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On the surface energy balance closure at different temporal scales 3

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42 Abstract

43

44 Measurements of the surface energy fluxes (turbulent and radiative) and other ancillary 45 atmospheric/soil parameters made in the Columbia River Basin (Oregon) in an area of complex 46 terrain during a 10-month long portion of the second Wind Forecast Improvement Project (WFIP 47 2) field campaign are used to study the surface energy budget (SEB) and surface fluxes over 48 different temporal scales. This study analyzes and discusses SEB closure based on half-hourly, 49 daily, monthly, seasonal, and sub-annual (~10-month) temporal averages. The data were 50 collected over all four seasons for different states of the underlying ground surface (dry, wet, and 51 frozen). Our half-hourly direct measurements of energy balance show that the sum of the 52 turbulent sensible and latent heat fluxes systematically underestimate positive net radiation by 53 around 20-30% during daytime and overestimate negative net radiation at night. This imbalance 54 of the surface energy budget is comparable to other terrestrial sites. However, on average, the 55 residual energy imbalance is significantly reduced at daily, weekly, and monthly averaging 56 timescales, and moreover, the SEB can be closed for this site within reasonable limits on 57 seasonal and sub-annual timescales (311-day averaging for the entire field campaign dataset). 58 Increasing the averaging time to daily and longer time intervals substantially reduces the ground 59 heat flux and storage terms, because energy locally entering the soil, air column, and vegetation 60 in the morning is released in the afternoon and evening. Averaging on daily to sub-annual 61 timescales also reduces random instrumental measurement errors and other uncertainties as well 62 as smooths out a hysteresis effect (phase lag) in the SEB relationship between different 63 components. This study shows that SEB closure is better for dry soils compared to wet soils and 64 the statistical dependence of the turbulent fluxes and net radiation for freezing soil surfaces

- appears weak, if not non-existent, apparently due to lack of the latent heat of fusion term in thetraditional SEB equation.
- 67
- 68 Keywords: Energy balance closure Radiative fluxes Surface energy budget Time
- 69 averaging Turbulent fluxes
- 70

71 **1. Preamble**

72

Surface energy fluxes (turbulent, radiative, and ground heat) are important in a wide variety of applications including climate modelling, weather forecasting, land-atmosphere simulations, agricultural and forestry research, and environmental impact studies. A direct application of the surface energy fluxes is the net surface energy budget (SEB). Energy balance closure including all components of the SEB at the air-surface interface is necessary for a better understanding of the atmosphere-surface exchange mechanisms and to improve models over representative areas and yearly timescales.

80 Surface energy balance closure is a formulation of the conservation of energy principle 81 (the first law of thermodynamics). In other words, the SEB equation is a statement of how the net 82 radiation is balanced by turbulent sensible, latent, and soil heat fluxes in the absence of other 83 energy sources and sinks. Comprehensive SEB studies have been conducted since the 1950-60s 84 (e.g., Lettau and Davidson 1957; Long et al. 1964). Since the late 1980s, it has become obvious 85 that the surface energy balance is difficult to close at temporal scales less than several hours 86 (e.g., at half-hourly and hourly averaged time scales) as reported in many studies (e.g., Wilson et 87 al. 2002; Foken et al. 2006; Mauder et al. 2007; Oncley et al. 2007; Cava et al. 2008; Foken 88 2008; Jacobs et al. 2008; Panin and Bernhofer 2008; Higgins 2012; Leuning et al. 2012; Stoy et 89 al. 2013; Cuxart et al. 2015; Majozi et al. 2017; Gao et al. 2017a and references therein). 90 According to field measurements, the sum of the turbulent fluxes of sensible and latent heat plus 91 the ground heat flux in most cases (generally during daytime) is systematically smaller than that 92 required to balance the net radiation; whereas this sum generally overestimate the net radiation at 93 night. The lack of energy balance closure at half-hourly and hourly measurements is a

94 fundamental and pervasive problem in micrometeorology. Note, however, that in some cases the 95 authors reported that the energy budget can be closed within reasonable limits (e.g., Lamaud et 96 al. 2001; Jacobs et al. 2008), but these successes are rare.

97 This study utilizes the data of surface fluxes (turbulent and radiative) and other ancillary 98 atmospheric and soil data collected in the Columbia River Gorge area near Wasco, Oregon, 99 during a 10-month long period within the second Wind Forecast Improvement Project (WFIP 2) 100 from 24 June 2016 through 1 May 2017 (year days 176-487 with respect to 1 January 2016). The 101 WFIP 2 project is a four-year multi-disciplinary effort intended to improve short-term weather 102 forecast models and better understand various unresolved physical processes that affect wind 103 energy generation in regions of complex terrain such as coastlines, mountains, and canyons, in 104 order to develop and evaluate improved surface-flux parameterizations (see Bianco et al. 2019; 105 Olson et al. 2019; Shaw et al. 2019; Wilczak et al. 2019 for details). The observational phase of 106 the WFIP 2 allows for an analysis of the SEB for different soils over a broad range of temporal 107 scales based on first principles.

108 The main objectives of this study are twofold. The first objective is an investigation of 109 the non-closure of the SEB for three different type of soils (dry, wet, and frozen surfaces) using 110 the same instruments, experimental setup, location, and data processing. The second objective is 111 an analysis of SEB closure at different temporal scales from half-hourly to daily and even 112 monthly, seasonal and sub-annual averaged time series. In some sense, this study bridges 113 micrometeorological measurements and climatological timescales through temporal averaging. 114 The layout of the paper is as follows. The theoretical background (basic SEB equations) and the 115 energy balance closure problem are considered in Section 2. Instruments, data collection and site 116 descriptions are documented in Section 3. Main findings of the study based on analysis of the

117 WFIP 2 experimental data (e.g., time series, SEB at different timescales, and over different types 118 of soil surfaces) are described in Section 4. The conclusions are summarized in Section 5. 119 120 2. The Surface Energy Balance Closure Problem 121 The law of conservation of energy at the interface between atmosphere and land in the 122 123 absence of other energy sources and sinks is written as: $H_S + H_L + G = R_{net}$ (1)124 where G is the soil heat flux, R_{net} is the net radiation defined as the balance between 125 126 downwelling (incoming) and upwelling (outgoing) SW and LW radiation: 127 $R_{net} = SW_{down} - SW_{un} + LW_{down} - LW_{un}$ (2)128 The turbulent fluxes of sensible heat H_S and latent heat H_L in (1) can be estimated by the eddy 129 correlation method according to $H_{\rm S} = c_n \rho \overline{w'\theta'}$ 130 (3) $H_L = \mathcal{L}_e \rho \overline{w' q'}$ 131 (4) 132 where ρ is the mean air density, θ is the air potential temperature, q is the air specific humidity, c_p is the specific heat capacity of air at constant pressure, and \mathcal{L}_e is the latent heat of evaporation 133 134 of water. Here w is the vertical velocity component, the prime ['] denotes fluctuations about the 135 mean value, and an overbar is an averaging operator (half an hour in this study). 136 As mentioned above, numerous direct measurements of all SEB components in (1) have 137 shown that over land the sum $H_S + H_L + G$ in most cases (generally during daytime) systematically underestimate the net radiation R_{net} by about 20-30% (Foken and Oncley 1995; 138 139 Wilson et al. 2002; Meyers and Hollinger 2004; Foken et al. 2006; Mauder et al. 2007; Cava et

140 al. 2008; Foken 2008; Jacobs et al. 2008; Panin and Bernhofer 2008; Higgins 2012; Leuning et

141 al. 2012; Stoy et al. 2013; Masseroni et al. 2014; Gao et al. 2017a).

Because the energy balance at the surface often cannot be closed based on experimental
observations, the SEB equation (1) is typically formulated as (e.g., Foken et al. 2006):

144

$$H_S + H_L + G + Res = R_{net} \tag{5}$$

where *Res* is any residual term (imbalance). Equation (1) assumes an ideal case, when all the fluxes are measured at the infinitesimal interface between an atmosphere and a soil, while Eq. (5) implies a two-layer (atmosphere and soil) column of finite thickness (e.g., Foken 2008, Fig. 1). The turbulent and soil fluxes in (5) are measured at the upper and lower boundary planes of the total layer respectively. Clearly a variety of factors may be responsible for the lack of SEB closure in the layer (e.g., Foken et al. 2006; Mauder et al. 2007; Higgins 2012; Leuning et al.

151 2012). Therefore, in general *Res* can be partitioned as:

$$Res = T + S + X \tag{6}$$

where T is an additional transport (vertical and horizontal) through all boundary planes, S is a total storage in the two-layer column, and X indicates all other unspecified contributions to (1).

The additional transport term *T* in (6) includes the divergence of the horizontal turbulent flux caused by complex terrain or heterogeneities in the underlying surface and soil heat transfer by convection or circulation (in addition to conductive heat flux *G*) and/or by convective water flux in the water-saturated soils at the bottom plane (the water flux in the soil is a consequence of the law of conservation of mass in the case $H_L \neq 0$). The storage term, *S*, can be partitioned as (e.g., Meyers and Hollinger 2004; Oncley et al. 2007; Leuning et al. 2012; Masseroni et al. 2014):

162
$$S = S_a + S_g + S_p + S_c + S_x$$
(7)

163 Where S_a is storage of energy in the air column due to radiative and/or sensible heat flux divergence (the air enthalpy change), S_g is the ground heat storage above a a heat flux plate 164 165 measurement level, S_p is the radiation consumed in photosynthesis (the photosynthesis flux), S_c 166 is the canopy heat storage in biomass (the rate change in enthalpy of the vegetation), and S_x is all 167 other storage terms, e.g. the atmospheric moisture change and the canopy dew water enthalpy 168 change (Jacobs et al. 2008). The term X in Eq. (6) may include several factors: loss of low-169 frequency covariance contributions to the turbulent fluxes induced by insufficient averaging time 170 and/or inadequate resolution of high-frequency flux components; choice of coordinate systems; 171 the mismatch between the footprint of the turbulent heat fluxes and the measurements of 172 radiation components and soil heat fluxes; instrumental errors; the latent heat of fusion term (see 173 below); etc. The lack of SEB closure raises concerns regarding eddy covariance measurements at 174 standard half-hourly and hourly averaging time scales. There are suggestions that increasing the 175 turbulence averaging time can improve SEB closure by capturing additional sensible and latent 176 heat fluxes at low-frequencies (e.g., Foken 2008). 177 Recall that failure to close the energy balance is associated with a systematic bias. Specifically, for a positive net radiation, $R_{net} > 0$ (generally during daytime), the left-hand side 178 179 of Eq. (1) is routinely smaller than the right-hand side (Res > 0 in Eq. (5)) and vice versa for a 180 negative net radiation, $R_{net} < 0$ (generally during nigh). An immediate problem for the 181 additional terms (6) is that the different terms contribute differently (either positively or 182 negatively) to the bias. While all the storage terms (7) and the loss of high-frequency components in the turbulent fluxes contribute positively to Res in the case $R_{net} > 0$, it is difficult 183 184 to see why the loss of low-frequency covariance associated with local circulations would always lead to an underestimation of the turbulent fluxes, and the same could be said about advection 185

186 (Finnigan 2008). For example, according to SHEBA data (Grachev et al. 2005, Fig. 8), the low-187 frequency flux components can be both positive and negative. Thus, while the storage term S in 188 Eq. (6) is always positive (systematic contribution) for the positive net radiation, the other two 189 terms, T and X, contribute positively or negatively to the bias (random contribution).

190 The various terms in Eq. (1) differ greatly in magnitude. Generally the soil heat flux G is 191 relatively small compared with the net radiation, but in some cases the G term in Eq. (1) cannot 192 be ignored. Magnitude of the ratio G/R_{net} will typically vary between 0.05 and 0.50, depending 193 on the period of the day, thermal properties of the soil, surface cover, soil moisture content, and 194 solar irradiance (Kustas et al. 1993). For example, midday values of the ratio of the soil heat flux 195 and the net radiation, G/R_{net} , are about 0.15 for measurements over fields of bare soil, alfalfa, 196 and cotton near Phoenix, AZ according to Kustas and Daughtry (1990), and 0.14-0.17 for a no-197 till cornfield in central Iowa in November (Sauer et al. 1998). During the night or in the 198 fall/winter, G is an important term in (1), when R_{net} is low and stable atmospheric conditions 199 cause H_S and H_L to be small. Despite its relative importance, the soil heat flux is not often 200 measured, including this study where the observational site was not instrumented with a heat flux 201 plate (e.g., see survey in Liebethal et al. 2005; Liebethal and Foken 2007; Gao et al. 2017b; Yang 202 and Wang 2008).

203 The soil heat flux can be estimated from soil temperature profile measurements using
204 Fourier's Law of Heat Conduction (gradient method)

205
$$G(z) = -\lambda \frac{\partial T_S}{\partial z}$$
(8)

where λ is the thermal conductivity of the soil and $\partial T_S / \partial z$ is the vertical temperature gradient of the soil temperature, T_S . In practical applications the first derivative of the soil temperature in (8) is usually replaced by the finite-difference approximation in the soil layer Δz and Eq. (8) reduces

209	to $G(z) \approx -\lambda \Delta T_S / \Delta z$. Fourier's Law (8) presumes steady state heat conduction, one-dimensional
210	heat flow, an isotropic and homogeneous material, constant thermal conductivity λ , and no
211	internal heat generation. Generally, soil is a three-phase material (water, air, solid) and
212	application of Eq. (8) is considerably more difficult. The thermal conductivity of soil depends on
213	the conductivity of each phase and their proportions; that is, λ varies by composition of the solid
214	fraction (e.g., mineral type and particle size), water content (thermal conductivity of water is
215	about two to three times greater than that of soil), amount of organic matter, and bulk density. As
216	a result, λ values can change between layers within Δz even for the same soil due to changes in
217	water content. Another complexity of the gradient method (8) is associated with non-stationarity
218	(diurnal variations), even during a half-hour averaging period. The diurnal cycle of solar
219	radiation modulates a sinusoidal variation in the ground surface heat flux and diurnal thermal
220	waves in the top soil layer. The temperature wave damps exponentially with depth and its lag
221	time increases with depth. The ground heat flux is theoretically $\pi/4$ (1/8 cycle) out of phase with
222	the temperature wave (hysteresis effect); that is, the ground heat flux is largest three hours ahead
223	of the surface temperature for a diurnally varying surface temperature cycle (Arya 1988; Garratt
224	1992; Gao et al. 2010). Thus, while the gradient method (8) is simple to employ under field
225	conditions, accurate measurement of λ and the vertical soil temperature gradient is challenging.
226	The impact of the hysteresis effect in diurnal cycles and the effect of the wave phase difference
227	between different atmospheric and/or soil variables on the SEB closure are discussed in number
228	of studies (e.g., Gao et al. 2010, 2017a; Sun et al. 2013).
220	Direct measurements of the tank lent flow of early and disavide allow activation of a stars as

Direct measurements of the turbulent flux of carbon dioxide allow estimation of a storage term S_p in (7) associated with the photosynthesis flux. The photosynthesis flux S_p is the change in the Gibbs free energy and, according to Nobel (2009, Chapter 6.5, p. 313), about 479 kJ of

energy is stored per mole of CO₂ fixed into photosynthetic products; that is, S_p [W m⁻²] = -0.479 232 F_{CO_2} [µmol m⁻² s⁻¹]. For example, a canopy assimilation rate of F_{CO_2} =10 µmol m⁻² s⁻¹ equates to 233 energy flux of $S_p = 4.79 \approx 5$ W m⁻² (cf., Meyers and Hollinger 2004, their Fig. 5 and Masseroni 234 235 et al. 2014, their Fig. 3). Thus, the photosynthesis storage term is relatively small; according to estimate by Finnigan (2008), $S_p \approx 0.01 R_{net}$. The canopy heat storage term (e.g., because of 236 237 changes in leaf temperature), S_c , may be also a factor in the lack of closure, but it cannot be 238 easily assessed and flux values cannot be easily corrected for this influence in the framework of 239 our study. According to Meyers and Hollinger (2004, Figs. 4 and 5) and Masseroni et al. (2014, Fig. 3), generally $S_c \leq S_p$. Although the soil heat flux G in Eq. (5) and the storage terms S_a and 240 S_g in Eq. (7) can be estimated from the observations, their contribution to SEB closure at half-241 242 hourly time scales lies beyond the scope of this study.

243 The SEB (1) and the fact that the turbulent fluxes are highly correlated with the net 244 radiation (e.g., see plots presented in Sub-section 4.3 shortly) provide an objective approach to 245 estimate turbulent fluxes independently of a conventional bulk flux algorithm. Traditionally, the 246 SEB is considered closed in numerical models of the climate system and in other applications 247 (e.g., for remote sensing), allowing for estimation of missing terms as the residual of the others 248 (e.g., Cuxart et al. 2015 and references therein). Similar ideas are used in soil-vegetation-249 atmosphere transfer schemes where H_S and H_L are estimated from thermal infra-red data (i.e. 250 radiometric surface temperature) and SEB, Eq. (1) (e.g., Priestley and Taylor 1972; Su 2002; 251 Kustas et al. 2004; Ezzahar et al. 2012; Yao et al. 2015 and references therein). 252

- **3. Observation Site and Instrumentation**
- 254

255	Figure 1 shows the study area located along the Columbia River Gorge in eastern Oregon
256	and Washington states. This region was chosen because of its combination of complex terrain
257	and extensive wind farm development. These measurements provide insight into the structure
258	and evolution of atmospheric flows and other physical processes in complex terrain leading to
259	improvements in parametrization of subgrid-scale processes in NWP models to support wind
260	energy forecasting (see Bianco et al. 2019; Olson et al. 2019; Shaw et al. 2019; Wilczak et al.
261	2019). Federal agencies, private companies, and universities collaborated on the WFIP 2 project,
262	deploying wind profiling radars, sodars, lidars, networks of tall meteorological towers, and other
263	instruments across a range of spatial scales (Figs. 1 and 2).
264	In this observational study we use measurements of half-hourly averaged turbulent and
265	radiative fluxes, surface meteorology, and basic soil parameters from the Physics Site 1 tower
266	(PS01, 45.64°N and 120.68°W) located near Wasco, Oregon, (Fig. 1) to examine SEB closure
267	over different soil conditions (dry, wet, and frozen) and at different averaging timescales.
268	Turbulent fluxes and mean meteorological data were measured continuously on a 10-m
269	meteorological tower at two levels, nominally 3 m and 10 m (Fig. 2). Each level was
270	instrumented with identical fast response three-axis sonic anemometers sampling wind velocity
271	and sonic temperature at 20 Hz (R.M. Young Model 81000) and Rotronics HC2S3 temperature
272	and relative humidity probes (T/RH, sampling frequency = 1 Hz). The HC2S3 probes were
273	housed in ventilated radiation shields. A fast-response (20 Hz) open path infrared gas analyzer
274	(LI-7500, LI-COR Inc.) was collocated at 3-m height with the lower sonic anemometer for direct
275	measurements of water vapor turbulent flux and other relevant turbulent statistics. Measurements
276	were collected by a data-logger (Campbell CR3000) and successively parsed into 15-minute data
277	files for cell-modem network transmission to remote data storage.

278 Tower-based eddy covariance measurements provide a long-term near continuous 279 temporal record of half-hourly averaged turbulent momentum, mass, and energy fluxes. The 280 mean wind speed and wind direction were derived from the sonic anemometers, with rotation of 281 the measurement axes to place the measured wind components in a streamline coordinate system 282 based on half-hour averaged 20-Hz data. In this study, we performed tilt-corrections of the sonic 283 anemometer data using the "planar-fit" method rotation of the coordinate system proposed by 284 Wilczak et al. (2001), which essentially fits a climatological plane through the streamlines of the 285 local flow. The planar-fit angles were calculated from 30-min averages at each level over the 10-286 month dataset (for the period 24 June 2016 to 01 May 2017). Several data-quality indicators 287 based on objective and subjective methods have been applied to the original flux data to remove 288 spurious or low-quality records. Specifically, turbulent data have been edited for unfavorable 289 relative wind direction for which the tower was upwind of the sonic anemometers, non-290 stationarity, minimum or/and maximum thresholds for the turbulent statistics, etc. (e.g., Bariteau 291 et al. 2010; Grachev et al. 2011; Grachev et al. 2015; Blomquist et al. 2014 and references 292 therein). In particular, sonic anemometer data based on the planar-fit procedure were flagged as 293 bad if mean vertical velocity component differed by more than 0.2 m s⁻¹ from the plane. 294 Measurements of soil temperature and moisture were made at five levels located 295 nominally at 5, 10, 20, 50, and 100 cm depths below the ground surface approximately 5 m from 296 the flux tower. Campbell Scientific 107 temperature sensors and a CS616 water content 297 reflectometer were used to measure temperature and soil moisture (in volumetric water content) 298 respectively. No direct measurements of surface soil heat flux with a heat flux plate were 299 performed at this site. The soils at the WFIP 2 Physics Site PS01 are primarily well-drained silt

300 (73%), with minor components of sand (14%), and clay (13%) and average 152 cm in depth
301 before reaching harder rock (water table).

The downwelling and upwelling radiation was measured from two radiation masts located near the flux tower (Fig. 2). Eppley pyranometer (PSP) and pyrgeometer (PIR) are used to measure the shortwave and infrared radiation. Both 'slow'-response radiation and soil data reported here are based on raw measurements sampled at 1 Hz which were subsequently averaged over 1-min time intervals and recorded by a data-logger. The instrument suite located on the tower was operated by University of Notre Dame scientists; solar radiation and soil science instruments were conducted by the NOAA/ESRL team.

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- 310 4. Analysis of the WFIP 2 Data
- 311

313

314 In this sub-section, we analyze the time series of half-hour averaged surface fluxes and 315 basic meteorological variables to describe weather and soil conditions, surface fluxes, and other 316 relevant variables as observed during the entire WFIP 2 field campaign for the period 24 June 317 2016 to 01 May 2017 (Year Days 176-487 with respect to January 1, 2016 UTC). Figures 3 and 318 4 show the time series of 'slow' half-hourly averaged basic meteorological variables collected at 319 the Physics Site PS01 near Wasco, Oregon (Fig. 2), except for the rain rate which was measured 320 near another observational site located near the Wasco State Airport (WCO). Figure 5 shows the 321 time series of short-wave (SW) and long-wave (LW) radiation (downwelling and upwelling), 322 radiation budgets, and also the surface albedo observed at Physics Site PS01. By convention,

³¹² *4.1. Time Series*

radiation fluxes are positive when directed toward the surface, fluxes away are negative. The net radiation describes the balance between incoming (downwelling) and outgoing (upwelling) SW and LW radiation. The surface albedo (reflectivity of a surface) in Fig. 5d is derived from the ratio of the upwelling SW radiation (i.e., reflected from the surface) to the downwelling SW radiation. Time series of the half-hour averaged friction velocity $u_* = \sqrt{-w'u'}$, sensible H_S and latent H_L heat fluxes defined by Eqs. (3)–(4) are shown in Fig. 6.

329 Figure 3b shows that the prevailing winds have a bimodal distribution with the two dominant wind directions ~ 180° apart (easterly and westerly winds). These predominant winds 330 331 generally blow parallel to the ridge in the Fig.2. The air and soil temperatures display the 332 familiar strong seasonal trend with maximum in mid-summer and minimum during winter (Figs. 333 3c and 4a). The seasonal pattern of the air temperature (Fig. 3c) is highly correlated with soil 334 thaw and freeze (Figs. 4a and 5d). The onset of near-surface soil freezing occurred in the autumn on about days 343–344 (8–9 December 2016). Frozen ground started warming when the gradient 335 336 of the subsurface temperature changed sign on about days 428-429 (3-4 March 2017). The air 337 temperatures rise above freezing during spring melt on about days 424–425 (27–28 February 338 2017) and eventually reaches a summer maximum (Fig. 3c). The rather constant temperature of 339 the soil at 5 and 10-cm levels near 0°C (Fig. 4a) on about days 344-428 (9 December 2016-3 340 March 2017) is due to the snow cover when present (cf. Fig. 5d), to the rather high moisture 341 content of the soil (Fig. 4b), and to releasing latent heat of fusion of soil water as soil freezes. 342 The near-zero topsoil temperatures on these days are due to the phase transition of water to ice 343 and are associated with the so-called "zero-curtain" effect (e.g., Grachev et al. 2018 and 344 reference therein). Release of latent heat during the freezing of pore water results in the 345 maintenance of isothermal temperatures at or around 0°C within the freezing ground layer over

extended periods (Fig. 4a). The zero curtain decouples the soil from the atmosphere, preventing
cooling in the underlying ground layer (zero soil heat flux) for its duration (e.g., see Grachev et

al. 2018 Figs. 9c and 9d) and thus protecting the ground from severe freezing.

349 The date of the first snowfall and the occurrence of the snow-free date are determined 350 radiometrically as the date when the surface albedo first rises above and drops below 30% 351 respectively (Stone et al. 2002). This behaviour of the albedo around a first snowfall/melt date is 352 associated with a distinguishing feature of the upwelling (reflected) SW solar radiation which is 353 changes rapidly during snowfall and snowpack disintegration, i.e., during the transition from the 354 low-albedo bare soil to snow cover and vice versa. According to Fig. 5d, the albedo increases 355 suddenly on days 342–344 (7–9 December 2016) with the first snowfall in the vicinity of the flux 356 tower. The date of snow melt is evidenced by the large reduction in albedo that occurs on days 357 425–426 (28 February–1 March 2017), i.e., when the snow cover essentially disappears (Fig. 358 5d). These dates of the first snowfall and snow melt are in close correspondence with the dates of 359 the soil freezing and melt derived independently from the temperature measurements.

360 The annual cycle of the downwelling SW radiation SW_{down} at half-hourly resolution is clearly evident with maximum flux values in mid-summer of about 940 to 960 W m⁻² (Fig. 5a) 361 362 and values that drop to minimum during winter months. Similarly, the downwelling longwave radiation LW_{down} reaches a minimum in winter and a maximum in summer (Fig. 5b). A net 363 longwave radiative loss (difference between blue and red symbols in Fig. 5b) occurs throughout 364 the year (Fig. 5c). Hence, the net radiation R_{net} is weakly negative during winter months (Fig. 365 366 5c). The peak in R_{net} occurs during spring/summer when the snow melts and the surface albedo reaches the low summertime values and SW_{down} is near the annual peak (Fig. 5). 367

368 Figure 6 shows the seasonal cycles of the friction velocity and the turbulent fluxes of the 369 sensible heat, and latent heat at half-hourly resolution. It is obvious that friction velocity (Fig. 6a) 370 and the wind speed (Fig. 3a) are highly correlated to one another. The annual course of the 371 sensible heat flux and the net radiation, R_{net} are qualitatively very similar (cf. Figs. 5c and 6b) 372 because the balance between solar and longwave radiation is the principal energy source for 373 daytime surface warming and evaporation (see Eq. (1)). Concurrently, the annual course of the 374 latent heat flux shown in Fig. 6c depends on both R_{net} according to Eq. (1) and soil moisture 375 (Fig. 4a). Figure 6 shows that during the winter and cold seasons, the sensible and latent heat 376 fluxes were small and mostly irregular when the ground is covered with snow and air 377 temperatures are generally below freezing. However, the turbulent fluxes increase rapidly in 378 magnitude when air temperatures rise above freezing during spring melt and eventually reach a 379 summer maximum (cf. Figs. 3 and 6). During late summer and early autumn all turbulent fluxes 380 rapidly decrease in magnitude when the air temperature decreases and falls below freezing. 381 Based on the seasonal behaviour of the surface fluxes and surface meteorology shown in 382 Figs. 3-6 for the entire field campaign (from 24 June 2016 to 01 May 2017), we sort the data into 383 three categories separated by threshold values deduced from the time series of soil temperature 384 (Fig. 4a) and soil moisture at 5 cm depth (Fig. 4b). We distinguish three soil conditions (see also 385 Figs. 4d and 6d): 386 (i) Dry bare or lightly vegetated soil surfaces, the soil temperature at 5 cm depth > 1° C and the 387 soil moisture at 5 cm depth ≤ 0.07 ;

388 (ii) Wet bare or lightly vegetated soil surfaces, the soil temperature at 5 cm depth > 1°C and the
389 soil moisture at 5 cm depth > 0.07;

390 (iii) Frozen (snow covered) soils surfaces, the soil temperature at 5 cm depth $\leq 1^{\circ}$ C.

391 Soil moisture content above is measured as the ratio of the volume of liquid water to soil volume.392

393 4.2. SEB at different timescales

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395 In this and next sub-sections, we consider, first, the incomplete the energy balance 396 equation, $H_S + H_L$ versus R_{net} ; that is, Eq. (1) without the ground heat flux term G since direct 397 measurements of G (by a flux plate) were not available. Further in Sub-section 4.5 we will 398 estimate the soil heat flux G in Eq. (1) based on the available information (soil temperature and 399 moisture, upwelling longwave radiation) to evaluate influence of G for the "complete" SEB 400 closure equation, $H_S + H_L + G$ versus R_{net} , at different temporal scales for the entire dataset and 401 separately for different soil types. Thus, the incomplete SEB closure equation (without the ground heat flux G) is based only on the direct measurements of the surface fluxes H_S , H_L , and 402 403 R_{net} ; whereas, the "complete" SEB closure equation (with G) is based on the direct 404 measurements of H_S , H_L , R_{net} plus modelled G (indirect estimates). 405 The closure of the incomplete SEB during the entire field campaign is shown in Fig. 7. 406 Fig. 7a shows a scatter plot of the sum of the sensible and latent heat fluxes versus the net 407 radiation for half-hourly averaged fluxes. Fig. 7b presents a similar plot based on daily, monthly, 408 and sub-annual (311 days) averages. Note that the daily, weekly, monthly etc. data points in this 409 study are derived from the original half-hourly averaged fluxes averaged over longer time scales. 410 Our direct measurements of SEB in Fig. 7a are roughly consistent with past eddy-covariance 411 results over land showing that the sum of H_S and H_L systematically underestimate the net 412 radiation for $R_{net} > 0$ (generally during daytime convective conditions) and overestimate for $R_{net} < 0$ (generally during nocturnal boundary layer). According to Fig. 7a, the linear regression 413

forced through the origin for the half-hourly data is y = 0.77x with the correlation coefficient R^2 = 0.94 (number of data points, N = 3624) in the case $R_{net} > 0$ (dashed magenta line) and the regression is y = 0.27x with $R^2 = 0.41$ and N = 897 in the case $R_{net} < 0$ (dotted red line). In total for both positive and negative R_{net} the linear regression forced through the origin is y = 0.76x(not shown) with $R^2 = 0.95$ and N = 4521.

419 Thus, the SEB imbalance for half-hourly averaged fluxes over the entire WFIP 2 field 420 campaign (from 24 June 2016 to 01 May 2017) is about 24%. This mean imbalance is generally 421 consistent with other efforts to close the SEB (e.g., Foken and Oncley 1995; Wilson et al., 2002; 422 Meyers and Hollinger 2004; Foken et al., 2006; Oncley et al. 2007; Cava et al. 2008; Foken 423 2008; Jacobs et al. 2008; Panin and Bernhofer 2008; Higgins 2012; Leuning et al., 2012; Stoy et 424 al., 2013; Masseroni et al., 2014; Cuxart et al., 2015; Gao et al., 2017a). Despite multiple review 425 papers that discuss the lack of energy closure at half-hourly and hourly averaged timescales, and 426 the myriad of studies devoted to the investigation of the individual factors of the imbalance at 427 these averaging period, the SEB closure at the longer averaging times (from the daily to sub-428 annual timescales) has not been systematically examined. In recent years, several papers (e.g., 429 Finnigan et al. 2003; Mauder and Foken, 2006; Leuning et al. 2012; Charuchittipan et al. 2014; 430 Reed et al. 2018) have worked to address this issue, however, there is no consensus on how to improve energy closure. 431

Foken et al. (2006) applied the ogive analysis to the data measured over a maize field of the LITFASS-2003 experiment and was focused mainly on data from three selected days, where the averaging time was extended up to 4 hours. According to Charuchittipan et al. (2014), extension of the averaging time by a few hours does not significantly improve the energy balance. Previous work by Leuning et al. (2012) has shown that energy closure is observed at only 8% of flux sites in the La Thuile synthesis dataset (produced after a workshop in La Thuile,
Italy, in February, 2007) with half-hourly averages but this increases up to 45% of sites using
daily averages. Recent work by Reed et al. (2018) examined the effect of temporal variation in
the SEB for different site locations and seasons at annual and sub-annual timescales. Here, we
focus on the longer averaging time intervals than in the papers mentioned above; that is,
substantially longer daily averages (up to annual timescales).

443 Our data shows that, in general, increasing the averaging time consistently from half-444 hourly to daily and longer time intervals substantially reduces the SEB imbalance. According to 445 Fig. 7b, the linear regression forced through the origin is y = 0.85x with the correlation coefficient $R^2 = 0.93$ (N = 243) in the case of daily averages (green circles and dashed magenta 446 line) and in the case monthly (30-day) averages the regression is y = 0.91x with $R^2 = 0.99$ and N 447 448 = 10 (i.e., ten full months, blue triangles and dotted red line). Moreover, the SEB can be closed for this site within several percent on sub-annual timescale (red star); that is, $\langle H_S \rangle + \langle H_L \rangle$ 449 = $1.02 < R_{net}$ > where < ... > denotes an averaging operator (the arithmetic mean) for all 450 available half-hourly values of the surface energy fluxes during the entire field campaign (311-451 452 day dataset). In this case, N = 1 (one data point). Given sufficient data, it would be ideal for these purposes to average half-hourly or hourly data for a full year without large gaps (365-day 453 454 averaging for a common year).

On average, the scatter plots of the daily and monthly averaged data (Fig. 7b) show
substantial reduction of the incomplete SEB imbalance as compared with the half-hourly
averages. However, imbalances between the turbulent fluxes and the available energy may be
worse at daily timescales (cf., Leuning et al., 2012), and in a select number of cases still occur in
the monthly averages (cf., Reed et al., 2018), implying some processes extend beyond daily and

460 monthly timescales. In the next sub-section, we consider some of the factors leading to these461 biases.

- 462
- 463 *4.3. SEB over different types of soil surfaces*
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In this sub-section we consider the incomplete SEB and the surface energy fluxes over 465 466 the entire field campaign at half-hour and longer averaging time scales separately for the three 467 soil conditions identified in Section 4.1. Figure 8 shows scatter plot of the turbulent flux terms in 468 Eq. (1) versus R_{net} at half-hourly resolution observed from 24 June 2016 to 01 May 2017 for 469 three different soil surfaces (dry, wet, and frozen). Recall also that the soil heat flux, G, which 470 was not measured, is not used here for the SEB closure analysis (e.g., in Figs. 7 and 8). The 471 turbulent fluxes of the sensible and latent heat shown in Fig. 8a, b increase systematically with increasing net radiation because R_{net} is normally the principal source of energy for daytime 472 473 warming of the surface and evaporation. We note different slopes of the bin-averaged fluxes 474 (solid lines) for different soil conditions. According to Fig. 8a, the bin-averaged dependence of 475 H_S is generally steeper for drier than for wetter soils, whereas the situation with H_L is obviously 476 opposite; the latent heat flux is small over dry soil surfaces indicating that evaporation is 477 negligible (Fig. 8b). Note also that sensible and latent heat fluxes are comparable over wet soil 478 surfaces (cf. Figs. 8a and 8b) and the Bowen ratio $Bo = H_S/H_L$ averaged around 1 during 479 daytime (not shown). The different behavior of the turbulent fluxes in Fig. 8 for different soil 480 conditions is perhaps due to the different physical properties of the soils. It can be assumed that 481 wet and/or water-saturated soils, which have larger heat storage due to high specific heat capacity and higher thermal conductivity, cause the observed behaviour of H_S and H_L versus 482

483 R_{net} (Fig. 8a and 8b). If the soil surface is frozen, both H_S and H_L are small and highly variable 484 as mentioned earlier (cf. Fig.6).

485 According to the bin-averaged data in Fig. 8c, the sum $H_S + H_L$ is further from closure 486 for wet soils compared to dry soils. The statistical dependence of the turbulent fluxes and R_{net} 487 for frozen soil surfaces appears weak, if not non-existent (Fig. 8c). As mentioned in Section 4.1, 488 during the cold season topsoil temperatures remain close to 0°C and the soil heat flux term in Eq. 489 (1) G = 0 for an extended period of time. This isothermal freezing process is referred to as the 490 "zero-curtain" effect (e.g., Grachev et al. 2018). Under such conditions, the latent heat of fusion 491 term must be added to Eq. (1). The nature of this term is associated with the change between the 492 liquid and solid phases, as when water turns to ice. The absence of the latent heat of fusion term 493 in the SEB equation explains why Eq. (1) doesn't work for the case of frozen soil surfaces as 494 shown in Fig. 8c. However, a detailed discussion of the SEB during the zero-curtain period is 495 beyond the scope of this paper.

496 The linear regression forced through the origin (not shown) for the dry soil data shown in 497 Fig. 8c is y = 0.79x; that is, the SEB imbalance is 21% in this case. For wet soils over the entire 498 field campaign (Fig. 8c), the linear regression forced through the origin is y = 0.72x, implying 499 that there is an imbalance of 28%, which is high but not unusual. The linear regression of Fig. 8c 500 for the frozen (snow covered) soil surfaces is y = 0.096x, implying a weak correlation between 501 the turbulent fluxes and the net radiation. Thus, the surface energy balance in the form of Eq. (1) 502 cannot be applied to freezing soil surfaces without the inclusion of a latent heat of fusion term. 503 Our results for the SEB closure over dry and wet soils are consistent with prior studies. 504 According to Roxy et al. (2014), SEB closures were lower when the latent heat fluxes were 505 highest, mainly during wet periods; the mean SEB closure was found to be 0.742 and 0.795 for

wet and dry seasons, respectively. Cuxart et al. (2015) reported that very large imbalances are
related to high values of soil moisture, especially in warm conditions. However, a multi-year
analysis of the surface energy balance in a semi-arid savanna ecosystem in South Africa by
Majozi et al. (2017) revealed a SEB closure of 0.93±0.11 with the dry season having the highest
energy imbalance (0.70) while the mean SEB closure during the wet season was 1.12.

511 Figure 9 shows that increasing the averaging time from half-hourly to daily and longer 512 time intervals substantially reduces the SEB imbalance for each soil type (though with some 513 reservations for the frozen soils). For dry soils in Fig. 9a, the linear regression for daily averages 514 forced through the origin is y = 0.92x and the SEB can be closed within one percent on a 515 seasonal timescale (red six-pointed star). For wet soils (Fig. 9b), the linear regression of daily 516 averages is y = 0.79x and the SEB closure is ≈ 0.95 at a seasonal timescale. For frozen soil 517 surfaces (Fig. 9c), the SEB closure is ≈ 0.06 at daily timescales and ≈ 0.34 at a seasonal 518 timescale.

519 Although, increasing the averaging time from half-hourly (Fig. 8c) to daily and longer 520 time intervals (Fig. 9) consistently reduces the SEB imbalance in general for each specific soil 521 condition, the situation is not so obvious if we compare the SEB imbalance between different 522 soil types. For example, the SEB closure at half-hourly resolution for dry soils (≈ 0.79) may be 523 approximately the same as the SEB closure for wet soils (≈ 0.79) at longer (daily) timescales. In 524 addition, the SEB imbalance may be worse at monthly timescales for wet (≈ 0.86) and frozen (\approx 525 0.19) soils compared to daily averages (≈ 0.92) for dry soils. Thus, our results support and clarify 526 previous findings by Leuning et al. (2012) and Reed et al. (2018) where in a select number of 527 cases, increasing the averaging time does not always lead to reduction of the SEB imbalance 528 because SEB closures were compared for different sites or different seasons.

529

- 530 4.4. Case studies: the uninterrupted time series ("golden files")
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532 In the previous sections, we considered the incomplete SEB imbalance based on 533 measurements over the entire WFIP 2 field campaign (311-day dataset from 24 June 2016 to 01 534 May 2017) with and without separation into the three different soil conditions identified in 535 Section 4.1. However, the original in situ data often contain gaps, from very short (half-hour to 536 few hours) up to relatively long (few weeks, Figs. 3-7). Occasional gaps in the data time series 537 are mainly due to equipment failures, calibrations and maintenance operations or general system 538 breakdown. Data quality assurance/control procedures lead to the rejection of "bad" data, 539 generating additional gaps in the data records. For example, turbulent data were edited for 540 spikes, unfavorable relative wind directions, non-stationarity, etc. Averaging of the fragmented 541 data sets raises issues in the interpretation of the results. Gap-filling techniques are commonly 542 used to estimate the missing data; for example, short gaps in data can be filled by interpolation. 543 However, a gap-filling procedure replaces missing empirical data by simulated synthetic values 544 that can introduce more errors.

To overcome these limitations, we consider the longest available uninterrupted time series of relatively good data for each soil condition defined in Section 4.1 ("golden files"). Figures 10-12 show continuous monthly (30-day long) records of the SEB components for dry, wet, and frozen soils respectively. From data in Figs. 10-12, the mean incomplete SEB closure (the slope of a linear regression line with forced origin) for half-hourly averaged data is ≈ 0.80 for the dry soils (N = 1405), ≈ 0.73 for wet soils (N = 1201), and ≈ 0.12 for frozen soils (N =988). Note that the number of the half-hourly averaged data points in the case of dry (Fig. 10),

552	wet (Fig. 11), and frozen (Fig. 12) soils is less than the maximum amount possible for one month
553	$(N = 1440 = 48 \times 30)$ due to quality control. For daily averages the mean incomplete SEB closure
554	is ≈ 1.02 , ≈ 0.82 , and ≈ 0.22 for dry (Fig. 10), wet (Fig. 11), and frozen (Fig. 12) soils
555	respectively ($N = 30$ for each soil type). Thus, the incomplete SEB can be closed for the dry soil
556	within about two percent on a daily timescale for "golden files" data in Fig. 10. Further, the mean
557	incomplete SEB closure based on the one-month averages for the data in Figs. 10-12 are ≈ 1.06
558	(dry soil), ≈ 0.86 (wet soil), and ≈ 0.19 (frozen soil). Thus, the results based on the almost
559	uninterrupted 30-day time series of fluxes ("golden files", Figs. 10-12) support our previous
560	findings derived from the data collected during the entire 311-day field campaign (gapped time
561	series). That is: (i) increasing the averaging time from half-hourly to daily and monthly intervals
562	generally reduces the incomplete SEB imbalance for specific type of soil; and (ii) the incomplete
563	SEB closure is lower for the wet soils compared to dry soils (e.g., 0.73 vs. 0.80 respectively at
564	half-hourly resolution), while closure is only $\approx 12\%$ for frozen soils, apparently due to the lack of
565	a latent heat of fusion term in (1). One can estimate the missing latent heat of fusion term in (1)
566	should be $\approx 0.8-0.9$ of R_{net} to close the SEB during the cold season.

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567 As expected, the SEB flux components and the topsoil temperature difference series have 568 a pronounced diurnal cycle (rising and falling patterns) for both dry and wet soils (Figs. 10 and 569 11), whereas for frozen soils (Fig. 12) such a cycle is much less evident (with some exceptions of 570 the net radiation, Fig. 12a). On the diurnal time scale, variations in the turbulent heat fluxes are 571 dominantly forced by daily rhythms in the incoming solar radiation, which also drives changes in 572 the air and soil temperatures. Furthermore, diurnal variations of the residual energy are also observed for both dry (Fig. 10c) and wet (Fig. 11c) soils. According to Figs. 10c and 11c, the 573 574 daily patterns of the residual energy are characterised by positive values during the first part of

575 the day and by a sign reversal in the second half of the day. Thus, the observed daily patterns of 576 the residual energy (Figs. 10c and 11c) confirm that the SEB is more easily balanced at daily 577 averaging timescales than half-hourly because of the cancellation of energy residuals of opposite 578 sign. The diurnal variations of the residual energy reported here (Figs. 10c and 11c) are generally 579 in good agreement with previously published results (e.g., Lamaud et al. 2001; Cava et al. 2008; 580 Higgins 2012). The difference of the soil temperature between 10 cm and 5 cm levels for dry, 581 wet, and frozen soils is shown in Figs. 10d, 11d, and 12d respectively. This temperature 582 difference is ultimately related to the ground heat flux G through Eq. (8). In general, the diurnal 583 cycle of solar radiation modulates a sinusoidal variation of the temperature difference in top soil 584 layer (Figs. 10d and 11d) and, therefore, the ground surface heat flux and diurnal temperature 585 waves. Thereby, much of the energy that enters the soil during the day returns to the atmosphere 586 at night through terrestrial longwave radiation. For this reason, G is often the smallest component 587 of the daily surface energy balance (solid blue lines in Figs. 10d and 11d). The near-zero 588 temperature difference in the top soil layer during the freezing period (Fig. 12d) is associated 589 with near isothermal temperatures at or around 0°C (zero soil heat flux) and the "zero-curtain" 590 effect as discussed in Sub-section 4.1 earlier.

Figure 13 summarizes the incomplete SEB closure equation (without the ground heat flux G) at different temporal scales discussed in Sub-sections 4.2-4.4. The red symbols and lines in Fig. 13 represent all measurements. The SEB imbalance categorized by soil condition are shown in Fig. 13 as green symbols and green dash line (dry soils), blue symbols and blue dash line (wet soils), and cyan symbols and cyan dash line (frozen soils), respectively. The averaged SEB values plotted on this graph for three different soil types are derived from the entire 311-day field campaign except the monthly averages that are based on the uninterrupted 30-day time series for each type of soil shown in Figs. 10-12 ("golden files"). Averaging operator < ··· > in Fig. 13
denotes the arithmetic mean of the all available original 30-min surface energy fluxes through
temporal averaging for a specific timescale (daily, weekly, monthly, seasonal and sub-annual).
Figure 13 is the visual representation of our primary findings; that is, increasing the averaging
time consistently from half-hourly to longer time intervals substantially reduces the SEB
imbalance and the closure is lower for the wet soils as compared to dry soils whereas the SEB
closure for frozen soils is very poor, if not non-existent.

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606 *4.5. Soil heat flux evaluation at different temporal scales*

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608 The purpose of this study is not to investigate closure of the SEB at standard half-hourly 609 time scales (or forcing SEB closure based on the direct measurements of all SEB components 610 mentioned in Section 2). Instead, in this study we evaluate SEB closure over a range of 611 timescales from half-hourly to daily, monthly, seasonal and even sub-annual averaged timescales 612 based on the available direct measured fluxes H_S , H_L , and R_{net} . In other words, we consider the 613 SEB closure at long time intervals (up to annual timescales) through temporal averaging of the 614 original 30-min data. These time intervals are substantially longer daily averages than those 615 considered in many previous studies (see Section 4.2). In this section we consider estimates of 616 the soil heat flux G in (1) and its impact on the SEB closure at different temporal scales. 617 As mentioned earlier, we do not have direct measurements of the soil heat flux during the 618 WFIP 2 field campaign. Moreover, directly measuring G is a challenging problem in general. 619 Thus, there are numerous approaches to compute the soil heat flux, that use different input data 620 from in situ measurements (e.g., see Liebethal et al. 2005; Liebethal and Foken 2007; Gao et al.

621 2017b; Yang and Wang 2008 and references therein). Different methods for evaluating G can use 622 various in situ measurements such as soil temperature, soil moisture, net radiation, etc. In 623 addition, some parameterization approaches can be suitable for different times of the day. The 624 most common approach for determining G is the calorimetric method (e.g., Liebethal et al. 625 2005). Some other approaches proposed G as a simple fraction (linear or nonlinear function) of 626 the net radiation (e.g., Gao et al. 2017b and references therein). Liebethal and Foken (2007) 627 reviewed six different parameterization approaches for G and compared those with the 628 calorimetric method. In a later study, Gao et al. (2017b) evaluated nine different methods for 629 calculating the soil heat flux at the surface (z = 0) by comparing the calculated and measured 630 soil heat flux at 5 cm depth. In this section, we use a traditional calorimetric approach for 631 determining G at the interface (e.g., Liebethal and Foken 2007, Section 3.1; Gao et al. 2017b, 632 Section 2.1), which combines the flux measurements (by a flux plate) or estimations (from Eq. 633 (8)) at some reference depth Δz below the surface and the calorimetry (estimation of the change 634 in heat storage in the layer between the surface and the depth Δz). Recall that in the current 635 study, we consider the SEB closure at time intervals substantially longer than daily averages, and 636 the soil heat flux is relatively small and, therefore, less important as compared with the turbulent 637 and radiative surface fluxes at these scales. However, we estimated G based on the available 638 information to evaluate the influence of G at different temporal scales. 639 Here, we use measurements of soil temperature and moisture to estimate G in (1) based 640 on the Fourier's Law of Heat Conduction (8). We can integrate (8) from the interface down to 641 depth Δz , and relate the flux to the difference in the temperature at the interface to the

642 temperature at depth Δz . Near the surface we can linearly approximate T(z), so according to the 643 calorimetric method

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$$G = \frac{\lambda}{\Delta z} \left[\theta_0 - T_S(\Delta z)\right] + \frac{1}{2} \rho_S c_{pS} \frac{\partial T_S}{\partial t} \Delta z \tag{9}$$

where ρ_S is the soil density and c_{pS} is the soil specific heat, T_S is the soil moisture measured at 5 cm depth; that is $\Delta z = 5$ cm, and $\theta_0 = T_S(0)$ is the surface (skin) temperature estimated from the upwelling (outgoing) longwave radiation, LW_{up} , measurements. Subscripts 'zero' and 'S' in (9) denote surface (a value at the interface z = 0) and soil reference height values respectively. Based on our data for the entire experimental period, we estimated the thermal conductivity of the soil λ [W m⁻¹ K⁻¹] via

 $\delta 51 \qquad \lambda = 0.180 + 1.09Q_{S5} \tag{10}$

where Q_{S5} [vol/vol] is the soil moisture measured at 5 cm depth. Our estimation (10) is consistent with the coefficients for bare soil from Yao et al (2015) model. We have chosen type 'GRA' (Yao et al. 2015, their Table 1), which includes grassland and barren or sparsely vegetated soil (soil at the PS01 tower site is essentially a plowed fallow field with little vegetation). Note that due to the different the thermal conductivity and heat capacity of the soil, the soil heat flux *G* of wet soil is significant larger than of dry soil (see Eqs. (9) and (10)).

658 The results of the SEB closure computations without and with the soil heat flux G in Eq. 659 (1) at different temporal scales for the entire dataset and separately for dry and wet soils are 660 summarized in Table 1. The SEB closure without G (ratio of $H_S + H_L$ to R_{net}) is based only on the direct measurements of the surface fluxes and the data presented in Table 1 correspond to 661 662 values plotted in Fig. 13; that is, the red symbols for entire dataset, the green symbols for dry 663 soils, and the blue symbols for wet soils, respectively. The SEB closure with G (ratio of H_S + 664 $H_L + G$ to R_{net} in Table 1) is based on the direct measurements of H_S , H_L , R_{net} and G modelled by Eq. (9). The case of frozen soil surfaces was not included in Table 1 because the freezing 665 666 period is associated with zero soil heat flux, G = 0, (the "zero-curtain" effect as discussed in

667 Sub-section 4.1 earlier) and, thus, there is no difference in the SEB closure with and without the 668 soil heat flux G. As expected, taking the soil heat flux into account in the SEB equation 669 substantially reduces the SEB imbalance at the half-hourly time scales. However, the importance 670 of this correction decreases with increasing averaging time from half-hourly to longer intervals 671 (i.e., to daily, monthly, and sub-annual timescales), because energy locally entering the soil in 672 the morning is released in the afternoon and evening; that is, because of the cancellation of the 673 soil heat flux (and other storage terms) of opposite sign. Table 1 also shows that, at all averaging 674 timescales, the SEB closure with G (as well as without G) is lower for wet soils compared to dry 675 soils.

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677 5. Concluding Remarks: Summary and Discussion

678

679 In this study, we present an analysis of the surface energy budget (SEB) based on field 680 observations from the Columbia River Gorge in areas of complex terrain near Wasco, Oregon, 681 during a 10-month long portion of the WFIP 2 field campaign (e.g., Bianco et al. 2019; Olson et 682 al. 2019; Shaw et al. 2019; Wilczak et al. 2019). Measurements of half-hourly averaged turbulent 683 and radiative fluxes, surface meteorology, and basic soil parameters made at the PS01 site (Figs. 684 1 and 2) are used to examine the SEB closure (1) for the entire dataset and separately for 685 different soil conditions (dry, wet, and frozen) at various timescales (from half-hourly to sub-686 annual averages). Note that similar analysis of energy closure variation over different temporal 687 scales in the literature is rare.

688 We considered (i) the incomplete the energy balance equation, $H_S + H_L$ versus R_{net} ; i.e., 689 Eq. (1) without the ground heat flux term *G* since direct measurements of *G* were not available 690 (Sub-sections 4.2 and 4.3), and the "complete" SEB closure equation, $H_S + H_L + G$ versus R_{net} 691 (Sub-sections 4.5). The incomplete SEB closure equation (without the ground heat flux G) is based only on the direct measurements of the surface fluxes H_S , H_L , and R_{net} ; whereas, the 692 693 "complete" SEB closure equation (with G) is based on the direct measurements of H_S , H_L , R_{net} 694 plus modelled G (indirect estimates based on Eq. (9)). We estimated the soil heat flux G in Eq. 695 (1) based on the available information (soil temperature and moisture, upwelling longwave 696 radiation) to evaluate influence of G at different temporal scales for the entire dataset and 697 separately for dry and wet soils (Sub-section 4.5 and Table 1). Including the estimates of the soil heat flux G in the SEB closure equation substantially reduces the SEB imbalance, $H_S + H_L + G$ 698 versus R_{net} , for each specific soil condition as compared to the incomplete the energy balance 699 equation, $H_S + H_L$ versus R_{net} especially at half-hourly averaged timescales (Table 1). However, 700 701 the importance of the soil heat flux decreases at the longer averaging times (from the daily to 702 sub-annual timescales). In addition, to support our findings derived from the gapped time series 703 collected during the entire 311-day field campaign (Sub-sections 4.2 and 4.3), we use the longest 704 available uninterrupted (almost without gaps) 30-day time series of relatively good data ("golden 705 files") for each type of soils (Sub-section 4.4) to overcome limitations associated with the gapped 706 series.

707Our estimates of the complete and incomplete SEB closure equation are generally708consistent with past eddy-covariance results over land showing that at half-hourly resolution the709sum of turbulent fluxes of sensible and latent heat typically under balance the positive net710radiation by about 20-30% during daytime and overestimate negative net radiation at night (Fig.7117a). We note that the SEB-imbalance is a longstanding issue in micro-meteorology. However,712increasing the averaging time from half-hourly to longer intervals (i.e., to daily, monthly, and

sub-annual timescales) substantially reduces the SEB imbalance (Fig. 7b) and, additionally, the
longer averaging times can reduce the influence of instrumental measurement errors (e.g.
improper calibration) and sampling uncertainties. We find the SEB can be closed for this site
within reasonable limits on seasonal and sub-annual timescales (311-day averaging for the entire
field campaign dataset).

718 At all averaging timescales, the SEB closure was lower for wet soils compared to dry 719 soils while closure for frozen soils appears weak, if not non-existent (Fig. 13), likely due to the 720 lack of a latent heat of fusion term in the SEB equation (1). However, the latent heat of fusion 721 term is not significant at annual (or sub-annual) timescales because, on average, heat absorbed 722 from the atmosphere during the spring thaw is subsequently released during the fall freeze. This 723 is a temporal redistribution of energy in the top soil layer due to the water/ice-phase transition of 724 the annual freeze-thaw cycle. The SEB can be closed for dry soil within about two percent on a 725 seasonal timescale (81-day) over the entire dataset (Fig. 9a, Fig. 13, and Table 1) and even on a 726 daily timescale for month-long uninterrupted data records ("golden files", Fig. 10).

727 As mentioned earlier, the purpose of this study is not closure of the SEB at half-hourly 728 time scales, but rather, to evaluate SEB closure over a range of timescales. The problem of 729 surface energy imbalance and the individual factors (e.g., storage terms) that lead to the imbalance at half-hourly and hourly time scales has been widely investigated in many studies 730 731 (e.g., references in Section 2). Here, we analyze and discuss the balance between net radiation 732 and turbulent sensible and latent heat fluxes. Direct measurements of the soil heat flux (by a flux 733 plate) were not available, which may be seen as a disadvantage, but in many numerical climate 734 models only the net radiation and sensible/latent heat fluxes are used to determine the surface 735 temperature. Thus, SEB closure for these fluxes (Fig. 13) is important. Nevertheless, complete

736 closure is possible at half-hourly and hourly averaged time scales by accurate determination of 737 all SEB components (turbulent, radiative, ground heat fluxes and storage energy terms) and 738 careful attention to all sources of measurement and data processing errors (e.g., Lamaud et al. 739 2001; Jacobs et al. 2008; Leuning et al. 2012). 740 741 742 Acknowledgements 743 This work was supported by the National Oceanic and Atmospheric Administration (NOAA) 744 Atmospheric Science for Renewable Energy (ASRE) program and by the U.S. Department of 745 Energy (DoE) DE-EE0007605 interagency agreement that supports DoE FOA DE-FOA-746 0000984. The University of Notre Dame team was supported by DOE-WFIFP2-SUB-001. HJSF 747 was also supported by NSF grants AGS-1565535 and 1921554. Special thanks go to Prof. Dr. 748 Thomas Foken for the thorough review, critical and helpful comments on improving the 749 manuscript. We also appreciate the constructive comments and suggestions from Dr. Ola 750 Persson.

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- 930
- 931

Table 1

The SEB closure without and with the soil heat flux G in Eq. (1) at different temporal scales for the entire dataset and separately for dry and wet soils. Soil physical composition: silt (73%), sand (14%), and clay (13%).

Averaging timescales	$(H_S + H_L)/R_{net}$			$(H_S + H_L + G)/R_{net}$		
	Entire	Dry	Wet	Entire	Dry	Wet
Half-hourly	0.763	0.786	0.715	0.946	0.983	0.922
Daily	0.852	0.915	0.794	0.948	1.000	0.908
Weekly	0.908	0.939	0.883	0.980	0.998	0.967
Monthly	0.906	1.057	0.859	0.956	0.9828	0.954
Seasonal	0.989	0.9987	0.948	1.001	1.018	0.965
Sub-annual (311 days)	1.020	N/A	N/A	0.953	N/A	N/A

941	Figure Captions
942	
943	Fig. 1. Maps showing the WFIP 2 study area located along the Columbia River Gorge in eastern
944	Oregon and Washington states.
945	
946	Fig. 2. View of the flux tower and instruments at the WFIP 2 Physics site PS01 during late
947	summer conditions (15 September 2016).
948	
949	Fig. 3. Time series of (a) wind speed, (b) true wind direction, (c) air temperature, and (d) relative
950	humidity based on the half-hourly raw data for year days 176-487 (24 June 2016-01 May 2017)
951	observed at Columbia River Gorge, OR during WFIP 2 Project (Physics site PS01).
952	
953	Fig. 4. Time series of (a) soil temperature and (b) soil moisture observed at the WFIP 2 Physics
954	site PS01, (c) rain rate observed near the Wasco State Airport, OR (WCO), and (d) soil types for
955	year days 176–487 (24 June 2016-01 May 2017). The data are based on half-hour averaging.
956	
957	Fig. 5. Time series of (a) short-wave (SW) downwelling and upwelling radiation, (b) long-wave
958	(LW) downwelling and upwelling radiation, (c) SW balance, LW balance, and net radiation, and
959	(d) albedo for year days 176–487 (24 June 2016-01 May 2017) observed at Columbia River
960	Gorge, OR during WFIP 2 Project. The data are based on half-hour and daily averaging.
961	
962	Fig. 6. Time series of (a) friction velocity observed at 3 and 10 m, (b) sensible heat flux observed
963	at 3 and 10 m, (c) latent heat (water vapor) flux, and (d) soil types for year days 176–487 (24

June 2016-01 May 2017) observed at Columbia River Gorge, OR during WFIP 2 Project. Thedata are based on half-hour averaging.

966

Fig. 7. Scatter plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net radiation R_{net} based on (*a*) the half-hourly averaged (symbols) and the bin-averaged (solid line) data and (*b*) the daily, monthly and 311-day (the entire dataset) averaged fluxes for the entire dataset collected at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487 (24 June 2016-01 May 2017).

972

973 Fig. 8. Plots of the bin-averaged (solid lines) and 0.5-hr averaged (symbols) turbulent fluxes

974 (eddy-covariance) of (a) sensible heat H_S , (b) latent heat H_L , and (c) the sum $H_S + H_L$ (SEB

975 turbulent flux components) versus the net radiation R_{net} for dry, wet, and frozen soils observed 976 at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487 (24 June 2016-977 01 May 2017).

978

Fig. 9. Plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net radiation R_{net} separately for (*a*) dry, (*b*) wet, and (*c*) frozen (snow covered) soils based on daily averaged fluxes and entire dataset averaging (81, 92, and 58-day averaging in each case respectively). 982

Fig. 10. One-month (30-day) time series of (*a*) the net radiation R_{net} , (*b*) the sum of the sensible and latent heat fluxes $H_S + H_L$, (*c*) the residual energy $R_{net} - H_S - H_L$ and (*d*) difference of the soil temperature between 10 cm and 5 cm levels for dry soils observed at the WFIP 2 Physics site PS01 during year days 240–270 (27 August-26 September 2016). The data are based on halfhour and daily averaging.

988

Fig. 11. Same as Fig. 10 but for wet soils observed at the WFIP 2 Physics site PS01 during year
days 450–480 (25 March-24 April 2017). The data are based on half-hour and daily averaging.

Fig. 12. Same as Fig. 10 but for frozen (snow covered) soils observed at the WFIP 2 Physics site

PS01 during year days 395–425 (29 January-28 February 2017). The data are based on half-hour
and daily averaging.

995

996 Fig. 13. The incomplete SEB closure equation (without the ground heat flux G) at different

997 temporal scales: ratio of turbulent energy fluxes $H_S + H_L$ to net radiation R_{net} for the entire

998 dataset (red solid line and red symbols) and separately for different soil types (dry, wet, and

frozen) plotted versus averaging time based on the data collected at Columbia River Gorge, OR

1000 during WFIP 2 Project.

1001

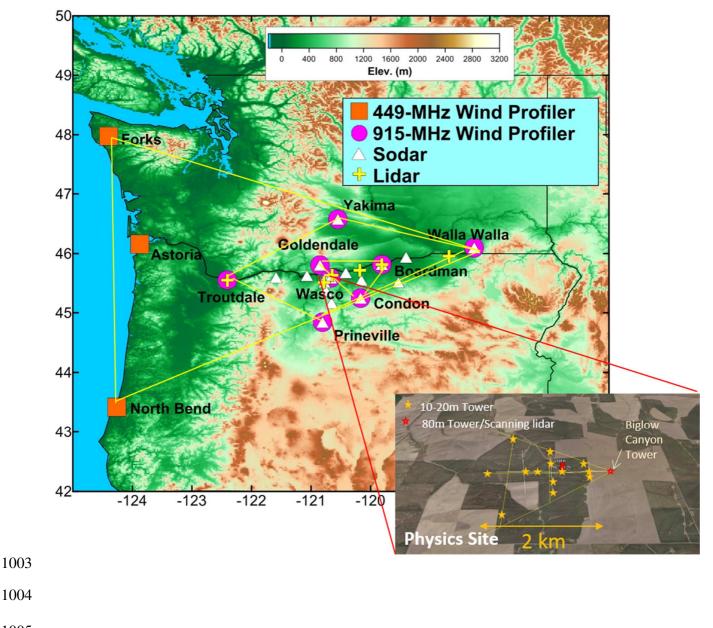
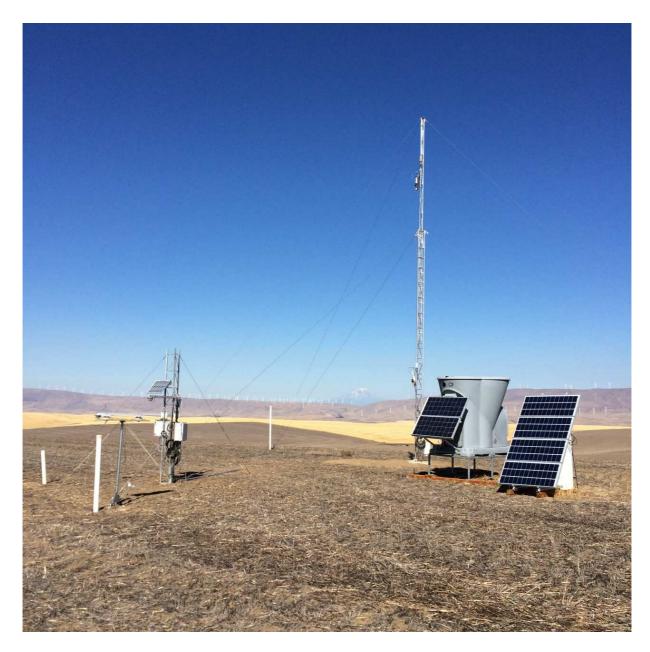


Fig. 1. Maps showing the WFIP 2 study area located along the Columbia River Gorge in eastern
Oregon and Washington states.



- **Fig. 2.** View of the flux tower and instruments at the WFIP 2 Physics site PS01 during late
- 1015 summer conditions (15 September 2016).

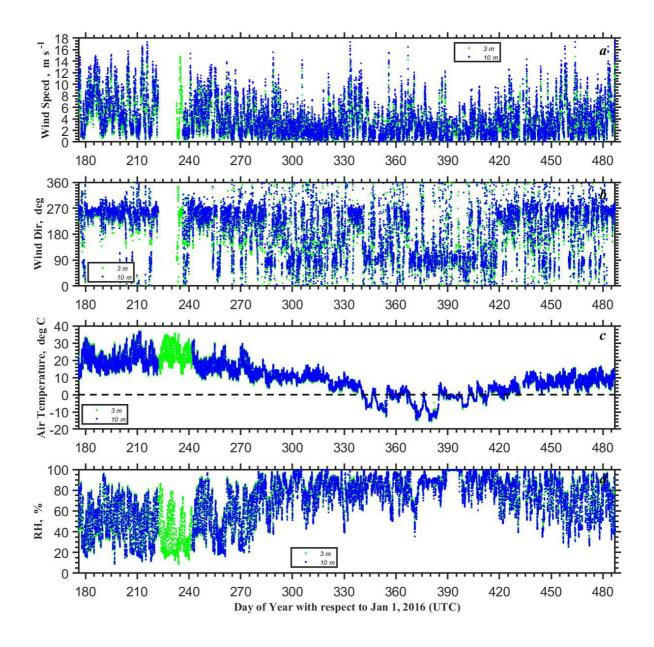
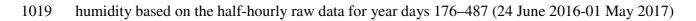


Fig. 3. Time series of (*a*) wind speed, (*b*) true wind direction, (*c*) air temperature, and (*d*) relative



1020 observed at Columbia River Gorge, OR during WFIP 2 Project (Physics site PS01).

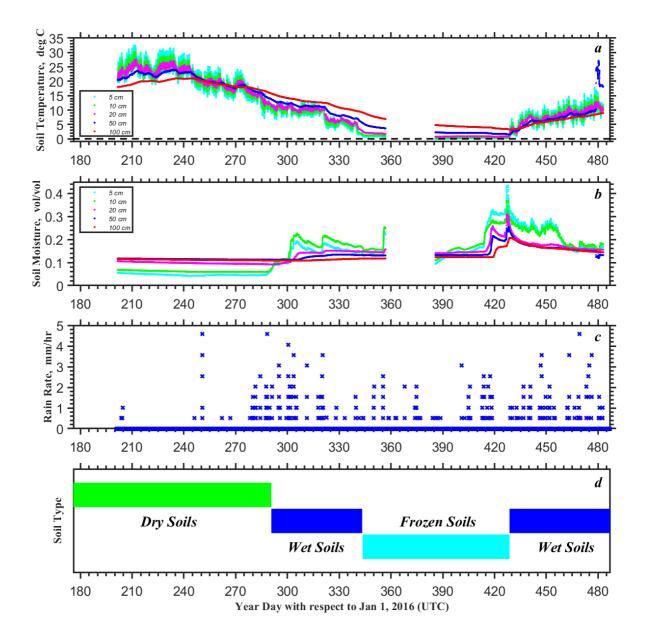


Fig. 4. Time series of (*a*) soil temperature and (*b*) soil moisture observed at the WFIP 2 Physics
site PS01, (*c*) rain rate observed near the Wasco State Airport, OR (WCO), and (*d*) soil types for
year days 176–487 (24 June 2016-01 May 2017). The data are based on half-hour averaging.

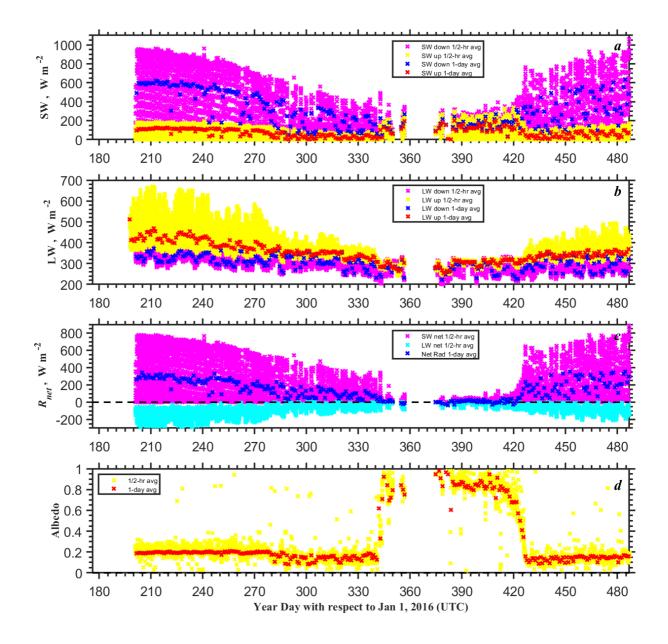
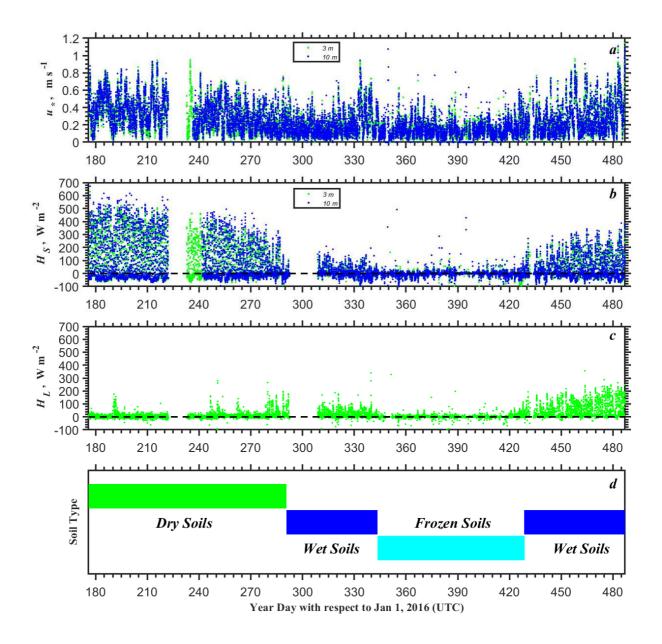
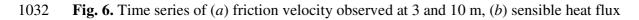


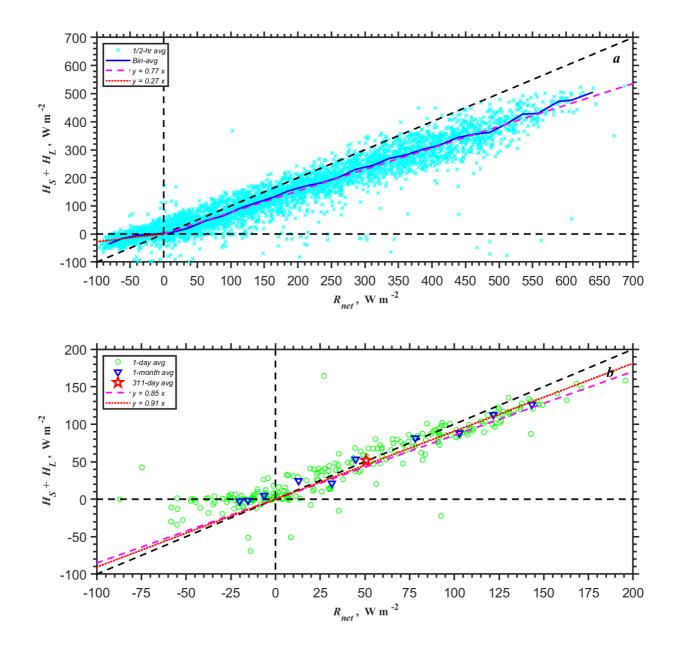
Fig. 5. Time series of (a) short-wave (SW) downwelling and upwelling radiation, (b) long-wave
(LW) downwelling and upwelling radiation, (c) SW balance, LW balance, and net radiation, and
(d) albedo for year days 176–487 (24 June 2016-01 May 2017) observed at Columbia River
Gorge, OR during WFIP 2 Project. The data are based on half-hour and daily averaging.



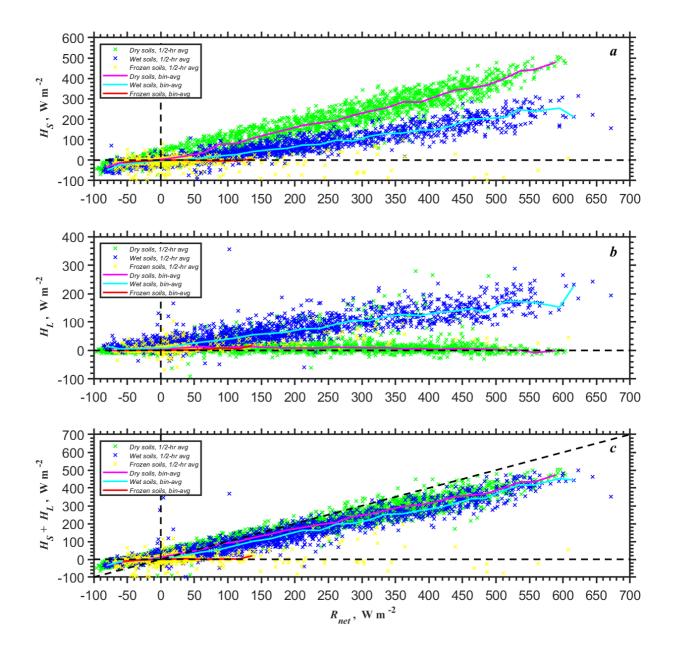


1033 observed at 3 and 10 m, (c) latent heat (water vapor) flux, and (d) soil types for year days 176–

- 1034 487 (24 June 2016-01 May 2017) observed at Columbia River Gorge, OR during WFIP 2
- 1035 Project. The data are based on half-hour averaging.

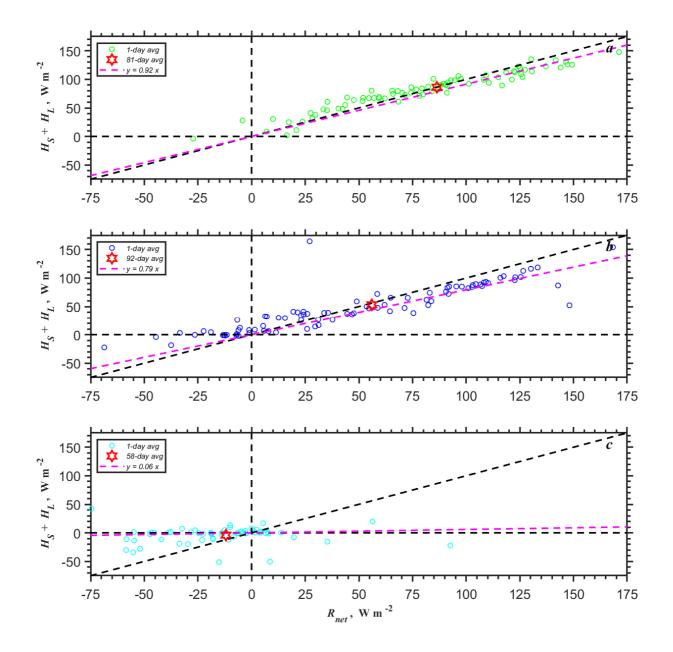


1037Fig. 7. Scatter plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net1038radiation R_{net} based on (a) the half-hourly averaged (symbols) and the bin-averaged (solid line)1039data and (b) the daily, monthly and 311-day (the entire dataset) averaged fluxes for the entire1040dataset collected at Columbia River Gorge, OR during WFIP 2 Project during year days 176–4871041(24 June 2016-01 May 2017).



1044 **Fig. 8.** Plots of the bin-averaged (solid lines) and 0.5-hr averaged (symbols) turbulent fluxes

- 1045 (eddy-covariance) of (a) sensible heat H_S , (b) latent heat H_L , and (c) the sum $H_S + H_L$ (SEB
- 1046 turbulent flux components) versus the net radiation R_{net} for dry, wet, and frozen soils observed
- 1047 at Columbia River Gorge, OR during WFIP 2 Project during year days 176–487 (24 June 2016-
- 1048 01 May 2017).
- 1049



1051 **Fig. 9.** Plots of the sum of the sensible and latent heat fluxes $H_S + H_L$ versus the net radiation 1052 R_{net} separately for (*a*) dry, (*b*) wet, and (*c*) frozen (snow covered) soils based on daily averaged 1053 fluxes and entire dataset averaging (81, 92, and 58-day averaging in each case respectively).

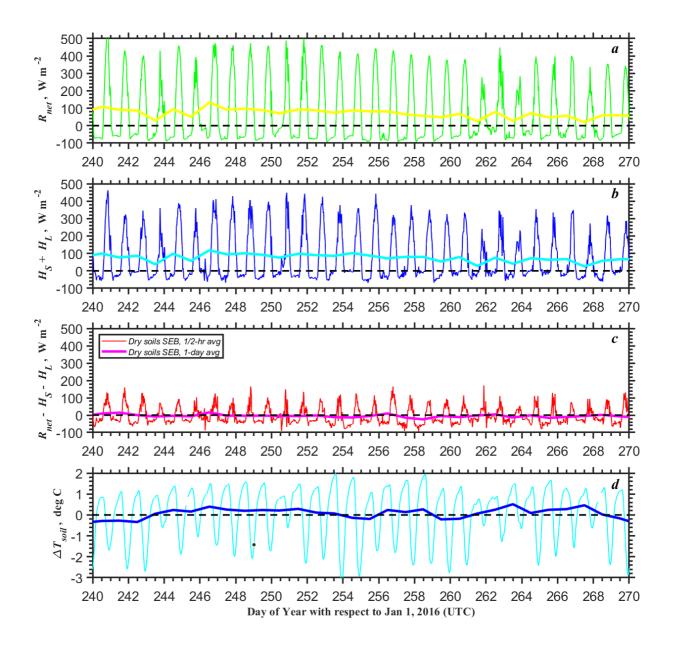
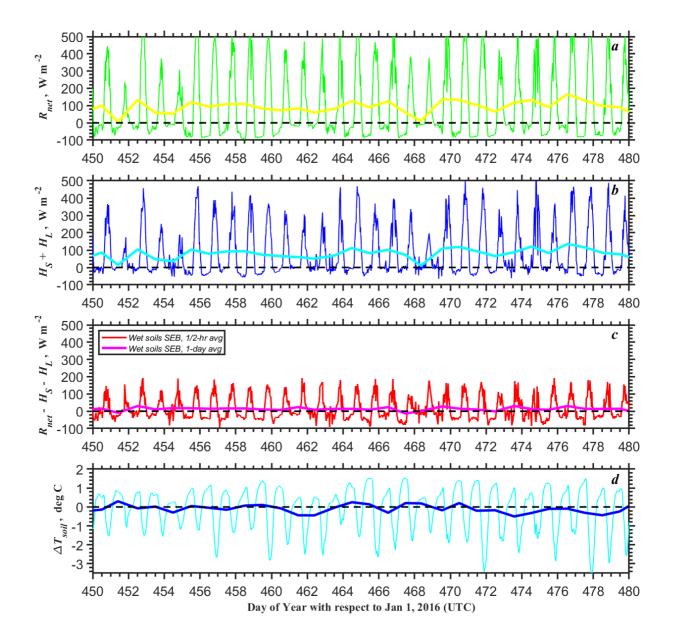


Fig. 10. One-month (30-day) time series of (*a*) the net radiation R_{net} , (*b*) the sum of the sensible and latent heat fluxes $H_S + H_L$, (*c*) the residual energy $R_{net} - H_S - H_L$ and (*d*) difference of the soil temperature between 10 cm and 5 cm levels for dry soils observed at the WFIP 2 Physics site PS01 during year days 240–270 (27 August-26 September 2016). The data are based on halfhour and daily averaging.



1061 Fig. 11. Same as Fig. 10 but for wet soils observed at the WFIP 2 Physics site PS01 during year

1062 days 450–480 (25 March-24 April 2017). The data are based on half-hour and daily averaging.

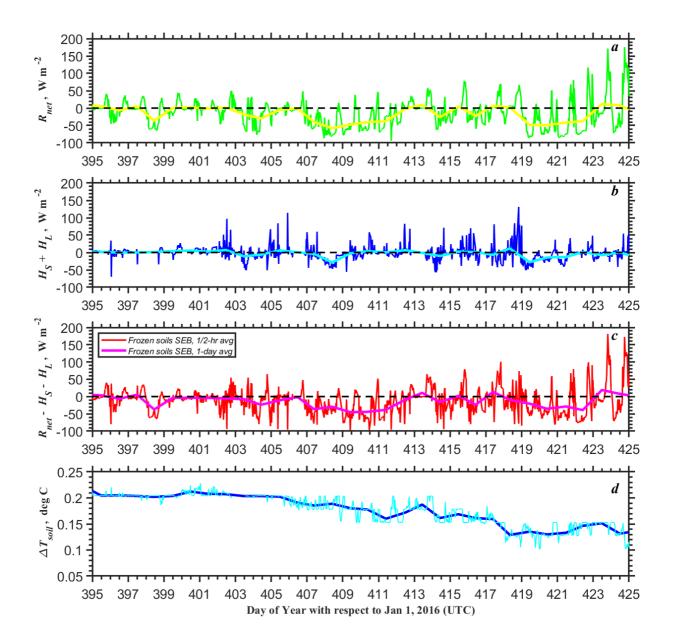


Fig. 12. Same as Fig. 10 but for frozen (snow covered) soils observed at the WFIP 2 Physics site
PS01 during year days 395–425 (29 January-28 February 2017). The data are based on half-hour
and daily averaging.

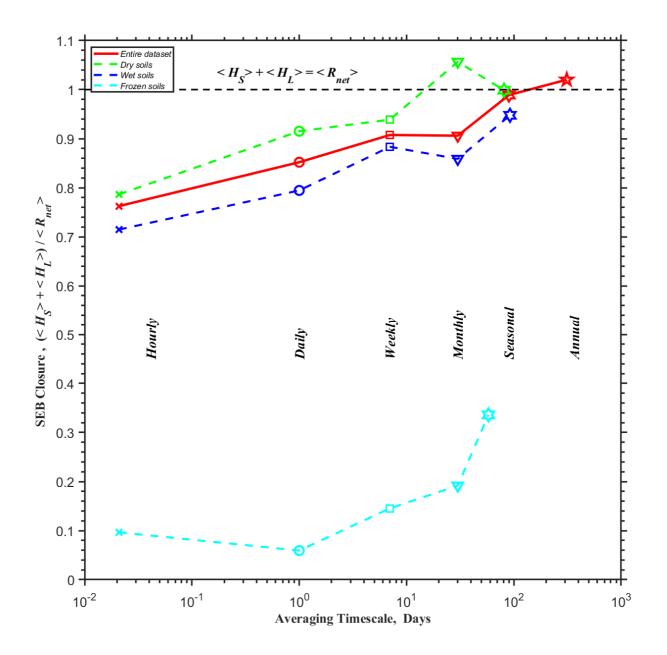


Fig. 13. The incomplete SEB closure equation (without the ground heat flux *G*) at different temporal scales: ratio of turbulent energy fluxes $H_S + H_L$ to net radiation R_{net} for the entire dataset (red solid line and red symbols) and separately for different soil types (dry, wet, and frozen) plotted versus averaging time based on the data collected at Columbia River Gorge, OR during WFIP 2 Project.