

# Alma Mater Studiorum Università di Bologna Archivio istituzionale della ricerca

Prognostic significance of peripheral blood and bone marrow infiltration in newly-diagnosed canine nodal marginal zone lymphoma

This is the final peer-reviewed author's accepted manuscript (postprint) of the following publication:

Published Version:

Prognostic significance of peripheral blood and bone marrow infiltration in newly-diagnosed canine nodal marginal zone lymphoma / Marconato, Laura; Comazzi, Stefano; Aresu, Luca; Riondato, Fulvio; Stefanello, Damiano; Ferrari, Roberta; Martini, Valeria. - In: THE VETERINARY JOURNAL. - ISSN 1532-2971. - ELETTRONICO. - 246:(2019), pp. 78-84. [10.1016/j.tvjl.2019.02.002]

Availability:

This version is available at: https://hdl.handle.net/11585/702191 since: 2020-11-09

Published:

DOI: http://doi.org/10.1016/j.tvjl.2019.02.002

Terms of use:

Some rights reserved. The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. For all terms of use and more information see the publisher's website.

This item was downloaded from IRIS Università di Bologna (https://cris.unibo.it/). When citing, please refer to the published version. This is the final peer-reviewed accepted manuscript of:

Laura Marconato, Stefano Comazzi, Luca Aresu, Fulvio Riondato, Damiano Stefanello, Roberta Ferrari, Valeria Martini *Prognostic significance of peripheral blood and bone marrow infiltration in newly-diagnosed canine nodal marginal zone lymphoma*, The Veterinary Journal, 246 (2019), pp.78-84

The final published version is available online at:

DOI: <u>https://doi.org/10.1016/j.tvjl.2019.02.002</u>

Rights / License:

The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. For all terms of use and more information see the publisher's website.

This item was downloaded from IRIS Università di Bologna (<u>https://cris.unibo.it/</u>)

When citing, please refer to the published version.

1	Original article
2	
3	Prognostic significance of peripheral blood and bone marrow infiltration in newly-
4	diagnosed canine nodal marginal zone lymphoma
5	
6	Laura Marconato <sup>a,*</sup> , Stefano Comazzi <sup>b</sup> , Luca Aresu <sup>c</sup> , Fulvio Riondato <sup>c</sup> , Damiano Stefanello
7	<sup>b</sup> , Roberta Ferrari <sup>b</sup> , Valeria Martini <sup>b</sup>
8	
9	
10	<sup>a</sup> Centro Oncologico Veterinario, via San Lorenzo 1-4, 40037 Sasso Marconi (BO), Italy
11	<sup>b</sup> Department of Veterinary Medicine, University of Milan, Via Celoria 10, 20133, Milan, Italy
12	<sup>c</sup> Department of Veterinary Sciences, University of Turin, Largo P. Braccini 2, 10095 Grugliasco,
13	Turin, Italy
14	
15	
16	
17	* Corresponding author. Tel.: 0039 051 6751871
18	<i>E-mail address</i> : <u>marconato@centroncologicovet.it (</u> L. Marconato)
19	
20	

#### 21 Abstract

Canine nodal marginal zone lymphoma (nMZL) is infrequent and is typically
diagnosed at an advanced disease stage. However, it is currently unknown whether different
levels of peripheral blood (PB) and bone marrow (BM) infiltration may provide prognostic
stratification in dogs with nMZL.

The aims of the present prospective study were to assess the influence of PB and BM infiltration detected by flow cytometry (FC) on time to progression (TTP) and lymphomaspecific survival (LSS) in dogs with newly-diagnosed multicentric nMZL, and to establish a cut-off value of prognostic significance.

Forty-five completely staged and treatment-naïf dogs with histologically-confirmed
nMZL were enrolled. After staging, dogs received chemo-immunotherapy or chemotherapy.
PB infiltration was significantly associated with TTP (p=0.001): dogs with PB infiltration
<30% had a median TTP of 186 days, whereas dogs with PB infiltration ≥30% had a median</li>
TTP of 43 days. Additionally, vaccinated dogs had a significantly (p=0.012) longer TTP (399
days) compared with dogs receiving chemotherapy only (211 days).

BM infiltration was significantly associated with LSS (p<0.001): dogs with BM infiltration <1% had a median LSS of 1403 days, those with BM infiltration 1-20% of 337 days, and those with BM infiltration  $\geq$ 20% of 188 days. Normal LDH levels and the administration of chemo-immunotherapy also significantly improved LSS (560 vs 211 days, and 399 vs 211 days, respectively; p<0.001).

PB and BM flow cytometric evaluation is an integral part of staging work-up in dogs
with nMZL and has prognostic relevance.

43

44

45 *Keywords:* Dog; Bone marrow; Cut-off; Flow cytometry; Marginal zone lymphoma

#### 47 Introduction

48	In dogs, nodal marginal zone lymphoma (nMZL) is infrequent, representing
49	approximately 10% of all lymphoma histotypes diagnosed in this species (Valli et al., 2011).
50	It was recently documented that nMZL is characterized by generalized lymphadenopathy and
51	is diagnosed at an advanced disease stage; thus, despite the indolent designation, the
52	prognosis is guarded (Cozzi et al., 2018).
53	Adequate management of canine lymphoma requires accurate histological diagnosis
54	and comprehensive staging, which includes assessment of bone marrow (BM) involvement.
55	Therapeutic options rely mainly on the results of these procedures (Marconato et al., 2017).
56	
57	In dogs with diffuse large B-cell lymphoma (DLBCL), it has been shown that 3% BM
58	infiltration evaluated by flow cytometry (FC) identified cases with an unfavorable prognosis
59	(Marconato et al., 2013). According to a recent study, peripheral blood (PB) and BM
60	infiltration occurred in 97.1% and 57.1% of canine nMZL cases, respectively (Cozzi et al.,
61	2018). However, it is currently unknown whether different levels of PB and BM infiltration
62	may provide prognostic stratification in dogs with nMZL.
63	
64	The aims of the present prospective study were to assess the influence of different
65	levels of PB and BM infiltration, detected by FC, on the duration of the first remission and
66	survival in dogs with newly-diagnosed multicentric nMZL, and to establish a cut-off value of
67	prognostic significance.
68	
69	Material and methods
70	Inclusion criteria
71	A prospective analysis of dogs with multicentric nMZL was performed. To be enrolled

72 in the study, dogs were required to have complete clinicopathological data for analysis, and be

73 treatment-naïf. Corticosteroids before admission were permitted.

75	All dogs were staged according to the World Health Organization system (Owen,
76	1980), comprising history, physical examination, haematology, serum biochemistry
77	(including serum lactate dehydrogenase, LDH), thoracic radiographs, abdominal ultrasound,
78	and cytological evaluation of a fine-needle aspirate of an enlarged peripheral lymph node
79	(LN). Dogs also underwent LN, PB and BM sampling for FC evaluation, and
80	lymphadenectomy of an enlarged peripheral LN, having histopathological evaluation and
81	immunohistochemistry (CD3, CD20) as a part of their initial staging work-up (Aresu et al,
82	2015). The same LN that was aspirated for obtaining a cytological diagnosis and for FC was
83	then surgically removed. The diagnosis of nMZL late-stage was confirmed according to the
84	WHO classification (Valli et al., 2011).
85	For the specific aims of the present research, at the end of the study, FC data were
86	blindly re-analyzed to minimize possible interpretation biases among different readers over
87	time. Only the re-analyzed results have been considered for the study.
88	
89	The care of the dogs enrolled in the study was in accordance with institutional
90	guidelines. All owners provided written informed consent.
91	
92	Flow cytometry
93	Flow cytometric immunophenotyping was performed on LN aspirates obtained with
94	22-gauge needles and collected in tubes containing RPMI1640 (Sigma Aldrich) and on PB
95	and BM samples collected into EDTA tubes. All samples were kept refrigerated and
96	processed within 24h of sampling. A panel of antibodies was used for LN labeling using a
97	multi-colour approach as previously described (Gelain et al., 2008), and included: CD45
98	(clone YKIX716.13, pan-leukocyte), CD5 (clone YKIX322.3, T-cells), CD21 (clone

99	CA2.1D6, B-cells), and CD34 (clone 1H6, precursor cells). PB and BM samples were stained
100	with CD45, CD5 and CD21 antibodies. All antibodies but CD34 were provided by Bio-Rad
101	(formerly AbD Serotec; Oxford, UK); CD34 was provided by BD Pharmingen (San Diego,
102	CA, USA). Erythrocyte lysis was not necessary on LN samples, since haemodilution was
103	minimal, and double labeling using CD45 easily enabled the distinction between lymphoid
104	cells and debris or erythrocytes. Conversely, erythrocyte lysis was performed on PB and BM
105	samples by means of an erythrocyte lysis buffer containing 8% ammonium chloride, after
106	incubation with antibodies. All samples were acquired with a BD FACScalibur flow
107	cytometer (Becton Dickinson, San Josè, CA, USA) and analyzed with CellQuest software
108	(Becton Dickinson).
109	
110	Determination of PB and BM involvement
111	PB and BM aspirates were obtained in all cases at the time of the initial staging work-
111 112	PB and BM aspirates were obtained in all cases at the time of the initial staging work- up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM
112	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM
112 113	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis.
112 113 114	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis. The extent of PB and BM infiltration by large B-cells was reported as the percentage
<ol> <li>112</li> <li>113</li> <li>114</li> <li>115</li> </ol>	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis. The extent of PB and BM infiltration by large B-cells was reported as the percentage of medium-large CD21-positive cells out of the total CD45-positive cells (leucocytes and
112 113 114 115 116	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis. The extent of PB and BM infiltration by large B-cells was reported as the percentage of medium-large CD21-positive cells out of the total CD45-positive cells (leucocytes and their precursors). The threshold for cell size was set based on the FSC of non-neoplastic T-
<ol> <li>112</li> <li>113</li> <li>114</li> <li>115</li> <li>116</li> <li>117</li> </ol>	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis. The extent of PB and BM infiltration by large B-cells was reported as the percentage of medium-large CD21-positive cells out of the total CD45-positive cells (leucocytes and their precursors). The threshold for cell size was set based on the FSC of non-neoplastic T-lymphocytes from the same subject. Normal circulating B-cells show the lowest FSC among
<ol> <li>112</li> <li>113</li> <li>114</li> <li>115</li> <li>116</li> <li>117</li> <li>118</li> </ol>	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis. The extent of PB and BM infiltration by large B-cells was reported as the percentage of medium-large CD21-positive cells out of the total CD45-positive cells (leucocytes and their precursors). The threshold for cell size was set based on the FSC of non-neoplastic T-lymphocytes from the same subject. Normal circulating B-cells show the lowest FSC among lymphocytes, being smaller than normal T-cells. Reactive medium-sized B-cells present FSC
<ol> <li>112</li> <li>113</li> <li>114</li> <li>115</li> <li>116</li> <li>117</li> <li>118</li> <li>119</li> </ol>	up. BM was sampled with 16- or 18-gauge Illinois needles from the iliac crest. PB and BM samples were placed in EDTA tubes for FC analysis. The extent of PB and BM infiltration by large B-cells was reported as the percentage of medium-large CD21-positive cells out of the total CD45-positive cells (leucocytes and their precursors). The threshold for cell size was set based on the FSC of non-neoplastic T-lymphocytes from the same subject. Normal circulating B-cells show the lowest FSC among lymphocytes, being smaller than normal T-cells. Reactive medium-sized B-cells present FSC properties partially overlapping those of T-cells. Thus, most of reactive medium-sized B-cells

123 The treatment protocol was in keeping with approved standards. Dogs whose owners124 wished to pursue immunotherapy received a 20-week dose-intense chemotherapy regimen,

125	consisting of L-Asparaginase, Vincristine, Cyclophosphamide, Doxorubicin, Lomustine, and
126	prednisone (Table 1). These dogs also received an intradermal injection of 0.5 ml autologous
127	vaccine on weeks 4, 5, 6, 7, 12, 16, 20, and 24, as previously described (Marconato et al.,
128	2014).
129	Dogs treated with chemotherapy only, received the following protocol, consisting of
130	L-Asparaginase, Vincristine, Cyclophosphamide, Doxorubicin, Lomustine, and Prednisone
131	(Table 1).
132	Response was classified as complete remission (CR), partial remission (PR), stable disease
133	(SD), or progressive disease (PD) based on previously published criteria (Marconato et al.,
134	2013). Responses were required to last for $\geq 28$ days.
135	
136	Relapse was defined as clinical reappearance and cytological evidence of lymphoma in
137	any anatomical site in dogs having experienced CR, whereas relapse for animals with PR was
138	defined as progression.
139	Response was evaluated at each chemotherapy session by measurement of peripheral
140	LNs. End-staging was carried out at the end of treatment, and every clinical, radiological,
141	ultrasonographic, or laboratory investigation that disclosed abnormalities at pre-treatment
142	staging was repeated. BM and PB were re-evaluated in all cases by FC. The end-staging
143	results were necessary to assess treatment response.
144	
145	Statistical analysis
146	Time to progression (TTP) was calculated from the start of treatment to disease
147	progression (Vail et al., 2010). Dogs lost to follow-up or dead for lymphoma-unrelated causes
148	before disease progression, as well as those in CR at the end of the study, were censored for
149	TTP analysis.
150	Lymphoma-specific survival (LSS) was measured as the interval between the start of

- treatment and death for lymphoma (Vail et al., 2010). Dogs alive at the end of the study, lost
  to follow-up or dead due to causes other than lymphoma were censored for LSS analysis.
- 153

To detect possible associations between TTP and LSS, and PB and BM infiltration, cases were subdivided into two groups based on the arbitrarily selected infiltration cut-offs of 1.0%, 3.0%, 5.0%, 10.0%, 20.0% and 30.0%. Thereafter, Kaplan-Meier curves were drawn for each cut-off and compared using the log-rank test. Based on data distribution, the 30.0% cut-off was not tested on BM samples. As two different BM cut-offs gave significant results for LSS analysis, these were coupled to stratify the study population into three groups, which were further tested by Kaplan-Meier curves and log-rank test.

161

162Univariate Cox's proportional hazard regression analysis was performed to determine163a possible association between selected variables and TTP and LSS, respectively. Variables164with  $p \le 0.3$  at univariate analysis were then included in a backward elimination multivariate165analysis. For categorical variables, Kaplan-Meier curves were drawn and compared by log-166rank test.

167

168The independent variables included in the analyses were: breed (pure or mixed), age169(< or  $\ge$  8 years), sex (male or female), weight (< or  $\ge$  22.5 kg), clinical stage (I-V), substage170(a or b), anemia (presence or absence), thrombocytopenia (presence or absence), LDH (within171or outside the reference interval [0-170 U/I]), FC PB infiltration (%), PB infiltration group172(<30% or  $\ge$ 30%), FC BM infiltration (%), BM infiltration group (<1%, 1%-20%, or  $\ge$ 20%),173therapy (chemotherapy or chemo-immunotherapy).174Possible differences in the aforementioned variables between the two treatment groups

were investigated with Pearson chi-squared test (for categorical variables) or with MannWhitney test (for continuous, non-parametric variables).

- All analyses were performed with a standard software (SPSS v20.0 for Windows), and
  significance was set at p≤0.05 for all analyses.
- 180
- 181 **Results**

182	Between 2011 and 2018, 45 cases met the inclusion criteria and were enrolled. There
183	were 12 (26.7%) mixed-bred and 33 (73.3%) pure-breed dogs. Among these, there were 3
184	Rottweiler, 3 Poodle, 2 jack russel terrier, 2 Australian shepherd, 2 Pomeranian, 2 Golden
185	retriever, 2 German shepherd, 2 French Bulldog, 2 Labrador retriever, and one each of the
186	following: Bassethound, dachshund, shih-tzu, beagle, Petit Bleu, Yorkshire terrier, Border
187	collie, Bernese Mountain dog, Pinscher, Akita Inu, Dobermann, Boxer, and German Hound.
188	Twenty (44.4%) dogs were females (11 spayed) and 25 (55.6%) were males (3
189	neutered). Mean age was 7.9±3.2 years (median 8 years, range 3-15 years), with 21 (46.7%)
190	dogs being <8 year-old and 24 (53.3%) ≥8 year-old. Mean body weight was 22.5±12.5 kg
191	(median 24.4 kg, range 3.0-44.4 kg); the body weight was $<22.5$ kg in 21 (46.7%) dogs and
192	≥22.5 kg in 24 (53.3%).
193	One (2.2%) dog had stage IIIa disease, 6 (13.3%) had stage IV disease (4 substage a, 2
194	substage b), and 38 (84.4%) had stage V disease (24 substage a, 14 substage b). Anemia and
195	thrombocytopenia were present in 4 (8.9%) and 6 (13.3%) dogs, respectively. LDH activity
196	was tested in 41 dogs and was increased in 17 (41.5%).
197	

- Mean PB infiltration at diagnosis was 10.43±12.82% (median 5.0%, range 0.2-53.5%).
  It was <1% in 8 (17.8%) dogs, <3% in 15 (33.3%), <5% in 20 (44.4%), <10% in 32 (31.1%),</li>
  <20% in 36 (80.0%), <30% in 41 (91.1%), and ≥30% in 4 (8.9%).</li>
- 201 Mean BM infiltration at diagnosis was 6.86±9.39% (median 3.0%, range 0.2-51.6%).
  202 It was <1% in 9 (20.0%) dogs, <3% in 22 (48.9%), <5% in 25 (55.6%), <10% in 36 (80.0%),</li>

203 <20% in 42 (93.3%), <30% in 44 (97.8%), and  $\geq$ 30% in 1 (2.2%).

205	Twenty-four (53.3%) dogs received chemo-immunotherapy, and 21 (46.7%) were
206	treated with chemotherapy. Twenty (83.3%) of 24 dogs treated with chemo-immunotherapy
207	and 13 (61.9%) of 21 dogs treated with chemotherapy achieved CR, confirmed by a complete
208	end-staging. However, 17 (85%) of the 20 dogs treated with chemo-immunotherapy and all
209	(100%) dogs treated with chemotherapy eventually relapsed.
210	The two treatment cohorts were homogeneous for all investigated variables.
211	
212	Median TTP was 179 days (range, 1-1295 days). In particular, 40 (88.9%) dogs
213	progressed during the study period, whereas 4 (8.9%) died for lymphoma-unrelated causes
214	after 93, 151, 181 and 237 days, respectively, and one (2.2%) dog was still alive and in CR
215	after 1295 days.
216	Median LSS was 337 days (range, 5-1403 days). In particular, 33 (73.3%) dogs died
217	for their lymphoma during the study period, 8 (17.8%) were still alive at data analysis closure
218	with a median follow-up of 701 days (range 281-1295 days), and 4 (8.9%) died for
219	lymphoma-unrelated causes after 93, 151, 181 and 237 days, respectively.
220	
221	The results obtained for the different PB and BM infiltration cut-offs are shown in
222	Table 2. According to these results, cases were subdivided into two PB infiltration groups
223	(<30% and $\geq$ 30%) and into three BM infiltration groups (<1%, 1-20%, and $\geq$ 20%).
224	
225	Concerning TTP, univariate Cox's analysis and log-rank test gave significant results
226	for substage (p=0.020 and p=0.017, respectively), treatment (p=0.037 and p=0.033,
227	respectively) and PB infiltration groups (p=0.006 and p=0.002, respectively) (Fig 1).
228	Multivariate analysis showed significant results for treatment (p=0.012) and PB infiltration

230 Median TTP for significant variables is shown in Table 3.

231

232	Concerning LSS, univariate Cox's analysis and log-rank test gave significant results
233	for substage (p=0.002 and p=0.001, respectively), LDH (p=0.050 and p=0.044, respectively),
234	PB infiltration groups (p=0.015 and p=0.008, respectively) and BM infiltration groups
235	(p=0.035 and p=0.022, respectively) (Fig 2). Multivariate analysis gave significant results for
236	LDH (p<0.001), treatment (p=0.002) and BM infiltration groups (p<0.001). In particular,
237	dogs with BM infiltration <1% had a median LSS of 1403 days, whereas dogs with BM
238	infiltration of 1-20% and $\geq$ 20% had a median LSS of 337 and 188 days, respectively.
239	Median LSS for significant variables is shown in Table 4.
240	
241	Discussion
242	Recent advances in imaging and laboratory methodology have the potential to improve
243	disease characterization and outcome in dogs with lymphoma, possibly leading to changes in
244	clinical practice and, eventually, in trial design. PB and BM evaluation is part of the staging
245	work-up for canine lymphoma, and FC is essential to detect and quantify their involvement
246	(Riondato et al., 2016; Riondato et al., 2017). However, knowledge about the prognostic value
247	of PB and BM infiltration based on FC in canine nMZL is limited.
248	The results of the current study suggest, in a homogeneous series of 45 dogs with
249	newly-diagnosed nMZL late-stage, that PB and BM infiltration detected by FC at diagnosis is
250	frequent and influences the duration of first remission and survival.
251	PB involvement in nMZL as detected by FC significantly influenced TTP. The
252	presence of circulating neoplastic cells was related to clinical stage and BM involvement, and
253	correlated with poor disease control. Indeed, dogs with <30% PB involvement had a median
254	TTP of 186 days, whereas dogs with $\geq$ 30% PB involvement relapsed earlier (TTP 43 days).

Lymphoma cells in PB reflect the biological property of entry of solid tumor cells into the circulation. Relapse is due either to incomplete remission in dogs with extensive tumor burden or to chemoresistance. Dogs with marked ( $\geq$ 30%) PB involvement had a very short remission; therefore, it may be possible that these cells were chemoresistant or had temporarily resided at sites poorly accessible to chemotherapy.

260 It has been previously documented that indolent B-cell lymphoma appears to be 261 associated with a higher incidence of BM infiltration compared with aggressive B-cell 262 lymphoma (33-80% versus 18-27%, respectively) (Aresu et al, 2015; Marconato et al., 2015a, 263 Cozzi et al., 2018), thereby confirming the need for extensive staging in all lymphoma cases. 264 Indeed, dogs with nMZL showed a heterogeneous clinical course based on BM infiltration, 265 which resulted to be an independent predictor for LSS. Dogs with BM infiltration <1% had a 266 significantly longer survival time compared with dogs with BM infiltration in the range of 1-267 20% and  $\geq$ 20%. Moreover, despite the overall poor treatment outcomes in nMZL cases with 268 BM infiltration, dogs with less extent of BM infiltration (1-20%) had a more favorable 269 outcome compared with dogs in the other group (12 months versus 6 months, respectively), 270 stressing the need not only for the detection of marrow involvement, but also for neoplastic 271 cell quantification.

Beside PB and BM involvement, further variables were independent predictive factorsin multivariate analysis.

An elevated LDH activity at initial diagnosis was predictive for shorter survival. This is in agreement with a previous study, whereby it was shown that elevated LDH activity was more frequent in dogs with DLBCL and higher PB and BM infiltration, possibly indicating a marked disease activity. Also, in the same study, high LDH activity predicted a more aggressive clinical course (Marconato et al., 2013). The same may hold true for nMZL. Dogs receiving chemo-immunotherapy had a significantly longer TTP and LSS compared with dogs treated with chemotherapy only. In a previous study (Marconato et al.,

2014), chemo-immunotherapy significantly improved TTP in dogs with indolent B-cell
lymphoma when compared to dogs receiving chemotherapy only, whereas LSS only tended to
be longer. The differences between these studies might be explained by sample size and the
different enrollment criteria, because the earlier study included all subtypes of indolent
lymphoma as one entity instead of individual subtypes.

286 Interestingly, when comparing BM infiltration data with the ones previously published 287 in DLBCL (Marconato et al., 2013), a better stratification for TTP and LSS was obtained in 288 dogs with nMZL. This result is also emphasized by the diagnostic and biological limits that 289 have been described so far for these two histotypes. Indeed, the histological diagnosis of 290 nMZL late-stage is still a conundrum because of the lack of immunohistochemical markers 291 that allow in many cases distinguishing it from DLBCL. To rule out this risk, we included 292 only nMZLs that were histologically characterized by a diffuse infiltration of neoplastic cells 293 with intermediate-sized nuclei, prominent single central nucleoli, abundant lightly stained 294 cytoplasm, low mitotic rate and absence of centroblasts and immunoblasts. Moreover, two 295 gene expression profile studies have recently shown that nMZL and DLBCL are similar at the 296 transcriptomic level (Frantz et al., 2013), but they result two separate entities clinically, 297 suggesting other biological mechanisms underlying tumor cells of origin.

298 This study has some limitations.

299 First, there was treatment regimens heterogeneity in the population of our study. 300 Approximately 50% of dogs received an autologous vaccine in addition to conventional 301 chemotherapy. Analyses of prognostic factors for TTP and LSS showed a better outcome for 302 dogs that received chemo-immunotherapy. As of today, there are no standard recommended 303 treatments for nMZL, which has not proven curable with classical chemotherapy (Aresu et al, 304 2015, Marconato et al., 2015b). In the current study, the combination of chemotherapy and 305 immunotherapy significantly improved outcome. This finding raises the question as to 306 possible choices in terms of classical chemotherapy and whether immunotherapy should be

307 the new gold standard for first-line treatment in dogs with nMZL.

308 Second, the FC strategy used to quantify PB and BM infiltration in the present study 309 has never been validated for canine nMZL, but was derived by the one used to stage canine 310 large B-cell lymphomas (Riondato et al., 2016). In particular, we lowered the FSC threshold 311 in order to include medium-sized cells in the count. This likely affects the analytical and 312 diagnostic performances reported. In particular, the limit of detection (LOD) of medium-large 313 CD21+ cells in non-neoplastic samples is likely higher than the one reported for large B-cells, 314 and even higher than 1%. Nevertheless, we report relevant prognostic implications only for 315 highly infiltrated BM samples (>20%), which is likely far from the LOD of the technique, and 316 for samples with <1% infiltration, where neoplastic cells are virtually absent. Cases 317 potentially located between 1% and LOD would fall in the limbo of the 1-20% BM infiltration 318 group, which bears an intermediate prognosis and warrants examination of further prognostic 319 parameters.

320

#### 321 Conclusions

322 The detection of PB and BM involvement in most dogs with nMZL confirms the need 323 for PB and BM flow cytometric evaluation as an integral part of staging work-up also in these 324 patients. We found that PB infiltration  $\geq$ 30% and BM infiltration  $\geq$ 20% in dogs with nMZL 325 are independent negative prognostic factors. More specifically, in dogs with PB infiltration 326 <30%, BM evaluation stratifies dogs into 3 prognostic groups: those with a poor (infiltration 327  $\geq$ 20%), intermediate (infiltration 1-20%) and better (infiltration <1%) prognosis. 328 Future efforts should be directed toward finding optimal treatment modalities in 329 managing nMZL dogs based on the extent of PB and BM infiltration. 330

331

#### 332 Conflict of interest statement

333

None of the authors has any financial or personal relationships that could inappropriately

- influence or bias the content of the paper.
- 335

342

346

350

357

362

367

372

336	References
337	

- Aresu, L., Martini, V., Rossi, F., Vignoli, M., Sampaolo, M., Aricò, A., Laganga, P., Pierini, A.,
  Frayssinet, P., Mantovani, R., et al., 2015. Canine indolent and aggressive lymphoma:
  clinical spectrum with histologic correlation. Veterinary Comparative Oncology 13, 348362.
- Cozzi, M., Marconato, L., Martini, V., Aresu, L., Riondato, F., Rossi, F., Stefanello, D., Comazzi,
  S., 2018. Canine nodal marginal zone lymphoma: Descriptive insight into the biological
  behaviour. Veterinary Comparative Oncology 16, 246-252.
- Frantz, A.M., Sarver, A.L., Ito, D., Phang, T.L., Karimpour-Fard, A., Scott, M.C., Valli, V.E.,
  Lindblad-Toh, K., Burgess, K.E., Husbands, B.D., et al., 2013. Molecular profiling reveals
  prognostically significant subtypes of canine lymphoma. Veterinary Pathology 50, 693-703.
- Gelain, M.E., Mazzilli, M., Riondato, F., Marconato, L., Comazzi, S., 2008. Aberrant phenotypes
  and quantitative antigen expression in different subtypes of canine lymphoma by flow
  cytometry. Veterinary Immunology and Immunopathology 121, 179-188.
- Owen, L., 1980. TNM Classification of Tumors in Domestic Animals, Geneva, World Health
   Organization, 46-47.
- Marconato, L., Martini, V., Aresu, L., Sampaolo, M., Valentini, F., Rinaldi, V., Comazzi, S., 2013.
   Assessment of bone marrow infiltration diagnosed by flow cytometry in canine large B cell
   lymphoma: prognostic significance and proposal of a cut-off value. The Veterinary Journal
   197, 776-781.
- Marconato, L., Frayssinet, P., Rouquet, N., Comazzi, S., Leone, V.F., Laganga, P., Rossi, F.,
   Vignoli, M., Pezzoli, L., Aresu, L., 2014. Randomized, placebo-controlled, double-blinded
   chemoimmunotherapy clinical trial in a pet dog model of diffuse large B-cell lymphoma.
   Clinical Cancer Research 20, 668-677.
- Marconato, L., Martini, V., Stefanello, D., Moretti, P., Ferrari, R., Comazzi, S., Laganga, P.,
   Riondato, F., Aresu, L., 2015a. Peripheral blood lymphocyte/monocyte ratio as a useful
   prognostic factor in dogs with diffuse large B-cell lymphoma receiving
   chemoimmunotherapy. The Veterinary Journal 206, 226-230.
- Marconato, L., Stefanello, D., Sabattini, S., Comazzi, S., Riondato, F., Laganga, P., Frayssinet, P.,
   Pizzoni, S., Rouquet, N., Aresu, L., 2015b. Enhanced therapeutic effect of APAVAC
   immunotherapy in combination with dose-intense chemotherapy in dogs with advanced
   indolent B-cell lymphoma. Vaccine 33, 5080-5086.
- Marconato, L., Polton, G.A., Sabattini, S., Dacasto, M., Garden, O.A., Grant, I., Hendrickx, T.,
   Henriques, J., Lubas, G., Morello, E., et al., 2017. Conformity and controversies in the
   diagnosis, staging and follow-up evaluation of canine nodal lymphoma: a systematic review

381 of the last 15 years of published literature. Veterinary Comparative Oncology 15, 1029-382 1040. 383 384 Riondato, F., Miniscalco, B., Poggi, A., Aricò, A., Aresu, L., Comazzi, S., Martini, V., 2016. 385 Analytical and diagnostic validation of a flow cytometric strategy to quantify blood and 386 marrow infiltration in dogs with large B-cell lymphoma. Cytometry. Part B, Clinical 387 Cytometry 90, 525-530. 388 389 Riondato, F., Martini, V., Poggi, A., Massaglia, I., Comazzi, S., Borrelli, A., Miniscalco, B., 2017. 390 Identification of peripheral blood involvement in dogs with large B-cell lymphoma: 391 Comparison of different methods. Research in Veterinary Science 115, 288-293. 392 393 Vail, D.M., Michels, G.M., Khanna, C., Selting, K.A., London, C.A.; Veterinary Cooperative 394 Oncology Group, 2010. Response evaluation criteria for peripheral nodal lymphoma in dogs 395 (v1.0)--a Veterinary Cooperative Oncology Group (VCOG) consensus document. 396 Veterinary and Comparative Oncology 8, 28-37. 397 398 Valli, V.E., San Myint, M., Barthel, A., Bienzle, D., Caswell, J., Colbatzky, F., Durham, A., 399 Ehrhart, E.J., Johnson, Y., Jones, C., et al., 2011. Classification of canine malignant 400 lymphomas according to the World Health Organization criteria. Veterinary Pathology 48, 401 198-211. 402 403 404

	Week number																		
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	1
Chemo-im	munoth	erapy p	rotocol																
L-ASP	X																		
VCR		X	X	X									X						
CYCLO		X											X						
DOXO							X									Х			
CCNU										X									Х
			Prec	dnisolon	e admin	istered a	at 1 mg/l	kg daily	from w	veek 1 to	week 4	; then 0.	5 mg/kg	g daily u	ntil the	end of t	reatment		
Chemothe	rapy pro	otocol																	
L-ASP	X																		
VCR		X			X						X						Х		
												*7							
CYCLO			X			Х						Χ						Х	
CYCLO DOXO			Х	X		Х	X					Х	X					Х	Σ
			Х	Х		Х	Х		X			Х	X		X			Х	y

### 406 Therapeutic protocols administered to 45 dogs with Marginal Zone Lymphoma (MZL).

- 407 L-ASP = L-asparaginase (400 UI/kg SQ); VCR = Vincristine (0.75 mg/m2 IV); CYCLO = Cyclophosphamide (250 mg/m2 PO in the chemo-
- 408 immunotherapy protocol, 75 mg/m2 PO for 4 consecutive days in the chemotherapy protocol); DOXO = Doxorubicin (30 mg/m2 IV); CCNU =
- 409 Lomustine (80 mg/m2 PO)

- 411 P-values obtained by comparing Kaplan-Meier curves using different arbitrarily selected cut-offs
- 412 for peripheral blood and bone marrow infiltration in 45 dogs diagnosed with Marginal Zone
- 413 Lymphoma.

Matrix	Cut-off	Log-rank test p-value				
		Time to progression	Lymphoma specific survival			
Peripheral blood	1%	0.416	0.419			
	3%	0.515	0.614			
	5%	0.315	0.463			
	10%	0.510	0.824			
	20%	0.183	0.572			
	30% <sup>§</sup>	0.002*	0.008*			
Bone marrow	1%	0.098	0.039*			
	3%	0.155	0.102			
	5%	0.241	0.258			
	10%	0.188	0.237			
	20%	0.092	0.044*			
	1% and $20\%^{\$}$	0.077	0.022*			

414 \*= significant result; \$= cutoff selected for further survival analyses

415

418 Time to progression (TTP) in 45 dogs with nodal Marginal Zone Lymphoma, according to specific

419 variables

Variable	Median		P-value		Hazard ratio
(number of dogs)	TTP in days	Univariate	Log-	Multivariate	(95% CI)
	(range)	analysis	rank	analysis	
			test		
Substage		0.020*	0.017*	0.930	
a (29)	312				Ref
	(24-1295)				
b (16)	60				2.195 (1.131-4.263)
	(1-420)				
Therapy		0.037*	0.033*	0.012*	
Chemotherapy (21)	78				1.950 (1.040-3.658)
	(1-1295)				
Chemo-	227				Ref
immunotherapy	(29-720)				
(24)					
PB infiltration		0.006*	0.002*	0.001*	
<30% (41)	186				Ref
	(1-1295)				
≥30% (4)	43				4.897 (1.579-15.188)
	(1-134)				
*=significant result					

424 Lymphoma specific survival (LSS) in 45 dogs with nodal Marginal Zone Lymphoma, according to

425 specific variables.

Variable	Median LSS		P-value		Hazard ratio
(number of	in days	Univariate	Log-rank	Multivariate	(95% CI)
dogs)	(range)	analysis	test	analysis	
Substage		0.002*	0.001*	0.905	
a (29)	544				Ref
	(93-1403)				
b (16)	125				3.375 (1.572-7.247)
	(5-862)				
Therapy		0.093	0.088	0.002*	
Chemotherapy	211				1.833 (0.905-3.714)
(21)	(5-1403)				
Chemo-	399				Ref
immunotherapy	(93-1321)				
(24)					
PB infiltration		0.015*	0.008*	0.175	
<30% (41)	349				Ref
	(5-1403)				
≥30% (4)	125				4.017 (1.314-
	(7-215)				12.283)
LDH activity		0.050*	0.044*	<0.001*	
Normal (24)	560				Ref
	(111-1403)				

Increased (17)	211				2.172 (1.002-4.710)
	(7-730)				
BM infiltration		0.035*	0.022*	< 0.001*	
<1% (9)	1403				Ref
	(45-1403)				
1%-20% (33)	337				2.754 (0.948-7.997)
	(5-1321)				
≥20% (3)	188				7.771 (1.621-
	(7-215)				37.244)

426 \*=significant result

- 428 Fig. 1. Kaplan-Meier curves representing time to progression of 45 dogs with nodal Marginal Zone
- 429 Lymphoma with <30% (continuous line) or ≥30% (dotted line) peripheral blood flow cytometric</li>
  430 infiltration.
- 431
- 432 Fig. 2. Kaplan-Meier curves representing lymphoma specific survival of 45 dogs with nodal
- 433 Marginal Zone Lymphoma with <1% (continuous line), 1-20% (dashed line) or  $\ge$ 20% (dotted line)
- 434 bone marrow flow cytometric infiltration.
- 435