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Dapagliflozin and cardiovascular outcomes: anything else to DECLARE?

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5 **Dapagliflozin and Cardiovascular Outcomes: anything else to DECLARE?**
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9 **Abstract**
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11 **Introduction:** People with type 2 diabetes mellitus (T2DM) are at increased cardiovascular risk and
12 regulatory approach impose current antidiabetes drugs to be safety from a cardiovascular standpoint.
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14 **Areas covered:** In this paper, the authors critically discussed the background, trial design, results and
15 implications of a recent cardiovascular outcome trial (CVOT) [NCT01730534; DECLARE-TIMI 58 study],
16 which demonstrated that dapagliflozin was non-inferiority to placebo in terms of major adverse
17 cardiovascular events, and superior for the occurrence of hospitalization for heart failure (HF) and
18 composite real endpoint, thus confirming the cardiovascular benefit of sodium-glucose co-transporter-2
19 (SGLT2) inhibitors. No statistically-significant imbalances were found for amputations, fractures, and stroke
20 (debated safety issues emerged in previous CVOTs).
21

22 **Expert opinion:** DECLARE-TIMI 58 is the longest (4.2 years of follow up), largest (>17,000 patients) and
23 broadest (40% of patients with established atherosclerotic cardiovascular disease) CVOT raising the debate
24 towards tailored therapy in primary prevention and the potential use of SGLT2 inhibitors in patients with
25 HF without T2DM.
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37 **Keywords:** *dapagliflozin, sodium-glucose co-transporter-2 inhibitor, cardiovascular outcome trial, major*
38 *adverse cardiovascular events, heart failure, type 2 diabetes*
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49 **Supplementary Material: 1**
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3 **1. DECLARE-TIMI 58 as a paradigm of a pragmatic adaptive trial design**
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5 Results from the Dapagliflozin Effect on Cardiovascular Events–Thrombolysis in Myocardial
6 Infarction 58 (DECLARE–TIMI 58) trial have been recently published in the *New England Journal of*
7 *Medicine*, fulfilling great expectations: the effects of dapagliflozin, a sodium-glucose co-transporter-2
8 (SGLT2) inhibitor, were tested on cardiovascular and renal outcomes in a broad population of people with
9 type 2 diabetes mellitus (T2DM) and established atherosclerotic cardiovascular disease (ASCVD) or multiple
10 risk factors for ASCVD [1].
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16 This randomized, double-blind, multinational, placebo-controlled, phase 3 trial was originally
17 designed to demonstrate the non-inferiority of dapagliflozin with a primary safety outcome of major
18 adverse cardiovascular events (MACE), as recommended by regulatory guidelines. However, in accordance
19 with the modern principle of adaptive trial design, compelling data from the EMPA-REG OUTCOME trial [2]
20 with respect to cardiovascular death and hospitalization for heart failure (HHF), as compared to MACE,
21 prompted the executive committee to amend the protocol (before viewing data on MACE from DECLARE-
22 TIMI 58) to include two primary efficacy, superiority outcomes: MACE and the combination of
23 cardiovascular death or HHF. This change was notified to regulators, and patients signed a revised informed
24 consent also describing positive results of the EMPA-REG OUTCOME trial. Two secondary efficacy outcomes
25 were prespecified: death from any cause and a renal composite outcome, defined as a sustained decrease
26 of 40% or more in estimated glomerular filtration rate (eGFR) — calculated by means of the Chronic Kidney
27 Disease Epidemiology Collaboration equation — to less than 60 ml per minute per 1.73 m² of body-surface
28 area, incident end-stage renal disease, or death from renal or cardiovascular causes.
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38 Eligible patients were ≥40 years with T2DM, had a glycated hemoglobin level of 6.5-12.0%, and a
39 creatinine clearance ≥60 ml/min. They were first enrolled in a 4-to-8-week, single-blind run-in placebo
40 period, and eventually randomly assigned, in a double-blind fashion, to receive dapagliflozin (10 mg/day) or
41 matching placebo. The use of other glucose-lowering agents (other than an open-label SGLT2 inhibitor,
42 pioglitazone, or rosiglitazone) was at the discretion of the treating physician, simulating a real-world
43 pragmatic scenario. Patients were followed-up every 6 months until trial completion, including phone
44 contact every 3 months between in-person visits. Adjudicated events were analyzed according to the
45 intention-to-treat principle.
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54 **2. Key findings to DECLARE**
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56 A total of 17,160 participants completed the run-in phase (of 25,698 initially-enrolled participants),
57 including 6,974 patients (40.6%) with established ASCVD and 10,186 (59.4%) with multiple risk factors for
58 ASCVD. During a median follow-up of 4.2 years, 3,962 patients dropped out: 1,811 (21.1%) in the
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3 dapagliflozin group and 2,151 (25.1%) in the placebo group. Rates of withdrawal of consent (224 patients,
4 i.e., 0.3% per year) and loss to follow-up (30 patients, <0.1% per year) were low and did not differ between
5 groups. Baseline characteristics of patients were well balanced between the groups, with 10% of patients
6 having a history of heart failure.
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10 Dapagliflozin met the pre-specified criterion for non-inferiority with respect to MACE (upper
11 boundary of the 95% CI <1.3; P<0.001 for noninferiority), as well as the efficacy composite endpoint of
12 cardiovascular death or HHF (4.9% vs. 5.8%; hazard ratio:0.83; 95%CI:0.73-0.95; P=0.005). It should be
13 noted that the lower rate of this composite outcome was however driven by a lower rate of HHF in the
14 dapagliflozin group (hazard ratio:0.73; 95%CI:0.61-0.88), with no difference in the rate of cardiovascular
15 death (hazard ratio:0.98; 95%CI:0.82-1.17). These data were similar in patients grouped according to the
16 presence of established ASCVD vs. multiple risk factors for ACSVD. In the overall population, the incidence
17 of the renal composite outcome was 4.3% in dapagliflozin vs 5.6% in placebo (hazard ratio:0.76;
18 95%CI:0.67-0.87), whereas the rate of death from any cause (6.2% vs 6.6%; hazard ratio=0.93; 95%CI=0.82-
19 1.04), amputation, fractures and volume depletion were not different between groups. Diabetic
20 ketoacidosis was more common in dapagliflozin users (0.3% vs 0.1%; hazard ratio:2.18; 95%CI:1.10-4.30), as
21 were genital infections leading to discontinuation (0.9% vs. 0.1%; hazard ratio:8.36; 95%CI:4.19-16.68),
22 both in men and in women, although serious genital infections were rare (two events in each group). Six
23 cases of Fournier's gangrene were reported (one with dapagliflozin group).
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37 **3. Expert opinion**

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39 DECLARE-TIMI 58 study is the longest (4.2 years), largest (>17,000 patients) and broadest
40 cardiovascular outcome trial (CVOT) published so far on antidiabetic drugs [3]. The history of CV effects of
41 SGLT2 inhibitors is paradigmatic. The EMPA-REG OUTCOME trial exclusively enrolled people with T2DM and
42 established ASCVD (essentially secondary prevention), with substantial benefit of empagliflozin in terms of
43 MACE, HHF and renal outcomes [2, 4]; later, the CANVAS program, reported data on a spectrum of
44 individuals with T2DM (65% with established ASCVD, a mix of primary and secondary prevention), and
45 confirmed efficacy of canagliflozin in reducing the incidence of MACE, HHF, renal outcomes, not
46 cardiovascular mortality (**Figure 1**) [5]. This may be related to the difference in time-to-effect between
47 these trials: in the EMPA-REG OUTCOME trial the rate of the MACE, mortality and HHF between the
48 empagliflozin and placebo groups rapidly diverged within the first 6 months after randomization, whereas
49 in CANVAS program the divergence of the MACE (canagliflozin vs. placebo) was more gradual.
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58 In the DECLARE-TIMI 58 trial only 40% of patients had established ASCVD; this may explain the lack
59 of significant effects in the rates of MACE and cardiovascular death, the reduced extent of risk reduction in
60 terms of HHF, and the divergence of incidence in cardiovascular death or HHF, which became evident only

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2 at month 18 (with slight increase), and after month 24 for composite renal outcomes. Therefore, DECLARE-
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4 TIMI 58 extends our knowledge of the cardiorenal effect of dapagliflozin in a real-world scenario of patients
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6 with cardiovascular risk factors or established ASCVD [6], in line with evidence recently provided by the
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8 observational pharmaco-epidemiological research (such as CVD-REAL studies), thus supporting a clinically-
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10 significant cardiovascular benefit of SGLT2 inhibitors in routine practice, especially in terms of HHF and
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12 renal endpoints [7]. Heart failure is probably the most common cardiac condition in T2DM; although it was
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14 not part of the primary composite of the MACE endpoint of these trials, the occurrence of HHF was
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16 remarkably reduced with SGLT2 inhibitors, irrespective of a previous history of heart failure at
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18 randomization, as supported by a systematic review with meta-analysis of CVOTs [8]. Of note, the number
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20 needed to treat to benefit for HHF was 116 for dapagliflozin in DECLARE-TIMI 58, as compared to 72 for
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22 empagliflozin in EMPAREG-OUTCOME. The glucosuric effect of SGLT2 inhibitors is unlikely to fully explain
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24 the observed benefit on HHF; several additional cardio-reno-vascular mechanisms have been proposed,
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26 including direct and indirect effects such as improvement of myocardial energy metabolism, inhibition of
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28 the sodium hydrogen exchange, increase in glucagon, inhibition of renal urate reuptake and relevant lower
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30 plasma uric acid, increase adenosine release causing renal vasodilation [3, 6].

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32 Taken together, these findings open new avenues on the latest American Diabetes Association
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34 (ADA)—European Association for the Study of Diabetes (EASD) joint position statement [9] and American
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36 College of Cardiology (ACC) Expert Consensus Decision Pathway on Novel Therapies in T2DM for
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38 Cardiovascular Risk Reduction [10], which has prioritised the use of SGLT2 inhibitors only in people with
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40 ASCVD, heart failure, or non end-stage chronic kidney disease. The challenging questions is: *is it time to*
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42 *extend their use to the broader population of patients with T2DM and multiple risk factors?* To address this
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44 core clinical question, safety concerns should be also critically appraised (**Figure 1, Supplementary Table**
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46 for details). Notably, canagliflozin was associated with an increased incidence of fractures and atraumatic
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48 below-knee lower extremity amputation (BKA), as yet unexplained, and the DECLARE-TIMI 58 was awaited
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50 to verify whether these adverse effects should be considered as a class- rather than drug-specific toxicities.
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52 Overall, the trial confirmed that genital infections and ketoacidosis are strongly associated with SGLT2
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54 inhibitors (expected from their mechanism of action), confirming they are common to all SGLT2 inhibitors,
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56 but no signal of BKA and fractures emerged, suggesting an off-target effect specific to canagliflozin.

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58 In the light of current guidelines and position statement from ADA/EASD/ACC, the research agenda
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60 is now focused on: 1) the assessment of SGLT2 inhibitors on cardio-renal outcomes also in populations
without T2DM, to verify the cardiovascular benefits in low-risk groups; 2) monitoring post-marketing rare
unexpected safety concerns such as necrotizing fasciitis of the perineum, also known as Fournier's
gangrene, as warned by the Food and Drug Administration; 3) comparing head-to-head SGLT2 inhibitors
with other antidiabetic agents to clarify the optimal antidiabetic regimen, including dipeptidyl peptidase-4
(DPP4) inhibitors, with contrasting data on HHF, and glucagon-like peptide 1 receptor agonists (GLP1-RAs),

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4 the only antidiabetic class with positive data from relevant CVOTs [3]. While CVD-REAL studies have mainly
5 used DPP4 inhibitors as comparators, a recent cohort study (nationwide registers from Sweden and
6 Denmark) compared SGLT2 inhibitors with GLP1-RAs (the only antidiabetic class with positive data from
7 relevant CVOTs), and confirmed the increased risk of lower limb amputations and diabetic ketoacidosis
8 [11].
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13 Next-generation CVOTs and other sources of real-world data (observational pharmaco-
14 epidemiological research and pharmacovigilance databases) are at the front line to (a) selecting SGLT2
15 inhibitors as first-line therapy after metformin in patients with T2DM, irrespective of the underlying
16 cardiovascular phenotype, (b) unraveling the issue of a class effect.
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29 **Declaration of interest**

30 E Raschi, E Poluzzi, F De Ponti have no conflicts of interest relevant to the content of the present work. G
31 Marchesini was involved in advisory boards from Gilead, Lilly, Astra-Zeneca, and took part in clinical studies
32 on NAFLD and T2DM sponsored by Sanofi, Lilly, Novo, Janssen, Glaxo, Genfit, Gilead.
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39 **Figure 1.** Overview of major findings of cardiovascular outcome trials (CVOTs) on SGLT2 inhibitors. In
40 parenthesis percentage of patients with atherosclerotic cardiovascular disease (ASCVD).
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42 MACE: major adverse cardiovascular events (please note that this definition may vary between studies);
43 HHF: hospitalization for heart failure; BKA: atraumatic below-knee lower extremity amputation.
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46 Please note that, in the EMPAREG-OUTCOME, a non-significant imbalance in the rates of stroke emerged.
47 See Supplementary material for details on the various outcomes.
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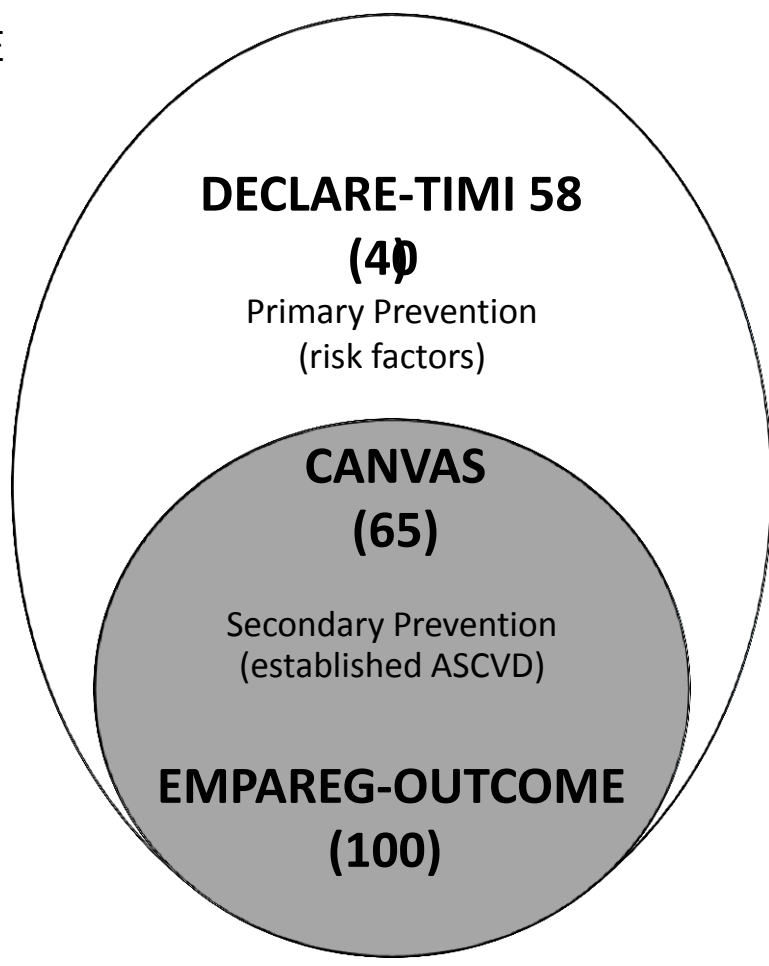
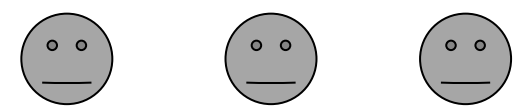
EFFICACY OUTCOMES

Renal HHF MACE



DEBATED SAFETY OUTCOMES

Stroke Fractures BKA



Overview of key features and major findings of cardiovascular outcome trials (CVOTs) on SGLT2 inhibitors. Numbers represent relative risks (reduced or increased) extracted from published CVOTs.

Colour coding: GREEN: significant reduced effect (benefit); RED: significant increased effect (risk); GREY: non-significant effect (benefit or risk).

↑=increased rate vs placebo; ↓=reduced rate vs placebo; ↔= no difference vs placebo.

CVOT (% with ASCVD)	EFFICACY				SAFETY					
	MACE	CVM	HHF	Renal Outcome	GTI	DKA	Stroke	BKA	Fractures	Neoplasms
EMPA-REG-OUTCOME (100)	↓14	↓38	↓35	↓39	↑*	↔*	↑24		↔*	
CANVAS (65)	↓14	↓13	↓37	↓40	↑#	↔#	↓13	↑#	↑#	↑#
DECLARE-TMI 58 (40)	↓7	↓2	↓17	↓24	↑836	↑218	↑1	↑9	↑4	↓43

ASCVD: atherosclerotic cardiovascular disease; MACE: major adverse cardiovascular events (please note that this definition may vary between studies); CVM: cardiovascular mortality; HHF: hospitalization for heart failure; GTI: genital tract infections; DKA: diabetic ketoacidosis; BKA: atraumatic below-knee lower extremity amputation.

* For GTI: 6.4% vs 1.4% (P<0.001); for DKA: <0.1% vs 0.1%; for fractures: 3.8% vs 3.9%).

For GTI: 34.9% vs 10.8 in male and 68.8% vs 17.5% in women, p<0.001; for DKA: 0.6% vs 0.3%, p=0.14; for BKA: 6.3% vs 3.4%, p<0.001; for fractures: 15.4% vs 11.9%, p=0.02; for neoplasms: 0.6% vs 0.2%, p=0.17 [renal], 1.0% vs 1.1%, p=0.74 [bladder]; 3.1% vs 2.6%, p=0.65 [breast].