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1 **Lymphovascular space invasion in endometrial carcinoma: a prognostic factor independent**  
2 **from molecular signature**

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33 **ABSTRACT**

34 **Background:** The 2020 ESGO/ESTRO/ESP guidelines stratify the prognosis of endometrial  
35 carcinoma (EC) patients combining The Cancer Genome Atlas (TCGA) molecular signature and  
36 pathological factors, including lymphovascular space invasion (LVSI). However, little is known about  
37 the prognostic independence of LVSI from molecular signature.

38 **Aim:** To assess whether the prognostic value of LVSI is independent from the TCGA signature.

39 **Material and methods:** A systematic review and meta-analysis was performed by searching 5  
40 electronic databases from their inception to March 2021. All peer-reviewed studies reporting  
41 assessing LVSI as a prognostic factor independent from the TCGA groups in EC were included.  
42 Multivariate HRs with 95% confidence interval (CI) were pooled separately for overall survival (OS),  
43 disease-specific survival (DSS) and disease-free survival (DFS). The absence of LVSI was  
44 considered as a reference. In DFS analyses, locoregional and distant recurrence were separately  
45 considered for one study.

46 **Results:** Six studies with 3,331 patients were included in the systematic review and three studies  
47 with 2,276 patients in the meta-analysis. LVSI showed a pooled multivariate HR of 1.818 (CI 95%,  
48 1.378-2.399) for OS, 1.849 (CI 95%, 1.194-2.863) for DSS, 1.377 (CI 95%, 1.008-1.880) for DFS  
49 excluding one study, 1.651 (CI 95%, 1.044-2.611) for DFS additionally considering locoregional  
50 recurrence from one study, and 1.684 (CI 95%, 1.05-2.701) for DFS additionally considering distant  
51 recurrence from the same study.

52 **Conclusion:** LVSI has a prognostic value independent of TCGA signature, as well as age and  
53 adjuvant treatment, increasing the risk of death of any cause, death due to EC and recurrent or  
54 progressive disease by 1.5-2 times.

55

56 **KEYWORDS:** cancer; tumor; endometrium; prognosis; treatment; risk assessment; PROMISE.

57

58 **INTRODUCTION**

59 In 2020, endometrial carcinoma (EC) was the most common gynecological cancer in Europe, with  
60 an incidence of 31.2% and a 5-year prevalence of 34.1% [1]. In the last decades, EC has showed a  
61 300% increase in the number of deaths despite a lower increase in incidence (80%) [1-10]. Such  
62 data seems due to an inaccurate risk stratification underlying patient management [2,3,10-16].

63 In the attempt of improving the risk stratification system, in 2020, the European Society of  
64 Gynaecological Oncology (ESGO), the European Society for Radiotherapy and Oncology (ESTRO)  
65 and the European Society of Pathology (ESP) drafted joint guidelines for the management of EC,  
66 recommending the assessment of molecular signature as additional prognostic factor to be  
67 integrated with classic pathological factors, such as histotype, International Federation of  
68 Gynaecology and Obstetrics (FIGO) stage, FIGO grade, myometrial invasion, and lymphovascular  
69 space invasion (LVSI) [21]. In fact, in 2013, The Cancer Genome Atlas (TCGA) Research Network  
70 identified four prognostic groups of ECs with a different molecular signature: POLE-  
71 mutated/ultramutated (POLEmt), microsatellite-instable/hypermuted (MSI), copy-number-  
72 high/p53-mutated (p53mt), and no specific molecular profile (NSMP) [17]. Afterwards, The Proactive  
73 Molecular Risk Classifier for Endometrial Cancer (ProMise), which adopted immunohistochemistry  
74 (cheap, fast and widely available) as a surrogate of sequencing techniques, was demonstrated to  
75 be prognostically informative and more applicable in clinical practice [2,12,13].

76 However, how the molecular signature can be integrated with classic pathological factors is still  
77 under investigation. In particular, the histotype seems to have a crucial prognostic value  
78 independently of the TCGA groups, with non-endometrioid carcinomas having a worse prognosis in  
79 each TCGA group [6]. On the other hand, deep myometrial invasion has shown to affect the risk of  
80 recurrence independently from the TCGA groups, but not the risk of death of any cause [11]. On the  
81 contrary, the prognostic independence of LVSI from the molecular signature has not been enough  
82 investigated.

83 The aim of this study was to evaluate whether the prognostic value of LVSI is independent from the  
84 TCGA group, through a systematic review and meta-analysis.

85

## 86 MATERIALS AND METHODS

### 87 Study protocol

88 All study steps were defined according to an *a priori* designed protocol and were independently  
89 performed by two authors. In the case of disagreement, a discussion with a third author was adopted  
90 as resolution method.

91 The study was reported according to the Preferred Reporting Item for Systematic Reviews and Meta-  
92 analyses (PRISMA) statement and checklist [23].

93

### 94 Search strategy

95 MEDLINE, Web of Sciences, Google Scholar, Scopus and ClinicalTrial.gov were searched from their  
96 inception to May 2021 through several combinations of the following text words: “endometr\*”;

97 “carcinoma”; “cancer”; “tumor”; “neoplasia”; “malignancy”; “LVSI”; lymphovascular space invasion”;  
98 “lymph\*”; “immunohistochem\*”; “marker”; “TCGA”; “ATLAS”; “genome”; “ProMisE”; “Proactive  
99 Molecular Risk Classifier”; “TransPORTEC”; “PORTEC”; “prognosis”; “survival”; “hypermuted”;  
100 “mismatch repair”; “microsatellite instability”; “MMR”; “MSI”; “MLH1”; “MSH2”; “MSH6”; “PMS2”;  
101 “EPCAM”; “ultramutated”; “POLE”; “copy number”; “TP53”; “tumor protein 53”; “p53”; “ESMO”,  
102 “ESGO”, “ESTRO”, “ESP”. All references from full-text screened studies were assessed for eligible  
103 studies.

104

### 105 Study selection

106 Peer-reviewed studies with extractable data about LVSI as a prognostic factor independent of the  
107 TCGA groups in EC were included. In detail, we selected all studies reporting multivariate hazard  
108 ratios (HR) for LVSI and assessing the TCGA group as a variable in the multivariate analysis. Case  
109 reports and reviews were excluded. In the cases of overlapping data between two studies (i.e. same  
110 period of enrollment, institution and/or results), only the study with higher sample size was  
111 considered for the analysis.

112

113

114 **Data extraction**

115 Data were extracted without modifications and in accordance with the PICO items [23]. “Population”  
116 of the systematic review and meta-analysis consisted of women with EC.

117 “Intervention” (or risk factor) consisted of the presence of LVSI. For included studies that substratified  
118 LVSI, “substantial” LVSI was considered as presence of LVSI.

119 “Comparator” consisted of the absence of LVSI. For included studies that substratified LVSI,  
120 “absent” and “mild” LVSI were considered as absence of LVSI.

121 “Outcomes” consisted of overall survival (OS) as primary outcome, and disease-specific survival  
122 (DSS) and disease-free survival (DFS) as secondary outcomes. In particular, OS (or time to death)  
123 was defined as time from surgery until death of any cause. DSS (or time to death from disease) was  
124 defined as time from surgery until death due to EC. DFS (or time to recurrence or progression) was  
125 defined as time from surgery until there is evidence of recurrent or progressive disease (this was  
126 based on either clinical evidence of recurrence or imaging confirmation of recurrence) or if death  
127 from the disease occurred prior to the censoring date.

128

129 **Risk of bias within studies evaluation**

130 The risk of bias within studies was evaluated following The Methodological Index for Non-  
131 Randomized Studies (MINORS) [24]. Each included study was judged for 7 applicable domains  
132 related to risk of bias: 1) Aim (was the aim clear?); 2) Patient selection (were patients randomly or  
133 consecutively selected?); 3) Prospective collection of data (were data prospectively collected?); 4)  
134 Endpoints appropriate to the study (were OS, DSS and DFS assessed?); 5) Unbiased evaluation of  
135 the study endpoints (were endpoints assessed without bias?); 6) Follow-up time (was the follow-up  
136 at least 2 years?); 7) Lost to follow-up (were patients lost to follow-up less than 5% of the study  
137 population?).

138 Judgments for each domain were the following: “low risk”, “unclear risk” or “high risk” of bias based  
139 on information was “reported and adequate”, “not reported” and “reported but inadequate”,  
140 respectively.

141

142

143

144 **Data synthesis**

145 For our analyses, we considered multivariable OS, DSS and DFS analyses that assessed the  
146 prognostic value of LVSI and considered the TCGA group as a variable from the included studies.  
147 In particular, all included studies used cox proportional hazard models.

148 Pooled HRs between EC women with and without LVSI were calculated based on the above-  
149 mentioned multivariable analyses, separately for death of any cause, death due to EC, and  
150 recurrence. The absence of LVSI was considered as a reference. HRs with 95% confidence interval  
151 (CI) were graphically reported as individual and pooled estimates on forest plots.

152 The random effect model of DerSimonian and Laird was used in the analyses.

153 Statistical heterogeneity among studies was evaluated by using the inconsistency index  $I^2$ , as  
154 previously described [25-27]. In particular, heterogeneity was judged as: null for  $I^2=0\%$ , minimal for  
155  $0<I^2<25\%$ , low for  $25\leq I^2<50\%$ , moderate for  $50\leq I^2<75\%$  and high for  $I^2\geq 75\%$ .

156 Comprehensive Meta-Analysis (Biostat,14 North Dean Street, Englewood, NJ 07631, USA) and  
157 Review Manager v. 5.4 (Copenhagen: The Nordic Cochrane Centre, Cochrane Collaboration, 2014)  
158 were used as software.

159



## 160 **RESULTS**

### 161 **Study selection**

162 Electronic databases searches led to 12,558 studies. Of them, 4,186 remained after duplicates  
163 removal and 72 after title screening. Abstract screening led to 17 studies, which were evaluated for  
164 eligibility [3,13-15,28-40]. Of them, 11 were excluded because of the absence of multivariable  
165 survival analysis for LVSI [13,30,32,34-36] or the absence of LVSI as a prognostic factor  
166 [29,31,33,37,38]. Therefore, 6 studies with 3,331 EC women were included in the systematic review  
167 [3,14,15,28,39,40]. Lastly, 2 studies were excluded from the meta-analysis due to overlapping  
168 patient data [3,40] with another included study [39], while one study was excluded because  
169 multivariate survival analysis was reported only for the NSMP group [14]. In conclusion, three studies  
170 with 2,276 EC women were included in the meta-analysis [15,28,39] (Figure S1).

171

### 172 **Included studies and patients**

173 Each included study performed *ad hoc* analyses on samples from previous cohorts of EC patients.  
174 In particular, the cohort was retrospective for 4 studies [3,14,39,40], prospective for one study [28],  
175 and provided from a randomized controlled trial for the latter one [15].

176 No included study adopted pathological criteria for patient selection, except for two studies [15,28]  
177 which only assessed endometrioid ECs (Table S1).

178 Our study population had a age which ranged from 41 to 90 years, and a mean follow-up which  
179 ranged from 60.6 to 131 months. ECs had endometrioid histotype in 85.7% of cases, International  
180 Federation of Gynecology and Obstetrics (FIGO) grade 3 in 27.3%, LVSI in 22.3%, and FIGO stage  
181 I in 73.8%. Women underwent adjuvant treatment in 57.7% of cases (Table S2). Regarding TCGA  
182 groups, 6.8% of ECs were POLE-mt, 29.3% were MMR-d, 50% were NSMP, and 13.8% were p53-  
183 abn (Table S3).

184

### 185 **Risk of bias within studies evaluation**

186 All included studies were at low risk of bias for each domain, except for the “Endpoints appropriate  
187 to the study” and “Unbiased evaluation of the study endpoints” domains.

188 In detail, the study by Stelloo et al. [15] was judged at unclear risk of bias for the “Endpoints  
189 appropriate to the study” because it did not consider DSS as a study endpoint and assessed  
190 separately locoregional and distant recurrence for DFS. On the other hand, the study by Kommos  
191 et al. was judged at high risk of bias because multivariate survival analysis was reported only for the  
192 NSMP group [14].

193

#### 194 **Data synthesis**

195 Three out of six studies were included in the meta-analysis [15,28,39], as one study was excluded  
196 due to the high risk of bias in the “Unbiased evaluation of the study endpoints” domain [14], and two  
197 studies [3,40] were excluded due to overlapping data with another study [39]. In particular, all three  
198 studies were suitable for OS analysis, while 2 were suitable for DSS and DFS [3,14,28,39,40]. In  
199 detail, the study by Stelloo et al [15] was excluded from DSS analysis because it did not consider  
200 DSS as a study endpoint, while it was included in additional DFS analysis because it assessed  
201 separately locoregional and distant recurrence. Therefore, DFS analyses consisted of 3 separate  
202 analyses: one excluding the study by Stelloo et al, one including such study as locoregional  
203 recurrence, and one including it as distant recurrence.

204 Pooled HR of LVSI was:

- 205 - 1.818 (CI 95%, 1.378-2.399; p=0.0; I<sup>2</sup>=0%) for OS (Figure 1);
- 206 - 1.849 (CI 95%, 1.194-2.863; p=0.006; I<sup>2</sup> not calculable) for DSS (Figure 2);
- 207 - 1.377 (CI 95%, 1.008-1.880; p=0.044; I<sup>2</sup> not calculable) for DFS excluding the study by  
208 Stelloo et al. [15] (Figure 3);
- 209 - 1.651 (CI 95%, 1.044-2.611; p=0.032; I<sup>2</sup>=45.7%) for DFS including the study by Stelloo et  
210 al. [15] as locoregional recurrence (Figure 4);
- 211 - 1.684 (CI 95%, 1.05-2.701; p=0.03; I<sup>2</sup>=51.5%) for DFS including the study by Stelloo et al.  
212 [15] as distant recurrence (Figure 5).

213

## 214 **DISCUSSION**

215 This study shows that, in EC patients, LVSI has a prognostic value independent of TCGA groups,  
216 as well as age and adjuvant treatment. In particular, the presence of LVSI increased the risk of death  
217 of any cause, death due to EC and recurrent or progressive disease by 1.5-2 times. These findings  
218 may be the first pooled data in the field.

219 Since President Obama's 2014 State of the Union address [41,42], Precision Medicine initiative has  
220 been essential in oncological studies [42,43]. Precision medicine appears as the most promising  
221 approach to cancer, recommending measures tailored to the individual, with the paradigm “the right  
222 therapy, at the right time, in the right patient...” [44]. In fact, rather than classifying tumors from the  
223 organs in which they originated, they may be classified based on the molecular signature [42].  
224 Among all cancers, this approach has provided the most promising results in EC, where TCGA  
225 findings have shown the potential to subvert the negative epidemiological trend observed in the last  
226 two decades and due to an inaccurate risk assessment [1-11,13,17].

227 On these bases, in the attempt to improve and tailor the risk stratification of EC women, the 2020  
228 ESGO/ESTRO/ESP guidelines for the management of patients with EC recommend to integrate  
229 classic pathological prognostic factors with TCGA molecular signature [21]. However, the allocation  
230 of a patient to a specific risk group based on such an integration should presuppose prognostic  
231 independence between factors [11]. To date, while the prognostic independence of molecular  
232 signature from pathological factors appears demonstrated [3,13-17,28-40], the opposite has not  
233 been well-assessed yet. In detail, while data sustaining the at least partial prognostic independence  
234 of histotype and deep myometrial invasion have been recently provided [6,11], little is known about  
235 LVSI, with only few studies assessing its impact in a specific TCGA group. In particular, while LVSI  
236 was not associated with an increased risk of tumor recurrence or progression [32] and death from  
237 disease [45] in POLE-mt ECs, it appeared as an independent predictor of poor outcome in the MSI  
238 group [46,47]. Lastly, in the study by Stelloo et al., LVSI was the only pathological prognostic factor  
239 independent of TCGA groups for recurrence and death of any cause [15].

240 Regarding the integrated risk stratification proposal, the 2020 ESGO/ESTRO/ESP guidelines  
241 upgrade the risk group from low or intermediate to high–intermediate for stage I MMRd/NSMP  
242 endometrioid ECs based on the presence of LVSI. Therefore, LVSI status would affect the  
243 recommendation of adjuvant treatment in these patients. On the contrary, no role has been attributed  
244 to LVSI in the risk stratification of POLE-mt and p53-mt ECs [21].

245 Our results seem to support the use of LVSI as additional prognostic factor to be integrated with  
246 TCGA signature. The integration of molecular signature and pathological factors would ensure a  
247 more tailored management of patients in accordance with the principles of the precision medicine.

248 On the one hand, this might reduce the under- and overtreatment of EC women observed in the last  
249 years [4,6,8,11]; on the other hand, it might reduce the risk of wrong conclusion from oncological  
250 trials as patients with different prognosis would not be lumped together based on histological  
251 examination alone.

252 However, in order to further confirm 2020 ESGO/ESTRO/ESP recommendations and to obtain a  
253 more and more tailored management of EC patients, additional studies are needed to separately  
254 assess the prognostic value of LVSI in each TCGA group. This is necessary as each TCGA group  
255 is differently affected from pathological factors, with the POLEmt group appearing as the group least  
256 affected [4]. Indeed, the impossibility to provide pooled data about LVSI prognostic independence  
257 separately in each TCGA group may be the major limitation of our study. In addition, there was only  
258 a small number of studies which were suitable for our analysis. Another point to be clarified is the  
259 criterion to categorize LVSI. In fact, in one of the included studies, as well as in the  
260 ESGO/ESTRO/ESP guidelines [21], LVSI was dichotomized as “absent/focal” vs “substantial”  
261 [15,21]; such system was also showed to work in cervical cancer [48]. By contrast, two of the included  
262 studies dichotomized LVSI as “absent” vs “present” [28,39]. Lastly, we could not assess the  
263 prognostic independence of LVSI from other histological factors, as only TCGA group, age and  
264 adjuvant treatment were considered in the multivariate analysis of all individual studies. These  
265 limitations highlight the great need for further studies in the field.

266

267 **CONCLUSION**

268 In EC patients, LVSI has a prognostic value independent of TCGA signature, as well as age and  
269 adjuvant treatment, increasing the risk of death of any cause, death due to EC and recurrent or  
270 progressive disease by 1.5-2 times.

271 Further studies are necessary to confirm these findings and to assess the prognostic impact of LVSI  
272 separately in each TCGA group.

273

274 **CONTRIBUTION**

275 AR and AT independently assessed electronic search, eligibility of the studies, inclusion criteria, risk  
276 of bias, data extraction and data analysis. DR, DN, MM, PC and AS contributed to the elaboration of  
277 methods for risk of bias assessment, data extraction and analysis. AR, AT, DN, LI, FZ, GFZ, AM and  
278 RS conceived the study; DR, FR, PC, AS and LI worked on the design of the study; AR, AT, DN,  
279 DR, FR and LC worked on the manuscript preparation; PC, LI, FZ, GFZ, AM and RS supervised the  
280 whole study.

281

282 **CONFLICT OF INTEREST STATEMENT**

283 Authors report no conflict of interest.

284

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286 No financial support was received for this study.

287

288

289

290

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427 **LEGENDS FOR TABLES AND FIGURES**

428 **Figure 1.** Forest plot of individual and pooled hazard ratios for death of any cause between  
429 endometrial carcinoma patients with and without lymphovascular space invasion; multivariable  
430 analyses including TCGA group as a variable were considered from included studies. The absence  
431 of lymphovascular space invasion was considered as a reference.

432

433 **Figure 2.** Forest plot of individual and pooled hazard ratios for death due to endometrial carcinoma  
434 between patients with and without lymphovascular space invasion; multivariable analyses including  
435 TCGA group as a variable were considered from included studies. The absence of lymphovascular  
436 space invasion was considered as a reference.

437

438 **Figure 3.** Forest plot of individual and pooled hazard ratios for recurrent or progressive disease  
439 between endometrial carcinoma patients with and without lymphovascular space invasion;  
440 multivariable analyses including TCGA group as a variable were considered from included studies.  
441 The absence of lymphovascular space invasion was considered as a reference. The study by Stelloo  
442 et al. [15] was not included.

443

444 **Figure 4.** Forest plot of individual and pooled hazard ratios for recurrent or progressive disease  
445 between endometrial carcinoma patients with and without lymphovascular space invasion;  
446 multivariable analyses including TCGA group as a variable were considered from included studies.  
447 The absence of lymphovascular space invasion was considered as a reference. Locoregional  
448 recurrence was considered for the study by Stelloo et al. [15].

449

450 **Supplementary Figure 1.** Flow diagram of studies identified in the systematic review (Prisma  
451 template [Preferred Reporting Item for Systematic Reviews and Meta-analyses]).

452

453 **Supplementary Figure 2. a)** Assessment of risk of bias. Summary of risk of bias for each study;  
454 Plus sign: low risk of bias; minus sign: high risk of bias; question mark: unclear risk of bias; **b)** Risk  
455 of bias graph about each risk of bias item presented as percentages across all included studies.

456 **Supplementary Table 1. Characteristics of the studies included in the qualitative synthesis.**

457 **FIGO:** International Federation of Gynaecology and Obstetrics

458 **RCT:** Randomized controlled trial

459 **OS:** overall survival

460 **DFS:** disease-free survival

461 **DSS:** disease-specific survival

462 \*: DFS was assessed as locoregional recurrence-free survival and distant recurrence-free survival

463

464 **Supplementary Table 2. Characteristics of patients from studies included in the qualitative**  
465 **synthesis.**

466 **FIGO:** International Federation of Gynecology and Obstetrics

467 **LVSI:** Lymphovascular space invasion

468 \*: In the Stelloo et al. study, LVSI was assessed as absent/mild or substancial

469

470 **Supplementary Table 3. Distribution of TCGA groups in the studies included in qualitative**  
471 **synthesis.**

472

473 **Supplementary Table 4. List of variables considered at multivariable analyses in the studies**  
474 **included in the qualitative synthesis.**

475 **FIGO:** International Federation of Gynaecology and Obstetrics

476 **L1CAM:** L1 Cell Adhesion Molecule