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A cluster-graph model for herd characterisation in dairy farms equipped with Automatic Milking System

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ABSTRACT

The analysis of data recorded by Automatic Milking System (AMS) in dairy livestock barns has a great potential for herd management and farm building design. A big amount of data about milk production and cow welfare is available from milking robot and many researches are focusing on them in order to find relationships and correlations among the various parameters.

The goal of the study is to develop and test an innovative procedure for the comprehensive analysis of AMS-generated multi-variable time-series, with a focus on herd segmentation, aiming to support dairy livestock farm management. In particular, the study purpose is to develop and test a cluster-graph model using AMS-generated data, designed to provide an automatic grouping of the cows based on production and behavioural features. First, a k -means cluster analysis has been implemented to the average of the time series of the main parameters recorded for each cow by AMS in a barn in Italy over a summer period. Then, all the resulting subgroups have been converted in a network and a cluster-graph analysis has been applied in order to find herd-descriptive subgraphs.

The results of the study have the potential impact of improving herd characterisation and lending support to cow monitoring and management. Furthermore, this method could represent a feasible procedure to convert alphanumeric data in a simple graphic visualization of the herd without losing the quantitative information about every single animal.

27 Keywords: Precision Livestock Farming; productivity; dairy cow; cluster

28

29 **Nomenclature**

Symbol	Description
α	Activity
α_h	Average hourly activity rate
$c_{i,j}$	Centroid of cluster j for the parameter i
$C_{i,j}(\cdot)$	Value of cluster j for the parameter i
Cin	Cow identification number
Cb	Cow Body Mass, kg
da	Average daily activity
L_h	Average hourly rate of milk production, l h ⁻¹
Mr	Milking regularity, h
My	Milk yield, l
Pa	Parity
tcp	Date and time of the cow passage
$\#M$	Number of daily milking
S_i	Similarity index
W	Weight of the link between two nodes
Abbreviation	
AMS	Automatic Milking System
ICT	Information and communications technology
PLF	Precision Livestock Farming

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1. INTRODUCTION

33 Information and communications technology (ICT) has become increasingly more popular in
34 agriculture and its application in Precision Livestock Farming (PLF) has increased rapidly in the
35 last decades, especially in dairy cow barns. It is broadly acknowledged that the main expected
36 benefits from PLF deal with real-time monitoring of animal welfare and health, early disease
37 alerting, increase in milk yield, reduction in production costs, and improvement of farmers' work
38 conditions and quality of life (Berckmans, 2014). As it is well known, the introduction of
39 Automatic Milking Systems (AMS) in the late 1990s has deeply changed the barn layout and the

40 herd management in dairy farms (Rodenburg, 2017), and it has been considered one of the earliest
41 precision livestock farming developments (John et al., 2016) . In the last years, many researches
42 have focused on data from AMS-equipped livestock farms, with the aim of improving and
43 optimizing the different aspects of the farmers' work. For example, Gaworski, Leola, Sada, Kic, &
44 Priekulis, (2016) studied the relationships between cow traffic systems and the efficiency of the
45 AMS use, while Kaihilahti, Suokannas, and Raussi (2007) associated a video recording technology
46 with the automatic milking system to observe the deviations in the milking process. Other
47 researchers have investigated the performances of the milking robot according to different feed
48 deliveries (six times per day compared to twice daily, both via automatic feeding system, AFS)
49 (Oberschätzl-Kopp, Haidn, Peis, Reiter, Bernhardt, 2016) and the variation in lying times of the
50 cows in AMS farms (Westin et al., 2016). Moreover, the introduction of AMS has provided new
51 knowledge about the proliferation and the detection of some cow diseases, among which mastitis
52 diagnosis deserves particular attention(Castro, Pereira, Amiama, Bueno, 2015; Lehmann, Wall,
53 Wellnitz, Bruckmaier, 2015; Steeneveld, Vernooij, Hogeveen, 2015).

54 AMSs measure specific data about milk production and cow behaviour, providing farmers with
55 useful real-time information for each cow. The remarkable number of records stored in an AMS
56 database has a great potential for herd characterisation and management optimisation is still largely
57 underexploited. In particular, data from AMS are suitable to identify clusters within the herd, based
58 on the most informative variables in terms of cow productivity and welfare. In this regard, dairy
59 cattle cluster analysis has only recently been investigated, specifically to assess physical activity of
60 cows while taking into account environmental conditions (Adameczyk, Cywicka, Herbut,
61 Trześniowska, 2017). This approach is considered as a technical support to cattle breeders at the
62 stage of data collection and analysis, and for the assessment of rearing conditions. In this context,
63 the development of cluster-graph models for a detailed herd characterisation and cow classification

64 based on AMS-generated time series of data appears to be a significant contribution, and it
65 represents an approach which has yet to be found in the scientific literature.

66 Within these challenging research topics, the goal of this study is to develop and test innovative
67 procedures for the comprehensive analysis of AMS-generated multi-variable time-series with a
68 focus on herd segmentation in order to support livestock farm management. In particular, the
69 specific aim is to develop and test a cluster-graph model using AMS-generated data, designed to
70 provide an automatic grouping of the cows based on production and behavioural features.

71

72 **2. MATERIALS AND METHODS**

73 **2.1. *The Study Case***

74 A dairy farm equipped with AMS was adopted for the development of the cow clustering method.
75 This farm is placed in the municipality of Budrio, about 15 km north-east of Bologna (Emilia-
76 Romagna Region, Italy; WGS84 coordinates 44°33'32.7"N 11°31'09.7"E). The barn (whose layout
77 is shown in Fig. 1) was 51 m long and 23 m wide rectangular building, with SW-NE-oriented
78 longitudinal axis, consisting of a hay storage area on the SE side, a resting area in the central part of
79 the building, and a feeding area and a feed delivery lane on the NW side. The resting area, whose
80 floor was partially slatted, hosts 78 cubicles with straw bedding where about 65 Friesian cows were
81 housed; two blocks of head-to-head cubicles located in its central part and another row that ran
82 along the entire length of the resting area. The milk-room was located on the SW side of the
83 building, next to the offices and technical plant rooms. Ventilation was controlled by three high-
84 volume low-speed (HVLS) fans with five horizontal blades activated by a temperature-humidity
85 sensor situated in the middle of the barn. Cow milking was performed by means of a robotic
86 milking system (marked in the right part of Fig. 1) “Astronaut A3 Next” by Lely, Maassluis, The
87 Netherlands. The robot was programmed to assure a number of daily visits for each cow depending

88 on the cow productivity and its expected optimal milk yield per visit, with a minimum and a
89 maximum number of daily visits as constraints.

90 2.2. *Data Acquisition and Preliminary Data Analysis*

91 Cow-related and milk production data of farm A have been recorded by the AMS at each cow
92 passage. Based on the database downloaded from the AMS management software, a matrix
93 designated ‘Visit’ was created for each cow where each row corresponds to a cow passage and the
94 columns contain the following parameters: Cow Identification Number (Cin), Date and Time of the
95 Cow Passage (tcp), Milk Yield (My), Cow Body Weight (Cb) and Parity (Pa).

96 Cows behaviour data was measured by means of a collar by SCR (Netanya, Israel) mounted for the
97 cow identification. It monitored activity levels (α) of each animal by means of an acceleration
98 sensor measuring the duration and the intensity of the movements. This parameter was recorded in 2
99 hour blocks and it is the parameter usually used in livestock management for automated heat
100 detection (Shahriar et al., 2016). Data were downloaded from the collars at each passage through
101 the AMS robot and collected in a matrix designated ‘Activity’, where each row contained the two-
102 hour activity of each cow.

103 ‘Visit’ and ‘Activity’ matrices were jointly processed. Since milking with AMS is voluntary,
104 milking events, data acquisition frequency and temporal distribution are highly variable, so that the
105 datasets cannot be compared directly on a regular basis. To allow a comparison of synchronous data
106 of the parameters recorded by AMS and thus to perform preliminary analyses, milk production and
107 activity data were interpolated over synchronous 6-hour time steps (12 am - 6 am, 6 am - 12 pm, 12
108 pm - 6 pm, 6 pm - 12 am). This sampling has been selected in order to mostly avoid repetitions of
109 data from the same animal in the same time step. The average hourly rate of milk production (L_h)
110 and activity (α_h) for each cow have been calculated according to the following Eq. 1 and 2:

$$L_h(tcp_i) = \frac{My_i - My_{i-1}}{tcp_i - tcp_{i-1}} \quad (\text{Eq. 1})$$

$$\alpha_h(tcp_j) = \frac{\alpha_j - \alpha_{j-1}}{tcp_j - tcp_{j-1}} \quad (\text{Eq. 2})$$

where tcp_i represent the date and time of the i th cow passage.

Then, interpolating L_h and α_h over 6 h time spans, a continuous trend line of milk production and activity for each cow were obtained. Before interpolation and data aggregation, rows with null milk yield or feed intake data were deleted, since they corresponded to unsuccessful milking sessions and they would have led to biased values (e.g. when a stressed or uncomfortable cow kicks the machine). Similarly, milking events closer than 4 h for the same cow were aggregated to obtain a smoother distribution of data.

Gaussian normality of milk production and activity data for each cow was tested both graphically and by means of the Jarque-Bera test (Jarque & Bera, 1980) for fixed time steps, i.e. the normality of L_h and α_h has been checked for each cow. It proved that their distributions could be considered as normal, with a significance level of rejection of null hypothesis fixed at 5%. Therefore, the means and the standard deviations were considered significant to characterise in a synthetic form the milk production and activity data of the herd and their distributions.

2.3. *Clustering Method*

Cow clustering based on production and behavioural features has been performed focusing on data surveyed in summer 2015 (from June 21st to September 30th). It is worth noticing that the total number of analysed cows (88) accounts for all the animals reared in the barn in the considered study period. The k -means algorithm (MacQueen, 1967) has been used to study the following parameters in the study period:

- 132 - Number of daily milking ($\#M$);
- 133 - Parity (Pa);
- 134 - Average daily activity ($d\alpha$);
- 135 - Milking regularity, in terms of standard deviation of the time intervals between milking
- 136 events in the study period (Mr);
- 137 - Cow body weight (Cb).

138 In particular, each cow was represented by the mean values for each parameter in the above five k -
 139 means analyses. This approach allowed the characteristics of the animals to be described in a
 140 stationary and concise manner during the study period. For each variable, different k values were
 141 selected “a posteriori” to highlight some particular trends.

142 The clusters obtained through the five k -means algorithms were joined in a network graph with
 143 Gephi (0.9.1 version), an open source and cross-platform exploration tool for networks and complex
 144 systems (Bastian, Heymann, Jacomy, 2009). The network was designed by assigning each cow a
 145 node, and linking two nodes if the cows belong to the same cluster (referring at least to one
 146 clustering variable). The weight of the link, W , between two nodes A and B was defined by the
 147 summation of the five “similarity index” S_i as follows:

$$148 \quad W(A,B) = \sum_{i=1}^5 S_i(A,B) \quad (\text{Eq.3})$$

149 where i identified one of the previous five variables, A and B two were general cows and S_i was
 150 calculated for each parameter i as

$$151 \quad S_i(A,B) = \begin{cases} 1 - \frac{|C_{i,j}(A) - C_{i,j'}(B)|}{c_{i,j}}, & \text{if } j = j' \\ 0, & \text{if } j \neq j' \end{cases} \quad (\text{Eq. 4})$$

152 where $C_{i,j}(\cdot)$ represents the value of cluster j for the parameter i and $c_{i,j}$ its centroid.

153 The resulting network was analysed and processed to find subnetworks based on modularity, i.e. a
154 measure which minimises the number of edges from two different clusters (Newman, 2006): this
155 procedure produced the final graph.

156 The methodology was thus composed of three steps:

- 157 1. Development of five k -means analysis, one for each parameter described above;
- 158 2. Creation of a network with nodes and a measure of connectivity;
- 159 3. Analysis of the network in order to find subnetworks.

160 This differs from a classic k -means cluster methodology and allows:

- 161 • The distribution of every single parameter within the herd to be analysed, choosing for each
162 one the most appropriate k ;
- 163 • A network to be designed to convert numbers and matrices into nodes and colours, i.e. a
164 more immediate and easy way for the farmer to monitor the herd and the distribution of
165 animals into clusters. Moreover, the clustering approach based on modularity does not
166 require to define “a priori” the number of clusters the dataset has to be subdivided into.

167 168 **3. RESULTS AND DISCUSSION**

169 The results of herd clustering of farm A according to the single descriptive variables are reported in
170 Tables 2, 3, 4, 5, and 6. In Table 2, the cluster analysis provided four groups of cows with different
171 milking habits in terms of mean daily events. In Table 3, the herd was subdivided into six groups
172 depending on parity. The group composed by first-calf cows (CI_{Pa}) and that of cows with parity
173 equal to 2 ($C2_{Pa}$) have similar cardinality and together represent the largest part of the herd. Table 4
174 shows that the herd could be divided into four groups of animals with similar cardinality and

significantly different levels of activity. Table 5 points out the different habits of herd for the act of milking, in terms of standard deviation of time intervals between two milking events in succession. Finally, Table 6 spotlights the differences within the herd in terms of body mass.

The final modularity process led to the identification of three clusters which are shown in Fig. 2, where the graph is drawn based on the “*Force Atlas*”, the Noack's edge-directed force layout (Gephi Consortium, 2011), adopting the following values of the ruling parameters: *Repulsion* equal to 10,000; *Gravity* was equal to 400 and adjusted by size. The size of each node was proportional to daily milk yield: the highest was the milk yield, the longer was the node radius. Parity is indicated as a number inside each circle.

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Table 2. Statistics about clusters of mean number of daily milking.

Cluster	Cardinality	Min	Max	Median	Centroid
$C1_{\#M}$	10	1.2	1.7	1.5	1.5
$C2_{\#M}$	38	1.8	2.3	2.0	2.0
$C3_{\#M}$	30	2.3	2.8	2.6	2.6
$C4_{\#M}$	10	2.9	3.7	3.0	3.1

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Table 3. Statistics about clusters of number of parity.

Cluster	Cardinality	Number of parity
$C1_{Pa}$	35	1
$C2_{Pa}$	33	2
$C3_{Pa}$	10	3
$C4_{Pa}$	7	4
$C5_{Pa}$	2	5
$C6_{Pa}$	1	6

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Table 4. Statistics about clusters of mean daily activity of cows.

Cluster	Cardinality	Min	Max	Median	Centroid
$C1_a$	21	28.4	44.5	40.7	39.6
$C2_a$	24	45.8	54.9	49.7	50.2
$C3_a$	19	55.3	65.9	59.3	60.0
$C4_a$	14	66.8	83.8	72.1	72.2

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Table 5. Statistics about clusters of standard deviation of time intervals (in hour) between milking events in succession.

Cluster	Cardinality	Min (h)	Max (h)	Median (h)	Centroid (h)
CI_{Mr}	4	0	1.3	0.7	0.7
$C2_{Mr}$	39	1.7	3.0	2.5	2.5
$C3_{Mr}$	26	3.2	4.4	3.7	3.7
$C4_{Mr}$	14	4.5	6.3	5.2	5.2
$C5_{Mr}$	5	7.0	9.6	7.3	7.7

Table 6. Statistics about cluster of mean body mass of cow.

Cluster	Cardinality	Min (kg)	Max (kg)	Median (kg)	Centroid (kg)
CI_{Cb}	28	541.8	589.4	570.1	568.6
$C2_{Cb}$	24	591.6	641.4	609.6	612.9
$C3_{Cb}$	18	649.3	698.7	676.7	676.5
$C4_{Cb}$	15	710.0	743.9	725.3	726.4
$C5_{Cb}$	3	761.5	792.9	768.8	774.4

The mean values and the standard deviations of every parameter for the final three clusters are shown in Fig. 3. The resulting subdivision of the herd shows a clear differentiation of each cluster from one another for what concerns all the considered descriptive parameters. The main diversification deals with parity, as far as cluster 2 shows a mean of one, which indicates that the group included only first-calf cows. These animals had intermediate mean values of number of milking events and of milking regularity, while their mean body mass was the lowest, according to

209 expectations. The remaining two groups had similar mean parity, between two and three, and
210 mostly differ as for number of milking events and milking regularity.

211 More specifically, cluster 1 has a small number of effective AMS visits (daily average of 2) with
212 poor regularity (standard deviation of time interval between visits of almost 5 h). This cluster
213 included nearly half of the animal population. By contrast, cluster 3 has a significantly smaller
214 cardinality than the other two groups, but it was strongly characterised by good milking
215 performances. These are expressed by the average number of daily AMS visits which exceeded
216 three and by their good regularity, given by a standard deviation of the time intervals was about one
217 half of that of cluster 1. It is interesting to observe that the mean activity score of this cluster was
218 significantly higher than that of the other ones, while the average body mass was lower than cluster
219 1.

220 An important confirmation of the diversification of the three clusters in terms of productive
221 characteristics is provided in Tables 7 and 8, which contain the synthetic indexes of the main data
222 regarding cow productivity, including the averages and standard deviations of daily milk yields of
223 the clusters, besides their cardinality. Milk yield was not selected as a parameter for clustering
224 because it was considered as the dependent variable according to which the effectiveness of the
225 clustering procedure and the productive feature of each resulting group of cows was assessed. The
226 results in terms of number of daily milking events, daily milk yields and milking regularity neatly
227 characterised the three clusters according to three different levels of productivity. Therefore, not
228 only the clustering method identified groups of animals with different behaviour and physical
229 conditions, but it also provided clusters with clearly different average productivity.

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Table 7. Statistics for the final clusters (part 1): mean (*m*) and standard deviation (*std*) of each variable.

Cluster	# <i>M</i>		Pa		<i>da</i>	
	# daily milking		number of parity		average daily activity	
	<i>m</i>	<i>std</i>	<i>m</i>	<i>std</i>	<i>m</i>	<i>std</i>
1	2.0	0.4	2.7	1.0	53.5	9.8
2	2.3	0.3	1.0	0.0	53.9	12.9
3	3.0	0.3	2.4	0.7	60.2	13.0

Table 8. Statistics for the final clusters (part 2): mean (*m*) and standard deviation (*std*) of each variable.

Cluster	<i>My</i> (litre)		<i>Mr</i> (hour)		<i>Cb</i> (kg)		Cardinality
	daily milk yield		milking regularity		cow mass		
	<i>m</i>	<i>std</i>	<i>m</i>	<i>std</i>	<i>m</i>	<i>std</i>	
1	24.7	10.0	4.3	1.5	674.5	58.0	43
2	27.0	10.4	2.9	1.3	587.4	33.0	35
3	36.7	7.0	2.2	0.3	646.4	66.8	10

The average milk yield of the whole herd was 27 l d⁻¹ and can be considered as a reference value to assess the results of each cluster. In fact, cluster 3 produced a higher milk yield than clusters 1 and 2. The performance of cluster 2 was almost 10 l d⁻¹ below cluster 3 and represented the intermediate result. This negative difference was likely to be due to the condition of first delivery of the cows. The worst average performance was given by cluster 1, which mostly included animals with scarce productivity.

244 The results highlight that lactating cows have very diversified conditions and that cluster analysis is
245 an effective tool to identify the most significant groups if data about animal behaviour and
246 conditions are available, which is in the case of AMS use. The identification of clusters can
247 contribute to defining feeding strategies based not only on the milk yield and lactation period, but
248 also on the other descriptive variables, which prove to be effective in characterising herd groups
249 with different production potentials. The results suggest also that particular attention should be paid
250 to single animals whose milk yield is poorly consistent with the average value of the cluster they
251 belong to. In particular, in cluster 1 those cows that showed high milking values should be
252 monitored in order to prevent their production decreasing and reaching the common values of that
253 cluster. In this case, proper measures should be defined to increase the number of daily visits to
254 AMS and their regularity in time. In cluster 3, those cows which showed milking production
255 significantly below the cluster mean represent another aspect deserving attention: proper
256 investigations should be carried out to identify the causes of low milk yield and to identify possible
257 corrective strategies. Finally, in cluster 2 those cows with the lowest production rates should be
258 checked to verify if this is due to their normal lactating curve pattern or if there are other factors
259 hampering their expected productivity. The resulting clusters may be farm-dependent, but the
260 methodology developed here, consisting of a computational procedure and an approach to a critical
261 analysis of the results, has general validity and it represents a supplemental tool for providing
262 highly informative real time knowledge of the herd condition.

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4. CONCLUSIONS

265 A numerical approach to data analysis of dairy farms has been developed through the formulation
266 of a proper integrated model to process production and behavioural data of every cow. A cluster-
267 graph analysis method has been applied to a dataset derived from AMS source, containing the time
268 series of the main parameters recorded for each cow in the summertime in a barn in Italy. The

269 combination of cluster analysis and graph theory has allowed objective results to be obtained using
270 statistical and numerical methodologies: the herd studied was characterised according to three main
271 clusters, which proved diverse from each other in terms of productivity and animal behaviour. The
272 specific results of the cluster analysis over the study case obviously depends on the herd
273 characteristics, but the methodology were developed according to general criteria that are
274 independent of the single case of application. Therefore, the method appears to be directly useful
275 for farmers under various operational conditions.

276 The results lend support to cow monitoring, through the comparison between the measured and
277 expected cow behaviour. Herd partitioning could help to regulate the number of milking events or
278 the supplementary feeding of specific groups, and the identification of the clusters can contribute to
279 define proper feeding strategies. These should be based not only on the milk yield and lactation
280 period, as it usually occurs, but also on the other descriptive variables recorded by the AMS, which
281 proved to be effective in characterising the herd groups. Moreover, the proposed cluster analysis is
282 also a method to indicate a correlation between the behaviour patterns characterised by the variables
283 adopted for clustering and milk yields. This issue is worth of further investigation through the
284 development of innovative numerical models.

285 As for the aspects related to the evolution in time of the herd composition and the characteristics of
286 the cows, the method proposed considers a classification of a cow to a group which is constant over
287 the time period analysed. Nevertheless, the method is suitable to investigate the clustering of cows
288 also with reference to a number of consecutive shorter periods and thus to analyse and characterise
289 the evolution of the composition of the clusters in a dynamic way and to identify animals with
290 anomalous trends.

291 Further developments of the research are ongoing and firstly consist in the application of the model
292 to other farms in different geographic contexts and under different climatic and farming conditions
293 for a finer calibration. Moreover, the integration of these results with video or RFID position

294 analysis could provide a better comprehensive behavioural description of dairy cattle in a farm.
295 Finally, the developments of the research are focusing also on the implications of cows clustering
296 for innovations in the definition of spatial layouts, with expected benefits for the design of dairy
297 barns.

298 **ACKNOWLEDGMENTS**

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300 Sciences of the University of Bologna and the dairy farm Piazzini (Budrio, BO, Italy).

301

FIGURE CAPTIONS

302

303

304 **Fig. 1.** Plan layout of the barn adopted as study case.

305 **Fig. 2** Clusters of the study farm with Gephi. The graph is composed by 88 nodes and 5920 edges.

306 Numbers inside each circle represent the parity of each cow.

307 **Fig. 3.** Mean values of each variable for each cluster: average daily milking actions, number of
308 parity; (average daily activity)/10; standard deviation of time lag in hour between milking; mass in
309 hundreds of kg.

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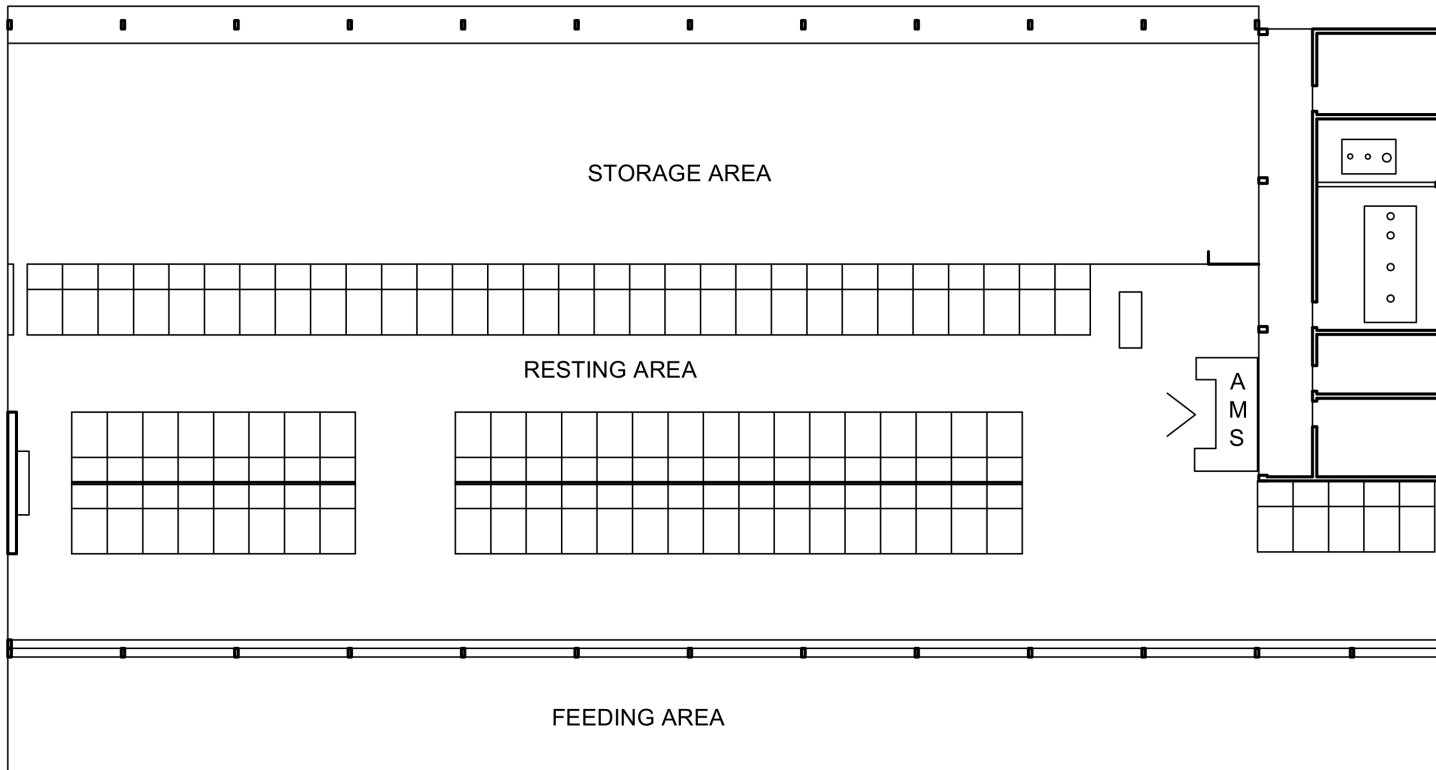
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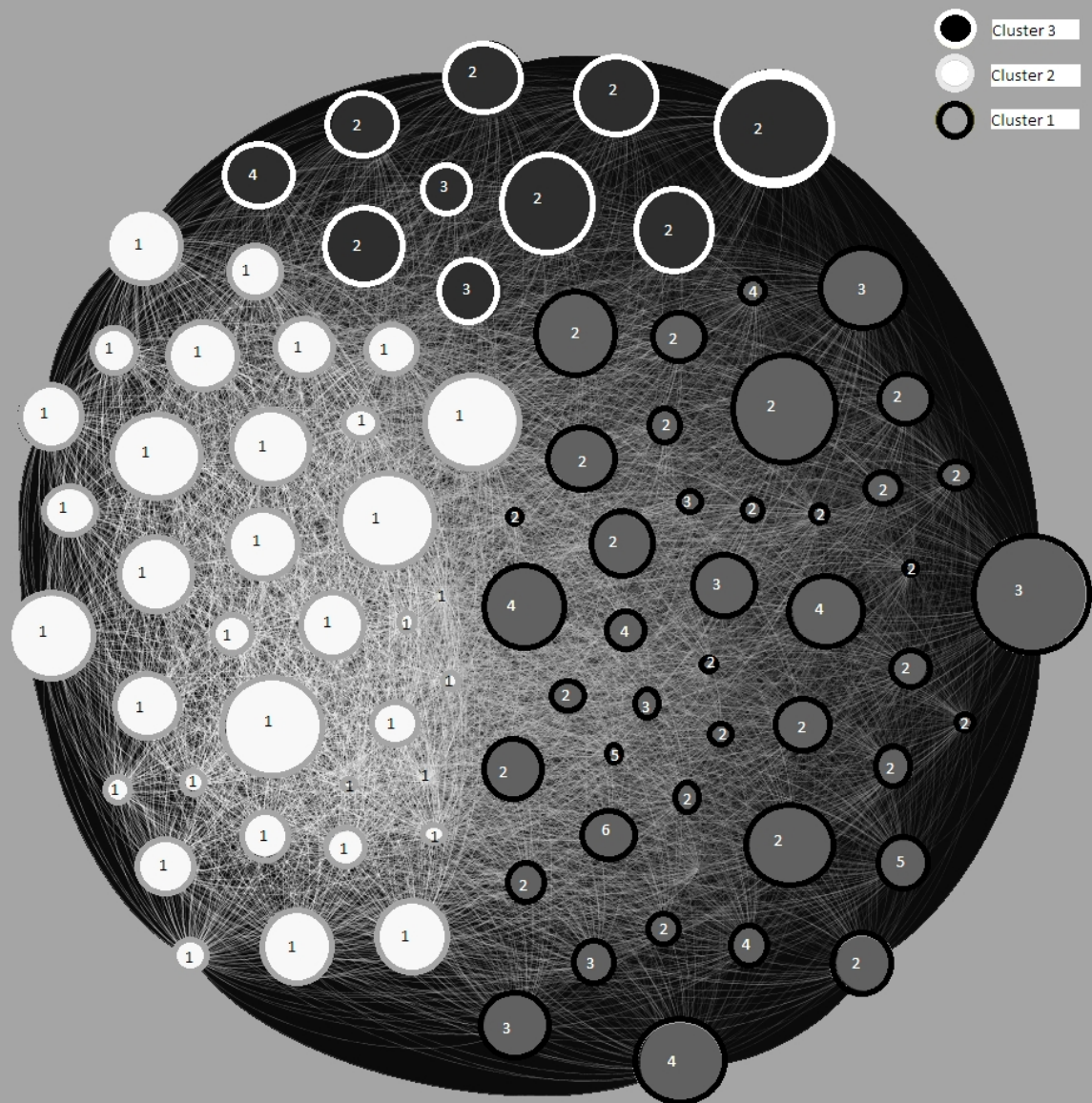
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Final Clusters

