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# Encapsulation of cationic Iridium(III) tetrazole complexes into silica matrix: synthesis, characterization and optical properties

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30

#### 31 Abstract

32 Herein we report the easy incorporation of brightly phosphorescent cationic Iridium(III) tetrazole complexes into a silica based matrix through an easily scalable colloidal process. To this purpose, two 33 34 cationic Ir(III) emitters bearing 5-aryl tetrazole ligands (R-CN<sub>4</sub>) were selected: the blue  $[F_2IrPTZ-Me]^+$  (C^N =  $F_{2}ppy$ ; N^N = PTZ-Me - 2-(2-methyl-2H-tetrazol-5-yl)pyridine) and the red [IrQTZ-Me]<sup>+</sup> (C^N = ppy; N^N = 35 36 QTZ-Me - 2-(2-methyl-2H-tetrazol-5-yl)quinoline). The cationic complexes were readily adsorbed to 37 negatively charged silica nanoparticles and trapped in the sol-gel matrix. The sol-to-solid phase transfer was performed by using an innovative spray-freeze-drying technique, leading to the formation of 38 phosphorescent solid micro-granules. The structural and optical characterisation of the Ir(III) complexes 39 together with SiO<sub>2</sub> nanoparticles, nanosols (Ir@SiO<sub>2</sub>) and powders (Ir@SiO<sub>2</sub> powders), revealed how the 40 presence of the Ir(III)-based complexes did not alter the morphology of colloidal silica and granulated 41 42 phases. Moreover, the silica matrix did not interfere with the optical properties of the embedded complexes. The distribution of  $[F_2]$  rPTZ-Me]<sup>+</sup> and  $[IrQTZ-Me]^+$  in the spray-freeze-dried powders was 43 qualitatively evaluated by fluorescence microscopy, revealing how the luminescent particles were 44 45 homogeneously dispersed all over the silica matrix. Interestingly, in aqueous solution the release of the complex [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> from the corresponding Ir@SiO<sub>2</sub> powder is almost negligible, therefore suggesting 46 47 the strong interaction occurring between the host-silica matrix and the Ir(III) guest complex.

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- 49

#### 50 Introduction

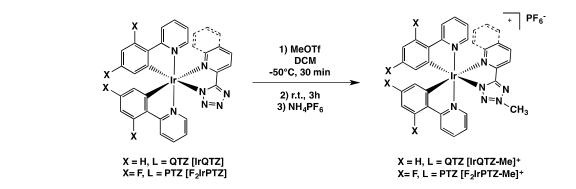
51 The scenario of iridium-transition metal complexes (Ir-TMCs) has been extensively expanded through a multitude of examples [1]. In this context, great efforts have been spent with the family 52 of Ir(III) cyclometalates, a versatile class of compounds with general formula [Ir(C^N)(N^N)]<sup>0/+</sup> 53  $(C^N = phenylpyrdine - ppy - or 2, 4 difluoro-phenylpyrdine - F_2ppy-, N^N = diimine ligand, neutral$ 54 or anionic) [2][3]. Taking advantage from their outstanding photophysical features - including a 55 fine emission-colour tuning by ligand modification, long lived excited states ( $\tau$ ) and high quantum 56 yield (Φ) values - Ir-TMCs can be considered as one of the most promising class of luminescent 57 58 complexes [1]. In recent times, solid-state lightning (Organic Light Emitting Diode - OLEDs, Light 59 Emitting Electrochemical Cells -LEECs [4]), heterogeneous catalysts [5] and luminescent bio-60 sensors [6] based on Ir-TMCs are in rapid development. This enhances the necessity of maintaining 61 unaltered both chemical and photophysical stability of Ir(III) complexes at the experimental conditions that are required for their processing from liquid to solid-state (solvent, pH, 62 temperature, etc.). This is a hard task to accomplish, because the optical properties Ir(III) 63 complexes are detrimentally influenced by the interaction with surrounding environment that can 64 alter the oxidation state of core metal, displace ligands form the complex, and promote the 65 66 electron transfer towards surrounding species with expected quenching of luminescent outcomes. 67 A potential solution to overcome this challenge is represented by the design of a stable anchoring platform capable of leaving unchanged the aforementioned properties [7]. Organic and bio-68 organic doped sol-gel materials have attracted much attention due to their ability to reproduce 69 solution molecular activities within the ceramic environment. The processing versatility of the 70 71 colloidal state permits the synthesis of multifunctional organic-inorganic hybrid structures through a bottom-up approach based on a tailored assembly of organic and inorganic building 72 73 blocks [8]. In this regard, the use of silica nanoparticles (SiO<sub>2</sub>NPs) as host-matrix for luminescent 74 compounds has recently turned into a standard approach in the development of reliable sensors for various applications [9][10]. In addition to ready availability and low cost, SiO<sub>2</sub>NPs also exhibit 75 76 several fascinating properties, such as chemical, optical and thermal inertness together with high 77 colloidal stability. The integration of metal complexes as active phase in SiO<sub>2</sub>NPs host-matrix can accomplished by following different protocols, which include both chemical and physical methods 78 79 [9][11]. In general, the chemical encapsulation of a guest molecule takes place during the formation of the silica solid phase (i.e. core-shell, Stöber method), while the physical approach 80 (colloidal heterocoagulation, inorganic matrix encapsulation method) exploits the electrostatic 81

82 interactions between the negatively charged silica colloidal phase and the positively charged guest. The latter approach presents several advantages in comparison to core-shell protocols, 83 since they don't require expensive reagents or chemical treatment, avoiding the formation of any 84 byproduct. The main goal of the present study is to exploit and optimize new and easy to apply 85 methods to incorporate Ir(III) tetrazolate complexes into silica-based colloid matrices, in order to 86 87 improve their chemical and photophysical stability, enhancing their processing at liquid and dry state. Within this framework, two Ir(III) emitters bearing 5-aryl tetrazole ligands such as the sky-88 blue [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> (C^N = F<sub>2</sub>ppy; N^N = PTZ-Me - 2-(2-methyl-2*H*-tetrazol-5-yl)pyridine) and the 89 red phosphorescent [IrQTZ-Me]<sup>+</sup> (C^N = ppy; N^N = QTZ-Me -2-(2-methyl-2H-tetrazol-5-90 yl)quinoline) complexes (Scheme 1) were selected as cationic coatings for negatively charged 91 SiO<sub>2</sub>NPs, being able to cover the two opposite part of visible spectrum [12]. Prompted by the 92 encouraging results in terms of both physical and optical stability, Ir@SiO<sub>2</sub> nanosols were 93 transferred to dry-powder state by the means of Spray-Freeze-Drying technique, an innovative 94 procedure in the field that enabled the formation of two stable luminescent Ir@SiO<sub>2</sub> powders 95 96 avoiding heat assisted drying processes that may alter both chemical and photophysical properties 97 of the Ir(III) tetrazole complexes used as coating for silica nanoparticles [13][14][15].

#### 98 Results and discussion

#### 99 Synthesis of cationic Ir(III) tetrazolate complexes

The preparation of the cationic Ir(III) tetrazolate complexes [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and [IrQTZ-Me]<sup>+</sup> was 100 accomplished by following a previously reported procedure (Scheme 1) [12], which consists of an 101 102 electrophilic addition at the tetrazole ring of the corresponding neutral Ir(III) precursor. The formation of the desired cationic Ir(III)-cyclometalates was at first confirmed by electrospray 103 ionisation mass spectrometry (ESI-MS), which revealed the presence of the expected m/z signals in 104 the positive region ions (Figure S5-6 ESI). The <sup>1</sup>H-NMR characterization provided a number of 105 protonic resonances congruent with the low symmetry displayed by the complexes (Figure S1-2 106 107 ESI). As previously reported [12], the electrophilic addition performed on the  $[R-CN_4]^-$  moiety regioselectively occurs at the N-2 atom of the tetrazolate ring, as witnessed by <sup>13</sup>C-NMR resonance 108 of Ct (tetrazolic carbon) which has been found at 166.54 ppm for [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and 167.93 ppm 109 for [IrQTZ-Me]<sup>+</sup> (Figure S3-4 ESI). 110



112 Scheme 1: Synthetic procedure used for cationic Ir(III) tetrazole complexes

113 Photophysical properties

111

In  $10^{-5}$ M CH<sub>2</sub>Cl<sub>2</sub> solutions, all the cationic Ir(III) complexes displayed similar absorption profiles, with intense ligand centred (<sup>1</sup>LC) transitions up to 260 nm and metal-to-ligand charge transfer (<sup>1</sup>MLCT) bands tailing off above 380 nm (Figure 1a).

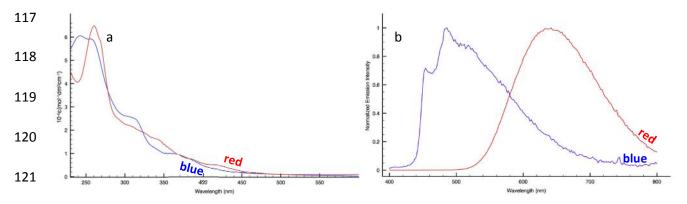


Figure 1: (a) Absorption Profiles of blue emitting [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> (blue line) and red emitting [IrQTZ-Me]<sup>+</sup> (red line), 10<sup>-5</sup>M, CH<sub>2</sub>Cl<sub>2</sub>; (b) Normalized Emission Profiles of [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> (blue line) and [IrQTZ-Me]<sup>+</sup> (red line), CH<sub>2</sub>Cl<sub>2</sub>.

Upon excitation of the <sup>1</sup>MLCT features ( $\lambda$  = 370 nm), **[F<sub>2</sub>IrPTZ-Me]**<sup>+</sup> displayed a sky-blue emission colour that corresponds to a structured emission profile with  $\lambda_{max}$  = 454, 486 and 526 nm (Figure 1b and Table 1), suggesting an interplay of <sup>3</sup>LC/<sup>3</sup>MLCT-type emissive excited states [1]. On the contrary, the red emitter **[IrQTZ-Me]**<sup>+</sup> produced a broad and unstructured emission profile with  $\lambda_{max}$ = 638 nm, typical of <sup>3</sup>MLCT-based phosphorescence (Figure 1b), as evidenced also by the noticeable rigidochromic shift observed in frozen solvent matrix at 77K ( $\lambda_{max}$  = 568 nm, Table 1).

131

133	Table 1: Relevant absorption and emission data of cationic Ir(III) complexes discussed in this work.

CH <sub>2</sub> Cl <sub>2</sub> as the	Absorption	Emission 298 K <sup>a,b</sup>				Emission 77K <sup>c</sup>		
solvent	$\lambda_{abs}(nm);(10^{-4}\epsilon)(M^{-1}cm^{-1})$	λ <sub>em</sub> (nm)	τ <sub>air</sub> (μs)	τ <sub>Ar</sub> (μs)	ф <sub>аіг</sub> (%)	ф <sub>Аr</sub> (%)	λ <sub>em</sub> (nm)	τ (μs)
[IrQTZ-Me] <sup>+</sup>	253 (4.25), 310 (1.41), 374 (0.78)	638	0.220	0.550	2.8	4.5	568	1.56
[F <sub>2</sub> IrPTZ-Me] <sup>+</sup>	257 (6.24), 318 (2.70), 351 (1.20)	454, 486, 526	0.040	0.140	1.7	4.7	448, 480	6.62

134 <sup>*a*</sup>: "Air" means air equilibrated solutions, "Ar" means deoxygenated solutions under argon atmosphere; <sup>*b*</sup>: 135  $[Ru(bpy)_3]Cl_2/H_2O$  was used as reference for quantum yield determinations ( $\Phi_r = 0.028$ ); <sup>*c*</sup>: in frozen CH<sub>2</sub>Cl<sub>2</sub>

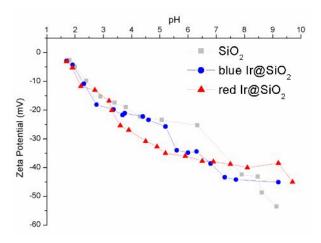
136 Ir@SiO<sub>2</sub> nanosols systems

Ir@SiO<sub>2</sub> nanosols (namely: blue Ir@SiO<sub>2</sub> for [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and red Ir@SiO<sub>2</sub> for [IrQTZ-Me]<sup>+</sup>) were 137 obtained by mixing the appropriate Ir(III) cationic complex with a silica suspension[16], which was 138 139 previously destabilised through a cation-exchange resin treatment in which the replacement of  $Na^{+}$  with  $H_{3}O^{+}$  promotes the intra-particles cross-linking [17]. In this regard, an explanation is 140 provided by Dumont and Watillon [18], that pointed out how the Na<sup>+</sup> substitution by H<sub>3</sub>O<sup>+</sup> ions 141 affects silica bonding, with the replacement of dissociated silanol-silanol bonds by cross-linked 142 143 siloxane bonds: -Si-OH·····O<sup>-</sup>-Si- → -Si-O-Si + OH<sup>-</sup>. In addition, the electrostatic stabilization of resin treated silica nanoparticles decreases; in fact, Zeta Potential passes from -42 to -29 mV as 144 145 expected by the pH decrease from 9.0 to 4.5 (Table 2). The colloidal properties of  $Ir@SiO_2$ 146 nanosols systems were studied in respect of their hydrodynamic diameter and surface charge properties (Table 2). As evidenced by the Zeta Potential vs pH measurements (Figure 2), the 147 presence of the phosphorescent Ir(III) tetrazole-markers did not affect the surface charge 148 properties of SiO<sub>2</sub>NPs. For all the silica colloidal solutions, the obtained plots revealed only one 149 150 isoelectric point found at pH < 1.5, while the highest stability (ZP  $\geq$  -30 mV) have been found between 3 < pH < 10 values. Furthermore, the hydrodynamic diameter of colloidal SiO<sub>2</sub>NPs was 151 152 not significantly affected by the physical mixing with the Ir(III)-markers, as confirmed by hydrodynamic radius (R<sub>hvd</sub>) values obtained from DLS analyses (Table 2). 153

154	Table 2: Colloidal properties of silica based paperals
154	<b>Table 2</b> : Colloidal properties of silica based nanosols.

Sample	pН	DLS R <sub>hyd</sub> (nm)	Zeta Potential (mV)
SiO <sub>2</sub> _Ludox	9.0	20 ± 1	-42.2 ± 1.1
SiO <sub>2</sub> *	4.5	23 ± 1	$-29.0 \pm 0.2$
blue Ir@SiO₂	4.5	22 ± 2	-33.3 ± 1.8
red Ir@SiO <sub>2</sub>	4.5	24 ± 1	-30.8 ± 1.5

#### 155 \*Commercial SiO<sub>2</sub> destabilized through treatment on cationic-exchange resin.



156

Figure 2: Zeta Potential (mV) vs pH plot of silica nanosol (grey line), blue Ir@SiO<sub>2</sub> (blue line) and red Ir@SiO<sub>2</sub>
 (red line), 100 mg/L of solid fraction.

The morphology of silica nanoparticles and the distribution of the Ir(III) complexes were examined 159 by the means of TEM-EDX (Transmission Electron Microscopy-Energy Dispersive X-ray Analysis, 160 Figure 3 and 4). The nanoparticles size distribution is homogeneously centered on 14 nm, being 161 162 these values in accordance with the measured R<sub>hvd</sub> (Table 2). TEM images highlight a negligible increase of the SiO<sub>2</sub> NPs mean diameter (ØSiO<sub>2</sub> 13.7±3.1 nm, Ø blue Ir@SiO<sub>2</sub> 13.8±3.1 nm, Ø red 163 Ir@SiO<sub>2</sub> 14.0±3.4 nm) and a slight improvement of particles cross-linking in the presence of 164 complexes, forming necklace-like structures (Figure 3, B, C). TEM images acquired at higher 165 magnification revealed the presence of a halo in the surroundings of SiO<sub>2</sub>NPs, imputable to the 166 presence of Ir(III) complexes, as shown in Figure 4 and confirmed by EDX analysis. 167

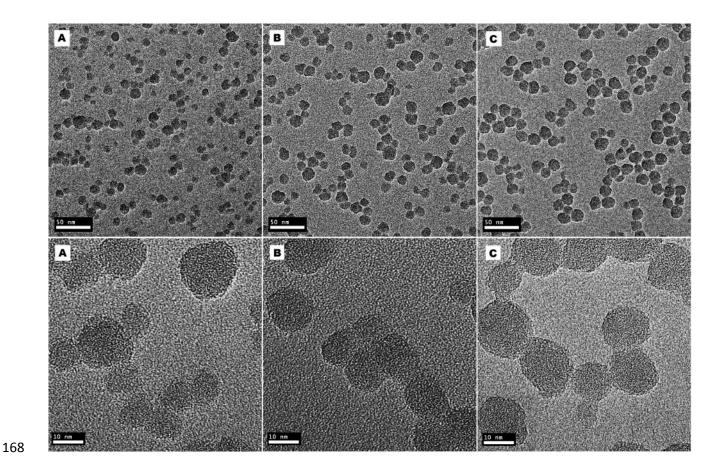
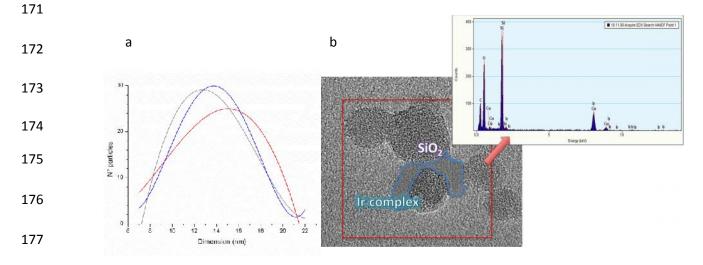
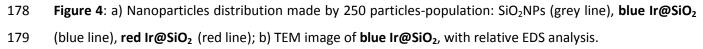
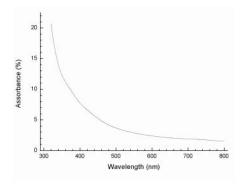


Figure 3: TEM images on copper grid acquired at different magnifications of A) SiO<sub>2</sub>NPs, B) blue Ir@SiO<sub>2</sub>, C)
 red Ir@SiO<sub>2</sub>.





180 As previously reported in literature [10], colloidal silica has no optical influence over the 181 photoluminescent output of transition metal complexes, resulting transparent in both the absorption (230-400 nm) and emission range (400-800 nm). This behavior was confirmed by the absorption profile of  $SiO_2NPs$  (Figure 5), where no maxima were found in the region of interest.



184

- **Figure 5:** Absorbance (%) vs Wavelength (nm) plot of SiO<sub>2</sub>NPs, 3%wt, Milli-Q H<sub>2</sub>O, r.t.
- **Table 3**: Relevant photophysical properties of Ir(III)-complexes@SiO<sub>2</sub> nanosols systems.

	Absorption $\lambda_{ m abs}({\sf nm})$	Emission 298 K					
$H_2O$ as solvent		λ <sub>em</sub> (nm)	τ <sub>air</sub> (μs)	τ <sub>Ar</sub> (μs)	$arphi_{air}$ (%)	<i>Δλ</i> (nm)	
Red Ir@SiO <sub>2</sub>	252, 312	610	0.100	n.d.*	n.d.*	28	
Blue Ir@SiO <sub>2</sub>	263, 286, 310	454, 484, 520	0.010	n.d.*	n.d.*	0	

#### 187 *\*n.d. = not determined.*

The emission profiles of [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and its silica embedded structure **blue** Ir@SiO<sub>2</sub> (Table 3 and Figure 6a) resulted almost superimposable to each other and did not provide any indication of the new chemical interaction established between [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and the silica matrix. On the other hand, the rigidochromic blue shift encountered in the emission profile of **red** Ir@SiO<sub>2</sub> in respect of [IrQTZ-Me]<sup>+</sup> (Table 3 and Figure 6b) is in agreement with the prevailing <sup>3</sup>MLCT composition of its excited state, being more sensitive to modifications of the surrounding chemical environment [1].

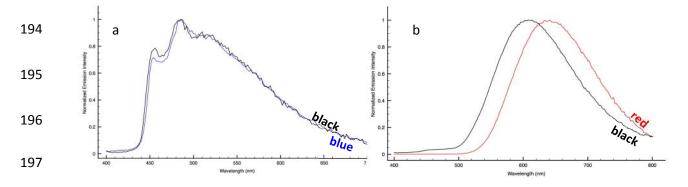
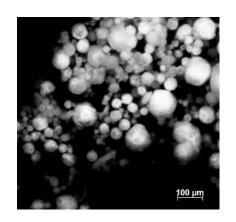


Figure 6: Comparison between normalised emission profiles [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> (blue line) and [IrQTZ-Me]<sup>+</sup>
 (red line) complexes and their Ir@SiO<sub>2</sub> systems (black lines).

#### 200 Ir@SiO<sub>2</sub> powders

Both blue and red Ir@SiO<sub>2</sub> nanosols were micronized and subsequently dried by the means of 201 202 Spray-Freeze-Drying technique, an instantaneous process that, in comparison with heat promoted drying processes, is expected to maintain unaltered the photoluminescent properties of Ir@SiO<sub>2</sub> 203 204 nanosols [11][19][20][21]. The morphology of the obtained **blue** and **red Ir@SiO<sub>2</sub> powders** was 205 checked by optical microscopy and SEM-FEG. The microstructure of spray-freeze-dried granules of both SiO<sub>2</sub> and Ir@SiO<sub>2</sub> powders appeared spherical and regular, with a broad distribution (Figures 206 7 and 8). The mean diameter of Ir@SiO<sub>2</sub> powders was significantly reduced, from 22.6 ± 12.6 µm 207 of SiO<sub>2</sub> considered alone to 17.5  $\pm$  11.3  $\mu$ m and 18.0  $\pm$  11.4  $\mu$ m for Ir@SiO<sub>2</sub> powders, as a result of 208 209 an intense interaction between SiO<sub>2</sub>NPs in the presence of phosphorescent complexes (Figure 9). The observed submicron homogeneously distributed porosity, shown in Figure 8, can be 210 considered as induced by the sublimation of water from the silica colloidal solution, leaving a 211 212 mesoporous structure. As a consequence, Specific Surface Area (SSA) measurements pointed out impressive values for both silica (207.78  $\pm$  4.16 m<sup>2</sup>/g) and Ir@SiO<sub>2</sub> powders (**blue Ir@SiO<sub>2</sub> powder**, 213  $225.13 \pm 4.50 \text{ m}^2/\text{g}$ ; red Ir@SiO<sub>2</sub> powder,  $213.96 \pm 4.28 \text{ m}^2/\text{g}$ ). 214



SiO <sub>2</sub> -powder	SEM-FEG granules-size (µm)
blue Ir@SiO <sub>2</sub> powder	17.5 ± 11.3 μm
red Ir@SiO₂ powder	18.0 ± 11.4 μm
SiO <sub>2</sub>	22.6 ± 12.6 μm

215

Figure 7: Optical micrograph of SiO<sub>2</sub> powder.

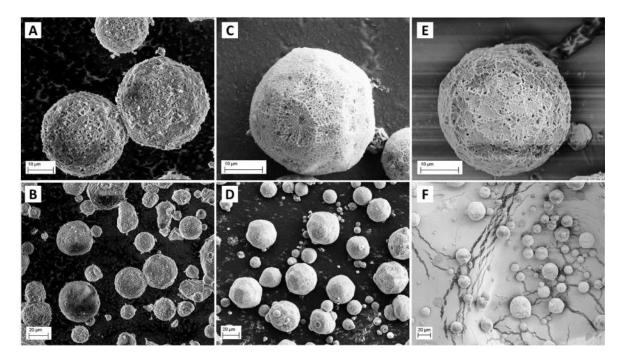
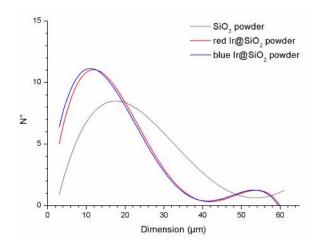


Figure 8: SEM-FEG images of SiO<sub>2</sub> (A, B), blue Ir@SiO<sub>2</sub> powder (C, D) and red Ir@SiO<sub>2</sub> powder (E, F).

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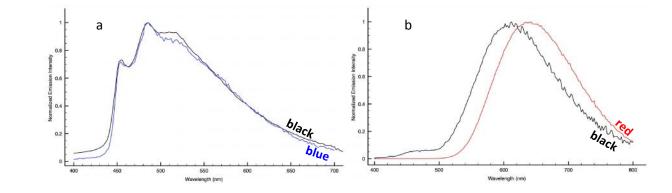


221

Figure 9: Size distribution of micronized SiO<sub>2</sub> powders (grey trace), blue Ir@SiO<sub>2</sub> powder (blue trace) and
 red Ir@SiO<sub>2</sub> powder (red trace). The mean value was calculated for a population of 250 particles.

224

As previously discussed for Ir@SiO<sub>2</sub> nanosols, only the red Ir@SiO<sub>2</sub> powder ( $\lambda_{max}$ =577 nm) 225 displayed a significant rigidochromic blue shift in comparison to [IrQTZ-Me]<sup>+</sup> (Figure 10). This 226 227 behavior was further confirmed by variable temperature emission studies over the range 15-300K, 228 where no substantial changes were observed for the blue Ir@SiO<sub>2</sub> powder (Figure 11a), while for 229 the **red** one, a blue shift of the emission maxima from to 570 to 550 nm was detected (Figure 11b) 230 [1]. In both cases, the presence of surrounding silica matrix and the temperature decrease are two driving forces that change the environment polarity, stiffening the system structure and 231 modulating the final phosphorescent outcome of the red Ir@SiO<sub>2</sub> powder. 232



233

Figure 10: a) Normalized Emission Profiles of [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> (blue line) and blue Ir@SiO<sub>2</sub> powder (black
 line); b) Normalized Emission Profiles of [IrQTZ-Me]<sup>+</sup> (red line) and red Ir@SiO<sub>2</sub> powder (black line).

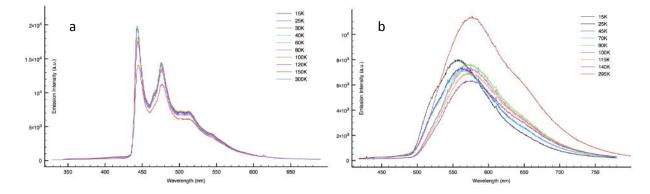


Figure 11: Variable temperature emission studies of a) blue Ir@SiO<sub>2</sub> powder and b) red Ir@SiO<sub>2</sub> powder
(15-300 K).

The distribution of [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and [IrQTZ-Me]<sup>+</sup> complexes in the ceramic micronized powders 239 were evaluated by fluorescence microscopy (Figure 12). For both Ir@SiO<sub>2</sub> powders, the 240 luminescent properties resulted homogeneously spread over the silica matrix. Using Spray-Freeze-241 242 Drying technique, we avoided any possible thermal degradation, as that a Spray-Drying processes 243 can induce with a consequent damage or deface of Ir(III) complexes luminescent properties. The Ir@SiO<sub>2</sub> nanosol were sprayed and frozen, thus preserving the NPs and complexes distribution as 244 was in dispersed liquid phase. No separate cluster of luminescent marker are appreciated and a 245 distribution of spherical luminescent micro-particles was detectable under fluorescence 246 247 microscope.

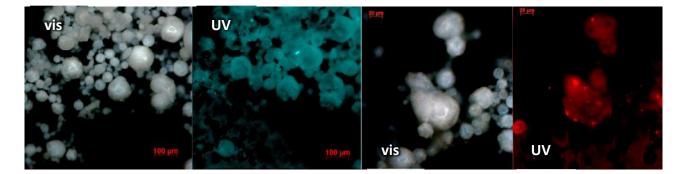
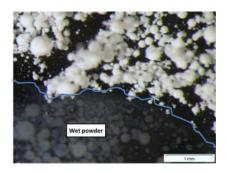


Figure 12: Micrographs of (left) blue Ir@SiO<sub>2</sub> powder and (right) red Ir@SiO<sub>2</sub> powder with visible and
 fluorescence source.

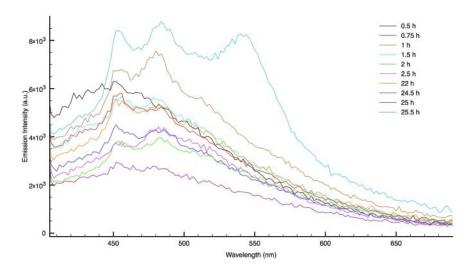
The wettability of **Ir@SiO<sub>2</sub> powders** was evaluated by observing the particles morphology in the presence of water ("Drop-Test", Figure 13). **Ir@SiO<sub>2</sub> powders** preserved their spherical shape without decomposing in a time period of 15 minutes, thus exhibiting some mechanical strength even after water-contact, encouraging the use of these systems in the large spectrum of wet powder process applications.



#### 256

Figure 13: Drop-test for blue Ir@SiO<sub>2</sub> powder acquired with OLYMPUS SZX9.

The release of  $[F_2IrPTZ-Me]^+$  from the **blue**  $Ir@SiO_2$  **powder** was evaluated in both organic and inorganic media (CH<sub>3</sub>CN, CH<sub>2</sub>Cl<sub>2</sub> and H<sub>2</sub>O; see Figure 12 and S7-8 ESI), by acquiring emission spectra of the liquid phase separated from the suspension of **blue**  $Ir@SiO_2$  **powder**, kept under mechanical stirring for approx. 25 hours (Figure 14). The emission profiles suggest a very low release percentage of  $[F_2IrPTZ-Me]^+$  (presumably below  $10^{-9}$  M), confirming the strong interaction occurring between the host-silica matrix and the  $[F_2IrPTZ-Me]^+$  complex.







#### 266 Conclusions

In summary, we have demonstrated how [F<sub>2</sub>IrPTZ-Me]<sup>+</sup> and [IrQTZ-Me]<sup>+</sup> tetrazole complexes can 267 be successfully incorporated into a silica matrix, with a simple and easily scalable colloidal route. 268 269 We design a multi-scale process, and obtained luminescent powders that preserved optical properties from molecular scale to micro scale, providing a self-marking ceramic platform stable 270 271 and easy to be processed at dry or wet dispersed state. The silica embedded Ir(III) complexes 272 could be effectively isolated and sterically confined within micro granules obtained by spray-273 freeze-drying the correspondent nanosol. The luminescent properties stemming from [F<sub>2</sub>IrPTZ-**Me**]<sup>+</sup> and **[IrQTZ-Me**]<sup>+</sup> resulted homogeneously spread over the silica matrix, as confirmed by 274 275 fluorescence microscopy. The granulated Ir@SiO<sub>2</sub> powders preserved their spherical shape without decomposing in water and did not release free complex neither in contact with aqueous 276 277 nor with organic solvents. We confirmed the photostability of Ir@SiO<sub>2</sub> systems by comparing the luminescent properties of the complexes themself and in the presence of silica host matrix, either 278 as sol or in the solid state. Finally, the great versatility of cationic Iridium(III) tetrazole complexes, 279 280 compared to other classes of organometallic complexes, makes room for further investigations, 281 with the aim to cover all visible spectrum. In fact, we are able to obtain different emission, by 282 changing ligands, that are modulated by encapsulation but a stable silica matrix. These encouraging results pave the way for the employment of these phosphorescent powders in 283 various fields, ranging from nanoengineering materials (LED colour converter, photonics, 284 optoelectronics, photo-induced catalysis or industrial anti-counterfeiting) to nanobiotechnology 285

(imaging, targeting, and sensing), where long-term stability with high luminescent efficiency isrequired.

#### 288 Experimental Section

General Considerations. All the reagents and solvents were obtained commercially (e.g. Merck, 289 Alfa Aesar, Strem Chemicals) and used as received without any further purification. The 290 commercial colloidal suspension of silica (Silica LUDOX<sup>®</sup> HS-40) was supplied by Grace Davison and 291 292 destabilized using a commercial exchange resin Dowex 50x8 protons (Merck), with MESH value of 293 20-50 and total exchange capacity of 1.7 meq/mL. The purification of the Ir(III) complexes was performed via column chromatography with the use of Al<sub>2</sub>O<sub>3</sub> as the stationary phase. ESI-mass 294 295 spectra were recorded using a Waters ZQ-4000 instrument (ESI-MS, acetonitrile as the solvent). Nuclear magnetic resonance spectra (consisting of <sup>1</sup>H and <sup>13</sup>C) were always recorded using a 296 Varian Mercury Plus 400 (<sup>1</sup>H, 399.9; <sup>13</sup>C, 101.0 MHz). <sup>1</sup>H and <sup>13</sup>C chemical shifts were referenced to 297 residual solvent resonances. 298

*Ligand synthesis.* Warning! Tetrazole derivatives are used as components for explosive mixtures[22]. In this lab, the reactions described here were only run on a few grams scale and no problems were encountered. However, great caution should be exercised when handling or heating compounds of this type. Following the general method reported by Koguro and coworkers[23], tetrazole ligands [H-**PTZ**] and [H-**QTZ**] has been obtained in quantitative yield.

304 *General Procedure for the Preparation of the Cationic Ir(III) complexes.* The preparation of cationic 305 Ir(III)-complexes was accomplished by following a previously reported procedure [12]. 1 eq of the 306 desired neutral Ir(III) tetrazolate complex was added to dichloromethane and the mixture was 307 allowed to cool down by immersion into an ethanol/liquid nitrogen cold bath. Then, methyl trifluoromethanesulfonate (1.2 equiv., solution in dichloromethane 0.179 M) was added. The 308 reaction was stirred under nitrogen for 30 minutes while being kept in the cold bath, and then 309 allowed to warm up to room temperature and stirred for 3 hours. Anion exchange was carried out 310 by adding an excess of NH<sub>4</sub>PF<sub>6</sub> in water to the solution and stirring for 20 minutes. The product 311 312 was then extracted using dichloromethane (3×10 mL) and the organic components were combined 313 and dried over anhydrous MgSO<sub>4</sub>. Subsequent purification by column chromatography on alumina (gradient: CH<sub>2</sub>Cl<sub>2</sub>/acetone 8:2, second fraction) yielded 0.059 g of [F<sub>2</sub>IrPTZ-Me]<sup>+</sup>[PF<sub>6</sub>]<sup>-</sup> and 0.067 g 314 of [IrQTZ-Me]<sup>+</sup>[PF<sub>6</sub>]<sup>-</sup>. 315

316 General procedure for the colloidal physical encapsulation. The Ir(III)-tetrazole based nanosols were produced by mixing  $[F_2IrPTZ-Me]^+$  or  $[IrQTZ-Me]^+$  with Silica LUDOX<sup>®</sup> HS-40 destabilized using 317 Dowex 50x8 protons, a commercial exchange resin (Ir(III)tetrazole complex : SiO<sub>2</sub> nanosol ratio = 318 1:1000, w silica (%) = 20). To facilitate the mixing and the intimate interaction between the two 319 phases, the systems were left under stirring for about 24 hours at ball-milling, in presence of 320 grinding bodies made by zirconia balls (diameter, Ø 5mm). The Silica LUDOX<sup>®</sup> HS-40 destabilization 321 was carried out in a 250 mL flask by adding the resin to the nano-suspension under vigorous 322 stirring. Once the desired pH was reached (pH = 4), the resin was extracted and the silica/resin 323 324 contact was interrupted by vacuum pump filtration [17].

325

General procedure for the Solid-state transfer by Spray Freeze Granulation. The silica-doped colloidal solutions were transferred to solid-state phases by the means of Lab-scale Granulator LS-2 (PowderPro AB). The two Ir(III)@SiO<sub>2</sub> nanosol were kept under magnetic stirring to prevent solid sedimentation and fed to the nozzle ( $\emptyset = 100 \ \mu m$ ) through a peristaltic pump (40 rpm). The spray was obtained by blowing suspension and nitrogen (0.4 bar) in the nozzle, collecting the nanosol drops in a camera that was previously filled up with liquid nitrogen. The frozen drops were placed in a metallic vessel inside the lyophilizer for 4 days, reaching the pressure of 1.5 mbar.

333 Colloidal Characterization. Size and ZP (Zeta Potential) measurements of the nano-solutions were carried out with DLS/ELS (dynamic light scattering and electrophoretic light scattering Zetasizer 334 Nano instrument ZSP, ZEN5600, Malvern Instruments, UK). The study of the colloidal behaviour 335 336 and the interaction between silica and Ir(III) complexes (Zeta potential vs pH) were carried out by 337 Zetasizer Nano instrument coupled with an automatic titrating system. The titration were 338 performed with 1M KOH and 1M HCl solutions on Ir(III)@SiO<sub>2</sub> systems at a concentration of 339 0.01% wt. The interaction between Ir(III) complexes and silica nanosol matrix was evaluated with 340 TEM (transmission electron microscopy, FEI, Tecnai F20 S/TEM) equipped with an EDX probe.

341

Morphological Powder Characterization. The morphological characterization of freeze-dry particles
 was performed by SEM-FEG electron emission scanning microscope (Supra, Gemini Column, Zeiss)
 and by Optical Stereo Microscope OLYMPUS SZX9 with Nikon DS-Fi2 camera and ocular OLYMPUS
 DF PL 2xa (OLYMPUS).

Optical Powders Characterization. To estimate the optical inactivity of SiO<sub>2</sub> in respect of Ir(III)complexes, the 3%wt silica LUDOX<sup>®</sup> HS-40 nanosol have been analyzed at spectrophotometer Lambda 35 (Perkin Elmer). The degree of dispersion of the Ir(III) complexes within the silica matrix was checked by optical microscope Zeiss, AXIO Zoom V16 equipped with CL 9000 LED white source (900 lm, 6500K, emission spectrum 400-800nm), while for luminescence microscopy images with a HXP 120V source metal halide lamp (emission spectrum 360-670 nm, excitation filter: BP = 470 ± 40 nm, beam splitter: FT = 495 nm, emission: BP = 525 ± 50 nm).

354

Photophysical characterization. Absorption spectra were recorded at room temperature using a 355 Perkin Elmer Lambda 35 UV/vis spectrometer. Uncorrected steady-state emission and excitation 356 spectra were recorded on an Edinburgh FLSP920 spectrometer equipped with a 450 W xenon arc 357 lamp, double excitation and single emission monochromators, and a Peltier-cooled Hamamatsu 358 R928P photomultiplier tube (185–850 nm). Emission and excitation spectra were acquired with 359 cut-off filter (395 nm) and corrected for source intensity (lamp and grating) and emission spectral 360 response (detector and grating) by a calibration curve supplied with the instrument. The 361 362 wavelengths for the emission and excitation spectra were determined using the absorption maxima of the MLCT transition bands (emission spectra) and at the maxima of the emission bands 363 364 (excitation spectra). Quantum yields ( $\Phi$ ) were determined using the optically dilute method by Crosby and Demas [24] at excitation wavelength obtained from absorption spectra on a 365 366 wavelength scale [nm] and compared to the reference emitter by the following equation:

$$\phi_s = \phi_r \left[ \frac{A_r(\lambda_r)}{A_s(\lambda_s)} \right] \left[ \frac{I_r(\lambda_r)}{I_s(\lambda_s)} \right] \left[ \frac{n_s^2}{n_r^2} \right] \left[ \frac{D_s}{D_r} \right]$$

367

368 where A is the absorbance at the excitation wavelength ( $\lambda$ ), I is the intensity of the excitation light at the excitation wavelength ( $\lambda$ ), n is the refractive index of the solvent, D is the integrated 369 370 intensity of the luminescence, and  $\Phi$  is the quantum yield. The subscripts r and s refer to the 371 reference and the sample, respectively. A stock solution with an absorbance > 0.1 was prepared, 372 then two dilutions were obtained with dilution factors of 20 and 10, resulting in absorbance of 373 about 0.02 and 0.08 respectively. The Lambert-Beer law was assumed to remain linear at the concentrations of the solutions. The degassed measurements were obtained after the solutions 374 375 were bubbled for 10 minutes under Ar atmosphere, using a septa-sealed quartz cell. Air-376 equilibrated  $[Ru(bpy)_3]Cl_2/H_2O$  solution ( $\Phi = 0.028$ ) [25] was used as reference. The quantum yield

377 determinations were performed at identical excitation wavelengths for the sample and the 378 reference, therefore deleting the  $I(\lambda r)/I(\lambda s)$  term in the equation. Emission lifetimes ( $\tau$ ) were determined with the single photon counting technique (TCSPC) with the same Edinburgh FLSP920 379 spectrometer using pulsed picosecond LED (EPLED 360, FWHM < 800ps) as the excitation source, 380 with repetition rates between 1 kHz and 1 MHz, and the above-mentioned R928P PMT as 381 detector. The goodness of fit was assessed by minimizing the reduced  $\chi^2$  function and by visual 382 383 inspection of the weighted residuals. To record the 77 K luminescence spectra, the samples were put in quartz tubes (2 mm diameter) and inserted in a special quartz Dewar filled with liquid 384 385 nitrogen. The solvent used in the preparation of the solutions for the photophysical investigations was of spectrometric grade. Experimental uncertainties are estimated to be ±8% for lifetime 386 determinations, ±20% for quantum yields, and ±2 nm and ±5 nm for absorption and emission 387 peaks, respectively. The pellets used in the variable-temperature emission experiments have been 388 obtained by using 50 mg of [F<sub>2</sub>IrPTZ-Me]<sup>+</sup>@SiO<sub>2</sub> powder or [IrQTZ-Me]<sup>+</sup>@SiO<sub>2</sub> powder squashed 389 in a cylinder-shaped metallic tube ( $\emptyset$  0.5 cm) for 1 min under 1 ton. The variable-temperature 390 emission spectra (from 13K to r.t.) were recorded with an SP500i Spectrometer (ACTION 391 392 RESEARCH, grating 150gr/mm, slit 50 µm) equipped with a sensitive liquid nitrogen-cooled CCD 393 camera and a He-Cd laser (KIMMON company) at 325 nm as excitation source (spot size = 100  $\mu$ m, 394 power = 30 mW).

395 *BET analysis.* The Specific Surface Area (SSA) measurements were carried out with a Surfer 396 instrumentation (Thermo Scientific) (P= 150–300 torr, T= -200°C). Before performing analysis, the 397 samples were thermal pre-treated (120°C) to eliminate any organic traces.

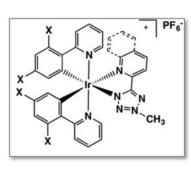
Micronized Stability. The stability of micronized powders has been evaluated through time-release
 tests under mechanical stirring. A 0.1 g amount of Ir(III)@SiO<sub>2</sub> nano-powder and 10 mL of Milli-Q
 H<sub>2</sub>O were stirred for different contact time (from 30 min to 25.5 h). After each period, 0.5 mL of
 the suspension were removed and added to 5 mL of fresh Milli-Q H<sub>2</sub>O and subsequently analyzed.

#### 402 Acknowledgement

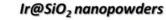
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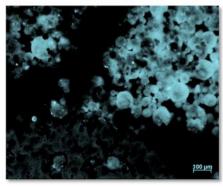
### 412 Graphical Abstract

Cationic Ir(III) complex



Ir@SiO<sub>2</sub> nanosol system





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