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A Unified Experimental/Theoretical Description of the Ultrafast Photophysics of Single and Double Thionated Uracils

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Abstract: Photoinduced processes in thiouracil derivatives have lately attracted considerable attention due to their suitability for innovative biological and pharmacological applications. Here, sub-20 fs broadband transient absorption spectroscopy in the near-UV are combined with CASPT2/MM decay path calculations to unravel the excited-state decay channels of water solvated 2-thio and 2,4-dithiouracil. These molecules feature linear absorption spectra with overlapping

pp* bands, leading to parallel decay routes which we systematically track for the first time. The results reveal that different processes lead to the triplet states population, both directly from the pp* absorbing state and via the intermediate np* dark state. Moreover, the 2,4-dithiouracil decay pathways is shown to be strongly correlated either to those of 2- or 4-thiouracil, depending on the sulfur atom on which the electronic transition localizes.

Introduction

Thiobases are nucleobase derivatives obtained by the replacement of oxygen atoms in the canonical exocyclic carbonyl group with sulfur.^[1,2] Thionation redshifts the absorption spectra^[3] and radically changes the photophysics^[4] of sulfur-substituted bases. In nucleobases, after UV-light absorption, ultrafast nonradiative repopulation of the ground state occurs through conical intersections (CIs),^[5] leading to their remarkable photostability.^[6] In contrast, in thiobases the main photoexcited state deactivation pathway is intersystem crossing (ISC) to long-lived reactive triplet states. The selective UV absorption and the

high triplet quantum yield^[7–9] confer to thiobases great potential in the field of photodynamic therapeutic applications^[10–14] as well as for their use as photolabels.^[15–17] Elucidating the photophysical mechanisms leading to population of the triplet state and their timescales has become increasingly relevant.^[8,9,18–20] To date, transient absorption (TA) spectroscopy experiments, with approximately 200-fs time resolution, for 2-thiouracil (2TU), 4-thiouracil (4TU) and 2,4-dithiouracil (24TU) have shown an ultrafast ISC occurring within hundreds of femtoseconds.^[7,10,21] For 2TU^[21–27] and 4TU,^[28,29] high-level calculations have pointed out two possible energy relaxation pathways from the lowest bright excited singlet state: direct ISC to the triplet manifold or a two-step process, whereby the population of the triplet state is mediated by a dark singlet state of np* symmetry.^[18,20,21,23,24,26,28–33] However, comprehensive experimental data with high enough time resolution and calculations mapping all the simultaneously populated decay paths are still lacking for this class of compounds, preventing a detailed picture of the ultrafast triplet state formation mechanisms.

Here, we combine broadband TA spectroscopy with sub-20 fs pulses in the UV and target analysis thereof with quantum mechanics/molecular mechanics (QM/MM) simulations to decipher the relaxation pathways of thio-substituted uracils in solution and model the TA experimental spectra (see Experimental Section and Supporting Information for details). We measure accurate singlet-state lifetimes leading to the triplet manifold of 2TU and 24TU, originating from simultaneously populated pp* decay pathways, resolved by a detailed QM/MM computational mapping. This work establishes a unified view of the photophysics of thiouracils.

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Results and Discussion

Figure 1 shows the chemical structures of the three investigated thiobases and the corresponding linear absorption (LA) spectra (dashed lines). The calculated spectra (solid lines)^[3] are in satisfactory agreement with the experimental ones. Spectral decomposition (grey lines) of the computed LA spectra reveals a complex electronic structure consisting of two [$\pi\pi^*(S2)$, $\pi\pi^*(S2)$] and four [$\pi\pi^*(S4)$, $\pi\pi^*(S2)$, $\pi\pi^*(S4)$, $\pi\pi^*(S2)$] bright components for 2TU and 24TU, respectively. Overlapping bands excited simultaneously by a specific pump pulse (red dashed lines) give rise to competing decay channels. Differently from previous studies,^[3,23,24,27,34] which considered only the lowest $\pi\pi^*$ state, we perform a complete analysis by mapping all decay pathways from the $\pi\pi^*$ states populated simultane-

ously at a specific pump wavelength ($\pi\pi^*$ grey overlapping bands in Figure 1) for the first time.

Figure 2 compares the TA spectra of the thiobases for different time delays. For 2TU (panel a) we observe the formation of two photoinduced absorption (PA, $\Delta A > 0$) bands: one in the UV (350–450 nm) and another in the visible (400–650 nm) range. Between these two bands in the 450–550 nm range there is at early delays a dip in the signal that we assign to a superposition of the PA with a stimulated emission (SE, $\Delta A < 0$) signal, as confirmed by the target analysis and calculations discussed later. For 24TU (panels b and c) we observe, for both 330 and 270 nm excitation wavelengths, a negative band at 360 nm assigned to the ground-state bleaching (GSB), because it corresponds to the $\pi\pi^*(S4)$ peak in the LA spectrum (Figure 1, central panel). We also observe a broad, redshifted

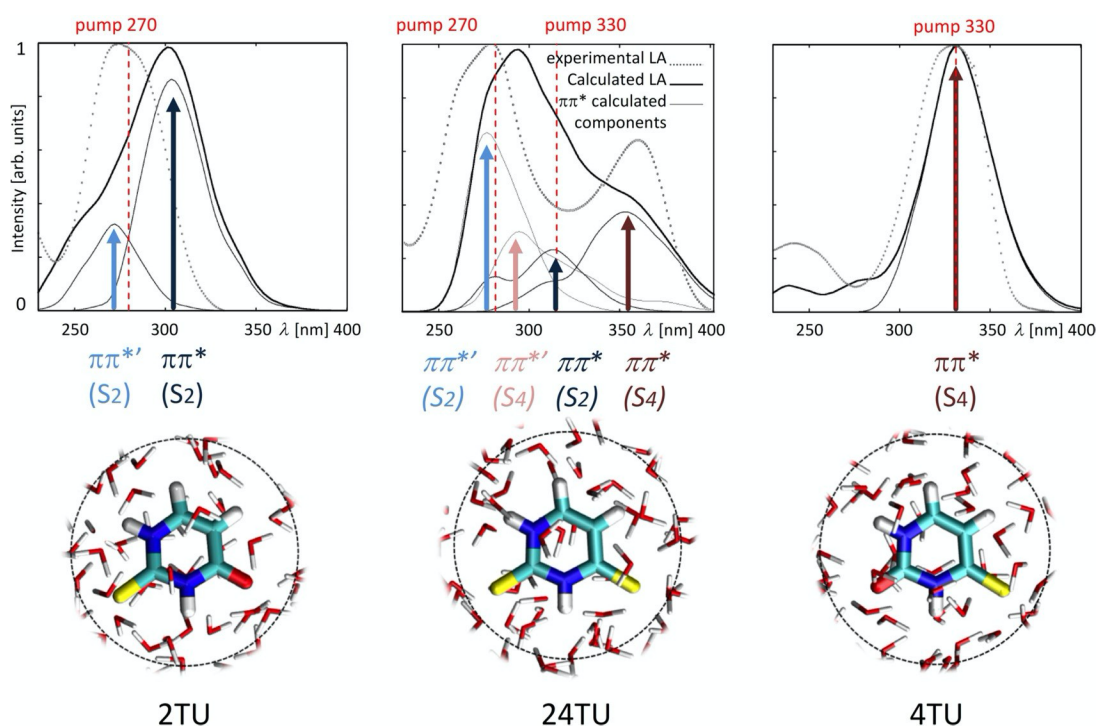


Figure 1. Experimental (dashed lines) and computed (black lines) LA spectra for 2TU and 4TU (at the MS-RASPT2/SA-6-RASSCF(12,9 j 2,4)/ANO-LS level) and for 24TU (at the MS-RASPT2/SA-9-RASSCF(2,2 j 14,10 j 2,2)/ANO-LS level), from Ref. [3]. Individual components, associated to specific $\pi\pi^*$ electronic transitions, are shown in gray and their band maxima are indicated with arrows. State labels (S_x) are chosen according to the sulfur atom on which the frontier occupied orbitals are localized. The involved orbitals are documented in Figure S2 and Tables S1 and S2 (Supporting Information). Red dashed lines indicate the wavelength at which each system is excited in the TA experiments.

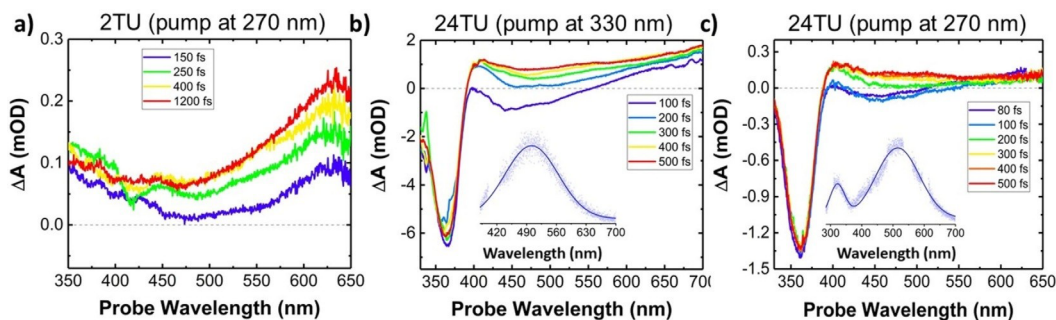


Figure 2. TA spectra at selected time delays: a) 2TU pumped at 270 nm. b) 24TU pumped at 330 nm. Inset: PL spectrum under excitation at 330 nm. c) 24TU pumped at 270 nm. Inset: PL spectrum under excitation at 270 nm.

negative band extending from 400 to 550 nm, which is assigned to SE. After about 200 fs the SE band evolves into a PA band which covers the 400–700 nm range. 2TU and 24TU dynamics at selected time delays are shown as insets in Figure 3 and Figure 4, respectively. Our previous studies on 4TU presents similar results, showing a SE band followed by the delayed build-up of a PA band in the visible.^[29] The 24TU steady-state photoluminescence (PL) shows a broad peak in the visible (400–700 nm) for both excitation wavelengths (insets Figure 2). For the 270 nm pump there is also a small peak centered at 350 nm.

The TA data were subjected to target analysis, extracting the evolution-associated difference spectra (EADS) which, combined with the QM/MM calculations, allow to obtain an overall picture of the decay pathways. Figure 3 illustrates the decay pathways of 2TU excited at 270 nm. The EADS reveal an excited state that decays with a time constant of 167 fs (red line) into a long-lived product (blue line). There is no decay on the ground state within the time window of 2 ps and the fittings indicate a long-lived state > 1 ns. The 167 fs EADS is characterized by a broad negative contribution, due to SE, between 400 and 650 nm and a narrow PA band peaking around 350 nm. The product does not show SE bands, but rather two distinct PA bands around 350 and 640 nm. We attribute the 167 fs EADS to the initially excited bright states $pp^*(S_2)$ and $pp^*(S_2)$, whereas the product arises from the triplet manifold. QM/MM calculations allow to assign the observed spectral features and shed light on the underlying non-adiabatic processes. The pho-

toexcitation at 270 nm (Figure 1) populates simultaneously two bright states— $pp^*(S_2)$ and $pp^*(S_2)$ —each of which undergoes a different decay path, illustrated on the right and left hand side of Figure 3 (dark-blue and light-blue circles). The $pp^*(S_2)$ state relaxes in a local minimum [Min $pp^*(S_2)$]. The 456 nm wavelength of the calculated vertical emission from Min $pp^*(S_2)$ is in good agreement with the peak of the negative contribution to the 167 fs EADS between 400–500 nm (highlighted in a full red box). The minimum is nearly isoenergetic with the minima on the np^* and ${}^3np^*$ states, denoted Min $np^*(S_2)$ and Min ${}^3np^*(S_2)$. Moreover, the geometries of the three structures are nearly identical (see Figure S3, Supporting Information), suggesting the existence of a three-state near-degeneracy region allowing for the continuous exchange of population between them. Notably, according to our calculations, all three states contribute to an intense PA around 350 nm in the 167 fs EADS (highlighted as a hollow red box). We correlate this continuous population exchange to an increase of the pp^* lifetime in 2TU, as compared to 4TU (results in Ref. [29]), for which we have recently reported a sub-100 fs lifetime, associated with the ballistic depopulation of the pp^* state.^[29] For the product EADS, the PA bands around 350 nm and between 550–700 nm (highlighted as hollow blue boxes) are in very good agreement with the calculated PAs of the lowest ${}^3pp^*$ triplet states [Min ${}^3pp^*(S_2)$ and Min ${}^3pp^*(S_2)$]. Similar bands have also been related to the triplet state in the literature.^[21] The non-adiabatic decay pathway following excitation of $pp^*(S_2)$ (left hand side of Figure 3) evolves through critical

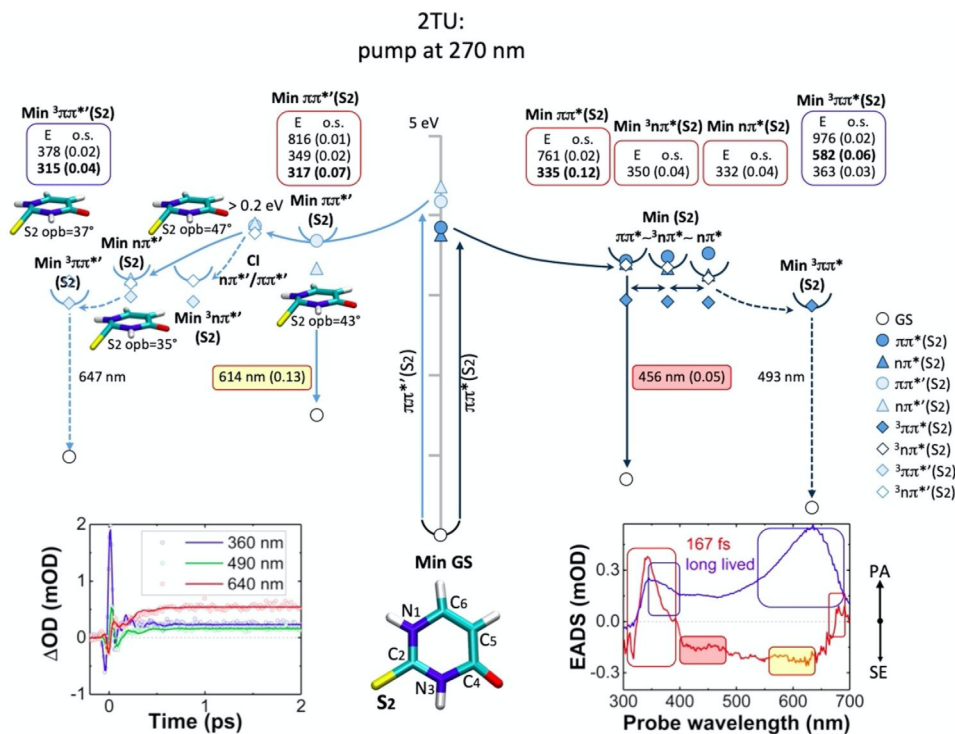


Figure 3. $pp^*(S_2)$ (on the right) and $pp^*(S_2)$ (on the left) decay paths of 2TU, starting from the Franck-Condon region. The two bright states are excited with the same pump pulse at 270 nm. The insets show the dynamics at selected wavelengths and the EADS with the corresponding time constants: 167 fs (red curve) and a long-lived product (blue curve). The calculated SE or PA energies and oscillator strengths (o.s.) are indicated in a red or blue box when they match with 167 fs or the product signals, respectively. For the calculated SE signals, the box background colors refer to different wavelength windows of the experimental ultrafast component (red curve, negative EADS signals).

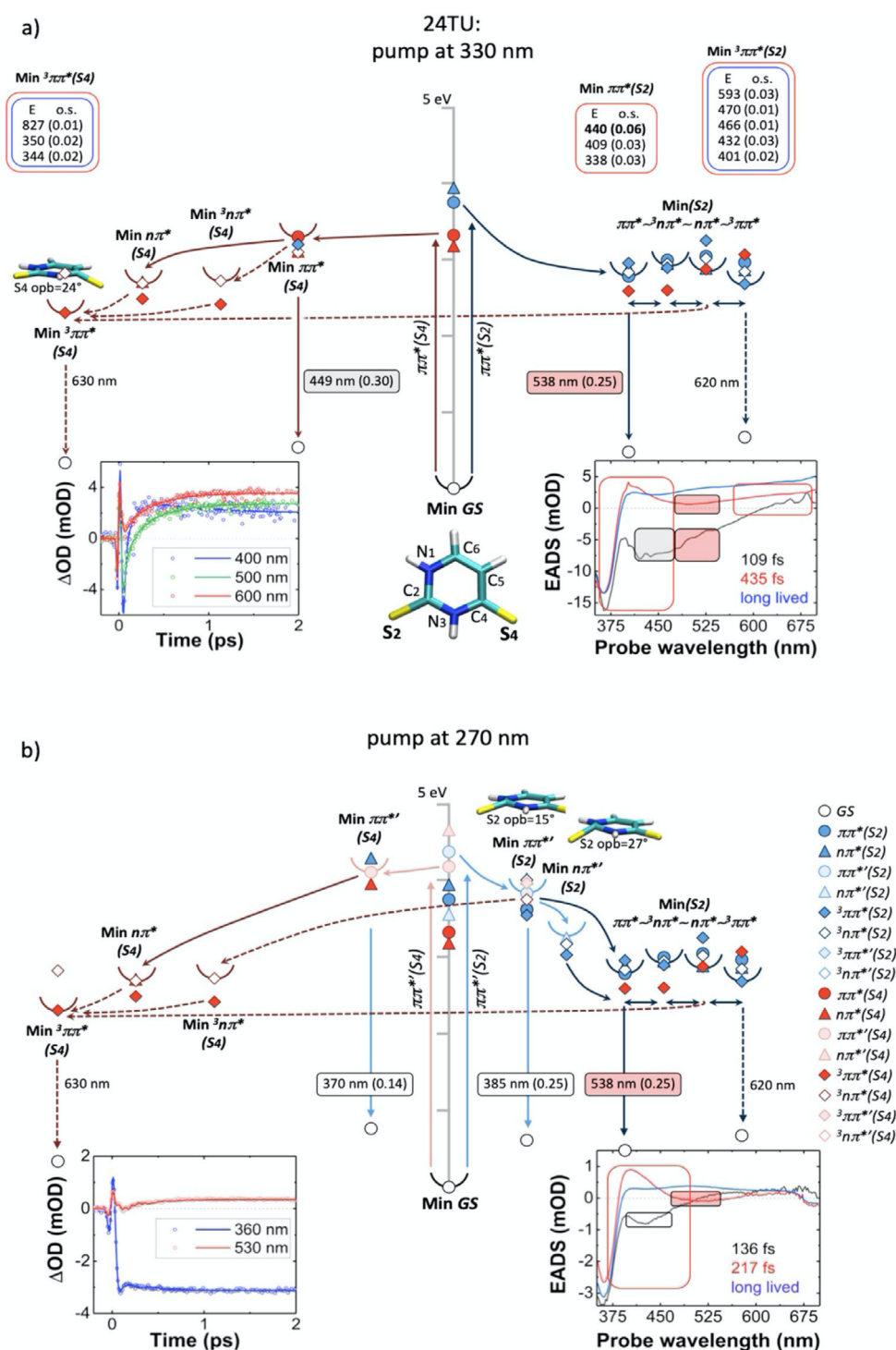


Figure 4. a) $pp^*(S2)$ (on the right) and $pp^*(S4)$ (on the left) decay paths, starting from the Franck–Condon region at 330 nm excitation wavelength. Insets: dynamics at selected wavelengths and EADS. b) $pp^*(S2)$ (on the right) and $pp^*(S4)$ (on the left) decay paths, starting from the Franck–Condon region at 270 nm excitation wavelength. Insets: dynamics at selected wavelengths and EADS. SE or PA values evaluated on all the minima are indicated with black, red and blue boxes according to the experimental lifetimes that they match. For the calculated SE signals, the box background colors refer to different wavelength windows of the experimental ultrafast component (black curve, negative EADS signals).

points decreasing in energy [$\text{Min } pp^* \rightarrow \text{Min } {}^3np^*$ or $\text{Min } n\pi^* \rightarrow \text{Min } {}^3pp^*(S2)$]. However, a small barrier along the route to reach the $pp^*/n\pi^*/{}^3np^*$ degeneracy region (CI $n\pi^*/pp^*$) could increase the pp^* lifetime to a few hundred fs. Notably, this pathway exhibits a strong sulfur out-of-plane bend-

ing (contributing to the longer lifetime) in all involved electronic states, unlike the $pp^*(S2)$ relaxation route described above, for which the system remains planar. This is attributed to the nodal structure of the p^* orbital (Figure S2 and Table S1, Supporting Information) which leads to an increased

antibonding character. According to our calculations the $\text{Min } pp^*(S2)$ contribute to the intense PA at approximately 350 nm in the 167 fs EADS, as the $\text{Min } pp^*(S2)$ region. The SE signal at about 600 nm in the EADS (highlighted in yellow) is typical of the $pp^*(S2)$ state, considering that it peaks at a similar wavelength to that of the calculated vertical emission from $\text{Min } pp^*(S2)$ (614 nm). Finally, the PA signal at about 350 nm in the product EADS (highlighted in a hollow blue box), corresponds to a transition from the $\text{Min } ^3pp^*$.

Summarizing, the observation of a broad 2TU SE band peaking in the range 400–500 nm and around 600 nm [matching with pp^* and $pp^*(S2)$ SE calculated energies, respectively; see Figure 3] strongly supports the hypothesis that the 167 fs lifetime could be addressed to the simultaneous population of both $pp^*(S2)$ and $pp^*(S2)$, driving to the final long-lived triplet states.

Figure 4 shows the decay pathways of 24TU excited at 330 nm (upper panel) and 270 nm (lower panel). A target analysis of the 330 nm pump data (EADS in Figure 4 a) reveals two time constants @109 fs (black) and 435 fs (red)—plus a long-living signal (blue). The 109 fs EADS shows a broad negative contribution (due to SE) peaking around 450 nm. The 435 fs EADS shows a broad positive signal (PA) covering the whole spectral window, which is superimposed with an SE band peaking around 500 nm, leading to a dip in the positive signal. The product shows a broad positive signal increasing in intensity for longer wavelengths. All EADS exhibit a strong narrow-band negative signal around 360 nm, attributed to GSB. We assign the EADS to the initially excited bright states in the Franck–Condon region (109 fs) and after relaxation to the minimum (435 fs), whereas the product EADS arises from the triplet manifold trapping the population on a ns-timescale.

As can be seen in the computed LA spectra (Figure 1), two bright states— $pp^*(S2)$ and $pp^*(S4)$, denoted by dark blue and red circles in Figure 4 a—are populated simultaneously by pumping at 330 nm. Each of them undergoes a different decay path, as indicated on the right- and left-hand side of Figure 4 a. The $pp^*(S2)$ state (right-hand side of Figure 4 a) shows great similarities with the decay mechanism in 2TU. Upon relaxing to the local minimum, $\text{Min } pp^*(S2)$, the calculated vertical emission of which (538 nm) matches with the tail of the negative signal above 475 nm in the 109 fs EADS, as well as with dip in the positive signal in the 435 fs EADS (highlighted in full red boxes), the population can undergo a non-adiabatic transfer to any of the states $np^*(S2)$, $^3np^*(S2)$ or $^3pp^*(S2)$. Their corresponding minima show pronounced structural (see Figure S5, Supporting Information) and energetic resemblance, suggesting the continuous exchange of population between all four states, as also hypothesized for 2TU. The presence of a SE signature in the 435-fs EADS is indicative of the long $pp^*(S2)$ lifetime. This is further corroborated by the calculations evidencing that the PA signal around 400–450 nm in the 435 fs EADS can only be attributed to the $\text{Min } pp^*(S2)$ (highlighted in hollow red box). Notably, the 435 fs EADS resembles the product EADS at longer wavelengths (> 550 nm), in support of the partial population of the lowest triplet state $^3pp^*$ on the hundred-fs timescale.

We now turn our attention to the non-adiabatic decay path involving the $pp^*(S4)$ state (left side of Figure 4 a). The contribution to the negative signal at lower wavelengths (425–475 nm) in the 109 fs EADS (highlighted in a full grey box) is assigned to the SE from $pp^*(S4)$. The analysis of the critical points reveals a barrierless path [$\text{Min } pp^* \rightarrow \text{Min } ^3np^*$ or $\text{Min } np^* \rightarrow \text{Min } ^3pp^*(S4)$] connecting energetically well-separated minima, which do not exhibit significant out-of-plane distortions. This energy profile suggests the ballistic depopulation of the bright state, in agreement with the obtained 109 fs time constant. The hypothesis of the ultrafast depopulation of the $pp^*(S4)$ state is supported by the absence of negative signal around 450 nm in the 435 fs EADS. Thus, rather than a relaxation process in the bright state, the two time constants 109 and 435 fs describe the parallel decay of the two pp^* states $pp^*(S4)$ and $pp^*(S2)$, respectively. We note the obvious similarities with the decay mechanism of the $pp^*(S4)$ state in 4TU, for which we have recently reported an even shorter lifetime of 76 fs associated with a fast $pp^* \rightarrow np^*$ decay in planar ring geometry.^[29] Pumping at 270 nm, two other bright pp^* states, $pp^*(S2)$ and $pp^*(S4)$, denoted by light-blue and red circles—are populated, the decay paths of which are shown on the right- and left-hand side of Figure 4 b. Target analysis reveals again two time constants @136 fs (black) and 217 fs (red)—plus a long-lived product state (blue). Overall, the EADS resemble their counterparts obtained from the 330 nm pump data target analysis, the main difference being the absence of the tail in the negative signal in the 136 fs EADS, now narrowed between 400–475 nm (highlighted in black box). Furthermore, we note the decrease of the intensity of the strong negative band at 360 nm between the 136 fs and 217 fs EADS. The spectral dynamics can be understood as the SE decay on a 136 fs timescale. Calculations show that the SE could be attributed to both $pp^*(S2)$ and $pp^*(S4)$, for which the vertical transition energies from their corresponding $\text{Min } pp^*(S2)$ and $\text{Min } pp^*(S4)$ fall at 370 and 385 nm, respectively. The calculated peak positions match the weak band in the PL spectrum (Figure 2 c) visible only after 270 nm pump.

The resemblance between the 217 fs and the 435 fs EADS lifetimes, observed upon excitation at 270 or 330 nm, respectively, as well as between the long-lived EADS, suggests that, after departure from the initially populated $pp^*(S2)$ and $pp^*(S4)$ states, the system follows dynamics similar to the one observed after 330 nm pump, in agreement with Kasha's rule. This conclusion is reinforced by the PL spectra (Figure 2 b,c) showing, for both pump wavelengths, a broadband peak at approximately 500 nm.

The joint analysis of the thio-substituted uracil derivatives 2TU, 24TU and 4TU^[29] evidences that, although the decay paths activated through excitation of the bright pp^*/pp^* states are characterized by different energetics, lifetimes, and molecular distortions, they exhibit a common pattern. The pp^*/pp^* states relax either to their corresponding singlet np^*/np^* states or directly to the triplet manifold ($^3np^*/^3np^*$) through an efficient ISC process. Eventually, the population is trapped in the lowest triplet state in each channel ($^3pp^*/^3pp^*$). Our calculations strongly suggest the involvement of the dark

np^*/np^* states in the non-adiabatic decay, in agreement with a previous dynamical calculation^[24] and time-resolved photoelectron spectroscopy experiments in the gas phase.^[26,28,29,32]

Nonetheless, the fingerprint PA signals of the np^*/np^* states are either weak in intensity (e.g., 24TU; for more details see Figures S6 and S7 in the Supporting Information) or coincide with more intense PA signals coming from the pp^*/pp^* states and the triplet manifold (e.g., 2TU; Figure S4, Supporting Information).

A deeper analysis of the pp^* decay pathways of the doubly substituted 24TU shows that they resemble the behavior observed for the single substituted 2TU or 4TU systems. This can be rationalized by looking at the shape of p orbitals. The $pp^*(S_2)$ transitions in 2TU and 24TU are defined by the same pair of p/p^* orbitals, with the bonding orbital localized on S_2 (Figure 5 a). Accordingly, we predict a similar deactivation mechanism characterized by population exchange between near-degenerate singlet/triplet states resulting in a comparably long pp^* lifetime. The $pp^*(S_4)$ transitions in 4TU and 24TU involve the same pair of p/p^* orbitals with the bonding orbital localized on S_4 , leading to a similar ballistic decay route with a 100 fs pp^* time constant (Figure 5 b). The $pp^*(S_4)$ decay path for 4TU is reported in Ref. [29]. Finally, the $pp^*(S_2)$ transitions on 2TU and 24TU show an evident out-of-plane distortion of the $C_2=S_2$ group along the decay path, attributed to the nodal structure of the p^* orbital, showing a nodal plane along the $C_2=S_2$ bond (Figure 5 c), which facilitates out-of-plane deformations. The $pp^*(S_4)$ decay path is not reported because at the excitation wavelength employed for 4TU (330 nm), this higher state is not populated due both to its blue shift and to its

small intensity [see the 4TU $pp^*(S_4)$ light-blue band in Figure S2, Supporting Information].

Conclusions

In summary, we have presented a complete study of the simultaneous decay paths for solvated thiouracils, considering all the bright states showing overlapping pp^* linear absorption bands and disentangling the different paths giving rise to the dynamical features in the TA spectra. Comparing the three systems 2TU, 24TU and 4TU, we identify common features. 1) The photoexcited pp^* manifold features an ultrafast decay to the triplet manifold, where it remains trapped on the nanosecond timescale. This non-adiabatic decay happens either directly through an ISC process between the bright pp^* and the ${}^3np^*$ triplet states, or through an intermediate np^* state, which remains spectroscopically elusive. 2) The former process leads to triplet formation on an approximately 100 fs timescale [167 fs for 2TU, 109/136 fs for 24TU and 76 fs for 4TU]. 3) Finally, the energetics and molecular deformations along the individual decay routes are correlated to the sulfur atom involved in the frontier p-orbitals, that is, S_2 or S_4 , justifying the similarity of the behavior of 24TU with 2TU/4TU.

Experimental Section

Femtosecond TA measurements used sub-20 fs pump pulses centered at 330 nm or 270 nm^[35–37] and broadband probe pulses obtained by white-light generation in CaF_2 , covering the 350–630 nm range. The instrumental response function was 25–35 fs FWHM,

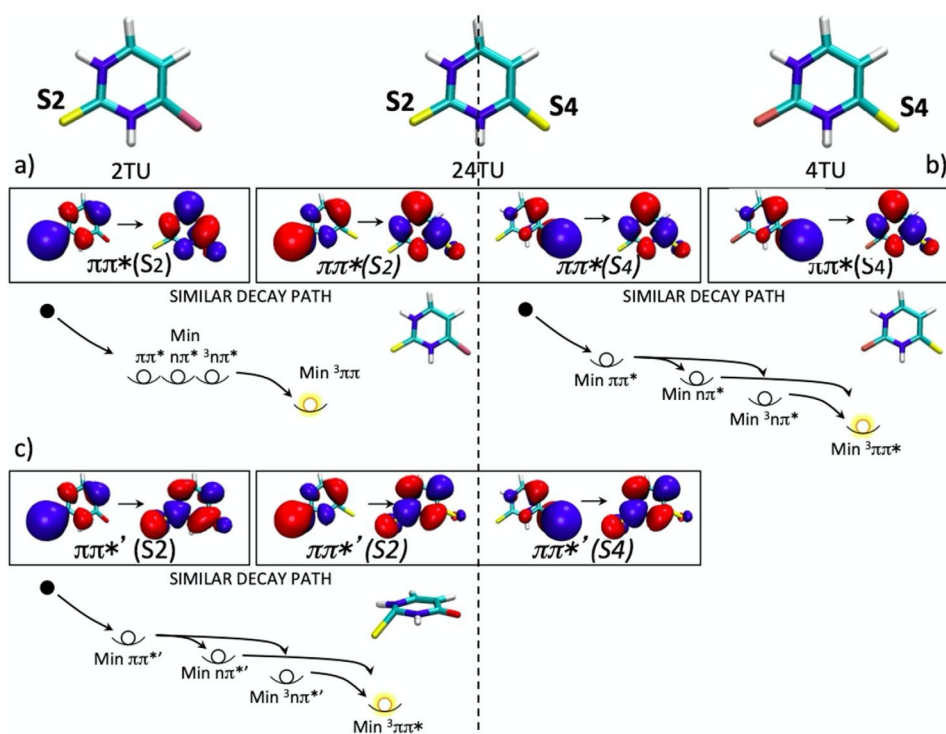


Figure 5. Molecular orbitals and schemes of the decay pathways for a) the $pp^*(S_2)$ and $pp^*(S_2)$ transitions on 2TU and 24TU; b) the $pp^*(S_4)$ and the $pp^*(S_4)$ transitions on 4TU and 24TU; c) the $pp^*(S_2)$ and the $pp^*(S_2)$ transitions on 2TU and 24TU.

depending on the probe wavelength. Samples were dissolved in phosphate buffer solution. Details on sample preparation and the experimental setup can be found in the Supporting Information. 24TU samples were pumped at 330 and 270 nm, whereas 2TU was pumped at 270 nm (see Figure 1). To describe the spectral evolution, we used global and target analyses with a sequential kinetic model.^[38–40] We also measured steady-state photoluminescence (PL) at the same pump wavelengths.

Computational Methods

The TA spectra and the decay paths were modeled by COBRAMM, our hybrid QM/MM scheme,^[29,41–47] that couples high level ab initio multireference dynamically correlated methods (CASPT2//CASSCF)^[48] of the photoactive thionucleobase system with an explicit classical atomistic model (Amber force field)^[49,50] of the solvent (see Supporting Information for more details).

An High/Medium/Low layers partitioning was applied to a spherical droplet centered at 2TU and 24TU with a radius of 12 Å (containing 261 and 265 waters), obtained from the cubic box. The QM region comprises the thionucleobase and the water molecules in 3 Å distance were included in the movable (MM) Medium layer (Figure S1, Supporting Information). The remaining water molecules were kept fixed in the (MM) Low layer. Ground-state geometry minimum was obtained using the optimizer of Gaussian 09^[51] at the Møller–Plesset second-order perturbation theory (MP2) level as implemented in the Molcas 8 package^[48] through its interface with COBRAMM. The excited-state geometry optimizations were computed at the MS-CASPT2 level (as implemented in Molcas 8 through its interface with COBRAMM), by using an active space including the sulfur lone pairs and the valence p-orbitals to a total of 12 electrons in 9 orbitals for 2TU and 14 electrons in 10 orbitals for 24TU (Tables S1 and S2, Supporting Information). The ANO-L basis set was used,^[52] adopting contractions 5s 4p 2d 1f on sulfur, 4s 3p 2d 1f on carbon/oxygen/nitrogen and 3s 2p 1d on hydrogen atoms. The optimizing procedure is still done with the G09 optimizer, following the COBRAMM structure.

Critical points and conical intersections vertical energies were refined through a larger active space, extended by two bonding (n on O2 n and p on sulfur) and one anti-bonding orbital (p* on sulfur, Table S1 and S2), together with an enlarged ANO-RCC basis set, adopting the contractions 6s 5p 3d 2f 1g on sulfur, 5s 4p 3d 2f 1g on carbon/oxygen/nitrogen and 4s 3p 2d 1f on hydrogen atoms. So, an active space of (16e,12s) for 2TU and (18e,14s) for 24TU. Eleven states average calculations were employed.

The CASPT2 computations were always performed both in the single-state and multi-state approach. The ionization-potential-electron-affinity (IPEA) shift^[53,54] was set to 0.0 and an imaginary shift^[55] of 0.2 a.u. was used throughout. Transition dipole moments (TDMs) were calculated both at the SA-CASSCF level and at the MS-CASPT2 level by using the RASSI routine^[56] of the Molcas code.

Conical intersections optimizations were performed with the gradient projection algorithm by Bearpark et al.,^[57,58] as implemented in COBRAMM.^[41]

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Conflict of interest

The authors declare no conflict of interest.

Keywords: CASPT2 · conical intersections · QM/MM · thionucleobases · transient absorption · ultrafast spectroscopy

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