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Field measurements, laboratory tests and empirical relations for investigating the solid-to-fluid transition of a rapid earthflow

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## Dynamics of an active earthflow inferred from surface-wave monitoring

1

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### 9 **Key Points:**

- 10 • The earthflow material at our study site undergoes significant changes in shear stiffness  
11 during rapid movements
- 12 • Rayleigh velocity decreases as the earthflow accelerates, then gradually increases through  
13 time as the landslide decelerates
- 14 • Internal deformation clearly played an important role in the dynamics of the  
15 Montevecchio earthflow

16

17

## 18 **Abstract**

19 Earthflows are clay-rich, slow-moving landslides subjected to periodic accelerations. During the  
20 stage of rapid movement, most earthflows exhibit a change in behavior from a solid to a fluid-  
21 like state. Although this behavior has been extensively documented in the field, the mechanism  
22 leading to the rapid acceleration of earthflows is still poorly understood. Some studies suggest  
23 that earthflows essentially behave as Coulomb plastic solids, attributing the flow-like appearance  
24 to distributed internal shearing; others believe that these landslides can be treated as viscous  
25 fluids, pointing out that the material undergoes a phase transition by increasing its moisture  
26 content. Minimal data are currently available to support these different findings. In this study, we  
27 present the results of periodic and continuous measurements of Rayleigh wave velocity carried  
28 out in an active earthflow located in the Northern Apennines of Italy. Our data indicate that the  
29 material undergoes significant changes in shear stiffness and undrained strength during rapid  
30 movements. In particular, the material exhibits a substantial drop of Rayleigh wave velocity as  
31 the earthflow accelerates, followed by a slow return to pre-disturbance Rayleigh velocities as the  
32 landslide decelerates. Soon after a surge, the earthflow material is extremely soft and the  
33 estimated gravimetric water content is above the liquid limit. In the following months, the shear  
34 stiffness gradually increases and the water content decreases to the plastic limit following a non-  
35 linear trend typical of a consolidation process. These data demonstrate that the earthflow  
36 transforms into a viscous fluid by softening of the material and by water entrainment.

37

## 38 **1 Introduction**

39 Earthflows are among the most common type of landslides in many mountainous areas [*Keefer*  
40 *and Johnson*, 1983; *Hungr et al.*, 2001; *Picarelli et al.*, 2005; *Simoni et al.*, 2013]. They occur in  
41 fine-grained materials and are identified by a tongue or teardrop shape elongated in the  
42 downslope direction [*Hutchinson*, 1988; *Cruden and Varnes*, 1996]. A specific feature of these  
43 landslides is their complex style of movement [*Hutchinson*, 1970; *Bovis and Jones*, 1992].  
44 Earthflows can continue to move slowly at a rate of less than 1 m per year over a long a period,  
45 primarily by sliding on discrete basal and lateral slip surfaces [*Keefer and Johnson*, 1983; *Baum*  
46 *et al.*, 2003; *Schulz et al.*, 2009]. Then, in response to critical rainfall conditions, they may  
47 suddenly accelerate and attain high velocities (up to several m/h) for a limited time [*Varnes and*  
48 *Savage*, 1996; *Coe et al.*, 2009]. During the surge of rapid movement, most earthflows create  
49 geomorphic features like bulging toes, arcuate ridges, and streamlines that suggest a flow-like  
50 behavior [*D'Elia et al.*, 1998; *Giordan et al.*, 2013; *Handwerger et al.*, 2013].

51 Many researchers believe that the ability of earthflows to surge and rapidly accelerate is a  
52 consequence of excess pore-water pressures generated along shear surfaces [*Keefer and Johnson*,  
53 1983; *Baum et al.*, 2003; *van Asch and Malet*, 2009]. Others point out that such a behavior  
54 indicates a sudden change in the mechanical properties of the material, like a loss of shear  
55 stiffness or an increase of water content [*Picarelli et al.*, 2005; *Pastor et al.*, 2009; *Pastor et al.*,

56 2010; Jongmans *et al.*, 2015]. Although these factors are not mutually exclusive (an earthflow  
57 could be triggered by an increase of pore-water pressures and subsequently undergo a change in  
58 mechanical properties as the movement continues) their relative importance is still poorly  
59 understood.

60 Pore-water pressure is certainly the most significant factor that can trigger the initial movement,  
61 increase the displacement rate, or move earthflows on very gentle slopes [Hutchinson and  
62 Bhandari, 1971; Iverson and Major, 1987; Coe *et al.*, 2009]. However, clay-rich soils do not  
63 liquefy under an increase of pore water pressure [e.g. Seed *et al.*, 2003]. In soil mechanics, the  
64 term “liquefaction” denotes a condition where a granular material behaves like a fluid because  
65 the effective interparticle stress  $\sigma'$  (given by the difference between the total overburden stress  
66  $\sigma$  and the pore-water pressure  $u$ ; Terzaghi, 1943) reduces essentially to zero causing the  
67 particles to lose contact with each other. Soil liquefaction occurs in loosely packed, cohesionless  
68 soils (mostly sand) that tend to decrease in volume when subjected to shear stress [Seed *et al.*,  
69 2003]. Clay materials with measurable plasticity are not susceptible to liquefaction because they  
70 have undrained cohesion, thus the shear strength of clays does not become zero when the  
71 effective stress becomes zero [Seed *et al.*, 2003; Robertson, 2010]. Accordingly, most  
72 researchers consider earthflows as Coulomb plastic solids that primarily move by sliding, and  
73 attribute the flow-like appearance to distributed internal shearing rather than mass liquefaction  
74 [Keefer and Johnson 1983; Baum *et al.*, 2003; Hungr *et al.*, 2001].

75 Nevertheless, fine-grained materials can change from solid to plastic to fluid as the water content  
76 increases, showing distinct changes in behavior and consistency. The Atterberg limits are a  
77 conventional measure of the critical water contents at which these changes occur [Casagrande,  
78 1932]. The transition from a plastic to a fluid state due to an increase of the water content is  
79 referred to hereafter as “fluidization”. Fluidization differs from liquefaction because the material  
80 undergoes a change in behavior with a change in volume, while liquefaction essentially assumes  
81 undrained conditions and constant void ratio. Field observations indicate that earthflows may  
82 exhibit a significant increase in water content during mobilization [Prior *et al.*, 1968;  
83 Hutchinson *et al.*, 1974]. Most active earthflows are so soft that they do not support a person’s  
84 weight [Keefer and Johnson 1983], or become “so wet and mascerated that all the debris may  
85 truly flow by continuous internal deformation” [Craig 1979 cited in Moore 1988, p. 59]. Fluid  
86 rheologists have extensively investigated the solid-fluid transition of clays in laboratory  
87 rheometrical tests, defining the existence of a yield stress that separates a rigid/elastic domain  
88 and a fluid domain [Coussot *et al.*, 1998; Ancey, 2007; Mainsant *et al.*, 2012b]. Most of these  
89 experiments are conducted on clay slurries at or above the liquid limit ( $LL$ ), which is the  
90 moisture content at which soil changes from a plastic to a fluid state measured using the  
91 conventional Casagrande apparatus [Casagrande, 1932].

92 The reasons for this different behavior (shear sliding of a plastic solid vs viscous flow of a liquid  
93 material) are still unclear, but more can be learned by collecting relevant data from rapidly  
94 moving earthflows. The monitoring technique recently proposed by Mainsant *et al.* [2012a] can

95 be useful for this purpose. The method relies on the continuous measurement of Rayleigh wave  
96 velocity ( $V_R$ ) as an indicator of material fluidization (or loss of stiffness). Rayleigh waves are  
97 elastic waves which travel near the ground surface with a combination of longitudinal  
98 compression and dilation [Richart *et al.*, 1970]. These waves are the principal component of  
99 ground roll and propagate about 10% slower than shear waves [Telford *et al.*, 1990]. The idea  
100 behind the method is that, as the shear wave velocity in a fluid tends to zero [Reynolds, 1997],  
101 the Rayleigh wave velocity measured inside a landslide should strongly decrease if the solid  
102 material fluidizes [Mainsant *et al.*, 2012a, Mainsant *et al.*, 2015]. Mainsant *et al.* [2012a]  
103 monitored an earthflow located in the Swiss Alps and observed that Rayleigh velocities  
104 decreased continuously and rapidly for several days before a catastrophic stage of movement,  
105 suggesting a dramatic change in the mechanical properties of the material. To our knowledge,  
106 this is the only study that has documented the process of solid-to-fluid transition in earthflows.  
107 Therefore, more field data need to be collected in different geological and morphological settings  
108 in order to understand if rapid surging of earthflows is accompanied by softening and fluidization  
109 of the material, or mainly occurs by shearing along internal and boundary shear surfaces.

110 In this study, we used Rayleigh wave velocity to investigate the behavior of the Montevocchio  
111 landslide, an active earthflow located in the Northern Apennines of Italy (Savio River valley,  
112 Province of Cesena). In February 2014, the earthflow entered a period of intense activity that  
113 lasted for 17 months until June 2015. During this period, the earthflow experienced three surges  
114 of rapid movement characterized by the fluidization of the moving mass. We documented this  
115 process by periodic and continuous measurements of Rayleigh wave velocities carried out using  
116 the active Multichannel Analysis of Surface Waves (MASW) [Park *et al.*, 1999] and the passive  
117 Refraction Microtremors (ReMi) techniques [Louie, 2001]. Geophysical data were integrated by  
118 continuous measurements of rainfall and landslide displacement. The data reveal a complex  
119 relationship between rainfall, displacement rate, and Rayleigh velocity, providing new insight  
120 into the dynamics of active earthflows.

121

## 122 **2 Study Area**

123 The Montevocchio landslide is located in the Northern Apennines of Italy, approximately 16 km  
124 to the south of the city of Cesena. The landslide occupies the valley of the Ribianco Creek, a  
125 tributary of the Savio River (Figure 1). The area is characterized by relative gentle slopes  
126 (inclination in the range of  $7^\circ$  to  $17^\circ$ ) covered by grass and native brush, and ranges in elevation  
127 from 70 to 215 m a.s.l.. The upper part of the basin has typical badland morphologies  
128 characterized by small gullies, steep slopes ( $35^\circ$  to  $45^\circ$ ) and low vegetation coverage.

129 Bedrock geology consists of shallow marine deposits belonging to the Colombacci Formation  
130 [Ricci Lucchi *et al.*, 2002]. This Formation was deposited from the Late Miocene to the  
131 Holocene with a maximum thickness of 450 meters. In the study area, the Colombacci Formation  
132 consists of predominant marly and silty clay interbedded with thin layers of fine sandstone

133 (sandstone/clay ratio is lower than 1/3). The clay is stiff to very stiff with a dark grey-blue color  
134 when fresh, and becomes soft and brown when weathered. The sandstone layers are loose or only  
135 weakly cemented, the color turning from grey to yellow with weathering. The Colombacci  
136 Formation is well exposed on the source areas of the earthflow (zone A-B-C; Figure 1).

137 Old landslide deposits originated by multiple earthflow events occupy about 45% percent of the  
138 Ribianco basin (Figure 1). These deposits consist of a clay-rich colluvium containing scattered  
139 blocks of weakly cemented sandstone of variable size. The slopes covered by landslide deposits  
140 have an average inclination of about 13°. These landslides are subjected to periodic reactivations.  
141 The term reactivation (or remobilization) is current to indicate a phase of high activity after a  
142 long period of dormancy [*Cruden and Varnes, 1996*]. Herein, reactivation is used to indicate a  
143 stage of rapid movement (with a velocity of several meters per day or per hour) that leads to the  
144 complete mobilization of the earthflow material. In the last 50 years, the Montevecchio landslide  
145 reactivated once in 1979, when it almost reached the houses and the road at the toe, then in 1997,  
146 1999, 2002, 2005, 2006, and 2008 with local movements in the upper part of the slope. During  
147 the last period of activity (February 2014 to June 2015) the earthflow underwent a new complete  
148 remobilization (see next section).

149 Results from geotechnical tests show that the earthflow material is fairly uniform. It has medium  
150 plasticity (Liquid Limit=50%; Plastic Index=26%) and it is composed on average by 15% sand,  
151 45% silt, and 40% clay. Blue methylene tests provide a specific surface of the clay of 112±1  
152 m<sup>2</sup>/g, which is a typical value for an illite [*Hang et al., 1970*] and an activity index of the clay  
153 fraction [*Acb; Lautrin, 1989*] equal to 12.5±0.5. The density is 1850 kg/m<sup>3</sup> in saturated  
154 conditions and 1500 kg/m<sup>3</sup> for the dry soil (average values of 500 g undisturbed samples taken  
155 within one meter of the surface). Direct shear tests give a critical state friction angle  $\phi'_{cs}=20^\circ$  and  
156 a residual friction angle  $\phi'_r=13^\circ$ . The local climate is Mediterranean with two main rainy periods  
157 from autumn to early winter (October to December) and during spring (March to May). The  
158 average annual precipitation is 780 mm and the average annual snowfall is about 30 cm. The  
159 average annual temperature is 14°C and it ranges between 17° and 29° during the dry season and  
160 between 1° and 20° C during the wet season.

161

### 162 **3 Recent activity of the Montevecchio landslide**

163 In February 2014, after a prolonged rainfall of 109 mm in 16 days, the Montevecchio earthflow  
164 entered into a new period of activity. The trigger rainfall was above the probabilistic rainfall  
165 threshold established for the area [*Berti et al., 2012*] and caused a large number of landslides in  
166 all the Emilia Romagna Region. The activity lasted for 17 months (until June 2015) and within  
167 this period the earthflow underwent three major reactivations (1<sup>st</sup> reactivation: February 1, 2014;  
168 2<sup>nd</sup> reactivation: February 25, 2015; 3<sup>rd</sup> reactivation: May 25, 2015). As mentioned above, the  
169 term “reactivation” indicates the complete remobilization of the existing landslide deposits from

170 the source area to the toe. Hereafter we also use the term “partial reactivation” to indicate the  
171 remobilization of only a portion of the landslide (generally the upper part) and “suspended  
172 phase” to indicate the time after a reactivation when the landslide slows down [Schadler, 2010].  
173 A reactivation corresponds to a stage of rapid earthflow movement with downslope velocity on  
174 the order of meters per hour. This stage generally lasts 2-5 days, then the velocity gradually  
175 decreases with time approaching some nonzero value. In fact, during the 17 months of activity,  
176 the landslide never stopped and the minimum-recorded velocity was on the order of few  
177 mm/day.

178 The first reactivation (February 1, 2014) started as sliding failure in the source area A (Figure 2a)  
179 and caused a retrogression of the headscarp of about 8 m. The landslide quickly propagated  
180 downslope (Figure 2b) at a speed of several meters per hour, and in a couple of days reached the  
181 toe (Figure 2c-d). Local authorities decided to protect the houses and the road by removing the  
182 advancing toe material, which was continuously excavated for weeks and deposited on the  
183 fluvial terrace to the other side of the road. In March and April 2014, the earthflow partially  
184 reactivated several times after heavy rain. The excavations at the toe continued and four earth  
185 berms were built across the landslide to stop the movement (Figure 1). From May 2014, the  
186 earthflow entered a suspended phase that lasted about 9 months. During this period, the landslide  
187 velocity decreased gradually from m/day to cm/day, with episodes of acceleration of 10-20 cm in  
188 a few days after intense rainfall events. The suspend phase ended with the second reactivation of  
189 February 25, 2015. This time the initial sliding failures involved both the source area A and B  
190 (Figure 1) causing further retrogression of the headscarps, the complete mobilization of the  
191 earthflow, and the destruction of two earth berms. Further movements occurred in March 2015,  
192 then the landslide slowed down and almost stopped at the end of April 2015. The third and last  
193 reactivation was in May 25, 2015. Again, the landslide remobilized into a fluid, fast-moving  
194 earthflow that quickly reached the toe. Here local authorities removed the material 24 hours a  
195 day to save the houses. In June 2015, the earthflow almost stopped and significant consolidation  
196 works were carried out. Five earth berms were built across the landslide (Figure 1) and a trench  
197 drain system was realized to stabilize the middle-upper part of the slope. The landslide remained  
198 essentially stable in the following years with some localized slides in the source area and along  
199 the north flank.

200

201 Field observations provide qualitative but valuable information on the reactivation mechanism of  
202 the Montevecchio earthflow. In all the three cases, the mobilization starts with a relatively small  
203 translational slide in the source area (zones A-B-C; Figure 1) that occur during or shortly after  
204 rainstorms. In the source area the bedding planes dip with the same direction as the slope scarp at  
205 an angle of  $40^\circ$  with the horizontal, promoting slope instability by translational sliding and  
206 flexural buckling. The rock exposed on the scarp is an alternation of marly clay and fine  
207 sandstone, with estimated values of the uniaxial compressive strength in the range 1-5 MPa  
208 (measured in the field by simple index tests; *Hoek and Brown, 1977*). Although the rock is fresh



209 or only slightly weathered, it completely disintegrates after rupture and turns into loose, fine-  
210 grained debris. The material detached from the scarp accumulates on the head of the gently  
211 inclined earthflow deposits causing ground bulging, cracks openings, and the formation of lateral  
212 shear surfaces. *Hutchinson and Bhandari* [1971] first introduced the term undrained loading to  
213 describe the failure of a saturated landslide deposit due to undrained compression and  
214 consequent rise of pore-water pressures.

215 After the initial slide, a surge of rapid movement can occur leading to the transformation of the  
216 earth slide into an earthflow. Evidence for this change in behavior includes: i) the landslide  
217 suddenly accelerates from millimeter-centimeters/day to meters/hour; ii) a variety of flow  
218 structures appear on the ground surface, such as arcuate pressure ridges parallel to the contour  
219 lines, hummocks, lateral levees, and tongue-shaped lobes; iii) the material softens by increasing  
220 the water content. This latter evidence is of particular interest. After each surge we surveyed the  
221 landslide and perform several simple tests to assess material softness by inserting a steel tube (5  
222 cm diameter, 2 m long) into the ground. These qualitative data confirm that soon after a  
223 reactivation the earthflow is in a fluid state, at least within the upper 2 m. The material shows the  
224 consistency of a clay slurry, and we could easily insert the steel tube into the ground by hand  
225 throughout its length. Unfortunately, the depth of the fluidized layer remains unknown because  
226 the earthflow was not accessible to heavy machinery after a surge.

227 After the stage of rapid movement, the earthflow decelerates. The velocity at the toe and along  
228 the main track gradually decreases from m/day to cm/day, and the landslide continues to move  
229 within lateral shears zones with minor internal deformation. Interestingly, the material in the  
230 shear bands (20 to 40 cm thick) remain very soft for several weeks after the surge, while the  
231 landslide body becomes apparently stiffer and stronger.

232

## 233 **4 Field data**

### 234 **4.1 In situ measurements of Rayleigh wave velocity**

#### 235 Methodology

236 We documented the reactivation of the Montevecchio earthflow by means of periodic and  
237 continuous measurements of Rayleigh wave velocities, carried out using two standard  
238 techniques: the active Multichannel Analysis of Surface Waves (MASW) [*Park et al.*, 1999] and  
239 the passive Refraction Microtremors (ReMi) techniques [*Louie*, 2001]. Both techniques exploit  
240 the properties of Rayleigh waves of different wavelengths to excite the material at different  
241 depths, thus travelling at different velocity: short wavelengths normally propagate slower (due to  
242 the lower velocity of shallow layers) while long wavelengths, which excite deeper layers,  
243 propagate faster [*Aki e Richards*, 1980, *Ben-Menahem and Singh*, 1981]. MASW focuses on the  
244 signal produced by artificial sources while ReMi exploits signals from natural sources.

245 The velocity of Rayleigh waves of different wavelengths into the ground is derived from the  
 246 seismic signal recorded at different positions (a minimum of two) over time. Different  
 247 mathematical algorithms can be used for this derivation. One of the simplest is to filter the signal  
 248 at different frequencies and cross-correlate the filtered signal among all the geophone couples to  
 249 find the time lag. Since the distance between each geophone couple is known, the propagation  
 250 velocity can be obtained by dividing this distance by the time-lag. The result of the cross-  
 251 correlation algorithm (normalized to the auto-correlation function) can be plotted in frequency-  
 252 velocity plots as shown in the conceptual example of Figure 3.

253 Since the dispersion of surface waves is a multimodal phenomenon, different velocity values are  
 254 possible at the same frequency, each one corresponding to a different propagation mode. In the  
 255 case of an ideal source, ideal receiver geometry, and ideal material (homogeneous and isotropic  
 256 half-space), the fundamental mode is dominant in terms of energy. However, in real cases this  
 257 does not always happen. Selecting the dispersion curve of the fundamental mode or correctly  
 258 sorting the higher modes implies a degree of subjectivity which represents one of the limits of  
 259 the method as extensively discussed in the literature [*Gucunski and Woods, 1992; Tokimatsu et*  
 260 *al., 1992; Foti et al., 2014; Castellaro, 2016*]. Here, we restrict the discussion to what can be  
 261 inferred from Figure 3. The propagation velocity distribution of a surface wave at a specific  
 262 frequency is given by the normalized cross-correlation function at that frequency. The graduated  
 263 colour bar in Figure 3 represents the probability density distribution (in linear scale from 0 to 1)  
 264 of the normalized cross-correlation function. The maxima of the distribution (blue dots in Figure  
 265 3) are the velocities associated with each frequency. The narrower the peaks (red shaded areas),  
 266 the better the degree of accuracy of the velocity determination. The point A in Figure 3 indicates  
 267 the Rayleigh velocity for a frequency of 30 Hz and the associated error bar, defined as the  
 268 velocity range with a probability value higher than 0.8.

269 Rayleigh waves induce the maximum displacement in the subsoil at a depth which is  
 270 approximately  $z = \left| \frac{\lambda}{3}, \frac{\lambda}{2} \right|$ , where  $\lambda$  is their wavelength and the range depends on the Poisson's  
 271 ratio [*Jones, 1962*]. This approximate relation provides a way to determine both the velocity  
 272 profile in the subsoil (remembering that  $\lambda = V_R / f$ , where the velocity  $V_R$  and the frequency  $f$   
 273 are those of Figure 3) and the maximum investigation depth. Refined inversion algorithms are  
 274 available to evaluate the velocity profile with depth based on specific modeling of Rayleigh  
 275 wave propagation in multilayered media exist, but are beyond the goal of this paper. Here we  
 276 refer to the common approximation of converting wavelength to depth by using the relation  
 277  $z = \lambda / 2.5$  [*Foti et al., 2014; Castellaro, 2016*]. From this relation it also follows that the ideal  
 278 aperture of the array is at least half the desired investigation depth  $z_{\max} / 2$  [*Rix and Leipski,*  
 279 *1991; Park et al., 2007*], although arrays with  $z_{\max} / 4$  can still be effective under specific  
 280 circumstances [*Castellaro, 2016*].

281 These standard techniques differ from the method used by *Mainsant et al.* [2012a] in a major  
 282 aspect. *Mainsant et al.* [2012a] derived the velocity values in the subsoil from the cross-  
 283 correlation of the signal between two geophones at known distance. The two geophones are  
 284 planted in the stable ground on both sides of the landslide and provide the average Rayleigh  
 285 velocity across the investigated section. Since the geophones are located outside the landslide,  
 286 the system can operate even when the earthflow is rapidly moving. This is an important  
 287 advantage compared to standard techniques that instead require access to the landslide area.  
 288 However, the use of two geophones is appropriate only when the signal propagation is aligned  
 289 with the geophone line. If this is not the case, the method provides apparent velocity values,  
 290 larger than the real values by a factor  $1/\cos\alpha$  where  $\alpha$  is the angle between the signal  
 291 propagation direction and the geophone alignment. The method can still provide correct results  
 292 (that is an apparent velocity distribution centred on the real velocity value) provided that the  
 293 noise distribution around the geophone line is homogeneous [*Mulargia and Castellaro, 2013*].  
 294 To overcome this limitation we decided to use standard methods by employing: a) active sources  
 295 in line with the array, thus ensuring observation of real velocity values, b) a larger number of  
 296 geophones, which allows one to compute more precise (statistically redundant) velocity values  
 297 with depth, and c) in the case of purely passive surveys, where the source position with respect to  
 298 the array is unknown, we examined several dispersion curves and retained in the analysis only  
 299 those showing the lowest velocity values, which are by definition those closer to the real velocity  
 300 values (given that  $V_{apparent} = V_{real} / \cos\alpha$ ). Moreover, standard techniques provide measurements  
 301 of Rayleigh velocity that allow comparing the state of the material in different locations along  
 302 the landslide.

303

### 304 Periodic surveys

305 At Montevecchio, periodic measurements were done every 1-2 months (Tab. 1) along seven  
 306 seismic lines. Four lines were located within the landslide area and three just outside the  
 307 landslide as shown in Figure 4.

308

309 *Table 1: Periodic seismic surveys carried out at Montevecchio (location of the measurement*  
 310 *sections in Figure 4).*

Date	Measurement section						
	A	B	C	D	E	F	G
2014/05/07	X	X	X	X	X	X	X
2014/06/06	X	X	X	X	X	X	X
2014/06/06	X	X	X	X	X	X	X
2014/07/27	X	X	X	X	X	X	X
2014/08/28		X	X	X			
2015/01/23		X	X	X			
2015/02/18			X				
2015/03/11		X	X	X			
2015/03/24		X	X	X			
2015/04/17		X	X	X			

2015/04/24	X	X	X	X			
2015/04/30			X		X	X	X
2015/05/07	X	X	X	X			
2015/05/19	X	X	X	X	X	X	X
2015/06/08	X	X	X	X			
2015/06/19		X	X	X			
2015/07/09	X	X	X	X			
2015/07/16		X	X				
2015/08/05	X	X	X	X			
2015/08/27			X				
2015/09/04	X	X	X	X			

---

311  
312 We used six vertically polarized 4.5 Hz geophones, pressed firmly into the ground and set at  
313 intervals of 2 m each (total length of the seismic lines 10 m). A 10 m aperture antenna can detect  
314 waves as long as 40 m, which corresponds to maximum investigation depth of ~12. The first 5  
315 minutes of each acquisition were done in the passive mode (ReMi), just acquiring the ambient  
316 seismic noise, while the last minute was in the active mode (MASW) by putting a seismic source  
317 (a jump of the operator) about 5 m apart from the first geophone, in order to ensure as planar as  
318 possible wavefronts at the geophones. All the geophones were connected to a Soilspy Rosina  
319 acquisition system and data were processed using the software Grilla (<http://MoHo.world>).

320 The data were analyzed to obtain the fundamental dispersion curves. Besides the problems  
321 generally related to the interpretation of dispersion curves (see above) the difficult field  
322 conditions provided further sources of uncertainty. During the dry season, the surface of the  
323 landslide was pervaded by desiccation cracks and open fractures (Figure 5a-b) and a firm  
324 coupling of the geophones with the ground was difficult. Conversely, during the rainy season or  
325 after the major reactivation events (Figure 5c) the material was fluid and most measuring points  
326 were not accessible. Both the variable ground conditions and the different location of the  
327 measuring points affected the accuracy of the results.

328 Figure 6 shows a typical Rayleigh-wave phase-velocity vs. frequency plot (spectrum) obtained at  
329 Montevecchio using active (Figure 6a) and passive (Figure 6b) methods. The dispersion curve  
330 can be traced by following the red-shaded areas of the frequency-velocity plots. In the active  
331 mode (Figure 6a) the dispersion curve is generally well defined over a wide range of frequencies  
332 and fundamental mode can easily be identified. In the passive mode (Figure 6b) the curve is  
333 discontinuous and the fundamental mode can be recognized only in some frequency intervals.  
334 For example, the dispersion curve shown in Figure 6b is not well defined around 10 Hz, from 13  
335 to 17 Hz and above 25 Hz. In fact, active source methods are generally capable of resolving  
336 higher frequencies than passive methods because the source and receiver array can be tailored to  
337 the desired frequency range. On the contrary, the source for the ReMi survey was ambient  
338 seismic noise that typically contains significant low frequency energy and lacks high frequency  
339 signal, which can lead to poor resolution of shallow soil layers [Louie, 2001; Cox and Wood,  
340 2010; Strobbia and Cassiani, 2011].

341

#### 342 Continuous measurements

343 Periodic surveys were integrated by continuous measurements of surface wave velocity. To this  
344 aim, a cost-effective self-produced monitoring system was designed to include these features: 1)  
345 easy to install in the field and quick to remove; 2) low maintenance; 3) light enough to be carried  
346 by hand; 4) resistant to harsh field conditions (intense rainfall events, large ground  
347 displacements); 5) minimal energy consumption; 6) compatibility with other geotechnical  
348 sensors. A number of preliminary tests were conducted to find the optimal configuration.  
349 Different combinations of sampling rate (50 to 300 Hz), number of geophones (2 to 4) and  
350 duration of the acquisition session (from 30 s to 5 min) were tested in order to balance the  
351 desired signal accuracy with the capabilities of the datalogger and the power requirement. This  
352 appeared to be a suitable configuration for our needs: i) Campbell CR1000 data logger with  
353 CFM100 Compact Flash Module (2GB); ii) 4 vertical geophones at 4.5Hz with 4 signal  
354 amplifiers (gain=500); iii) power supplied by a 12 V 7 Ah battery recharged by a 20 W solar  
355 panel. Good results were obtained by reading the four geophones at 300 Hz for 2 minutes every 1  
356 hour, thus simulating the execution of 24 ReMi surveys every day.

357 The monitoring system was installed at Montevecchio in May 16, 2014. The geophones were  
358 placed on the main track of the earthflow channel with a spacing of 2 m (Figure 4, blue line) and  
359 buried at a depth of 20 cm (Figure 7a-b) to avoid the atmospheric thermal effect and to ensure an  
360 adequate coupling with the ground [Beekman, 2008]. In the periodic surveys burial was not  
361 required because we hand-tamped around the geophones to ensure good coupling. The signals  
362 acquired with this type of approach require to be stable in time, implying a relatively constant  
363 background noise over the period of interest [Hadziioannou et al., 2009]. Based on direct  
364 observation during the first field tests, the main source of ambient seismic noise vibration was  
365 the national road located at the toe of the landslide (about 400 m away from the monitoring  
366 system) which constitutes a spatially stable background noise. The data collected from the

367 datalogger were periodically downloaded and analyzed using the same software adopted for  
368 periodic surveys (Grilla).

369 Also in this case, dispersion curves were sometimes difficult to interpret, thus we decided to  
370 classify each curve as “good”, “fair”, or “bad” according to quality of the phase velocity  
371 spectrum (Figure 8). Figure 8a shows a dispersion curve classified as “good”: here the  
372 fundamental as well as a number of higher modes can clearly be distinguished in a wide  
373 frequency interval (5-50 Hz). The case (b) shows a “fair” dispersion curve in which the  
374 fundamental mode can be recognized only at low frequencies (5-10 Hz). Case c) shows a  
375 dispersion curve classified as “bad” because the fundamental mode cannot be detected. Bad  
376 curves are generally due to electrical problems with the signal amplifiers, cable ruptures, or bad  
377 ground coupling. For the purpose of the analysis, we only considered the “good” (a) or “fair” (b)  
378 dispersion curves. As representative velocity values, we picked the central points of the red range  
379 (which represents the highest probability range of velocity), while we used the red range  
380 boundaries (probability value higher than 0.8) to define the error bars (Figure 3).

381 Field monitoring was difficult and sometimes risky due to the strong landslide activity. Figure  
382 7c-d shows the monitoring system just after the reactivation of February 25, 2015: all the  
383 equipment was moved downslope for about 100 m, the rain gage was destroyed and both the  
384 geophones and the amplifiers were lost. The landslide was not accessible for almost two months,  
385 not even to retrieve the equipment. The system was rebuilt and reinstalled on May 7, 2015. Less  
386 than one month later, the earthflow reactivated again and the monitoring system was again  
387 destroyed. During the monitoring period, we reinstalled the system six times because of the  
388 continuous landslide movements.

389

## 390 **4.2 Landslide displacement**

391 Landslide movement was measured using continuous GPS monitoring and a time-lapse camera.  
392 The GPS system consists of one reference station located in a stable area outside the landslide  
393 and three rover stations installed along the earthflow (Figure 4). Rover GPS devices were  
394 LEICA-GMX901 antenna (single frequency; 10 Hz update; horizontal accuracy: 3 mm + 0.5  
395 ppm; vertical accuracy: 5 mm + 0.5 ppm) powered by two batteries (12 V 14 Ah in parallel) and  
396 recharged by a 60 W solar panel. Rover stations were equipped with Wi-Fi direction antennas  
397 (model Ubiquiti Nanostation M5) for transmitting data to the reference station. Both the GPS  
398 receiver, the control unit, and the WiFi antenna were installed on a 2 m long pole equipped with  
399 a helicoid tip that was screwed into the ground. The reference station was a dual-frequency  
400 LEICA GMX902 antenna connected to an industrial PC. The PC run the software Leica GNSS  
401 Spider used to process the data in real time. Power to the reference station was provided via a  
402 connection to the grid at 220 V. Raw data are processed in real time to determine the GPS  
403 coordinates of rovers in differential mode with respect to the reference station, i.e by calculating  
404 the baseline, which is the distance between rover and reference GPS antennas. Since the baseline

405 of rover 1 (the one closest to the monitoring station) is nearly coincident with the direction of  
406 movement of the landslide, the measured displacements were not projected.

407 The time-lapse camera is a Brinno TLC200 that was placed outside the right flank of the  
408 earthflow (Figure 4) shooting the monitoring system. The camera has a focal length of 36 mm  
409 and it was set to take one picture every 30 minutes with a resolution of 640x480 pixels. An AVI  
410 video is created in the camera during recording, which results in a file of about 0.2 MB/frame  
411 stored on a 8 GB SD card. The analysis of these videos was carried out with the free software  
412 Tracker. The displacement was calculated knowing the dimension of an object in the camera  
413 view (a wood pole with red/white markings) and its distance from the camera. The pole was  
414 placed in the midline of the channel in order to measure the maximum velocity of the earthflow.

415

## 416 **5 Results**

### 417 **5.1 Periodic acquisitions**

418 Periodic seismic surveys were performed at Montevecchio from May 2014 to September 2015.  
419 For sake of clarity, we divide the dataset into the three periods that followed the three main  
420 reactivations.

421 Figure 9 illustrates the data collected after the first reactivation (May 2014-January 2015). The  
422 charts show the profiles of Rayleigh wave velocity ( $V_r$ ) measured inside (section A, B, C, D)  
423 and outside (section E, F) the landslide area in the different campaigns (location in Figure 3).  
424 The dates of the seismic surveys are reported as days elapsed since the last mobilization (in this  
425 case the partial reactivation of April 27, 2014) in order to highlight the variation of  $V_r$  with time.  
426 As it can be seen, the Rayleigh wave velocity increased over time inside the landslide, while it  
427 remained constant outside. In particular, soon after the reactivation (10 days later) the landslide  
428 material was characterized by very low values of  $V_r \approx 50$  m/s with no significant differences  
429 between the four sections. Then  $V_r$  increased. The rate of recovery along the earthflow was  
430 however different: in the source area (section A) it was faster than in the lower part (section D),  
431 whereas sections B and C showed intermediate values. For instance, in 271 days, the Rayleigh  
432 wave velocity at a depth of 5 m increased by 100, 45, 30, and 15 m/s moving from section A to  
433 D.

434 The data collected after the second reactivation (March to May 2015) provided similar results  
435 (Figure 10). The first survey was done only 14 days after the reactivation of February 25, when  
436 the landslide material was still partially fluid. The data show very low velocity profiles  
437 throughout the earthflow (see sections B, C, D; section A is missing because it was not  
438 accessible) revealing a sharp drop in  $V_r$  compared to initial conditions (end of the period in  
439 Figure 9).  $V_r$  remained low in the next two weeks due to the continuous movements of the  
440 earthflow, then gradually increased to the values shown before the mobilization. In this case, the

441 recovery rate was similar in the three sections. The Rayleigh wave velocity outside the landslide  
442 remained constant and equal to that measured in the first period ( $V_r \approx 200\text{-}250$  m/s).

443 The data of the third period (June to September 2015) show a similar trend (Figure 11). Again,  
444 the lowest values of  $V_r$  occurred soon after the reactivation of May 25, 2015, then the wave  
445 velocity increased to the initial value. During this third period the variation of  $V_r$  with time was  
446 quite complex (especially in sections B and C) because of the extensive consolidation works  
447 carried out from July to September 2015, that triggered partial reactivations of the earthflow  
448 around the construction area of the earth berms. The last survey was on September 4, 2015. After  
449 that, local authorities installed a dense network of trench drains and drainage channels to  
450 stabilize the landslide and most of the material was reworked up to a depth of 2-4 m.

451 The chart in Figure 12 summarizes the data collected inside and outside the landslide area over  
452 the whole period. For this comparison, we used the Rayleigh wave velocity measured at a depth  
453 of 2 m, where the dispersion curves are well defined. Despite the difficulties posed by the harsh  
454 field conditions and the uncertainties in these geophysical measurements, a clear trend emerges  
455 from the data: the Rayleigh wave velocity dropped to very low values as the earthflow  
456 reactivated, then it increased to the initial values following a non-linear trend.

457

## 458 **5.2 Continuous monitoring**

459 Continuous monitoring was designed to capture the change in material properties during the  
460 mobilization of the earthflow. The Montevecchio monitoring system was installed in May 2014  
461 (after the first reactivation of February 12014) and recorded the second and third reactivation.  
462 The third reactivation of May 25, 2015 is the best documented being both the GPS and the time-  
463 lapse camera active. Figure 13 shows the data collected three weeks before and after this event.  
464 The red and blue dots indicate the Rayleigh wave velocity at a frequency of 11 and 15 Hz, which  
465 correspond to an approximate depth of 1 and 2 m respectively. The gray dots are the velocities at  
466 8 Hz (approx. 3 m). The investigation depth is restricted to the first meters because the dispersion  
467 curves obtained by the monitoring system are poorly represented for low frequencies (section  
468 4.1). However, since the velocity profiles obtained by the periodic surveys are almost linear with  
469 depth and vary evenly over time (Figure 11), we believe that these data are representative of the  
470 general behavior of the landslide.

471 In the first three weeks of May 2015, the landslide was slowly moving at a rate of less than 1  
472 cm/day. Rayleigh velocities were fluctuating around 50-55 m/s, as typically observed during the  
473 suspended state of activity of the landslide. On May 22, it started to rain at 01:10 AM and  
474 continued until May 24 08:40 AM with 47 mm in 56 hours. About 11 hours after the beginning  
475 of the rain (small inset in Figure 13a) the landslide started to accelerate and the displacement rate  
476 increased by five times (from 0.8 cm/day to 4 cm/day, Figure 13b). The Rayleigh velocity  
477 dropped to 30-35 m/s (30% drop) and remained low for the next two days May 23 and 24, until



478 the first surge of rapid movement (Figure 13c). The first surge started around midnight on May  
479 24, 16 hours after the end of the rain: the landslide quickly accelerated to 5.8 m/day and reached  
480 the peak velocity of 10 m/day (200 times higher than the day before) in the morning of the 25. In  
481 a few hours the earthflow moved downslope of 5-7 m disrupting the geophones array. The  
482 landslide then slowed down and the velocity decreased to 1.2 m/day in the following 10 hours. A  
483 second rainfall event of 24 mm in 3 hours occurred on May 26 05:30 PM, leading to the  
484 complete reactivation of the earthflow. This second surge lasted three days with a peak velocity  
485 of 22 m/day and a total displacement of about 35 m. The geophones were buried by the landslide  
486 and most of the equipment was destroyed.

487 The monitoring system was reinstalled on June 3, 2015. The data collected after the surges  
488 confirm the results of periodic surveys, showing an increase of Rayleigh velocities as the  
489 landslide decelerates. Three weeks after the reactivation,  $V_r$  almost returned to the initial values  
490 of 50-60 m/s. Rayleigh velocities remained essentially constant until the end of July 2015  
491 (Figure 14). On July 26, the local authorities started to build an earth dam in the source area A  
492 (location in Figure 1) causing a partial reactivation of the landslide. The monitoring system  
493 recorded an increase of the displacement rate (from about 5 cm/day to 40 cm/day) accompanied  
494 by a decrease in  $V_r$  of about 20% (Figure 14c). Again,  $V_r$  increased to 50-60 m/s as the  
495 earthflows decelerates.

496 Figure 15 shows the data collected during the second reactivation of February 25, 2015. The  
497 general trend depicts a progressive increase of the displacement rate (Figure 15a-b) accompanied  
498 by a decrease of the Rayleigh velocity (Figure 15c). However, a closer look shows some  
499 complexity. Rayleigh velocity started to decrease below its normal range on January 31, while  
500 the landslide was slowly moving at a constant speed of about 5 cm/day. Time lapse videos  
501 revealed that in those days the ground started to bulge due to the rapid loading of an upload slide.  
502 In the next days the Rayleigh velocity remained low (around 45 m/s) and essentially constant,  
503 although the displacement rate increased in response to the rainfall event of February 3-6 (160  
504 mm in 4 days). The lowest values of Rayleigh velocity (less than 40 m/s) were recorded anyway  
505 just before the complete reactivation of February 25.

506 Figure 16 shows the data recorded five months after the first surge, during a long stage of  
507 suspended activity (July to November 2014). In that period, the landslide was moving very  
508 slowly (Figure 16a) with a trend of slightly decreasing velocity (few mm/day, Figure 16b). As  
509 expected, the Rayleigh velocities remained essentially constant with small fluctuations around 50  
510 m/s (Figure 16c). The temporary accelerations exhibited by the landslide in response to the  
511 rainfall events did not cause any detectable decrease of Rayleigh velocity.

513 **6 Discussion**

514 The data collected at Montevecchio indicate that the mechanical properties of the earthflow  
 515 material change during surges. The periodic measurements of Rayleigh wave velocity (Figure 9-  
 516 11) provide the clearest evidence of this variation. Soon after a surge, the values of  $V_r$  are very  
 517 low within the entire thickness of the flowing mass, then they gradually increase through time as  
 518 the landslide decelerates. The general trend is similar for the three reactivations and across the  
 519 landslide (Figure 12), although the absolute values of  $V_r$  and the rate of recovery are quite  
 520 different. Possible reasons for these differences are the variable thickness of the landslide, the  
 521 influence of partial reactivations, the different rate of residual movement, and the effect of  
 522 consolidation works. For instance, the construction of an earth berm close to section A (Figure 1)  
 523 is the reason for the rapid increase of  $V_r$  observed in that area after the first reactivation (Figure  
 524 9A), while the continuous excavations carried out at the toe of the landslide explain the low rate  
 525 of recovery in section D (Figure 9D).

526 Figure 17a provides an overall view of the data collected by periodic surveys. Each point shows  
 527 the mean Rayleigh velocity measured at a depth of 2 m inside (sections A to D) and outside  
 528 (sections E and F) the landslide area. Time is reported as number of days elapsed since the last  
 529 surge. The chart shows that inside the earthflow the Rayleigh velocity increases with time of 30-  
 530 40% following a power function. A strong increase of  $V_r$  occurs in the first 50-70 days after a  
 531 reactivation, then the velocity seems to attain a constant value (though the curve is not well  
 532 constrained in the long term). Outside the landslide area,  $V_r$  is constant and remarkably higher.  
 533 These data can be interpreted according to the general theory of surface wave propagation.  
 534 Rayleigh waves travel with a horizontal wave speed  $V_r$  slightly lower than the shear wave speed  
 535  $V_s$ . The ratio  $V_r/V_s$  is a function of the material's Poisson ratio  $\nu$  [Achenbach, 2012]:

536

$$537 \frac{V_r}{V_s} = \frac{0.862 + 1.14\nu}{1 + \nu} \quad (1)$$

538

539 varying from 0.90 for  $\nu = 0.5$  (soft soils in undrained conditions) to 0.95 for  $\nu = 0.2$  (stiff soils  
 540 in drained conditions). In an elastic solid, the velocity of a shear wave is controlled by the solid's  
 541 density ( $\rho$ ) and shear modulus ( $G_0$ ):

542

$$543 V_s = \sqrt{\frac{G_0}{\rho}} \quad (2)$$

544

545 where the notation  $G_0$  indicates the initial shear modulus at very small strains (0.001% or less).  
 546 Since the density  $\rho$  has a negligible effect on  $V_s$  compared to  $G_0$ , the observed variation of  
 547 Rayleigh velocity at Montevecchio can be interpreted as a change in the shear stiffness of the  
 548 earthflow material. Figure 17b shows the values of  $G_0$  computed from the shear velocity  
 549 assuming  $\nu = 0.5$  and constant soil density  $\rho = 1600 \text{ kg/m}^3$  (taken as the average between the  
 550 density at the liquid limit  $\rho \approx 1400 \text{ kg/m}^3$  and the average density measured in the field  $\rho \approx$   
 551  $1800 \text{ kg/m}^3$ ). As can be seen, the shear modulus of the earthflow material is very low soon after  
 552 mobilization ( $G_0 \approx 5 \text{ MPa}$ ) then increases up to 15-20 MPa in a few months. This change in  
 553 shear stiffness suggests a transition from a very soft to a stiff clay [Ortiz *et al.*, 1986].  
 554 Similar results are obtained using undrained shear strength ( $s_u$ ). A number of  $V_s$ -based  
 555 correlations have been proposed in the literature to estimate  $s_u$ . Mayne [2007] derived a  
 556 generalized relation between shear wave velocity and cone tip resistance ( $q_t$  in kPa) suitable for  
 557 clay materials from soft to firm:

$$558 \quad 559 \quad V_s = 1.75q_t^{0.627} \quad (3)$$

560  
 561 *Nguyen et al.* [2014] found a correlation between  $G_0$  and net cone tip resistance ( $q_t - \sigma_{v0}$ , where  
 562  $\sigma_{v0}$  is the total vertical stress) better constrained for soft clays:

$$563 \quad 564 \quad G_0 = 89.1(q_t - \sigma_{v0})^{1.50} \quad (4)$$

565  
 566 These relationships can be inverted to obtain  $q_t$  and combined with the classical formula  
 567  $s_u = (q_t - \sigma_{v0}) / N_{kt}$  (where  $N_{kt} \approx 14$  is a bearing factor; *Robertson*, 2009) to get an estimate of  
 568 undrained strength. The results obtained with the two formulas (using  $V_s$  from 64 to 109 m/s in  
 569 equation (3), and  $G_0$  from 7 to 19 MPa in equation (4)) are similar: the undrained strength is as  
 570 low as 10-20 kPa soon after reactivation and increases up to 30-50 kPa in a few months. Two  
 571 cone penetration tests carried out at the toe of the earthflow three weeks after the first  
 572 reactivation confirm these estimates: in the first 8 m, the tests show a uniform profile of  $s_u$  with  
 573 depth with average values in the range 15-20 kPa. According to the British Standard 5930 [BSI,  
 574 2015], this change in strength indicates the transition from a very soft to a firm clay.

575 The data collected by the monitoring system provide evidence of changes in the material  
 576 properties before a surge. Rayleigh velocity decreases about 20-30 m/s (about 30% of the initial  
 577 value) just before the rapid movements of February and May 2015 (Figure 13-15), indicating that  
 578 the material softened as the earthflow approached a new reactivation. The observed drop is about  
 579 10 times larger than the standard deviation of measurements computed when the landslide is not  
 580 moving (2.2 m/s obtained as the average of the standard deviations calculated for the three  
 581 frequencies in Figure 16). However, the relationship between displacement rate and Rayleigh  
 582 velocity is not simple. In particular, there is no correlation between landslide speed and  $V_r$  drop  
 583 (apparently,  $V_r$  decreases a similar amount regardless of the velocity attained by the landslide)  
 584 and also the timing of the drop may differ (section 5.2). Unfortunately, available data do not  
 585 allow one to establish why there are these differences, mostly because of the limited accuracy of  
 586 the measurements. A series of tests conducted in the field showed that the dispersion curve  
 587 obtained without an active seismic source, and using only 4 geophones instead of the 6 used in  
 588 periodic surveys, is often discontinuous or poorly defined. This makes it difficult to detect the  
 589 Rayleigh velocity of the fundamental mode and introduces significant uncertainties in the data.

590 Despite these uncertainties, the data seem to provide a consistent picture: the earthflow material  
 591 softens during a surge, and then recovers to the initial state when the velocity decreases and the  
 592 landslide comes to rest. The observed behavior cannot be explained by a simple sliding  
 593 mechanism in which the landslide moves as a plastic solid. The drop in shear stiffness clearly  
 594 plays an important role in the rapid movement of the Montevecchio earthflow.

595 What is now more difficult to establish is whether the measured variation of  $V_r$  may indicate a  
 596 solid-to-fluid transition of the earthflow. In principle, we could infer the void ratio  $e$  of the  
 597 material from the shear stiffness  $G_0$ , and compute the gravimetric water content at saturation  $w$   
 598 ( $w = e/G_s$ , where  $G_s \approx 2.7$  is the specific gravity of solids) in order to evaluate the state of the  
 599 earthflow. However, going from Rayleigh velocities to void ratio is fraught with uncertainties,  
 600 mostly because the various forms of the  $G_0 - e$  functions published in the literature might not  
 601 apply to our field conditions. In particular, the measured change of Rayleigh velocity at  
 602 Montevecchio could be due to the opening (or closing) of fissures and cracks within the  
 603 earthflow rather than dilation (or contraction) of the soil skeleton. The following analysis  
 604 therefore provides only a rough estimate of  $e$  and should be taken with care.

605 *Santos and Correia* [2000] compared a number of empirical  $e - G_0$  relationships and proposed  
 606 the following function for soils with high percentages of fines:

607

$$608 \quad G_0 = 4000e^{-1.3} p^{0.5} \quad (5)$$

609

610 where  $p$  is the mean effective stress. Inverting the equation and assuming  $p \approx \sigma'_{v0} = 12$  kPa  
 611 (effective vertical stress at a depth of 2 m considering  $\rho = 1600$  kg/m<sup>3</sup> and water table at the  
 612 ground surface) we can estimate  $e$  from  $G_0$ . According to equation (5) the observed increase of  
 613 shear stiffness after a surge ( $G_0$  from 5 to 20 MPa) corresponds to a decrease of void ratio from  
 614  $e \approx 2$  to  $e \approx 0.7$ . The equivalent change in terms of gravimetric water content is from  $w \approx 80\%$   
 615 to  $w \approx 30\%$ . By comparing these values with the Atterberg limits (plastic limit  $PL = 26\%$ ; liquid  
 616 limit  $LL = 50\%$ ) it turns out that the water content of the earthflow material is well above the  
 617 liquid limit soon after a surge and close to the plastic limit a few months later. These results are  
 618 consistent with the field evidence of a fluidized surface of the earthflow that becomes stiffer with  
 619 time (section 3).

620 The change of void ratio with time is of particular interest because it allows a quantitative  
 621 analysis of observed behavior. Figure 18 shows this trend using a normalized void ratio index ( $\hat{e}$   
 622 ) that depicts the relative variation of  $e$  with respect to the minimum and maximum values  
 623 estimated above ( $e_{\min} = 0.7$  and  $e_{\max} = 2$ ):

624

$$625 \quad \hat{e} = \frac{e_{\max} - e}{e_{\max} - e_{\min}} \quad (6)$$

626

627 The trend of the experimental points is consistent with the exponential decrease of pore volume  
 628 (and increase of material stiffness) that occurs with time during the consolidation of a porous  
 629 material. In fact, it agrees well with the theoretical trend (red curves in Figure 18) predicted by  
 630 the one-dimensional consolidation theory [Terzaghi, 1943]. Terzaghi's consolidation theory  
 631 allows one to compute the change in void ratio of the soil skeleton to the change in effective  
 632 stress by means of a coefficient of consolidation ( $c_v$ ) determined in the oedometer test. The  
 633 theoretical curves in Figure 18 are computed using typical values of  $c_v$  for fine-grained material  
 634 [Holtz and Kovacs, 1981]. These simple calculations suggest that the Montevicchio earthflow is  
 635 in a fluid state soon after a rapid stage of movement and returns to a plastic state as the material  
 636 consolidates.

637 A further point of discussion is the possible use of this technique for early- warning of earthflow  
 638 movement. *Mainsant et al.* [2012a] detected a decrease of the relative Rayleigh wave velocity  
 639 well before the reactivation of their monitored landslide (a first 2% drop about one month before  
 640 the movement, and a second 7% drop four days before). *Mainsant et al.* [2015] carried out some  
 641 laboratory experiments on artificial clay slopes having different water content and confirmed a  
 642 drop in  $V_r$  values before the failure. Based on these the authors suggested that field monitoring of  
 643 surface wave velocity could be potentially used to predict landslides [*Mainsant et al.*, 2012a].

644 These results are more uncertain. Also in our case the Rayleigh velocities start to drop a few days  
645 before a surge (Figure 13 and 15) but the relationship between  $V_r$  and landslide speed is not  
646 straightforward. Besides the uncertainty in the data (as discussed above), a possible explanation  
647 is that we started to monitor the landslide after a major reactivation (February 2014) that  
648 completely remobilized the existing deposits, generating a dense network of pervasive cracks  
649 and fissures within the landslide mass. The two surges of February and May 2015 were  
650 subsequent reactivations of a completely remolded material. In these conditions, the effect of  
651 pre-failure cracking and deformations is probably negligible, and we could only detect the main  
652 changes in shear stiffness associated with the very rapid movements. Therefore our data cannot  
653 prove (or disprove) the use of Rayleigh wave monitoring for early landslide detection.

654

655 Finally, we comment on the technique adopted at Montevecchio for the continuous monitoring of  
656 Rayleigh wave velocity. The system configuration (4 vertical geophones at 4.5 Hz; 2 min  
657 sessions at 300 Hz every 1 hour; passive mode) proved its effectiveness, but with a low accuracy  
658 compared to periodic surveys. Several modifications can be done to improve results: 1) combine  
659 active and passive mode acquisition in order to improve the dispersion curve at high frequency  
660 ranges (for example, using an automatic hammer controlled by the datalogger that hits the  
661 ground during the measurement session); 2) use more geophones to ensure an adequate data  
662 redundancy [Tokimatsu, 1997]. As an alternative to surface wave monitoring, one could use a  
663 down-hole probe specifically designed for long-term monitoring in order to get direct  
664 measurements of shear wave velocity inside an active landslide. A further improvement is to  
665 combine geophysical data with geotechnical sensors to monitor the water content of the material.  
666 Conventional dielectric sensors have an accuracy of 2-3% [Starr and Paltineanu, 2002] and  
667 should easily detect the dramatic change of water content required for the earthflow to transition  
668 to a liquid state.

669

## 670 **7 Conclusions**

671 Rayleigh wave monitoring proved to be an effective method to investigate changes in material  
672 properties that occur in active earthflows. In this study, we monitored rainfall, ground  
673 displacement, and Rayleigh wave velocity of an earthflow located in the Northern Apennines of  
674 Italy during a two-year period of intense activity. Based on these data, several conclusions can be  
675 drawn:

676 1. As the earthflow accelerates approaching a stage of rapid movement, the material exhibits a  
677 significant drop of Rayleigh wave velocity ( $V_r$ );  $V_r$  then gradually increases through time as the  
678 landslide decelerates, returning to the initial values in a few months.

679 2. The observed variation of Rayleigh velocity indicates that the earthflow material undergoes a  
680 significant change in shear stiffness and undrained strength during each reactivation.

681 4. A simple mechanism of rigid-block sliding cannot account for the observed changes of  
682 material properties; therefore, internal disturbance and remolding play an important role in the  
683 dynamics of the Montevecchio earthflow.

684 5. Tentative estimates of the gravimetric water content suggest that the earthflow material is well  
685 above the liquid limit soon after a surge and decreases with time to the plastic limit following a  
686 non-linear trend typical of a consolidation process; these estimates are consistent with the field  
687 evidence of a fluidized surface of the earthflow that becomes stiffer with time.

688 6. At Montevecchio, there is no clear evidence that Rayleigh velocity starts to decrease well  
689 before the landslide starts to move, as found by *Mainsant et al.* [2015]. However, in our case the  
690 material was completely remolded by previous movements, thus we probably missed the initial  
691 cracking that occurs when the landslide reactivates after a long period of dormancy.

692 7. Because of the difficult field conditions and limited accuracy of the data, available  
693 measurements do not allow the precise identification of the relationship between rainfall,  
694 displacement rate, and Rayleigh velocity. In order to get better results from field monitoring we  
695 suggest the use of 6-8 geophones (instead of 4), the use of an active seismic source controlled by  
696 the data logger, and installation of soil moisture sensors at different depths for direct  
697 measurement of water content inside the landslide.

698

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706 are included in the figures and tables.

707

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874 **Figure Captions**

875 Figure 1. Geological map of the study area. The capital letters (A, B and C) indicate the source  
876 areas of the Montevecchio earthflow. The red line shows the boundary of the landslide in July  
877 2015. The colored dotted lines show the three reactivations and the evolution of the headwall  
878 scarp in source areas A and B.

879 Figure 2. Photographs of the Montevecchio earthflow in July 2015. a) panoramic view of the  
880 source area A with the upper part of the earthflow channel; b) main reach of the earthflow  
881 channel; c-d) deposition area after the second reactivation of February 2015.

882 Figure 3. Conceptual example of the MASW/ReMi analysis. Top left: schematic geophone array  
883 (G1-G6). Top right: flowchart of the solving algorithm. Bottom: frequency-velocity plot showing  
884 the experimental propagation velocity distribution of a surface wave at a specific frequency. The  
885 graduated colour bar shows the probability density distribution of the normalized cross-  
886 correlation function; the blue dots indicate the most probable velocity values for each frequency.  
887 The point A indicates the Rayleigh velocity for a frequency of 30 Hz and the associated error  
888 bar, defined as the velocity range with a probability value higher than 0.8.

889 Figure 4. Map showing the location of the monitoring system and periodic seismic surveys.

890 Figure 5. Photographs showing the difficult ground conditions encountered during periodic  
891 seismic surveys. a-b) cracks and open fractures characterize the landslide surface during the dry  
892 period; c) water ponds and soft soil reduce the accessibility soon after a reactivation or an intense  
893 rainfall.

894 Figure 6. Rayleigh-wave phase-velocity spectra acquired on January 23 2015 along section C  
895 (a=active survey; b=passive survey). Numbers 1 to 8 indicate the geophones.

896 Figure 7. Photographs of the Montevecchio monitoring system. a) geophone amplifiers inserted  
897 in a plastic box; b) continuous monitoring system installed in the main track of the earthflow  
898 channel. c-d) equipment damaged by a reactivation of the earthflow.

899 Figure 8. Example of three dispersion curves acquired by the monitoring system. These curves  
900 were classified as 'good' (a), 'fair' (b), and 'bad' (c) according to the quality of the phase  
901 velocity spectrum (see text). Numbers 1 to 8 indicate the geophones. The graduated colour bars  
902 show the probability density distribution of the normalized cross-correlation function.

903 Figure 9. Rayleigh wave velocity profiles measured after the reactivation of April 27, 2014  
904 inside (A, B, C, D) and outside (E, F) the landslide. Note the change in scale between A-D and  
905 E-F. Locations of each site are shown in Figure 4.

906 Figure 10. Rayleigh wave velocity profiles measured after the reactivation of February 25, 2015  
907 inside (B, C, D) and outside (E) the landslide. Note the change in scale for site E. Sites F and G  
908 (located outside the landslide) are not shown because the Rayleigh velocity profiles remained  
909 constant. Locations of each site are shown in Figure 4.

910 Figure 11. Rayleigh wave velocity profiles measured in the period June 2015-September 2015  
911 inside the landslide. Sites F and G (located outside the landslide) are not shown because the  
912 Rayleigh velocity profiles remained constant. Locations of each site are shown in Figure 4.

913 Figure 12. Variation of Rayleigh wave velocity with time during the whole period of  
914 measurement. Each point indicates the value measured at a depth of 2 m. Arrows show the start  
915 of the main reactivation events of the earthflow.

916 Figure 13. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate  
917 and (c) Rayleigh velocity measured by the monitoring system before and after the reactivation of  
918 May 25, 2015.

919 Figure 14. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate  
920 and (c) Rayleigh velocity measured by the monitoring system from June to August 2015.

921 Figure 15. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate  
922 and (c) Rayleigh velocity measured by the monitoring system before the reactivation of February  
923 25, 2015.

924 Figure 16. Comparison between (a) rainfall and cumulative displacement, (b) displacement rate  
925 and (c) Rayleigh velocity measured by the monitoring system during the suspended phase from  
926 July to November 2014.

927 Figure 17. Charts showing the variation of Rayleigh velocity at a depth of 2 m (a) and the  
928 corresponding variation of small-strain shear stiffness (b) with the time elapsed after a surge.  
929 Each point represents the mean value of  $V_r$  or  $G_0$  obtained by periodic surveys inside (gray dots)  
930 or outside (black triangles) the landslide area.

931 Figure 18. Variation of the normalized void ratio (see text) with the time elapsed after a surge.  
932 Each point represents the mean value of void ratio obtained by periodic surveys inside the  
933 landslide area. Red lines indicate the theoretical trend predicted by the one-dimensional Terzaghi  
934 equation for two values of the coefficient of consolidation  $c_v$  typical of fine-grained materials.

935

Figure 1.

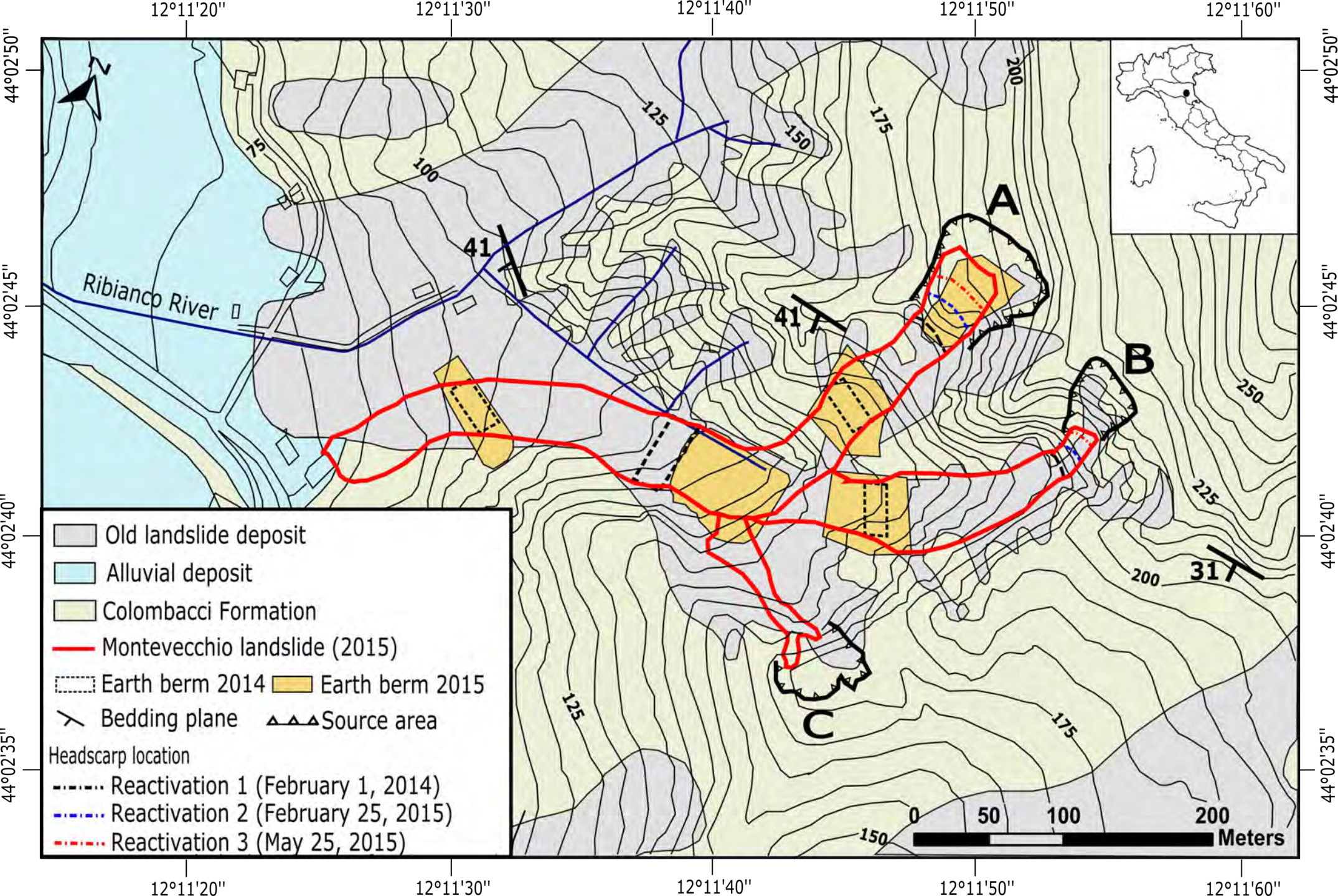
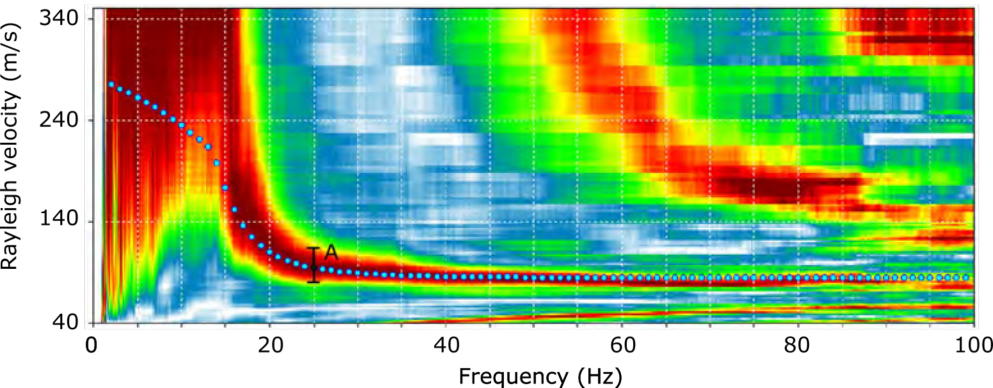
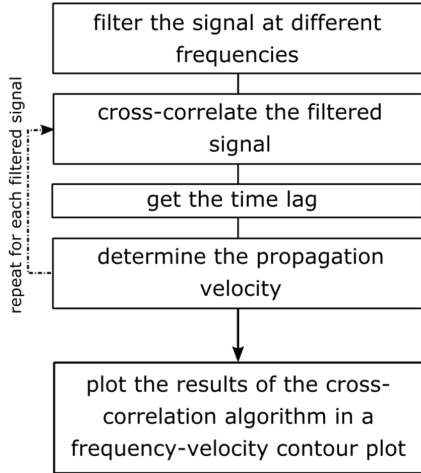
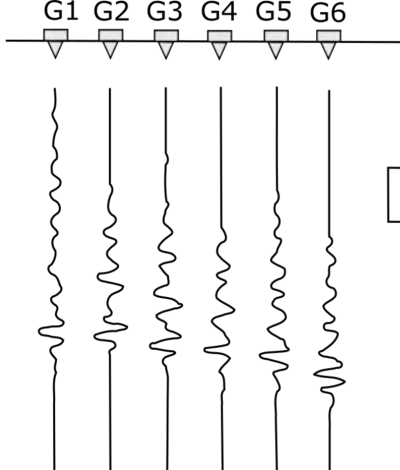




Figure 2.



Figure 3.



**Figure 4.**

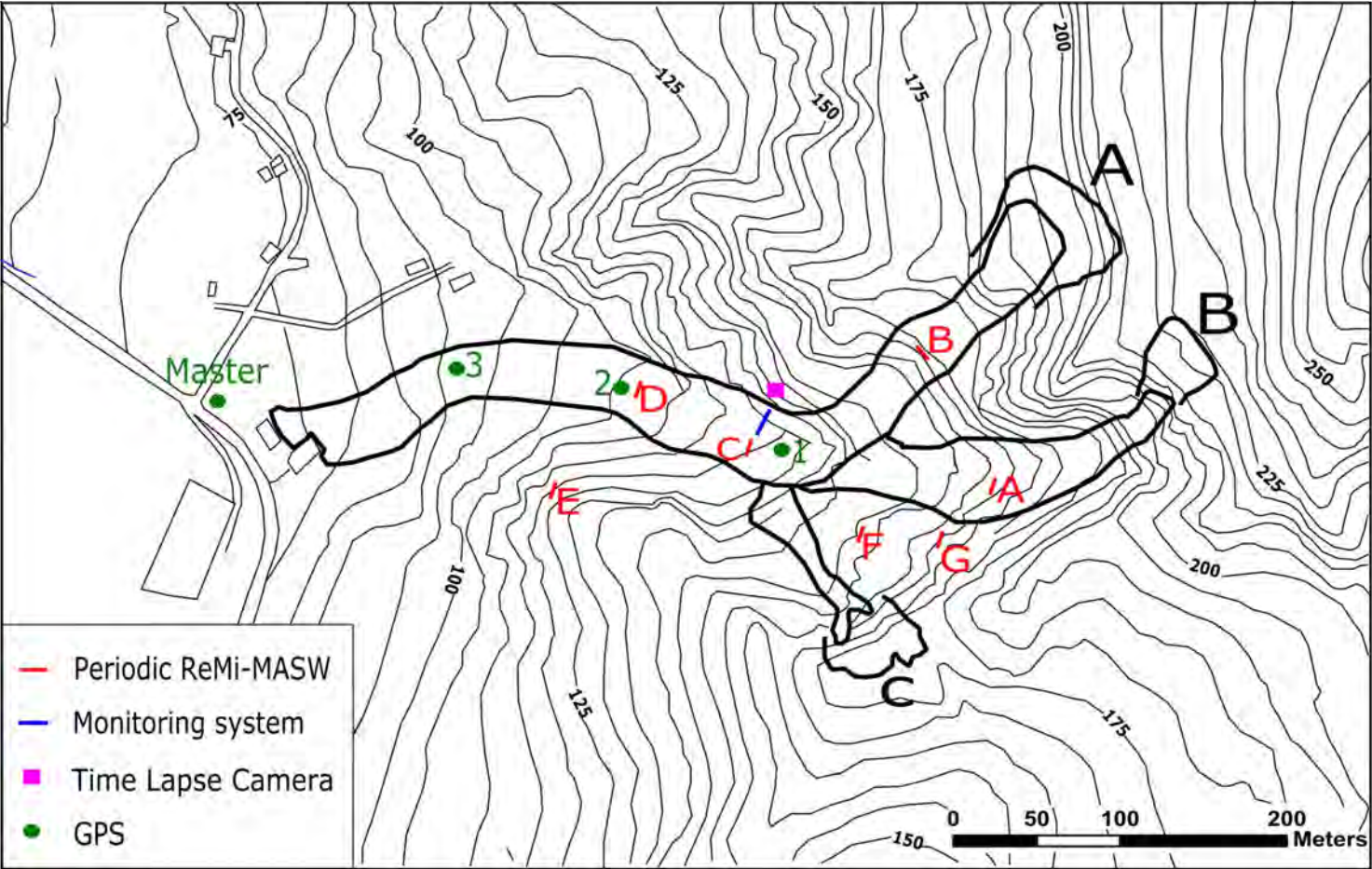
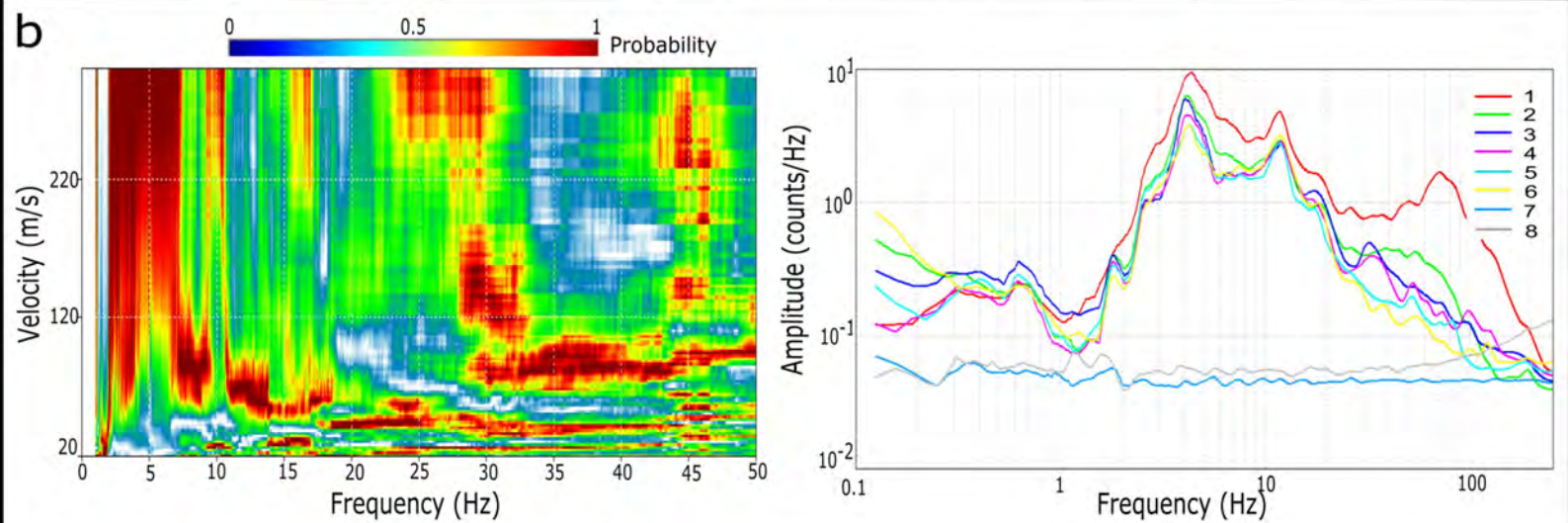
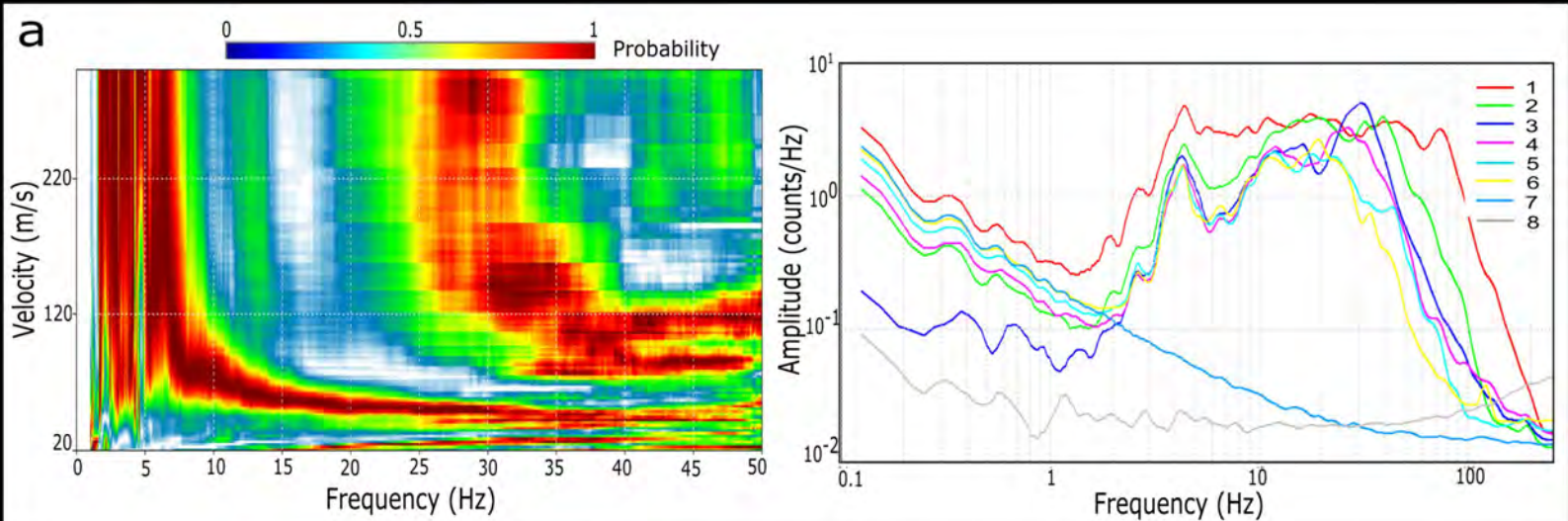


Figure 5.





Figure 6..



**Figure 7.**



Figure 8.

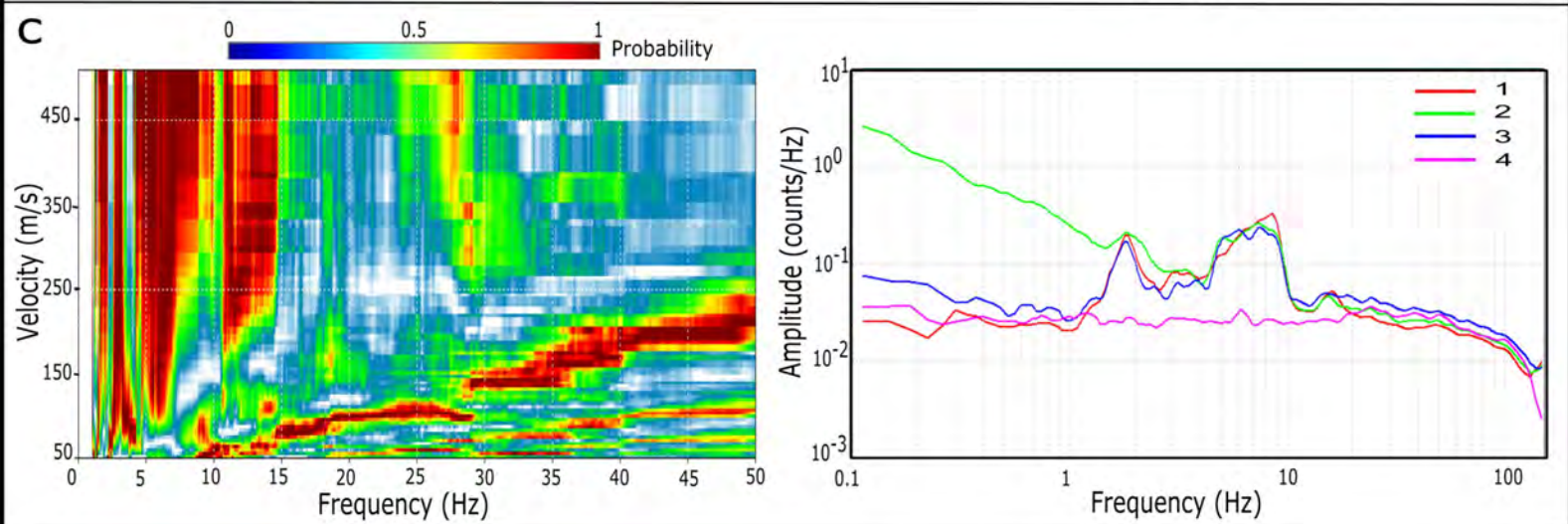
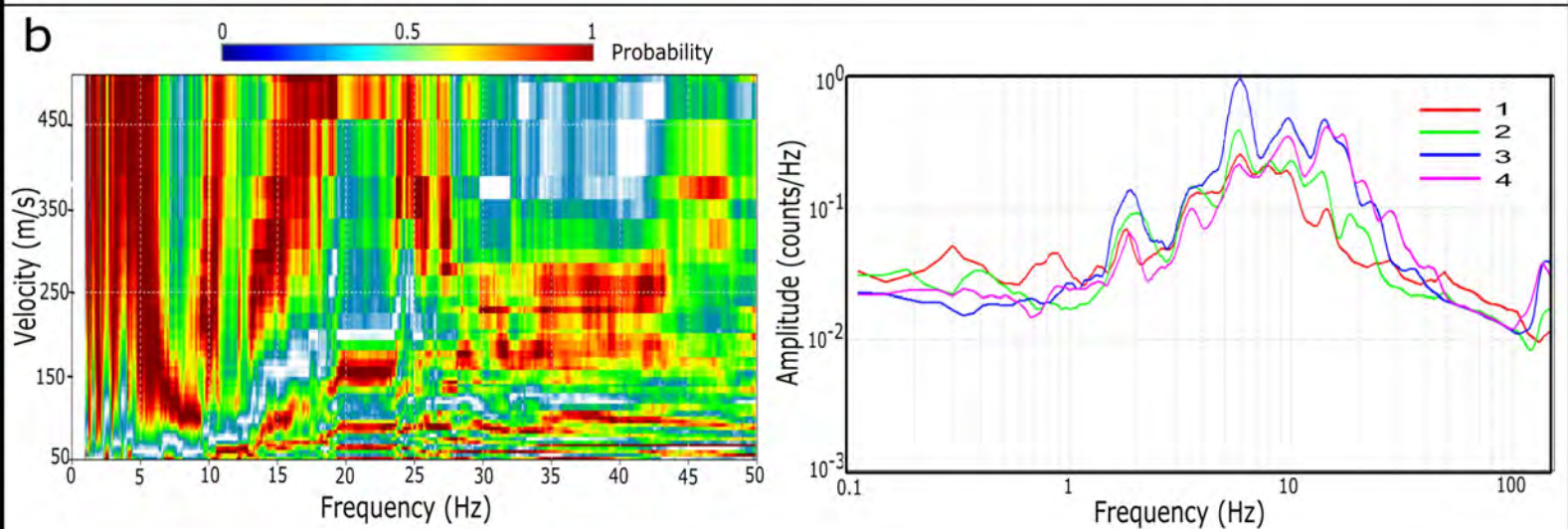
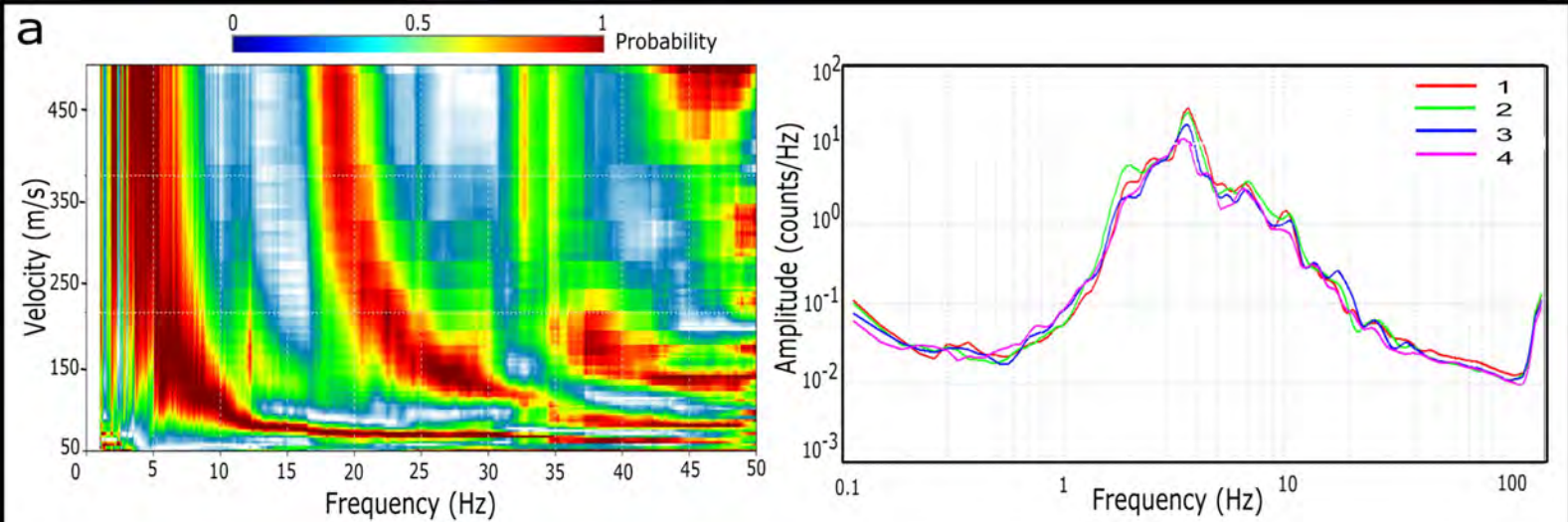


Figure 9.

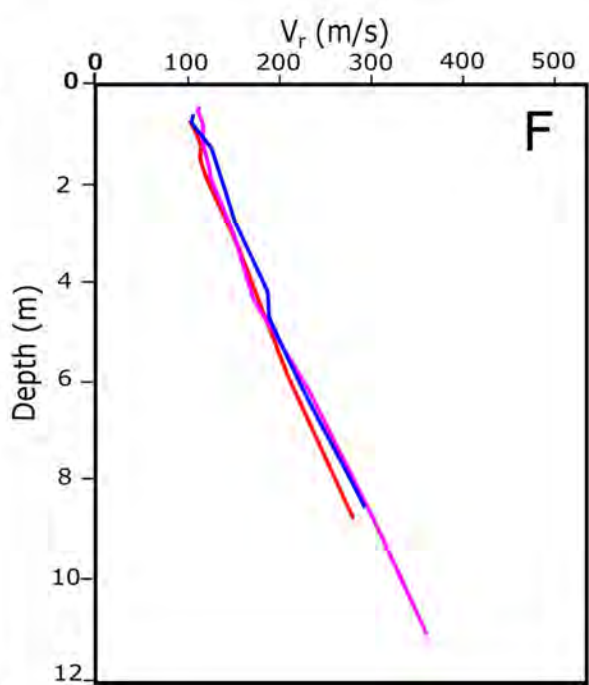
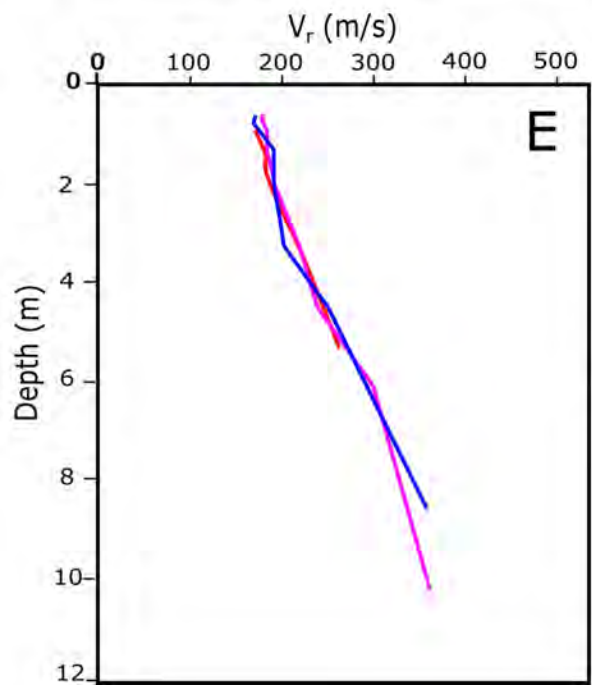
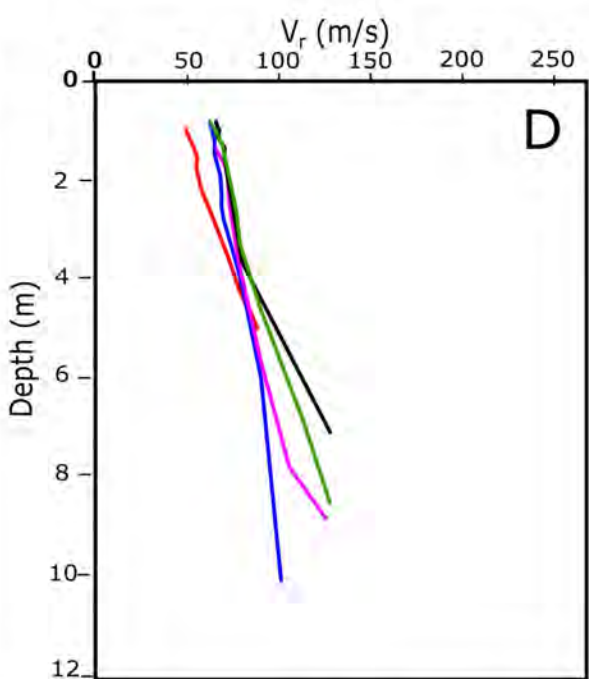
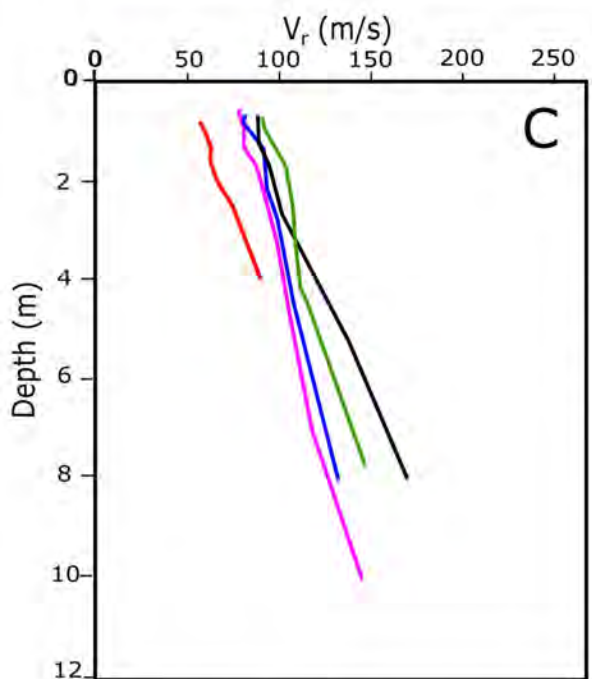
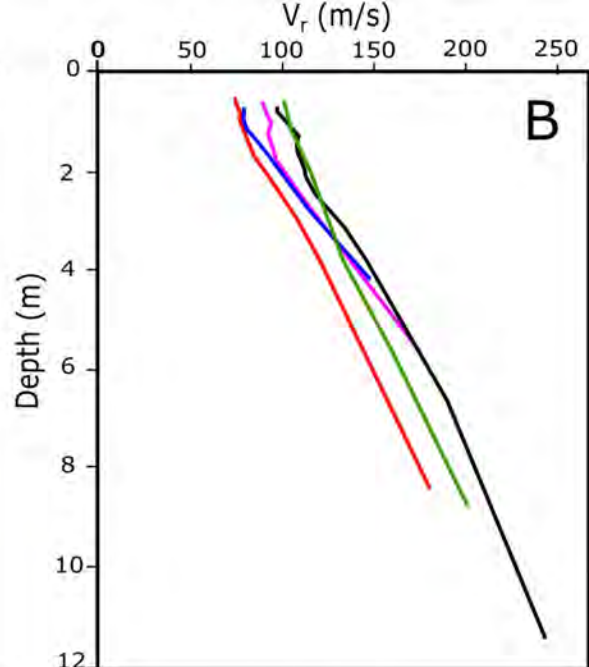
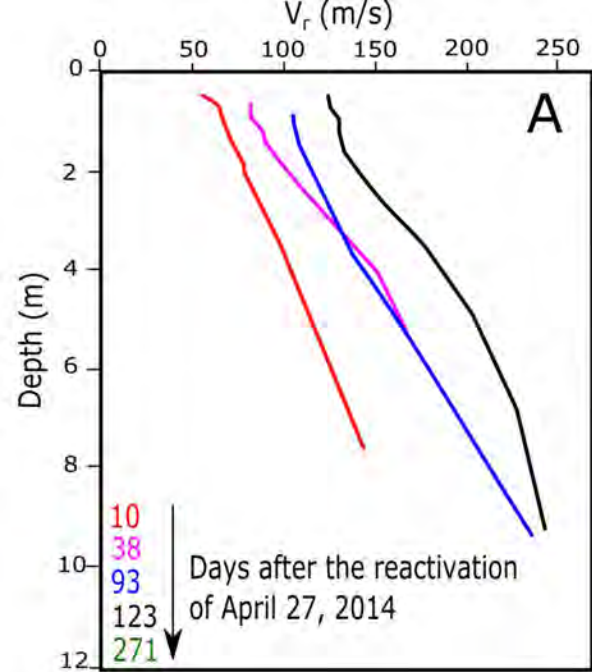




Figure 10.

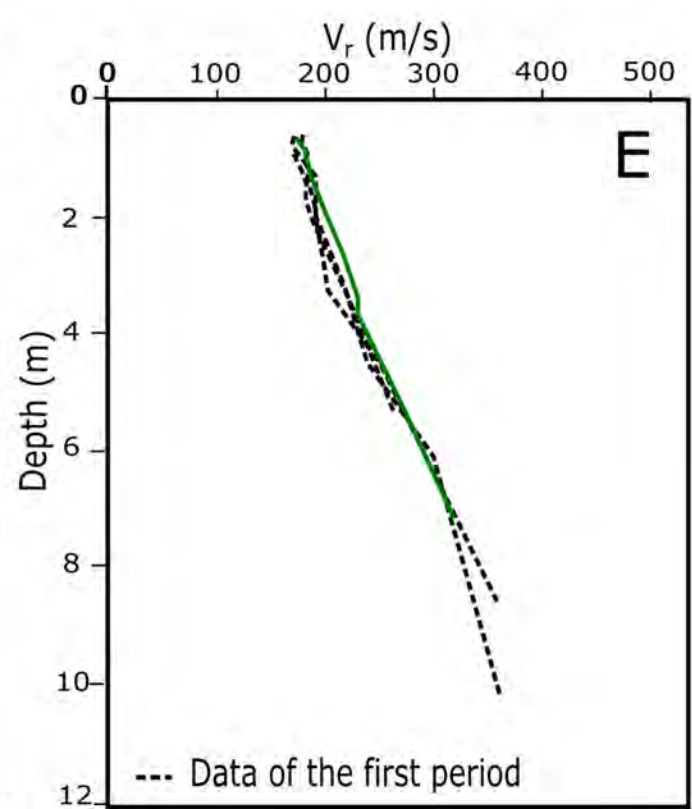
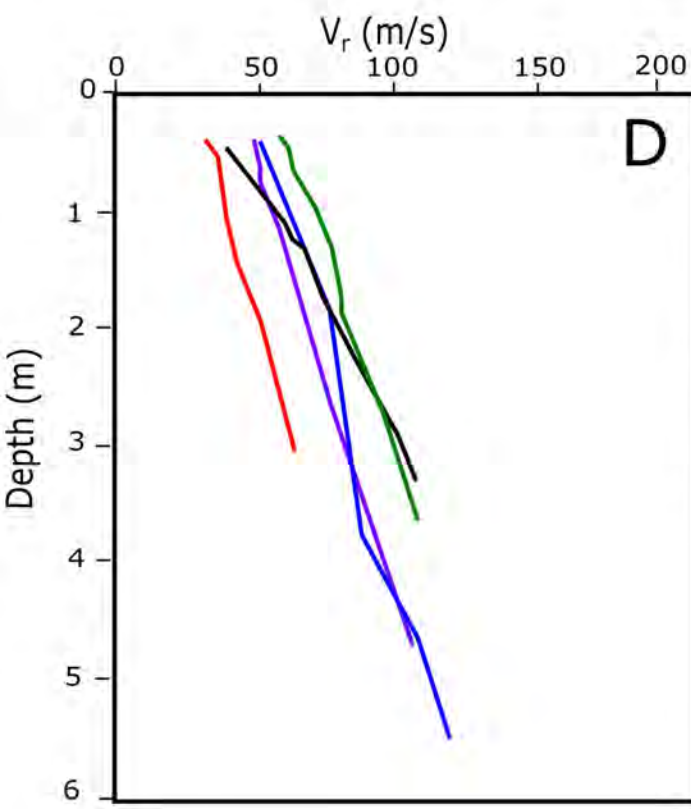
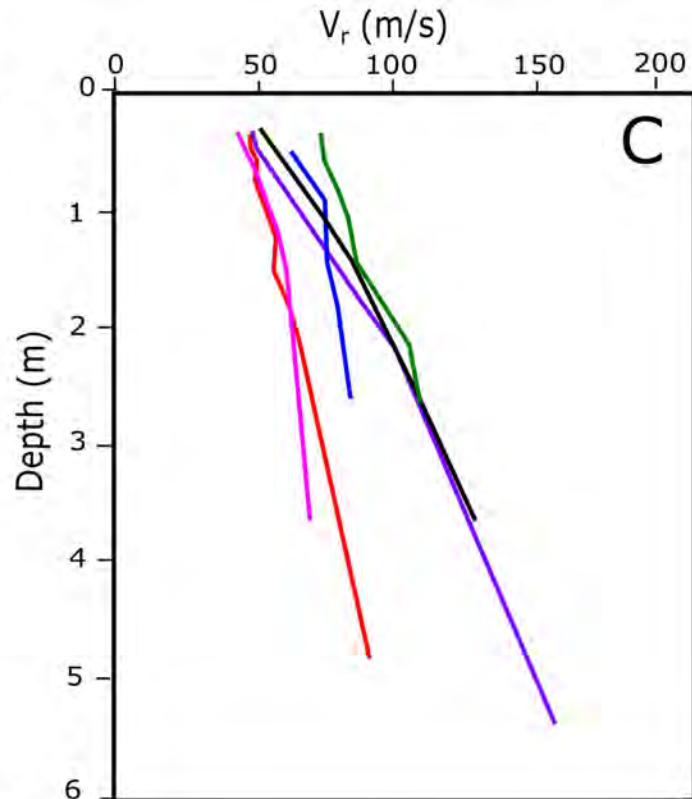
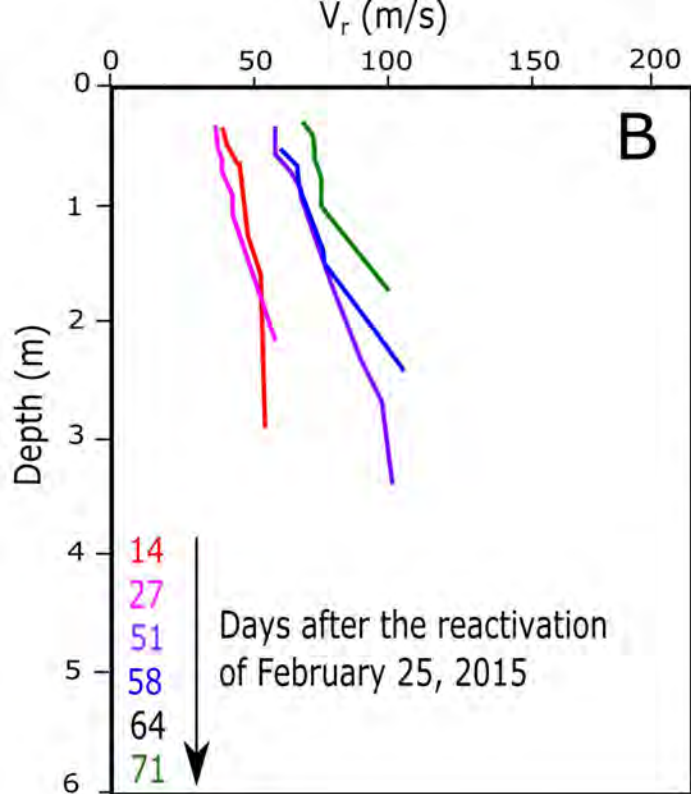


Figure 11.

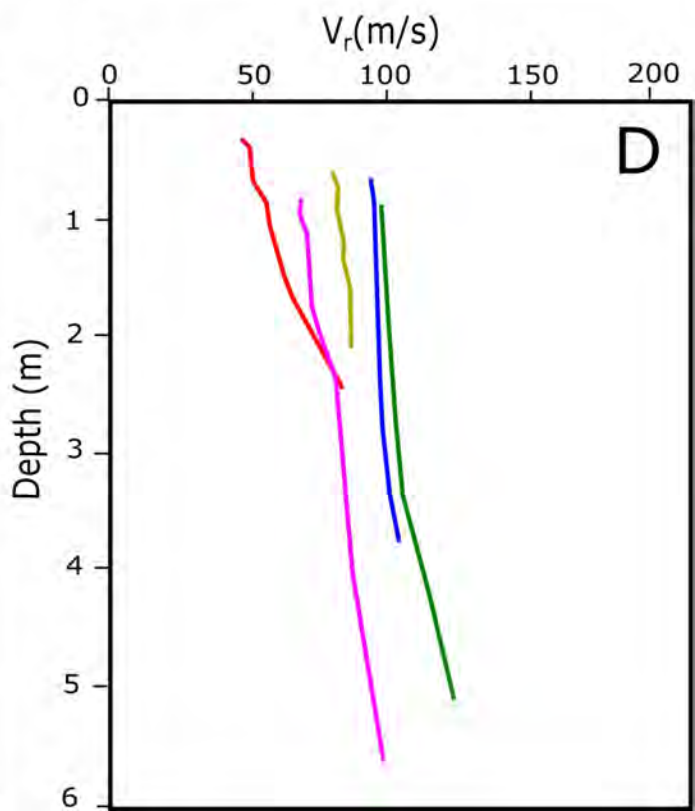
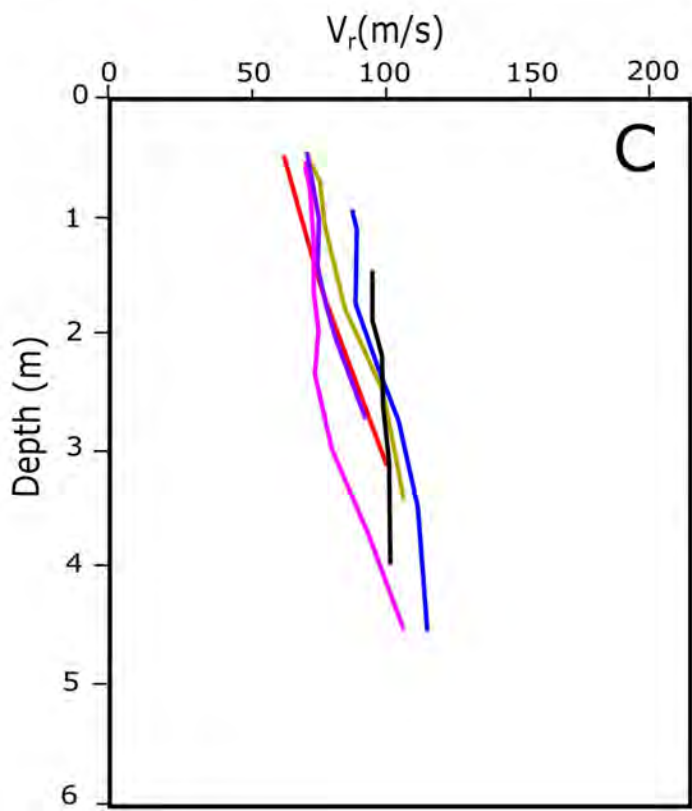
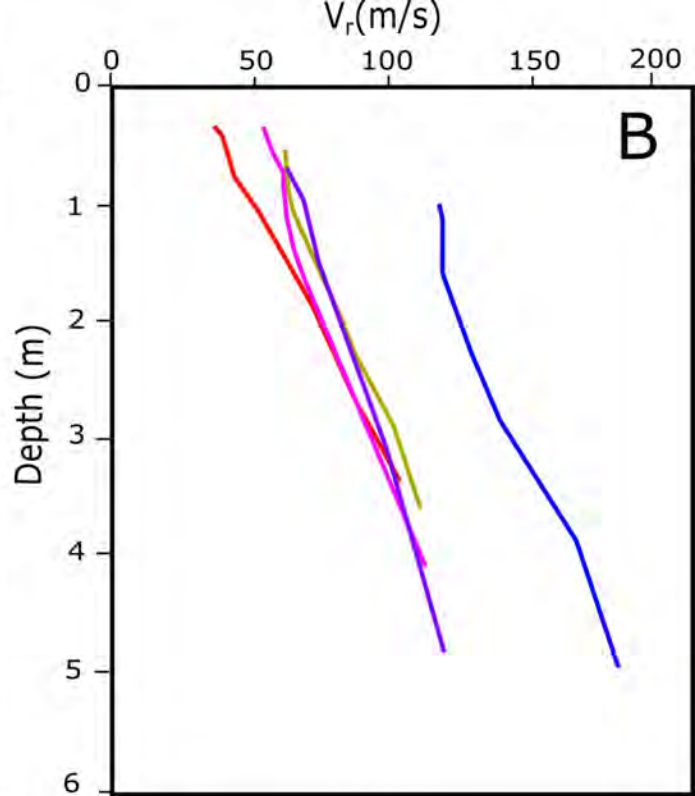
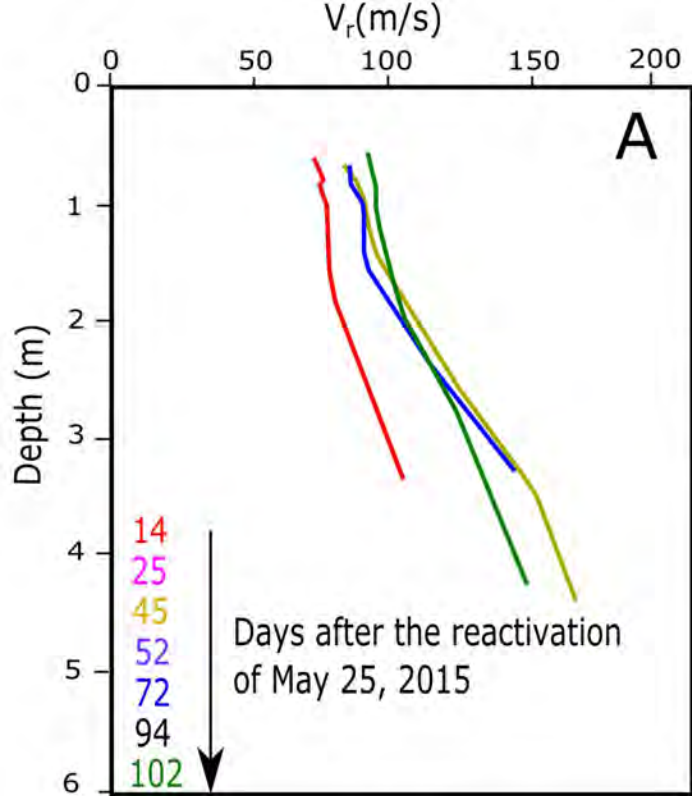


Figure 12.

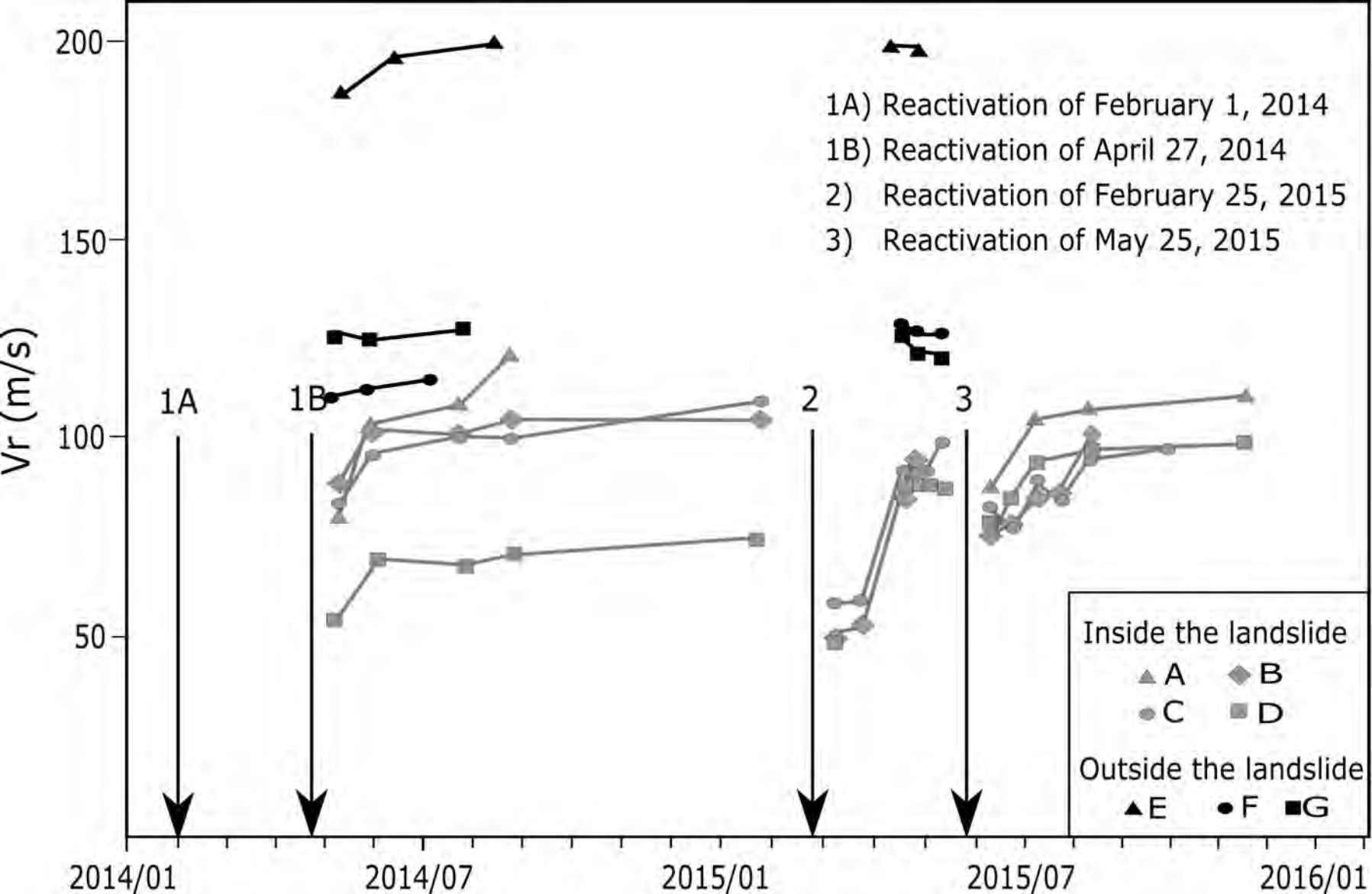


Figure 13.

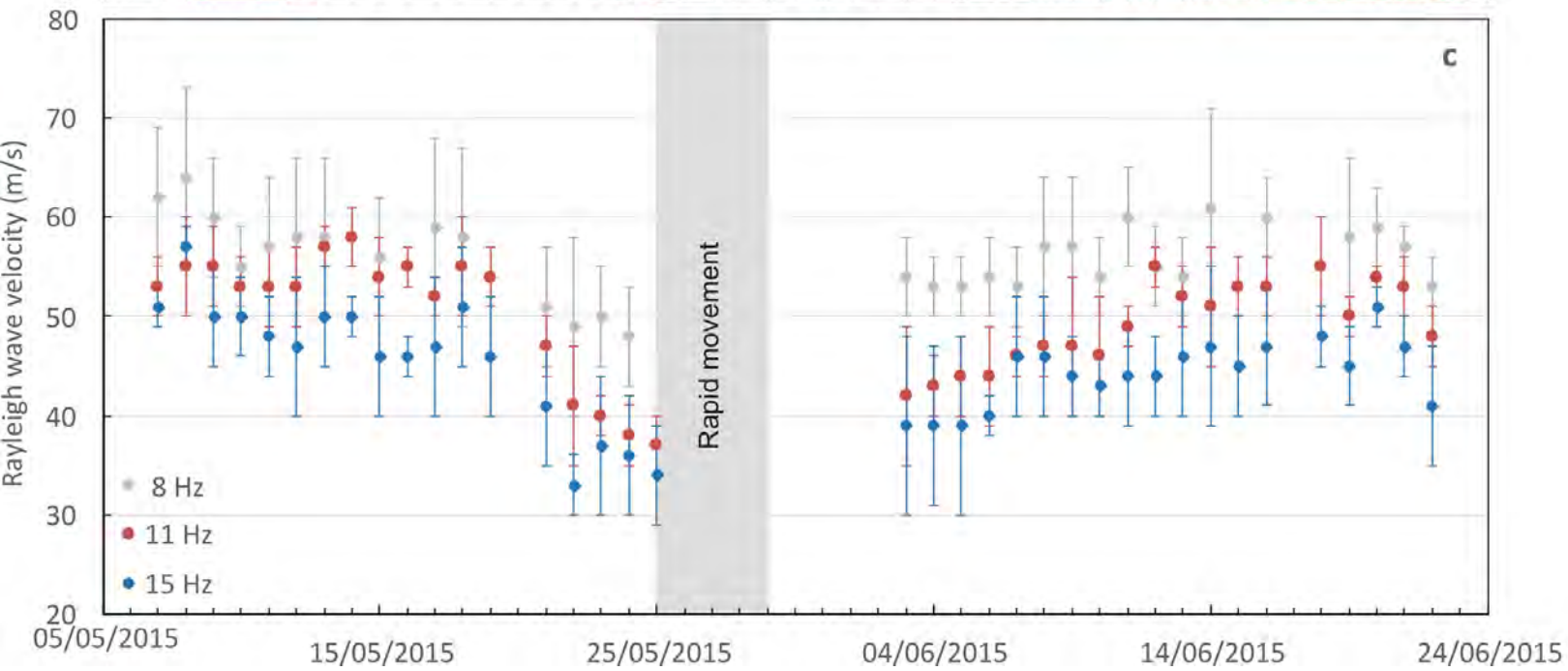
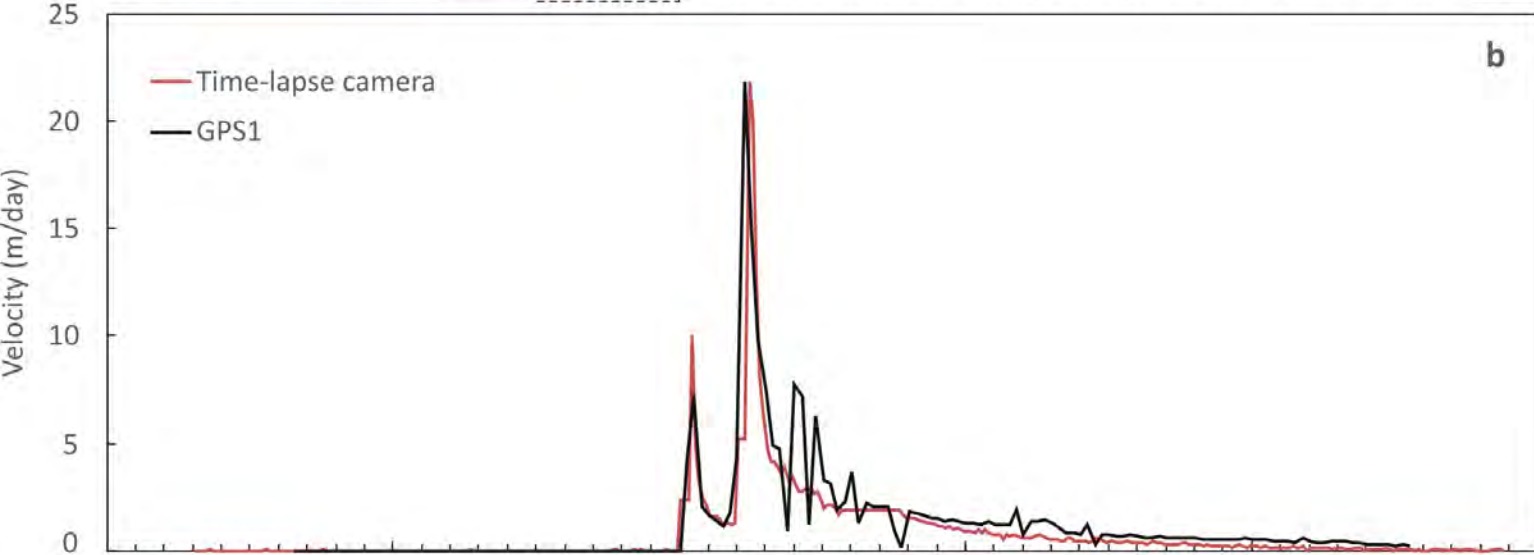
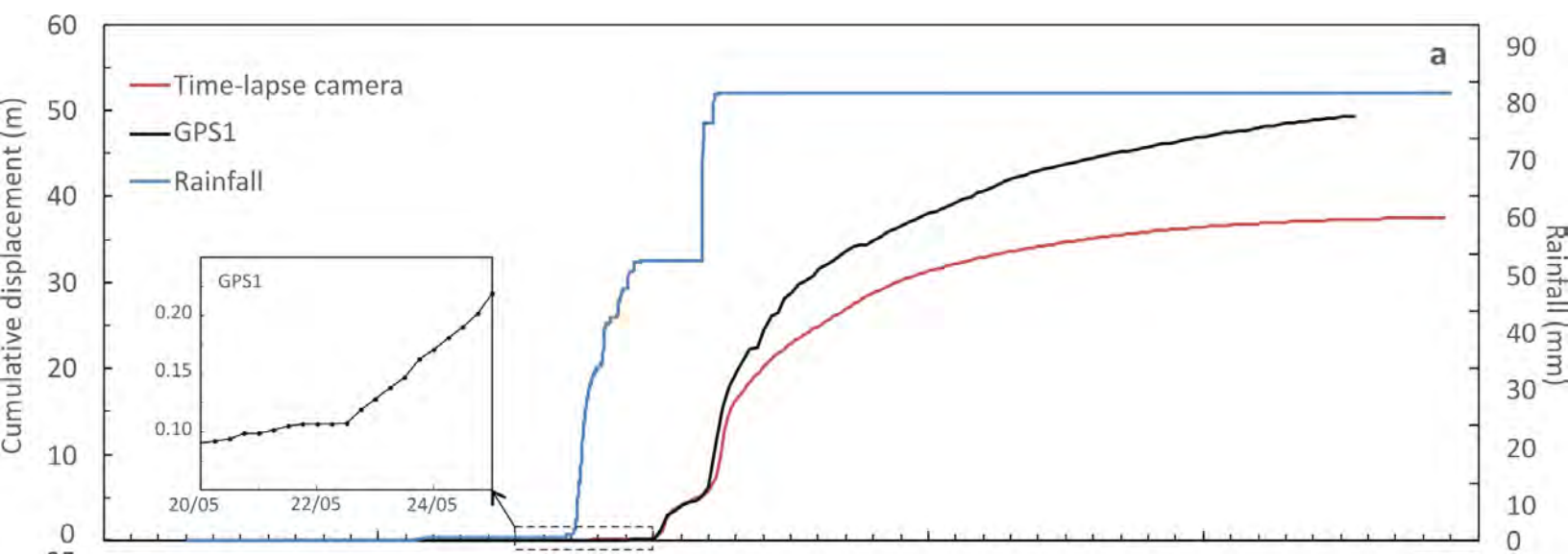




Figure 14.

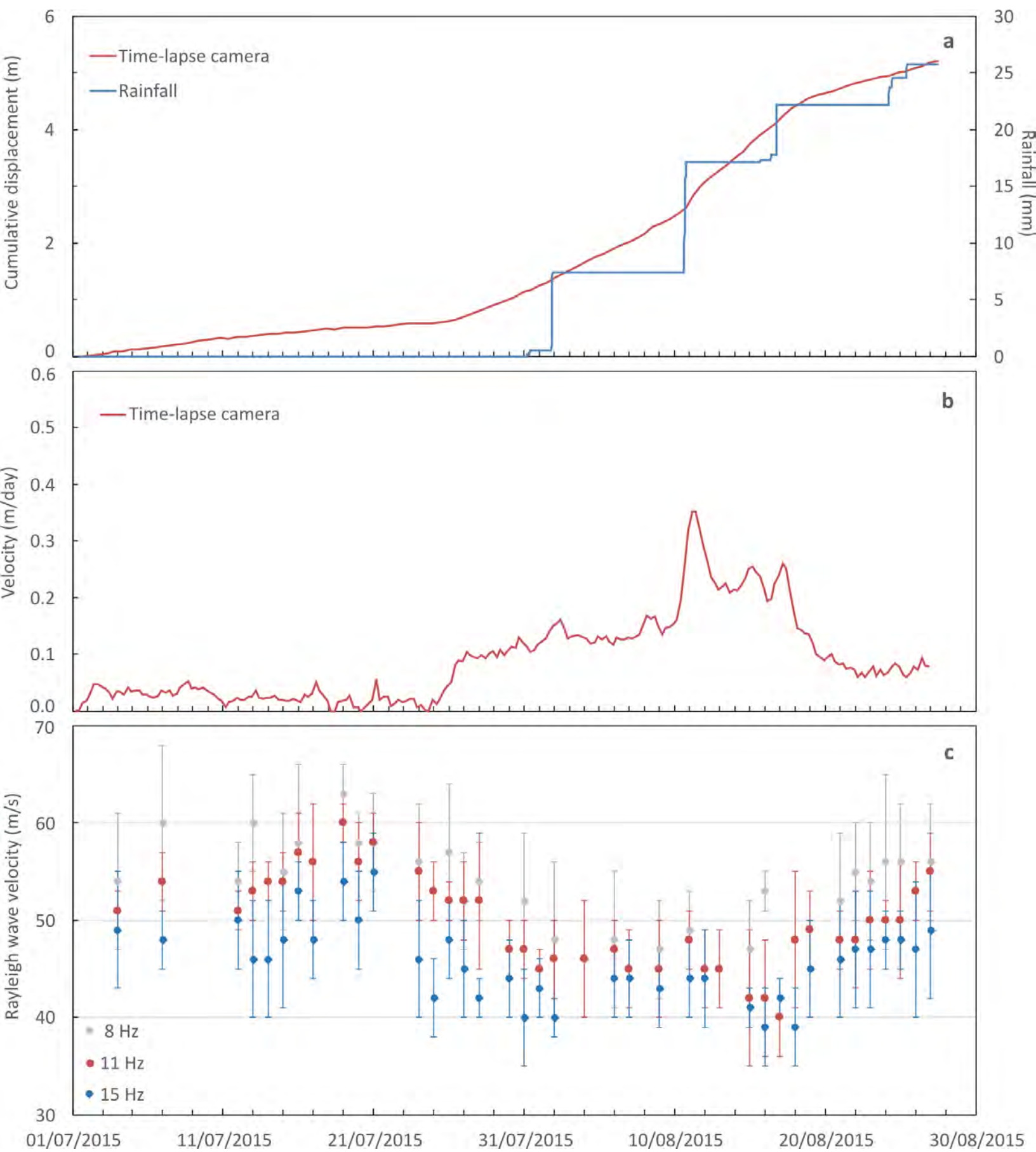


Figure 15.

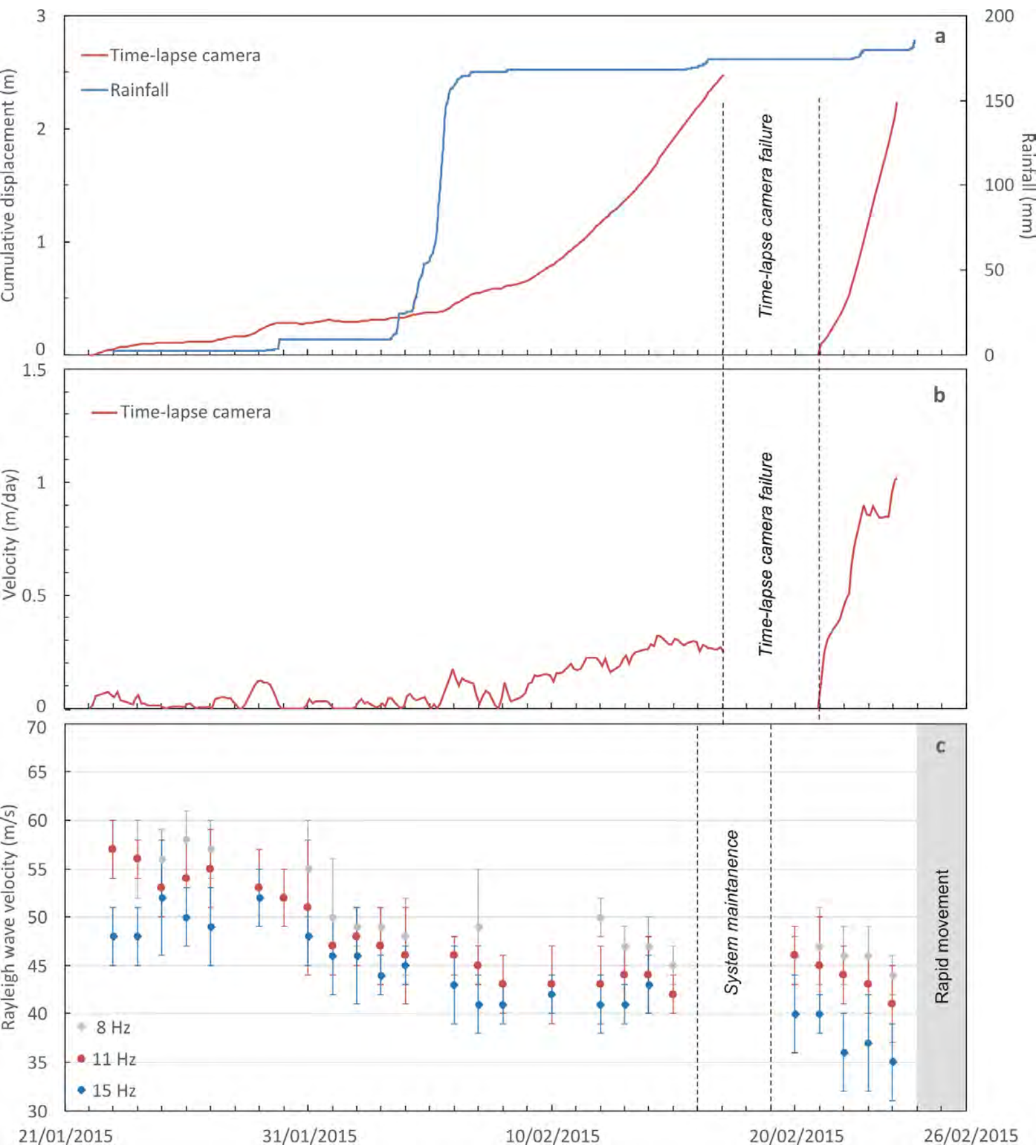


Figure 16.

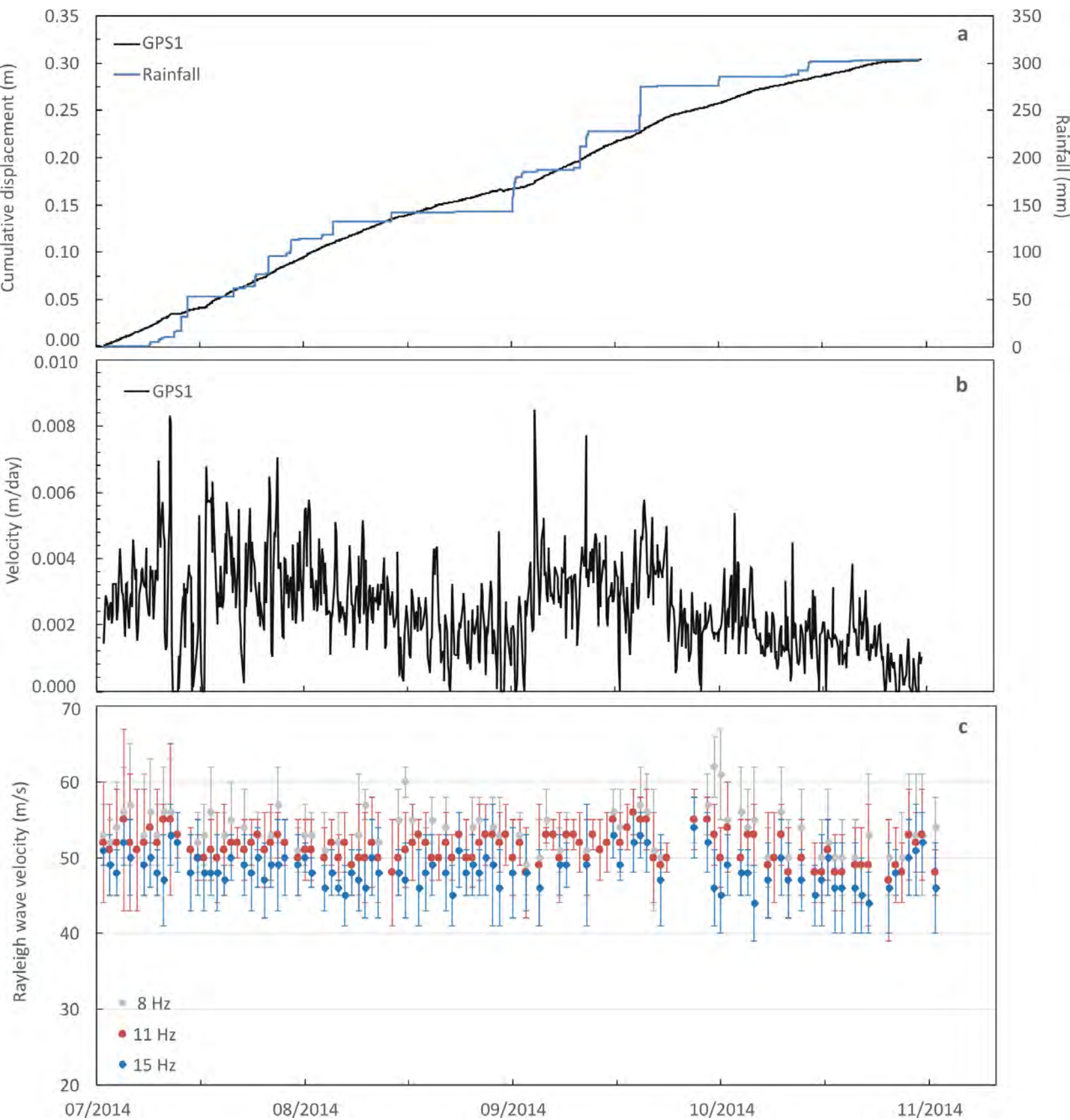


Figure 17.

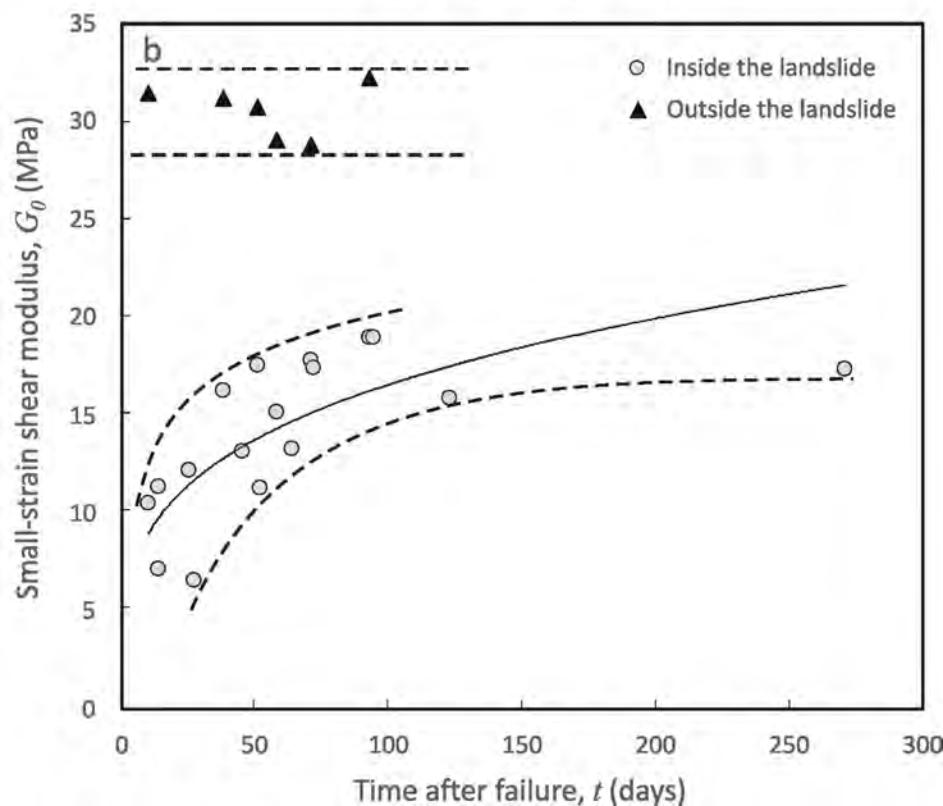
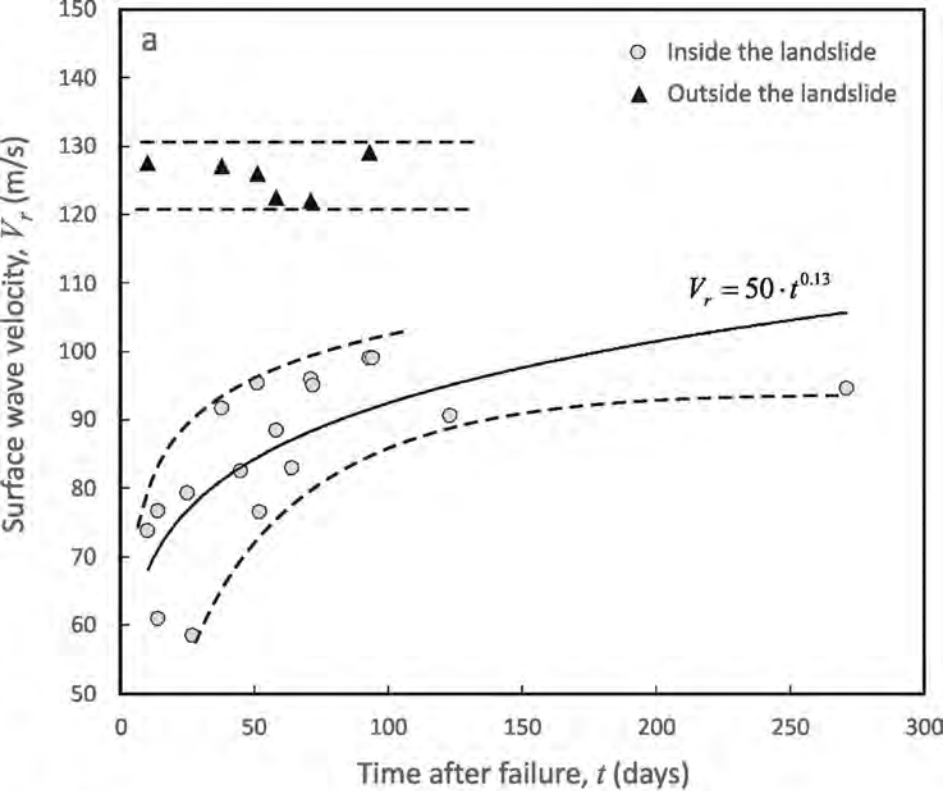




Figure 18.

