capela et al., 2006). The production of microcapsules 56 containing probiotics falls into three main categories: extrusion, emulsion and spray drying. Spray 57 58 drying technologies are well established, since the size of microcapsules are reduced (few hundred of microns) and homogeneous (Cook, 2012). However, spray drying impart physical stresses to the 59 cells such as heat and also increase their exposure to oxygen thus greatly reducing the viability of 60 61 the sensitive probiotic bacteria (Lee and Heo, 2000). The emulsion method of encapsulation by agitation is considered a more gentle process which can be easily monitored thus more viable cells 62 can survive the encapsulation process (Capela et al., 2005). However, the obtained capsules are 63 bigger and less homogeneous than in the other technologies. In order to minimize these 64 disadvantages, high-pressure vale homogenizers can create small droplets by forcing liquids 65 through a narrow valve under pressure. Homogenizers are already a well stablished technology in 66 some food industries such as milk or fruit juices and can operate in continuous thus being not 67 expensive and facilitating the up-scaling (Ding & Shah, 2009; Calabuig-Jiménez et al. 2019). 68

High pressures homogenization is a reliable, not expensive and quite simple technology that
allows encapsulating microorganism in continuous conditions with the advantage of its industrial
up scaling (Ding & Shah, 2009; Calabuig Jiménez et al. 2019).

In 2009, Ding and Shah applied 70 or 138 MPa for the encapsulation of *L. salivarius* starting from an emulsion of sodium alginate and vegetable oil. These process conditions gave microcapsules having a diameter  $85 - 66 \mu m$  with an encapsulation yield of 77 % (Ding and Shah,

75	2009). Patrignani et al., studied in 2017 the application of 50 MPa to encapsulate L. salivarius,
76	using sodium alginate in emulsion with vegetable oil. Authors obtained an encapsulation yield of 87
77	$-$ 83 % and the diameters of the capsule obtained, sphere like and quite rough were $<$ 100 $\mu m$
78	(Patrignani et al., 2017). Tolerance to high pressure vary according to the species, strain and
79	suspending mediums used (Abee & Wouters, 1999) but generally, the application of pressure under
80	100 MPa was not able to induce stresses to the microbial cells (Lanciotti et al., 2007; Burns et al.,
81	2015) and cell death occurred in the range 130 – 800 MPa (De Angelis & Gobetti, 2004).
82	The aim of this work was to determine the probiotic survival and in vitro digestion of
83	Lactobacillus salivarius spp. salivarius encapsulated by homogenization pressures, included into an
84	apple matrix by vacuum impregnation, dried by hot air drying and stored during 30 days.
85	
86	2. Material and methods
87	
88	2.1. Strain and food materials
89	The strain used in this study was Lactobacillus salivarius spp. salivarius CECT 4063 provided
90	by the Spanish Type Culture Collection (CECT, Valencia, Spain) in lyophilized form.
91	Juice was obtained from mandarin fruits cv. Ortanique (Citrus sinensis x Citrus reticulata)
92	provided by a local cooperative (Rural S. Vicent Ferrer, Benaguacil, Valencia, Spain). Low pulp
93	juice was prepared following the procedure described in WO/2007/042593 with some modifications
94	(Calabuig-Jiménez et al., 2019).
95	Apples (cv. Granny Smith) were purchased from a local market. In this experimental study apple
96	discs with 5 mm thick and 20 – 60 mm of internal and external diameter were used.
97	

98 2.2. Microencapsulation

To microencapsulate L. salivarius spp. salivarius the method described by (Ding & Shah, 2009) 99 was followed with some modifications (Calabuig-Jiménez et al., 2019). Briefly, an emulsion 100 containing 25 mL of microorganism with 10<sup>9</sup> CFU/ml, 100 mL of sodium alginate (3%) (Sigma-101 aldrich, Steinheim, Germany), 1 mL of tween 80 (Sharlau, Sentmenat, Spain) and 200 mL of 102 sunflower oil was homogenized in two passes at 70 MPa with a homogenizer (Panda Plus Niro 103 Soavi, Parma, Italy). After homogenization calcium chloride 0.1 M (Sigma-aldrich, Steinheim, 104 Germany) was added and microcapsules were isolated by centrifugation at 7700 x g for 15 min at 105 10°C (Beckman Coulter AvantiTM J-25, California, United States). 106

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#### 108 2.3. Mandarin juice with probiotic microorganisms

Mandarin juices with *L. salivarius* spp. *salivarius* encapsulated and not were used as impregnation liquids. Mandarin juice with non-encapsulated *L. salivarius* spp. *salivarius* was prepared following the methodology described in 13-(Betoret et al., 2017) following inoculation with  $10^9$  CFU/mL and incubation at 37°C for 24 h. Mandarin juice with microencapsulated *L. salivarius* spp. *salivarius* was prepared by adding microcapsules prepared as described above into the juice at a ratio of 1.45 juice/microcapsules (w/w) (Calabuig-Jiménez et al., 2019). The mixture was maintained in agitation at room temperature for 1 h.

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# 117 2.4. Process to produce L. salivarius spp. salivarius enriched dried apple

Dried apple discs with *L. salivarius* spp. *salivarius* encapsulated and not, were obtained following the methodology described previously (Betoret et al., 2012). A vacuum pressure of 50 mbar for 10 min was applied to immersed fresh apple discs following an atmospheric pressure restoration during further 10 min. Impregnated apple discs were dried using an air drier (POL-EKO

- model CLW400 TOP, Controltecnica Instrumentación Científica, S.L., Madrid, Spain) at 40 °C for
  24 h. The values provided are the average of three replicates.
- 124
- 125 2.5. *Physicochemical characterization*

Impregnated and dried apple discs were characterized by measuring pH, water activity and moisture content. To determine pH, a pHmeter (Crison GLP21, Barcelona, Spain) was used. Water activity was measured using a dew point hygrometer (DECAGÓN Aqualab CX-2, Washington, United States). Water content was quantified by vacuum drying at 60 °C until a constant weight. The values provided are the average of three replicates.

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#### 132 2.6. *Microbial content*

*L. salivarius* spp. *salivarius* was determined in MRS agar (Scharlab, Barcelona, Spain) on double layer incubated 24 h at 37 °C. In encapsulated samples the first dilution was done in phosphate buffer solution stirred during 30 min. Values provided are the average of four replicates.

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## 137 2.7. Gastrointestinal digestion

The effect of the gastrointestinal digestion on the microorganism survival was determined following the procedure described in (Calabuig-Jiménez et al., 2019).  $T_i$  was the *L. salivarius* spp. *salivarius* content;  $t_i$  was a moment during the gastrointestinal digestion. Briefly, ten grams of sample were mixed with 10 mL of pepsine (0.6% w/v) (Sigma-aldrich, Steinheim, Germany) adjusted to pH 3 with HCl 4 M ( $t_1 - T_1$ ) and mixed at 37°C for 90 min ( $t_2 - T_2$ ). Phosphate buffer solution (pH 8) with 10% of bile (Sigma-aldrich, Steinheim, Germany) was added ( $t_3 - T_3$ ). Phosphate buffer with 0.3% of bile and 0.1% pancreatine (Sigma-aldrich, Steinheim, Germany) was

added following an incubation at 37°C for 90 min ( $t_4$ - $T_4$ ). The results provided are the average of
four replicates.
2.8.Storage
Dried samples were stored in closed opaque plastic bags at room temperature and analyses were
performed weekly during 30 days.
2.9.Statistical analysis
The significant effect of the process variables, at 95% confidence level, was determined with an
ANOVA analysis using Statgraphics centurion XVI software (StatPoint Technologies, Virginia,
US).
3. Results and discussion
3.1.Physicochemical characterization
Physicochemical characteristics of the impregnated and dried apple discs with L. salivarius spp.
salivarius were evaluated during 30 days of storage (table 1). Generally, the physicochemical
properties of dried apple with L. salivarius spp. salivarius encapsulated and not, were maintained
similar during all the storage time. The pH values of dried apple with encapsulated L. salivarius
spp. salivarius were higher, showing less variability than that obtained in samples with non-
encapsulated microorganisms. Samples with encapsulated L. salivarius spp. salivarius had less
amount of mandarin juice impregnated than those samples with non-encapsulated microorganisms.

167 Additionally, the encapsulation process could decrease the activity of *L. salivarius* spp. *salivarius* 

resulting in a lower fermentation activity of the microencapsulated cells which would produce less 168 acidic compounds (Bilenler et al., 2017; Ribeiro et al., 2014). At the end of the storage there were 169 not differences between both samples. 170

The rate of food reactions and spoilage microorganisms activity is reduced with lower moisture 171 content, being retarded and even inhibited with a water activity as or below 0.3 (Smith, 2008). In 172 our case, despite obtained water activity was higher than 0.3, any moulds or harmful bacteria were 173 not developed during the storage. Our results were similar to that obtained previously by (Betoret et 174 al., 2012). Water activity values ranged between 0.48 and 0.54 in both cases, with more variability 175 observed in samples with encapsulated L. salivarius spp. salivarius and a tendency to increase with 176 storage time. In samples with non-encapsulated L. salivarius spp. salivarius, the values of water 177 activity were maintained practically constant during 21 days from which had a tendency to increase. 178 The same behaviour was observed for moisture content values. The presence of oil coming from the 179 180 emulsion to encapsulate *L. salivarius* spp. *salivarius* in the apple slices could difficult the water flux during drying, resulting in a less homogeneous product. An unequal distribution of water content 181 during drying could cause further water migrations during storage, explaining then the differences 182 observed between both samples. 183

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# 3.2.Effect of technological operations on probiotic survival

Microbial content of the encapsulated and non-encapsulated L. salivarius spp. salivarius in the 186 mandarin juice, in the impregnated apple and in the impregnated and dried apple are shown in 187 figure 1. The content of encapsulated L. salivarius spp. salivarius in mandarin juice was managed to 188 be the same as that obtained in samples with non-encapsulated microorganisms in order to compare 189 190 its degradation during the processing. The obtained results were similar to that obtained in previous studies (Calabuig-Jiménez et al., 2019; Betoret et al., 2012; Betoret et al., 2017). The amount of 191 192 mandarin juice with L. salivarius spp. salivarius encapsulated and not, incorporated into the apple,

using vacuum impregnation, was estimated by mass balances using the equation 1. Calculated and 193 194 experimental obtained values were 8.71  $\pm$  0.02 Log CFU/g<sub>IV</sub> - 7.23  $\pm$  0.02 Log CFU/g<sub>IV</sub> and 7.62  $\pm$ 0.04 Log CFU/g<sub>IV</sub> - 7.3414  $\pm$  0.0014 Log CFU/g<sub>IV</sub> in samples with encapsulated *L. salivarius* spp. 195 salivarius and not, respectively. Similar calculated and experimental values, as in samples with non-196 encapsulated L. salivarius spp. salivarius, indicated that the liquid flux into the intracellular pores 197 of apple was homogeneous and only due to pressure gradients. A homogeneous vacuum 198 impregnation means that all components of the mandarin juice were incorporated equally. Pressure 199 levels applied during the vacuum impregnation operation in this study do not affect significantly 200 microorganisms' survival (Betoret et al., 2012). Thus, the differences observed between calculated 201 202 and experimental values in samples with encapsulated L. salivarius spp. salivarius indicated that the vacuum impregnation operation was not homogeneous and this could be due to three possible 203 reasons: a not homogeneous distribution of the encapsulated microorganisms, an accumulation of 204 205 microorganism in some areas of the apple structure were the pore sizes are smaller than the capsules, an irregular flows of juice through the structure due to local pressure gradients. the 206 207 particle size of the capsules was bigger than some porous channels in the cellular structure of apple, the suspended particles were not stable in the cloud and could precipitate or blocked the juice flow 208 inside the porous matrix, the rheological properties of the liquid media did not assure an 209 210 homogeneous flow inside the apple structure (Castagnini et al., 2015).

$$x_{aIV} = (x_{mJ} \cdot X \cdot (\rho_{mJ} \rho_{fa}))/(1 + X \cdot (\rho_{mJ} \rho_{fa}))$$
 equation 1

212 Where:

213 x; microorganism content (CFU/g or CFU/ml)

- 214 **X;** incorporated liquid ( $cm^3/cm^3_{sample}$ )
- 215  $\rho$ ; density (g/cm<sup>3</sup>)
- **aIV;** impregnated apple
- **fa;** fresh apple
- 218 **mJ**; mandarin juice

The content of L. salivarius spp. salivarius encapsulated and not in dried apple samples was 219 significantly different and high enough to have a potential probiotic effect (International Dairy 220 Federation, 1992). In order to calculate the degradation of microorganism during drying it is 221 necessary that quantities of microorganisms are expressed in the same basis. Thus, the total losses 222 degradation of L. salivarius spp. salivarius encapsulated and not during air drying operation was 223 were 6.20 – 6.38 Log CFU/gIV respectively. Considering the initial values of microorganisms, the 224 degradation of L. salivarius spp. salivarius encapsulated and not during air drying operation was 225 0.85 and 0.87 respectively. Heat damage, water losses linked to structural changes and oxidation 226 reactions due to the air exposure affect both cellular plant tissues and microbial cells. Excessive 227 heat unfolds the higher order structure of macromolecules such as protein and nucleic acid, breaks 228 the linkage between monomeric units and eventually causes the destruction of the monomeric units 229 (Corcoran et al., 2008; Santivarangkna et al., 2008). Water losses linked to structural modifications 230 231 and oxidation reactions mainly affects the cytoplasmic membrane of microbial cells by changing its fluidity or the physical state as well as causing lipid peroxidation (Crowe et al., 1992). Cells 232 entrapped within the droplets formed by alginate would obtain additional protection by the capsule. 233 234 However, as according to (Fu & Chen, 2011), the protection of cell viability during drying given by this type of microencapsulation is quite limited. In this study, a mild drying was employed, with an 235 air temperature of 40 °C in order to limit drying stress in bacterial cells but more oxidation reactions 236 could be promoted due to the long air exposure time. 237

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## 9 *3.3.Probiotic content during storage time*

The content of *L. salivarius* spp. *salivarius* encapsulated and not, stored during 30 days at room temperature and maintained in closed opaque plastic bags, was determined (table 2). During the first 14 days of storage a decrease in 60 % of the microorganisms<sup>2</sup> content was observed. This results agree with (Weinbreck et al., 2010; Moumita et al., 2017) that observed a decrease of 3-5 log in the microorganism content encapsulated and not, after 14 days of storage. From this point, significant
differences were observed between both samples, with an improvement in the microorganism
survival in encapsulated samples of 39 versus 19 % of non-encapsulated at the end of storage.

During storage, cell survival is particularly affected when the food matrix has an elevated water 247 activity (aw > 0.25) (Teixeira et al., 1995). Storage temperature and the presence of atmospheric 248 oxygen might also contribute to reductions in viable cell amounts (Anal & Singh, 2007). Our 249 250 results, showed up that capsules were not able to protect significantly L. salivarius spp. salivarius from degradation reactions during the first 14 days of storage. As pointed out by (Dianawati & 251 Shah, 2011) alginate is a porous material that is not able to isolate encapsulated microorganisms 252 253 from water migrations. According to (Crittenden et al., 2006) presence of atmospheric oxygen was not a significant factor in the microorganisms degradation encapsulated in alginate and maintained 254 at room temperature during storage. However, after 14 days of storage, capsules were able to 255 256 protect *L. salivarius* spp. *salivarius* from degradation reactions.

257

## 258 *3.4.Gastrointestinal simulation*

In order to exert a positive effect on the host, probiotic microorganisms should maintain their 259 active form during digestion process, being able to survive the action of lytic enzyme and adverse 260 pH until reaching the target point. Moreover, in the case of encapsulated microorganisms the 261 capsule must be a protection from adverse conditions but should release them at the appropriate 262 time and place in the organism. The microbial content after each simulated gastro-intestinal 263 digestion step is shown in table 3.  $T_0$  is the *L. salivarius* spp. salivarius content in dried apple.  $T_1$ 264 and T<sub>2</sub> means the microorganism content after simulated stomach conditions, acid pH change and 265 peristaltic movements respectively.  $T_3$  and  $T_4$  are the microorganism content after the duodenal 266 shock and intestinal juice mixing. 267

L. salivarius spp. salivarius demonstrated to have a potential effect against *Helicobacter pylori* infection. Thus, microorganism survival at gastroduodenal stage, in order to have a potential effect

against *H. pylori*, and survival at intestinal step, in order to have a potential probiotic effect, areboth key points to consider.

The statistical analysis revealed that all variables studied; the encapsulation procedure, the stage 272 at the simulated gastrointestinal digestion and the storage time had a significant effect ( $p \le 0.05$ ) on 273 L. salivarius spp. salivarius survival. Generally, encapsulated L. salivarius spp. salivarius 274 demonstrated higher resistance to gastrointestinal simulation as compared to their free form. Total 275 microorganisms content and survival percentage of encapsulated L. salivarius spp. salivarius was 276 higher than non-encapsulated one. Degradation tendency of the microorganisms encapsulated and 277 not was different at each stage of the simulated gastrointestinal process as well as during the 278 279 storage. Obtained results were similar to that obtained in other studies (Ribeiro et al., 2014; Yonekura et al., 2014). Survival of encapsulated L. salivarius spp. salivarius was mainly affected 280 by the acidic environment created at  $t_1$  and the addition of bile at  $t_3$ . Moreover, survival of 281 282 microorganisms decreased with storage time at gastrointestinal stages t<sub>2</sub>, t<sub>3</sub> and t<sub>4</sub> but not at t<sub>1</sub> at which survival percentage remained practically constant. The results obtained in literature on the 283 protective effect of alginate capsules against acidic environmental conditions are contradictory. 284 While in some cases, the capsule created protects the microorganisms against acidic conditions 285 (Ding & Shah, 2009; Cook et al., 2011) in others capsule it does not provide any additional 286 protection (Hansen et al., 2002). As explained by (Cook et al., 2012) it seems that the method used 287 to make the capsule significantly influences the final result. In our case, the capsule conferred a 288 limited protection. A porous capsule surface and its degradation during storage could explain the 289 observed decrease in the L. salivarius spp. salivarius survival with storage time. Non-encapsulated 290 L. salivarius spp. salivarius was affected by the acidic environment created at  $t_1$  and the addition of 291 lytic enzymes at t<sub>4</sub>. In this case, survival of microorganisms decreased with storage time mainly at 292 293 t3.

It is remarkable the increase in microorganisms content observed at day 14 in encapsulated *L. salivarius* spp. *salivarius* and not, and at day 21 in non-encapsulated *L. salivarius* spp. *salivarius*. As pointed out by (Santivarangkna et al., 2008) upon sudden changes in temperature, osmotic pressure or pH, a microbial cell is able to adapt itself to the new environment by adjusting the metabolic flow and genetic expression. After the acidic stress conditions created around cells at pH 3.5 (Jin et al., 2012) Jin et al. (2012) observed a significant increase in the acid tolerance response mechanism which would promote their growth when optimal conditions are restored.

301

## 302 **4. Conclusion**

Incorporation of encapsulated *L. salivarius* spp. *salivarius* using homogenization pressures into an apple structure by vacuum impregnation operation was successfully done. In spite of the microorganisms losses during hot air drying operation, the number of *L. salivarius* spp. *salivarius* in the impregnated and dried apple was enough high to have a potential beneficial effect.

Capsules were able to significantly protect *L. salivarius* spp. *salivarius* during the simulated gastrointestinal digestion and storage. However, further fundamental studies on morphology and degradation of capsules during processing and storage would be necessary in order to enhance the microorganism<del>s'</del> protection and thus the industrial utility.

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*Figure 1.* Microorganism content expressed in Log CFU/g with encapsulated and non-encapsulated L. salivarius spp. salivarius. Plotted results are the average  $\pm$  standard deviation of four replicates.

**Table 1**. *Physicochemical properties of the dried apple with encapsulated and non-encapsulated Lactobacillus salivarius* spp. *salivarius during the storage time. Mean*  $\pm$  *standard deviation of three replicates.* 

	pH	I	a	w	Moisture (k	gwater/kgdried)
Day	Encapsulated	Non- encapsulated	Encapsulated	Non- encapsulated	Encapsulated	Non- encapsulated
1	$3.44{\pm}0.05^{ab}$	$3.21{\pm}0.05^a$	$0.516{\pm}0.002^{c}$	$0.516{\pm}~0.002^{c}$	$0.107{\pm}0.002^a$	$0.128{\pm}0.006^{ab}$
7	$3.48{\pm}0.03^{abc}$	$3.16 \pm 0.08^{a}$	$0.487{\pm}0.006^a$	$0.516 \pm 0.002^{c}$	$0.128{\pm}0.012^{\text{b}}$	$0.124{\pm}0.006^{ab}$
14	$3.39{\pm}0.09^a$	$3.36{\pm}0.08^{b}$	$0.534{\pm}0.002^d$	$0.5003 \pm 0.002^{a}$	$0.125{\pm}0.003^{b}$	$0.117 {\pm} 0.003^{a}$
21	$3.55{\pm}0.12^{bc}$	$3.43{\pm}0.04^{b}$	$0.544 \pm 0.002^{e}$	0.51 <mark>2<del>16</del>±</mark> 0.002 <sup>b</sup>	$0.129 {\pm}\ 0.006^{b}$	$0.12 \pm 0.06^{a}$
30	$3.6\pm0.02^{\circ}$	$3.6\pm0.02^{\circ}$	$0.505{\pm}0.002^{b}$	$0.53325 \pm 0.003^{d}$	$0.132 \pm 0.006^{c}$	$0.136{\pm}0.003^{b}$

<sup>abc...</sup>Values with different superscript letters within the same column are significantly different ( $p \le 0.05$ ).

**Table 2.** Microbial count (Log CFU/ $g_{dried}$ ) of encapsulated and non-encapsulated dried apple during the storage time. Number in brackets indicates the survival in percentage respect the first day. Mean  $\pm$  standard deviation of four replicates.

	Day 1	Day 7	Day 14	<b>Day 21</b>	Day 30
Encansulated	$7.19{\pm}0.07^{a}$	$5.85 \pm 0.12^{a}$	$3.03 \pm 0.06^{a}$	$2.94{\pm}0.03^{a}$	$2.78{\pm}0.14^{\rm a}$
Lifeupsulated	(100)	(81.3±1.7)	$(42.2 \pm 0.9)$	$(40.9 \pm 0.5)$	(39±2)
Non-	$6.71{\pm}0.08^{\text{b}}$	$5.26{\pm}0.09^{b}$	$2.89 \pm 0.09^{\mathrm{b}}$	$2.37{\pm}0.05^{\text{b}}$	$1.3 \pm 0.2^{b}$
encapsulated	(100)	(78.2±1.4)	(43.1±1.4)	$(35.4 \pm 0.7)$	(19±3)

<sup>abc...</sup>Values with different superscript letters within the same column are significantly different ( $p \le 0.05$ ).

**Table 3.** Microbial content (Log  $CFU/g_{dried}$ ) of encapsulated and non-encapsulated dried apple with L. salivarius at the beginning (To) and at each phase of the gastrointestinal simulation process ( $T_1$  to  $T_4$ ) and over the storage time. Number in brackets indicates the survival in percentage respect the initial content. Mean± standard deviation of four replicates.

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$ \begin{array}{c} {} {} {} {} {} {} {} {} {} {} {} {} {}$
$ \begin{array}{c} {} {} {} {} {} {} {} {} {} {} {} {} {}$
$ \begin{array}{c} \mathbf{I_{1}} \\ (83.7 \pm 0.8) \\ (96 \pm 2) \\ (122 \pm 2) \\ (90.7 \pm 3) \\ (90.7 \pm 3) \\ (85.6 \pm 1.3) \\ (127 \pm 2) \\ (79 \pm 4) \\ (79 \pm 4) \\ (70 \pm 2) \\$
$ \begin{array}{c} {\rm Encapsulated} & {\bf T_2} & 5.81 \pm 0.07^{\rm e}_{\rm B} & 5.44 \pm 0.06^{\rm ef}_{\rm B} & 3.84 \pm 0.04^{\rm h}_{\rm B} & 2.32 \pm 0.13^{\rm c}_{\rm A} & 2.0 \pm 0.2^{\rm e}_{\rm B} \\ \hline & (80.8 \pm 0.4) & (94 \pm 3) & (127 \pm 2) & (79 \pm 4) & (70 \pm 2) \\ \\ & {\bf T_3} & 5.26 \pm 0.02^{\rm d}_{\rm B} & 3.99 \pm 0.07^{\rm c}_{\rm B} & 2.96 \pm 0.06^{\rm bc}_{\rm A} & 2.04 \pm 0.12^{\rm b}_{\rm B} & 0.8 \pm 0.3^{\rm ab}_{\rm A} \\ \hline & (73.2 \pm 0.4) & (68 \pm 2) & (97.7 \pm 0.9) & (69 \pm 3) & (29 \pm 9) \\ \end{array} $
Encapsulated $I_2$ (80.8±0.4) (94±3) (127±2) (79±4) (70±2) $T_3$ $T_3$ $(73.2\pm0.4)$ (68±2) (97.7±0.9) (69±3) (29±9) $5.2\pm0.2^d_B$ 4.20±0.04 <sup>c</sup> <sub>B</sub> 3.09±0.12 <sup>d,e</sup> <sub>B</sub> 1.41±0.13 <sup>a</sup> <sub>A</sub> 0.87±0.19 <sup>abc</sup> <sub>B</sub>
$\mathbf{T_{3}} \begin{array}{ccccccccccccccccccccccccccccccccccc$
$\begin{array}{cccccccccccccccccccccccccccccccccccc$
5.2± 0.2 <sup>d</sup> <sub>B</sub> 4.20± 0.04 <sup>c</sup> <sub>B</sub> 3.09± 0.12 <sup>d,e</sup> <sub>B</sub> 1.41± 0.13 <sup>a</sup> <sub>A</sub> 0.87± 0.19 <sup>abc</sup> <sub>B</sub> T
(72±2) (72±2) (102±3) (48±4) (31±6)
$6.71 \pm 0.08^{g}_{A} \qquad 5.26 \pm 0.09^{e}_{A} \qquad 2.89 \pm 0.09^{b}_{A} \qquad 2.37 \pm 0.05^{cd}_{A} \qquad 1.3 \pm 0.2^{cd}_{A}$
(100) (100) (100) (100) (100) (100)
$3.89 \pm 0.08^{d}_{A}$ $4.5 \pm 0.3^{d}_{A}$ $3.75 \pm 0.06^{gh}_{B}$ $2.39 \pm 0.13^{de}_{A}$ $1.0 \pm 0.7^{bc}_{A}$
$(58.1 \pm 0.4) \qquad (86 \pm 6) \qquad (130 \pm 4) \qquad (105 \pm 5) \qquad (77 \pm 5)$
Non- <b>3.55 <math>\pm</math> 0.06<sup>d</sup><sub>A</sub> <b>4.5 <math>\pm</math> 0.5<sup>d</sup><sub>A</sub> <b>3.18 <math>\pm</math> 0.03<sup>ef</sup><sub>A</sub> <b>2.40 <math>\pm</math> 0.06<sup>cd</sup><sub>B</sub> <b>1.8 <math>\pm</math> 0.3<sup>de</sup><sub>A</sub></b></b></b></b></b>
encapsulated $(52.9 \pm 0.3)$ $(85 \pm 9)$ $(109 \pm 3)$ $(100 \pm 0.8)$ $(138 \pm 15)$
$3.96 \pm 0.04^{c}_{A}$ $2.75 \pm 0.12^{a}_{A}$ $3.25 \pm 0.05^{f}_{B}$ $2.0 \pm 0.2^{b}_{A}$ $0.7 \pm 0.8^{abc}_{B}$
(59.1 $\pm$ 0.7) (52 $\pm$ 2) (112 $\pm$ 2) (86 $\pm$ 7) (53 $\pm$ 62)
$1.9 \pm 0.06^{a}_{A}$ $3.48 \pm 0.05^{b}_{A}$ $2.67 \pm 0.02^{a}_{A}$ $1.46 \pm 0.06^{a}_{B}$ $0.3 \pm 0.3^{a}_{A}$
(28±0.6) (66.2±0.3) (92±2) (61±2) (22±25)

<sup>abc...</sup>Values with different superscript letters within the same column are significantly different ( $p \le 0.05$ ).

 $_{ABC...}$  Values with different subscript letters within the same column shows significance of encapsulation factor (p  $\leq 0.05$ ).



**Figure 1**. Microorganism content expressed in Log CFU/g with encapsulated and nonencapsulated L. salivarius spp. salivarius. Plotted results are the average  $\pm$  standard deviation of four replicates.