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Assessing the influence of DMLS production process factors on fatigue resistance of Maraging steel MS1 in the finite life domain using ANN prediction abilities

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(Article begins on next page)

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6 **Assessing the influence of DMLS**
7 **production process factors on fatigue**
8 **resistance of Maraging steel MS1 in the**
9 **finite life domain using ANN prediction**
10 **abilities**

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17 **Abstract**

18 Analysis-of-variance (ANOVA) is a standard statistic method for assessment of the influ-
19 ence of various factors on fatigue resistance in the finite life domain. However, the previous
20 research has shown that this method was not capable to determine with sufficient
21 confidence if the build orientation, the thickness of allowance for machining, and the
22 position in the production chamber affect fatigue resistance of Maraging steel MS1
23 products made by direct metal laser sintering (DMLS) technology. To contribute to a better
24 understanding of the subject, the results of fatigue test experiments were used for training
25 four types of artificial neural networks (ANN) for fatigue resistance assessment in the finite
26 life domain. Each ANN had different structure of inputs, which corresponded to a different
27 combination of the factors of DMLS production process. The differences between the
28 predictive abilities of the ANN were attributed to influences of the respective factors on
29 the fatigue resistance of the material in the finite life domain. The approach was verified
30 by the agreement with the conclusive results of ANOVA analyses. Furthermore, in the
31 cases when ANOVA does not lead to a clear result, the analyses of the predictive ability of
32 the ANNs strongly suggest that build orientation and thickness of allowance do not
33 influence. Conversely, the position of a part in production chamber does affect the fatigue
34 resistance in the finite life domain of Maraging steel MS1 produced by DMLS technology.

1 Keywords

2 Additive manufacturing, DMLS, Fatigue behaviour, Artificial neural networks

3 Introduction

4 The key advantage of additive manufacturing (AM) technologies for mechanical designers
5 is their ability to manufacture functionally graded parts¹ and products with internal open
6 spaces², which enables topology optimization of product shape³ and, consequently, design
7 of lightweight components⁴ and products with shape-integrated functionality⁵. Direct
8 Metal Laser Sintering (DMLS), as the AM technology capable of manufacturing metal
9 products, is increasingly used to implement the advantages of AM in automotive and
10 aerospace industry. Mechanical components and structures in these applications are
11 subjected to dynamic loads and fatigue, which often represents a critical aspect in design
12 of these products. This problem may be addressed by improving product design⁶ and by
13 better understanding of fatigue resistance of materials produced by DMLS⁷.

14 The AM principle of layerwise building from melted powder particles creates more
15 inhomogeneities in the microstructure of DMLS products than it is the case with traditional
16 technologies⁸. The fundamental importance of the inhomogeneities for mechanical
17 properties of materials was a motivation for concern and extensive studies of materials
18 produced by DMLS. The majority of the research was focused on numerical⁹ and
19 experimental studies of the influence of the DMLS process parameters¹⁰, as well as the
20 post-processing procedures¹¹, to static tensile strength and surface quality¹² of AM
21 products. Few papers deal with fatigue behaviour of AM materials, and these are mainly
22 focused to light metals, dealing with influence of microstructure¹³ and heat treatment¹⁴ on
23 fatigue resistance of aluminium alloys, as well as with general fatigue behaviour¹⁵, high-
24 cycle fatigue¹⁶, influence of build orientation¹⁷ and notch effects¹⁸ on fatigue resistance of
25 titanium alloys.

26 For these reasons, the authors of this paper in recent years turned their attention to
27 the fatigue behaviour of steel materials produced by DMLS. Within the course of the
28 Horizon 2020 project “Advanced design rules for optimal dynamic properties of additive
29 manufacturing products (A_MADAM)”¹⁹ they carried out a systematic experimental
30 campaign with the aim to determine which production conditions and post-processing
31 procedures have substantial influence on fatigue resistance of maraging steel MS1^{20,21} (in
32 further text abbreviated just as MS1) and the stainless steel PH1²². A comparison of the
33 results of fatigue tests obtained for the two steel materials is presented in the literature²³.
34 The experimental campaign was devised using the Design-of-Experiment approach and the
35 results were analysed using the Analysis-of-Variance (ANOVA) methodology²⁴. The
36 results of the research established the influence of post-processing procedures and their
37 order on the fatigue resistance of the MS1 produced by DMLS²¹, as well as the influence
38 of build orientation, post-processing procedures and their order on the fatigue resistance of
39 the stainless steel PH1 produced by DMLS²². However, the application of ANOVA
40 methodology to the experimental results could not confirm the influence of build
41 orientation and the thickness of allowance for machining on the fatigue resistance of MS1
42 produced by DMLS²¹. While the result is yet to be published and discussed, the ANOVA
43 analysis was also not able to confirm the influence of position of a sample in production

1 chamber with respect to inert gas flow on the fatigue resistance of the MS1 produced by
2 DMLS. In principle, the ANOVA methodology may only confirm the existence of
3 influence of certain factors to the observed data. On the other hand, the inability of the
4 ANOVA method to state such influence does not necessarily mean that it does not exist,
5 but that further studies may be needed and may perhaps confirm this effect. However,
6 considering the high costs of DMLS technology and long duration of the fatigue tests, other
7 methods for studying the already available experimental data are worthy of research.

8 While ANOVA may be nonlinear across factor levels, it is linear in parameters, and
9 it is considered to be a special case of linear regression²⁵. On the other hand, fatigue
10 resistance is a highly nonlinear phenomenon, which is confirmed to be influenced by
11 several complex factors such as material microstructure and surface quality²⁶, which, in the
12 case of DMLS technology, depend on multitude of production conditions and post-
13 processing procedures. The complexity of the factors and their multi-level interactions
14 motivated the authors of this paper to try to use artificial neural networks (abbreviated as
15 ANNs, and ANN in singular) to better understand the influence of individual factors on the
16 fatigue resistance in the finite life domain of MS1 produced by DMLS.

17 ANNs are machine learning computing systems capable of developing complex
18 relationships between input and output quantities, open to handling different types of
19 inputs, such as continuous, discrete and fuzzy variables. Their ability to grasp complex
20 dependencies between the inputs and outputs was already successfully used for studies of
21 dimensional stability²⁷, thermo-mechanical internal stresses²⁸ and mechanical properties²⁹
22 of AM products. The aspects of production processes such as scanning strategies³⁰ and
23 material consumption³¹ were also subjects of ANN-based investigations. ANNs were also
24 used for prediction of fatigue life of composite materials³², but despite the complexity of
25 fatigue and the DMLS technology, the application of ANNs for studies of fatigue behaviour
26 of DMLS products started only recently. The initial step in that direction was a study of
27 high-cycle fatigue of stainless steel³³ that used ANNs trained on datasets describing
28 production process parameters and post-processing procedures. The obtained results
29 motivated further research of application of ANNs and other machine learning methods³⁴,
30 including propositions of a two-phases methodology for in-situ prediction of fatigue life³⁵.
31 This study gave way to a potential roadmap to establish a data-driven evaluation platform
32 that would use a large number of experimental data, arising from tests on miniature
33 specimens³⁶, in order to reduce production costs. A recent study³⁷ has shown that ANNs
34 trained by support vector machine (SVM) method were able to achieve coefficients of
35 determination between the predicted and experimental fatigue lives of Ti-6Al-4V alloy as
36 high as 0.99.

37 The research presented in this paper *does not* use ANNs to provide superior
38 predictions of fatigue life of materials produced by DMLS in comparison with standard
39 methods, as it was the case with the research efforts presented above³²⁻³⁷. Instead, it uses
40 ANNs only as a complementary tool, which enables better understanding of the influence
41 of production factors on the fatigue resistance in finite life domain that standard methods
42 cannot clearly resolve. It is important to notice that the production factors, whose influence
43 on the fatigue resistance in finite life domain is the subject of the study, are the *inputs* of
44 the used ANNs, and *not their outputs*, as it is the case in majority of the studies that use
45 ANNs. This fact reflects on the methodology used in the paper, since subject of the study

1 are the differences between the predictive abilities of different ANNs and not the predictive
2 abilities of individual ANNs. The authors believe that the approach, which may be extended
3 to other applications, is a useful novelty, as they are not aware of any similar study in the
4 existing scientific literature.

5 Experiments

6 The experimental data used in this study represent a part of the results of the experimental
7 campaign performed within the A_MADAM¹⁹ project. The project, among the other
8 activities, comprises a systematic study of the fatigue behaviour of AM steels (Maraging
9 steel MS1, Stainless steel PH1 and Maraging stainless steel CX). The part of the results
10 that is published is briefly mentioned in the introduction, and the subject of the study in
11 this paper concerns the results of fatigue testing of samples made from MS1 using DMLS
12 technology. Maraging steel MS1 is a tool steel especially designed for AM with chemical
13 composition that corresponds to DIN 1.2709 or ASTM 18Ni300 steel. The details of the
14 chemical composition and the relevant mechanical properties of the material are given in
15 the references^{20,21}. After AM production, the MS1 parts are subjected to heat treatment by
16 simple thermal age-hardening that leads to excellent hardness and strength, which makes
17 MS1 an optimal choice for tooling applications. The intensive dynamic loads to which tools
18 are exposed in exploitation raise interest for studies of fatigue behaviour of MS1.

19 Fatigue testing was performed according to the ISO 1143 standard³⁸, which
20 specifies the experimental method for fatigue testing of metallic materials using bending
21 of rotating bars. The specifications describe the accuracy of the testing apparatus, the
22 testing procedure and presentation of the fatigue testing results. The standard, as well as
23 the references^{20,23}, describe also in details the shape, dimensions and preparation of the
24 samples, which consist of two mounting heads and a gage between them. During a test, a
25 sample rotates under a constant bending moment over the gage. As a consequence, the
26 sample undergoes cyclic symmetric loading ($R = -1$, zero mean stress) with frequency
27 equal to the rotation speed, and the loading conditions generate fatigue load at the sample
28 gage. Test is carried out until the sample breaks (“failure”) or until a pre-determined
29 number of loading cycles is achieved without failure of the sample (“run-out”). The run-
30 out criterion in this research was set to be 10^7 cycles without failure, which is usual for
31 fatigue testing of steels²⁰. The experimental data that describe a test are the maximal
32 bending stress at the sample gage (denoted as S), and, in the case of failure, the number of
33 cycles until the break of the sample (denoted as N). The other details of the implementation
34 of the testing procedure (such as description of the control of dimensions and surface
35 quality of the samples, the machine and the loading conditions used for testing) may be
36 found in the references^{20,21}.

37 According to the experimental plan used in the project, the samples were divided
38 into 16 sets. The samples of each individual set were manufactured under the same
39 production conditions and, after the production, treated by the same post-processing
40 procedures. The differences between production conditions (build orientation and position
41 of the samples in production chamber) and post-processing procedures (the order and
42 application of heat treatment and surface treatment procedures) enabled study of those
43 factors on the fatigue behaviour of the MS1 manufactured by DMLS. The details of the
44 manufacturing and post-processing procedures used for production of the samples (such as

1 production machine and production parameter settings) are also presented in
 2 references^{20,21}.

3 The production conditions and post-processing procedures for each of the sample
 4 sets are presented in the Table 1 that uses the coded conventions defined in the A_MADAM
 5 project. The first two columns of the Table 1 contain the numeric and alphanumeric codes
 6 of the sets, and the third column represents the number of the samples in the respective
 7 sample set. According to the coding system used in the project and this paper, each sample
 8 set has a unique short (numeric) and long (alphanumeric) code, where the numeric code is
 9 useful for simple referencing of a sample set, while the alphanumeric code is useful because
 10 it describes the manufacturing conditions and post-processing procedures used for each of
 11 the sets.

Numeric code	Alphanumeric code	Size	Production		Post-process	
			Orient.	Pos. (x)	Heat (Yes)	Machining (mm allowance)
1	Vx.STM	10				0.5
2	Hx.STM	10	—			0.5
3	Sx.STM	10	/			0.5
4	Vx.ST3	15	—			3
5	Hx.ST3	10	/			3
6	Sx.ST3	10				3
7	Vx.ST1	10				1
8	Vx.ST2	10				2
9	Vx.ST4	10				4
10	Vx.SNN	15			No	No
11	Vx.SMN	10			No	0.5
12	Vx.STN	15				No
13	Vx.MSN	15			No	0.5
14	VU.TMS	15		U		0.5
15	VM.TMS	15		M		0.5
16	VD.TMS	15		D		0.5

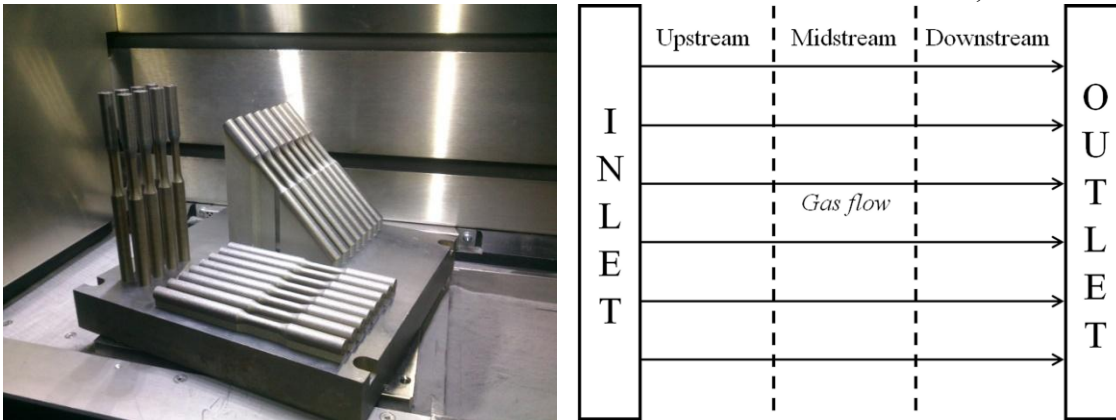
12 **Table 1: Sample sets that were subject of the standard fatigue tests**

13 The alphanumeric code consists of five symbols divided in two groups separated
 14 by a dot. The first group of the symbols represents production conditions, and the second
 15 group of the symbols represent the post-processing conditions.

16 The sample sets were manufactured under the production conditions described in
 17 the fourth and the fifth columns of the Table 1:

- 18 – orientation of the longitudinal axis of the samples during manufacturing process
 19 (building orientation), described in the fourth column of the Table 1, which was
 20 either vertical (represented by “|”), horizontal (represented by “—”) or made an
 21 angle of 45⁰ with the horizontal (represented by “/”) (Figure 1 left); the build
 22 orientation is coded by the first symbol of the alphanumeric code by letter “V” for

1 vertical, letter “H” for horizontal and letter “S” for slanted orientation of the sample
 2 axis;
 3 – position of the samples in the production chamber with respect to the inert gas flow
 4 (used to remove the burnt particles created during the laser sintering process) which
 5 may be upstream, midstream, downstream (Figure 1 right) or undefined; due to
 6 their mass, the inert gas flow cannot remove all the burnt particles from the
 7 production chamber. Since the burnt particles may be incorporated into a
 8 manufactured sample and act as material defects and sources of initial cracks, the
 9 samples manufactured in downstream positions may have lower fatigue resistance.
 10 The position of the samples of a set in the production chamber is coded by the
 11 second symbol of the alphanumeric code, which may be “U” for the upstream
 12 positions, “M” for the midstream positions, “D” for downstream positions and “x”
 13 for undefined positions; the undefined position means that the samples of the set
 14 were manufactured in positions with different categories (as it usually occurs with
 15 products in industry), or that different parts of samples were placed in different
 16 zones with respect to the inert gas flow (e.g. samples with horizontal axis oriented
 17 along the gas stream); the positions of the samples are indicated in the Table 1,
 18 where the code “x” was considered as default value and was omitted;



19

20 **Figure 1: Build orientations of the samples (left)**
 21 **and the definition of the classes of positions within the production chamber (right)**

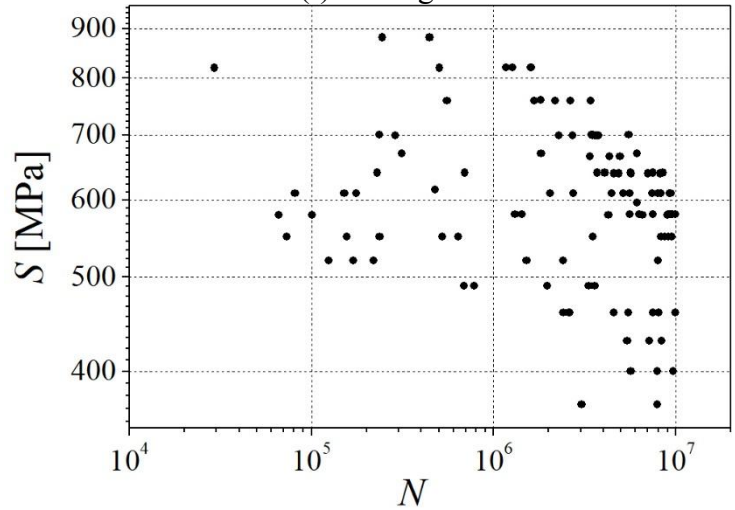
22 Due to the internal inhomogeneities, the influence of the post-processing procedures (and
 23 in particular of their order) on the fatigue response of steel manufactured by DMLS may
 24 be different than it is the case with steels manufactured by traditional technologies^{21,22}. The
 25 post-processing procedures consisted of combinations of shot-peening, machining and heat
 26 treatment in variable orders. All the sample sets underwent shot-peening using stainless
 27 steel spherical shots with 400 µm diameter under a flow pressure of 5 bar. Table 1 also
 28 shows if a sample set underwent heat treatment and machining to improve surface quality.
 29 The heat treatment was carried out according to the specifications by the supplier of the
 30 powder material, as described in references^{20,21}. The application of a certain post-
 31 processing procedure and their order are described by the second part of the alphanumeric
 32 code of the set, as the ending triplet of symbols represents the actual sequence of the three
 33 post-processing stages by the respective codes:

- 34 – “S” for micro-shot peening
- 35 – “T” for heat treatment;

1 – “M”, “1”, “2”, “3” and “4” represent machining, where the number indicates the
2 thickness of the layer of material removed during machining (in millimetres); such
3 samples are produced with the respective allowance and reached the dimensions
4 specified by the ISO 1143 after removal of the material; the code “M” stands for
5 allowance of 0.5 mm;
6 – “N” specifies that a post-processing step is not performed;
7 The post-processing procedures (without any indication of the order, unlike in the
8 alphanumeric codes) are listed in the sixth (heat-treatment) and seventh (machining)
9 column of Table 1. To increase the clarity of the table data, the value “Yes” was considered
10 as the default value of the sixth column and was omitted.

11 **Models and results**

12 This chapter introduces the methods for prediction of fatigue resistance in the finite life
13 domain used in the study presented in this paper. The basic aim of a method for prediction
14 of fatigue resistance in the finite life domain is to predict the number of cycles to fatigue N
15 based on a given bending stress S and other inputs. The difference between different
16 prediction methods are those other inputs and mathematical models that utilize the input
17 data to calculate the predictions. The predictive abilities of different methods are compared
18 by calculation of statistical indicators that measure the difference between the predictions
19 of the respective models and the experimental data, where better performance of a method
20 is indicated by lower mean absolute error (MAE), lower mean absolute percentage error
21 (MAPE), higher correlation coefficient (r) and higher coefficient of determination (R^2).



22 **Figure 2: Experimental results of fatigue testing in the finite life domain**

24 The analysis of the fatigue behaviour in the finite life domain presented in this study
25 considers only the samples that underwent failure during the tests described in the previous
26 chapter. During the experiments, 112 samples (out of 195 tested from all 16 sets)
27 underwent failure, thus providing the data about both the number of cycles to failure N
28 and the maximal bending stress S . Those experimental results are presented in the Figure 2.
29 The data about the maximal bending stress S of the remaining 83 samples (“run-outs”) are
30 relevant for the fatigue behaviour in infinite life domain.

1 The obtained results were subject of the studies presented in the literature^{20,21},
 2 which were based on prediction methods specified by the ISO 12107³⁹ standard, both in
 3 the finite and infinite life domain. In the research presented in this paper, the authors
 4 investigated the potentials of application of ANN to the same experimental results. As
 5 applicability of the ANN rises with the number of input data, the authors decided to focus
 6 on the fatigue resistance in the finite life domain. Since the problems met by previous
 7 research^{20,21} motivated the study presented in this paper, some of its results will be briefly
 8 presented here to serve as a reference point for the results of the research presented here.

9 Standard methods

10 According to International Standard ISO 12107³⁹, the fatigue resistance of a material in the
 11 finite life domain may be represented by the S-N curve (or the S-N relationship), which
 12 uses a linear mathematical model of the form

$$13 \quad x = b - ay \quad (1)$$

14 where $x = \log(N)$ and $y = S$ or $y = \log(S)$, whichever gives better plot linearity, and a and b
 15 are constants obtained by fitting of the experimental results; in the equation (1), \log
 16 represents logarithm for base ten.

17 The simplest (“brute-force”) approach to application of the ISO 12107 standard
 18 method would be to represent all the obtained experimental results using *a common S-N*
 19 *curve*. By performing the linear regression analysis of dependence of $x = \log(N)$ on
 20 $y = \log(S)$ using all the experimental results presented in the Figure 2, one obtains the
 21 constants $b = 11.69$ and $a = 1.92$, with MAE = 0.474, MAPE = 7.986%, $r = 0.244$ and
 22 $R^2 = 0.059$. The low value of correlation coefficient r quantifies the visible scattering of
 23 the experimental results that may be observed in Figure 2, and the low value of coefficient
 24 of determination R^2 indicates that only around 6% of the variation of the dependent variable
 25 ($\log N$) in this set may be explained by the variation of the independent variable ($\log S$).
 26 Therefore, the method that would predict number of cycles to failure N in dependence *only*
 27 on the stress amplitude S using the model (1) and the data presented in Figure 2 would have
 28 poor performance, and it is not used in practice. The poor performance of the “brute-force”
 29 approach indicates that the variations of the observed results are not random, and that,
 30 besides the bending stress S , the production conditions and post-processing procedures
 31 influence fatigue behaviour of the samples in finite life domain.

Set ID	b	a	Set ID	b	a
1	23.564	6.043	9	21.664	5.323
2	24.311	6.237	10	38.988	12.177
3	20.712	4.981	11	30.201	9.065
4	29.868	8.282	12	42.087	13.444
5	30.174	8.335	13	28.688	8.261
6	27.465	7.361	14	42.174	13.029
7	18.970	4.424	15	56.619	18.079
8	20.932	5.056	16	44.619	13.635

32 **Table 2: Coefficients of determined S-N curves**

33 For these reasons, the usual approach to application of the ISO 12107 standard
 34 model consists in determination of *a set of S-N curves*, where each of the S-N curves

1 correspond to a specific combination of production conditions and post-processing
 2 conditions. In this case, it led to the determination of a S-N curve for each of sample sets
 3 listed in the Table 1. The S-N curves for each of the sixteen sample sets were determined
 4 according to specifications of ISO 12107, considering 4-8 stress levels for a set, with two
 5 tests at each level. The stress levels were selected to cover a broad range from 10^4 - 10^5
 6 lifecycles to obtaining runouts for each of the sets. The constants a and b , determined by
 7 linear regression of experimental results for each of the sets are given in the Table 2, and
 8 the statistical indicators of the difference between the predictions of the model (1) using
 9 experimental results for individual sets are given in the Table 3. The obtained results show
 10 strong correlation between the measured and predicted fatigue lives, as well as high
 11 explanatory power of the method. In other words, a model that consists of 16 equations of
 12 type (1) provides a successful prediction of the fatigue life of MS1 produced by DMLS in
 13 the finite life domain.

Set ID	R ²	r	MAE	MAPE
1	0.991	0.995	0.016	0.242%
2	0.985	0.992	0.018	0.261%
3	0.995	0.998	0.011	0.16%
4	0.827	0.91	0.159	2.46%
5	0.899	0.948	0.119	1.837%
6	0.845	0.919	0.173	2.777%
7	0.785	0.886	0.097	1.456%
8	0.951	0.975	0.043	0.64%

Set ID	R ²	r	MAE	MAPE
9	0.942	0.971	0.057	0.872%
10	0.845	0.919	0.276	4.547%
11	0.880	0.938	0.212	3.377%
12	0.673	0.821	0.271	4.997%
13	0.946	0.973	0.128	2.079%
14	0.911	0.954	0.153	2.682%
15	0.975	0.987	0.075	1.154%
16	0.765	0.875	0.182	2.938%

14 **Table 3: Results of statistical analysis**

15 In order to assess the influence of individual factors (production conditions or post-
 16 processing conditions) on the fatigue behaviour, the differences between the experimental
 17 results and the predictions of S-N curves presented by the Table 2 were subjected to the
 18 ANOVA^{20,21}. In essence, the ANOVA is able to reject the so-called null hypothesis, which
 19 means that differences between fatigue resistances observed for different levels of a factor
 20 are occurring randomly. One way to quantify validity of the null hypothesis is calculation
 21 of the quantity called “ p -value” which, in the simplest case, represents a probability that
 22 the Fisher’s ratio (ratio between the variations of fatigue strength between sets and
 23 variations of the fatigue strength within sets) due to random variations is higher than the
 24 value observed by analysis of experimental data. If p is smaller than the significance value
 25 α (probability of rejecting the null-hypothesis when it is true, usually set as 0.05), then the
 26 null-hypothesis may be rejected. The rejection of the null hypothesis indicates that the
 27 different levels of the factor are causing the observed differences between fatigue
 28 resistances, i.e., that the factor influences the fatigue behaviour. However, if p is higher
 29 than the significance value α , ANOVA is not able to reject the null hypothesis, and the
 30 influence of the factor on the fatigue behaviour in finite life domain *may not be assessed*.
 31 As mentioned in the introduction, the application of the ANOVA methodology to the data
 32 presented in Figure 2 and Table 2 was able to confirm the influence of the post-processing
 33 procedures ($p \approx 3 \cdot 10^{-5}$ for heat treatment, $p \approx 1 \cdot 10^{-5}$ for machining and $p \approx 1 \cdot 10^{-6}$ for
 34 interaction of the two factors in two-factors analysis of results of testing sets 1-13) and
 35 their order ($p \approx 0.038$ for the order of shot-peening and machining for one-factor analysis

1 of testing sets 11 and 13) on the fatigue behaviour of MS1. However, it was not able to
2 assess the influences of the build orientation ($p \approx 0.65$ and $p \approx 0.28$ for interaction with
3 thickness for allowance for two-factor analysis of results of testing sets 1-9), the thickness
4 of allowance for machining ($p \approx 0.05$ for two-factor analysis of results of testing sets 1-9,
5 and $p \approx 0.19$ for one-factor analysis of testing sets 1-9), and the position of a sample in the
6 production chamber ($p \approx 0.51$ for one-factor analysis of testing sets 14-16). The details of
7 the ANOVA methodology, the obtained results and interpretation are given in the
8 references^{20,21}.

9 ANN models

10 As explained in the introduction, ANNs represent a possible choice for description of a
11 such a complex phenomenon as fatigue. A good ANN for description of fatigue life should
12 predict the number of cycles to failure N with sufficient prediction ability, using as inputs
13 the bending stress S and the data about the relevant production conditions and post-
14 processing procedures.

15 The approach presented in this paper uses ANNs to assess the influence of the
16 factors of interest (production conditions and post-processing procedure) to fatigue
17 resistance of MS1 in finite life domain. The main idea of the approach is based on the fact
18 that predictive abilities of different ANNs depend on their design, including selection of
19 their inputs. Therefore, if a factor is relevant for fatigue behaviour of studied material, then
20 the ANNs that have the factor as one of the inputs will have higher predictive abilities of
21 fatigue life than the ANNs that do not consider that factor. Vice versa, if a factor is not
22 relevant for fatigue behaviour of the studied material, then the predictive abilities of ANNs
23 that have that factor as one of the inputs will be similar to the predictive abilities of the
24 ANNs that do not consider that factor.

25 With the described aim were designed four feedforward ANNs. The output of the
26 ANNs described the number of cycles to failure N using $x = \log(N)$. The differences
27 between them were different structures of the input layers (i.e., the input data) and the
28 consequential structures of the hidden layers. The concept of the design of the structures
29 of the input layers was governed by the following requests:

- 30 D.1. The inputs of the ANNs comprise numeric value of stress amplitude S and
31 descriptors of some of the factors of interest;
- 32 D.2. The complexity of structures of input layers is gradually increasing, so that the
33 input structure of a more complex ANN has the input structures of the less complex
34 ANNs as subsets;
- 35 D.3. The difference between the ANNs with subsequent level of complexity comprises
36 description of only one of the factors of interest, so the difference between
37 predictive abilities of the ANNs may be attributed to the influence of that factor;
- 38 D.4. Two of the ANNs with lower complexities (hereinafter referred to as ANN#1 and
39 ANN#2) have as inputs the factors for which ANOVA has confirmed their
40 influence on the fatigue resistance of the samples. The difference between the
41 prediction abilities of ANN#1 and ANN#2 is used to check the validity of the
42 approach used in this study.
- 43 D.5. The other two ANNs, with higher complexities (hereinafter referred to as ANN#3
44 and ANN#4), apart from the abovementioned inputs, have as additional inputs the
45 factors which effect was not possible to determine using ANOVA. The differences

1 between the prediction abilities of the two ANNs with lower complexity and the
2 two ANNs with higher complexity are the potential sources of information for
3 assessments that ANOVA was not able to provide.

4 With the described concept, the input structures of the ANNs are designed as follows:

- 5 1) ANN#1 predicts fatigue life of a sample in the finite life domain on the basis of
6 *applied load and post-processing methods used after sample production*. Since all
7 samples for study of fatigue behaviour are post-processed by shot peening, two
8 binary variables are introduced in order to describe the application (or absence) of
9 the corresponding type of post-processing method (one binary variable to describe
10 the application of machining, and the other to describe the application of heat
11 treatment). The input datasets of ANN#1 consist of these two binary variables and
12 experimentally determined $y = \log(S)$. Therefore, the neural network ANN#1 has 3
13 input nodes.
- 14 2) ANN#2 predicts fatigue life of a sample in the finite life domain on the basis of the
15 applied load, performed post-processing methods *and the order of their*
16 *application*. To prepare the qualitative data for the ANN model, all the studied
17 combinations of post-processing methods were first represented by one categorical
18 variable, and then, using dummy encoding, that categorical variable was
19 transformed into five binary variables which were used as neural network inputs
20 along with the experimentally determined $y = \log(S)$. Therefore, the neural network
21 ANN#2 has 6 input nodes.
- 22 3) ANN#3 assumes existence of the influence of sample position in the production
23 chamber with respect to gas flow on fatigue behaviour, and that, therefore, this
24 factor should be used for prediction of fatigue life in the finite life domain. For
25 estimation of the fatigue life of a sample, *besides the position of the sample*, this
26 ANN uses data about the applied load, the performed post-processing methods and
27 the order of their application. To prepare the qualitative data for this ANN, all
28 combinations of post-processing methods and all categories of sample positions
29 were first represented by two categorical variables, and then, using dummy
30 encoding, these variables were transformed into eight binary variables, which were,
31 along with experimentally determined $y = \log(S)$, used as neural network inputs.
32 Therefore, the neural network ANN#3 has 9 input nodes.
- 33 4) ANN#4 predicts fatigue life of a sample in the finite life domain on the basis of
34 *applied load, performed post-processing methods and all the known production*
35 *conditions*, therefore build orientation, sample position in the chamber and
36 thickness of allowance for manufacturing. In the process of data preparation for
37 neural network modelling, all combinations of post-processing methods, all
38 categories of sample positions and all build orientations were represented by three
39 categorical variables, which were further transformed by dummy encoding into ten
40 binary variables. These binary variables, along with the value of allowance for
41 manufacturing and the experimentally determined $y = \log(S)$ were used as ANN#4
42 input data. Thus, the ANN#4 has 12 input nodes.

43 It may be noted that the difference between the predictions of ANN#1 and ANN#2 may be
44 attributed to the possible influence of the order of the post-processing steps. The difference
45 between the predictions of ANN#2 and ANN#3 may be related to the possible effect of the
46 position of a sample in the production chamber. Finally, the difference between the

1 predictions of ANN#3 and ANN#4 may be related to the possible influences of build
2 orientation and thickness of allowance for machining.

3 The concept of the structure of the ANNs' inputs presented above restricted their
4 design, and the structure of ANNs described above is not the only solution that satisfies the
5 requests D.1–D.5, but there are no many choices as it may seem at the first glance. The
6 structures of the input of ANN#1 and ANN#2 are essentially completely determined by
7 these requests because the description of the order of the post-processing procedures
8 (described by ANN#2) may not be introduced before introduction of the post-processing
9 procedures (described by ANN#1). Therefore, the only input factors which order may be
10 changed while simultaneously satisfying requests D.4 and D.5 are the descriptions of
11 position of a sample in production chamber with respect to inert gas flow, build orientation
12 and thickness of allowance for machining. However, the results obtaining by variation of
13 the order of inclusion of production process factors into input layer structure of ANNs led
14 to the same conclusions about the influence of the factors as the ANNs described above,
15 and the obtained numerical results will not be presented in this paper.

16 The structure of an ANN depends also on the amount of data that may be used for
17 its training and verification. The limited amount of the input data (112 points presented in
18 the Figure 2) brings the danger of “overtraining” of an ANN, and, therefore, attention
19 should be dedicated to the process of design of the ANNs. The number of the hidden layers
20 of networks and the activation functions of the ANNs were determined through the process
21 of hyperparameter tuning⁴⁰, which enables definition of the architecture of the ANN with
22 the desired level of generalization. The hyperparameter tuning method balances between
23 the opposing requests for better approximation of complex relationships between the inputs
24 and outputs (which requires increase of the number of ANN nodes) and the reduction of
25 the risk of ANN overtraining (which requires decrease of the number of ANN nodes). This
26 maximizes the accuracy of predictions on unknown datasets, hence the datasets that are not
27 used in the process of the ANN training. During the neural network training process, Adam
28 optimization algorithm⁴¹ with learning rate $\alpha = 0.001$, exponential decay rate for first
29 moment estimates $\beta_1 = 0.9$, exponential decay rate for second moments estimates $\beta_2 =$
30 0.999 , and zero-value $\varepsilon = 10^{-8}$ was used to update the network parameters.

31 The ANNs were developed using the datasets that consisted of values $y = \log(S)$,
32 $x = \log(N)$, the data describing production conditions, and the data describing the applied
33 post-processing procedure for each of the samples that underwent failure during the fatigue
34 testing. A total of 92 datasets were used for network training, whereas 20 datasets (close to
35 20% of total) were used for validation of the developed neural networks. The collections
36 of datasets for training and validation were formed randomly, but with restriction that both
37 collections had to contain samples from all sets under the study. In addition, the process of
38 network training was repeated using different training and validation datasets to check the
39 stability of the obtained results.

ANN	ANN#1	ANN#2	ANN#3	ANN#4
Input	3	6	9	12
Hidden	5	5	5	8
Output	1	1	1	1

Table 4: Number of the neurons in the layers of the developed ANNs

1 The ANNs obtained by the described procedure had one hidden layer of neurons. The
 2 activation function of the neuron in the output layer is linear (also known as “identity”)
 3 function, whereas the activation functions of the neurons in the input and hidden layers are
 4 of the rectified linear unit (ReLU) type. The optimal number of nodes in the hidden layer
 5 is presented in the Table 4, along with the number of nodes in the input and output layers.

6 Results of prediction of fatigue life obtained by the developed ANN are compared
 7 to the experimentally obtained data. The validity of the models is estimated by statistical
 8 analyses of differences between the experimental and predicted results for all 112 datasets
 9 using the same statistic indicators as in the case of models based on the standard linear
 10 regression. Since ANN models are trained on 92 out of 112 datasets, the results of statistical
 11 analyses are also presented separately for training and validation datasets. The Table 5
 12 presents these results along the results of predictions obtained (for the same datasets), using
 13 the common S-N curve and the set of 16 S-N curves, as discussed in the previous section.

14 Analysis and discussion

15 By the concept of the study, the influence of the production conditions and post-processing
 16 procedures on the fatigue behaviour of MS1 produced by DMLS will be studied by
 17 comparison of the predictive abilities of different ANNs. Nevertheless, further comments
 18 will also be made about the comparison of the prediction abilities of the ANNs and methods
 19 based on ISO 12107 standard.

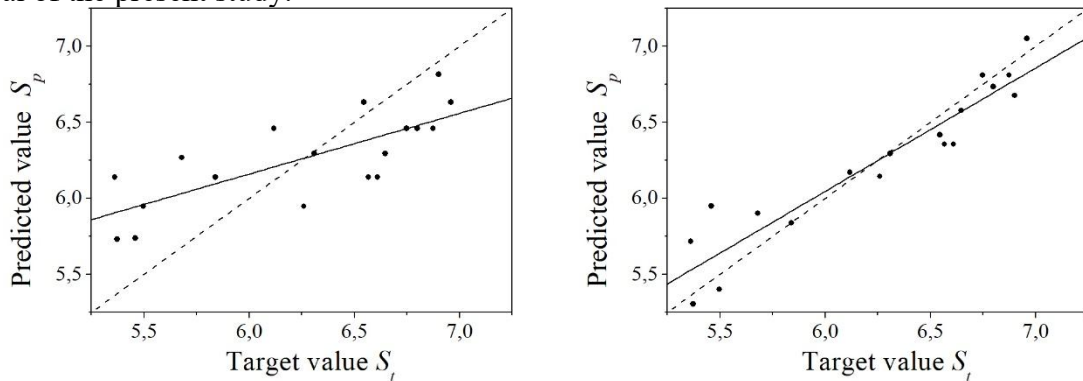
Indicator	Model	Common S-N	Set of S-N	ANN			
	Dataset			#1	#2	#3	#4
R ²	All data	0.06	0.90	0.68	0.83	0.89	0.90
	Training	0.07	0.91	0.70	0.83	0.89	0.91
	Validation	0.02	0.85	0.58	0.80	0.86	0.86
r	All	0.24	0.95	0.83	0.91	0.94	0.95
	Training	0.25	0.96	0.84	0.91	0.94	0.95
	Validation	0.19	0.93	0.77	0.90	0.93	0.93
MAE	All data	0.47	0.15	0.26	0.20	0.16	0.15
	Training	0.46	0.13	0.25	0.19	0.15	0.14
	Validation	0.52	0.20	0.31	0.24	0.19	0.20
MAPE	All data	7.99%	2.39%	4.28%	3.21%	2.55%	2.42%
	Training	7.79%	2.19%	4.07%	3.05%	2.42%	2.24%
	Validation	8.87%	3.29%	5.28%	3.97%	3.15%	3.24%

20 **Table 5: Comparison of predictive abilities of different models for fatigue behaviour prediction**

21 The comparison of the predictive abilities of the ANN#1 (which has the lowest
 22 prediction ability of all the ANNs) and the common S-N curve shows that adding
 23 explanatory variables describing the parameters of the post-processing procedure results in
 24 a profoundly higher explanatory power (more than tenfold increase of coefficient of
 25 determination R², e.g., from 0.06 to 0.68 for all data). Prediction accuracy is also
 26 significantly enhanced (more than three times higher correlation coefficient r, e.g., from
 27 0.24 to 0.83 for all data), which further confirms the established knowledge that the fatigue
 28 behaviour of DMLS products is strongly affected by the applied post-processing
 29 methods²¹. The introduction of description of the order of the post-processing further
 30 contributes to clear increase of the predictive ability of ANN#2 (R² increases from 0.68 to
 31 0.83 for all data, which means that the part of variation of the dependent variable that
 32 cannot be explained by the variations of input variables, 1-R², decreased almost twice,

1 from 0.32 to 0.17) in comparison with ANN#1. This outcome confirms that the order of
2 post-processing steps does influence the fatigue resistance of DMLS products²¹.

3 The agreement between the results obtained by comparison between the predictive
4 abilities of the common S-N curve, ANN#1 and ANN#2, on one side, and the results of
5 previous research²¹ on the other side, verifies the approach to the analysis of the influence
6 of the studied factors on the fatigue resistance developed in this paper. In other words, the
7 ANN approach confirms that the influence of a factor is significant when ANOVA leads
8 to the same conclusion. Such an outcome encourages further application of the ANN
9 approach to additional cases, when ANOVA was not able to establish the significance of
10 the studied factor (i.e.: was unable to reject the null hypothesis), which was the primary
11 goal of the present study.



12
13 **Figure 3: Experimental (target) values and results of prediction by ANN#2 (left) and ANN#3 (right)**
14 **for samples with defined positions with respect to inert gas flow**

15 The inclusion of the position of a sample in the production chamber with respect to
16 inert gas flow as additional explanatory variable increases the prediction ability of the
17 ANN. This conclusion is suggested by higher values of the coefficient of determination R^2
18 and correlation coefficients r for predictions of the ANN#3 network in comparison with
19 their values for ANN#2. The Table 5 shows that R^2 values increase from 0.80 to 0.86 in
20 validation dataset, and from 0.83 to 0.89 for the training dataset and all data. In other words,
21 the variation of the dependent variable that cannot be explained by variation of input
22 variables, $1-R^2$, is reduced by one third, from 0.17 to 0.11, by introduction of the position
23 of a sample in production chamber as input variable. The result is of particular interest and
24 worthy of further analysis, because it suggests that the position of the samples in production
25 chamber has influence on the fatigue resistance in the finite life domain of the MS1
26 produced by DMLS, which ANOVA was not able to prove.

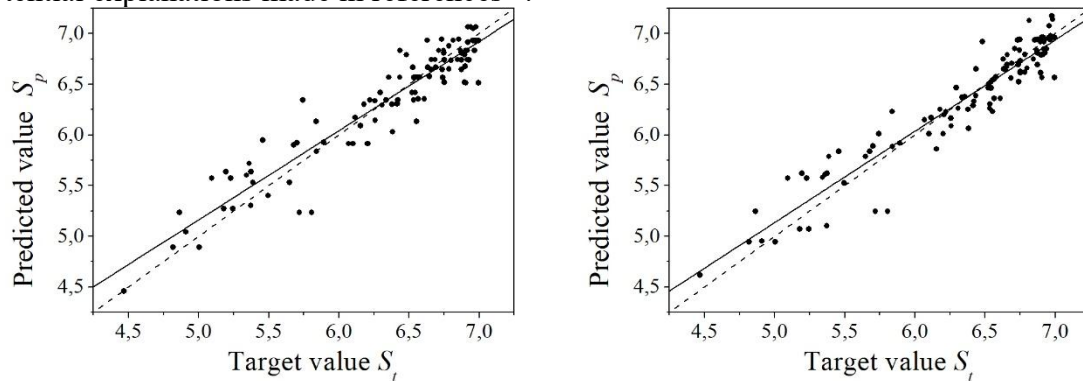
27 To further study the difference between the predictions of the ANN#2 and ANN#3
28 (and thus the influence of the position of a sample to its fatigue resistance), the authors
29 compared predictions of the two ANNs for a subset of the experimental data that consisted
30 only of the samples with defined positions, which underwent failure during fatigue testing.
31 As Table 1 shows, only 45 samples were manufactured with defined positions with respect
32 to the inert gas flow, and only 18 of them underwent failure during testing. The
33 experimental results and predictions of ANN#2 and ANN#3 for those 18 samples are
34 shown in Figure 3. The dotted lines indicate the target of the prediction (i.e.: equal values
35 for experimental results and predictions), whereas the full lines represent the actual
36 dependences between the predictions and the experimental results obtained by linear

1 regression. The statistical indicators of differences between the experimental results and
 2 predictions are given in the Table 6. Both the Figure 3 and Table 6 clearly show that the
 3 ANN#3 has much higher predictive ability than the ANN#2 for the samples with known
 4 position in production chamber, thus indicating that the position of a sample with respect
 5 to the inert gas flow influences its fatigue resistance in the finite life domain.

Model	R ²	r	MAE	MAPE
ANN#2	0.52	0.76	0.35	5.68
ANN#3	0.88	0.94	0.14	2.34

6 **Table 6: Comparison of prediction capabilities of ANN#2 and ANN#3**
 7 **for samples with defined positions with respect to inert gas flow**

8 Further analysis of the results in Table 5 clearly shows that the predictive ability of
 9 ANN#4 is not significantly better than predictive ability of ANN#3, because the coefficient
 10 of determination R² for training set is 0.86 for both ANNs, while it increases from 0.89 to
 11 0.91 and 0.90 for training dataset and all data, respectively. With more accurate
 12 calculations of the values, the increase of coefficient of determination from ANN#3 to
 13 ANN#4 is five times smaller (0.012) than the increase of the predictive ability from ANN#2
 14 to ANN#3 (0.058). This observation suggests that the build orientation and thicknesses of
 15 allowance for machining larger than 0.5 mm do not influence the fatigue resistance of MS1
 16 manufactured by DMLS, thus providing a quantitative support to similar claims and
 17 potential explanations made in references²¹.



18 **Figure 4: Experimental (target) values and results of prediction**
 19 **by ANN#3 (left) and the set of S-N curves (right)**
 20

21 The predictive ability of the ANNs may be compared also to the predictive ability
 22 of the set of S-N curves. Figure 4 presents a comparison between the experimental results
 23 (which serve as target values) and predictions by ANN#3 and the set of S-N curves. Like
 24 in Figure 3, the dotted lines indicate the target of the prediction (i.e.: equal values for
 25 experimental results and predictions), whereas the full lines represent the dependences
 26 between the predictions and experimental results obtained by linear regression. Both Figure
 27 3 and Table 5 indicate that the ANN#3 and the set of 16 S-N curves have similar prediction
 28 abilities.

29 The inability of the developed ANNs to have higher prediction ability than the set
 30 of S-N curves shows that the limited set of input data prevents development of an ANN
 31 with sufficiently complex structure to overcome predictive ability of the set of S-N curves.
 32 If considerably more input data were available, the hyperparameter tuning procedure could
 33 lead to ANNs with more hidden layers, which might have higher prediction abilities than

1 those of the ANNs studied in the paper. However, creation of new experimental data would
2 also lead to considerably higher costs for production and testing of additional samples.
3 Nevertheless, as explained in the introduction, the goal of the research presented in this
4 paper was not to develop an ANN that would be regarded as a superior prediction tool. The
5 subject here was to compare prediction abilities of *different ANNs* developed using *the*
6 *same datasets*, with the aim of understanding better the influence of certain production
7 conditions and post-processing procedures on the fatigue behaviour. This study introduces
8 *the use of ANNs as a complementary tool to assess the significance of potentially relevant*
9 *factors for fatigue behaviour.*

10 **Conclusion**

11 In this paper is presented a novel approach to assess the influence of production conditions
12 and post-processing procedures on the fatigue resistance of the MS1 in the finite life
13 domain. The approach aims at compensating for the lack of ANOVA methodology to
14 assess the influences in some cases. In particular, this study is related to the inability of
15 ANOVA to assess the influence of build orientation, of the thickness of allowance for
16 machining, and of the position in the production chamber, on the fatigue resistance of MS1
17 produced by DMLS technology.

18 With this aim, four different ANNs for prediction of the fatigue life of MS samples
19 in finite life domain were designed. The complexity of the input structure of the ANNs was
20 incremental: the predictions of the ANN#1 were based on the applied load and post-
21 processing procedures used after sample production; the predictions of the ANN#2 also
22 included the order of application of post-processing procedures; the predictions of the
23 ANN#3 further included the position of a sample in the production chamber; finally,
24 predictions by ANN#4 included build orientation and thickness of allowance for
25 manufacturing. The four ANNs were trained on 92 datasets and validated on 20 datasets.
26 The processes of training and validation were repeated to check for stability of the results.
27 The differences between the predictions of the ANNs and experimental data, quantified by
28 standard statistic descriptors, were used to measure the predictive abilities of the ANN.
29 Since the basic difference between the ANNs is the structure of their inputs, i.e., the
30 production conditions and post-processing conditions used to calculate their predictions,
31 the differences between the predictive abilities of the ANNs were attributed to the
32 significance of the influence of the respective DMLS production process factors.

33 The approach was verified by comparison of its results to the ANOVA results for
34 the cases where ANOVA gave well definite answers. Much higher values of coefficient of
35 determination R^2 and correlation coefficient r of the ANN#1 in comparison with a common
36 S-N curve indicate that post-processing procedures influence fatigue behaviour of MS1 in
37 finite life domain. Furthermore, considerably higher values of coefficient of determination
38 R^2 and correlation coefficient r of ANN#2 in comparison with ANN#1 shows that the order
39 of the post-processing procedures also influences the fatigue behaviour of MS1. Both
40 conclusions agree with the previously published results of the ANOVA, which verifies the
41 approach presented in the paper.

42 The most important result of application of the ANN in the presented research is
43 the assessment of the influence of the factors whose relevance for the fatigue response of
44 MS1 produced by DMLS cannot be determined by ANOVA. Higher values of coefficient

1 of determination R^2 and correlation coefficient r of ANN#3 in comparison with ANN#2
2 indicates that the position of a sample in the production chamber with respect to the inert
3 gas flow has some influence on fatigue behaviour of MS1 in finite life domain. On the
4 other hand, essentially the same values of coefficient of determination R^2 and correlation
5 coefficient r of ANN#4 in comparison with ANN#3 suggest that the build orientation and
6 thicknesses of allowance for machining higher than 0.5 mm do not influence fatigue
7 behaviour of MS1 in finite life domain.

8 The obtained results are of practical importance for reduction of high costs of
9 experimental studies of fatigue behaviour of MS1 produced by DMLS. Besides, they bring
10 useful directions for development of computational tools for prediction of fatigue life in
11 finite life domain of MS1 produced by DMLS.

12 It is also important that the approach presented in the paper may be applied to other
13 materials, and even generalized to many other cases when ANOVA does not give definite
14 answers concerning the influence of certain factors, even beyond the fields of materials
15 and engineering.

16 The drawback of the approach arises from the application of the ANNs as the
17 computational tool: the approach may establish the influence of a certain factor on the
18 output quantity, but it does not reveal the nature of that influence or the analytic relationship
19 between the factor and the output quantity. However, it is also the case with majority of
20 statistic methods, and with the ANOVA in particular.

21 Finally, while the approach presented in this paper uses ANNs an alternative way
22 to look at the experimental data already analysed by statistical methods, it still remains
23 limited to the information contained in that data. For that reason, the research presented in
24 this paper does not address some important aspects of fatigue, such as influence of the
25 mean stress to fatigue resistance, because testing according to the ISO-1143 standard,
26 which used to obtain the experimental data used in this study and previously analysed by
27 ANOVA methodology^{20,21}, comprises only loading with $R = -1$. While this conclusion
28 definitely means that further research is necessary to understand better fatigue behaviour
29 of MS1, it is not particularly related to the methodology proposed and studied in this paper.

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2 The authors declared no potential conflicts of interest with respect to the research,
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