

Alma Mater Studiorum Università di Bologna Archivio istituzionale della ricerca

Light-Controlled Regioselective Synthesis of Fullerene Bis-Adducts

This is the submitted version (pre peer-review, preprint) of the following publication:

Published Version:	
Availability: This version is available at: https://hdl.handle.net/11585/769727 since: 2021-08-31	
Published:	
DOI: http://doi.org/10.1002/anie.202009235	
Terms of use:	
Some rights reserved. The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. For all terms of use and more information see the publisher's website.	
	-

This item was downloaded from IRIS Università di Bologna (https://cris.unibo.it/). When citing, please refer to the published version.

(Article begins on next page)

Light-Controlled Regioselective Synthesis of Fullerene Bis-Adducts

Luka Đorđević, ^{a,b,c}* Lorenzo Casimiro, ^{d,e,f} Nicola Demitri, ^g Massimo Baroncini, ^{d,h} Serena Silvi, ^{d,e} Francesca Arcudi, ^{a,b} Alberto Credi, ^{d,i,*} and Maurizio Prato ^{a,j}*

Dedication ((optional))

- [a] Department of Chemical and Pharmaceutical Sciences & INSTM, UdR Trieste, University of Trieste, Via Licio Giorgieri 1, 34127 Trieste, Italy. E-mails: dordevic.luka@gmail.com; prato@units.it
- [b] Present address: Department of Chemistry, Northwestern University, 2145 Sheridan Road, Evanston, Illinois 60208, United States.
- [c] Present address: Simpson Querrey Institute, Northwestern University, 303 E. Superior, Suite 11-131, Chicago, Illinois 60611, United States.
- [d] CLAN Center for Light Activated Nanostructures, Università di Bologna and Consiglio Nazionale delle Ricerche, via Gobetti 101, 40129 Bologna, Italy. E-mail: alberto.credi@unibo.it
- [e] Dipartimento di Chimica "G. Ciamician", Università di Bologna, via Selmi 2, 40127 Bologna, Italy
- [f] Present address: Supramolecular and Macromolecular Photochemistry and Photophysics, ENS Paris-Saclay, CNRS, Université Paris-Saclay, 61 Avenue du Président Wilson, 94235 Cachan, France.
- [g] Elettra Sincrotrone Trieste, S.S. 14 Km 163.5 in Area Science Park, 34149 Basovizza, Italy
- [h] Dipartimento di Scienze e Tecnologie Agro-alimentari, Università di Bologna, viale Fanin 44, 40127 Bologna, Italy.
- [i] Dipartimento di Chimica Industriale "Toso Montanari", Università di Bologna, viale del Risorgimento 4, 40136 Bologna, Italy
- [j] Center for Cooperative Research in Biomaterials (CIC biomaGUNE), Basque Research and Technology Alliance (BRTA), Paseo de Miramón 182, 20014 Donostia San Sebastián, Spain and

Basque Foundation for Science, Ikerbasque, Bilbao 48013, Spain

Supporting information for this article is given via a link at the end of the document.

Abstract: Multi-functionalization and isomer-purity of fullerenes are crucial tasks for the development of fullerene chemistry in various fields. In both current main approaches - tether-directed covalent functionalization and supramolecular masks - the control of regioselectivity requires multi-step synthetic procedures to prepare the desired tether or mask. Herein, we describe light-responsive tethers, containing an azobenzene photoswitch and two malonate groups, in the double cyclopropanation of [60]fullerene. The formation of the bis-adducts and their spectroscopic and photochemical properties, as well as the effect of azobenzene photoswitching on the regiochemistry of the bis-addition, have been studied. The behavior of the tethers depends on the geometry of the connection between the photoactive core and the malonate moieties. One tether exhibits strikingly different adduct distribution for the E and Z isomers, indicating that the covalent bis-functionalization of C_{60} can be controlled by light.

Introduction

Fullerenes and their derivatives, ever since their discovery, [1] have gained interest in a variety of fields ranging from (bio)medical chemistry [2] to material science, [3] with notable advances in thin-film solar cells, such as organic photovoltaic (OPVs) and perovskite solar cells (PSCs). [4] These compounds exhibit a robust electron-deficient character and great semiconducting properties, which have prompted their widespread use as electron acceptors in bulk heterojunction OPVs. Furthermore, fullerenes have emerged as candidates for use in PSC, due to their electron-transport properties and well-matched energy levels with perovskites. Since 2013, when C60 and its derivatives were introduced in PSC, [5] the power conversion efficiencies (PCE) of devices have greatly improved

and currently exceed 20%, ^[6] helping with charge traps passivation at the surface and grain boundaries of the thin films. Among fullerene derivatives, the bis-functionalized ones have been the best performing ones in OPVs and PSCs. For example, the pure α -bis-phenyl- C_{62} -butyric acid methyl ester isomer (or α -bis-PC $_{60}$ BM) has shown better performance and stability when compared to the more popular mono-functionalized PC $_{60}$ BM. $^{[7]}$ Isomerically pure fullerene bis-adducts have also beneficial effects on the performance of OPV devices, when compared to mixtures of isomers, due to their molecular packing. $^{[8]}$ Therefore, devising synthetic approaches towards isomer-pure multifunctionalized fullerenes is desirable for boosting their application, including (but not limited to) the thin-film solar cells area.

Given the spherical nature of [60]fullerene, with its 30 [6,6] double bonds, a precise control on the functionalization reactions that lead to multiple adducts is highly challenging. Specifically, the double addition of symmetric and identical moieties to [60]fullerene can afford 8 regioisomers, which can be described based on the position of the second addend in reference to the first one (Figure 1a): the former can lie in the same hemisphere (cis), at the equator (e), or in the opposite hemisphere (trans). [9] To control the synthesis of the bis-adducts and avoid tedious and time-consuming chromatographic separations, which are necessary for sequential stoichiometric additions such as Bingel-Hirsch cyclopropanation reactions (Figure 1b), [9] several covalent and supramolecular approaches have been developed (Figure 1c-f).

The first rational approach towards regioselective synthesis was based on tether-directed remote functionalization (Figure 1c). $^{[10]}$ C₆₀ was first functionalized with a carboxylic acid anchor group through the Bingel reaction, followed by condensation with a tether carrying a reactive group – a 1,3-butadiene moiety capable of a Diels-Alder addition – leading to the *equatorial* bisadduct in 23% yield. This approach was then extended to the

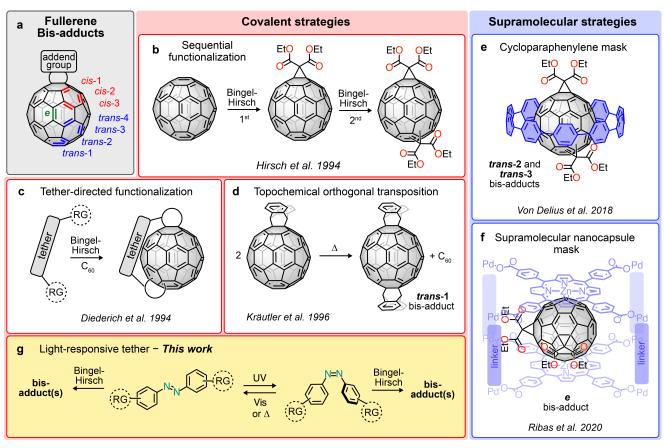


Figure 1. Current synthetic strategies towards regionselective bis-functionalization of fullerene C_{60} (a) can be divided in two categories: covalent (b-d) and supramolecular (e-f). The approach described in this work (g) belongs to the first category and takes advantage of tether-like compounds. RG = reactive group.

tether-directed double addition by exploiting tethered bismalonates which, after reaction with C_{60} , afforded bis-adducts in a shorter synthetic route. Since then, numerous tether-controlled methods for preparing bis-adducts by double Bingel, 1,3-dipolar or diazo cycloadditions have been described. Also notable is the topochemically controlled Diels-Alder synthesis of trans-1 bis-adducts (Figure 1d), which enabled further functionalization of the available axial positions.

Another elegant approach towards the regiochemical control of multiple addition makes use of supramolecular masks, which could be removed after the reaction (Figure 1ef). This approach consists in confining, before the cycloaddition reactions, C₆₀ in supramolecular receptors, such as coordination cages, [14] metalorganic frameworks^[15], covalent organic cages, ^[16] rotaxanes^[17] or cycloparaphenylene (CPP) hosts.[18] In particular, an inclusion complex of diethyl ester C₆₁(CO₂Et)₂ and [10]CPP, when subjected to a Bingel cyclopropanation, yielded trans-3 and trans-2 C₆₂(CO₂Et)₄ in 24% and 20% yields, respectively (Figure 1e). Recently, a metallosupramolecular cuboid box-shaped host was used to complex C60, leaving four openings that direct the cyclopropanation reactions in the equatorial position in 97% yield (Figure 1f).[14c] Notably, with this host it was also possible to perform stepwise reactions, affording equatorial hetero-bisadducts. However, all the regioselective methods reported to date, despite their elegance, lack flexibility and require significant efforts, because a specific tether or supramolecular mask has to be designed and prepared to target one (or more) regioisomers. An appealing strategy towards more versatile routes to regioselective fullerene bis-functionalization is to combine tetherdirected double addition methods with molecular switching by an external trigger. Molecular switches – species that can be reversibly interconverted between different forms in response to chemical or physical stimuli – offer a wealth of possibilities in terms of structure, properties, and nature of the control input. Light is a very convenient tool to operate molecular switches, particularly in multi-component systems, because it is a non-invasive signal that can be carefully modulated in time, space and energy. [19-20]

Here we investigate the use of photoswitchable tethers for the regioselective bis-addition to C₆₀ fullerene (Figure 1g). As the light-responsive moiety we chose azobenzene because of its facile functionalization and well known photoisomerization process. [21] Generally, the E isomer is thermodynamically stable and it is converted to the Z isomer by UV light irradiation; the E form can be regenerated thermally or by exposure to visible light. As the E-Z transformation is accompanied by a large geometrical rearrangement and a change in the length of the azobenzene moiety, we envisaged that if the latter is endowed with two terminal units capable of attacking the C₆₀ double bonds, then the E and Z isomers could undergo the bis-addition with different regiochemical outcomes. On the other hand, the photochemical properties of the azobenzene unit could be modified upon covalent attachment to the fullerene. To investigate these points, we prepared two molecular tethers consisting of an azobenzene core and two malonate reactive end groups. The two tethers, which differ for the substitution position of the malonate groups on the phenyl rings of the azobenzene, were employed in both the E and Z configurations for the double cyclopropanation reaction on the C₆₀ sphere. We also investigated the structural, spectroscopic and photochemical properties of the tethers and their fullerene bis-adducts.

Results and Discussion

Design, synthesis and spectroscopic characterization of the azobenzene tethers

To gain insight on the role of azobenzene configuration, and thus the effect of photoisomerization, on the formation of the C60 bis-adducts, we designed two different tethers in which the malonate units are introduced either in meta or in para positions on azobenzene aromatic (compounds 4 and 6, respectively, in Scheme 1). Molecular modelling confirms that such substitution patterns lead to different isomer dependence of the distance between the terminal malonates (Supporting Information, Section 6). Moreover, in the less symmetric meta derivative the end-to-end distance can also be

affected by the rotation of phenyl rings around the C–N bond. [22] The synthetic route (Scheme 1) involves the reductive coupling of *m*-nitrobenzyl alcohol, using glucose/NaOH^[23] as reducing agent, to give azobenzene **3**. The azobenzene diol was subsequently converted, with ethyl malonyl chloride in presence of 4-dimethylaminopyridine, [24] into bis-malonate **4**, containing *meta*-substituted azobenzene. Bis-malonate **6**, with substituents in the *para*-position of azobenzene, whose structure was confirmed also by X-ray crystallography (Supporting Information, Section 5), could be obtained using the same sequence and reaction conditions starting from *p*-nitrobenzyl alcohol. The spectroscopic and (photo)isomerization properties of these two azobenzene derivatives were then investigated in toluene at room temperature (Table S1).

Compound E-4 shows the typical absorption spectrum of azobenzene derivatives: [21a-f] a weak $n-\pi^*$ band centered at 450 nm, with a molar absorption coefficient (ε) of 500 M⁻¹ cm⁻¹, and a more intense π - π * band in the UV region, with maximum at 322 nm and an ε of 22000 M⁻¹ cm⁻¹ (Figure S15). Irradiation of E-4 at 313 nm or 365 nm produces a strong decrease in the UV absorption and an increase of the $n-\pi^*$ band (see Figure 2a), which can be ascribed to an $E \rightarrow Z$ isomerization, until a photostationary state (PSS) is achieved. The composition of the PSS was determined from the corresponding absorption spectra by applying the Fischer method^[25] and resulted to be [Z]/[E] = 92:8at 365 nm and 83:17 at 313 nm. With the same method, the absorption spectrum of the Z isomer was also calculated. The quantum yield for the $E \rightarrow Z$ isomerization, measured upon irradiation at 365 nm, is 0.18. Upon irradiation in the visible region of a solution previously exhaustively irradiated at 365 nm to reach the PSS, back $Z \rightarrow E$ photoisomerization occurs, until a PSS of 85:15 [E]/[Z] is afforded. The quantum yield related to this process. measured upon irradiation at 436 nm, resulted to be 0.64. The

Scheme 1. Synthesis of C_{60} bis-adducts by azobenzene tether-directed remote functionalization. Reagents and conditions: a) D-glucose, NaOH, EtOH/H₂O, 50 °C; b) EtOOCCH₂COCl, DMAP, an. THF, 0 °C \rightarrow r.t., 16 h; c) C_{60} , I₂, DBU, toluene, 0 °C, 2 h, dark. DMAP = 4-(dimethylamino)pyridine, THF = tetrahydrofuran, DBU = 1,8-diazabicyclo[5.4.0]undec-7-ene.

thermal $Z \rightarrow E$ isomerization was studied by monitoring over several days the absorption changes of a UV-irradiated solution kept in the dark at room temperature. The data could be satisfactorily fitted according to a first order kinetic law, giving a rate constant of 1.4×10^{-6} s⁻¹ (Figure S16).

Compound *E*-**6** shows an absorption spectrum similar to compound *E*-**4** (Figure S17), but with an overall higher absorption coefficient. The n- π * band is centered at 450 nm, with an ϵ of 660 M⁻¹ cm⁻¹, and the π - π * band is slightly redshifted with respect to **4**, peaking at 328 nm and with an ϵ = 27000 M⁻¹ cm⁻¹.

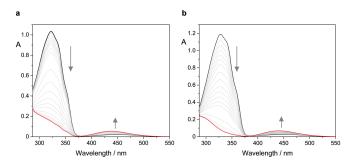


Figure 2. Absorption changes of azobenzene tethers E-4 (a, 47 $\mu M)$ and E-6 (b, 44 $\mu M)$ upon irradiation at 365 nm in toluene at room temperature.

Irradiation of E- $\mathbf{6}$ in the UV induces the $E \rightarrow Z$ isomerization (Figure 2b) until photostationary states, richer in Z isomer with respect to $\mathbf{4}$, are achieved. The PSS composition and the spectrum of the Z form were determined as in the previous case. The percentage of Z at the PSS resulted to be 97% at 365 nm and 68% at 313 nm. The quantum yield for the $E \rightarrow Z$ isomerization, measured at 365 nm, is 0.14. As observed for $\mathbf{4}$, irradiation in the visible region (436 nm) converts preferentially the Z form into the E one, however with a quantum yield (0.51) lower than that of

compound **4**. The thermal back isomerization, in this case, possesses a rate constant of 2.7×10^{-6} s⁻¹ (Figure S18).

In summary, the spectroscopic and photochemical behavior of the two tethers is in line with that of azobenzene derivatives, and indicate that the presence of the malonate substituents does not hamper photoswitching. The thermal $Z \rightarrow E$ isomerization process is also present, but it should be emphasized that under the conditions employed to form the bis-adducts (0 °C, 2 h reaction time; see Scheme 1) such reaction can be safely neglected for both 4 and 6 (Figures S19-S20).

Synthesis and spectroscopic characterization of the C_{60} bisadducts

The reaction of bis-malonate meta-substituted azobenzene E-4 with C60 by a modified Bingel-Hirsch reaction [26] yielded the equatorial bis-adduct 1 in 32% isolated yield (Scheme 1, top). The ¹H- and ¹³C-NMR spectra showed molecular C₁-symmetry (Supporting Information, Figure S5-S6). We were able to grow single crystals of C60-azobenzene derivative e-1, from hexane vapor diffusion into a chloroform solution, suitable for X-ray crystallography (monoclinic, space group P 21/c). The crystal structure confirmed the C₁ symmetry of the molecule and the Econfiguration of the azobenzene tether (Figure 3). The transesterification reaction[27] of e-1 yielded tetraethyl ester e-C₆₂(CO₂Et)₄ (7) with C_s symmetry. Furthermore, adduct 1 showed an absorption spectrum (Figure S21) coherent with a bisfunctionalized C₆₀ in equatorial position and superimposable with the mathematic sum of the absorption spectra of the parent E-4 and the reference tetraethyl ester e-C₆₂(CO₂Et)₄ (7), confirming that the reaction occurred between the E isomer and the C₆₀.

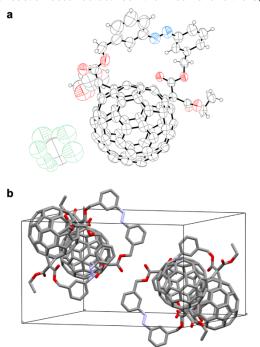


Figure 3. (a) Crystal structure (atomic displacement parameters are drawn at the 50% probability level) and (b) crystal packing of the *equatorial* bis-adduct 1 (molecules of CHCl₃ are omitted for clarity).

For the synthesis of C_{60} bis-adduct using the Z-4 isomer, the $E{\rightarrow}Z$ photoisomerization of 4 had to be performed on a larger scale and higher concentration (Supporting Information, Figure

S32) compared to the spectroscopic studies reported in the previous section. Additionally, it was necessary to perform flash chromatography to isolate the pure Z isomer (with 55% isolated yield). The bis-malonate Z-4 was then allowed to react with C_{60} under modified Bingel-Hirsch conditions and, surprisingly, yielded once again the *equatorial*-1 bis-adduct with the azobenzene in the E configuration (Scheme 1, top). While the reaction was running, monitoring with thin-layer chromatography (TLC) allowed us to detect only *equatorial*-1 bis-adduct, which was confirmed, after purification, by 1 H- and 13 C-NMR spectra and UV-Vis spectroscopy.

Indeed, irradiation of bis-adduct e-1 at 365 nm (Figure S22) produced no appreciable variations, even for prolonged exposure times. Thus, the Z stereoisomer of e-1 cannot be accumulated by photoirradiation. This observation could be due either to the suppressed photoreactivity of the azo unit (*i.e.*, very low quantum yield) or to a very fast $Z\rightarrow E$ back reaction. It can be hypothesized that the covalent connection of the azobenzene to the fullerene via the malonate tethers may constrain the former in the E configuration. [28]

Interestingly, the *para*-substituted azobenzene tether **6** exhibited a completely different behavior (Scheme 1, bottom). The modified Bingel-Hirsch reaction of *E*-**6** with C₆₀ afforded a mixture that proved difficult to purify and characterize. However, careful separation of the regioisomers by preparative TLC and their subsequent characterization by UV-Vis spectroscopy, given their characteristic features in the 400-800 nm region used as a fingerprint, [²⁴] allowed us to identify three regioisomers (Figure S34). The main product is the *trans*-4 bis-adduct (91% relative yield), together with two minor products consisting of the *trans*-2 and *trans*-3 regioisomers (7% and 2% relative yields, respectively). Although *trans*-4-**2** shows broad ¹H NMR signals (Figure S36), a comparison with the spectra of *E*- and *Z*-**6** (Figure S37) suggests that in the bis-adduct the azobenzene is in the *E* configuration.

We then proceeded with the photoisomerization of *E-6* to *Z-6*, following the same procedure employed for the meta-substituted azobenzene. The bis-malonate Z-6, obtained with isolated 75% yield, was allowed to react with C₆₀ under modified Bingel-Hirsch conditions. [26] The equatorial derivative 2 was obtained as the main product in 30% isolated yield. The relative positions of the two cyclopropane rings on the C₆₀ were discerned by ¹H-NMR, ¹³C-NMR, UV-Vis spectroscopy and X-ray crystallography. The ¹H and ¹³C-NMR spectra confirmed the C₁ symmetry of compound e-2, especially evident from the 56 signals from the sp² fullerene C atoms (Supporting Information, Figure S28). We were also able to grow single crystals of C60-azobenzene derivative e-2, from hexane vapor diffusion into a chloroform solution, suitable for Xray crystallography (triclinic, space group P -1). The crystal structure confirmed the Z configuration of the azobenzene unit and the C₁ symmetry of the molecule (Figure 4ab). Further confirmations were obtained by UV-Vis spectroscopy, which showed characteristic features of equatorial C₆₀ bis-adducts in the 400-700 nm region (Figure 4c). [24] Finally, transesterification reaction (K₂CO₃, anhydrous THF/EtOH 1:1 v/v, r.t.) of compound e-2 yielded the known tetraethyl ester e-C₆₂(CO₂Et)₄ (7) with C_s symmetry.[9,27]

Irradiation of compound e-2 in the visible region at 436 nm produced little changes in the absorption spectrum, which could be ascribed to a $Z \rightarrow E$ isomerization, with an estimated quantum yield of 0.11. In another experiment, we irradiated the sample at

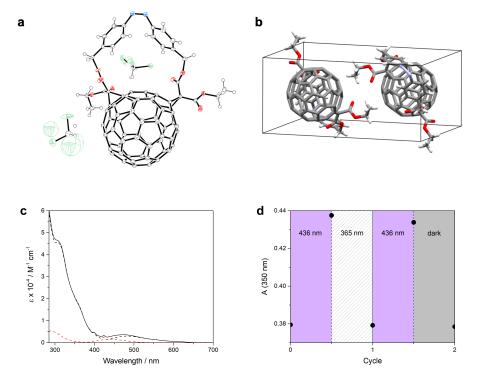


Figure 4. (a) Crystal structure (atomic displacement parameters are drawn at the 50% probability level) and (b) crystal packing of *equatorial* bis-adduct **2** (molecules of CHCl₃ are omitted for clarity). (c) Absorption spectrum of **2** (black full line) in toluene, together with the spectra of **Z-6** (red dashed line) and **7** (black dashed line). (d) Absorbance changes upon successive irradiations at 436 nm (purple areas) and 365 nm (striped area), and final rest in the dark (grey area).

436 nm for a few minutes and then at 365 nm to trigger the $E \rightarrow Z$ transformation (Figure 4d). The initial spectrum was regenerated, confirming that the photoreaction is the $Z \leftrightarrows E$ isomerization. After a successive irradiation at 436 nm, the solution was kept in the dark and its absorbance monitored over time. The photoproduct exhibits a relatively fast thermal back isomerization in the dark to the initial Z-form; the transformation is complete within one hour at room temperature (rate constant $1.0 \times 10^{-3} \, \text{s}^{-1}$, Figure S24). All these data suggest that the thermodynamically stable form of the azo moiety in the bis-adduct e-2 is Z. Irradiation at 436 nm can produce the E isomer, although with a much lower quantum yield than that observed for the same reaction in the free tether 6 (Table S1). Interestingly, the metastable form of e-2 reverts back to the stable configuration with a rate constant three orders of magnitude faster than that of the analogous process in tether 6.

Structural features of the tethers and regioselectivity of the bis-adducts

Aimed at rationalizing the observed results, we compared the outcomes of the four bis-addition cyclopropanation reactions, and attempted to correlate them with the structure of the tethers. A conformational/configurational analysis performed by DFT calculations enabled us to determine the length of the switchable unit of tethers 4 and 6 in their E and Z forms, taken as the distance between the methylene carbon atoms connecting the azobenzene core with the malonate moieties ($d_{\rm core}$; Supporting Information, Section 6). Although the conformational freedom of each malonate group can also play a role in the bis-addition, it can be reasoned that the separation between the malonates will be largely determined by the more rigid and switchable azobenzene core.

In brief, the calculations show that for both tethers the E configuration is more stable than the Z (Tables S3-S4), albeit in 4 the energy difference (3.5 kcal/mol) is much smaller than that of 6 (9.8 kcal/mol) and of plain azobenzene (ca. 12 kcal/mol)^[21a]. In both cases the $E \rightarrow Z$ isomerization causes a significant decrease of d_{core} (35-40%); however, in the case of 4 the "off-axis" metasubstitution determines, in configuration, the presence of syn and anti conformations of the malonates with respect to the azobenzene main axis, in which d_{core} can change by ±10% (Table S3 and Figure S39). On the contrary, d_{core} in the para-derivative 6 depends exclusively on the azobenzene configuration (Table S4 and Figure S40).

In the case of the *meta*-substituted tether (4), we did not observe substantial differences, in terms of regioisomer distribution and product yields, between the E and Z forms. The $d_{\rm core}$ parameter computed for the stable conformer of E-4 (9.16 Å) is very similar to the analogous distance measured from the X-ray structure of e-1 (8.87 Å, Figure 3a). This observation explains the ability of 4 in its E form to doubly functionalize C_{60} with an

equatorial pattern. On the other hand, the stable conformer of Z-4 has a much shorter extension ($d_{core} = 5.45 \text{ Å}$), most likely incompatible with an equatorial bis-addition. This finding, together with the fact that the reaction between Z-4 and C60 forms a bisadduct with the azobenzene unit in the E configuration, indicates that the bis-addition can only occur with the tether in its E form. Furthermore, our data show that the thermal isomerization of free Z-4 is too slow to account for the formation of a significant amount of E-4 on the cyclopropanation time scale. Hence, we propose that a mono-adduct between Z-4 and C₆₀ is formed first, in which the thermal $Z \rightarrow E$ isomerization is strongly accelerated, possibly because of electronic interactions between the fullerene sphere and the dangling azobenzene and/or malonate units. As the rate of the first addition is likely unaffected by the isomeric form of the azobenzene unit, e-1 is obtained in similar yields irrespective of the initial configuration of the tether. Finally, the impossibility to accumulate the Z form of e-1 by light irradiation is consistent with such a scenario.

The results are markedly different – and more interesting – in the case of the *para*-substituted tether **6**, with the *E*- or *Z*-**6** bismalonate tethers exhibiting different regioselectivities (Figure 5, Figures S34-S37). Subjecting bis-malonate *E*-**6** to the modified Bingel-Hirsch reaction gave bis-adducts *trans*-4, *trans*-3 and *trans*-2 in 91%, 2% and 7% relative yields, respectively. Conversely, the *Z*-isomer of **6** afforded bis-adducts *e*, *cis*-3, *trans*-4, *trans*-3 and *trans*-2 in 81%, 10%, 6%, 1% and 2% relative yields, [^{29]} respectively. DFT Calculations show that in *E*-**6** d_{core} can be as large as 12.5 Å, whereas it is 7.96 Å in *Z*-**6**. The experimental distance found in the crystal structure of *e*-**2** (9.02 Å, Figure 4a) correlates reasonably well with the latter value, whereas it is considerably shorter than the former one. This

comparison provides a rationale for both the propensity of Z-6 to equatorial bis-addition and the stabilization of the Z-azobenzene unit with respect to the E-form in the adduct e-2. On the other hand, the larger d_{core} in E-6 could favor trans bis-addition patterns with respect to the equatorial one, thus qualitatively explaining the observed regioselectivity and the E configuration of the azobenzene unit in trans-4-2.

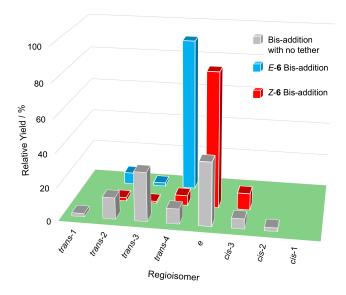


Figure 5. Relative reaction yields of the regioisomeric Bingel-Hirsch bis-adducts of *E*-6 (blue bars) and *Z*-6 (red bars) azobenzene tethers, in comparison with published data^[9] in the absence of tethers (grey bars).

Conclusion

Two photoswitchable azobenzene derivatives, each bearing two malonate reactive groups in meta- or para- positions to the azo moiety, were used as tethers for the preparation of [60]fullerene bis-adducts. Molecular modelling shows that, despite the structural similarity, the two compounds exhibit different behavior with regard to photoinduced shape modifications. In the case of the meta-substituted azobenzene tether, the bis-addition regioselectivity does not change when using either the E or the E isomers. The main product, in both cases, is the E configuration and loses its photoswitching capacity because of the steric strain caused by the covalent linkage to C₆₀.

The *para*-substituted tether gives more interesting results, as the regioselectivity of the double cyclopropanation reaction is markedly different for the *E* and *Z* isomers. The *E*-tether, characterized by a longer length of the azobenzene core, forms preferentially *trans*-bis-adducts, whereas for the *Z*-tether the equatorial bis-addition prevails. Furthermore, in the latter adduct the azobenzene unit exists in the *Z* form, which becomes the thermodynamically stable one as shown by single crystal X-ray diffraction and spectroscopic and photochemical experiments.

These findings are significant because they show that the light-responsive properties of a molecular moiety can be modulated by covalent attachment to the C_{60} sphere, in a way that depends on the bis-functionalization pattern, thus creating new opportunities in the field of photochromism.

Moreover, this work provides the basis for alternative routes to fullerene functionalization, in which light can be employed as a tool to direct the reaction outcome by taking advantage of molecular photoswitching. Such a general approach, characterized by simplicity and versatility, could lead to the controlled production of fullerene isomers of interest as active materials in organic and perovskite solar cells. It is tempting to think that this strategy, based on using light to control the regiochemistry of a non-photochemical reaction, could be applied to other fullerenes and multi-adducts, as well as other substrates and (photo)switches.

Acknowledgements

This work was supported by the University of Trieste and the Maria de Maeztu Units of Excellence Program from the Spanish State Research Agency (Grant No. MDM-2017-0720). Additional financial support from the Ministero dell'Istruzione, Università e Ricerca (PRIN grants 2017PBXPN4, 20173L7W8K and 201732PY3X, and FARE grant R16S9XXKX3), the University of Bologna, and the National Research Council of Italy is gratefully acknowledged. We thank Prof. Tatiana Da Ros and Dr. Giulio Ragazzon (University of Trieste), and Profs. Ettore Fois and Gloria Tabacchi (University of Insubria) for their help with the HPLC and DFT calculations, respectively.

Keywords: molecular switch • photoswitch • azobenzene • fullerene • C₆₀ • Bingel-Hirsch cyclopropanation • regioselectivity

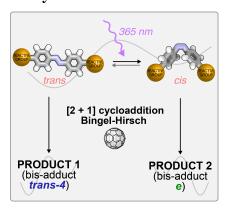
- [1] a) H. W. Kroto, J. R. Heath, S. C. O'Brien, R. F. Curl, R. E. Smalley, Nature 1985, 318, 162-163; b) N. Martín, Chem 2019, 5, 733-738.
- a) S. Bosi, T. Da Ros, G. Spalluto, M. Prato, Eur. J. Med. Chem. 2003, 38, 913-923; b) I. Nierengarten, J. F. Nierengarten, Chem. Asian J 2014, 9, 1436-1444; c) E. Castro, A. Hernandez Garcia, G. Zavala, L. Echegoyen, J. Mater. Chem. B 2017, 5, 6523-6535; d) J. Ramos-Soriano, J. J. Reina, B. M. Illescas, N. de la Cruz, L. Rodriguez-Perez, F. Lasala, J. Rojo, R. Delgado, N. Martin, J. Am. Chem. Soc. 2019, 141, 15403-15412.
- a) A. Montellano López, A. Mateo-Alonso, M. Prato, J. Mater. Chem.
 2011, 21, 1305-1318; b) L. Đorđević, T. Marangoni, F. De Leo, I. Papagiannouli, P. Aloukos, S. Couris, E. Pavoni, F. Monti, N. Armaroli, M. Prato, D. Bonifazi, Phys. Chem. Chem. Phys. 2016, 18, 11858-11868.
- [4] a) W. Yan, S. M. Seifermann, P. Pierrat, S. Bräse, Org. Biomol. Chem. 2015, 13, 25-54; b) T. Umeyama, H. Imahori, Dalton Trans. 2017, 46, 15615-15627; c) T. Gatti, E. Menna, M. Meneghetti, M. Maggini, A. Petrozza, F. Lamberti, Nano Energy 2017, 41, 84-100; d) A. Zieleniewska, F. Lodermeyer, A. Roth, D. M. Guldi, Chem. Soc. Rev. 2018, 47, 702-714; e) E. Castro, J. Murillo, O. Fernandez-Delgado, L. Echegoyen, J. Mat. Chem. C 2018, 6, 2635-2651; f) S. Collavini, J. L. Delgado, Sustain. Energy Fuels 2018, 2, 2480-2493; g) M. Izquierdo, B. Platzer, A. J. Stasyuk, O. A. Stasyuk, A. A. Voityuk, S. Cuesta, M. Sola, D. M. Guldi, N. Martín, Angew. Chem. Int. Ed. 2019, 58, 6932-6937.
- [5] J. Y. Jeng, Y. F. Chiang, M. H. Lee, S. R. Peng, T. F. Guo, P. Chen, T. C. Wen, Adv. Mater. 2013, 25, 3727-3732.
- 6] a) D. Luo, W. Yang, Z. Wang, A. Sadhanala, Q. Hu, R. Su, R. Shivanna, G. F. Trindade, J. F. Watts, Z. Xu, T. Liu, K. Chen, F. Ye, P. Wu, L. Zhao, J. Wu, Y. Tu, Y. Zhang, X. Yang, W. Zhang, R. H. Friend, Q. Gong, H. J. Snaith, R. Zhu, Science 2018, 360, 1442-1446; b) M. Hadadian, J.-H. Smått, J.-P. Correa-Baena, Energy Environ. Sci. 2020, 13, 1377-1407; c) X. Zheng, Y. Hou, C. Bao, J. Yin, F. Yuan, Z. Huang, K. Song, J. Liu, J. Troughton, N. Gasparini, C. Zhou, Y. Lin, D.-J. Xue, B. Chen, A. K. Johnston, N. Wei, M. N. Hedhili, M. Wei, A. Y. Alsalloum, P. Maity, B. Turedi, C. Yang, D. Baran, T. D. Anthopoulos, Y. Han, Z.-H. Lu, O. F. Mohammed, F. Gao, E. H. Sargent, O. M. Bakr, Nat. Energy 2020, 5, 131-140

- [7] F. Zhang, W. Shi, J. Luo, N. Pellet, C. Yi, X. Li, X. Zhao, T. J. S. Dennis, X. Li, S. Wang, Y. Xiao, S. M. Zakeeruddin, D. Bi, M. Grätzel, Adv. Mater. 2017, 29, 1606806.
- [8] T. Umeyama, H. Imahori, Acc. Chem. Res. 2019, 52, 2046-2055.
- [9] A. Hirsch, I. Lamparth, H. R. Karfunkel, *Angew. Chem. Int. Ed.* 1994, 33, 437-438.
- [10] L. Isaacs, R. F. Haldimann, F. Diederich, Angew. Chem. Int. Ed. 1994, 33, 2339-2342.
- [11] J.-F. Nierengarten, V. Gramlich, F. Cardullo, F. Diederich, *Angew. Chem. Int. Ed.* 1996, 35, 2101-2103.
- [12] a) J. F. Nierengarten, T. Habicher, R. Kessinger, F. Cardullo, F. Diederich, V. Grämlich, J. P. Gisselbrecht, C. Boudon, M. Gross, Helv. Chim. Acta 1997, 80, 2238-2276; b) F. Diederich, R. Kessinger, Acc. Chem. Res. 1999, 32, 537-545; c) C. Thilgen, F. Diederich, Cr. Chim. 2006, 9, 868-880; d) V. Garg, G. Kodis, M. Chachisvilis, M. Hambourger, A. L. Moore, T. A. Moore, D. Gust, J. Am. Chem. Soc. 2011, 133, 2944-2954; e) G. Bottari, O. Trukhina, A. Kahnt, M. Frunzi, Y. Murata, A. Rodríguez-Fortea, J. M. Poblet, D. M. Guldi, T. Torres, Angew. Chem. Int. Ed. 2016, 55, 11020-11025; f) M. R. Cerón, L. Echegoyen, J. Phys. Org. Chem. 2016, 29, 613-619.
- [13] a) B. Kräutler, T. Müller, J. Maynollo, K. Gruber, C. Kratky, P. Ochsenbein, D. Schwarzenbach, H.-B. Bürgi, Angew. Chem. Int. Ed. 1996, 35, 1204-1206; b) R. Schwenninger, T. Müller, B. Kräutler, J. Am. Chem. Soc. 1997, 119, 9317-9318.
- [14] a) W. Brenner, T. K. Ronson, J. R. Nitschke, J. Am. Chem. Soc. 2017, 139, 75-78; b) B. Chen, J. J. Holstein, S. Horiuchi, W. G. Hiller, G. H. Clever, J. Am. Chem. Soc. 2019, 141, 8907-8913; c) C. Fuertes-Espinosa, C. García-Simón, M. Pujals, M. Garcia-Borràs, L. Gómez, T. Parella, J. Juanhuix, I. Imaz, D. Maspoch, M. Costas, X. Ribas, Chem 2020, 6, 169-186.
- [15] N. Huang, K. Wang, H. Drake, P. Cai, J. Pang, J. Li, S. Che, L. Huang, Q. Wang, H. C. Zhou, J. Am. Chem. Soc. 2018, 140, 6383-6390.
- [16] F. Beuerle, A.-M. Krause, S. Fimmel, V. Leonhardt, 2020, 10.26434/chemrxiv.12424664.v1.
- [17] M. Carini, T. Da Ros, M. Prato, A. Mateo-Alonso, ChemPhysChem 2016, 17, 1823-1828.
- [18] Y. Xu, R. Kaur, B. Wang, M. B. Minameyer, S. Gsänger, B. Meyer, T. Drewello, D. M. Guldi, M. Von Delius, J. Am. Chem. Soc. 2018, 140, 13413-13420.
- [19] a) Molecular Switches, 2nd ed., Wiley-VCH, Weinheim, 2011; b) J. D. Harris, M. J. Moran, I. Aprahamian, Proc. Natl. Acad. Sci. U. S. A. 2018, 115, 9414-9422.
- [20] a) M. Baroncini, M. Canton, L. Casimiro, S. Corra, J. Groppi, M. La Rosa, S. Silvi, A. Credi, Eur. J. Inorg. Chem. 2018, 2018, 4589-4603; b) J. Boelke, S. Hecht, Adv. Opt. Mater. 2019, 7; c) G. Naren, C. W. Hsu, S. Li, M. Morimoto, S. Tang, J. Hernando, G. Guirado, M. Irie, F. M. Raymo, H. Sunden, J. Andreasson, Nat. Commun. 2019, 10, 3996; d) Z. L. Pianowski, Chem. Eur. J. 2019, 25, 5128-5144; e) D. Villaron, S. J. Wezenberg, Angew. Chem. Int. Ed. 2020, 10.1002/anie.202001031.
- [21] a) E. Merino, M. Ribagorda, Beilstein Journal of Organic Chemistry 2012, 8, 1071-1090; b) H. M. D. Bandara, S. C. Burdette, Chem. Soc. Rev. 2012, 41, 1809-1825; c) M. Baroncini, G. Ragazzon, S. Silvi, M. Venturi, A. Credi, Pure Appl. Chem. 2015, 87, 537-545; d) L. Dong, Y. Feng, L. Wang, W. Feng, Chem. Soc. Rev. 2018, 47, 7339-7368; e) V. Y. Chang, C. Fedele, A. Priimagi, A. Shishido, C. J. Barrett, Adv. Opt. Mater. 2019. 7, 1900091; f) M. Baroncini, J. Groppi, S. Corra, S. Silvi, A. Credi, Adv. Opt. Mater. 2019, 7, 1900392; g) M. Lahikainen, H. Zeng, A. Priimagi, Nat. Commun. 2018, 9, 4148; h) C. Nacci, M. Baroncini, A. Credi, L. Grill, Angew. Chem. Int. Ed. 2018, 57, 15034-15039; i) X. Liu, J. Zhang, M. Fadeev, Z. Li, V. Wulf, H. Tian, I. Willner, Chem. Sci. 2019, 10, 1008-1016; j) A. Galanti, J. Santoro, R. Mannancherry, Q. Duez, V. Diez-Cabanes, M. Valasek, J. De Winter, J. Cornil, P. Gerbaux, M. Mayor, P. Samorì, J. Am. Chem. Soc. 2019, 141, 9273-9283; k) D. Mutruc, A. Goulet-Hanssens, S. Fairman, S. Wahl, A. Zimathies, C. Knie, S. Hecht, Angew. Chem. Int. Ed. 2019, 58, 12862-12867; I) L. Pesce, C. Perego, A. B. Grommet, R. Klajn, G. M. Pavan, J. Am. Chem. Soc. 2020, 142,
- [22] R. L. Klug, R. Burcl, J. Phys. Chem. A 2010, 114, 6401-6407.

- [23] S. Ameerunisha, P. S. Zacharias, J. Chem. Soc. Perkin Trans. 2 1995, 0. 1679-1682.
- [24] F. Djojo, A. Herzog, I. Lamparth, F. Hampel, A. Hirsch, Chem. Eur. J. 1996, 2, 1537-1547.
- [25] E. Fischer, J. Phys. Chem. 1967, 71, 3704-3706.
- [26] S. Sergeyev, M. Schar, P. Seiler, O. Lukoyanova, L. Echegoyen, F. Diederich, Chem. Eur. J. 2005, 11, 2284-2294.
- [27] J.-F. Nierengarten, T. Habicher, R. Kessinger, F. Cardullo, F. Diederich, V. Gramlich, J.-P. Gisselbrecht, C. Boudon, M. Gross, *Helv. Chim. Acta* 1997, 80, 2238-2276.
- [28] It cannot be excluded, however, that the behaviour of this particular compound is determined by electronic interactions between the two molecular units.
- [29] It is probable that trans-2 and trans-3 bis-adducts are observed in the mixture due to the Z→E back isomerization that occurred before the C₆₀ functionalization reaction (during chromatographic separation and rotary evaporation).

RESEARCH ARTICLE

Entry for the Table of Contents



By incorporating azobenzene photochromic units in the tether-directed double functionalization of [60] fullerene, the regiochemistry of the double cyclopropanation reaction could be controlled by using either the E or the Z isomeric forms of the photoswitch. Once covalently linked to the C_{60} sphere, the azobenzene unit changes its light- and thermally-induced isomerization properties. These findings have implications in both the synthesis of fullerene derivatives and organic photochromism.

Institute and/or researcher Twitter usernames: ((optional))

This document is the unedited Author's version of a Work that was submitted to Angewandte Chemie International Edition.

After peer review, the revised version of this article was subsequently accepted for publication in the same journal.

To access the final edited and published work, see: https://onlinelibrary.wiley.com/doi/abs/10.1002/anie.202009235