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A CT-based method to compute femur remodelling after total hip arthroplasty

This is the final peer-reviewed author's accepted manuscript (postprint) of the following publication:

Published Version:

Iuppariello L., Esposito L., Gargiulo P., Gislason M.K., Jonsson H., Sarno A., et al. (2021). A CT-based method to compute femur remodelling after total hip arthroplasty. *COMPUTER METHODS IN BIOMECHANICS AND BIOMEDICAL ENGINEERING: IMAGING & VISUALIZATION*, 9(4), 428-437 [10.1080/21681163.2020.1835540].

Availability:

This version is available at: <https://hdl.handle.net/11585/828974> since: 2021-07-29

Published:

DOI: <http://doi.org/10.1080/21681163.2020.1835540>

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(Article begins on next page)

This is the final peer-reviewed accepted manuscript of:

Computer Methods In Biomechanics And Biomedical Engineering: Imaging & Visualization 2021, VOL. 9, NO. 4, 428–437

<https://doi.org/10.1080/21681163.2020.1835540>

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The final published version is available online at:

<https://doi.org/10.1080/21681163.2020.1835540>

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A CT-based method to compute femur remodelling after Total Hip Arthroplasty

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Abstract

Bone remodelling after total hip arthroplasty has been largely observed and investigated. Most studies rely on projective images and only few obtain 3D information with limited spatial resolution. This study proposes a method to provide quantitative, 3D high-resolution data about femur bone density variations, by means of CT volume processing. This would offer a tool for further research and clinical studies. Five patients subjected to primary, cementless total hip arthroplasty were considered. Calibrated CT volumes were acquired before, just after surgery, and one year later. Bone remodelling hinders accurate alignment of femur volumes acquired after a year, instead, prosthesis stem remains unchanged. Thus, after metal artifact reduction, prosthesis was segmented, and stem-based accurate alignment was obtained. A test to exclude prosthesis migration was performed by considering specific femur anatomical landmarks. Bone density error due to artifact reduction and realignment were estimated. Quantitative differences in bone mineral density were computed for each voxel, providing a resolution of about 1 mm. Preliminary results showed that the femur underwent consistent remodelling after a year. Widespread bone density losses appeared in those areas where stress shielding is normally expected, particularly about the calcar. Conversely, distal areas with clear stem-bone contact showed considerable density gains.

Keywords: Total Hip Arthroplasty, femur bone remodelling, CT image processing, prosthesis rigid realignment

1. Introduction

Total Hip Arthroplasty (THA) produces significant variations of the stress distribution in the femur, which adapts after implantation. Remodelling [1] depends on implant size, geometry, mechanical properties and fixation type (i.e. cemented or cementless). Uncemented fixation has gained wide acceptance and is the first choice for younger and more active patients [2,3]. Cementless femoral stems have a lower risk of aseptic loosening failure than cemented femoral stems in younger patients [4-7]. Accurate fit and fill in the proximal femur are considered important to achieve physiological load transfer [8].

Prosthesis implantation inevitably changes the load distribution in the host bone, and the femur remodels accordingly. Bone remodels itself in response to load (Wolff's law). After THA diffuse reductions in bone density appears around the prosthesis stem because of *stress shielding* [9-14]. After primary THA rapid bone loss occurs during the first months and it progress more slowly in subsequent years [15]. Bone loss in the calcar area up to one year, is 22.9% in the uncemented and 24.5% in the cemented prosthesis [16]. Bone Mineral Density (BMD) can be considered a good indicator of bone quality and its change over time [17]. Bone density loss leads to local bone weakening and fracture risk increases. In general, missing implant-bone contact or osteolysis around the stem might lead to failure of the prosthesis after few years [18,19]. Furthermore, bone loss makes revision surgery more critical and less successful. But prosthesis also produces bone density gains at specific locations (e.g. at the preferential support points of the prosthesis stem). In such areas, bone density drastically increases in response to the increased mechanical stimuli. Bone remodelling also depends on patient-related factors such as gender, age, initial femoral bone stock, patient activity and general health conditions, as well as prosthesis-related factors, such as type of fixation, stem length, stiffness, femoral bone preparation [20].

Although the large majority of THA is correctly performed, a significant percentage of patients undergoing THA requires revision within 10 to 15 years after surgery [22]. Aseptic loosening, instability, associated osteolysis and infection are reported as the major reason for implant failure in 71% of cases [23]. The postoperative reduction of the periprosthetic bone density after implantation of uncemented and cemented [13] stems is considered a main problem in orthopaedic surgery. Therefore, it would be advantageous to estimate patient's BMD prior to performing THA surgery [24,25], but these measurements are not a standard today. In general, there is interest to accurately monitor bone remodelling as well. Bone resorption cause aseptic loosening, but multifactorial events concur: wear-debris induced osteolysis, excessive interface micromotions,

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3 75 and stress shielding concur (with other factors) to a negative sequence of events [26,27]. Therefore,
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5 76 it is not still quite clear to what extent stress shielding alone would lead to implant failure [28].

6 77 Minimizing bone loss after THA is desirable, and bisphosphonate treatment can help to
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8 78 reduce acute periprosthetic bone loss [29]. However, bisphosphonates show severe detrimental side
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10 79 effects such as heterotopic ossifications [30] and their use is therefore limited to extreme cases.

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12 80 Stress shielding has extensively been studied *in vitro* [31-35], but actual stress shielding
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14 81 consequences have to be demonstrated *in vivo*. Evaluation of bone remodelling after THA is often
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16 82 evaluated measuring BMD by means of Dual-Energy X-ray Absorptiometry (DEXA) [36-38], but
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18 83 only 2D projections are available. Usually, seven macro-areas (i.e. Gruen zones [39]) adjacent to
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20 84 the implant are considered. Inherently, DEXA analysis cannot provide the specific, 3D information
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22 85 (e.g. complete circumferential data) on local variations of femur BMD.

23 86 In the past, some attempts to use three-dimensional imaging techniques to study more
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25 87 thoroughly the changes in bone density were tried. With recent improvements in metal artifact
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27 88 reduction techniques, CT are more and more used for accurate analysis of bone remodelling [40-
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29 89 45]. In particular, quantitative CT-based osteodensitometry were proposed to get more detailed
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31 90 information on BMD at different levels of the femur by analyzing the cross-sectional CT images
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33 91 [46-48]. Another study [49] proposed an even more detailed BMD analysis by means of CT data,
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35 92 but without specifically addressing the metal artifact problem, and only focusing on patients with
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37 93 cemented implants.

38 94 In summary, while stress shielding and the consequent bone remodelling has been
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40 95 extensively assessed in the past in qualitative terms, a method is still missing to enable a
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42 96 quantitative, volumetric measurement of bone resorption or apposition around a cementless stem.
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44 97 This would allow quantifying bone remodelling and effects of stress shielding over time.
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46 98 The objective of this study is to develop and test a method able to quantitatively and accurately
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48 99 measure femur bone density changes through time. This method provides a research tool for a large
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50 100 cohort investigation and further studies. CT volumes of real patients who underwent THA were
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52 101 recorded just before, after surgery, and after one year. Thanks to the prospective nature of this
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54 102 study, consistent and completed dataset were available. By comparing the two CT scan of the
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56 103 operated femurs, a three-dimensional, quantitative, high-resolution map of BMD changes is
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58 104 provided.

57 105 **2. Materials and methods**

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59 106 Patient CT scans taken at different times post-operatively were compared. The process included
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61 107 reduction of the metal artefacts, registration of the CT scans taken at different times (this process

included segmentation, the actual registration, resampling and smoothening), a check for the lack of excessive implant migrations, and the actual comparison between the scans. In addition, in this study we performed a dedicated study to quantify the uncertainty propagating from the different steps to the final HU values.

2.1. CT - image acquisition

The patient CT data were selected from a previous study [50,51]. Five patients who underwent a primary hip replacement, implanted with Spotorno cementless implant were involved in this study (Table 1).

Patient	Gender	Age	Weight [Kg]	Operated Side	Implant Type
GSF63	F	63	96	Left	Cementless
BEM52	M	52	95	Left	Cementless
GMM43	M	43	87	Left	Cementless
BTM21	M	66	66	Right	Cementless
BJF59	F	59	89	Right	Cementless

Table 1 Patients enrolled in the study

Patients' volumes were acquired using a spiral CT Scan Philips Brilliance 64 slices in Reykjavik. X-ray tube voltage was set to 120 KVp, slice thickness is 1 mm (with increments of 0.5 mm) while pixel size was 0.6 by 0.6 mm (voxel volume = 0.36 mm³), each slice was 512x512 pixels, 12-bit precision grey values (Hounsfield Units range from -1024 to 3072). CT scan started from anterior superior iliac spine and ended approximately to the middle of the femur shaft.

All the CT scans were calibrated using a Quasar Multi-Purpose Body Phantom to evaluate the relationship between HU and BMD [52]. Patient's CT scans were acquired before surgery (hereafter coded as: "pre-op"), within 24 hours after surgery ("24h"), and 1 year later ("1yr").

2.2. Metal Artefact Reduction (MAR)

Presence of metal prosthesis causes considerable artifacts in CT images [53,54]. Typical streaks propagating from the implant produces a large amount of noise in the surrounding tissues and in particular in femur bone hindering further analysis. Therefore, a post-processing, metal deletion technique (MDT) [55] was performed to reduce the artifacts in post-operative CT. Figures 1 (a) and (b) provides an example of the algorithm performance.

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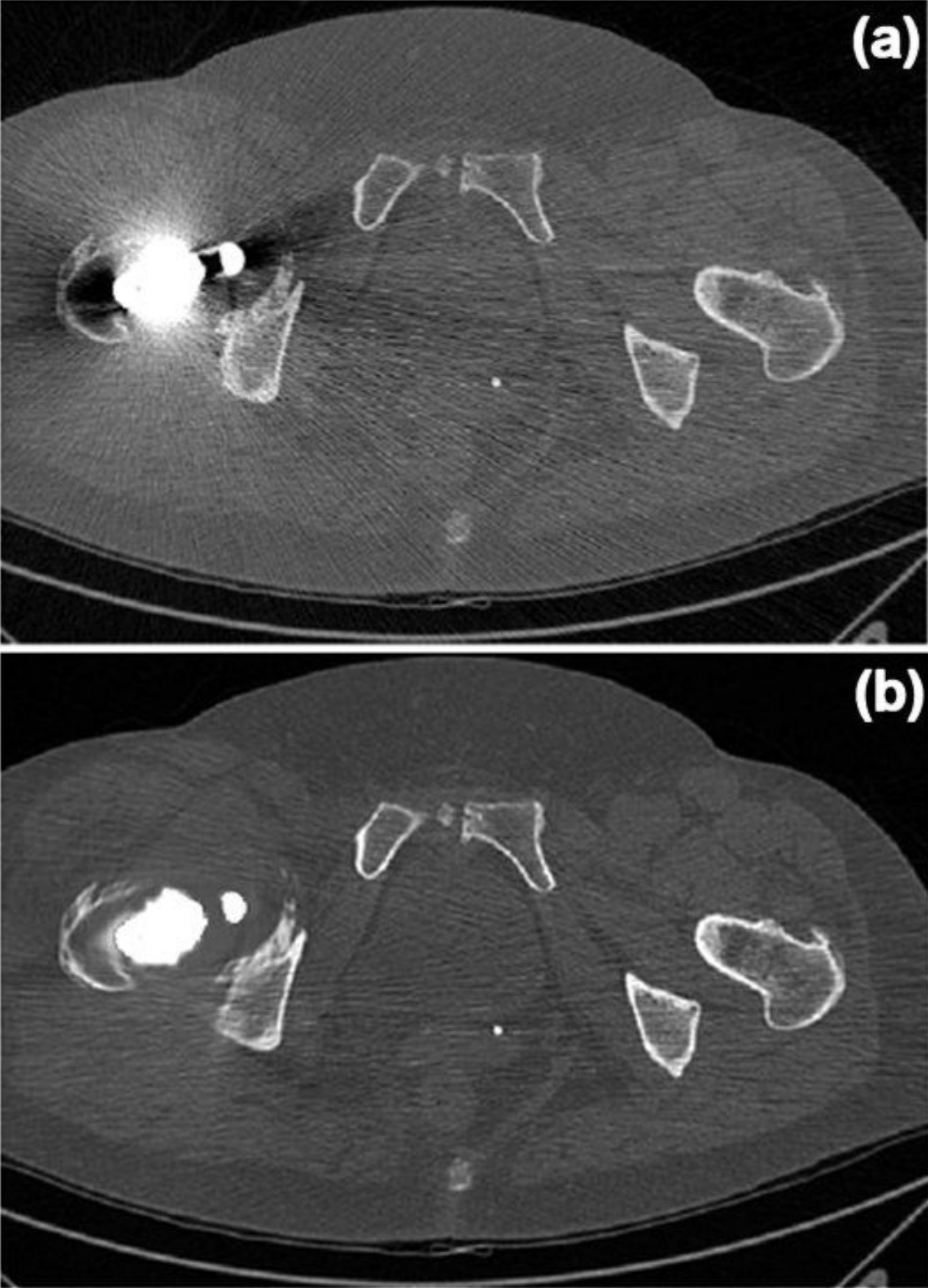


Figure 1: (a) a raw CT slice enclosing the metal prosthesis ; (b) the same slice after Metal Artifact Reduction

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6 138 2.3. Registration of 24h – 1yr CT scans

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8 139 The 24h and 1yr CT datasets cannot be straightforward compared because they were acquired in
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10 140 different times and conditions (i.e. patient positioning have varied, and bone have changed).
11 141 Patients' 24h and 1yr femurs must be registered before further analysis. The following, multi-step
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13 142 rigid 3D registration process was applied.

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15 143 As a first step, once the metallic artefact was suppressed, the operated femur was segmented from
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17 144 both, 24h and 1yr CT volumes: initially, bone tissues were roughly segmented by means of
18 145 thresholding (voxel with HU values larger than 260 were pre-selected). As a second step, the
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20 146 segmented, binary volumes were smoothed using 3D binary operators. Once the femur (including
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22 147 the prosthesis) was selected, the outer volume (i.e. all its surroundings) was arbitrarily set as air
23 148 (HU=-1024). At this stage, volumes containing only the operated femur and the prosthesis were
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25 149 available (see Fig. 2a). As a third step, the two CT volumes (24h and 1yr) were aligned by applying
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27 150 a surface registration. Rather than using the surface of the entire femur, the stem surface was
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29 151 considered because the bone has possibly changed during the year. The stem was segmented, and its
30 152 surface was reconstructed in both 24h and 1yr volumes. The 24h and 1yr surfaces were rigidly
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32 153 registered by means of the Iterative Closest Point algorithm [56], which uses similarity and affine
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34 154 transforms. As a fourth step, the registered 1yr volume was re-sampled (by means of linear
35 155 interpolation) to match the voxeling of the 24h volume. Therefore, a pixel-to-pixel correspondence
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37 156 between the 24h and the aligned 1yr CT volumes was available (see Fig. 2b).
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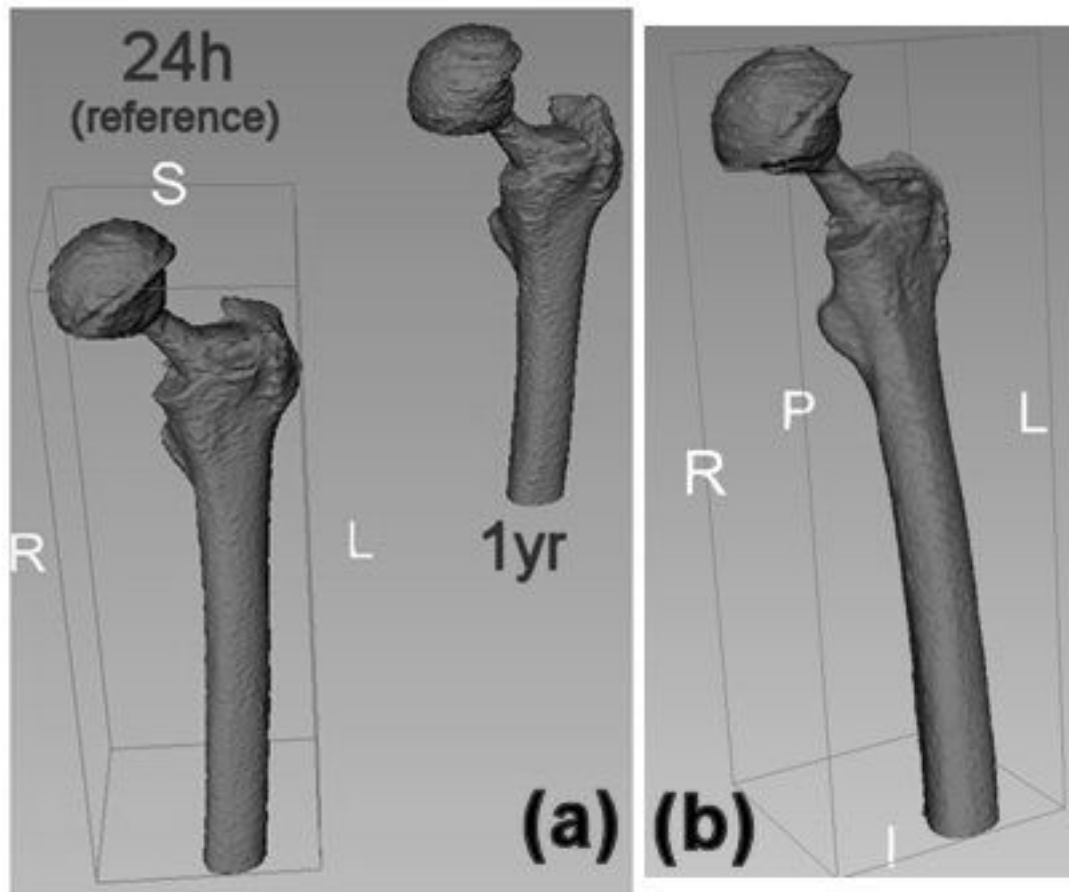


Figure 2: (a) a femur 24 hour after the surgical implant (on the left) and the same femur after 1 year (on the right): the two femurs are not aligned ; (b) the two femurs aligned.

Then, in order to reduce the interpolation and alignment errors and to preserve edges, the CT volumes were 3D low-passed filtered. A simple, conditioned-average smoothing filter (sized 3x3x3 pixels, corresponding to 1.8 by 1.8 by 3.0 mm) was applied. Only the voxels, whose difference with the central voxel were less than 600 HU (an arbitrary threshold value), were used to compute the average. Very steep edges (e.g. bone-prosthesis, bone-outside) were preserved, while uniform regions were averaged (e.g. inside the bone). As example Figure 3 show the resulting volumes at this stage.

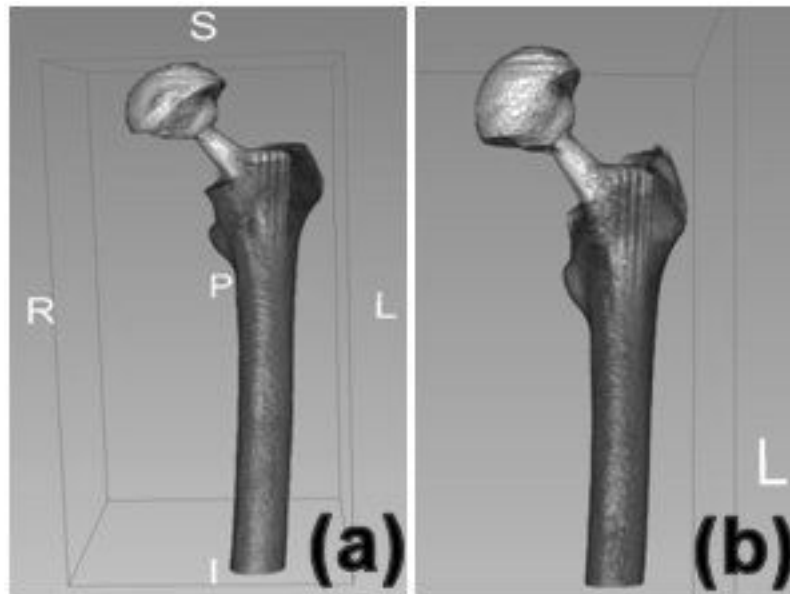


Figure 3: an example of two aligned femurs after the conditioned-average smoothing filter: (a) the femur 24 hour after the surgical implant ; (b) the same femur after 1 year.

2.4. Tests to quantify migration

Since the femur alignment procedure relied on the prosthesis geometry, the cases where the stem significantly migrated must be excluded. The relative positioning between the prosthesis stem and the femur must be somehow estimated between 24h – 1yr volumes. To this end, starting from the aligned femurs, the external surfaces of the 24h and the 1yr femurs were extracted. Again, the Iterative Closest Point rigid registration procedure was applied to these surfaces and the correspondent roto-translation matrix was computed. Ideally, if there was no migration, the resulting displacements and rotations should be zero, but practically this cannot happen exactly because the bone has reshaped. Error thresholds of 2 mm for displacements a 1 degree for rotations were empirically set (according to the CT resolution) to verify the absence of migration. The errors computed for all the patients resulted below these thresholds and then the occurrence of prosthesis migration was excluded.

An additional, redundant test was also carried out to confirm the reliability of the former procedure: three specific anatomic landmarks (i.e. The entrance of the arterial foramen in the femur shaft; The most posterior protuberance of the lesser trochanter; The most posterior anterior protuberance of the greater trochanter) were manually identified and selected on both 24h and 1yr volumes. Their relative locations with respect to the prosthesis were evaluated. Again, displacements and rotations were confined below the aforementioned thresholds.

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3 192 *2.5. CT processing errors assessment*

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5 193 Patients' pre-operative volumes were used to evaluate the errors associated to the metal artefact
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7 194 suppression and realignment procedures. The 24h CT volume (after metal artefact suppression) was
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9 195 compared with the pre-op CT scan. As only few days passed between the two scans, we can assume
10 196 that the bone has not changed. The difference in HU between these two volumes is therefore a
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12 197 measure of the uncertainty associated to the CT volume manipulations.

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14 198 Once again there is the need to align the two femurs, but the prosthesis is not present in both
15 199 volumes. The procedure adopted for this rigid alignment consists of two stages. A first rough 3D
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17 200 registration based on anatomic landmarks was followed by a finer rigid-global registration based on
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19 201 HU similarity index. The three aforementioned anatomic landmarks were manually selected on both
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21 202 femurs and the rigid roto-translation was computed. Then, a finer adjustment was obtained by
22 203 minimizing the HU differences in all the voxels belonging to the compact bone. This fine
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24 204 registration is based on the Mattes mutual information registration metric [57]. Finally, the aligned,
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26 205 post-operative femur was opportunely re-sampled (by means of linear interpolation). Differences in
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28 206 HU of corresponding voxels belonging to the pre-operative and the 24h femur were computed (see
29 207 Fig. 4). The error followed a Gaussian distribution (Kolmogorv-Smirnov test, $p=0.999$), with mean
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31 208 close to 0 HU and standard deviation about 150 HU. This has suggested to consider bone HU
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33 209 changes significant only if they exceed the value of 200 HU.

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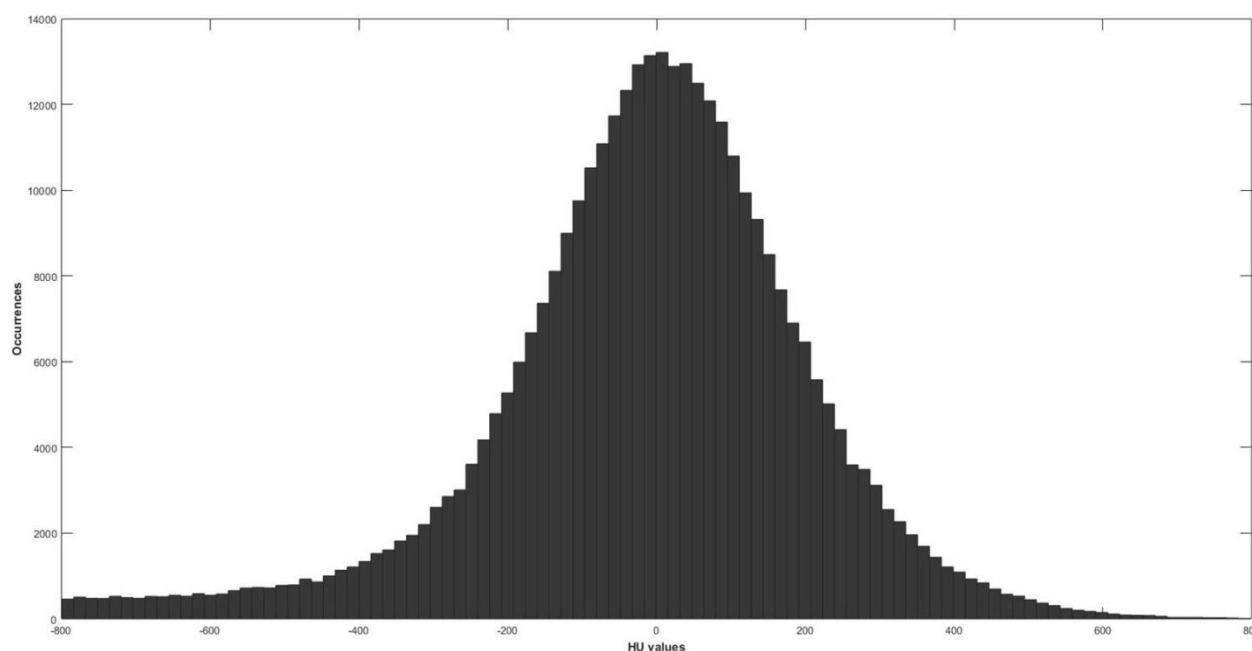


Figure 4: histogram of the HU differences of correspondent voxels belonging to the pre-operative and the 24h femur.

2.6. Quantification of bone changes

A 3D, high-resolution map of the femoral bone HU differences was obtained. For each voxel, the bone density 1-year difference was available. The HU differences were grouped in five regions solely to provide more concise and intuitive representation of bone remodelling (see table II for colours). As specified above, HU differences between -200 and +200 were associated to unmodified bone. HU differences between -1200 and -200 were associated to bone tissue that lost mineral content, while greater negative values (i.e. HU differences < -1200) were associated to complete bone loss. Conversely, HU differences between +200 and +1200 were associated to bone tissue that gained mineral content, while greater positive values (i.e. HU differences > +1200) were associated to newly formed bone. The coloured data were superimposed on the gray-scale images in order to better appreciate anatomical details.

Region	HU range	Colour
Eroded	[-3000; -1201]	Red
Density Loss	[-1200; -201]	Orange
Unmodified bone	[-200; +200]	transparent
Density Gain	[201;1200]	Light green
New-born	[1201; 3000]	Dark green

Table 2 The selected HU ranges and the corresponding colours adopted to map bone remodelling.

In addition, bone loss-gain parameters were estimated within the Gruen zones (Zone 1: Greater trochanter; Zone 2: Proximal lateral; Zone 3: Distal Lateral; Zone 4: Sub prosthetic peak; Zone 5: Distal Medial; Zone 6: Proximal Medial; Zone 7: Calcar), as shown in Figure 5.

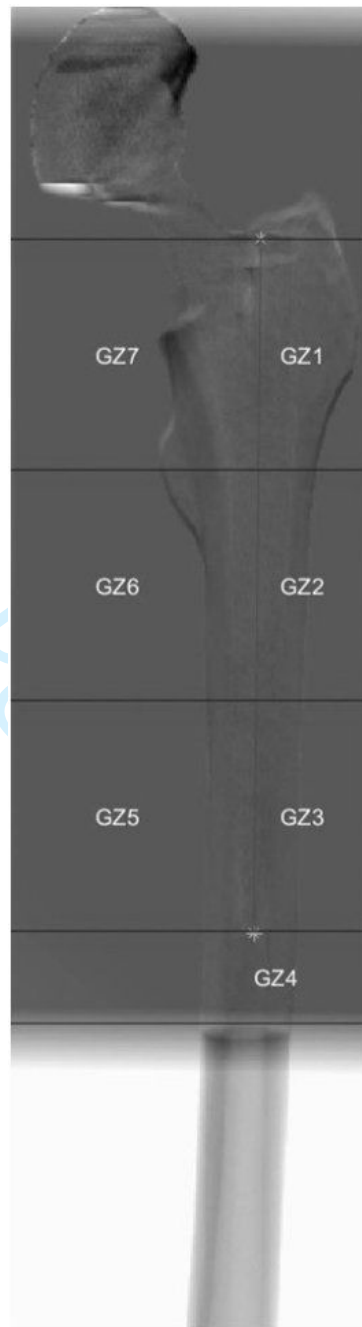


Figure 5: an example of the Gruen zones (1 to 7) on a projection of the realigned femurs

3. Results

The entire procedure was successfully applied to the five real patients' datasets., Figure 6 shows, for each patient (labelled from (a.) to (e.)) two axial sections in correspondence of the calcar (labelled as (.1)) and of the prosthesis distal tip (labelled as (.2)) particularly meaningful for bone remodelling after THA. For each sub-image on the left the pseudo-coloured 2D slices are presented while on the right the corresponding cutting plane is showed.

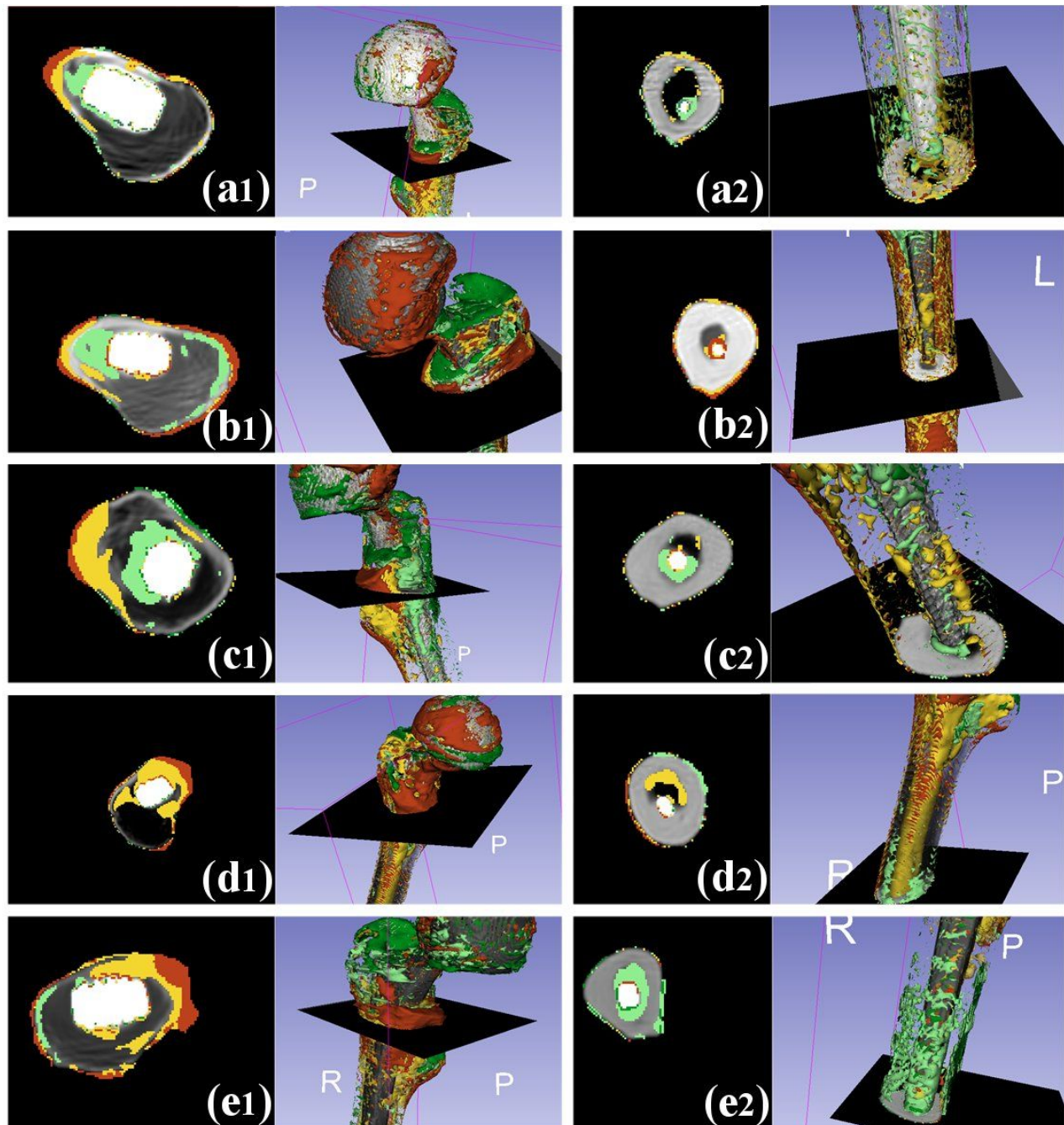


Figure 6: Examples of the 3D differential representation. Each patient is labeled with a letter from (a.) to (e.). Axial sections in correspondence of the calcar are labeled as (.1) and axial sections at the prosthesis distal tip are labeled as (.2). For each sub-image the axial section is represented on the left and the corresponding 3D map with the cutting plane is represented on the right.

In addition to the concise illustration of the Table II regions, it is possible to obtain a much more detailed and continuous representation of bone density variations. As example, Figure 7(b) shows

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3 253 the 1-year variations of the HU along an arbitrarily chosen segment (depicted as a white arrows)

4 254 belonging to the slice shown in Figure 7(a).

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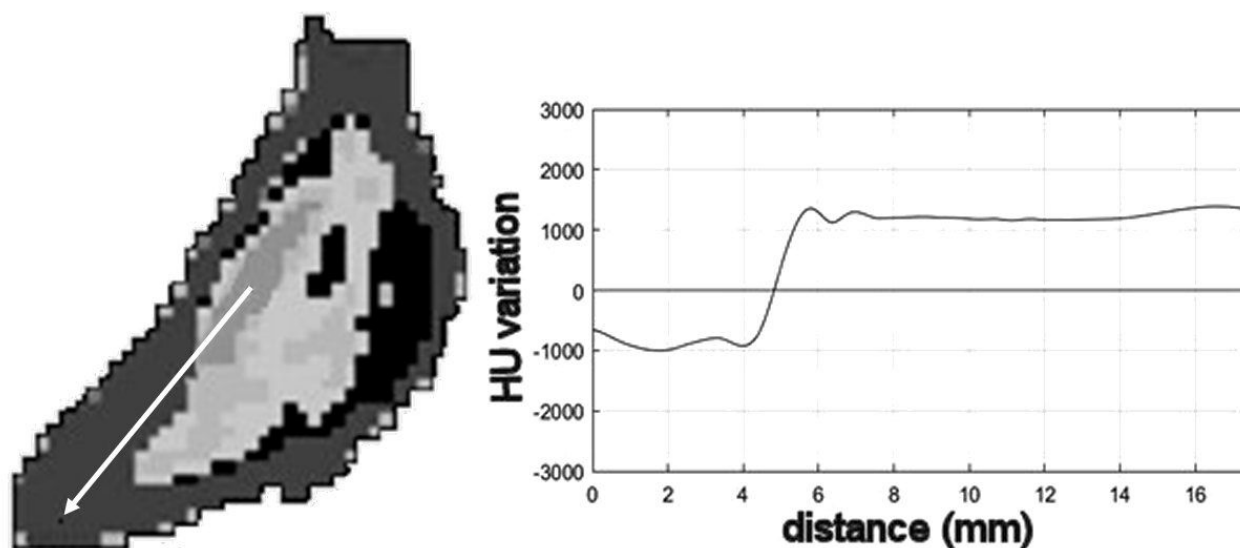


Figure 7: (a) an example of a slice (cutting the great trochanter) on which a segment was arbitrarily chosen and indicated by a white arrow: (b) The HU variations along the segment showed as the white arrow in panel (a)

Cumulative bone density variations (averaged on all patients) are reported in table 3 for each Gruen zone. The variations were computed as mean percentage of HU changes.

Region	GZ1	GZ2	GZ3	GZ4	GZ5	GZ6	GZ7
Eroded (%)	4	4	2	2	2	4	6
Bone Loss (%)	5	10	7	9	9	15	16
Unmodified bone (%)	78	75	82	82	80	68	58
Bone Gain (%)	9	8	6	4	5	9	13
New-born (%)	2	1	1	1	3	1	5

Table 3 Percentage of variations in the Gruen Zone = number of voxels that are in the considered ROI / number of voxels that are in the considered Gruen zone. * 100

In general, according to these results we can say that the bone, after one year from the total hip arthroplasty, presents a significant remodelling related to all Gruen zones.

4. Discussion

This study proposes a methodology for obtaining an accurate, patient-specific, 3D map of the femur bone density variations after THA. Different 3D rigid realignments of both the prosthesis and the

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3 272 femur were adopted to achieve a reliable and robust analysis tool to accurately evaluate bone
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5 273 remodelling. It proposes and test the feasibility of the methodological approach and does not claim
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7 274 to provide exhaustive results of remodelling map on a large patients' cohort.

8 275 Preliminary results related to the five patients indicate that the femur, even after only one year,
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10 276 resulted enough modified. In particular, the external part of the calcar shows great losses and even
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12 277 bone resorption, in line with many other studies [58-61]. On the contrary, in the calcar region
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14 278 adjacent to prosthesis, a significant increase in bone density was found: this bone reinforcement is
15 279 supposed to support the great mechanical load generated at this point by the prosthesis. Similarly, a
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17 280 particular intense bone growth resulted close to the distal tip of the prosthesis stem. Generalised
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19 281 bone density losses along the bone shaft appeared as result of the stress shielding phenomenon. In
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21 282 addition, cumulative results corresponding to the Gruen zones were presented to allow comparative
22 283 studies.

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24 284 In conclusion, the proposed methodology offers a very accurate tool for analyzing bone remodelling
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26 285 by providing bone density differences with a resolution comparable to that of CT equipment. This
27 286 approach, if applied to more patients, would provide a better understanding of the bone
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29 287 remodelling. Furthermore, the proposed methodology could be extended to the case of cemented
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31 288 prostheses. Finally, the objective results provided by the proposed methodology could be of help in
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33 289 prostheses design and assessment.

34 290 35 36 37 38 291 **5. Acknowledgements**

39
40 292 Authors are thankful to Dr. Jonathan Pitocchi and Dr. Andrea Menichetti who contributed to some
41
42 293 stages of this project.

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45 295 Competing interests: None declared

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47 296 Funding: None

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49 297 Ethical approval: VSN 13-127-S1

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