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Northern Adriatic environmental changes since 500 AD reconstructed at Aquileia (Italy)

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1 **Northern Adriatic environmental changes since 500 AD**
2 **reconstructed at Aquileia (Italy)**

3

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39

40 **Highlights**

- 41 ● Palaeoecological study of Aquileia, the ninth city of the Roman Empire
- 42 ● Insights into the ecosystem dynamics for the post-Roman period
- 43 ● Climate pressures shaped ecosystem dynamics
- 44 ● Anthropogenic activities acted as secondary pressures
- 45 ● Late Antique Little Ice Age was the coolest and driest episode recorded

46

47 **Abstract**

48 The fluvial harbour of Aquileia (Italy), one of the most important Roman trading centres in the
49 Mediterranean, was abandoned after the city's destruction in 452 AD. The deserted harbour
50 evolved into a swamp surrounded by a floodplain that has recorded the anthropogenic,
51 environmental and climatic pressures that have occurred during the last 1500 years in the
52 northern Adriatic. Focusing on the period since 500 AD, we here reconstruct the area's long-
53 term ecosystem dynamics. We show that ecosystem dynamics mainly mirror the climate phases
54 of the pre-industrial era. After the Roman era, anthropogenic activities (agriculture, pasture and
55 fire activity) declined in scope and amplitude and are chronologically limited (from the late 7th
56 to the early 13th centuries AD), acting as a background pressure on ecosystems. The main non-
57 human impacts recorded by ecosystems correspond to the Late Antique Little Ice Age, defined
58 by an average temperature anomaly of $-2.04 \pm 0.17^\circ\text{C}$, exceeding the Pre-industrial Little Ice
59 Age by $-1.26 \pm 0.16^\circ\text{C}$ in severity. The temperatures reconstructed for the Medieval Climate
60 Anomaly are close to those recorded for the 20th century AD (average anomaly of $0.08 \pm 0.15^\circ\text{C}$)
61 but they differ from the 21st century AD, according to the CRUTEM4 data. Aquileia shows
62 that ancient harbours are key areas to understand how climate and human societies have shaped
63 northern Adriatic environments since the post-Roman period.

64

65 **Keywords**

66 Aquileia, Fluvial harbour, Ecosystems, Anthropogenic activities, Climate, Late Holocene, Italy

67

68

69 1. Introduction

70 The reconstruction of long-term environmental dynamics from ancient harbours has highlighted
71 the importance of bio- and geo-sciences in understanding coastal man-made environments
72 resulting from the Mediterranean's long and rich maritime history (*e.g.* Marriner and Morhange,
73 2007; Marriner et al., 2014). In Italy, numerous seaports and associated fluvial harbours have
74 been intensively studied, providing an in-depth understanding of the human activities and
75 anthropogenic pressures on environments since the emergence and development of these port
76 complexes. Harbours such as *Altinum*-Venice (Ninfo et al., 2009), *Portus Lunae*-Luni (Bini et
77 al., 2012), *Portus*-Rome (Sadori et al., 2010, 2015 ; Mazzini et al., 2011), *Ostia*-Rome
78 (Salomon et al., 2018), *Neapolis*-Naples (Allevato et al., 2010 ; Di Donato et al., 2018), *Portus*
79 *Pisanus*-Pisa (Kaniewski et al., 2018) or Pisa S. Rossore (Mariotti Lippi et al., 2007; Bini et al.,
80 2015) have furnished key information on how human societies have shaped long-term
81 landscape dynamics. While most studies have focused on the harbour phase, few analyses have
82 focused on the post-harbour period, when structures were abandoned and anthropogenic
83 pressures decreased or even disappeared (*e.g.* Marriner and Morhange, 2006; Morhange et al.,
84 2016).

85 Lying on the border between the Friuli plain and the edges of the Grado lagoon (Fig. 1),
86 Aquileia, a Roman colony founded in 181 AD, and a UNESCO site since 1998, was located in
87 a highly dynamic natural environment shaped by fluvial activity (Arnaud-Fassetta et al., 2003).
88 Fresh, brackish and sea waters alternatively presented opportunities and risks for local
89 communities and the site has been the object of long-term archaeological investigations and
90 projects. During the Roman period, Aquileia was the focus of a communication network,
91 consisting of terrestrial routes implemented by a system of natural and artificial waterways
92 which provided access to the sea and maritime trade routes (Cottica and Ventura, 2019). The
93 city soon became the most important trading centre (glass, wine, oil, olives, wool, gold and
94 spices) of the northern Adriatic area (Zaccaria and Pesavento Mattioli, 2009). By the 1st century
95 AD, Aquileia had become a thriving centre, a major emporium in the Mediterranean and the
96 Adriatic Sea, and a key site in commercial routes, lying at a crossroads for trade goods transiting
97 from the Mediterranean Sea to the Danube provinces (Carre, 2008; Maggi et al., 2017).
98 Furthermore, the site played a key role in Roman imperial political and military history: from
99 the age of Marcus Aurelius onwards, it withstood several attacks until it fell under the siege of
100 Attila in 452 AD, following the invasion of the Huns. Subsequently, Aquileia lost its importance
101 for ~200 years before regaining its status as the region's principal city in the 7th century AD

102 with the rise of patriarchal rule. Aquileia gradually declined during the 15th century due to the
103 increasing power of the Republic of Venice (Capulli, 2013).

104 Despite its important historical status, few environmental studies have been undertaken at
105 Aquileia (Arnaud-Fassetta et al., 2003, 2010; Siché et al., 2004), one of the most significant
106 fluvial harbours of the Roman Empire (Carre, 2008; Cottica et al., 2018; Cottica and Ventura,
107 2019). The geographical location of the city, however, makes it a key area to probe the
108 environmental transitions that have occurred over the past centuries in the northern Adriatic
109 coastal plain.

110 Here we investigate the post-Roman ecosystem dynamics of Aquileia to i) reconstruct how the
111 environments have evolved during the last 1500 years, ii) investigate the major tipping points
112 in ecosystem dynamics and iii) probe the environmental and climate forcing factors. Using a
113 fossil record, we provide an in-depth ecological study of the area, reconstructing the major
114 vegetation changes and their evolution through time. We also furnish a pollen-based climate
115 reconstruction to identify the climate changes during the pre-industrial era [Late Antique Little
116 Ice Age (LALIA), Medieval Climate Anomaly (MCA), and Pre-industrial Little Ice Age (LIA)].
117 Aquileia shows that harbours are key areas to understand how the Mediterranean environment
118 was shaped by both human societies and natural factors during recent centuries.

119

120 **2. Present-day vegetation**

121 The area is located at the boundary of the Mediterranean belt. Though extensively transformed
122 by human activities, nowadays, the vestiges of the fluvial harbour area are surrounded by
123 patches of *Quercus robur*, *Carpinus betulus*, *Crataegus monogyna*, *Ligustrum vulgare* and
124 *Cornus sanguinea* with man-made stands of *Pinus pinea* and *Cupressus sempervirens* along the
125 old quays. Several species of *Prunus* (*P. domestica*, *P. avium*, *P. mahaleb*, *P. spinosa*) develop
126 in the adjacent areas. The riparian vegetation is composed of rare patches of *Salix alba*, *Populus*
127 *alba* and *Alnus glutinosa* along with a dense wet meadow composed of *Filipendula ulmaria*,
128 *Agrostis stolonifera*, *Phragmites* sp. and a Magnocaricion (including *Carex* sp., *Cyperus* sp.,
129 *Mentha aquatica* and *Sagittaria* sp.).

130

131

132

133 **3. Material and methods**

134 *3.1. The core*

135 Biological indicators were extracted from the 450-cm Aquileia core III (AQ III) drilled in the
136 ancient Roman harbour (45°46'29.068"N, 13°22'10.376"E, 1 m a.s.l.) during a field campaign
137 in 2020 (Fig. 1). The grain-size analysis was performed with H₂O₂ treatment and removal of
138 organic matter. Only the granular fraction was analysed. The lithology of the core AQ III is
139 organized as follows: the bottom two meters (450-250 cm) comprise sandy deposits overlain
140 by 142 cm of silt, organic silt and peat (250-108 cm). The upper levels correspond to a silty-
141 sandy matrix (108-0 cm; Fig. 2). No evidence of stratigraphic hiatuses was observed in the core
142 or laboratory data. The core was sampled every 2 cm on average. Bioindicators are only well-
143 preserved in the silt-organic-peat layers.

144

145 *3.2. Chronology*

146 The chronology of the core AQ III (Fig. 2) is based on seven accelerator mass spectrometry
147 (AMS) ¹⁴C dates performed on short-lived samples (small leaves) at 110 cm (200±30 ¹⁴C yr
148 BP), 126 cm (270±30 ¹⁴C yr BP), 156 cm (380±30 ¹⁴C yr BP), 166 cm (470±30 ¹⁴C yr BP), 204
149 cm (1260±30 ¹⁴C yr BP), 232 cm (1490±30 ¹⁴C yr BP), and 246 cm (1540±30 ¹⁴C yr BP).
150 Aquatic remains were excluded, and all of the botanical macro-remains sent to Beta Analytic
151 (Florida) comprised small leaves from deciduous vegetation. The ¹⁴C dates were calibrated to
152 2 sigma (σ) using Calib Rev 8.0.1 (IntCal20). All the calibrated ages are denoted in AD,
153 consistent with the historical data. The age-depth model is based on a linear interpolation
154 between each of the intercepts (and the 2σ). The interpolation is compared with a linear model
155 and a polynomial model in order to estimate the difference between the two signals (Fig. 2).
156 Sediment compaction during coring was calculated in order to obtain the real depth of each
157 deposit. Subsequently, the sedimentation rate between each ¹⁴C date was calculated (Fig. 2).
158 Because samples were taken at regular intervals along the 250-cm sediment column, the
159 chronological resolution is directly dependent on the sedimentation rate (Fig. 2).

160

161 *3.3. Biological data*

162 Samples from the core AQ III were prepared for pollen analysis using standard procedures for
163 clay-silt samples (fully detailed in Faegri and Iversen, 1989). Pollen grains were counted under

164 x400 and x1000 magnification using an Olympus microscope. Pollen frequencies (expressed as
165 percentages) are based on the terrestrial pollen sum, excluding local helophytes, macrophytes
166 and spores of non-vascular cryptogams. Aquatic taxa frequencies were calculated by adding the
167 local helophytes-macrophytes to the terrestrial pollen sum. The mean pollen sum was 507 ± 145
168 pollen grains, with a minimum of 240 pollen grains (there were only five samples with less than
169 300 pollen grains). The median value was 547 pollen grains, with a 25th percentile of 383 pollen
170 grains and a 75th percentile of 627 pollen grains. The mean number of taxa was 59 ± 5 taxa, with
171 a minimum of 42 taxa. The median value was 60 taxa, with a 25th percentile of 58 taxa, and a
172 75th percentile of 63 taxa. The palynological data are presented as a detailed pollen diagram in
173 Fig. 3. The river floodplain vegetation is shown as a second detailed pollen diagram (Fig. 4).

174 To support the identification of the main environmental changes along the core, sixteen samples
175 were also collected for qualitative analysis of the ostracod fauna with a resolution of <15 cm.
176 Samples were prepared adopting the standard methodology (e.g. Amorosi et al., 2013) and the
177 taxonomic identification was based on Henderson (1990).

178

179 *3.4. Statistical analyses*

180 Statistical analyses were performed using XI-Stat²⁰¹⁹ (<https://www.xlstat.com>), R 4.1.0 (R Core
181 Team, 2021) and the software package PAST 4.08 (Hammer et al., 2001). All the long-term
182 trends were calculated using a polynomial model (order 5 - lowest standard deviation, $P_{\text{value}} <$
183 0.001; the R^2 is mentioned on each graph). Pollen data were studied using a cluster analysis
184 (descending type; Fig. 5) to calculate a dendrogram, using branches as ecological distances
185 between groups of taxa. The test was performed using Paired group as algorithm and
186 Correlation as the similarity measure. Each cluster was summed to generate pollen-derived
187 vegetation patterns (PdVs) and assigned to a potential location, from the dryland to the
188 floodplain, referring to modern patches of vegetation (Fig. 5). The riparian forest (fen trees)
189 was added to the marshland plants (macrophytes and helophytes) to create a curve reflecting
190 the floodplain dynamics (Fig. 6A). A principal component analysis (PCA) was subsequently
191 performed to test the ordination of samples by assessing major changes in the PdV-scores. The
192 first axis (PCA-Axis 1), which carries the maximum variance (77% of total variance), was
193 extracted. The PdVs influenced by the floodplain are loaded by the positive PCA-Axis 1 scores
194 while the PdVs located beyond the influence of the river are loaded by the negative scores. A
195 regular chronological interpolation (10-yr) was applied to the dataset in order to reduce biases

196 linked to chronological gaps, particularly at the bootstrap level. We then transformed the PCA-
197 Axis1 scores using a Loess smoothing (with a LOWESS algorithm) and performed a bootstrap
198 to estimate a 95% confidence band based on 1000 random replicates. The Loess curve and the
199 95% confidence band were used as a proxy for the floodplain dynamics (Fig. 6B). The
200 cultivated species and pasture activities were added to test their occurrences in a context of
201 long-term floodplain changes (Fig. 6C).

202 Variations in the floodplain (fen trees, helophytes and macrophytes) were further contrasted
203 with the dynamic of the dry floodplain scrubs, using both a synthetic diagram and a Kernel
204 density 2D model (with Gaussian as function; Fig. 7A). The two time-series were then tested
205 using a cross-correlation ($P_{\text{value}} < 0.001$; the R^2 value is indicated on Fig. 7A). The floodplain
206 dynamics (fen trees and helophytes) were subsequently compared to the dryland components
207 (pine-oak woodland cluster), using both a synthetic diagram and a Generalized Linear Model
208 (Fig. 7B). The two time-series were also tested using a cross-correlation ($P_{\text{value}} < 0.001$; the R^2
209 value is indicated on Fig. 7B). A Gradient Species Packing test was finally added to identify
210 the distribution of each cluster, using the floodplain as a stationary reference (Fig. 7C).

211

212 3.5. Agriculture, pasture activities and fire

213 Agriculture activities are mainly based on cereals (Poaceae cerealia) with a diversified
214 arboriculture comprising grapevines (*Vitis vinifera*), olive trees (*Olea europaea*), walnut trees
215 (*Juglans regia*) and diverse Rosaceae (*Prunus* sp.). Pasture activities are identified by various
216 genera such as knapweed (*Centaurea*), plantain (*Plantago*), knotweed (*Polygonum*) and sorrel
217 (*Rumex*). To identify human impacts, a pasture activities/agriculture ratio was calculated (Fig.
218 8A). All the long-term trends were calculated using a polynomial model (order 5, $P_{\text{value}} < 0.001$).

219 The fire history of Aquileia was reconstructed by counting charcoal particles (50-200 μm) on
220 the pollen slides. The 50 μm size criterion was chosen to avoid confusion between microscopic
221 charcoal fragments and opaque minerals, which are typically $< 50 \mu\text{m}$ (Parshall and Foster,
222 2002; Pederson et al., 2005). We adopted the 200-300 items (sum of charcoal particles) defined
223 by Finsinger and Tinner (2005). A first charcoal concentration curve (fragments per cm^{-3}) was
224 drawn according to a linear age-scale (Fig. 8A). The fire activity was compared with the ratio
225 pasture activities/agriculture, with the dynamics of the mixed oak forest (Fig. 8B), and with
226 agricultural activities (Fig. 8C). Charcoal concentrations were converted into charcoal
227 accumulation rates (CHAR) based on the sedimentation rate estimated by the age-depth model

228 (Fig. 8D). These analyses were performed in R using R-PaleoAnomalies
229 (<https://github.com/wfinsinger/R-PaleoAnomalies>), a code which builds on CharAnalysis. The
230 analysis comprised the following steps: i) The CHAR record was resampled to equal time
231 intervals (20-yr) using a window corresponding to the mean sedimentation rate of the record,
232 ii) the data were log-transformed to homogenize variance, iii) the “Background Component”
233 was estimated with a local polynomial (100-yr moving window), facilitating the calculation of
234 the “Peak Component”, and iv) fire episodes were detected using a threshold within the range
235 of values with the lowest sensitivity to the number of peaks detected, derived by plotting a
236 frequency distribution histogram of the peak component. The process is fully described in the
237 literature (Long et al., 1998; Higuera et al., 2008, 2009; Doyen et al., 2015).

238

239 *3.6. Pollen-derived climate reconstruction*

240 The pollen-based model used to reconstruct climate variables (Fig. 9) has been described
241 extensively in the literature (Cheddadi and Khater, 2016; Cheddadi et al., 2016, 2017) and has
242 been shown to provide coherent climate reconstructions (e.g., Kaniewski et al., 2019, 2020,
243 2021). Past climate variables were derived from the Aquileia fossil pollen record. We assigned
244 the pollen taxa of Aquileia to modern plant species based on our knowledge in palynology,
245 botany, and ecology. Edaphic, aquatic, human-related, or less than 1% of the total pollen taxa
246 were not considered in the climate reconstruction. For each fossil sample, we used the weighted
247 median of each pollen taxon identified in individual fossil samples, using the percentages of
248 pollen as weights to calculate the median of all pollen taxa. The uncertainty of the
249 reconstruction for each fossil sample is estimated by omitting one taxon from each iterative
250 estimate, as many times as the number of taxa in the fossil sample. This quantification method
251 assumes that the modern climatic range of a species encompasses its range during the studied
252 time span and that the closer the best climatic value is to the area where it is most abundant, the
253 more abundant it is (Cheddadi et al., 2021). Helophytes, macrophytes and spores of non-
254 vascular cryptogams were excluded from the matrix. All reconstructions are shown with their
255 estimated errors (Fig. 9), and with their long-term trends based on a polynomial model (order
256 5, $P_{\text{value}} < 0.001$; the R^2 is shown on each graph). The temperature anomalies are based on the
257 annual average for the control period 1961-1990 (13.04°C; Fig. 9A). Temperature anomalies
258 were first compared with the loess-smoothed PCA-Axis 1 (Fig. 9B) and the spring-summer
259 precipitation (Fig. 9C). The 1850-2019 CRUTEM data (Osborn and Jones, 2014) were then
260 added to test the reliability of the temperature reconstruction from core AQ III (Fig. 9D). A

261 sinusoidal model was applied ($P_{\text{value}} < 0.001$) to link data from core AQ III with CRUTEM4
262 (Fig. 9D).

263

264 **4. Results**

265 *4.1. Lithology and chronology*

266 The lower part of the core AQ III, corresponding to an abandoned channel of Roman age, was
267 completely void of bioindicators. The sandy matrix was not conducive to the conservation of
268 ostracods, pollen and other non-palynomorphs. The overlying levels, which correspond to silt,
269 organic silt and peat deposits (Fig. 2), were found to be rich in palynomorphs, with an average
270 of 507 ± 145 pollen grains counted per sample and an average concentration of 2027 ± 585 pollen
271 per cm^{-3} . The median value is 2186 pollen per cm^{-3} with a 25th percentile of 1531 pollen per
272 cm^{-3} and a 75th percentile of 2506 pollen per cm^{-3} . Within this interval, hypohaline ostracods
273 are locally found although represented by few valves. The sedimentation rate (SR) varies
274 according to the lithology. While the lower silty layers have a SR of 1.7 to 2 mm per year, the
275 organic silt and peat deposits are characterized by lower rates of 0.5 mm per year (Fig. 2). The
276 upper silty layers are characterized by a SR of 1.25 to 2 mm per year, close to the values
277 recorded for the lower layers. The uppermost level corresponds to a silty-sandy matrix
278 completely sterile of bioindicators. The material used for ^{14}C dating was only found in the silt-
279 organic and silt-peat layers. No macro-remains were observed in the lower sandy matrix and
280 upper silty-sand deposits. The core AQ III is framed by a chronology spanning from 510 ± 85
281 AD to 1770 ± 40 AD (Fig. 2), or from the Ostrogothic Kingdom to the Austrian Empire.

282

283 *4.2. Ecosystem dynamics*

284 Since 500 AD, the evolution of vegetation surrounding the waterlogged area developed after
285 the abandonment of the Roman fluvial harbour is detailed in two pollen diagrams with the first
286 focused on terrestrial plants (Fig. 3) and the second on floodplain vegetation (Fig. 4). The pollen
287 dataset was categorized into 9 PdVs grouped into 4 assemblages (Dryland, Dry floodplain,
288 Wetland, Floodplain) using a cluster analysis (Fig. 5). The PdVs were then converted into
289 markers of hydrological shifts using the floodplain vegetation (Fig. 6A) and a Loess PCA-Axis
290 1 (Fig. 6B) as proxies. The outcomes suggest a humid environment until 660 ± 55 AD, with a
291 well-developed floodplain (macrophytes and helophytes) surrounded by fen trees (*Alnus*,

292 *Betula*, *Populus* and *Salix*) and by a wet meadow (dominated by Poaceae, Ranunculaceae and
293 Campanulaceae). Most of the macrophytes and helophytes suggest the presence of stagnant
294 waters or a river branch with a very low streamflow, creating a swamp in the city. This
295 interpretation is consistent with the occurrence of ostracods typical of shallow hypohaline
296 environments with stagnant waters (*Pseudocandona* and *Cyclocypris* species). Two spikes at
297 565-590±55 AD may be consistent with floodplain high-stands (Fig. 6A). Agriculture was
298 weakly developed during this first phase (Fig. 6C). After 660±55 AD, the floodplain regressed
299 significantly, reaching its first low level at 950±45 AD and a second at 1200-1230±35 AD (Fig.
300 6). The macrophytes and helophytes were gradually replaced by dry floodplain scrubs (Fig. 7A)
301 and by a pine-oak woodland (Fig. 7B), suggesting the development of drier environmental
302 conditions. Accordingly, the disappearance of ostracods points to a marked decrease in the
303 water table. A reliable linear relationship ($R^2=0.66$; $P_{\text{value}} < 0.001$) was established between the
304 loss of fen trees and helophytes, and the increase of pine-oak woodland, implying an inverse
305 relationship. During this period (660±55 to 1230±35 AD), agriculture and pastoral activities
306 increased significantly (Fig. 6C). From 1230±35 to 1770±40 AD, the area oscillates between
307 dry and wet conditions, suggesting unstable environmental conditions. The drier phases are
308 supported by xeric components (e.g. Chenopodiaceae, *Artemisia*, Asteraceae, *Quercus*
309 evergreen). The wet phases, consistent with a rise in the floodplain's water table, are attested
310 by an increase in helophytes and wet meadow plants (Fig. 4), and the local reappearance of a
311 hypohaline ostracod fauna. After 660±55 AD, and the drying of the swamp, wet phases were
312 recorded at 1000-1050±40, 1250-1310±35, 1380-1420±25, 1480-1500±40, and 1590-1650±30
313 AD (Fig. 6A-B). After 1200±35 AD, agricultural and pastoral activities declined sharply, then
314 fluctuated, before regaining in importance after 1710±35 AD (Fig. 6C).

315

316 4.3. Agricultural practices, pastoral activities and fire

317 The agricultural practices (agri- and arboriculture) and pastoral activities were first depicted as
318 long-term dynamics (Fig. 6C) and then shown as ratios (Fig. 8A). During the last 1500 years,
319 agriculture has never exceeded 15% of the pollen sum (with a maximum of 6% for the cereals)
320 and the pastoral plants never rise above 12%. Both were probably more developed during the
321 Roman period and the heydays of the city. Agriculture is mainly recorded from 620±55 to
322 1230±35 AD, during the patriarchal rule of the city, and then after 1710±35 AD, during the
323 Austrian Empire (Fig. 8A). Fire activity meshes inversely with the mixed oak forest phase (Fig.
324 8A). The mixed oak forest regressed most significantly when fire return intervals were high,

325 and inversely developed when slash-and-burn agriculture declined (Fig. 8B). When both
326 agricultural and pastoral practices are combined, there is a clear link between anthropogenic
327 activities and ignitions, suggesting human-induced fires in the area (Fig. 8C and 8D). From
328 1230±35 to 1430±35 AD, a period marked by general decline in anthropogenic and cultivated
329 taxa, the pastoral activities/agriculture ratio shows a prevalence of pastoral activities over
330 agriculture (Fig. 8A). After 1710±35 AD, a declining ratio is consistent with a renewed increase
331 in agricultural activities (Fig. 8A), probably slash-and-burn agriculture as fire indicators also
332 increase (Fig. 8D).

333

334 *4.4. Climate reconstruction*

335 The reconstruction of temperature anomalies since 500 AD depicts colder periods centred on
336 the LALIA, the Oort minimum, and the different episodes of the LIA (Wolf minimum, Spörer
337 minimum, Maunder minimum; Fig. 9A; Marriner et al., 2022). The colder phase corresponds
338 to the LALIA, locally recorded from 535±75 to 660±55 AD, with a minimum of $-3.5\pm 0.17^{\circ}\text{C}$
339 at 590±55 AD and an average of $-2.04\pm 0.17^{\circ}\text{C}$ for the whole phase. The second cooler period
340 corresponds to the Maunder episode, with a minimum of $-1.4\pm 0.14^{\circ}\text{C}$ and an average of $-$
341 $0.78\pm 0.15^{\circ}\text{C}$ for the whole phase. The cold periods are correlated with greater humidity and the
342 expansion of the swamp area, as highlighted by the Loess PCA-Axis 1. An exception is the
343 Maunder minimum, during which the area remained dry (Fig. 9B). Focusing on spring-summer
344 precipitation, the drier phases are centred on the Medieval Climate Anomaly (average 291±2
345 mm), and on the period lasting from the end of the Wolf minimum to the onset of the Maunder
346 minimum (average 287±2 mm; Fig. 9C). While precipitation increases are concomitant with
347 the development of the swamp area, the Maunder minimum is characterized by higher rainfall
348 but a dry context (Fig. 9B).

349 When the reconstructed temperature anomalies are compared and contrasted with the
350 CRUTEM4 dataset (1850-2019 AD; Jones et al., 2012; Osborn and Jones, 2014), there is a clear
351 link between the record from Aquileia and the climatic evolution of the northern Adriatic. The
352 anomalies of $-1.09\pm 0.14^{\circ}\text{C}$ at 1770±40 AD (last point recorded in the core AQ III) and of $-$
353 1.13°C at 1850 AD (first data available in the CRUTEM4 dataset) are consistent. The sinusoidal
354 model ($P_{\text{value}} < 0.001$) shows no discontinuity between the two curves (Fig. 9D), suggesting that
355 core AQ III and CRUTEM4 are comparable. It also appears that the MCA was not warmer than
356 the 20th century AD in the northern Adriatic, but cooler compared to the 21st century AD.

357

358 **5. Discussion**

359 The fluvial harbour of Aquileia offers a rare record of the environmental shifts that have
360 occurred on the northern Adriatic coastal plain since 500 AD. In the Gulf of Venice, the late
361 Holocene ecosystem dynamics have been poorly studied, especially the last two millennia.
362 Previous work has focused on the dynamics of salt-marsh plant communities (Miola et al.,
363 2010), on long-term sequences covering the Pleistocene-Holocene (*e.g.* Bortolami et al., 1977;
364 Bondesan et al., 2003; Masari et al., 2004; Pini et al., 2009; Donnici et al., 2012), on grasslands
365 covering the eastern part of the northern Adriatic (Kaligarič et al., 2006), and on sequences
366 from the Istrian Peninsula, Croatia (*e.g.* Kaniewski et al., 2016, 2021).

367

368 *5.1. A wet and cold LA Little Ice Age*

369 From 508±80 to 660±55 AD, Aquileia was wet, marked by significant occurrences of fen trees,
370 helophytes, macrophytes and by an expanded wet meadow. The floodplain that hosted the city
371 of Aquileia was well-developed during the Ostrogothic Kingdom and the early phase of the
372 Kingdom of the Lombards. The zone was a swamp area, characterized by low-energy fluvial
373 dynamic in the center surrounded by stagnant water. The background vegetation is mainly
374 composed of a mixed oak forest and by short incursions of dry floodplain scrubs. The upper
375 part of the marine core RF 93-30, from the western flank of the Adriatic (Oldfield et al., 2003)
376 depicts a similar vegetation pattern, with a dominant mixed oak forest and a strong presence of
377 helophytes (Cyperaceae). At Aquileia, two major wet peaks at 565-590±55 AD may suggest a
378 floodplain high stand (Fig. 6A). Similar conclusions were reached by a geomorphological study
379 of the Natiso River (Siché, 2008). As agriculture and pastoral activities were weakly developed
380 in the northern Adriatic (Fig. 6C), the environmental variations seem to be mainly related to
381 climate. The LALIA is defined as a cold episode which lasted from 536 to 660 AD. Some
382 studies suggest that it exceeded the LIA in severity (Büntgen et al., 2016), with a major impact
383 in Italy (Neukom et al., 2019; Peregrine, 2020). The reconstructed temperatures at Aquileia
384 show a similar pattern, with an average of $-2.04\pm 0.17^{\circ}\text{C}$ for the LALIA, and an average of $-$
385 $0.78\pm 0.15^{\circ}\text{C}$ for the coldest event of the LIA (Maunder minimum). The minima follow the
386 same trend, with a value of $-3.5\pm 0.17^{\circ}\text{C}$ at 590±55 AD (LALIA) and $-1.4\pm 0.14^{\circ}\text{C}$ at 1642±30
387 AD (LIA; Fig. 9A). The LALIA at Aquileia was, on average, approximately -1.26°C colder
388 than the LIA. A wetter and colder climate, as shown by the core AQ III (Fig. 9C), has also been

389 elucidated in the Gulf of Gaeta (Di Rita et al., 2018), in Sicily (Sadori et al., 2016) and in coastal
390 Croatia where spring-summer precipitation increased significantly during this phase
391 (Kaniewski et al., 2021). At other sites, this period is inversely associated with a marked human
392 impact on the environment (e.g. Lago Lungo; Mensing et al., 2015; core ND2; Michelangeli et
393 al., 2022). The core AQ III suggests a cold and wet northern Adriatic from 508±80 to 660±55
394 AD consistent with Mediterranean Sea Surface Temperatures at this time (Marriner et al.,
395 2022).

396

397 *5.2. A shift towards warmer and drier conditions*

398 From 660±55 to 1230±55 AD, the area changed radically from a floodplain to a drier
399 environment as shown by the Loess PCA-Axis1 (Fig. 6B), with an important extension of the
400 scrubs on desiccated banks, and of the pine-oak woodland in adjacent areas. The Natiso River
401 was transformed into a minor waterway with reduced flow. One of the main changes since
402 660±55 AD was the development of agriculture during the patriarchal rule of the city. Fire has
403 long been an element of pressure on ecosystems and an agent associated with agricultural
404 activities in Italy (e.g. Sadori and Giardini, 2007; Vanni re et al., 2008). At Aquileia, the same
405 pattern was found, but agriculture was however limited as taxa associated with anthropogenic
406 activities never exceed 15% of the pollen sum during this period. The olive trees never reached
407 values equivalent to those recorded at the Lago Alimini Piccolo in southern Italy (Di Rita and
408 Magri, 2009) and are closer to the values identified at Lago Lungo (Mensing et al., 2015) and
409 in the core ND2 (Michelangeli et al., 2022). Similarly, fire events are mainly recorded during
410 the reintroduction of cultivated species (Fig. 8D), a short period extending from 660±55 to
411 750±55 AD and after 1700 AD. The development of slash-and-burn agriculture, while limited,
412 seems to have mainly affected the mixed oak forest, freeing up ecological niches colonized by
413 the pine-oak woodland (Fig. 7B). As agriculture was not dominant in the area, the variations
414 recorded by the core AQ III derive from a mixed climate-anthropogenic signal, with an
415 environmental forcing more influential than anthropogenic factors as suggested by the
416 occurrence of the main climatic phases of the pre-industrial era. Focusing on the climate
417 parameters, it appears that the area was warmer after the LALIA, with a first cooler period
418 corresponding to the Oort minimum (from 1000±40 to 1050±40 AD; Fig. 9A). The Oort
419 minimum, defined as a period of low solar activity (Yiou et al., 2012), does not seem to have
420 been a major event in the northern Adriatic, with an average of $-0.2\pm 0.14^{\circ}\text{C}$ recorded at
421 Aquileia. The weak influence of the Oort minimum in the Gulf of Venice has already been

422 highlighted with regards to sea-storm activity (Camuffo et al., 2000). After 1050±40 AD, the
423 area around Aquileia became warmer, with temperature anomalies peaking at 0.4±0.15°C at
424 1135±35 AD. While the MCA (core period: 1000-1200 AD) is characterized by anomalously
425 warm conditions in some (but not all) regions (Mann et al., 2009; Lüning et al., 2019), in the
426 northern Adriatic, the average temperature anomaly for the whole episode is 0.08±0.15°C, close
427 to the control period 1961-1990 AD (13.04°C). This observation is corroborated by a European
428 study which revealed that temperatures during the MCA were probably as warm as the 20th
429 century AD (Büntgen and Tegel, 2011). The MCA at Aquileia ended at 1230-1250±35 AD. AQ
430 III shows a warm and dry northern Adriatic from 660±55 to 1230-1250±35 AD, with a low
431 impact of the Oort minimum and a local, limited, increase of anthropogenic pressures on the
432 environment.

433

434 *5.3. A succession of cold and warm phases*

435 From 1250±35 to 1770±40 AD, the swamp area oscillated between wet and dry phases. The
436 dry phases are attested by the occurrence of xeric components within and beyond the floodplain
437 while the wet episodes are mainly attested by an increase in helophytes and wet meadow plants.
438 This suggests that the flow of Natiso River has oscillated markedly over time (Fig. 6A).
439 Although the wet phases are clearly identified by the Loess PCA-Axis1, the waterbody never
440 reached the level recorded during the LALIA. Following the end of patriarchal rule (in 1236
441 AD), agricultural, pastoral and fire activities decreased markedly. All the anthropogenic
442 pressures on ecosystems seem to have been reduced until 1710±35 AD and renewed after this
443 period (Fig. 6C). The signal is thus mainly modulated by environmental factors, namely climate
444 variations. The first cold and wet phase occurred from 1250±35 to 1335±30 AD and meshes
445 with the Wolf minimum, a Grand Solar Minimum spanning the period 1270-1350 AD
446 (Steinhilber et al., 2009; Usoskin et al., 2016; Fogtmann-Schulz et al., 2021). At Aquileia, the
447 temperature anomaly recorded during this phase is on average -0.32±0.16°C. The area became
448 colder but also wetter, with a clear positive deviation of the spring-summer precipitations,
449 similar to the trends observed in coastal Croatia (Kaniewski et al., 2021). The second cold and
450 wet phase occurred from 1460±35 to 1500±40 AD and corresponds to the central point of the
451 Spörer minimum that spans the period 1390-1550 AD (Fogtmann-Schulz et al., 2019; Marriner
452 et al., 2022). This episode is weakly recorded at Aquileia, with a maximum anomaly of -
453 0.09±0.14°C. Inversely, this phase is characterized by an important positive deviation in spring-
454 summer precipitation, correlated with a catastrophic flood event in the nearby Isonzo River at

455 1480 AD (Siché, 2008) and a significant increase in precipitation in coastal Croatia (Kaniewski
456 et al., 2021). This event is also correlated with a maximum frequency of sea storms in the Gulf
457 of Venice (Camuffo et al., 2000). The last cold and wet episode recorded in AQ III fits with the
458 Maunder minimum (1645-1715 AD; Shindell et al., 2001; Usoskin et al., 2015) that locally
459 spans the period 1620 ± 30 to 1690 ± 30 AD. On average, the temperature anomaly is -
460 $0.78\pm0.15^\circ\text{C}$ with a minimum of $-1.4\pm0.14^\circ\text{C}$ (Fig. 9A). The Maunder minimum is the second
461 coldest episode and also the second wettest event, after the LALIA. The warmer phase is
462 recorded from 1520 ± 40 to 1600 ± 30 AD, with an average annual temperature anomaly of
463 $0.71\pm0.15^\circ\text{C}$. This warm period is correlated with a major increase in total solar irradiance
464 during a phase of low solar activity (Steinhilber et al., 2009), and higher winter and summer
465 temperatures in coastal Croatia (Kaniewski et al., 2021). The Eurasian summer temperature
466 variability reconstructed from tree rings also depicts anomalies close to the MCA during this
467 period (Büntgen et al., 2016). When the anthropogenic activities resumed at 1710 ± 35 AD under
468 the Austrian Empire (Fig. 8C), the climate conditions were warm and still wet, facilitating
469 agriculture and arboriculture. AQ III points to unstable environmental conditions in the northern
470 Adriatic from 1250 ± 35 to 1770 ± 40 AD, with a maximum impact during the Maunder
471 minimum. Even though AQ III is chronologically correlated with solar irradiance, a direct
472 causal relationship is not suggested here because the cold LIA periods began earlier than the
473 decline in solar activity and the Grand Solar Minimum.

474

475 **6. Conclusions**

476 The port city of Aquileia has provided a rare reconstruction of climatic and anthropogenic
477 pressures on ecosystems from the end of the Roman Empire to the Austrian Empire in the
478 northern Adriatic. The abandoned fluvial harbour evolved into a swamp, recording the major
479 tipping points in the ecosystem dynamics, mainly due to climate pressures and, secondly, to
480 anthropogenic activities. The main phases recorded by the ecosystems correspond to the LALIA
481 and the Maunder minimum, with the development of cold and wet conditions. Inversely, the
482 positive temperature anomalies reconstructed for the MCA suggest that this episode is close to
483 temperatures recorded for the 20th century AD but, however, they differ from those of the 21st
484 century AD. Anthropogenic activities, while diverse, seem to have been a secondary pressure
485 at Aquileia. Their development is chronologically limited (late 7th to early 13th centuries AD)
486 and their amplitude not strong enough to supersede the other environmental pressures. The
487 long-term ecosystem changes that may correspond to human pressures on the rural environment

488 are probably masked by the climate dynamics. Aquileia suggests that the environmental
489 pressures have been key in shaping the landscapes of the climate-sensitive northern Adriatic.

490

491 **Author statement**

492 Conceptualization: DK, NM, GS, DC, CM. Methodology: DK, NM, GS, DC, RC, TO, FL, CM.

493 Investigation: DK, NM, GS, DB, MM, VR, AL, AB, MP, DC, MV, RC, TO, FL, CM.

494 Visualization: DK, NM, GS, DC, CM. Funding acquisition: DK, NM, GS, DC, CM.

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497

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499 The authors declare that they have no known competing financial interests or personal
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510

511 **Data availability**

512 All data needed to evaluate the conclusions in the paper are present in the paper and/or the

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514

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747

748 **Figures**

749 **Figure 1. Geographical location of the study area in Italy.** The ancient harbour of Aquileia
750 is marked in red. The city of Venice is indicated on the map by a green circle.

751

752 **Figure 2. Focus on the core AQ III and the radiocarbon chronology.** The lithology of the
753 core is detailed according to depth. The sedimentation rates are shown in mm per year. The
754 radiocarbon dates are depicted as intercepts and 2-sigma calibrations (95% of probability). The
755 age model is compared and contrasted with linear (purple line) and polynomial (orange line)
756 regressions.

757

758 **Figure 3. Detailed pollen diagram (woody and herbaceous vegetation) for the period 500-**
759 **1800 AD.** The taxa are plotted on a linear age-scale. The vertical lines indicate the main
760 transitions.

761

762 **Figure 4. Detailed pollen diagram of the Aquileia river floodplain for the period 500-1800**
763 **AD.** The taxa are grouped into PdVs and plotted on a linear age-scale. The vertical lines indicate
764 the main transitions.

765

766 **Figure 5. Pollen-based ecological clusters from Aquileia for the period 500-1800 AD.** A
767 cluster analysis (Paired group as algorithm, Correlation as similarity measure) was used to
768 define the ecological assemblages. Each cluster was summed to create the PdVs. The PCA
769 loadings of each PdV are indicated on the graph.

770

771 **Figure 6. Environmental dynamics of the floodplain.** (A) Evolution of the floodplain
772 depicted by the riparian forest and marshland. The occurrence of hypohaline ostracods is also
773 shown. (B) Loess PCA-Axis 1 (77% of total variance) shown with the 95% confidence band.
774 (C) Pastoral activities alone (dark green) and pastoral activities with agriculture (light green)
775 are plotted on a linear age-scale. All the long-term trends are highlighted by polynomial models
776 (order 5, $P_{\text{value}} < 0.001$).

777

778 **Figure 7. Floodplain dynamics compared and contrasted with dry components.** (A)
779 Variations in the floodplain contrasted with the dynamics of the dry floodplain scrubs based on

780 a synthetic diagram and a 2D Kernel-density model. The R^2 of the cross-correlation is indicated
781 on the Kernel graph **(B)** Floodplain dynamic compared to the dryland components based on a
782 synthetic diagram and a Generalized Linear Model. The R^2 of the cross-correlation is indicated
783 on the synthetic diagram **(C)** Gradient Species packing test showing the distribution of each
784 cluster taking the floodplain as a stationary reference. All the long-term trends are highlighted
785 by polynomial models (order 5, $P_{\text{value}} < 0.001$).

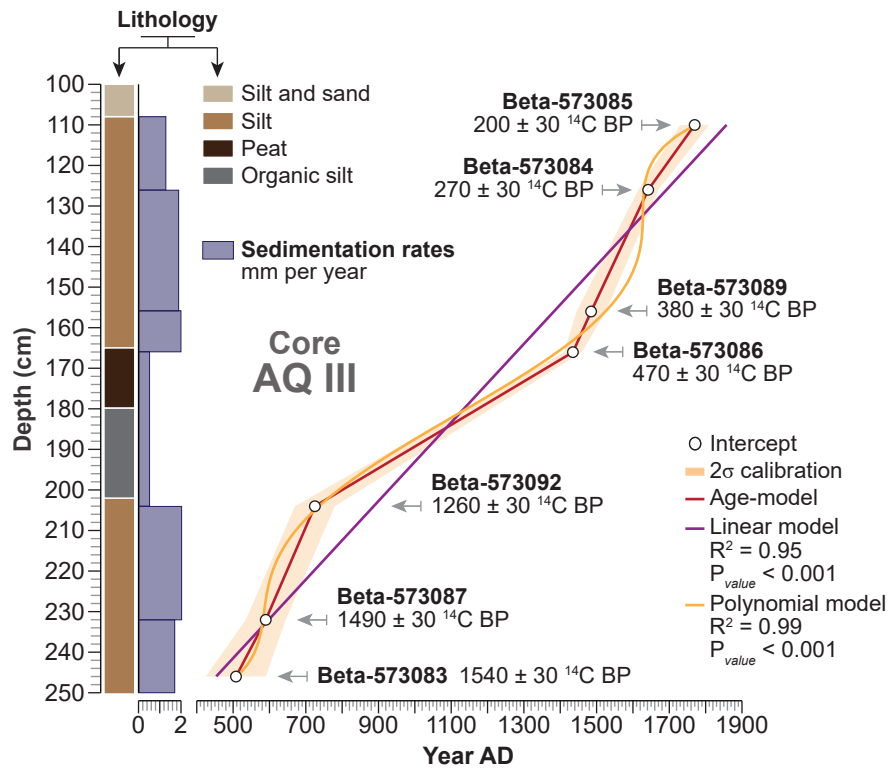
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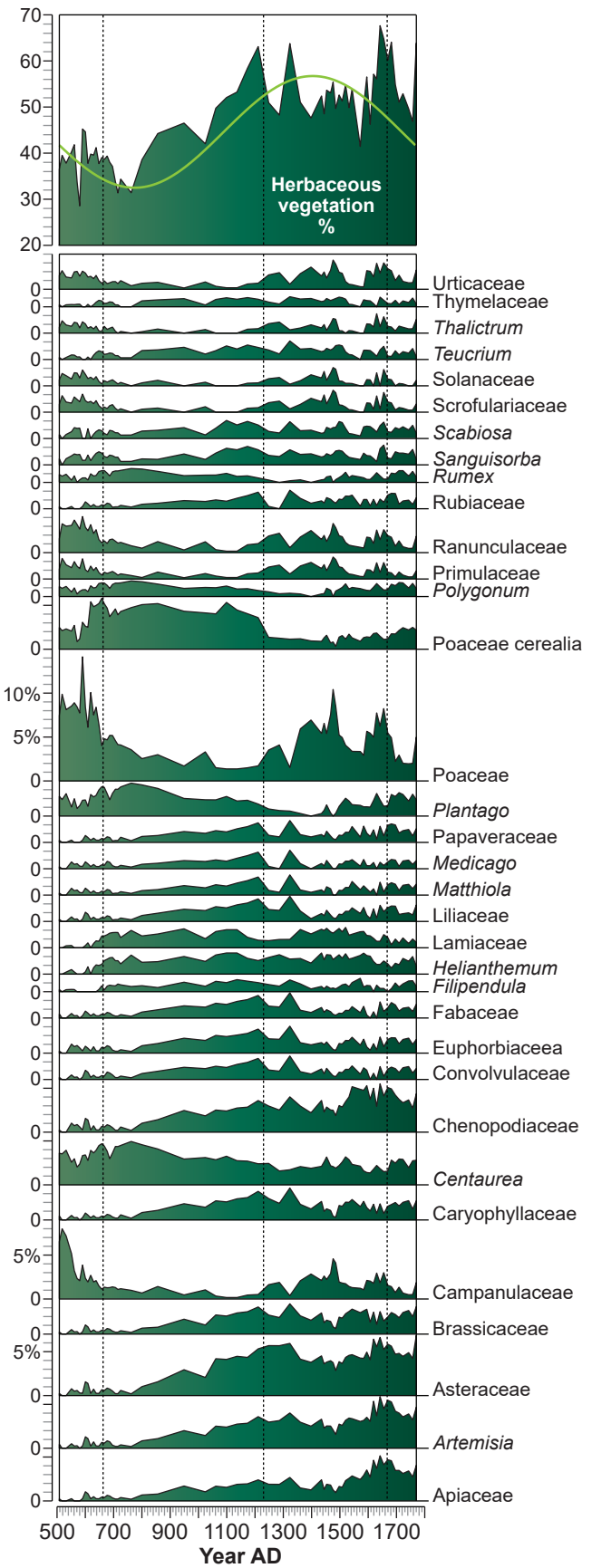
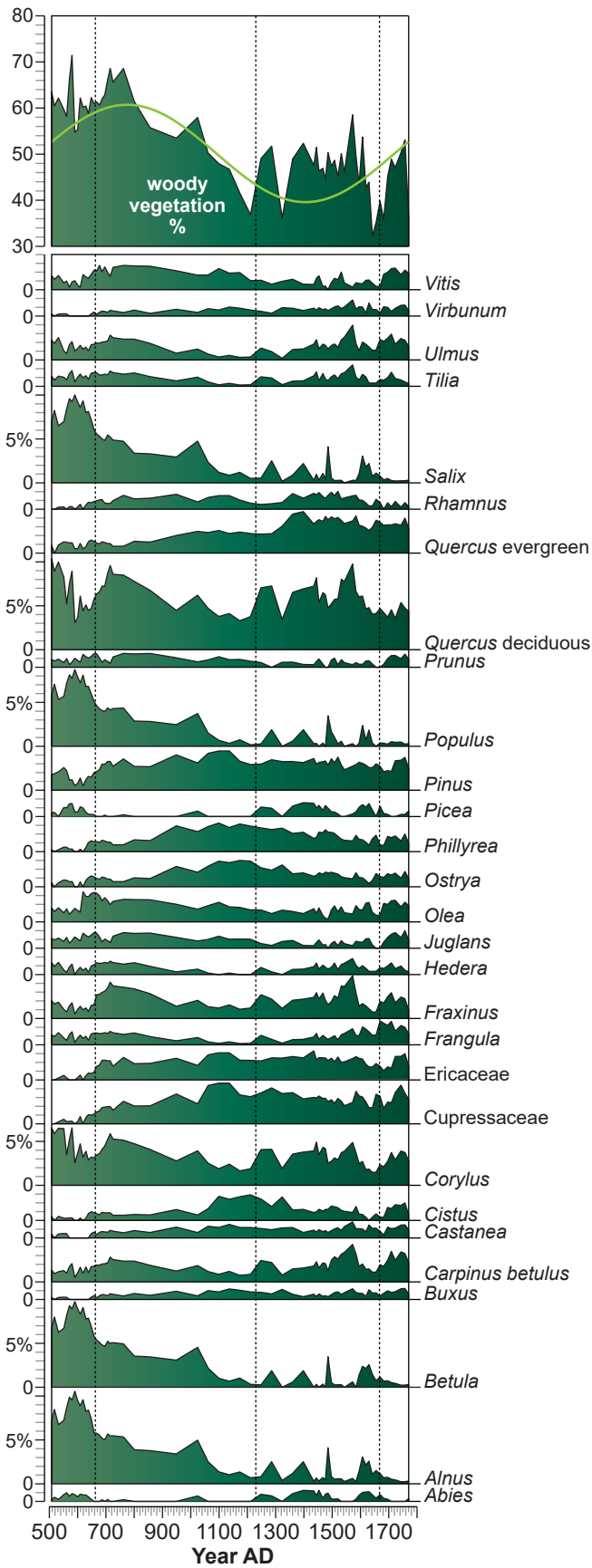
787 **Figure 8. Agriculture, pasture and fire activity.** **(A)** Ratio pasture activities/agriculture and
788 fire dynamics plotted on a linear age-scale. **(B)** Mixed oak forest dynamics compared and
789 contrasted with fire activity (shown as a shaded curve). **(C)** Agro-pastoral activities contrasted
790 with the fire activity (shown as a shaded curve). **(D)** CHAR analysis plotted on a linear age-
791 scale. The red circles with white crosses denote the fire episodes. All the long-term trends are
792 depicted by polynomial models (order 5, $P_{\text{value}} < 0.001$).

793

794 **Figure 9. Pollen-based climate reconstruction.** **(A)** Temperature anomalies (with the
795 standard deviations) plotted on a linear age-scale. **(B)** Loess PCA-Axis 1 (77% of the total
796 variance) shown with the 95% confidence band. **(C)** Spring-summer precipitation (with the
797 standard deviations) plotted on a linear age-scale. All the long-term trends are depicted by
798 polynomial models (order 5, $P_{\text{value}} < 0.001$). **(D)** Temperature anomalies compared and
799 contrasted with the 1850-2019 CRUTEM4 data (Osborn and Jones, 2014). The sinusoidal
800 model ($P_{\text{value}} < 0.001$) is depicted as a purple line.







Water

RIVER FLOODPLAIN

