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1 A temporal segmentation approach for dendrometers signal-to-noise discrimination

2

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15 Highlights

- 16 ● Raw dendrometer time-series data are usually analyzed focusing just on specific periods
- 17 ● New procedure to automatically filter dendrometer data
- 18 ● Daily temporal features including stem shrinkage and swelling
- 19 ● Rigorous accuracy assessment and method validation

20 **Abstract**

21 Automatic analysis of point dendrometer time series (DTS) registering radial stem variations of
22 trees is of relevant interest to study tree water use and growth. Unfortunately, data from such sensors
23 are often characterized by a large amount of noise that needs to be distinguished by sensors responses
24 induced by biological processes (signal) and irregular fluctuations due to electrical disturbances,
25 malfunctions, or external inputs (noise) such as stem flow, perturbation induced by animals, stem
26 temperature and humidity variations. Although some algorithms have been developed to adjust jumps
27 and correct peaks in DTS, it is nowadays challenging to extract biological signals after a large number
28 of corrections and artifacts introduced during denoising processes. In this study, we present an
29 alternative methodology consisting of the first attempt to automatically identify days in which the
30 dendrometers are registering information related to the activity of the tree and relevant for a specific
31 study (days-of-signal). Through (i) per-day temporal segmentation of different stem behaviors, (ii)
32 daily temporal features extraction, and (iii) automatic days-of-signal and days-of-noise
33 discrimination, we automatically analyzed 19 million DTS records acquired during three years by 12
34 dendrometers installed on xylem and bark at different stem heights from the collar, at the bottom and
35 top-level, of *Pinus sylvestris* trees. To train and assess the performance of the model, we constructed
36 a reference dataset by labelling 600 daily DTS into days-of-signal or days-of-noise. As a result of our
37 model application, we detected 3,534 days-of-signal among the altogether 13,152 measurement days
38 with a per sensor overall accuracy, calculated using the reference dataset, ranging between 100% and
39 82%. Finally, we showed the trend of stem shrinkage and swelling over the three years study period.
40 The large accuracies obtained over the different sensors suggest that our method is versatile and
41 generalizable.

42

43 **Keywords:** stem radial growth, time series, big data, scots pine, machine learning, artificial
44 intelligence

45 1. Introduction

46 Stem radial changes in trees are of relevant interest in the field of forest ecophysiology and the use
47 of the ‘stem signals’ as proxies of tree water use and growth pattern in response to environmental
48 drivers has been continuously increasing in the last decade (Deslauriers et al., 2007a; Steppe et al.,
49 2015). Stem radial variations are measured with dendrometers or gauges to monitor swelling and
50 shrinkage at high resolution in time (minutes) and space (micrometers). In the past, dendrometer time
51 series have been mainly used to obtain information about the seasonal pattern of stem
52 radial/circumference increment (i.e. biomass allocation) or to assess the dependence of tree growth
53 on environmental drivers or treatments (McLaughlin et al., 2003, Paoletti et al., 2019). These
54 approaches are characterized by acquisitions at a low time resolution (i.e. daily or weekly interval
55 data grouping) to measure the stem radial increments and model them at a seasonal level (McMahon
56 et al., 2015). More advanced approaches exploit data acquired more frequently (from minute to hours)
57 and apposite algorithms to (i) identify growth signals (Deslauriers et al., 2003, Bouriaud et al., 2005,
58 van der Maaten et al., 2016, Knusel et al. 2021), (ii) define the seasonal pattern of tree water balance
59 (Daudet et al., 2005; Dietrich et al. 2018) and (iii) carbon allocation in response to environmental
60 drivers (Zweifel et al., 2010, Steppe et al., 2016), (iv) to study cold and drought acclimation strategy
61 of trees (Cocozza et al., 2009, Giovannelli et al., 2007), (v) to analyze tree responses to climate change
62 (Balducci et al., 2019, Giovannelli et al., 2022), (vi) to detect tree health declining in urban areas
63 (Rocha et al., 2020, Giovannelli et al., 2021), (vii) to direct irrigation scheduling in woody crops
64 (Tognetti et al., 2009, Li et al., 2020), and (viii) to drive timing of cambium collection for anatomical
65 (Berta et al., 2010), and (ix) genomic analyses (Cruz-Garcia et al., 2019). Overall, the high-resolution
66 analyses of the dendrometer data provide important details about the amplitude and duration of
67 reversible stem radial changes related to tree water content (Offenthaler et al., 2001, Giovannelli et
68 al., 2019) as well as irreversible changes related to stem growth patterns at a seasonal scale (De Swaef
69 et al., 2015; Zweifel et al., 2016), and related to phenology and growth–climate relationships (Zweifel
70 and Häsler, 2001, Deslauriers et al., 2003, Cocozza et al., 2016). Critically, the high time resolution

71 of acquisitions foresees the production of wide and difficult-to-manage Dendrometer Time Series
72 (DTS). In recent years parallel efforts were dedicated to the management and harmonization of DTS
73 and their integration with proper modelling protocols (King et al., 2013; Van Der Maaten et al., 2018,
74 Knüsel et al. 2021).

75 The first step of DTS analysis is the detection of outliers (values out of the range of the others),
76 shifts (rapid changes in the average distribution of values), or jumps (one-point duration spikes)
77 frequently present in DTS due to electronic failures, mechanical disturbances, calibration issues, or
78 software acquisition bugs. Then, the DTS is adjusted through data cleaning and gap-filling procedures
79 (De Swaef et al., 2015; Deslauriers et al., 2007b) and, finally, DTS is split and compared over
80 different months, growing seasons, or years, to identify tree responses to variations of environmental
81 conditions (Zweifel and Sterck, 2018; Kannenberg et al., 2019). However, daily monitoring of
82 changes in tree water content requires precise and high-frequency DTS, with up to 1 observation per
83 minute. In these cases, it is often complicated to distinguish between sensor responses induced by
84 biological processes of interest – from now on “signal” - and fluctuations noncoding for biological
85 processes or out of interest due to rainwater, fog, humidity absorption by the bark, stemflow, or
86 mechanical movements due to animal perturbations or temperature variations – from now on referred
87 as “noise”. For these reasons, the common procedure is to select portions of the DTS where the signal-
88 to-noise ratio is large, namely when the intensity/magnitude of the signal consistently prevails over
89 the noise. This often means a manual, time-consuming uncertain, and, most critically, subjective
90 checking of the DTS which, in turn, implies losing a lot of data and information that may be relevant.
91 Moreover, subjective checking of DTS makes the procedure hardly repeatable and it can lead to
92 contrasting results with the same datasets. Critically, automatically identifying which portion of the
93 DTS should be removed is challenging, and several studies aim to standardize the processing of
94 dendrometer data, to clean multiannual DTS, remove outliers, and correct erroneous shifts and jumps
95 (Knüsel et al. 2021, Sugam et al., 2020).

96 For example, the dendrometeR and the dendRoAnalyst R packages (Maaten et al. 2016; Aryal et
97 al. 2020) allow handling and pre-cleaning of data, erosion of artefacts, identification of data gaps
98 within records, and allow dealing with the possibility of changes in temporal resolution. In addition,
99 those packages allow analyzing DTS using the most common analytics methods, including the daily
100 approaches to calculate or extract single values per day, and the stem-cycle approaches to separate
101 high-resolution dendrometer records into distinct phases of contraction, expansion, and stem-radius
102 increment. In turn, such procedures often result in DTS from which it is complicated to extract
103 representative information on a daily scale, mainly due to a large number of corrections and artefacts
104 introduced during the process which may hide the signal of the original variable of interest. Also, the
105 reparation of DTS performed by such procedures often implies subjective choices, which can affect
106 the results and thus the conclusions of the research. Seriously, such approaches repair DTS also
107 acquired by sensors that are not working correctly, thus providing data that do not include any relevant
108 information but that is just the result of several corrections and adjustments steps.

109 To fill these gaps, in this study, we propose an alternative procedure based on a reframing of the
110 way mentioned issues are usually addressed. Instead of adjusting the DTS, we aim to automatically
111 identify days in which the sensors record data that are considered signals and useful according to the
112 aim of the research. Herein, we considered days-of-signal those in which the sensors registered the
113 change in stem radius typical of sunny days during the season of stem radial growth. The procedure
114 is articulated in three main steps: temporal segmentation (section 3.1), daily temporal feature
115 extraction (section 3.2), and days-of-signal automatic identification (section 3.3). To remove
116 redundant or out-of-interest information by DTS, we exploited Random Forests (Breiman, 2001),
117 which has a powerful predictive performance (Hawryło et al., 2020), it is mostly unaffected by
118 overfitting (Vaglio et al., 2021, Cavalli et al., 2022, 2023) and can accommodate non-normal
119 responses and nonlinear relationships (Hermosilla et al., 2022, Francini et al., 2023). The approach
120 was tested over 12 installed dendrometers which acquired data every minute for the period between
121 2010-01-01 and 2012-12-31, for a total of about 19 million records.

122 2. Materials

123 2.1. Study area

124 The study area is located in Hyytiälä, University of Helsinki's Station for Measuring Forest
125 Ecosystem-Atmosphere Relations (SMEAR II). The area is located within the southern boreal zone
126 in southern Finland (61°50'50"N, 24°17'41"E, 180 m a.s.l.). The studied forest stand is an even-aged
127 homogeneous ~60-year-old Scots pine (*Pinus sylvestris* L.) stand (Vesala et al., 1998), grown after
128 prescribed burning and sowing in 1961. In 2012, the dominant height of the stand was 19.6 m and the
129 mean stem diameter at breast height was about 17.5 cm (Back et al., 2012). The mean annual (1991-
130 2020) precipitation and air temperatures were 690 mm and +4.1 °C, respectively. February is typically
131 the coldest month (mean -6.7 °C) and July is the warmest (mean +16.2 °C).

132

133 2.2. Dendrometer data

134 The procedure was tested on 12 DTS of sensors installed on three *Pinus sylvestris* trees. The dataset
135 was constituted by data recorded with four sensors per tree. Stem radial variations were measured
136 every minute for the period between 2010-01-01 and 2012-12-31 using two linear variable
137 displacement transducers (point-dendrometers) (LVDT; model AX/5.0/S; Solartron Inc., West
138 Sussex, UK) installed at 1.5 m (b=base) and 12.5 m (t=top) from the collar in tree 1, at 2.5 m and
139 10.5 m in tree 2, and 2 m and 10 m in tree 3. A detailed description of the point-dendrometers and
140 sensor installation is provided by Sevanto et al. (2005) and Chan et al. (2016). A first dendrometer
141 was installed on a screw that was inserted approximately 10 mm through the outer and inner bark into
142 the superficial part of the existing xylem to measure changes in the xylem diameter, not measuring
143 the growth of the stem (Sevanto et al., 2005). A second dendrometer was mounted on the bark to
144 measure the radius changes including growth, and changes in the thickness of the phloem and bark.

145

146 2.3. Reference data

147 To train, validate, and assess the performance of our algorithm we constructed a reference dataset
148 of 600 daily DTS by selecting – through simple random sampling - 50 days for each of the 12 DTS.
149 As a result, an average of 67 days was selected for each month, with most days (95) selected in March
150 and the fewest days (56) selected in August. The 600 daily DTS were analyzed by a group of three
151 experts to identify days-of-signal and to label the remaining ones as days-of-noise. In this study, the
152 experts' classification of days-of-signal was based on the confirmation of the presence of all the three
153 following stem cycle phases: (1) the contraction – the phase between the maximum in the early
154 morning and daily minimum in the middle of the day, (2) the expansion – phase from the daily
155 minimum to the following morning maximum at the end of the day, and (3) the stem radius increment
156 – phase from the time the stem radius exceeds the morning maximum of the previous day until the
157 next maximum. Based on this classification, the dendro-signals related to freeze-thaw cycles were
158 included among days-of-noise. It is postulated that freeze-thaw cycles are induced by apoplastic ice
159 nucleation at low temperatures (Lintunen et al., 2015, Lindfors et al., 2019) and their occurrence is
160 independent of the hour of the day (asynchronism between daily phase and phase cycle).

161 A cross-check methodology was implemented similar to that presented by Francini et al., (2022a,
162 2022b). All 600 days were analyzed independently and labeled by the three photointerpreters. For the
163 daily DTS on which photointerpreters initially disagreed on the label (i.e. signal or noise), the
164 photointerpreters discussed their respective labels while viewing the same imagery and came to a
165 common conclusion. Thus, in the final reference dataset, any disagreement between the three experts
166 was present for any of the 600 daily DTS.

167

168 3. Methods

169 The proposed workflow aims to (i) remove out-of-interest or useless information by DTS and (ii)
170 provide relevant and meaningful features for the remaining data. It was implemented in R 4.0 (R Core
171 team 2017) and is divided into three main steps. The first step is temporal segmentation, in which we
172 identify 5 vertices for each day by defining the four segments that better summarize the time series

173 (section 3.1, Fig. 1-B). The second step is feature extraction, in which we calculate a set of metrics
174 describing the behavior of the segments for each daily DTS (section 3.2, Fig. 1-C). In the third one,
175 we use Random Forests and daily metrics as predictors to classify between days-of-noise and days-
176 of-signals and to assess the importance of the metrics (section 3.3, Fig.1-D). In the fourth and last
177 step, we assess (i) the performance of the classification model, (ii) the model generalizability, i.e., the
178 capability of the model to be applied over data acquired from different sensors than that used for
179 training the model, and (iii) the relationship between the amount of available training data and the
180 accuracy of the model (section 3.4).

181

182 3.1. Temporal segmentation

183 To increase the signal-to-noise ratio and filter out redundant and meaningless information we
184 propose a temporal segmentation procedure based on a revisitation of the Sliding Window And
185 Bottom-up (SWAB) procedure, for which functions and detailed representation of the algorithm are
186 provided in Keogh et al. (2000), table 4. For each one-day time window (Fig. 1-A), we created the
187 finest possible approximation of the DTS by merging all pairs of adjacent points. In this way, the
188 DTS is represented as a set of $N-1$ segments, where $N = 1440$ is the number of minutes - and thus
189 points or vertices - per day. Second, the cost - in terms of Root Mean Square Error (RMSE) - of
190 merging each pair of adjacent segments is calculated and the pair with the lower cost is merged, i.e.,
191 the vertex between that pair of segments is removed. After that, the process is repeated until some
192 pre-defined conditions are met. Keogh et al. (2000) suggested that the segmentation process should
193 be repeated until the number of segments does not exceed a threshold (maximum number of
194 segments) or the RMSE does not exceed a threshold (maximum RMSE). However, depending on the
195 sensor, the RMSE can change a lot, and defining a general maximum RMSE is challenging. Plus,
196 DTS with a different number of segments makes it challenging to compare segments and temporal
197 features (section 3.2) across different days. For these reasons, we used just the number of segments
198 $= 4$ as the stopping criteria. Thus, for each daily DTS, we identified among the initial 1440 daily

199 points the five vertices defining the 4 segments that better summarize the daily DTS, i.e., that
200 minimize the RMSE (Fig. 1-B). Although we tested several different values, 4 was the number of
201 segments (Fig. 1B) that better describes the daily variation of DTS, resulting in the best compromise
202 between not losing too much information and the need for daily TS approximation and relevant
203 features extraction (Fig. 1B)

204 Segment 1 refers to the night, with the increase of stem radius in the first part of the day. Segment
205 2 refers to the morning, with a slow decrease in stem radius. Segment 3 refers to the period around
206 midday. Finally, segment 4 refers to the evening, with the slow increase of stem radius typical of the
207 last part of the day.

208

209 3.2. Temporal features extraction

210 To characterize the daily behaviour of variables we calculated a set of three metrics for each of the
211 4 segments (Fig. 1C): (i) the magnitude (a change on the y-axis), (ii) the duration (a change on the x-
212 axis), and (iii) the RMSE (Root Mean Square Error). As a result, we obtained 12 temporal features
213 per day. More information on temporal features calculation can be found in Hermosilla et al. (2015),
214 where a similar approach was applied to time series of remote sensing images.

215

216 3.3. Signal to Noise discrimination model

217 To automatically classify daily DTS into signal or noise (section 3.2), we used the temporal features
218 as input predictors for the Random Forests algorithm (Breiman, 2001), an ensemble of decision trees
219 that learns through a supervised approach and produces multiple models that are aggregated through
220 bootstrapping. Using Random Forests, the training dataset is randomly divided to train N models
221 “trees” which are then aggregated to build the “forest”. Random Forests requires a set of
222 hyperparameters set a priori, among which are the number of trees and the number of variables to be
223 used by each tree. Although the tuning of these hyperparameters can partially improve the
224 performance of Random Forests (Vaglio et al., 2021, Hawrylo et al., 2020), we used default values:

225 100 as the number of trees in the forest and 3 as the number of variables considered by each tree. The
226 model was then trained using all available reference data (600 days) and applied to the whole data
227 (1,096 days for 12 TS) to automatically discriminate between days-of-signal and days-of-noise.

228 The 12 daily temporal features were also studied to define their contribution to increasing the
229 accuracy of the model and discriminating between days-of-signal and days-of-noise. To do it, we
230 used the reference dataset and RF to calculate the variables' importance in terms of the Gini Index
231 (GI) which indicates for each variable the probability that a sample is classified correctly when that
232 variable is randomly selected during Random Forests splitting. The greater the GI of a specific
233 variable the greater the contribution of that variable to increase the performance of the model.

234

235 3.4. Performance assessment

236 Overfitting is an issue of models that are too specific, thus able to reproduce closely the training
237 data used but weak to generalize outside the calibration examples. In these cases, the accuracy of
238 models consistently decreases when they are applied over data different than that used for training.

239 To avoid overfitting, one of the most useful methods is k-fold cross-validation (k-fold CV) which
240 splits the training set into K number of subsets, called folds. When k is equal to the number of samples
241 in the reference dataset (in our case 600) this method is called Leave-One-Out LOO. For comparison
242 purposes, and to double-check the robustness of our accuracy estimates, we used both LOO CV, with
243 $k = 600$, and k-fold CV, with $k = 12$, or the number of different dendrometers sensors in our data.

244 Using LOO CV, our model was iteratively fitted $k=600$ times. Each time, the model was trained on
245 data from $600-k$ (599 samples) of the folds, and the performance was evaluated on the remaining
246 kth-fold. Therefore, our model was iteratively fitted $k=12$ times. Each time, the model was trained
247 using data acquired from 11 sensors (550 samples), and the performance was evaluated on data
248 acquired from the remaining sensor (50 samples). This procedure aimed to verify if the calibrated
249 model can be transferred to other sensors.

250 Finally, to test how the size of the training dataset affects the accuracy of the discrimination process,
251 we trained and validated the model by gradually decreasing the ratio between the training dataset and
252 the validation dataset and by applying a Repeated Cross Validation procedure (rCV). For 100 times,
253 we randomly selected 90% of the data as training and we validated the resulting model on the
254 remaining 10% of data. Then, we repeated this procedure by gradually decreasing the
255 training/validation ratio using a 5% step: 85% for training and 15% for validation, then 80% for
256 training and 20% for validation, and so on until the training data is 10% and the validation is 90%.
257 For each training/validation ratio, the following three steps were repeated 100 times: (i) the training
258 data is randomly selected, (ii) the model is trained, and (iii) the accuracy is assessed using the
259 validation data.

260 For all the three mentioned methods – LOOCV, k-fold CV, and rCV - the performance parameters
261 we calculated are (i) overall accuracy (OA), i.e. the percentage of cases correctly classified, (ii)
262 omissions, i.e. the percentage of days-of-signal that were incorrectly classified as days-of-noise, (iii)
263 commissions, i.e. the percentage of days-of-noise that were incorrectly classified as days-of-signal,
264 and (iv) true skill statistic (TSS, Allouche et al., 2006), i.e. the sum of sensitivity and specificity minus
265 1. Where, in our study, the sensitivity reflected the ratio of days-of-signal correctly classified as days-
266 of-signal and the specificity reflected the ratio of days-of-noise correctly classified as days-of-noise
267 (Togashi et al., 2022).

268

269 4. Results

270 First, we show four examples of segmented days in Fig. 2, where graphs A and B describe days-
271 of-signal defined by the first segment that shows the night with low transpiration, the second segment
272 that shows the daily phase of increasing transpiration, the third segment that shows high transpiration,
273 and the fourth segment that shows decreasing transpiration. Graphs C and D (Fig. 2) are days-of-
274 noise, where the 4 segments do not define phases typical for a representative day in a vegetative
275 season. Such days-of-noise could occur in peculiar weather conditions, such as high relative humidity.

276 Most of the RMSEs ranged between 0 and 5 with very small differences between segments but large
277 differences between sensors (Fig. 3).

278 The LOO CV performance assessment of the Random Forests model resulted in OA = 89.8%, TSS
279 = 79.3%, omissions = 10.4%, and commissions = 4.7%. Similarly, the k-fold CV performance
280 assessment (k = 12) confirmed large accuracies and supported the robustness of our results: OA =
281 87.3%, TSS = 73.8%, omissions=12.1%, and commissions=5.7%. Using LOO CV, the OA assumed
282 values were consistently different for different sensors, ranging between 100% for the dendrometer
283 on the stem at the top of tree “2” (stem 2t) and 82% (stem 2b and xylem 1t) (Table 1). Using k-fold
284 CV, the lowest accuracy was still registered for the xylem 1t sensor (OA = 66%, TSS = 39%). Finally,
285 using the rCV and by gradually decreasing the ratio between training and validation data we checked
286 the relation between the amount of training data and obtained accuracy (Fig. 4). Although, as
287 expected, model performance decreases together with the amount of training data used, all the
288 accuracy parameters maintained satisfactory values (OA = 82%, TSS = 61%, omissions = 15%, and
289 commissions = 9%) also using just 10% of data for training (60 samples of our reference dataset) and
290 the remaining 90% for validation (540 reference samples).

291 The analysis of the importance (in terms of GI) of the temporal features (Fig. 5) revealed that the
292 contributions of segments 2 and 4 in increasing the accuracy of the model were consistently greater
293 than those of the other segments, with the magnitude providing the greatest contribution. Temporal
294 features GIs of segment 3 were generally very small. The RMSE contribution was the smallest in all
295 segments with GIs values ranging around 8%.

296 Among the 13,152 days, 3,534 days were detected as days-of-signal (Fig. 6) with a very unbalanced
297 distribution among the different sensors (Fig. 7). While the dendrometer in the xylem at the top of
298 the tree “3” (“xylem 3t”) registered just 45 days-of-signal, the dendrometer installed in the xylem at
299 the bottom of the tree “1” (“xylem 1b”) registered 549 days-of-signal among the 1095 days in the
300 three years TS. For this sensor, we show in Fig. 8 the trend over time of segment 2 and segment 4
301 magnitudes – corresponding to shrinkage and swelling, respectively. The shrinkage and swelling

302 trends over the three years of the analysis shown in Fig. 8 reflect the expected behavior over time due
303 to trees' phenology. Finally, in Fig. 9 we show the output of the signal (blue dots) to noise (red dots)
304 discrimination process applied over some examples of DTS.

305

306 5. Discussion

307 Plant sensors are of high interest as 'phenotyping' tools that need to be capable of high-throughput
308 screening of a huge number of plants (Fiorani and Schurr, 2013). Herein we introduced a new
309 procedure for DTS analysis by application of a temporal segmentation algorithm and a machine
310 learning approach to automatically discriminate between days-of-signal and days-of-noise.

311 Accordingly, 9,618 days-of-noise were discarded among the 13,152 days in the TS. This method
312 consistently differs from the usual ones, which aim to adjust and repair DTS instead of removing out-
313 of-interest information as we did.

314 The construction of the 600 days reference dataset we used required about three days of manual
315 work, one for each of the three photointerpreters. The resulting model was then applied to classify
316 13,152 days - that is all the data we had - saving a relevant amount of time and manual work. Also,
317 the same model may be applied over even more days, further increasing the advantage of automation.

318 On the other hand, decreasing the ratio between the amount of training data and the amount of data
319 over which to apply the model might negatively affect the accuracy (Raz et al., 2017, McGiff et al.,
320 2019), but - depending on the dataset - the accuracy decrease is expected to be very minimal (Han
321 and Kim 2021). Accordingly, in this study, we progressively decreased the ratio between training and
322 validation data and we showed that the amount of training data required by our model can be as small
323 as 60 samples, or 10% of the data for training and 90% for validation.

324 The sensor's overall accuracies - estimated using a reference dataset of 600 days - were up to 100%
325 and never smaller than 82% using LOO CV. Furthermore, the number of commissions was half the
326 number of omissions (5% and 10% respectively), which means that most (about 95%) of the days-of-
327 signal selected using our model included relevant information suitable to perform additional analysis,

328 such as investigating the relationships between daily dendrometers variation and weather conditions
329 (e.g. temperature, precipitation). While the LOO performance assessment procedure is suitable when
330 you have a small set of reference data as we have (600 samples), the calculated accuracy is an
331 estimate, and slightly different results may be obtained by using different assessment procedures,
332 such as the k-fold CV (Bengio and Grandvalet 2004). On the other hand, the expected differences in
333 the accuracy estimates are subtle (Zhang and Yang 2015). Accordingly, even if using k-fold CV our
334 model was calibrated on a selected subset of sensors and validated with the remaining ones, the
335 differences with the accuracy parameters estimated using LOO were minimal – OA, TSS, omissions,
336 and commissions decreased respectively by 2.5%, 4.5%, 1.7%, and 1%. These results suggest that
337 the herein proposed model can be applied to sensors different from those used for calibration and it
338 shows that the amount of training data can be very small while maintaining good model performance.
339 On the other hand, for some sensors, the accuracy can be consistently small, in particular considering
340 the TSS performance parameters. For instance, the stem It sensor revealed large OA (92% using LOO
341 CV and 90% using k-fold CV) but low TSS (29% using LOO CV and 21% using k-fold CV). Those
342 results point out the need of having an exhaustive reference dataset, representing all sensors and
343 including enough of both days-of-signal and days-of-noise. Indeed, the percentage of days-of-signal
344 in the reference days for the stem It sensor was very low (6%), complicating both model training and
345 performance assessment exercises.

346 Segments predicted using our approach summarise the daily behaviour of dendrometers' signal, by
347 removing redundant information and thus increasing the signal-to-noise ratio. Due to the different
348 positions of the 12 dendrometers (i.e. over the bark, xylem, and at 1.5 and 15 m from the ground),
349 they had very different absolute values, daily ranges, noise sources, characteristics, and amounts. We
350 stress that to obtain accurate results with different sensors, proper reference data acquired for different
351 DTS are needed. To this aim, also the number of segments used by the temporal segmentation
352 approach may need to be adjusted to match the daily trend of different traits. Similarly, also the

353 amount of discarded data can be decreased if needed by decreasing the number of days considered as
354 days-of-noise in the reference data.

355 The 12 temporal features (section 3.1 and Fig. 1-C) were used to discriminate between days-of-
356 signal and days-of-noise (OA = 90%) and for summarising the daily trend by removing redundant
357 information and by extracting the daily features of greatest interest, such as the stem shrinkage and
358 the swelling. The temporal features were also studied to define their capability (in terms of GI) in
359 discriminating between days-of-signal and days-of-noise and thus increasing the accuracy of the
360 model. The GIs of the second and fourth temporal segments' magnitudes (namely, the change of
361 dendrometer values on the y-axis) were considerably greater than other temporal features' due to the
362 relevance of the presence of shrinkage and swelling of the stem in the daily time series to be
363 considered as day-of-signal. These results also agree with the days-of-signal definition given in this
364 study. The GIs of the third segment temporal features were generally small, pointing out that this
365 segment does not particularly affect the noise-signal classification process. On the other hand,
366 temporal features related to the third segment may be of great interest to several research purposes.
367 For example, the duration of the third segment defines the time between the end of the shrinkage
368 phase in the morning and the start of the swelling phase in the evening, and thus provides important
369 information on the sensitivity of the tree to the environment. Indeed, during that daytime, the thermal
370 and water conditions change by causing swelling after the shrinkage of the stem. In turn, in further
371 investigations, additional features of the presented and analyzed 12 temporal features may be tested
372 and studied such as the slope (the magnitude/duration ratio) or the daily range of values.

373 The RMSE importance was very small in all segments with values ranging around 8%. As per the
374 temporal features of the third segment, the small GI values of RMSE indicated little contribution in
375 detecting days-of-signal but it might be important in future research. On the other hand, the RMSE
376 can be considered a per-segment measure of the reliability of the segmentation process output: a small
377 RMSE of a specific segment indicates that the segment fits very well with the data - a minimum loss
378 of intra-segment variability - while a large RMSE indicates a high intra-segment variability, possibly

379 implying a loss of relevant information and/or a not state-of-the-art segment fitting. The high
380 reliability of the RMSE was confirmed by the high variability of values between different sensors,
381 although they showed similar values between different segments of the same sensor. Indeed, if a
382 sensor is working properly, it is expected that the RMSE is low in all segments. In summary, temporal
383 features calculated over days with large values of RMSE may be considered less reliable than those
384 of days characterized by small RMSE values.

385 The temporal segmentation method, daily features extraction, and the Random Forests application
386 steps allowed for discarding noisy data that may alter the validity of the results. This procedure is
387 usually performed through a time-consuming and, most questionable, subjective visual inspection of
388 the whole DTS. Manually - possibly subjectively - selecting a specific portion of the DTS can
389 compromise the scientific validity of the confirmation of an eventual hypothesis that, instead, should
390 be verified objectively and considering the whole data. Automatic routines, such as that presented in
391 this study, permit to a priori define criteria and definitions for selecting or discarding data and,
392 consequently, overcome mentioned issues. The procedure we demonstrated in this study is a useful
393 tools for identifying days-of-signal, that in this study were days in which the contraction, the
394 expansion, and the stem radius increment were registered by the sensor, according to the user
395 definition and thus to the study aim.

396 Future research should aim to investigate the relationships between environmental variables and
397 tree functional traits considering long DTS and exploiting the temporal features our procedure
398 provides. Exploiting the potential of the procedure we proposed in this paper, correlations between
399 temperature/humidity and the daily trend of tree diameters can be studied considering the whole time
400 series obtaining results more significant from a scientific point of view compared to results obtained
401 manually selecting a specific DTS portions.

402

403 6. Conclusions

404 Dendrometers are known to produce time-series data including a large amount of noise. In this
405 study, we present the first attempt to automatically identify days in which the dendrometers are
406 registering information that is of interest depending on the purpose of the study (days-of-signal). This
407 methodology should be in our opinion preferred to methods that correct peaks and jumps, which –
408 due to a large amount of noise often present in DTS – can alter the original DTS signal. The proposed
409 approach automatically detects shrinkage-swelling cycles within stem radius variations, allowing to
410 investigate relationships between tree functional traits and environmental variables and to interpret
411 the adaptation and mitigation potential of trees under current and future climate change conditions.

412 Today the availability of this kind of tool promises the expansion of such technologies into plant -
413 species-specific - biology and physiology when high temporal frequency (sub-hour monitoring), real-
414 time data transmission and massive monitoring points at low cost are required. These assumptions
415 create new opportunities in terms of future development of *in-situ* earth observation systems to
416 increase the quality and sustainability of forest management through a better understanding of the
417 relationship between tree growth and climate variables.

418 By a daily temporal segmentation, temporal features extraction, and days-of-signal days-of-noise
419 discrimination, we automatically analyzed 12 DTS over three years with one observation per minute
420 for a total of about 19 million data records. We obtained a dataset reporting for each day and each
421 sensor the output of the segmentation process (i.e. the detected vertices) and a set of 12 relevant
422 temporal features - including those related to stem shrinkage and swelling. Using Random Forests,
423 we detected 3,534 days-of-signal among the 13,152 with a per-sensor overall accuracy ranging
424 between 100% and 82%. Considering the remaining days-of-signal, we were able to show the trend
425 of shrinkage and swelling over the three years study period. Future research may exploit the herein
426 presented method to investigate relationships between tree functional traits and environmental
427 variables and to interpret the adaptation and mitigation potential of trees under current and future
428 climate change conditions.

429

430 Data availability statement

431 Codes needed for implementing the temporal segmentation approach and calculating the metrics
432 are provided on Zenodo (<https://zenodo.org/record/7116133#.YzLjnXZBzb0>) together with a brief
433 documentation to help execute the codes.

434

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655

656 Table and Figures' captions

657 Table 1. Per sensor overall accuracy (OA) and true skill statistic (TSS) obtained using both LOOCV
658 and KFCV performance assessment procedures. Values are represented per tree (1, 2, and 3) by
659 considering the dendrometers installed on the bark and xylem at the bottom ("b") and top ("t") level
660 of the stem.

661 Fig. 1. Proposed workflow: the original time series (A), temporal segmentation (B), feature
662 extraction (C), and signal-to-noise discrimination (D). Temporal features calculated for the detected
663 days-of-signal can be then used to further analysis and to verify any scientific hypothesis related to
664 DTS analysis.

665 Fig. 2. Examples of temporal segmentation outputs. Panels A and B show days-of-signal while
666 panels C and D show days-of-noise. The TS refers to the dendrometer installed at 1.5 meters on the
667 stem of the first pine.

668 Fig. 3. Box plots of segments RMSE per sensor. Values are represented per tree (1, 2, and 3) by
669 considering the dendrometers installed in the stem and xylem at the base ("b") and top ("t") level of
670 the stem. S=segment, S1= night with low transpiration, S2=morning with increasing transpiration,
671 S3=day with high transpiration, and segment, S4=the evening with decreasing transpiration.

672 Fig. 4. Relation between accuracy (y-axes) and percentage of data used for training and validation
673 (x-axes). The average and the standard deviation resulting from the repeated Cross Validation
674 procedure (section 3.4) are shown for each training/validation percentage combination and for each
675 parameter of performance assessed: Overall Accuracy (OA), True Skill Statistic (TSS), omission, and
676 commission errors.

677 Fig. 5. Temporal features importance in each temporal segment in terms of Gini Index. The greater
678 the GI of a specific variable the greater the contribution of that variable to increase the performance
679 of the model.

680 Fig. 6. Distribution over time of the detected days-of-signal (black dashed lines) per sensor. Values
681 are represented per tree (1, 2, and 3) by considering the dendrometers installed and xylem at the
682 bottom (“b”) and top (“t”) level of the stem.

683 Fig. 7. Distribution of the 3,534 detected days-of-signal per sensor. Values are represented per tree
684 (1, 2, and 3) by considering the dendrometers installed and xylem at the bottom (“b”) and top (“t”) level of the stem.

686 Fig 8. Segment 2 (shrinkage in the morning) and segment 4 (swelling in the evening) magnitude
687 trends over time in the xylem 1b sensor.

688 Fig 9. Examples of DTS and the results of the signal (blue dots) and noise (red dots) automatic
689 discrimination process.