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Inhibition of Ethyl Carbamate Accumulation in Soy Sauce by Adding Quercetin and Ornithine during Thermal Process

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Abstract

Ethyl carbamate (EC), a genotoxic and carcinogenic compound in soy sauce accumulated during thermal processes, has raised public health concern for its multipoint potential carcinogenic risk to human. In this work, based on the analysis of EC accumulation during thermal processes of soy sauce, ornithine and quercetin were added before thermal processes to reduce EC accumulation. A reduction rate of 23.7-63.8% in simulated solution was founded. Kinetic studies indicated that ornithine was a byproduct of alcoholysis reaction when EC formed, while quercetin could compete with the precursor ethanol and react with carbamyl compounds, which therefore prevented EC accumulation. A maximum of 47.2% decrease of EC in soy sauce was achieved, and no remarkable changes in volatile compounds profile and color of soy sauce were found. In conclusion, the addition of quercetin and ornithine before thermal processes may be preferable for the controlling of EC content in soy sauce.

Keywords: ethyl carbamate; soy sauce; phenolic compound; inhibition mechanism

1 **1. Introduction**

2 Soy sauce, a widely consumed seasoning used in Asian food for thousands of years,
3 is becoming increasingly popular worldwide, and the global consumption of soy sauce
4 is estimated at 10 billion liters per year (Lee & Khor, 2015). However, the long brewing
5 processes of soy sauce increases the incidence of several toxicants, and one of which,
6 ethyl carbamate (EC), is a group 2A carcinogen classified by the World Health
7 Organization's International Agency for Research on Cancer (IARC, 2010). EC is
8 commonly presented in high levels in fermented foods and alcoholic beverages since it
9 can be formed spontaneously during fermentation, distillation and storage (Gowd, Su,
10 Karlovsky, & Chen, 2018; Liu et al., 2017). Soy sauce can be easily contaminated with
11 high levels of EC (Mo, He, Xu, Huang, & Ren, 2014; Wu, Pan, Wang, Shen, & Yang,
12 2012). Koh et al. (2007) found that the average EC content in Japanese-style soy sauce
13 was determined to be 53.8 $\mu\text{g/L}$ with a maximum of 128.9 $\mu\text{g/L}$, that is much higher
14 than the EC in other fermented foods. In Korea, soy sauce has been found to be a major
15 food contribution of EC exposure because of the high soy sauce consumption in the
16 country (Choi, Ryu, Kim, Lee, Choi, & Koh, 2017; Koh et al., 2007). As EC have
17 become a key monitored substance by Food and Agriculture Organization (FAO) since
18 2002, and the upper limit was 20 $\mu\text{g/L}$ in food (Wu et al., 2012), it is valuable to develop
19 and implement practical approaches on an industrial scale to control the level of EC in
20 soy sauce.

21 Previous studies have proven that EC is mainly formed under nonenzymatic
22 conditions via the reaction between ethanol and carbamyl compounds (urea, carbamyl

23 phosphate and citrulline) or cyanide (Gowd et al., 2018; Jiao, Dong, & Chen, 2014;
24 Zhao, Du, Zou, Fu, Zhou, & Chen, 2013). The major pathway of EC formation varies
25 depending on the matrix. Many factors, such as the pH, temperature, light level, and
26 copper ion and arginine concentrations which affect the formation of EC (Xia, Yang,
27 Wu, Zhou, & Li, 2018). High temperature significantly increases EC formation,
28 especially in the distillation and storage stages (Matsudo et al., 1993; Wu et al., 2014).
29 Sterilization process has been confirmed to be a major contribution for the EC
30 accumulation in soy sauce production (Matsudo et al., 1993; Zhou, Siroli, Patrignani,
31 Sun, Lanciotti, & Xu, 2019), in fact, approximate 83.5% of the EC forms during heat
32 treatment, including the extraction (refining) of raw soy sauce and pasteurization. These
33 treatments are normally maintained above 60°C for tens of minutes to hours. However,
34 the effect of these factors on the nonenzymatic reaction between the primary EC
35 precursors has not been elucidated.

36 The available studies on EC reduction are heavily focuses on the control of
37 precursors, which has primarily been evaluated by screening low precursor-secreting
38 bacteria or by adding bacteria (or enzymes) with high precursor consumption (or
39 decomposition) ability (Kim, Lyu, Kim, & Lee, 2015; Liu et al., 2018; Zhang, Du, Chen,
40 & Fang, 2016). In addition, fermentation optimization and charcoal filtration have also
41 been adopted for EC reduction (Nout, Ruikes, Bouwmeester, & Beljaars, 1993; Park et
42 al., 2009). Although EC can be effectively reduced by some of these approaches, their
43 applications are still limited in practical ways because of the influence on soy sauce
44 flavor, complicated procedures, and relative low EC reduction efficiency (Jiao et al.,

45 2014). Therefore, new approaches should be developed to reduce EC more easily and
46 safely. To date, no strategy has been developed to control EC formation during heat
47 treatment, when the majority of EC accumulation occurs during soy sauce production.

48 In recent years, phenols have been commonly added to food as antioxidants and to
49 reduce the levels of hazardous chemicals (such as furan and acrylamide) produced
50 during thermal treatment of food via competitive reactions with intermediates (Shen et
51 al., 2017; Y. Zhang & Zhang, 2007). Polyphenols have been used to decrease ethyl
52 carbamate-induced cytotoxicity and EC precursor accumulation during brewing (Chen,
53 Xu, Zhang, Su, & Zheng, 2016; Zhou, Fang, & Chen, 2017). In addition, phenolic
54 compounds, such as gallic and protocatechuic acid, may inhibit the conversion of
55 urea/citrulline to EC (Zhou et al., 2017). Therefore, the aim of this study was to
56 investigate the characteristics of EC formation during heat treatment of soy sauce under
57 different conditions and the impact of phenols on EC formation and to develop an easy
58 and efficient method to reduce EC levels via the addition of inhibitors. In addition, the
59 impacts on the quality and characteristics of soy sauce were assessed.

60 **2. Materials and methods**

61 **2.1 Reagents and sample**

62 EC (99%), butyl carbamate (98%), urea (99%), citrulline (98%), arginine (98%),
63 ornithine monohydrochloride (98%), quercetin (QC, 95%), 2,6-di-tert-butyl-4-
64 methylphenol (BHT, 98%), butylated hydroxyanisole (BHA, 98%), tert-
65 butylhydroquinone (TBHQ, 98%), carvacrol (CA, 99%), thymol (TM, 99%),
66 protocatechuic acid (PA, 97%) and gallic acid (GA, 99%) were purchased from Aladdin

67 (Shanghai, China). Tea polyphenol (TP, 70% catechin hydrate) was extracted in our
68 laboratory. Sodium hydroxide, anhydrous sodium sulfate and acetic acid were analytical
69 grade and purchased from Heowns (Tianjin, China). The water used in this work was
70 purified using an Elix ProGard TS2 system (Millipore, France).

71 Soy sauce *moromi*, which had been fermented for 165 days, was provided by a soy
72 sauce manufacturer in Guangdong Province, China. The *moromi* was filtered through
73 four layers of gauze to separate the fermented liquor from the soy sauce residue. After
74 centrifugation at 2647 g for 10 min at 4°C, the supernatant was collected as raw soy
75 sauce. selected constituents in the raw soy sauce were evaluated in terms of their initial
76 concentrations in the matrix (Table S1).

77 **2.2 Thermal processing of raw soy sauce and of a model solution**

78 For simplicity, all reactions consisted of 20 mL of solution in a 30 mL sealed
79 cylindrical glass vial with a narrow neck. The vials were heated in a water bath (or oil
80 bath) at a set temperature to simulate the heat treatment of soy sauce. Afterwards, these
81 vials were immediately cooled in an ice bath. Unless otherwise indicated, the pH was
82 adjusted to 4.5 by using acetic acid/sodium acetate.

83 Preparation of the model solution: 2.36 g of citrulline and 36 mg of urea were
84 transferred into a 1 L volumetric flask and diluted with 16.8% brine to volume. Except
85 for ethanol (volatile component), the contents of the two main EC precursors (citrulline
86 and urea), pH and NaCl content in the model solution were the same as those in the
87 prepared raw soy sauce (Table S1). The prepared model solution was stored at 4°C
88 while shielded from light. The raw soy sauce samples and model solution were spiked

89 with absolute ethanol at a final concentration of 2% (V/V), and all samples were heated
90 at 90°C and 80°C separately for 30 min.

91 **2.3 Influence of temperature, pH, arginine, ornithine and metal ions on EC** 92 **formation**

93 The model solution was spiked with ethanol (2%, V/V) before use and then treated
94 as follows: a) The model solution was heated at different temperatures (60-110°C) for
95 30 min. b) The pH of the model solution was adjusted to 4-6, and the solution was then
96 heated at 90°C for 30 min. c) Aliquots of the model solution (20 mL each) were spiked
97 with the following constituents: arginine (2-8 mg/L), ornithine (1-4 mg/L) and metallic
98 ions (CuCl₂, FeCl₃, ZnCl₂, CaCl₂, MgSO₄, and FeSO₄; 50 mg/L each), and then the
99 solutions were heated at 90°C for 30 min.

100 **2.4 Influence of phenolic compounds on EC formation**

101 Stock solutions of phenols were prepared in absolute ethanol at a concentration of
102 5 mg/mL. Then, 400 µL of each stock solution was added to the model solution for a
103 final phenol concentration of 100 mg/L. In addition, quercetin solutions with
104 concentrations of 4, 2, 1, 0.5, 0.25, 0.125, 0.05 and 0.005 mg/mL were prepared by
105 diluting the stock solution with absolute ethanol, and then 400 µL of each of these
106 solutions was added to the model solutions as test groups. Model solutions with 400 µL
107 of ethanol added were used as the control group (no phenols). These solutions were
108 heated at 90°C for 30 min.

109 **2.5 Kinetic experiments**

110 The principal reactants (primary precursors) for EC formation during heat

111 treatment were determined before the kinetic experiment. Ethanol (final concentration:
112 2% or 4%) and 1-4 mg/mL citrulline (or 10-80 mg/L urea) were added to 16.8% brine
113 (pH 4.5), and the mixture was heated at 90°C for 30 min or 60 min. The constituents in
114 different trials of the kinetic studies are shown in Table S2, and the heating duration in
115 the trials was 30, 60, 90, 150, 210, 300, or 450 min. A pseudo-first-order-kinetic
116 equation was selected to describe EC formation over the course of the heat treatment
117 experiment. The maximum EC content ($[EC]^\infty$) was read from the plot of the EC
118 concentration versus time. The rate constant K (observed rate constant) was calculated
119 from plots of $\ln([EC]^\infty - [EC]_t)$ versus time (Galinaro, Ohe, da Silva, da Silva, & Franco,
120 2015).

121 **2.6 Central composite design for the optimization of EC reduction**

122 A central composite design (CCD) was applied to determine the optimal addition
123 of quercetin and ornithine for EC reduction during soy sauce production, with two
124 major factors (ethanol content and heat temperature). According to preliminary results
125 and the soy sauce matrix, the process variables and their ranges were chosen, as shown
126 in Table S3. To ensure alignment of the model with real samples, raw soy sauce was
127 diluted 5-fold with distilled water and then fortified with all the ingredients in Table S1
128 except for ethanol and ornithine. Then, a total of 30 different combinations with a
129 random order and 6 center points were evaluated according to a full CCD configuration
130 for 4 factors, and the EC content determined after heat treatment was set as the response.
131 The experimental design and data analysis were performed using Design Expert
132 software, version 8.0.6.

133 2.7 Quantification of EC

134 Solid-phase extraction (SPE) (Chinese National Standards, Method for the
135 Determination of Ethyl Carbamate in Food, GB 5009.223-2014) and liquid-liquid
136 extraction (LLE) (Zhou et al., 2017) were used to extract EC from the raw soy sauce
137 and model solution samples, respectively. The final extracts were diluted to 1 mL each
138 with dichloromethane (Leca, Pereira, Pereira, & Marques, 2014) for GC/MS (Agilent
139 7890A-5975C, America) analysis with electron ionization (70 eV). A CP-WAX column
140 (50 m, 0.32 mm, 1.2 μ m, Agilent Technologies, the Netherlands) was used for
141 separation. The oven temperature program was as follows: 80°C (5 min), followed by
142 an increase to 180°C at a rate of 10°C/min (0 min) and then an increase to 220°C at a
143 rate of 4.5°C/min (12 min). The inlet and detector interface temperatures were 250°C
144 and 230°C, respectively. Sample aliquots of 1.0 μ L were injected in splitless mode.
145 Selected ion monitoring was used for the analysis of EC (SIM: m/z 44, 62, 74, and 89)
146 and d₅-EC (SIM: m/z 44, 64, 76, and 89, internal standard). The recovery and coefficient
147 of variation in matrix of GC-MS were tested, and the results were shown in Table S4.
148 The rate of change in EC was calculated by Formula 1:

$$149 \text{ Rate of change (\%)} = \frac{\text{EC content in treatment} - \text{EC content in control}}{\text{EC content in control}} \times 100 \quad (\text{Formula 1})$$

150 2.8 Evaluation of volatile compounds and color

151 The volatile compounds and color after heat treatment were evaluated to
152 investigate the impacts of quercetin and ornithine addition on the fundamental qualities
153 of soy sauce. The volatile compounds were extracted by an SPME Trisplus automated
154 sampler and then analyzed by GC/MS with a CP-WAX (50 m, 0.32 mm, 1.2 μ m, Agilent

155 Technologies, the Netherlands) (Feng et al., 2015). Aliquots of sample (3 mL), 300
156 mg/L NaCl and internal standard (4-methyl-2-pentanol, 5 µg/L) were transferred into
157 headspace vials, which were then sealed. Samples were equilibrated at 45°C for 20 min
158 and extracted with 85 µm carboxen/polydimethylsiloxane fibers for 30 min at the same
159 temperature. The separation program was as follows: 40°C for 2 min, ramped to 120°C
160 at a rate of 5°C/min, held for 2 min, increased to 220°C at a rate of 7°C/min and held
161 for 5 min. The injection temperature and ion source temperature were set at 250°C and
162 230°C, respectively. Helium was used as the carrier gas with a flow rate of 1.0 mL/min.
163 The scan range and scan rate applied to the analysis were 35-350 m/z and 3.00 scans/s,
164 respectively. The electronic nose measured using a pen3 e-nose (Airsense Int, Schwerin,
165 Germany) after 10-fold dilution with distilled water. The sample was placed into a 10
166 mL sealed glass bottle, and then balanced in the water bath (40°C) for 5 minutes. The
167 response points at 110 s of electronic nose, which were the maximum response signal
168 for each of the 10 sensors (Table S5), were used for analysis, each analysis was repeated
169 10 times. The color was detected using a colorimeter (ColorFlex EZ, HunterLab,
170 America) after 10-fold dilution with distilled water. Values for L* (lightness), a*
171 (redness) and b* (yellowness) were recorded, and ΔE was calculated as the primary
172 descriptor (Gutiérrez, Tapia, Pérez, & Famá, 2015), each analysis was repeated 10 times.

173 **2.9 Statistical analysis**

174 All experiments were performed in triplicate unless otherwise noted, with the
175 mean value and standard error reported. The data were analyzed by ANOVA using
176 SPSS (version 22, IBM, USA), and significant differences among the mean values were

177 determined by adopting Tukey's multiple range test, with significance defined at $P < 0.05$.

178 **3. Results and discussion**

179 **3.1 Thermal treatment of the raw soy sauce and the model solution**

180 The contents of selected components in the raw soy sauce are shown in Table S1.
181 The EC content in the final broth was $7.4 \mu\text{g/L}$, which was only 16.5% of that in the
182 same batch of soy sauce. The result strongly indicated that EC was primarily
183 accumulated during heat treatment after fermentation, rather than during fermentation
184 itself. The ethanol content in the raw soy sauce was 1.06%, which was lower than that
185 in many other raw soy sauces and soy sauce products (Matsudo et al., 1993; Zhou et al.,
186 2017). As reported in our previous research, ethanol was highly correlated with EC
187 during thermal treatment (Zhou et al., 2019). In this study, the EC contents in the model
188 solution and raw soy sauce heated at 90°C were increased by 68.5% and 61.8%,
189 respectively, when compared to those in the two corresponding samples heated at 80°C
190 (Table S6), indicating that the increase in temperature greatly increased EC formation.
191 Notably, although there were other EC precursors (such as carbamyl phosphate and
192 cyanate) in the raw soy sauce, the EC contents were lower in the raw soy sauce than in
193 the model solution at both 80°C and 90°C , suggesting that components of raw soy sauce
194 inhibit the formation of EC during heat treatment. According to previous studies, these
195 components could be metal ions and amino acids related to arginine metabolism.

196 **3.2 Factors influencing EC formation during heating**

197 Metal catalysts are commonly added to the synthesis of carbamate, and previous
198 research has revealed that some metal ions can catalyze the conversion of precursor into

199 EC (Aresta, Boscolo, & Franco, 2001). The effects of metal ions on EC formation are
200 shown in Fig. 1A. In the model solution, all involved metallic ions promoted the
201 formation of EC during heat treatment. But only Fe(III) and Cu(II) at 50 mg/L induced
202 an increase in EC by more than 15%. However, the Cu(II) content in the soy sauce and
203 fermented liquor was below 1 mg/L, and the total iron content in soy sauce, including
204 Fe(III) and Fe(II), was approximately 50 mg/L (Table S1). Moreover, although the
205 reaction between cyanic acid and ethanol could be catalyzed by Cu(II) or Fe(III) (Weber
206 & Sharypov, 2009), cyanide was not the main precursor of EC in the soy sauce.
207 Therefore, it was speculated that the metal ions had little effect on EC formation during
208 the heat treatment in soy sauce production.

209 Citrulline and urea are the major precursors of EC in many fermented foods and
210 are mainly derived from the catabolism of arginine via the arginine degradation
211 pathway and urea cycle, respectively (Zhao et al., 2013). In addition, the degradation
212 of arginine also generated ornithine (Araque, Gil, Carreté, Bordons, & Reguant, 2009).
213 A high arginine content was maintained during soy sauce fermentation (Zhang, Fang,
214 Chen, & Du, 2014), and considerable amounts of arginine were present in the raw soy
215 sauce (3.48 mg/mL). Our previous study found a positive correlation between the total
216 arginine and EC in soy sauce products, while free ornithine was negatively correlated
217 with EC (Zhou et al., 2017). The phenomenon was confirmed again in the present study,
218 the addition of ornithine significantly decreased the EC content (23.7%-37.4%), but a
219 high level of arginine (above 4 mg/mL) resulted in a high EC content (Fig. 1B). This
220 suggested that free ornithine might be an inhibitor of EC formation. Therefore, the

221 application of a screened strain with a high capability to convert arginine into ornithine
222 might be an efficient method for EC reduction.

223 However, the above conclusions on the influence of arginine on EC formation were
224 contradicted to those of Matsudo et al (1993), who found that arginine had no effect on
225 EC formation. We suspected that the addition of arginine (alkaline) increased the pH of
226 the raw soy sauce and thus significantly hindered the formation of EC. Therefore, the
227 effect of pH on EC formation was assessed, and presented in Fig. 1C. As expected, high
228 pH significantly decreased the EC content, which probably offset the increase in EC by
229 the addition of arginine. In other words, low pH was conducive to EC formation during
230 heat treatment. The pH of the final soy sauce fermented broth was approximately 4.5,
231 which was suitable for EC formation at 90°C. In the range of 70°C to 90°C (common
232 temperatures of soy sauce heat treatment), a rise of 10°C could increase the EC content
233 by more than 2-fold (Fig. 1D), which was consistent with the former results confirming
234 that high temperature was critical for EC formation. Therefore, relatively low
235 temperatures and high pH were favorable for EC control during soy sauce heat
236 treatment.

237 **3.3 Effect of phenolic compounds on EC formation during heat treatment**

238 In the model solution, four polyphenols, four monophenols and one polyphenolic
239 extract (Fig. S1) were added to test their effects on EC formation, and both positive and
240 negative effects were observed (Fig. 2A). The polyphenols quercetin and TBHQ had an
241 inhibitory effect on EC formation, whereas the monophenols CA, BHA and BHT
242 improved EC formation, indicating that these three commonly used antioxidants should

243 be avoided in soy sauce production. In a previous study, the transformation of
244 urea/citrulline to EC was suppressed by GA and PA during wine brewing (Zhou et al.,
245 2017). However, during thermal processing, GA, PA, TM and TP did not significantly
246 reduce the EC content. In general, the tested monophenols promoted the formation of
247 EC, some polyphenols had the opposite effect, and phenolic acids had no significant
248 effects on EC formation. Among the tested phenolic compounds, quercetin significantly
249 reduced the EC content by 48.38%, making it a potential inhibitor of EC formation.
250 Quercetin is a flavonoid and often present as quercetin-3-O-rutinoside (rutin) in the
251 human diet, many literatures have reported its strong antioxidant and anticancer activity
252 (Jan et al., 2010; Dong et al. 2019), and the weight of the available evidence supports
253 the safety of quercetin for addition to food (Harwood et al., 2007). The strong inhibitory
254 ability of quercetin to against EC formation might be due to its preferential (over other
255 EC precursors) reaction with carbamyl compounds under mild conditions. EC reduction
256 had no dose-dependent relationship with the quercetin concentration (Fig. 2B). The
257 addition of quercetin at 0.1 mg/L had no significant impact on the EC reduction
258 compared to the control groups ($p < 0.05$), and the addition of quercetin at 10-40 mg/L
259 led to the optimal EC reduction ratio (approximately 62%).

260 **3.4 Primary EC precursors during heat treatment**

261 To further elucidate the crucial factors in the mechanism of EC reduction during
262 heat treatment, the principal reactants contributing to EC formation in soy sauce were
263 determined firstly. As shown in Fig. S2, the EC content increased with increasing
264 citrulline, urea and ethanol contents, similar to the EC increase with prolonged heating

265 time. The essence of EC formation is the alcoholysis reaction between ethanol and
266 carbamyl compounds, and the EC level in citrulline and ethanol solutions was higher
267 than that in urea and ethanol solutions. When the contents of citrulline (2000 mg/L) and
268 urea (40 mg/L) in the model solution were similar to that in raw soy sauce, the majority
269 of EC was formed in the reaction between citrulline and ethanol. These results were
270 consistent with the findings of Matsudo et al. (1993), Zhang et al. (2014) and our former
271 study (Zhou et al., 2019). The reasons for low EC formation in urea and ethanol
272 solutions were speculated as follows: a) the molality of urea is much lower than that of
273 citrulline in soy sauce, b) the formation of EC via catalytic alcoholysis of urea and
274 ethanol requires high temperature and pressure, which leads to more by-products (Gu,
275 2013), and c) the generation of ammonia significantly increases the pH of the solution
276 (Fig. 3A). Therefore, the model solution used in the kinetic experiments consisted of
277 two principal precursors: 2% ethanol and 2000 mg/L citrulline.

278 **3.5 Kinetic evaluation of temperature, ethanol, ornithine and quercetin in EC** 279 **formation**

280 The heating temperature and ethanol concentration in the raw soy sauce were the
281 crucial factors affecting the EC formation during soy sauce production. As shown in
282 Table 1, the $[EC]_{\max}$ and reaction rate were significantly higher with 4% ethanol than
283 that with 2% ethanol in the reaction between citrulline and ethanol at 90°C, which was
284 different from the finding that the EC yield was not sensitive to the ethanol and citrulline
285 concentrations in sugar cane spirit with an alcohol level of 13.3% (Galinaro et al., 2015).
286 The same decrease in the $[EC]_{\max}$ and reaction rate at low temperature was observed in

287 our study and in a previous report (Galinaro et al., 2015). The higher the ethanol
288 concentration and heat temperature, the more EC produced. However, the rates of EC
289 formation were very low, with a yield of only 10^{-4} . Notably, the addition of quercetin
290 led to a noticeable decrease in the EC yield and reaction rate. The rate of EC formation
291 decreased upon addition of 1 mg/mL ornithine, but the $[EC]_{\max}$ was similar to that of
292 the control group, indicating that the EC reduction mechanisms related to the addition
293 of quercetin were different from those related to the addition of ornithine. Based on the
294 characteristics of the alcoholysis reaction and the reversible reaction between EC
295 formation and hydrolysis during food production (Bogyong Choi & Koh, 2016), we
296 suspected that there were two steps in the reversible reaction during EC formation via
297 alcoholysis between citrulline and ethanol (Fig. 3B). These observations suggested that
298 ornithine was one of the intermediate products in the first step that can inhibit carbamic
299 acid formation, but quercetin could compete with ethanol for reaction with carbamyl
300 compounds.

301 **3.6 Optimization of ornithine and quercetin addition**

302 The CCD results revealed the detailed impacts of the major factors affecting EC
303 formation during heat treatment of raw soy sauce, and the optimal addition of quercetin
304 and ornithine can be predicted using the “Point Optimization” tool of Design Expert
305 software. As shown in Table S7, the ethanol content and temperature had significant
306 effects on EC accumulation ($p < 0.0001$). Notably, when the heating temperature was set
307 between 70°C and 75°C or the ethanol content of the raw soy sauce was below 1.3%,
308 the EC content was below 20 $\mu\text{g/L}$ (Fig. 4A), which met the international standard set

309 by the Food and Agriculture Organization (FAO) in 2002 (Wu, Chen, Pan, Tu, Zhou, &
310 Mo, 2012). Ornithine had a significant negative effect on EC formation, especially at
311 high temperatures (Fig. 4B). As the optimal quercetin content range was used in the
312 CCD experiment, the addition of 10-40 mg/L quercetin led to no significant change in
313 the EC yield ($p=0.1214$). When the ethanol content exceeded 2.4%, the addition of
314 quercetin at a high concentration could effectively suppress the formation of EC,
315 whereas when the heating temperature was higher, quercetin at a low concentration
316 could better decrease the EC content (Fig. 4C and 4D). To achieve practical EC
317 reduction with ornithine and quercetin, we choose 90°C and 80°C as the sterilization
318 temperatures in this study, and the ethanol content was 2%. For 80°C sterilization, the
319 optimal ornithine and quercetin contents obtained by inputting the above criteria in the
320 Design Expert software were 0.67 mg/mL and 18.63 mg/L, respectively, with a
321 predicted EC content of 18.96 µg/L. When the temperature was set at 90°C, the
322 optimum ornithine and quercetin contents were 0.85 mg/mL and 10 mg/L, respectively,
323 with a predicted EC content of 23.58 µg/L.

324 **3.7 Practical EC reduction, volatile flavors and color related to soy sauce**

325 The EC content was significantly lower in the soy sauce samples containing the
326 optimal amounts of quercetin and ornithine than in the control groups, with EC
327 reductions of 42.1% and 47.2% at 80°C and 90°C, respectively, as shown in Fig. 5.
328 However, the increases in the EC content in the treatment groups (1.5-3.3 µg/L) were
329 slightly lower than the predicted values, suggesting that other unidentified EC
330 precursors were occurred in the soy sauce (the raw soy sauce was diluted 5-fold in the

331 CCD experiment). Approximately 76 volatile compounds were identified by GC/MS
332 (Fig. 5). There were high levels of alcohols, volatile acids, esters, and aldehydes in the
333 soy sauce after heat treatment, while some ketones, furan(ones), phenols, and sulfur-
334 containing compounds were present at low percentages. The aldehydes (both 80°C and
335 90°C) and furan(ones) (90°C) in the treatment groups were higher than the aldehydes
336 and furan(ones) in the control groups, and the other major volatile compounds did not
337 significantly differ between the treatment and control groups. The 4-ethyl-2-
338 methoxyphenol, 2-methylbutanal, 3-methylbutanal and benzene acetaldehyde contents
339 were higher in the treatment groups than that in the control groups, and these
340 compounds could contribute to the aroma of “smoky, bacon, soy sauce”, “malty,
341 almond”, “malty, almond” and “honey-like,” respectively, in high-salt liquid
342 fermented soy sauce (Feng, Cai, Su, Zhao, Wang, & Zhao, 2014). For data analysis of
343 e-nose determination, the difference between ten sensors response value of four samples
344 is not significant (Fig. 5), the R1, R3, R5, R7, R8, R9 sensor which sensitive to aromatic
345 compounds, sulfur compounds and pyrazines were changed slightly. PCA was used for
346 discriminating samples based on the difference of volatile compounds and signal
347 intensity of the sensor array. The data showed that only a slight shift of different samples
348 along the first principal component, PC1, which represented 91.29% of the total
349 variance with value 97.37%. The differences were not evident between the samples of
350 80°C control, 80°C treatment and 80°C control. In the color evaluation (Fig. 5), the
351 significant decrease in L* in the heated samples indicated that the raw soy sauce turned
352 black after heat treatment (Gutiérrez et al., 2015). There were no differences in ΔE and

353 L* between the control group and the soy sauce with added quercetin and ornithine. In
354 general, the addition of ornithine and quercetin had limited effects on the volatile flavor
355 compounds and color of soy sauce but might induce the generation of key volatile
356 compounds.

357 **4. Conclusions**

358 In this study, we found that ornithine and quercetin could significantly decrease
359 EC formation during soy sauce heat treatment, and speculative EC inhibition
360 mechanisms were proposed on the basis of the results of kinetic experiments. Then, a
361 creative and practical method for EC reduction was developed via the simple addition
362 of quercetin and ornithine before heat treatment during soy sauce production. With the
363 optimized contents of quercetin and ornithine, the EC concentration in soy sauce was
364 reduced by 42.1% and 47.2% during heat treatment at 80°C and 90°C, respectively. The
365 proposed method had limited effects on the volatile flavor compounds and color of soy
366 sauce. The discovery of more efficient inhibitors of EC formation is still underway.

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Conflict of interests

The authors declare that they have no conflicts of interest.

Supporting information

The supporting information includes Figs. S1-S2 and Tables S1-S5.

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Figure captions

Fig. 1. The effect of metallic ions (A), arginine, ornithine (B), pH (C) and temperature (D) on EC formation (data represent the mean \pm SD of three independent experiments, bars with different letters are significantly different from the control group ($p < 0.05$), similarly hereinafter).

Fig. 2. The effect of phenolic compounds on EC formation during heat treatment.

Fig. 3. The proposed formulation of citrulline (A) and urea (B) reacting with ethanol to form EC, and the speculative re-alcoholysis reaction mechanism of ornithine and quercetin.

Fig. 4. The contour of EC formation as a function of the ethanol content and temperature (A), the ornithine content and temperature (B), the ethanol and quercetin contents (C) and the temperature and quercetin content (D). Other parameters: 1.75% ethanol, 80°C, 25 mg/L quercetin and 0.5 mg/mL ornithine.

Fig. 5. The effect of ornithine and quercetin on the EC content, volatile flavor compounds (Volatile component categories and E-nose data), characteristic odorants and color of soy sauce.

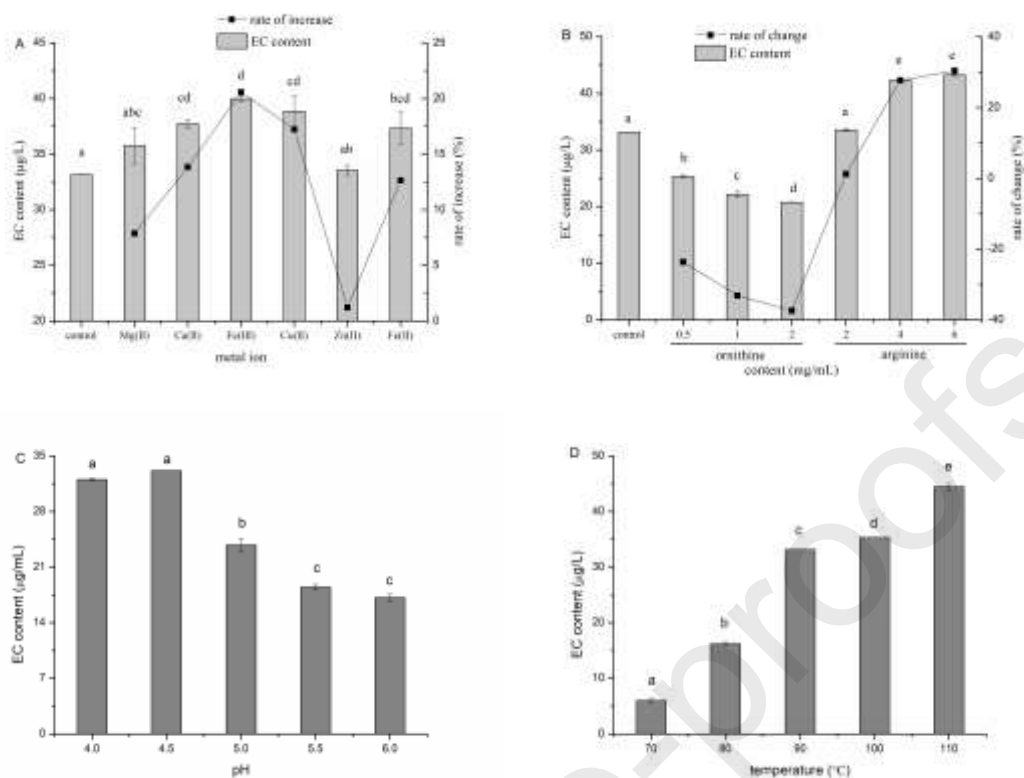


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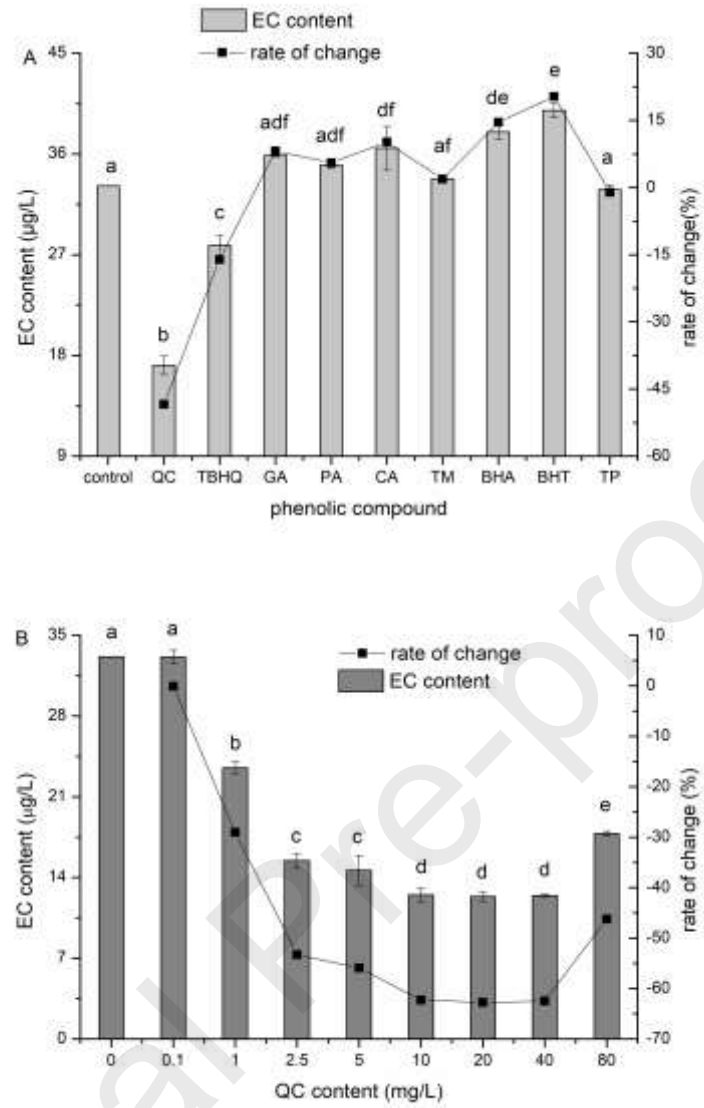


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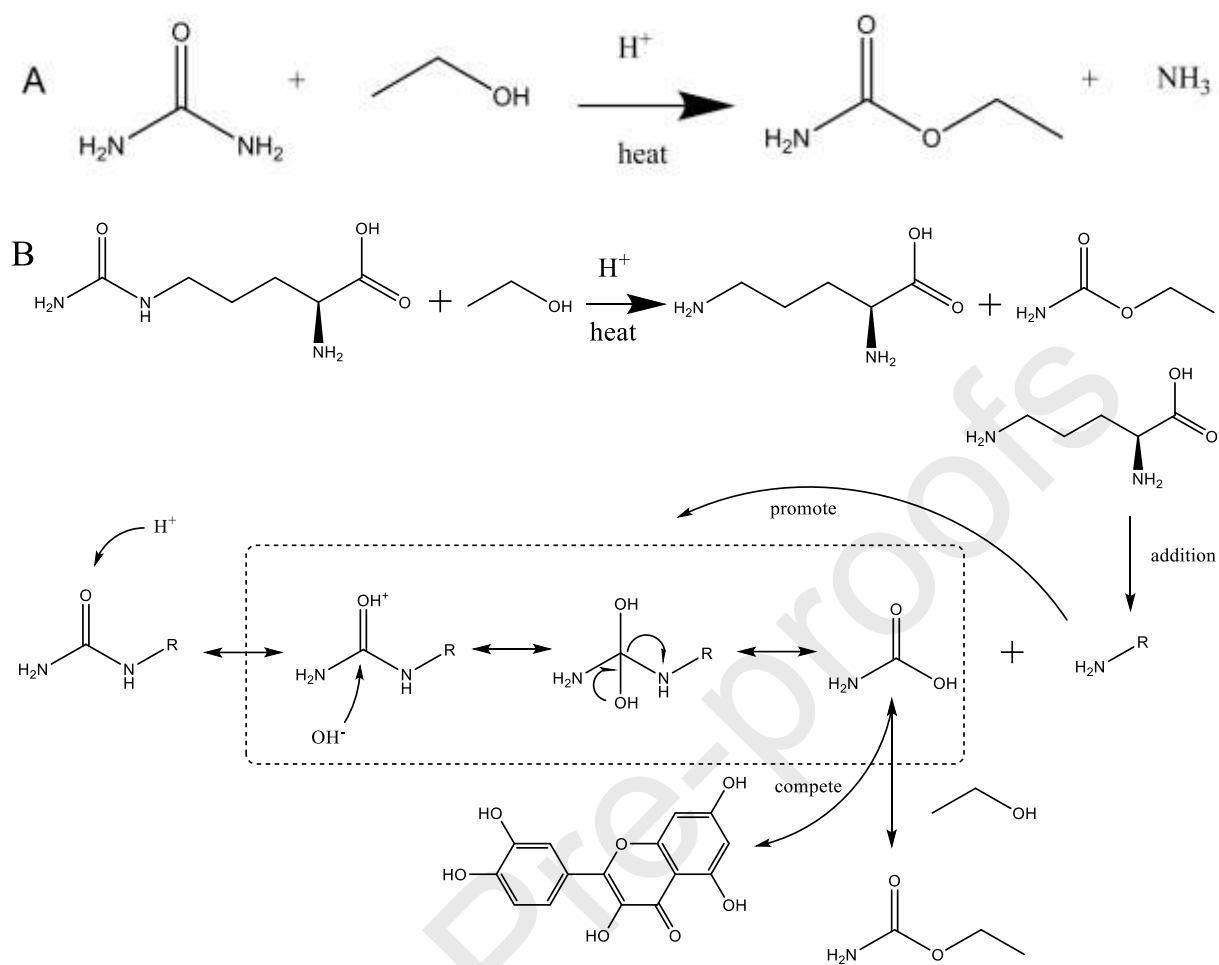


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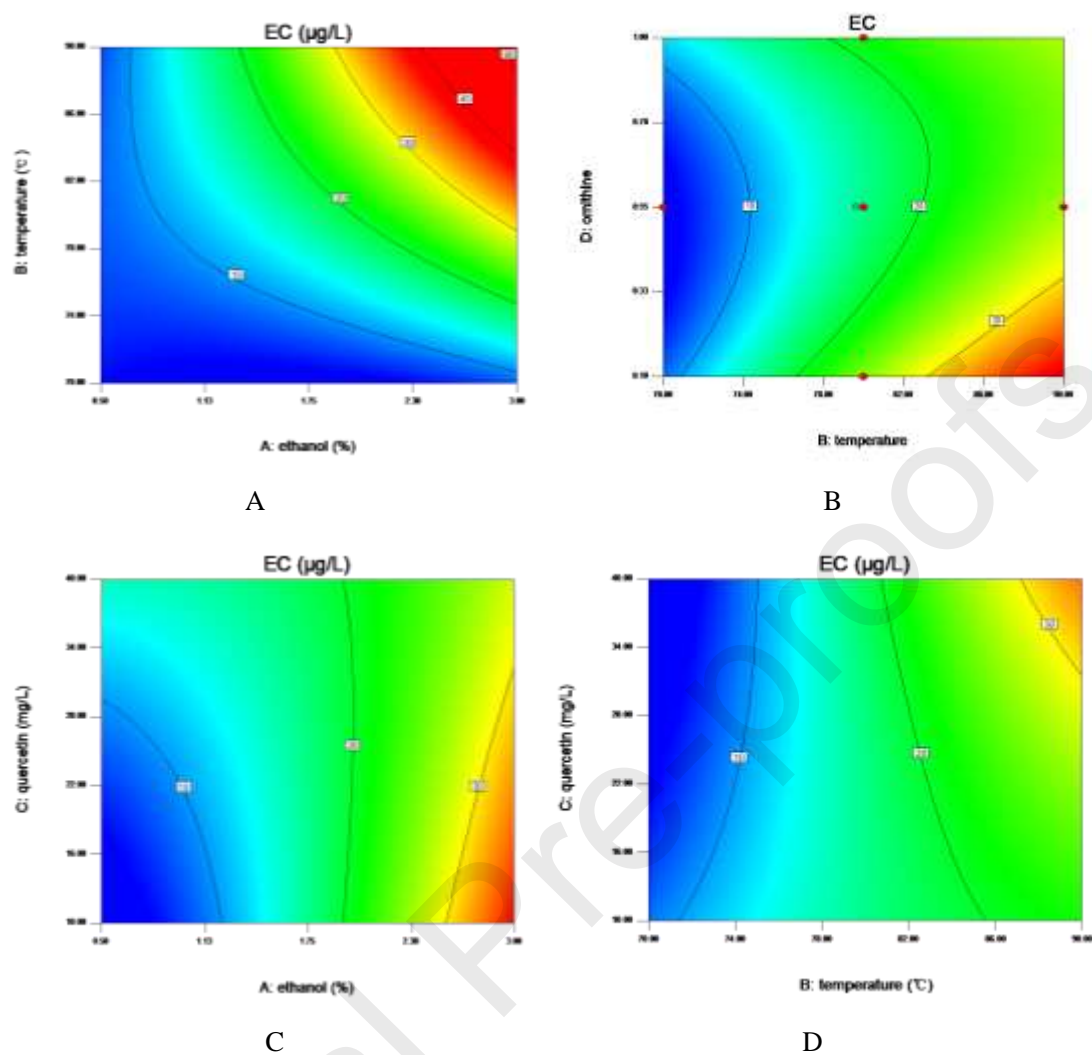


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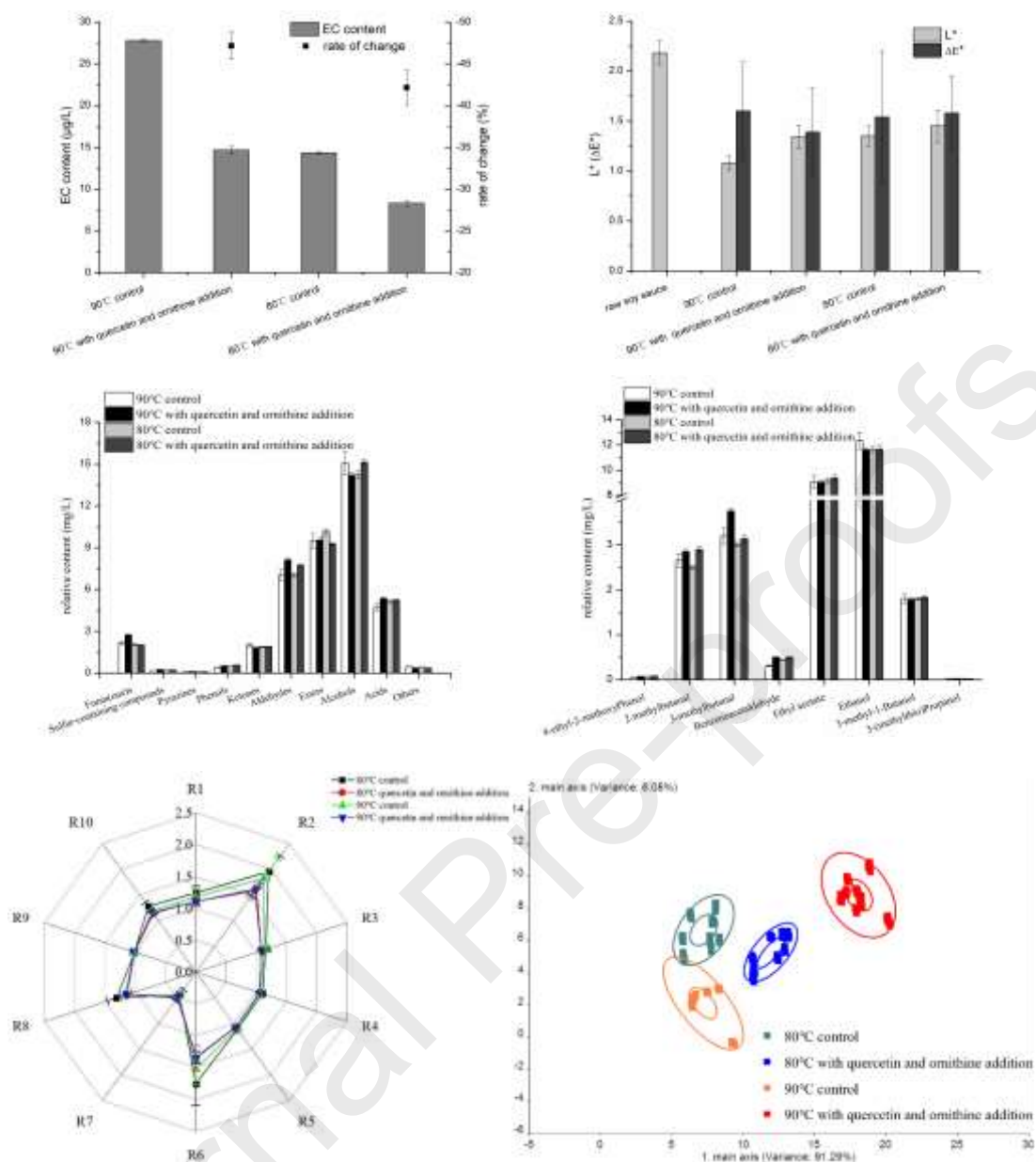


Fig. 5. The effect of ornithine and quercetin on the EC content, volatile flavor compounds (Volatile component categories and E-nose data), characteristic odorants and color of soy sauce.

Table 1 Maximum concentration of EC formed and the observed rate constant at different temperatures and ethanol, and quercetin and ornithine concentrations during heat treatment (control: 2% ethanol, 90°C, 0 mg/L quercetin, 0.5 mg/mL ornithine and pH 4.5).

| | [EC] _{max} (µg/L) | k (min ⁻¹) |
|---------------------|----------------------------|------------------------|
| Control | 498.53±33.76 | 2.68×10 ⁻³ |
| Ethanol (4%) | 611.44±30.42 | 3.22×10 ⁻³ |
| Temperature (80°C) | 275.69±25.13 | 2.25×10 ⁻³ |
| Quercetin (40 mg/L) | 417.31±35.23 | 2.53×10 ⁻³ |
| Ornithine (1 mg/mL) | 492.00±57.90 | 2.50×10 ⁻³ |

Highlight

- Ethyl carbamate formation during thermal process of soy sauce were studied.
- Ornithine and quercetin were found to be effective for reducing ethyl carbamate.
- The possible mechanisms were explained.
- No remarkable difference in volatile compounds profile and color of soy sauce were found.

Journal Pre-proofs

Kai Zhou: Conceptualization, Methodology, Investigation, Writing - Original Draft

Francesca Patrignani: Methodology, Investigation, Validation, Writing - Review & Editing

Yuan-Ming Sun: Supervision, Resources

Rosalba Lanciotti: Supervision, Resources

Zhen-Lin Xu: Funding acquisition, Supervision, Writing - Review & Editing, Project administration

Journal Pre-proofs