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This is the final peer-reviewed author's accepted manuscript (postprint) of the following publication:

Published Version:

Giacometti, F., Pezzi, A., Galletti, G., Tamba, M., Merialdi, G., Piva, S., et al. (2021). Antimicrobial resistance patterns in Salmonella enterica subsp. enterica and Escherichia coli isolated from bivalve molluscs and marine environment. FOOD CONTROL, 121, 1-9 [10.1016/j.foodcont.2020.107590].

Availability: This version is available at: https://hdl.handle.net/11585/789138 since: 2021-01-17

Published:

DOI: http://doi.org/10.1016/j.foodcont.2020.107590

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(Article begins on next page)

1	Antimicrobial resistance patterns in <i>Salmonella enterica</i> subsp. <i>enterica</i> and <i>Escherichia coli</i>
2	isolated from bivalve molluscs and marine environment
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16	Keywords: antimicrobial resistance, bivalve molluscs, seawater, Salmonella enterica subsp.
17	enterica, Escherichia coli
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27 ABSTRACT

28 The current study presents data on the antimicrobial resistance (AMR) patterns of 102 S. enterica 29 subsp. enterica (72 Salmonella ser. Typhimurium and 30 monophasic S. Typhimurium serovar) and 79 *Escherichia coli* (and their phylogenetic group determination) isolates from different species of 30 bivalve molluses and from the water samples collected from the sub-areas of a molluse production 31 area near Ferrara (Italy). These areas were classified as Long-line, Lupini, B-Out, B-in, and Sacca. 32 A retrospective evaluation was performed to assess the spatial trends of the resistance patterns of 33 34 Salmonella and E. coli and the temporal trend for Salmonella; the role of molluscs as AMR indicators and the potential use of *E. coli* as a microorganism indicator of AMR occurrence in a 35 36 seawater environment were also investigated. Overall, 81% of Salmonella spp. and 75% of E. coli 37 isolates were resistant to, at least, one antimicrobial agent (AA) and 44% and 38% of the isolates were multidrug resistant (MDR), respectively. The resistance levels of *Salmonella* were influenced 38 39 by the investigated serovars. Monophasic S. Typhimurium serovar showed the highest resistance value with 70% of MDR isolates, in contrast with only 33% in S. Typhimurium. In monophasic S. 40 41 Typhimurium versus S. Typhimurium, twofold resistance levels were observed to streptomycin (97 42 versus 43%), ampicillin (80 versus 40%) and tetracyclines (67 versus 36%). Regarding the temporal trend for *Salmonella*, strains were resistant to, at least, one AA, but this resistance was significantly 43 lower during the first years of this 17-year sampling; however, in parallel MDR isolates, the 44 45 resistance increased from 23% to a maximum level of 57% during the 2008-2012 period. On 46 assessing the spatial trends, the Sacca area was found to show the lowest number of Salmonella spp. and E. coli strains resistant to, at least, one AA and MDR. For E. coli, the most commonly observed 47 48 resistance was towards ampicillin (56%), streptomycin (52%), sulphonamides (30%) and ceftiofur (24%). The great majority (65%) of E. coli isolates belonged to the commensal phylogroups A and 49 50 B1, with B1 as the dominant one, whereas most MDR isolates belonged to phylogroup C. Molluscs may be an efficient tool for antimicrobial resistance monitoring, and E. coli could be used as a 51 microorganism indicator of the occurrence of antimicrobial resistance in seawater environment. 52

53 1. Introduction

54 Bivalve molluscs represent an important tool for monitoring antibacterial-resistant *Escherichia coli* 55 and other members of the Enterobacteriaceae family in a coastal environment (Bighiu et al., 2019; Grevskott et al., 2017). Antimicrobial resistance (AMR) is a natural phenomenon, but the misuse 56 and overuse of antibacterial agents in human and veterinary medicine, as well as in agriculture, 57 have increased the release of these substances to the environment, which threatens global public 58 health (Davies & Davies, 2010). Marine environments play an important role in accentuating 59 antimicrobial resistance, as an unknown amount of these drugs ends up either indirectly in the 60 receiving waters, or directly, as a result of intensive fish farming. As a consequence, living 61 organisms could be exposed to a variety of these compounds present in the environment at low 62 concentrations (Chiesaet al., 2018). Besides, coastal areas are subjected to faecal contamination of 63 human and animal waste coming from a variety of sources, including rivers, runoff from 64 agricultural and industrial activities and urban wastewater, resulting in the pollution of marine 65 habitats (Vignaroli et al., 2016; Grevskott et al., 2017). In this context, marine ecosystems are not 66 only an important reservoir for AMR, but also drive its emergence (Al-Sarawi et al., 2018; Taylor, 67 68 Verner-Jeffreys, & Baker-Austin, 2011; Williams et al., 2016).

69 In the European Union (EU), the microbiological quality of coastal waters (Directive 2006/7/EC), as well as the sanitary control of shellfish produced and sold for human consumption, are 70 71 consistently monitored by measuring the abundance of faecal indicator bacteria, E. coli and enterococci, in waters and the content of *E. coli* in the soft parts, flesh and intravalvular liquid of 72 harvested bivalves for the classification of the mollusc production areas as A, B or C (Regulation 73 74 EC 853/2004; Regulation EC 2017/265; Regulation EC 2019/265; Regulation EC 2019/267). In addition, the food safety criteria concerning bivalves entering the market states that the absence of 75 76 Salmonella and the enumeration of E. coli are requested (Regulation EC 2073/2005; Regulation EC 77 2285/2015; Regulation 229/2019). In the European Union, Salmonella is the second most common

78 cause of human gastroenteritis (EFSA & ECDC, 2018). A considerable amount of epidemiological 79 data regarding the presence of *Salmonella* in seafood and its related illnesses is available, and the 80 risks of foodborne diseases associated with *Salmonella* in molluses are classified as low (Davies & 81 Davies, 2010; NACMCF, 1992). Even if the microorganisms of the genus Salmonella are not natural inhabitants of aquatic environments, several *Salmonella* serovars are widely distributed in 82 water (sea, estuarine, river) and in a variety of seafood, with the highest prevalence in molluscs, 83 84 shrimps, clams, and various fish species (Novoslavskij et al., 2016). E. coli is a common inhabitant 85 of the human and animal intestinal tract. E. coli may inhabit a host as a harmless symbiont or, depending on the spectrum of encoded virulence factors, it can cause either intestinal or 86 extraintestinal infections (Logue et al., 2017). E. coli is a common indicator organism of faecal 87 88 contamination in aquatic systems, and it is recognized as an important player in the spread of 89 antibiotic resistance (Henriques et al., 2006; Szmolka & Nagy, 2013) due to its plasticity and high aptitude to acquire genetic information through horizontal gene transfer. These characteristics 90 91 enable *E. coli* to exchange genetic material with other bacterial species (Araújo et al., 2017).

92 In Italy, a continuous microbiological monitoring of compliance with both the shellfish harvesting 93 areas and food safety criteria has been established by the official Veterinary Authorities, as required by the EU regulations. Therefore, a continuous baseline data on the presence of Salmonella and E. 94 coli enumeration in water, shellfish flesh and intravalvular liquid is available. However, this 95 96 monitoring does not include the isolation and typing of *E. coli* isolates, as well as the AMR 97 evaluation of Salmonella and E. coli. The current study presents data on the AMR patterns of 98 Salmonella isolated with a continuous sampling history, from 2001 to 2017, and of E. coli, isolated 99 from 2016 to 2018, in the mollusc production area in the province of Ferrara, Emilia-Romagna 100 Region, Northern Italy. Here, even the distribution of *E. coli* in the phylogenetic groups was 101 investigated. A retrospective evaluation was performed with the following objectives: i) to determine and compare the antimicrobial susceptibility among *Salmonella* serovars and the most 102 prevalent *E. coli* phylogenetic groups identified from bivalve molluscs and sea and brackish water, 103

104 as well as from different sampling areas; ii) to assess the spatial trends of resistance patterns of 105 *Salmonella* and *E. coli* and the temporal trend for *Salmonella* in the mollusc production area of the 106 province of Ferrara; iii) to investigate the role of molluscs as AMR indicators and to evaluate the 107 potential use of *E. coli* as a microorganism indicator of AMR occurrence in a seawater 108 environment.

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110 **2. Materials and methods**

111 2.1 Salmonella spp. strain collection

In the present study, 102 S. enterica subsp. Enterica isolates from a strain collection of Salmonella 112 isolates were selected: a total of 72 Salmonella ser. Typhimurium and 30 monophasic S. 113 Typhimurium serovar (4,[5],12:i:-), isolated from 2001 to 2017, were considered. All these strains 114 were isolated during the official monitoring performed by the regional Veterinary Authority and 115 during a shellfish monitoring program using live bivalve molluscs and water samples from the 116 117 seashore and inland channels of the province of Ferrara, Emilia-Romagna region, Italy. Most of 118 these strains belong to a previous study (Rubini et al., 2018), which involved a total of 237 119 Salmonella isolates identified as S. enterica subsp. enterica (collected from a total of 10,757 seawater and molluscs samples from 1997 to 2015), in which 53 different serovars were observed. 120 S. Typhimurium was the dominant serovar (26.9%), followed by its monophasic variant 4,[5],12:i:-121 122 (11.8%), Derby (6.3%), Newport (5.5%) and Thompson (4.6%). The Salmonella isolates considered in this study represent about 50% of isolates and almost all the serovars monophasic S. 123 Typhimurium and S. Typhimurium collected in the investigated molluscs area. Each isolate refers to 124 125 a specific area of classification (A or B), a different area of sampling (namely, specific sampling points within the considered area), a different source (Manila clams (*Ruditapes philippinarum*) (n =126 127 30 isolates), striped clams (*Chamelea gallina*) (n = 1 isolate), mussels (*Mytilus galloprovincialis*) (n= 3 isolates) and water samples (n = 68 isolates), and a different time of sampling (see Table 1 of 128 supplemental material). All the *Salmonella* strains were isolated in the North Western area of the 129

Adriatic Sea, facing the Southern area of the Po river delta, the major Italian river which, from 130 spring to estuary, flows through the Po Valley (Pianura Padana) for a total of 652 km. The Po 131 Valley is a densely populated area with a high number of large intensive animal farms. The Po 132 river, near its end, in the Adriatic Sea, creates a wide delta with a surface area of 31 km^2 and an 133 average depth of 1.5 m; its hydrographic network is mostly artificially regulated and, as a 134 consequence, its freshwater flows are partially independent of rainfalls. More than one third of the 135 lagoon surface is exploited for clam farming, with an annual production that has reached a 136 137 maximum of 87,000 t/year in 2011 (Bison, 2012). This area has been divided into five sub-areas, following the mollusc production areas: I) long-line: the marine class A area used to breed mostly 138 139 mussels and secondary oysters; ii) Lupini: the coastal marine area, including seawater between 1 140 and 2 nautical miles, that is classified as a class A area in which natural banks of striped clams are present and harvested; iii) B-Out: the narrow sea coastal area and inland waters, classified as a class 141 142 B area; iv) B-In: class B area that includes the inner channels directly connected to the sea, together 143 with the internal waters; v) Sacca: the class B area included between the Po river and the marine 144 coastline (figure 1). These last three sub-areas are used to breed mussels, Manila clams and oysters.

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146 2.2. E. coli isolation and phylogenetic group determination

The isolation of *E. coli* was performed starting from the official samplings of Manila clams (*R*. 147 148 *philippinarum*) (n = 54), striped clams (C. gallina) (n = 3) and mussels (M. galloprovincialis) (n = 3) 149 11), collected between 2016 and 2018 in the same aforesaid areas, and analysed for E. coli 150 enumeration using the Most Probable Number (MPN) technique specified in ISO 16649-3, and from water samples (n = 11), using a membrane filtering method (APAT, 2003a. 2003b, 2003c). 151 Each sample refers to a different area of classification, namely A and B, a different area of 152 153 sampling, namely specific sampling points within the considered areas, $\frac{1}{2}$ different source and $\frac{1}{2}$ different time of sampling (see Table 2 of supplemental material). The MPN method utilizes 154 Minerals Modified Glutamate Broth (MMGB) as the growth medium, and the material from 155

positive tubes, i.e., tubes whose colour has changed due to acid production, which was confirmed 156 on Tryptone Bile with X-glucuronide (TBX) agar (Oxoid, Basington, UK); the suspected E. coli 157 isolates were streaked onto MacConkey agar (Oxoid, Basington, UK) and incubated aerobically at 158 159 $37 \pm 1^{\circ}$ C. After 24 hours of incubation, colonies of Gram-negative rods were streaked onto 160 Tryptone Soya Agar (Oxoid, Basington, UK). All the isolates were subjected to a DNA extraction using the REDExtract-N-Amp tissue PCR kit (Sigma, St Louis, MO) and were identified using the 161 PCR procedure described by Clermont and colleagues (Clermont et al., 2008). Overall, 79 E. coli 162 163 strains were considered in this study and were subjected to phylogenetic group determination. E. coli isolates were assigned to one of the 7 phylogroups (A, B1, B2, C, D, E or F) based on the PCR 164 165 amplification of *chuA*, *yjaA*, *arpA* and *trpA* genes and of the TspE4.C2 DNA fragment (Clermont et 166 al., 2013). The reference *E. coli* strain ATCC 25922 and sterile water were used as the quality and negative control samples throughout the procedure, respectively. 167

168

169 2.3 Antibiotic susceptibility testing

170 All the isolates were tested for their antimicrobial susceptibility to twelve antibiotic agents, 171 according to the agar disk diffusion method described by the Clinical and Laboratory Standard 172 Institute (CLSI, 2016). The antimicrobial panel was chosen considering the importance of 173 antimicrobial classes in the treatment of human and animal infections and the intrinsic resistance of 174 *E. coli* reported by the European Committee on Antimicrobial Susceptibility Testing (EUCAST). 175 The antimicrobials tested and the resistant breakpoints used in this study are reported in Table 1. 176 For the breakpoint selection, when available, the epidemiological cut-off values proposed by 177 EUCAST were used as the first choice. The EUCAST clinical breakpoints for Enterobacteriaceae 178 were used as the second choice, and finally, for antimicrobials/bacterial species breakpoints not 179 defined by EUCAST, the CLSI breakpoints for Enterobacteriaceae were chosen. Strains were considered multidrug resistant (MDR) when they showed resistance to three or more antimicrobial 180 181 classes (Schwarz et al., 2010).

182 *2.4 Statistical analysis*

183 Descriptive statistics (absolute frequencies and percentages) have been provided regarding the number of isolates: isolates resistant to, at least, one AA and MDR isolates. Findings were 184 185 presented by area of production, period of sampling, tested antimicrobial agent, Salmonella serovars, and phylogenetic group of *E. coli*. The monitoring part was not planned and designed in 186 this study because it followed the real official activities performed by the Veterinary Authority. 187 188 Given the long period of official monitoring considered in this study, these 17 years of sampling 189 were arbitrarily divided into three different periods, namely, from 2001 to 2007 (17 isolates), from 190 2008 to 2012 (28 isolates) and from 2013 to 2017 (57 isolates), in order to have similar periods with 191 enough data to be compared. Furthermore, a limited number of samplings was performed for Long-192 line, Lupini and B-out areas (11 for Salmonella and 19 for E. coli isolates), and therefore, the 193 overall isolates belonging to these areas were merged and, in the aggregate analysis, three definitive 194 areas were considered to assess the spatial resistance differences, namely in B-in, Sacca and the 195 area including Long-line, Lupini and B-out areas. Significant caution should be exerted for data 196 belonging to this merged area.

197 Pearson's chi-squared test and Chi-square test for trend were used to compare the temporal trends of 198 resistance patterns of *Salmonella*, whereas Chi-square test or Fisher's exact test were used to 199 compare the spatial trends for both *Salmonella* spp. and *E. coli* in the mollusc production areas of 200 the province of Ferrara. The significance limit was set at a p < 0.05.

201

202 3. Results and Discussion

In total, the antibiotic sensitivity tests showed that 19 (18.62%) *Salmonella* and 20 (25.31%) *E. coli* isolates were susceptible to all the antimicrobials tested. Among the 102 *Salmonella* isolates, encompassing the two different serovars, a total of 83 isolates (81.37%) showed resistance to, at least, one antimicrobial agent and 45 isolates (44.12%) were MDR. More specifically, detailing the patterns of resistance associated with the two considered serovars, monophasic *S*. Typhimurium

serovar showed the highest rates of resistance, with 96.67% and 70% of the isolates being resistant 208 to, at least, one antimicrobial agent and having MDR, respectively, whereas 75% and 33.33% of 209 210 Salmonella ser. Typhimurium isolates were resistant to, at least, one antimicrobial agent and possessed MDR, respectively. Tables 2 and 3 detail the antimicrobial susceptibility findings of 211 Salmonella isolates of both serovars. The most common resistance in Salmonella isolates was 212 towards streptomycin (58.8%), ampicillin (52%) and tetracyclines (45.1%), but different resistance 213 levels were observed in the two considered serovars. The monophasic variant and S. Typhimurium 214 215 showed resistance to streptomycin (97 and 43%, respectively), ampicillin (80 and 40%, respectively) and tetracyclines (67 and 36%, respectively). A very different behaviour was observed 216 217 for other AAs: very high rates of resistance to sulphonamides (60%) were reported for the 218 monophasic variant, whereas high resistance to carbapenems (23.6%) and chloramphenicol (21%) 219 was observed in S. Typhimurium isolates. Over the years, the trend of resistance to, at least, one 220 antimicrobial agent, significantly decreased (p < 0.05) from 94% to 72% and, in parallel, the 221 proportions of MDR Salmonella isolates increased from 23.53% (4/17) between $\frac{2001 - 2007}{2007}$ to 222 57.14% (16/28) and 43.86% (25/57) in the 2008-2012 and 2013-2017 periods, respectively, even 223 though no significant differences were observed. More details are presented in Table 4. 224 Among the 79 E. coli considered from 2016 to 2018, a total of 59 isolates (74.68%) showed 225 resistance to, at least, one antimicrobial agent and 30 isolates (37.97%) were MDR. The most 226 common types of resistance of *E. coli* isolates were to ampicillin (56%), streptomycin (52%),

227 gentamycin (35,4%), sulphonamides (30%) and ceftiofur (23%). Regarding the phylogenetic group

determination, most isolates belonged to phylogroup B1 (n = 40; 50.63%), followed by phylogroups

- A (n = 11; 13.92%) and C (n = 11; 13.92%), and even to other less frequent phylogroups, D (n = 7;
- 230 8.86%), B2 (n = 6; 7.59%) and E (n = 2; 2.53%). Two isolates were untypable and were classified
- as "unknown" (2.53%). The highest resistances were observed in phylogroup C, in which 90.91%
- and 72.73% of the *E. coli* isolates showed resistance to, at least, one antimicrobial agent and were
- 233 MDR, respectively. Phylogroup C showed the highest resistances to almost all the considered

antimicrobial agents (data not shown). Tables 5 and 6 detail the antimicrobial susceptibility findings

235 of *E. coli* isolates, also regarding the distribution of the frequent *E. coli* phylogroups.

236

No differences were observed regarding the resistance proportions of both Salmonella and E. coli

isolates collected from molluses and water samples, and from isolates belonging to classes A and B

areas (data not shown). However, considering the spatial trends, the *E. coli* and *Salmonella* isolates

in the area of Sacca had the lowest resistance for both serovars. Significant differences (p < 0.05)

among the three different areas were observed, probably mostly regarding the lower percentage in
Sacca, for MDR *Salmonella* spp. (20% in Sacca versus 51% in B-in and 73% in Long-line, Lupini
B-out) and *S*. Typhimurium strains (9% in Sacca versus 67% in B-in and 39% in Long-line, Lupini
B-out), but not for its monophasic variant. No significant differences were found regarding *Salmonella* spp. and *E. coli* strains resistant to, at least, one antimicrobial agent and even MDR
among the three different areas. More details are reported in Table 7.

246 Extremely high resistance levels to, at least, one antimicrobial agent and high multi-resistant levels were observed in *Salmonella* spp. and *E. coli* isolates from molluscs, sea and brackish water in the 247 248 investigated production area of Ferrara. The Salmonella data presented in this study comprise 249 almost half of the overall Salmonella isolates of the last 20 years, from molluscs and water collected from the area of Ferrara, that is an important mollusc production area in Italy (Rubini et al., 2018), 250 and therefore, they represent relevant data regarding the occurrence of antimicrobial resistance in 251 252 Salmonella spp. isolates in molluscs. By plotting the amount of resistance of Salmonella for each period of the considered 17-year sampling, an evident rise in resistance from the first years of 253 sampling with a doubling of the multi-resistance level in the following years must be mentioned, 254 255 confirming the global antimicrobial resistance public health concern (ECDC, 2018; EFSA & ECDC, 2019). This increasing resistance is worrisome, particularly since several antimicrobial agents that 256 257 were considered in this study are empiric or mere antibiotics which are commonly used in cases of 258 serious human and animal infections.

Serovars monophasic S. Typhimurium and S. Typhimurium significantly contribute to the overall 259 numbers of *Salmonella* isolates: in the European framework, they are among the most commonly 260 261 reported serovars in human cases, as well as in food and animals, with the difference that S. Typhimurium has been associated with many food and animal sources, whereas monophasic S. 262 Typhimurium has been mainly associated with pig and broiler sources (EFSA &ECDC, 2018). In 263 molluses, few data are available in the literature and the positive findings are usually reported as 264 Salmonella spp.; however, the complexity of the global epidemiology of Salmonella requires 265 266 improved monitoring data of those serovars which are of the highest epidemiologic importance (Rene et al., 2011). 267

268 Based on our findings, and in line with literature (EFSA &ECDC, 2019), the resistance levels for 269 Salmonella spp. are greatly influenced by the serovars investigated, and therefore, the 270 characterization at the serovar level is indispensable to address the temporal, geographic and source 271 trends. The MDR level was high, overall, but monophasic S. Typhimurium had the highest MDR levels (70%) and the resistance level is twice as high for streptomycin, ampicillin, tetracyclines, and 272 273 sulphonamides (see Table 3); in contrast, the highest proportion of resistance for meropenem and 274 chloramphenicol were reported in S. Typhimurium isolates (see Table 3). S. Typhimurium and 275 monophasic S. Typhimurium were the second and third most common Salmonella serovars identified in 2017 from human cases in Europe, respectively, in which the highest proportion of 276 277 resistance was observed for ampicillin, sulphonamides and tetracyclines, with occurrences of 53, 48 and 44% in S. Typhimurium and of 87, 87 and 88% in monophasic S. Typhimurium (EFSA & 278 ECDC, 2019). These data, even if with clear differences, is in line with our resistance findings, 279 whereas for meropenem, a non-negligible level of resistance was observed in our study, compared 280 281 to a full susceptibility found in human isolates. From a clinical point of view, fluoroquinolones and third-generation cephalosporins are classified as critically important antimicrobials (CIA) of the 282 highest priority and represent the most important antimicrobial classes for treatment of 283

salmonellosis. Our findings showed moderate resistances to third-generation cephalosporins, but
which were still higher than data reported in humans, pigs, calves or cattle (EFSA & ECDC, 2019).

286 *E. coli* is an important foodborne pathogen. In addition, it is used worldwide as an indicator of faecal contamination and as a hygiene indicator regarding sanitary quality or unsanitary conditions 287 (Metz, Sheehan, & Feng, 2020). In antimicrobial resistance, E. coli is also considered as a sensor of 288 289 the situation at each moment, and it has emerged as a major player in resistance: E. coli is typically 290 chosen as the representative indicator of antimicrobial resistance in Gram-negative bacteria, and its 291 monitoring in a specific population provides valuable data on the resistance occurring in that population (EFSA & ECDC, 2019). Regarding the data on antimicrobial resistance in E. coli 292 isolated from molluscs and seafood or marine environments, available in the literature, comparisons 293 294 are arduous due to the differences in antimicrobial agents and breakpoints used; in most studies, the most common resistances were observed for tetracycline, trimethoprim-sulfamethoxazole, 295 296 ampicillin and streptomycin, with lower prevalence values than those found here (Changkaew et al., 297 2014; Grevskott et al., 2017; Ryu et al., 2012; Van et al., 2008; Vignaroli et al., 2015; Vignaroli et 298 al., 2016; Wang et al., 2011) or with values in line with ours (Al-Sarawi et al., 2018; Bighiu et al., 299 2019). The prevalence of MDR strains in our study is higher than in most of the aforementioned 300 studies, but is still in line with those of other studies (Bighiu et al., 2019; Boss, Overesch, & 301 Baumgartner, 2016; Changkaew et al., 2014; Kumaran et al., 2010; Van et al., 2008). In addition, in 302 the European Union, the monitoring of antimicrobial resistance using the indicator E. coli in food-303 producing animals (pigs and calves) and in their food products, has been mandatory since 2014: in 304 both animal species, tetracycline resistance was the most common trait, followed by resistance to 305 sulfamethoxazole and ampicillin (EFSA & ECDC, 2019).

E. coli is a common commensal inhabitant of the gastrointestinal tract, but it is also a common
cause of severe infections in humans, being the most frequent cause of bloodstream infections and
urinary tract infections among the Gram-negative bacteria. *E. coli* is involved in infections of both
community and healthcare origin, as well as being associated with intra-abdominal infections and

causing neonatal infections, such as early and late neonatal sepsis (ECDC, 2018; Wang et al., 310 2011). E. coli is among the infectious agents whose antimicrobial resistance has reached an 311 extremely worrisome situation (WHO, 2014). According to the last report of the European Center 312 for Disease Control (ECDC) (ECDC, 2018) on antimicrobial resistance obtained through the 313 314 European Antimicrobial Resistance Surveillance Network database, that included only data from 315 invasive isolates (blood and cerebrospinal fluid), in Italy, in 2017, the prevalence of *E. coli* resistant to aminopenicillins (ampicillin or amoxicillin), fluoroquinolones and to third-generation 316 317 cephalosporins was 67% (EU mean value, 58%), 45% (EU mean value, 26%) and 29.5% (EU mean value, 15%), respectively. These data roughly reflect our findings regarding the resistance to 318 ampicillin (56%) and to third-generation cephalosporins (24%), except for enrofloxacin (5%) (see 319 320 Table 5). By contrast, while carbapenem resistance remains rare in Europe, and in Italy (0.3%) (ECDC, 2018), in our study, worrisome percentages (above 10%) of this type of resistance were 321 observed. Carbapenems are among the most frequently prescribed antibiotics for the treatment of 322 323 bacterial infections in humans, even if their utility is being threatened by the worldwide rise of 324 carbapenem-resistant Enterobacteriaceae. Although the use of carbapenems is prohibited in foodproducing animals and restricted to pets in most European countries, these findings illustrate the 325 continuous spread of these highly resistant bacteria, accompanied by emerging public health 326 327 problems (Roschanski et al., 2017). In our study, resistance to ceftiofur was the fifth most common 328 resistance type. Ceftiofur is used in human and veterinary medicine and it has an activity against both Gram positive and negative bacteria; however, third-generation cephalosporins have been 329 categorized as CIA and substances of the highest priority, while 3rd and 4th-generation 330 331 cephalosporins, as well as fluoroquinolones and polymyxins, are included in category 2, according to the European Medicines Agency. Thus, those veterinary antimicrobials represent a higher 332 333 estimated risk for public health than other classes of antimicrobials. Our findings confirm that bivalve molluscs are an efficient tool for identify antimicrobial resistance, being able to detect 334 335 antimicrobials used in the past and still used in food-producing animals and human beings, as well

as a useful opportunity to drive emergent threats to humans in terms of both antimicrobial resistanceand clinical aspects.

338 Given the worldwide phylogenetic analyses, E. coli showed an apparent clonal population structure 339 and a clear phylogenetic signal that appropriately reflect the relationship between strains (Tenaillon 340 et al., 2010). Different phylogenetic groups play distinct ecological roles and, therefore, the classification of *E. coli* in the phylogenetic groups is important to understand its pathogenesis and 341 342 interaction with hosts. Hitherto, a robust phylogeny, was built and 8 phylogenetic groups were 343 identified (A, B1, B2, C, D, E, F, and the *Escherichia* cryptic clade I). The *E. coli* strains responsible for extra-intestinal infections were far more likely to be members of phylogroups B2 or 344 D, rather than of A or B1, that usually lack a distinct virulence profile and are classified as 345 346 commensal or diarrheagenic strains (Clermont et al., 2013). In general, strains belonging to 347 different phylogenetic groups show different phenotypic and genotypic traits (Gordon & Cowling, 348 2003); differences in the phylogroup distribution are mainly ascribable to geographical (location 349 and climate) and host (diet, gut morphology, body mass) factors, explaining variable animals and 350 human phylogroup identification in several studies in the literature. The phylogroups that are 351 considered to contain highly virulent extra-intestinal *E. coli* strains are the B2 phylogroup, whose 352 microorganisms are a major cause of bacteraemia and neonatal sepsis (Cole et al., 2019; Vila et al., 2016) and, to a lower extent, the D phylogroup, albeit it was detected as the most common in 353 354 urinary tract infections in humans (Farajzadeh Sheikh, 2019). Particular E. coli clones, more 355 frequently belonging to the phylogroup B2, are more prone than others to cause bacteraemia, but 356 they cannot be considered as having a deterministic causation relationship with extra-intestinal 357 diseases (Vila et al., 2016); the B2 group exhibits the highest diversity at both the nucleotide and 358 the gene content level, supporting its early emergence in the species lineage and suggesting that it 359 has a subspecies status. Considering the findings obtained in our study using Clermont typing, the 360 great majority (65%) of E. coli isolates belong to the commensal phylogroups A and B1 (these groups appear as sister groups), but phylogroup B1 strains dominated with a prevalence of 51%, 361

both in general and in each of the three considered sampling areas. These data are in agreement 362 with several studies reporting a higher prevalence of A and B1 phylogroups in molluscs, with A 363 being the dominant one (Luna et al., 2010; Vignaroli et al., 2016; Vignaroli et al., 2012) in surface 364 365 water, in several animals (Johnson et al., 2017; Tomazi et al., 2018) and in water and vegetables 366 (Araújo et al., 2017). Unlike the studies performed by Vignaroli in *Chamelea gallina* clam in 367 Marche Region, Italy, and in marine sediments in the Adriatic Sea, in which the prevalence of MDR strains was higher in phylogroup A, in our study, most MDR isolates belonged to phylogroup C, 368 369 followed by B2. Phylogroup C was the only one resistant to chloramphenicol and trimethoprim-370 sulfamethoxazole, and for which the highest resistance values were reported for ampicillin, 371 streptomycin, sulphonamides, and tetracycline (data not shown). In addition, it should be noted that, 372 whereas isolates belonging to A and B1 phylogroups were observed to be MDR, depending on the considered area of sampling, isolates belonging to phylogroup C were MDR, in spite of the area 373 374 considered. It has been observed that strains of these phylogroups vary in their phenotypic and 375 genotypic characteristics, ecological niche, lifestyle, and propensity to cause disease (Tenaillon et 376 al., 2010); therefore, these findings confirm that waters receive contamination from a variety of 377 sources. In this context, there is a growing body of evidence suggesting that E. coli can not only 378 survive for extended periods of time, but also proliferate in several different environments, such as water, soil, algae, plants, and manure, also in the absence of faecal inputs, thus supporting its use as 379 380 a water quality indicator (Nanavakkara, O'Brien, & Gordon, 2019) and as an indicator organism of 381 faecal contamination (Vignaroli et al., 2015). In addition, *E. coli* isolates that are responsible for 382 elevated counts (blooms) in freshwater reservoirs carry a capsule originating from *Klebsiella* spp.; 383 overall, about 7% of E. coli strains have acquired these capsules, which were observed to be non-384 random distributed and restricted to A, B1 and C phylogroups (Nanayakkara, O'Brien, & Gordon, 385 2019). Given the limited number of isolates used in this study, and also the absence of any isolate characterization, authors could not resolve these doubts. However, the diversity in both the 386

387 distribution of phylogroups among the different areas and the antimicrobial resistance patterns388 remains.

Regarding the spatial trends of resistance, the area of Sacca was the one with the lowest number of 389 390 Salmonella spp. and E. coli isolates resistant to, at least, one antimicrobial agent and MDR; conversely, the B-in area was the area with the highest number of Salmonella spp. and E. coli 391 392 strains resistant to, at least, one antimicrobial agent and MDR. However, whereas for Salmonella spp., isolates collected from the Sacca area were susceptible to 6 out of the 12 antimicrobials tested 393 394 and showed the lowest levels of resistance, for *E. coli*, resistance was observed for all the antimicrobials, even if with the lowest levels of resistance observed. In contrast, the B-in area was 395 the area with the highest number of Salmonella spp. And E. coli strains resistant to, at least, one 396 397 antimicrobial agent and MDR, as well as having the highest levels of resistance to the antimicrobial agents. See Tables 2 and 5 for more details. Even if neither the area nor the environment could be 398 399 considered truly pristine, owing to the transfer of antibiotics and resistance genes via the wind, 400 tides, bird migration and other environmental elements (Barkovskii et al., 2012), the B-in area is 401 directly subjected to anthropogenic activities and it is exposed to industrial, municipal, agricultural 402 and zootechnical impacts, whereas the Sacca area is certainly less anthropogenically impacted than 403 the others. The aforesaid occurrence frequencies of antimicrobial resistance, as well as the 404 distributions of resistant E. coli isolates among the phylogroups, identify the B-in area as the main 405 source of antimicrobial resistance. E. coli strains that can presumably cause human extraintestinal 406 infections are not prominent within the E. coli population of the aquatic environment, but, at the same time, our findings allow us to speculate on the fact that molluscs, and therefore, water 407 408 environment sites, cannot be considered risk-free. Obviously, further studies should be performed to 409 understand and verify the ecology of allochthonous and indigenous bacteria, as well as the 410 pathogens in aquatic environments.

411

412 4. Conclusion

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In conclusion, bivalve molluscs are confirmed to be an efficient tool to detect antimicrobial 413 resistance. This study presents data on the antimicrobial resistance in *Salmonella* and *E. coli* strains 414 415 isolated from molluscs and water and showed high multi-resistant levels, as well as spatial and temporal trends of resistance; however, for trend analysis of resistance, further studies are needed. 416 The overlap of the antimicrobial resistance data collected in this study with the previously reported 417 data of isolates from food animals and human beings suggests that testing the isolates from water 418 and molluscs could be a useful tool to monitor the evolution of AMR of some bacterial species. In 419 420 this context, routine antimicrobial susceptibility testing could be included as a parameter to be investigated by official laboratories using isolates collected from different species of bivalve 421 422 molluscs, sea and brackish water by the official Veterinary Authorities during the official 423 monitoring of molluscs. Starting from the strength of the European microbiological monitoring of molluses and their production areas, the implementation of this step could further allow the 424 425 development of an official network at the national and/or European level, which could be able to optimize the data referring to activities already planned and which could be performed by official 426 427 veterinary authorities. This monitoring could be useful to raise a constant and ongoing awareness of 428 antimicrobial resistance in the environment.

429

430 Acknowledgements

This research did not receive any specific grant from funding agencies in the public, commercial, ornot-for-profit sectors. The authors have no competing interests to declare.

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638

Highlights

- Bivalve molluscs are confirmed as efficient tool for antimicrobial resistance monitoring
- High multi-resistant levels were observed in *Salmonella* spp. and *E. coli* from molluscs and water
- Resistance levels for *Salmonella* are influenced by the investigated serovars
- Spatial trends of MDR Salmonella strains are observed



Figure 1. Scheme and classification of the five sub-areas in which bivalve molluscs and water samples were collected in the Ferrara area, Italy, from 2001 to 2018.

Table 1. List of tested antimicrobials and resistant breakpoints used.

		Disk	Resistance breakpoint (zone diameter mm)				
Antimicrobials	Abbreviations	content	Salmonella enterica	Escherichia coli			
		(µg)	subsp. enterica				
Ampicillin	AMP	10	≤17	≤13			
Ceftazidime	CEFTZ	10	≤19	≤21			
Ceftiofur	CEFT	30	≤17	≤17			
Chloramphenicol	CHL	30	≤18	≤16			
Enrofloxacin	ENR	5	≤16	≤16			
Gentamicin	GENT	10	≤15	≤15			
Meropenem	MER	10	≤ 26	≤24			
Nalidixic Acid	NA	30	≤13	≤13			
Streptomycin	ST	10	≤11	≤11			
Sulfisoxazole	SULFAX	250	n.p.	≤12			
Sulphonamides	SULFA	250	≤12	n.p.			
Tetracycline	TETRA	30	≤16	≤11			
Trimethoprim-sulfamethoxazole	T-SULFA	25	≤ 10	≤15			

n.p. not performed; EUCAST breakpoints were chosen for all antimicrobials agents except for ceftiofur, enrofloxacin, nalidixc acid, streptpmycin for both *Salmonella enterica* subsp. *enterica* and *Escherichia coli*, as well as for sulfonamides for *Salmonella enterica* subsp. *enterica* and sulfisoxazole and tetracycline for *Escherichia coli*, for which CLSI breakpoints were used.

			No. of resistant isolates (%)												
Area	No. of isolates	PEN	XN CEP III CARB QUIN		AMIN PHEN T		TETRA SULFA		POT SULFA	R at least one AA	MDR				
		AMP	CEFTZ	CEFT	MER	NA	ENR	GENT	ST	CHL	TETRA	SULFA	T-SULFA		
B-in	61	37(60.7)	-	7(11.5)	13(21.3)	2(3.8)	1(1.6)	2(1.9)	41(67.2)	11(18)	28(45.9)	20(32.8)	2(3.3)	53(86.9)	31(50.8)
Long- line, Lupini, B-Out	11	8(72.7)	-	-	1(9)	-	-	-	9(81.8)	5(45.4)	8(72.7)	4(36.4)	1(9)	9(81.8)	8(72.7)
Sacca	30	8(26.7)	-	2(6.7)	6(20)	-	-	-	10(33.3)	-	10(33.3)	4(13.3)	-	21(70)	6(20)
Total	102	53(52)	-	9(8.8)	20(19.6)	2(1.9)	1(0.9)	2(1.9)	60(58.8)	16(15.7)	46(45.1)	28(27.4)	3(2.9)	83(81.4)	45(44.1)

Table 2. Results of susceptibility testing to antimicrobial agents of *Salmonella* spp. isolates collected in different areas of mollusk production in the province of Ferrara.

PEN: Penicillins; CEP III; cephalosporins III; CARB: Carbapenems; QUIN: Quinolones; AMIN: Aminoglycosides; PHEN: Phenicols; TETRA: Tetracyclines; SULFA: Sulphonamides; POT SULFA: Potentiated sulphonamides; R: resistant; AA: antimicrobial agent; MDR: multidrug resistant strains; - : not detected. The results are expressed as number of resistant isolates and their percentage value (%).

Table 3. Results of susceptibility testing to antimicrobial agents of Salmonella ser. Typhimurium and monophasic S. Typhimurium isolates

	No. of resistant isolates (%)														
Serovar	No. of isolates	PEN	PEN CEP III		CARB	QUIN		AMIN		PHEN	TETRA	SULFA	POT SULFA	R at least one AA	MDR
		AMP	CEFTZ	CEFT	MER	NA	ENR	GENT	ST	CHL	TETRA	SULFA	T-SULFA		
S.Typhimurium	72	29(40.3)	-	7(9.7)	17(23.6)	1(1.4)	1(1.4)	2(2.8)	31(43.1)	15(20.8)	26(36.1)	10(13.9)	3(4.2)	54(75)	24(33 .3)
monophasic S. Typhimurium	30	24(80)	-	2(6.7)	3(10)	1(3.33)	-	-	29(96.7)	1(3.3)	20(66.7)	18(60)	-	29(96.7)	21(70)

PEN: Penicillins; CEP III; cephalosporins III; CARB: Carbapenems; QUIN: Quinolones; AMIN: Aminoglycosides; PHEN: Phenicols; TETRA: Tetracyclines; SULFA: Sulphonamides; POT SULFA: Potentiated sulphonamides; R: resistant; AA: antimicrobial agent; MDR: multidrug resistant strains; - : not detected. The results are expressed as number of resistant isolates and their percentage value (%).

			No. of resistant isolates (%)														
Period	No. of isolates	PEN	CEP	III	CARB	QU	QUIN AMIN		AMIN		AMIN		TETRA	SULFA	POT SULFA	R at least one AA	MDR
		AMP	CEFTZ	CEFT	MER	NA	ENR	GENT	ST	CHL	TETRA	SULFA	T-SULFA				
2001-2007	17	4(23.5)	-	-	10(58.8)	-	-	-	91(52.9)	4(23.5)	4(23.5)	4(23.5)	2(3.3)	16(94.1)	4(23.5)		
2008-2012	28	18(64.2)	-	2(7.1)	7(25)	-	1(1.6)	-	22(78.6)	6(28.6)	13(46.4)	8(28.6)	-	26(92.9)	16(57.1)		
2013-2017	57	31(54.4)	-	7(12.8)	3(5.3)	2(3.8)	-	2(1.9)	29(50.9)	6(28.1)	29(50.9)	16(28.1)	1(9)	41(71.9)	25(43.9)		
Total	102	53(52)	-	9(8.8)	20(19.6)	2(1.9)	1(0.9)	2(1.9)	60(58.8)	16(15.7)	46(45.1)	28(27.4)	3(2.9)	83(81.4)	45(44.1)		

Table 4. Temporal trends of resistance to antimicrobial agents of *Salmonella* spp. isolates collected in different years of mollusk production in the province of Ferrara.

PEN: Penicillins; CEP III; cephalosporins III; CARB: Carbapenems; QUIN: Quinolones; AMIN: Aminoglycosides; PHEN: Phenicols; TETRA: Tetracyclines; SULFA: Sulphonamides; POT SULFA: Potentiated sulphonamides; R: resistant; AA: antimicrobial agent; MDR: multidrug resistant strains; - : not detected. The results are expressed as number of resistant isolates and their percentage value (%).

Table 5. Results of susceptibility testing to antimicrobial agents of *E. coli* isolates collected in different areas of mollusk production in the province of Ferrara.

			No. of resistant isolates (%)														
Area	No. of isolates	PEN	CEI	P III	CARB	QU	QUIN		AMIN		AMIN PH		TETRA	SULFA	POT SULFA	R at least one AA	MDR
		AMP	CEFTZ	CEFT	MER	NA	ENR	GENT	ST	CHL	TETRA	SULFAX	T-SULFA				
B-in	27	17(63)	-	9(33.3)	4(14.8)	2(14.3)	2(7.4)	12(44.4)	18(66.7)	-	2(7.4)	13(48.1)	-	23(85.1%)	15(55.6%)		
Long- line, Lupini, B-Out	19	8(42.1)	-	2(10.5)	1(5.2)	3(15.8)	-	7(36.8)	12(63.2)	-	3(15.8)	5(26.3)	-	14(73.7%)	6 (31.6%)		
Sacca	33	19(57.6)	1(3)	7(21.2)	4(12.1)	3(9.1)	2(6.1)	9(27.3)	11(33.3)	1(3)	2(6.1)	6(18.2)	2(6.1)	22(66.7%)	9(27.3%)		
Total	79	44(55.7)	1(1.3)	18(22.8)	9(11.4)	8(10.1)	4(5.06)	28(35.4)	41(51.9)	1(1.3)	7(8.9)	24(30.4)	2(2.5)	59(74.7)	30(38)		

PEN: Penicillins; CEP III; cephalosporins III; CARB: Carbapenems; QUIN: Quinolones; AMIN: Aminoglycosides; PHEN: Phenicols; TETRA: Tetracyclines; SULFA: Sulphonamides; POT SULFA: Potentiated sulphonamides; R: resistant; AA: antimicrobial agent; MDR: multidrug resistant strains; - : not detected. The results are expressed as number of resistant isolates and their percentage value (%).

Table 6. Results of susceptibility testing to antimicrobial agents in relation to the inclusion of *E. coli* isolates in more and less frequent phylogenetic groups and the sampling area in the mollusk production area in the province of Ferrara; findings were expressed as number of resistant isolates and their percentage value (%).

	Α		B1		С		B2, D, E and unknown		
Area	R at least MDR one AA		R at least one AA	MDR	R at least one AA	MDR	R at least one AA	MDR	
B-in	7(100)	5(71.4)	9(81.8)	7(63.6)	4(100)	2(50)	3(60)	1(20)	
Long-line,									
Lupini,	1(100)	-	6(75)	2(25)	2(66.7)	2(66.7)	5(71.4)	2(28.6)	
B-Out									
Sacca	3(33)	-	13(61.9)	3(14.3)	4(100)	4(100)	4(80)	2(40)	
Total	11(81.8)	5(45.4)	28(70)	12(30)	10(90.91)	8(72.7)	12(70.6)	5(29.4)	

R: resistant; AA: antimicrobial agent; MDR: multidrug resistant strains

Table 7. Spatial trends of resistance to at least one antimicrobial agent and multi-resistant strains expressed as number of resistant isolates and their percentage value (%).

	Salmon	ella	monopha	asic	S alm on oll	- (n n	Escherichia coli		
	ser. Typhin	nurium	S. Typhimuriu	m serovar	Saimoneiu	a shh.			
Area	R to at least one	MDR	R to at least one	MDR	R to at least one	MDP	R to at least one	MDR	
	AA	MDK	AA	WIDK	AA	MDR	AA	MDA	
B-In	33(80.5%)	16(39%)*	20(100%)	15(75%)	53(86.9%)	31(50.8%)*	23(85.1%)	15(55.6%)	
Long-line,									
Lupini,	7(77.8%)	6(66.7%)*	2(100%)	2(100%)	9(81.8%)	8(72.7%)*	14 (73.7%)	6 (31.6%)	
B-Out									
Sacca	14(63.6%)	2(9.1%)*	7(87.5%)	4(50%)	21(70%)	6(20%)*	22(66.7%)	9(27.3%)	

R: resistant; AA: antimicrobial agent; MDR: multidrug resistant strains. Number of isolates in columns bearing * are significantly different (p<0.05).