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Tribochemical Conversion of Methane to Graphene and Other Carbon Nanostructures: Implications for Friction and Wear

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¹ Tribochemical Conversion of Methane to Graphene and Other ² Carbon Nanostructures: Implications for Friction and Wear

3 Giovanni Ramirez, Osman Eryilmaz, Giulio Fatti, Maria Clelia Righi, Jianguo Wen,* and Ali Erdemir*



4 ABSTRACT: Tribochemistry involves chemical reactions occurring at sliding 5 contact interfaces in the presence of gaseous and/or liquid media. It often leads 6 to the formation of a solid reaction film (also termed boundary film) which 7 controls friction and wear and hence the efficiency and reliability of moving 8 mechanical systems (such as engines). Here we demonstrate tribochemical 9 conversion of methane to graphene, nano-onion, and disordered carbons on 10 the sliding surfaces of Ni-, Cu-, and CuNi-containing VN coatings at 11 atmospheric pressure and room temperature, providing 2–3 orders of 12 magnitude reduction in wear and ~50% reduction in friction compared to 13 those of the uncoated steels. Transmission electron microscopy confirms that 14 graphene forms preferably on metal rich nanoclusters of the composite 15 coatings, while the carbon nano-onions are scattered throughout the carbon 16 tribofilm. Ab initio molecular dynamics simulations elucidate underlying 17 mechanisms involved in the tribochemical conversion of methane to carbon-



18 based nanostructures in support of microscopic observations. These scientific findings may lead to new materials technologies that 19 can use methane as a source for continuous and in situ lubrication. For example, there is an urgent need to curtail the uses of 20 lubricating oils in natural gas compressors and engines as they contaminate the natural gas being compressed or burnt.

21 KEYWORDS: tribochemistry, catalysis, carbon nanostructures, friction, wear, thin films/coatings

1. INTRODUCTION

22 Tribochemical reaction films (or tribofilms) are very typical of 23 all interacting surfaces that are in relative motion.¹ These films 24 commonly result from a chemical and/or catalytic response of 25 sliding surface to the reactive gases or liquids present at or in 26 the vicinity of sliding contact interface.² For example, the 27 formation of a phosphate glass-based tribofilm on rubbing 28 surfaces of engine components is extremely important for long 29 life or reliability and is a direct result of tribochemical reactions 30 occurring between sliding surfaces and zinc dialkyl-31 dithiophosphate (ZDDP) antiwear additive in engine oils.³ 32 Likewise, a dramatic reduction of friction (i.e., from ~0.6 to $_{33} \sim 0.003$) in ta-C and CN_x type carbon coatings in hydrogen 34 environment is due to a tribochemical reaction between 35 surface carbon atoms and hydrogen, creating a fully hydrogen 36 terminated or passivated top surface layer that diminishes 37 adhesion and hence friction during sliding.⁴ Overall, the 38 making and breaking of such tribofilms dominate friction and 39 wear and hence the durability and frictional performance of all 40 moving mechanical systems.

⁴¹ Besides these liquid and gaseous species, all kinds of solid ⁴² lubricants are available^{5,6} for controlling friction and wear. In ⁴³ addition to the traditional graphite, molybdenum disulfide, and ⁴⁴ boron nitride,⁷ many researchers have confirmed that low-⁴⁵ dimensional nanomaterials like fullerenes,⁸ graphene,^{9,10} nanotubes,¹¹ and nano-onions, are also very effective in reducing 46 friction and wear.¹² One major drawback is that mainly 47 because of their finite thickness or volume, these solids tend to 48 wear out eventually, and thus high friction and wear prevail 49 again. 50

Here we report tribochemical conversion of methane $(CH_4)_{51}$ to graphene, nano-onion, and disordered carbons on the 52 sliding surfaces of Ni-, Cu-, and CuNi-containing VN coatings 53 under atmospheric pressure and at room temperature. We 54 show that these catalytically active coatings enable in-operando 55 extraction of graphene and other carbon nanostructures 56 continuously from CH₄, thus providing extraordinary protec- 57 tion against wear and lowering friction. Obviously there are not 58 many moving mechanical assemblies that operate in CH₄, but 59 it is a major constituent of natural gas for power generation 60 and fueling of transportation systems in which many moving 61 parts operate in natural gas, including pistons and seal packs of 62 reciprocating natural gas compressors in pipelines, refueling 63

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Figure 1. Comparison of friction and wear behaviors in ball-on-flat tests. (A) Schematic illustration of test method and environment. (B) Friction coefficient of uncoated AISI 52100 steel sliding against the same material compared with that of VN-Ni-coated AISI 52100 steel sliding against the same material in 960 bar of CH₄ gas. (C) Size of wear scar formed on uncoated AISI 52100 steel ball in CH₄. (D) Size of wear scar formed on VN-Ni-coated surface with blackish wear debris layer. (F) Raman spectra obtained from the area denoted with a black rectangle showing broad D and G bands located in the same position as the ones corresponding to the graphite used as a reference.

64 stations, and the fuel injectors of natural gas-powered 65 engines.¹³ Therefore, the results of our study are not only 66 scientifically significant but also have the capacity of positively 67 influencing these fields by leading to more efficient, durable, 68 and cost-effective industrial practices. In particular, enabling 69 hydrocarbon molecules of natural gas to provide a composite 70 carbon tribolayer on a self-replenishing or self-healing manner 71 is very attractive.

2. RESULTS

f1

2.1. Friction and Wear Performance. Details of the r3 coating deposition procedure, structural, chemical, and r4 mechanical characterization of resultant coatings as well as r5 the tribological test methodology and conditions are provided r6 in the Supporting Information (see Figures S1–S3). Under the r7 test configuration illustrated in Figure 1a, the friction r8 coefficient of a steel ball sliding against the steel flat in CH_4 goes up very quickly to a value of 1.2 and remains relatively 80 constant until the end of the 2 h long test (Figure 1b). When s1 the same test was repeated with a VN-Ni-coated test pair, the s2 friction coefficient was reduced by nearly 50%. More

remarkably, the wear volume loss on the coated ball side was ⁸³ reduced by more than 2 orders of magnitude (i.e., 245 times; ⁸⁴ see Figures 1c,d), going from 7.7×10^{-12} m³ when steel vs ⁸⁵ steel surfaces were tested (Figure 1c) down to 3.14×10^{-14} m³ ⁸⁶ for the VN-Ni vs VN-Ni test case (Figure 1d). The wear ⁸⁷ damage on the uncoated 52100 steel flat was also very ⁸⁸ extensive, as a very wide and deep wear groove had formed, ⁸⁹ while on the VN-Ni-coated flat side, the wear damage was hard ⁹⁰ to discern (Figure 1e). Additional supporting results (obtained ⁹¹ from two other coatings: VN-CuNi and VN-Cu) further ⁹² confirmed the unusual wear reducing abilities of these ⁹³ composite coatings in the presence of CH₄ (Figure S4).

2.2. Characterization of Sliding Surfaces. Upon close 95 examination of the sliding surfaces of VN-Ni-coated test pairs 96 with a microscope, we noticed some blackish wear debris 97 particles or patches at or near the rubbing surfaces of both the 98 ball and flat surfaces, as highlighted with the rectangles in 99 Figures 1d,e. Also, in Figure 1f, the Raman spectra of these 100 black deposits display a signature that overlaps with the D and 101 G bands of crystalline graphite (which was used as a 102 reference). These results suggest that the blackish debris that 103



Figure 2. TEM study of debris particles. (A) Low-magnification and (B) HRTEM images of large graphene sheet with scattered carbon nanoonions. (C) TEM image showing side view of carbon nano-onions attached on a graphene sheet (about 10 layers). (D) Low-magnification and (E) HRTEM images of agglomerated nanoparticles wrapped with highly disordered carbon. (F) EELS spectrum from agglomerated debris showing almost no N. (G) EELS spectra of carbon K-edge of disordered carbon compared with glassy carbon (standard), graphene (from image B), and amorphous carbon on the TEM grid.

¹⁰⁴ was detected on and around the rubbing surfaces of the coated ¹⁰⁵ ball and flat samples had a structural chemistry that is ¹⁰⁶ analogous to that of the reference material graphite. Overall, ¹⁰⁷ the results in Figure 1 clearly show that the VN-Ni coating ¹⁰⁸ provided much lower wear in CH₄, and this improvement was ¹⁰⁹ most likely due to the formation of a carbon-rich tribofilm ¹¹⁰ derived from the CH₄ gas during sliding experiment (Figure ¹¹¹ 1a). Results from VN-CuNi and VN-Cu (presented in Figure ¹¹² S4) were similar and in support of the findings shown in Figure ¹¹³ 1. Specifically, remarkable improvements in their friction and ¹¹⁴ wear performance were also associated with the formation of a ¹¹⁵ blackish tribofilm on or near their rubbing surfaces. We recovered a portion of the debris particles from the area $_{116}$ highlighted with the rectangle in Figure 1e using a metallic tip $_{117}$ and placed it onto a copper grid for transmission electron $_{118}$ microscopy (TEM). The TEM images in Figure 2 show two $_{119 f2}$ major types of debris: graphene with carbon nano-onions $_{120}$ (Figures 2a-c) and an agglomeration of numerous nano- $_{121}$ particles wrapped by highly disordered graphitic carbon $_{122}$ (Figures 2d,e). The first type is mainly a large graphene $_{123}$ sheet ranging from 500 nm to several micrometers in size, as $_{124}$ shown in Figure 2a. High-resolution transmission electron $_{125}$ microscopy (HRTEM) showed that the graphene sheets are $_{126}$ composed of a single layer (Figure 2b) to about 10 layers $_{127}$ (Figure 2c). Single-layer graphene was confirmed by an $_{128}$



Figure 3. AIMD simulation of methane molecules confined at Ni interface during sliding. (A) Initial system configuration with undissociated methane molecule. (B) Snapshot acquired after \sim 2 ps of AIMD at constant load and shear velocity: the molecules started dissociating and H atoms diffusing below the surfaces. The full simulation movie (Movie S1) is available as Supporting Information.

129 HRTEM image at broken edges (see Figure S5). Carbon nano-130 onions with diameters of 5–10 nm were scattered on the 131 graphene sheet, as highlighted in Figures 2b,c, throughout the 132 examined area. These carbon nano-onions are hollow in the 133 center, and no other crystalline form of carbon structure is 134 observed. Compositional analyses by energy-dispersive X-ray 135 spectroscopy (EDS) and electron energy-loss spectroscopy 136 (EELS) showed that the nano-onions are composed of 137 graphene with a small amount of Ni and V (see Figure S5). 138 The small amounts of Ni and V could act as catalysts for the 139 growth of graphene and carbon nano-onions.

3. DISCUSSION

140 Results presented above demonstrate that the VN-Ni coating 141 can convert CH4 into graphene, carbon nano-onion, and 142 disordered carbon, all of which are known for their favorable 143 antifriction and antiwear properties.^{8,9,12} Specifically, friction 144 was reduced by 50%, and the wear was reduced by a factor of 145 245 (other systems tested, i.e., VN-CuNi and VN-Cu, have 146 also exhibited remarkable reductions in wear (as much as 3 147 orders of magnitude) as presented in Figure S4). As is evident 148 from Figure 1b, the reduction in friction is not as dramatic as 149 in wear. This is mainly due to the incomplete coverage of 150 sliding surfaces with a continuous layer of graphene and other 151 carbon forms. Specifically, because of their very soft nature, 152 they are prevented from thickening into a continuous tribofilm 153 under the influence of high contact pressure and shear forces 154 exerted on them. Instead, they are ejected from the interface 155 and accumulated around the edges of the wear scar and track 156 as highlighted with rectangles in Figures 1d,e. In addition, the 157 shear properties of graphene and other carbon nanostructures 158 are not as favorable in nitrogen and methane.

We believe that the Ni, Cu, and CuNi are most likely acting the as catalysts for the tribochemical conversion of CH_4 into tribular tri migrate throughout the surface (as well as bulk) and eventually 172 come together to form the planar sheets of carbon as in 173 graphene.¹⁴ Also, vanadium nitride probably contributed to the 174 catalytic dehydrogenation of CH_4 to some extent, despite its 175 catalytic activity being much lower than that of transition 176 metals,^{19–21} as is confirmed by means of ab initio calculations 177 in section 3.1. However, VN presents high resistance to 178 thermal degradations because of its high thermal stability and 179 thus helps prevent film fracture and due to thermal stresses.²² 180

In our case, there was no similarity to the traditional CVD ¹⁸¹ synthesis route, rather a situation involving two VN-Ni (or ¹⁸² VN-Cu, VN-CuNi)-coated solid surfaces pressed against one ¹⁸³ another in relative motion in the presence of CH₄ at ambient ¹⁸⁴ pressure and temperature. Yet, these rubbing surfaces were ¹⁸⁵ able to extract graphene and other carbon nanostructures in a ¹⁸⁶ continuous manner from the methane gas and thereby enable ¹⁸⁷ ultrahigh resistance against wear. In an attempt to understand ¹⁸⁸ the molecular-level mechanisms of tribochemical conversion of ¹⁸⁹ CH₄ to mentioned carbon nanostructures at sliding contact ¹⁹⁰ interfaces, we concentrated on VN-Ni-coated test pairs and ¹⁹¹ conducted *ab initio* molecular dynamic simulation on them as ¹⁹² discussed below. ¹⁹³

Because of the very complex nature of the physical, 194 chemical, and tribological events taking place at a sliding 195 contact interfaces, understanding the exact mechanisms by 196 which graphene, carbon nano-onion, and disordered graphitic 197 carbons are derived from CH4 on VN-Ni and other surfaces 198 (i.e., VN-Cu and VN-CuNi) will be rather challenging. In 199 particular, determining time and spatial resolutions of events 200 leading to the formation of such carbonaceous nanostructures 201 is deemed impossible with currently available tribological 202 methods. However, it is conceivable that under the tribological 203 contact configuration shown in Figure 1a and conditions 204 described in the Supporting Information (i.e., a high-pressure 205 mechanical shearing action combined with transient high flash 206 heating of real contact spots²³) the tribochemical extraction of 207 such carbon nanostructures from CH₄ may become feasible. 208 Specifically, the high-pressure rubbing action continuously 209 creates nascent surface atoms²⁴⁻²⁶ of the catalyst metals (Ni, 210 Cu, and NiCu) which enhance catalytic and hence the 211 tribochemical activity toward methane in the surrounding 212 environment. As mentioned above, both Ni and V are well- 213 known catalysts used previously in the synthesis of graphene 214 and carbon nanotubes by high-temperature CVD meth- 215 ods.¹⁵⁻¹⁸ They lower activation energy for dissociative 216



Figure 4. First stages of tribologically induced graphene formation. Snapshots acquired during AIMD simulations of dehydrogenated methane molecules confined at Ni interfaces in concentrations corresponding to 50% (A) and 100% (B) coverages of the Ni interface. (A) In a first stage the C atoms bind to each other in interconnected chains that form a disordered, low-density film. (B) Load and shear stresses applied promote the rehybridization of C atoms and the formation of sp² planar structures as the graphene flake highlighted in green. (C) The corresponding radial distribution functions for C–C bonds are represented by red and green lines, respectively, while the dashed-dot blue line indicates the radial distribution function of a nondefective graphene layer commensurate with the Ni (111) surface. The full simulation movies (Movies S2 and S3) are available as Supporting Information.

217 extraction of carbon from methane and hence facilitate the 218 formation of such carbon materials. In the following section, 219 we present the results from *ab initio* molecular dynamics 220 (AIMD) simulations to provide further insight into the 221 atomistic mechanisms that govern the tribochemically induced 222 formation of carbon nanostructures.

3.1. Tribochemical Mechanism by Means of Ab Initio 223 224 **Calculations.** First, we evaluated the catalytic capability of the 225 Ni and VN substrates in promoting the CH₄ dehydrogenation 226 by means of ab initio static calculations. The results of this 227 study, which are reported as Supporting Information (Figures 228 S10-S12), are in agreement with the literature in the case of 229 Ni,²⁷⁻³⁰ while we are not aware of any previous study for VN. 230 The reaction energies calculated for methane dissociation on 231 Ni (111) and VN (100) surfaces, which are the most stable 232 surfaces for these materials, correspond to 0.02 and 0.89 eV, 233 respectively. Therefore, at ordinary conditions, the full 234 dehydrogenation reaction, $CH_4 = C_{ads} + 4H_{ads}$, is not 235 energetically favorable. However, the molecular confinement, 236 mechanical stresses applied, and high temperatures present at the tribological interface can promote the dehydrogenation 237 reaction at a very high rate, as shown by the *ab initio* molecular 238 239 dynamic (AIMD) simulation of CH₄ molecules confined at 240 sliding Ni interfaces, as described in the following.

In the AIMD simulations, both the electronic and the ionic 242 degrees of freedom were considered. This approach is essential 243 to obtain an accurate description of the chemical processes 244 occurring under conditions of enhanced reactivity. The first set 245 of simulations aimed at identifying the mechanisms of carbon 246 release from CH_4 molecules, while subsequent simulations, 247 where the concentration of interfacial carbon was increased, 248 were aimed at monitoring in real time the formation of carbon 249 nanostructures assisted by mechanical stresses.

The initial system configuration, shown in Figure 3A, 250 f3 contained a concentration of CH4 molecules such that the 251 number of C atoms corresponded to 25% of the Ni interfacial 252 atoms. A normal pressure of 5 GPa was applied, and the upper 253 surface was moved at constant velocity of 200 m/s along the 254 $11\overline{2}$ direction. The temperature of the Ni surfaces was kept 255 constant at 1000 K, while the intercalated molecules were left 256 free to evolve without any temperature control. The high 257 temperature is chosen to model the flash temperatures that, 258 depending on the ratio between the real contact area and the 259 apparent contact area, can rise up to thousands of kelvin.^{31,32} 260 However, the comparison with AIMD simulations performed 261 at 300 K, while leaving unchanged the other setup parameters, 262 which are presented in the Supporting Information (Figure 263 S12), shows that the temperature plays a secondary role in the 264 dehydrogenation process, which is to be attributed mainly to 265 molecular confinement under load and shear stresses. 266

As can be seen from the atom trajectories (Movie S1), the ²⁶⁷ dehydrogenation process started immediately after the ²⁶⁸ beginning of the dynamic simulation: H atoms detach from ²⁶⁹ CH₄ molecules as soon as they come into contact with the ²⁷⁰ clean Ni surfaces. This result indicates that molecular ²⁷¹ dissociation, which is energetically unfavorable at the open ²⁷² surface, can be easily promoted at the tribological interface. ²⁷³ The molecular confinement under load and shear dramatically ²⁷⁴ reduces the activation time for molecular dissociation, as ²⁷⁵ previously found for water molecules confined at diamond ²⁷⁶ interfaces. ³⁶ ^{37–35} and organophosphorus additives at iron ²⁷⁷ interfaces. ³⁶

The simulation then indicated H diffusion into the Ni bulk, 279 which leads to an increase of carbon concentration at the 280 interface. This is consistent with the above-described 281 experimental observation of newly formed carbon nanostruc- 282 tures almost H free. After \sim 2 ps, around 20% of the H atoms 283

²⁸⁴ had been expelled from the interface and diffused into the bulk. ²⁸⁵ At the same time, more than half of the carbon atoms were ²⁸⁶ adsorbed on the surfaces, where they tend to capture other C ²⁸⁷ atoms, forming small hydrocarbon groups, C_nH_x with $n \leq 3$ ²⁸⁸ (Figure 3B).

289 After ~ 3 ps from the beginning of the simulation, the 290 interfacial concentration of H atoms decreased by more than 291 50% with respect to the initial value, and all the C atoms 292 present at the interface become bonded either to surface Ni 293 atoms or to other C atoms in short carbon chains, C_n with $n \ge 1$ 2. Reactive carbon intermediates, C_n , have been also identified 294 during the thermal decomposition of CH_4 on Ni(111) by the 295 296 in-operando technique of near-ambient-pressure X-ray photoelectron spectroscopy.³⁷ Not observed were Ni₂C reconstruc-297 tion and other carbide structures, which are often found during 298 graphene growth on Ni (111). The origin of some differences 299 300 with the structures observed during graphene growth may be 301 related to the presence of a countersurface: the coordination of 302 molecular fragments during the decomposition process is 303 always higher at the interface than at the open surface, and this 304 may for example limit the subsurface diffusion of carbon.

Figure 4 shows two snapshots acquired during AIMD 305 306 simulations containing increased concentrations of C atoms, 307 corresponding to 50% (A) and 100% (B) coverages of the Ni 308 interface. The full adatom trajectories are presented in Movie 309 S2 and Movie S3. In a first stage, we observed the formation of 310 carbon chains, the length of which increased during the 311 simulation until every interfacial C atom had been included 312 into a chain branch (Figure 4A). The chains became cross-313 linked, forming a disordered film of low density. The applied 314 load and shear smeared out this film, reducing more and more 315 its thickness. During this rubbing process, the carbon atoms 316 change their hybridization and start to form planar rings that 317 constitute the first seeds for graphene growth. Indeed, we 318 observed the formation of a graphene flake upon further 319 increase of C coverage (Figure 4B). The shift of the peak of the 320 C-C radial distribution functions from ~1.43 to ~1.47 Å $_{321}$ (Figure 4C) clearly indicates the rehybridization from sp to sp² 322 that accompanies the structural change from interconnected 323 carbon chains to 2D structures. The similarity of the g(r)324 function calculated for the structure in Figure 4B (green 325 continuum line), which also presents a second peak, and the $_{326} g(r)$ calculated for a nondefective graphene layer commensu-327 rate to the Ni(111) surface (blue dashed-dotted line) provides 328 a further evidence that graphene is being formed during the 329 simulation under the tribological conditions.

Recently, it was shown that carbon-based tribofilms can also 330 331 be derived from a variety of carbon-based liquids including 332 synovial fluids that lubricate joints, $poly(\alpha$ -olefin) (PAO) 333 which is a base oil used in the making of synthetic lubricants, 334 and palm methyl ester (PME) which is a biodiesel fuel 335 extracted from palm oil. Specifically, it was reported that a 336 graphitic tribofilm forms on the rubbing surfaces of metal-on-337 metal (MOM) hip replacements (which are made of cobalt, 338 chrome, and molybdenum),³⁸ MoN-Cu coatings³⁹ lubricated ³³⁹ by a PAO oil, and AISI 304 stainless steel lubricated by PME.⁴⁰ 340 MOM is suspected to derive graphitic tribofilm from protein 341 molecules through the catalytic effects of Co and Mo, while in 342 the cases of MoN-Cu and AISI 304 stainless steel, Cu and Ni 343 present in their structures, respectively, are believed to help in 344 the extraction of carbon-rich tribofilms from the PAO and 345 PME molecules.

4. CONCLUSIONS

Our test results demonstrate that tribochemistry can play a 346 major role in the friction and wear behavior of sliding surfaces. 347 Specifically, under the high-pressure shearing condition 348 described in our study, VN-Ni, VN-Cu, and VN-CuNi coatings 349 can convert CH₄ molecules into graphene, nano-onion, and 350 disordered graphite, which in turn reduce friction (by as much 351 as 50%) and wear by 2-3 orders of magnitude (see Figure 1 352 and Figure S4). High catalytic reactivity of Ni and Cu in the 353 composite coatings promotes tribochemistry and hence 354 conversion of CH4 to a carbon-based tribofilm consisting of 355 graphene, nano-onion, and disordered carbons. Transmission 356 electron microscopy (Figure 2) showed that graphene is 357 preferably formed on and around the VN-Ni clusters, while 358 nano-onions and highly disordered graphite were scattered 359 throughout the carbon tribofilm. Ab initio MD simulations 360 (Figures 3 and 4, Figures S10-S12, and Movies S1-S3) 361 revealed the mechanism by which carbon nanostructures are 362 extracted from CH₄ molecules. These simulations showed that 363 the conversion of CH₄ to 2D graphene and nano-onions 364 occurs by a catalytic process involving first the dehydrogen- 365 ation of CH₄ on Ni followed up by C atoms forming chains of 366 an amorphous carbon network of low atomic density. Under 367 the influence of high contact pressure and shear forces, some of 368 the amorphous carbon network sandwiched between the Ni 369 surfaces undergoes rehybridization and subsequent conversion 370 to sp² planar structures. These newly formed sp²-bonded 371 hexagonal rings nucleate the first graphene flakes. Once such 372 graphene-rich tribofilms are formed on sliding surface, wear is 373 reduced dramatically (as much as 3 orders of magnitude).⁴⁰⁻⁴² 374 Overall, our study demonstrates the critical role of 375 tribochemistry in the extraction of low-shear and highly 376 protective carbon tribofilms from CH₄ and hence the 377 reduction of friction and wear. Such carbon-based protective 378 tribofilms could be utilized and produced on demand in 379 natural gas compressors and engines, eliminating the use of oils 380 that contaminate natural gas and diminish the need for 381 frequent oil change continuous resupply. 382

5. MATERIALS AND METHODS

Test materials used in this study included coated steel balls rubbing 383 against coated flats made from AISI 52100-grade through-hardened 384 ball bearing steel (nominal hardness, 58-62 Rockwell C). Prior to the 385 deposition of Ni-, Cu-, and CuNi-containing VN coatings, Ar sputter 386 ion etching is conducted to remove the surface contaminants. 387 Subsequently, a V bonding layer (120 nm thick) was deposited first 388 on the steel substrate by using a dual magnetron sputtering system. 389 The nanocomposite coatings were deposited from high-purity targets 390 (i.e., V (99.95%) and Cu, Ni (99.99%). For example, to prepare the 391 VN-Ni nanocomposite coatings (containing ~9.5 at. % of nickel), 392 4000 W (9 W/cm²) and 225 W (5.1 W/cm²) were applied on V and 393 Ni targets, respectively. The substrate temperature was kept constant 394 at 325 °C. The total working pressure was fixed at 0.4 Pa in a mixture 395 of Ar/N₂ (120 sccm/80 sccm, respectively).

As the next step, a crystallographic phase analysis was performed of 397 the composite coatings (about 1 μ m thick) by using an X-ray 398 diffractometer (Bruker D2 Phaser) with the monochromatized Cu K α 399 radiation. The hardness and elastic modulus of the coatings were 400 measured by a nanoindenter (Hysitron Triboindenter TI-950) with a 401 Berkovich diamond probe. Different loads (0.5–12 mN) were used to 402 evaluate the hardness/elastic modulus as a function of the penetration 403 (h_c) to avoid the influence of the substrate mechanical properties. The 404 Oliver–Pharr⁴³ method was used to calculate the hardness and the 405 elastic modulus of the coatings. The surface roughness of the as- 406 407 received and coated surfaces was measured by a Bruker Contour GT 408 white light 3D profilometer.

Sliding experiments were performed with a high-vacuum 409 410 tribometer using a ball-on-disk setup, in which a stationary steel 411 ball (9.5 mm in diameter) was pressed against a rotating disc (50.8 412 mm and 6.35 mm thick) under mean Hertz pressures of ~0.6 GPa. 413 Both coated and uncoated balls were tested against the coated/ 414 uncoated steel flats in the presence of atmospheric pressure methane 415 at room temperature. The sliding contact surfaces of ball and flat 416 specimens had a nominal surface roughness of 0.02 μ m RMS. Prior to 417 the tribological tests, all test samples were cleaned by acetone and 418 isopropanol solvents in an ultrasonic bath for 5 min. The pure 419 methane gas was bled into the vacuum chamber after evacuating it 420 down to 10^{-4} Pa levels until reaching 0.9 atm (~91 MPa). The experiment was performed at room temperature. The normal load on 421 422 top of the stationary ball was 2 N (which would create a peak Hertz 423 pressure of \sim 0.6 GPa), and the sliding speed was 0.1 m/s, so that the 424 rubbing surfaces would have been under severe contact conditions at 425 all times. The total sliding distance accumulated during the tests was 426 360 m. The friction force generated between the sliding ball and flat 427 surfaces was continuously monitored and recorded throughout the 428 tests using a data acquisition system and later converted to the friction 429 coefficients for the entire test cycle.

The wear volumes on the ball and disk samples were assessed with 430 431 the help of optical microscopy; specifically, the wear scars and tracks 432 were imaged by an Olympus STM6 microscope. The amount of wear 433 was calculated by using the standard wear volume equations based on 434 the wear scar diameter measured by the microscope.

The tribofilms were analyzed by confocal Raman microscopy 435 436 (inVia Reflex, Renishaw, Inc.) using appropriate light sources with a 437 wavelength of 633 nm to determine the nature of the tribochemical 438 films that formed on the rubbing surfaces during sliding. The Raman 439 instrument was calibrated with an internal silicon reference, and the 440 spectra were recorded in the range 1000–2000 cm⁻¹. Highly oriented pyrolytic graphite (Ted Pella, lacey carbon) was used as a reference. 441 Density functional theory calculations were performed within the 442 443 generalized gradient approximation in the Perdew-Burke-Ernzerhof 444 parametrization.⁴⁴ The ionic species were described by ultrasoft 445 pseudopotentials, and the electronic wave functions expanded in 446 plane waves.⁴⁵ A kinetic energy cutoff of 25 Ry (200 Ry) was used to 447 truncate the expansion of the wave functions (charge density) on the 448 basis of test calculations on the bulk properties of the considered 449 materials. The static calculations were performed by means of 450 periodic supercells containing a vacuum region 15 Å thick and a slab 451 with (2×2) in-plane size and three layers thickness. The in-plane size 452 of the cell was increased to a $4 \times 3\sqrt{3}$ cell, corresponding to 24 atoms 453 per layer, in dynamic calculations. Although nickel is known to be 454 ferromagnetic, we did not consider the spin polarization to avoid a 455 dramatic increase of the computational workload of the ab initio 456 molecular dynamics simulations, where the reaction paths are mainly 457 governed by the mechanical stresses applied. This computational 458 choice is also justified by previous works on C/CH_x chemisorption 459 and CH₄ dehydrogenation on Ni.^{27,28,30}

Ab initio molecular dynamics simulations were performed in the 460 461 Born-Oppenheimer scheme using a home-modified version of the 462 program included in the Quantum Espresso package that permits the 463 user to simulate tribological conditions. In particular, a constant load 464 and a constant relative velocity of the two surfaces have been 465 modeled. We controlled the temperature of the two sliding slabs 466 (excluding the translational motion), while leaving the temperature of 467 the intercalated molecules free to evolve.

ASSOCIATED CONTENT 468

Supporting Information 469

470 The Supporting Information is available free of charge at 471 https://pubs.acs.org/doi/10.1021/acsanm.0c01527.

Coating characterization (Figures S1-S3); tribological 472

- 473 characterization (Figure S4); tribofilm characterization
- 474 (Figures S5–S9); computational results (Figures S10–

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AUTHOR INFORMATION

Corresponding Authors

| Ali Erdemir – Energy Systems Division, Argonne National | 482 |
|--------------------------------------------------------------|-----|
| Laboratory, Argonne, Illinois 60439, United States; | 483 |
| Email: aerdemir@tamu.edu | 484 |
| Jianguo Wen – Center for Nanoscale Materials, Argonne | 485 |
| National Laboratory, Argonne, Illinois 60439, United States; | 486 |
| orcid.org/0000-0002-3755-0044; Email: jwen@anl.gov | 487 |
| Authors | 488 |
| Giovanni Ramirez – Energy Systems Division, Argonne | 489 |
| National Laboratory, Argonne, Illinois 60439, United States; | 490 |
| © orcid.org/0000-0003-0985-1605 | 491 |
| Osman Eryilmaz – Energy Systems Division, Argonne National | 492 |
| Laboratory, Argonne, Illinois 60439, United States | 493 |
| Giulio Fatti – Department of Physics, Informatics and | 494 |
| Mathematics, University of Modena and Reggio Emilia, 41125 | 495 |
| Modena, Italy; is orcid.org/0000-0001-7000-7310 | 496 |
| | |

Maria Clelia Righi – Department of Physics and Astronomy, 497 University of Bologna, 40127 Bologna, Italy 498 Complete contact information is available at: 499 500

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Notes

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