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Plant virus nanoparticles for vaccine applications

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**Title Page Plant Virus Nanoparticles for Vaccine Applications Authors:** Mattia Santoni<sup>1</sup>, Roberta Zampieri<sup>2</sup> and Linda Avesani<sup>1,2,\*</sup> 1: Department of Biotechnology, University of Verona. Strada Le Grazie, 15. 37134 Verona (Italy) 2: Diamante srl. Strada Le Grazie, 15. 37134 Verona (Italy) \*: Corresponding Author. e-mail: <a href="mailto:linda.avesani@univr.it">linda.avesani@univr.it</a> Phone: +390458027839 **Running Title:** Plant-based Nano-vaccines 

#### Abstract

23

- 24 In the rapidly evolving field of nanotechnology, plant virus nanoparticles (pVNPs) are emerging as
- 25 powerful tools in diverse applications ranging from biomedicine to materials science. The
- 26 proteinaceous structure of plant viruses allows the capsid structure to be modified by genetic
- engineering and/or chemical conjugation with nanoscale precision. This means that pVNPs can be
- 28 engineered to display peptides and proteins on their external surface, including immunodominant
- 29 peptides derived from pathogens allowing pVNPs to be used for active immunization. In this
- 30 context, pVNPs are safer than VNPs derived from mammalian viruses because there is no risk of
- 31 infection or reversion to pathogenicity. Furthermore, pVNPs can be produced rapidly and
- inexpensively in natural host plants or heterologous production platforms.
- 33 In this review, we discuss the use of pVNPs for the delivery of peptide antigens to the host immune
- 34 in pre-clinical studies with the final aim of promoting systemic immunity against the corresponding
- 35 pathogens. Furthermore, we described the versatility of plant viruses, with innate
- 36 immunostimulatory properties, in providing a huge natural resource of carriers that can be used to
- develop the next generation of sustainable vaccines.
- 3940 **Keywords:**

38

41 Molecular farming, CVP, eVLP, VLP, vaccine, peptide production 42

#### 1. **Introduction**

43

- 44 Many different platforms have been developed in the emerging field of nanotechnology, ranging
- 45 from synthetic nanomaterials to naturally occurring bio-nanomaterials, the latter including protein
- 46 cages and viral nanoparticles (VNPs).
- 47 VNPs are nanomaterials based on viruses [1]. From a materials science perspective, VNPs are
- 48 interesting because they form self-assembling architectures that can easily be produced in milligram
- 49 quantities in the laboratory. These naturally occurring nanostructures have many unique properties,
- 50 including a quality-control system that guarantees that all the particles are monodisperse and nearly
- 51 identical in shape and size. These properties are difficult to achieve when producing synthetic
- 52 nanomaterials. Furthermore, VNPs-based nanomaterials are the result of the assembly of millions of
- 53 identical proteins, resulting in a highly defined and precise three dimensional structures, with
- superior features as a scaffold than synthetic particles [2]. VNPs also tend to be symmetrical,
- polyvalent, soluble in water and stable in aqueous buffers, which are attractive features for
- 56 biological applications [1].
- Virus capsids occur naturally in many different sizes and shapes, including icosahedrons [3], tubes
- 58 [4] and filaments[5]. Therefore, VNPs can be designed with similarly diverse forms. However, one
- 59 common property shared by all capsids is their exceptional robustness, reflecting their natural
- function to enclose and protect viral nucleic acids, thus increasing the resistance of VNPs to
- extreme pH and temperatures. But because they are entirely composed of protein, VNPs are also
- 62 biodegradable and biocompatible. Furthermore, VNPs are suitable for scaled-up production and
- 63 industrial manufacturing, given their inexpensive production; in addition, in some cases ,VNPs can
- be self-replicating, and this aspect can decrease even more their production expenses [2]. Together,
- 65 these features make VNPs one of the most advanced and versatile nanomaterials produced by
- 66 nature.
- Although viral nanotechnology is a novel and emerging field, recombinant virus-based materials
- have been used for nearly 50 years as vaccines and gene delivery vectors [6,7]. Several clinical
- vaccines are based on VNPs, including the Human papillomavirus (HPV) vaccines Gardasil
- 70 produced by Merck and Cervarix produced by GSK [8]. Several gene therapies based on
- Adenovirus, Adeno-associated virus and Lentivirus are undergoing clinical trials [9]. For the past
- 72 20 years, chemists, materials scientists and engineers have developed a range of methodologies that
- can be applied to fine-tune and engineer VNPs for specific applications.
- VNPs based on plant viruses (pVNPs) are particularly versatile because they pose no risk of
- 75 infection in mammals. In contrast, VNPs based on mammalian viruses have the potential to revert
- to an infectious form. Experiments in mice have also shown that pVNPs have negligible toxicity.
- 77 The administration of up to 100 mg ( $10^{16} \text{ particles}$ ) per kg body weight has been demonstrated
- 78 without adverse effects, Cowpea Clorotic Mottle Virus particles have been used for *in vivo* imaging
- in a pre-clinical study, demonstrating their ability to spread in mice's organisms without leaving
- trace after 24 hours [10]. Biomaterials derived from plant viruses can be delivered intravenously
- and do not induce hemolysis or coagulation, and they are rapidly cleared from non-target organs
- and tissues in a matter of hours [11].
- The applications of pVNPs can be divided into four key fields: 1) vaccine development; 2)
- engineering targeted imaging and/or therapeutic reagents; 3) data storage; and 4) the development
- 85 of films and arrays for applications ranging from electronics to tissue engineering.

- This review focuses on the use of pVNPs for vaccine development, describing the main platforms
- 87 developed thus far and some examples of active immunization. However, the use of pVNP carriers
- 88 for the delivery of drugs and imaging reagents is also a rapidly developing field, particularly for the
- 89 diagnosis and treatment of cancer [1]. A groundbreaking example, in this framework, is the fusion
- 90 to the viral capsid of doxorubicin, a chemotherapeutic agent. The resulting pVNP displays both
- 91 immunotherapeutic and chemotherapeutic effects, resulting effective in the treatment of mice
- melanoma [12]. The reader interested in this area should consult the extensive work of Steinmetz
- 93 and colleagues [12–15].

94

### 2. The Development of pVNPs-based platforms for displaying Subunit Vaccines

- 95 Unlike attenuated or killed pathogens, subunit vaccines comprise only a small antigenic component
- of a target pathogen so there is no risk of reversion to pathogenicity. Subunit vaccine design
- 97 requires the selection of an immuno-dominant peptide that is able to induce a strong immune
- 98 response which at least partially protects against the pathogen from which the peptide was derived.
- 99 Subunit vaccines can be produced in many different expression systems, but one drawback is that
- multiple doses are required combined with an efficient but nontoxic adjuvant to confer an
- acceptable level protection. Poor immunogenicity has often limited the application of subunit
- vaccines [16]. This probably reflects the incomplete folding and/or poor presentation of the antigen
- subunits to the immune system. To enhance the immunogenicity of subunit vaccines, they can be
- 104 combined with stronger adjuvants [17] or displayed as a multivalent array on a larger carrier
- molecule, such as a pVNP.
- The structure of many plant viruses has been solved at high resolution thanks to a combination of
- 107 DNA sequence analysis and techniques such as X-ray crystallography and cryo-electron
- microscopy (Table 1). Despite the large size of pVNPs, the availability of intricate structural
- models means that regioselective modification is usually achievable and predictable. Viruses can
- therefore function as nanoscale scaffolds allowing the multivalent attachment of functional ligands
- 111 at defined positions.
- Because pVNPs are genetically encoded biomaterials they can be modified by genetic engineering.
- This offers a clear advantage over any synthetic material because chemical modifications are not
- 114 100% efficient. The genetic insertion of heterologous coding sequences at defined positions within
- the genes encoding virus coat proteins allows peptides with diverse biological functions to be
- displayed on VNPs, usually on the external surface [18–20] but also occasionally on the surface of
- an internal cavity or lumen [21].
- Bioconjugation can be used instead of (or in combination with) genetic engineering to display not
- only peptides but also entire complex proteins, organic molecules and polymers such as nucleic
- acids and polyethylene glycol (PEG) on the VNP surface [22–24]. Bioconjugation protocols require
- the presence of amino acids with reactive side chains such as lysine, cysteine or
- aspartate/glutamate, which are accessible to *N*-hydroxysuccinimidyl (NHS) chemistry, Michael
- addition to maleimides, and carbodiimide activation, respectively. This allows VNPs to be
- functionalized with antibodies, oligonucleotides, peptides, proteins, carbohydrates, fluorescent
- reagents and drugs. The bioconjugation with PEG, for example, has the aim to modulate the strong
- immune response given from the pVNPs, in order to improve their bioavailability,
- pharmacokinetics and to selectively target tissues [22,25]. Some viruses are also amenable to
- physical modifications. For example, Tobacco mosaic virus (TMV) can be transformed from its
- native rod-shape to a spherical form by increasing the temperature during particle assembly [26]. It
- is know that the shape affects the behavior of the particles: for example, in tumor homing Potato
- 131 Virus X and Cowpea Mosaic Virus showed different profiles in bioaccumulation, with the former

- showing a major penetration potential than the latter [27]. However, in both conformations, the
- highly repeated structure appear to function as a Pathogen Associated Molecular Pattern, which
- allow the design for new immunostimolation systems for the treatment of cancer [15].

#### 3. The Production of VLPs and CVPs

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- The broad class of nanostructures known as pVNPs can be divided into two subclasses, namely
- virus-like particles (VLPs) and chimeric virus particles (CVPs). VLPs do not carry any viral nucleic
- acid and are therefore incapable of autonomous replication. They are produced by expressing the
- modified coat protein of the virus in heterologous cells, resulting in the production of coat protein
- molecules that self-assemble in to a VLP [28]. In contrast, CVPs function in much the same way as
- the native virus (i.e. they remain replication-competent) but they carry additional genetic material
- allowing them to display heterologous proteins or peptides [28]. VLPs rely on the ability of certain
- viruses to self-assemble without any priming from the viral genetic material whereas CVPs exploit
- both the virus coat protein and the genetic material. Therefore, VLPs can be produced in various
- heterologous expression systems (including bacteria) but the titers depend on the efficiency of
- expression, whereas CVPs must be produced in their natural plant hosts or a compatible species but
- the titers are often very high due to the intrinsic replicative ability of the virus.
- Whichever strategy is chosen, it is important to ensure that the pVNPs assemble correctly even
- when the coat protein contains additional peptide sequences and that the peptides displayed on the
- surface maintain their biological/immunological activity. This is critical when CVPs are used
- because the production method involves replication in a host plant, and correct particle assembly is
- required for infection and systemic spreading. One of the major drawbacks of the CVP approach is
- the tendency for surface peptides to cause steric hindrance, thus inhibiting particle assembly [29].
- The use of CVPs therefore has four inherent limitations. First, the characteristics of the foreign
- peptide can sometimes affect the infectivity of the virus [30,31]. Second, the ability of the chimeric
- virus to spread systemically in the whole plants can be inhibited by selectivity between the
- recombinant virus RNA and the chimeric coat protein [32], and virus–host interactions [31,33].
- Third, in most epitope presentation systems, chimeric coat proteins do not benefit the virus so
- deletions that remove the insert are favored by natural selection [30], causing the CVP to revert to
- wild-type after several cycles of infection [31]. Fourth, special structural features of the chimeric
- 161 coat protein can affect key domains required for assembly, preventing it from assembling into virus
- particles [34]. One way to overcome the inhibitory effects of inserted peptide sequences is to
- generate VNPs that combine some wild-type coat proteins and some fusion proteins, spreading the
- displayed peptides further apart. This overcoat strategy has been particularly successful for
- Potexviruses and is discussed in more detail below.
- Plants offer an attractive system for the production of pVNP-based vaccines owning to their ability
- to produce large quantities of the particles at low cost, the proper assembly of the particles
- in planta, and the low-risk of introducing adventitious human pathogens during production [35]. In
- this framework, the Alfalfa Mosaic Virus has been exploited as an antigen presenting system for the
- rabies antigen and used as a candidate to boost the vaccination[36].
- Plants do not require expensive fermentation facilities for biomass generation or the construction of
- duplicate facilities for scaled-up production. Hence, plant biomass generation and upstream
- processing capacity can be operated and scaled up in a flexible, cost-efficient manner that cannot be
- easily matched by current fermentation-based technologies [37].
- 175 A further advantage of plant-based production systems is that edible plants can be engineered to
- produce pVNPs, which can then be administered orally with minimal processing [38]. This novel

and cost-effective approach can be used to establish gut mucosal immunity by oral delivery. Plants can also be used to produce VLPs representing human viral pathogens for active immunization strategies. Examples include the use of plants to produce VLPs based on HPV and influenza virus at very high titers: chimeric Cucumber Mosaic Virus bearing an Hepatitis C antigen, for example has been proven to be a good candidate as an oral vaccine in rabbits [39]. This specific use of plants to produce VLP-based vaccines is beyond the scope of this review but it has been extensively described elsewhere [40,41].

### 4. Platforms for the production of pVNPs

Many different plant viruses have been considered as pVNP platforms, differing in terms of the host species, the format (VLP or CVP) and the number of peptides that can be displayed per particle (Table 1). Only a few species have been studied in detailed proof-of-concept experiments and five of the most prevalent are discussed below.

**Table 1**: Features of pVNPs for peptide display.

pVNP	Structural Resolution	Target peptide insertion point	Number of peptides / pVNP	Host	CVP	VLP	References
TBSV	2.9 Å	C-terminus	180	Nicotiana benthamiana Insect cell/baculovirus	X	X	[42–44]
CPMV	2.9 Å	βB- βC loop of the Small CP βC'-βC" loop of the small coat protein	60	Nicotiana benthamiana Pisum sativum Spinacea oleracea Vicia sativa Vigna unguiculata Vigna radiata	X	X	[31,45,46]
CCMV	3.2 Å	C-terminus N-terminus In <i>P. pastoris</i> into an external loop	180 (Particles are formed by 90 homodimers)	Pichia pastoris Escherichia coli	X	X	[47–50]
PLRV	-	N-terminus	-	Insect cells and baculovirus	-	X	[51,52]
TMV	-	N-terminus C-terminus Surface loop aa 59-65	> 2100	Nicotiana benthamiana Nicotiana excelsiana	X	-	[53,54]
JGMV	-	N-terminus C-terminus	-	Escherichia coli	-	X	[55]
PVX	-	N- terminus	1300	Nicotiana benthamiana	-		[28,56,57]
PhMV	3.8 Å	Chemical conjugation to lysine or cysteine	Lys: 160-180 Cys: 40- 60	Escherichia coli	-	X	[58,59]
TuMV	-	N-terminus	Up to 2000 copies for the CVPs. For the VLPs it depends on the length of the formed particles	Brassica juncea Nicotiana benthamiana Escherichia coli	X	X	[60]

PapMV	2.7 Å	12 aa – 187 aa – C-terminus N-terminus N-terminnus 12 aa before F13	For the VLPs it depends on the length of the formed particles	Escherichia coli	-	X	[61–66]
AltMV	13 Å	C-terminus	-	Nicotiana benthamiana Portulaca grandiflora	X	X	[67–69]
BaMV	5.6 Å	35 aa from the N-terminus	-	Nicotiana benthamiana Chenopodium quinoa	X	-	[70,71]
AlMV	-	N-terminus	-	Nicotiana tabacum Nicotiana benthamiana	X	-	[72–75]
CMV	3.2 Å	βН-βΙ loop	180	Nicotiana benthamiana Nicotiana tabacum cv Xhanti	X	X	[23,76–78]
CdMV	-	N-terminus C-terminus	-	Escherichia coli	-	X	[79]
AMCV	-	C-terminus	180	Nicotiana benthamiana	X	-	[80]
PPV	-	N-terminus	-	Nicotiana clevandii	X	-	[81]
PVY	-	N-terminus	2000	Escherichia coli	-	X	[82]
CymRSV	-	-	280	Nicotiana benthamiana	-	X	[83]

TBSV: Tomato Bushy Stunt Virus; CPMV: Cowpea Mosaic Virus; CCMV: Cowpea Clorotic Mottle Virus; PLRV:

Potato Leafroll Virus; TMV: Tobacco Mosaic Virus; JGMV: Johnson Grass Mosaic Virus; PVX: Potato Virus X;

193 PhMV: Physalis Mosaic Virus; TuMV: Turnip Mosaic Virus; PapMV: Papaya Mosaic Virus; AltMV: Alternanthera

Mosaic Virus; **BaMV**: Bamboo Mosaic Virus; **AlMV**: Alfalfa Mosaic Virus; **CMV**: Cucumber Mosaic Virus; **CdMV**:

Mosaic virus; Bamv : Bamboo Mosaic virus; Alivi V: Aliana Mosaic virus; CmV: Cucumber Mosaic virus; CdV

195 Cardamom Mosaic Virus; AMCV: artichoke Mottled Crinkle Virus; PPV: Plum Pox Virus; PVY: Potato Virus Y;

196 **CymRSV**: Cymbidium Ringspot Virus.

#### 197 4.1 Cowpea mosaic virus

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192

- 198 Cowpea mosaic virus (CPMV) has been studied extensively for its biomedical applications in the
- 199 fields of cancer, cardiovascular medicine and vaccination [84,85]. CPMV has a bipartite RNA
- 200 genome and a 28-nm icosahedral capsid comprising 60 copies each of the large (L) and small (s)
- coat proteins [86]. CPMV surface chemistry (inside and out) is well understood [87], allowing an
- 202 exquisite level of functionalization. Additionally, the large-scale production of pVNPs based on
- 203 CPMV can be achieved in its natural host (cowpea, *Vigna unguiculata*) and the model host tobacco
- species *Nicotiana benthamiana*, which is highly scalable and economic.

#### 205 4.2 Potato virus X

- 206 Potato virus X (PVX) is the type member of the Potexvirus genus. It has a positive-sense RNA
- 207 genome and forms filamentous and flexible rod-like particles measuring 515 nm in length and 13
- 208 nm in diameter, comprising 1270 identical 25-kDa coat protein subunits. The monopartite genome
- 209 has a 5' cap and 3' poly(A) tail and it contains five major open reading frames (ORFs) encoding
- 210 proteins for viral replication, movement and assembly. ORF5 encodes the coat protein, which is
- also required for cell-to-cell and long-distance movement [88]. The N-terminal part of the coat
- 212 protein is exposed on the surface so most peptides displayed by PVX-based pVNPs have been

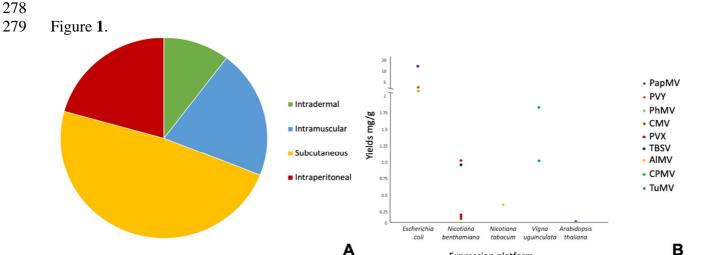
- introduced by N-terminal fusion to the coat protein [89]. PVX naturally replicates in many
- solanaceous species and *N. benthamiana* plants are particularly susceptible to mechanical
- 215 inoculation, producing milligram quantities of particles from 1 g of infected leaf material. Infectious
- 216 cDNA clones of PVX genomic RNA are available and genetic modification protocols have been
- established for the production of CVPs. However, PVX coat protein subunits have not yet been
- shown to assemble into filamentous VLPs in the absence of RNA either *in vivo* or *in vitro*. Whereas
- 219 genetic modification of PVX is well established, chemical bioconjugation methods are inefficient
- 220 (21–86% depending on the conjugation strategy and the size of the target molecule) [25, 26]. PVX
- has been investigated for various applications ranging from vaccination to in vitro and in vivo
- diagnosis [12, 27, 28]. PVX virion assembly is sensitive to steric hindrance, so the characteristics of
- displayed peptides and their impact on the final structure have been studied in detail, revealing that
- peptide sizes, pI and the presence of specific amino acids play crucial roles [30,93–95]. In order to
- overcome size-limitation problems, an alternative 'overcoat' strategy was developed to improve the
- stability of chimeric particles based on the use of the Foot and mouth disease virus (FMDV) 2A co-
- translational dissociation sequence, allowing the simultaneous production of both wild-type coat
- 228 proteins and fusion proteins that can assemble into stable particles without steric hindrance at the
- 229 most effective wild-type: fusion protein ratio [96].
- 230 4.3 Tobacco mosaic virus
- The TMV coat protein gene provides various possibilities for the insertion of heterologous
- sequences. The N-terminus has been used successfully [20] but the C-terminus is a much more
- common fusion site [97–99]. The latter can be achieved by fusion to threonine-158 at the extreme
- C-terminus or insertion into the surface loop between serine-154 and glycine-155 [32]. Both
- strategies produce viable and stable chimeric particles. It is unclear whether the four C-terminal
- amino acids are required for the efficient systemic movement of recombinant viruses and the
- assembly of chimeric particles. In infected tobacco plants, the viral coat protein may account for up
- 238 to 7% of total host cell protein and can be easily purified from plant tissue in the form of VNPs.
- 239 Several heterologous proteins and short peptides have been expressed in TMV as coat protein
- 240 fusions without affecting viral replication and assembly [101–105].
- 241 4.4 Bamboo mosaic virus
- Bamboo mosaic virus (BaMV) is related to PVX and has a similar capsid and genome [102–105].
- However, it has a broader host range, infecting both monocotyledonous and dicotyledonous plants
- 244 [101]. BaMV is not a pathogen in most crops and therefore may be ecologically safer for field use
- 245 than other pVNPs [101]. A novel transgenic cell-suspension culture system has recently been
- developed, which continually produces self- replicating BaMV CVPs as well as coat proteins that
- self-assemble into VLPs displaying target epitopes [106]. The size of the epitope presented by
- 248 BaMV-based CVPs is limited to 37 amino acids [70], and similar size constraints have been
- reported for other viruses [97,107–109]. As for PVX, the FMDV 2A co-translational dissociation
- sequence may therefore provide a solution to this challenge [93].
- 251 4.5 Papaya mosaic virus
- 252 Papaya mosaic virus (PapMV) is another Potexvirus, with a similar genome structure to PVX and
- BaMV. It has a filamentous capsid, 500 nm in length and 14 nm in diameter. PapMV coat proteins
- have been expressed in E. coli and showed the capacity of self-assemble into VLPs. The coat
- 255 protein has been separated from the genomic RNA by the degradation of purified virus particles
- using acetic acid, thus facilitating *in vitro* assembly. The extracted coat protein formed a variety of
- aggregates that included a disk-like helical structure, similar in architecture to the native virus

particle but comprising only 18–20 subunits. Furthermore, the addition of genomic RNA to the isolated disks triggered the *in vitro* assembly of long rod-shaped particles similar to the wild-type virus [67,110].

PapMV nanoparticles can be used as an adjuvant to improve the performance of vaccines [111] and cancer immunotherapy [112], or as a vaccine platform to trigger an immune response against specific peptide antigens [113]. In order to prevent long peptides interfering with the self-assembly of VLPs [49], a novel system was developed by coupling peptides to already self-assembled nanoparticles using the bacterial transpeptidase sortase A [62,100].

#### 5. Development of pVNP Vaccines Displaying Antigenic Peptides

Many plant viruses have been used to display heterologous peptides for the development of vaccine candidates intended for active immunization. Some of these candidates have been tested *in vitro* and others have progressed to pre-clinical studies. The achievements of these investigations and the properties of the pVNPs and displayed peptides are summarized in Table 2. Interestingly, 10 of 36 studies demonstrated that the ability of pVNPs to induce an immunological response against the target peptide conferred protection against the corresponding pathogen in subsequent challenge experiments. In some studies, pVNPs were even able to confer partial cross-protection against related pathogens [98].



**Figure 1.** The production of pVNPs for pre-clinical development. A: The yields of pVNPs achieved in different production platforms. B: Relative frequency of different administration routes for pVNPs in pre-clinical studies.

Expression platform

In most cases the administration route was parenteral with a high proportion of subcutaneous injections (Figure 1A). The pVNPs were always administered in two or three doses. The average yield of the pVNPs was strongly dependent on which virus was used and which target peptide was displayed, resulting in a broad distribution (Figure 1B). The yields of pVNPs are also dependent the position of the heterologous peptide on the viral surface, a property that also affects the immunological properties of the pVNP [114].

**Table 2**: Survey of pVNP in vitro tests and pre-clinical studies.

pVNP	Target Peptide	Host	Pre-clinical and in vitro studies	References
------	----------------	------	-----------------------------------	------------

TuMV	Human vascular endothelial growth factor receptor 3 (VEGFR-3)	Arabidopsis thaliana	Immunogenicity in mice	[60]
TMV	M2e epitope from influenza	Nicotiana benthamiana	Immunogenicity in vitro	
TMV	Two peptides of CRPV	Nicotiana tabacum, Nicotiana benthamiana	Immunogenicity and challenging in rabbits	[98]
TMV	Peptide F20 (V141–P160 of FMDV VP1)	Nicotiana tabacum cv. Samsun	Immunogenicity in guinea pigs and swine and challenging	[107]
TMV	Peptide 9-14mer (TDAYNQKLSERRAN) of outer membrane (OM) protein F of Pseudomonas aeruginosa	Nicotiana tabacum cv. Xanthi	Immunogenicity and challenging in mice	[99]
TMV-U1	M2e epitope from influenza	Nicotiana benthamiana	Immunogenicity in BALB/c mice, virus challenging protection	[116]
BaMV	FMDV-VP1	Nicotiana benthamiana, Chenopodium quinoa	Immunogenicity in male and swine and challenging with the virus	[70]
BaMV	vvIBDV	Nicotiana benthamiana, Chenopodium quinoa	Immunogenicity, challenging with the virus and immunohistochemistry in chickens	[117]
PVX	HIV ELDKWA epitope from glycoprotein (gp) 41	Nicotiana benthamiana	immunogenicity in mice	[118]
PVX	HPV-16 L2 minor capsid protein (amino acids 108- 120)	Nicotiana benthamiana	Immunogenicity in mice	[119]
PVX	H-2Db-restricted epitope ASNENMETM of Nicotiona		Immunogenicity in C57BL/6J female mice	[28]
PVX	D2 peptide from <i>S. aureus</i> bronectin-binding protein (FnBP)	Nicotiana benthamiana	Immunogenicity in mice and rats	[120]
CPMV	D2 peptide from S. aureus bronectin- binding protein (FnBP)	Nicotiana Immunogenicity in mice and rats		[120]
CPMV	14 amino acid NIm-1A epitope from human rhinovirus 14 (HRV-14)	Vigna unguiculata	Immunogenicity	[114]
CPMV	Linear epitope from the VP2 capsid protein of mink enteritis virus (MEV)	Vigna unguiculata	Immunization of minks and challenging	[121]
CPMV	Peptide derived from the VP2 capsid protein of canine parvovirus (CPV)	Vigna unguiculata	Immunogenicity in dogs and challenging with the virus	[122]
CPMV	aa731- 752 of the gp41 of HIV-1 strain IIIB	Vigna unguiculata	immunogenicity in mice C57/BL6 (H-2b), BALB/c (H-2d) mice )	[123]
AMCV	HIV-1 2F5 epitope (2F5e)	Nicotiana benthamiana	ELISA	[80]
AIMV	Chimeric peptide representing rabies G (amino acids 253–275)  Nicotiana tabacum and Immunogenicity and challenging with the vir		Immunogenicity and challenging with the virus and Immunogenicity in humans of experimental plant virus-based rabies vaccine consumed in food	[72]
AIMV	V3 loop of the HIV-1 MN isolate (MNV3),	Nicotiana benthamiana	Immunogenicity in mice	[73]
AlMV	21-mer peptide representing amino acids 170-190 of the respiratory syncytial virus (RSV) G protein	21-mer peptide representing amino acids 170-190 of the respiratory syncytial virus (RSV) G protein  Nicotiana tabacum cv. Samsun immunogenicity in vivo in non-hu and in vitro in human dendri		[74]
AlMV	Small loop 15 amino acid epitope from domain 4 of the Bacillus anthracis protective antigen (PA- D4s).	Nicotiana tabacum cv. Samsun	Immunogenicity in Balb/c mice	[75]
PapMV	Hepatitis C virus (HCV) E2 epitope	Escherichia coli	Immunogenicity in C3H/HeJ and Balb/c mice and in humans	[63]
T up. T	L2 Cphopc	a epitope Escherichia coli Immunogenicity in BALB7c mice and chi		

PapMV	M2e influenza epitope	Escherichia coli	Immunogenicity in Balb/c mice	[64]
PapMV	HA11 peptide Escherichia coli influenza peptide		Immunogenicity in Balb/c mice	[61]
TBSV	16 amino acid epitopes of ricin toxin A chain	Insect cells and baculovirus	Immunogenicity in Balb/c mice	[125]
TBSV	13-amino-acid peptide derived from the V3 loop of human immunodeficiency virus (HIV-1) glyco- protein 120 (gp120)	Nicotiana benthamiana	Immunogenicity in mice	[126]
JGMV	27 amino acid peptide A of the Japanese encephalitis virus (JEV)	Escherichia coli	Immunogenicity in FVB/J mice and challenge with the virus	[55]
PhMV	FMDV infection-related B-cell epitopes of NSPs 3A, 3B and 3D	Escherichia coli	Immunogenicity in cattle, buffalo, sheep, pig samples	[127]
CMV	17 amino acid (aa) neutralizing epitope of NDV's fusion (F) protein (aa 65–81), 8 aa neutralizing epitope of the NDV hem- agglutinin-neuraminidase (HN) protein (aa 346– 353), and the same epitopes fused together in a tandem F–HN construct.	Nicotiana benthamiana	Immunogenicity in chickens	[77]
CMV	Peptide of HCV envelope protein E2 (R9 mimotope).	Nicotiana tabacum cv. Xanthi	Immunogenicity in rabbits, in vitro studies in humans	[76]
PVY	PreS1 or rubredoxin	Escherichia coli	Immunogenicity in Balb/c mice	[82]
CdMV	Kennedy peptide and the 2F5 and 4E10 epitopes of gp41 ofHIV.	Escherichia coli	Immunoreactivity with HIV patient sera	[79]
PPV	VP2 capsid protein of canine parvovirus (CPV)	Nicotiana clevelandii	Immunogenicity in mice and rabbits	[81]

The dose of pVNP administered in each pre-clinical study strongly depended on the animal model, ranging from 0.5 to 400  $\mu$ g/dose. In most cases, an adjuvant was also administered, with incomplete Freund's adjuvant and aluminum hydroxide the most widely used. The pre-clinical studies were mainly structured as a comparison between animals treated with pVNPs displaying the target peptide and a placebo group in which animals were treated with wild-type pVNPs lacking the displayed peptide. In a small number of cases, the experimental design also included a control group treated with the synthetic peptide. In these experiments, the quantity of peptide displayed by the pVNP was lower that the dose used for the synthetic peptide. Only one study included a control group in which the pVNPs were administered without an adjuvant [28]. This revealed that pVNPs administered with an adjuvant treatment achieved the greatest level of protection, and that pVNPs without adjuvant conferred the same protective effect as the synthetic peptide administered with the adjuvant. In other studies, the use of the synthetic peptide as a comparator was limited to indirect ELISA tests to detect the presence of specific antibodies [60,123]. Overall, these studies indicate that pVNPs can act as both as a carrier and an adjuvant, probably reflecting the particulate and repetitive nature of the virion [128].

The particulate nature of VLPs and CVPs also allows them to induce potent T-cell responses by interacting with antigen-presenting cells (APCs), especially dendritic cells (DCs) [129]. Cytotoxic T cells do not recognize native antigens, but are activated by processed peptides derived therefrom presented in association with MHC class I molecules [130]. The best way to induce T-cell activation by vaccination is to mimic the process of a natural infection, including the recognition, uptake, and processing of particulate antigens, and the presentation of processed peptides to cytotoxic T cells in order to activate them and trigger their proliferation [131]. DCs can efficiently carry out these processes by (i) taking up antigens in the cytosol and presenting processed peptide

- antigens on MHC class I molecules or receptors by cross-presentation, and (ii) by presenting the
- peptides on MHC class II molecules as part of the classical antigen-processing pathway [131].
- 321 Thus, in addition to stimulating helper T cells, DCs can also stimulate the conversion of naive T
- 322 cells into cytotoxic T lymphocytes (CTLs), which subsequently eliminate intracellular pathogens or
- 323 cancer cells via the presentation of antigens on MHC class I molecules, effectively bridging innate
- and acquired immunity. DCs preferentially take up particulate antigens by phagocytosis or
- macropinocytosis if the particle diameter is 20–300 nm, the size range of most viruses [132].
- 326 Therefore, pVNPs are the ideal size for DC and macrophage uptake and antigen processing to
- initiate antigen cross-presentation. Due to the high density of epitopes on their surface, the uptake
- of a single pVNP feeds thousands of epitopes into the processing and presentation machinery of
- 329 APCs, further enhancing their ability to stimulate CTLs.
- The presence of pVNPs can also directly activate B cells, leading to high antibody titers and long-
- lasting B-cell memory, even in the absence of adjuvants. Like mammalian viruses, the quasi-
- crystalline surface of pVNPs with arrays of repetitive epitopes is a prime target for B-cell
- recognition [133] and can efficiently crosslink epitope-specific immunoglobulins on the cell
- surface. Particulate antigens with repetitive epitopes spaced at 5–10-nm intervals may be unique to
- microbial surfaces, and vertebrate B cells have therefore evolved to specifically recognize and
- respond vigorously to these types of antigens [134]. The oligomerization of immunoglobulins in
- this manner forms a strong activation signal that leads to B-cell proliferation and migration, the
- upregulation of MHC class II molecules, T helper cell activation, IgM and IgG production and
- secretion, and the generation of long-lasting memory B cells [133]. An advantage of CVPs over
- 340 VLPs is that the viral RNA may trigger Toll-like receptor 7 on antigen-presenting cells, hence
- boosting the immune response like an adjuvant [135].
- 342 Another characteristic of pVNPs is that certain plant viruses (e.g. PapMV) are inherently highly
- immunogenic, triggering a strong humoral response against the coat protein. However, pre-existing
- antibodies recognizing virus coat do not appear to affect the ability of pVNPs to boost the humoral
- response toward heterologous antigens displayed on their surface [124].

346 347

In summary, pVNPs can be used to carry immunodominant peptides for active immunization strategies, and their intrinsic properties, such as the ability to display arrays of antigens on a scaffold with a repetitive structure, may help to promote their immunological efficacy.

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#### 6. Consent for Publication

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All authors read and approved the final manuscript.

354 355

#### 7. Conflict of interest

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

359 360

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