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1 **Controlling idling : a ready-made solution for reducing exhaust emissions**
2 **from agricultural tractors**

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14
15
16 **Abstract**

17 Mechanised agriculture accounts for nearly 70 million tonnes of CO₂ emissions annually. To
18 mitigate these CO₂ emissions, powertrains running on alternative fuels and hybrid powertrains
19 are under development. However, such solutions have not yet reached the technical maturity
20 required for the marketplace. To make significant progress, considering the pressure imposed
21 by the European Commission and others, ready-made solutions must be deployed to achieve
22 rapid and large-scale reductions of greenhouse gas emissions. One such solution is to reduce
23 unnecessary engine idling. This paper presents an investigation of the duration of idling stops,
24 the energy required for starting the engine, and the time at which the engine should be turned
25 off to avoid higher emissions from continued idling. Four tractors with different engine
26 displacements were used in experiments. For the tractors, the energy required for engine start-
27 up was measured and real-world data were collected over several months. From data analysis,
28 it was found that shutting off the engines for idling stops longer than 4.4 s resulted in lower
29 emissions. The four tractors showed different idling patterns; turning off the engine during
30 unnecessary idling stops led to fuel savings of 1.1% to 5.1%. Based on these results, 770,000
31 tonnes of CO₂ annually could be saved by equipping tractors with the proper technology for
32 turning off the engine during unnecessary idling stops.

33

34 KEYWORDS: idling, greenhouse gas emissions, CANBUS, climate change, real-world data

35

Nomenclature		
c_{CO}	Carbon monoxide (CO) concentration	(ppm)
c_{CO_2}	Carbon dioxide (CO_2) concentration	(%)
c_{NO}	NO concentration	(ppm)
c_{NO_2}	NO_2 concentration	(ppm)
\dot{f}	Engine fuel rate	(l h ⁻¹)
$f_{el,st}$	Mass of burned fuel necessary for restoring $E_{el,st}$ in the Battery when the engine is running	(kg)
$f_{eq,st}$	Equivalent fuel used for starting the engine	(l)
\dot{f}_{idle}	Engine fuel rate during idling	(l h ⁻¹)
$f_{inj,st}$	Fuel injected to accelerate the engine up to the minimum self-sustaining engine rotational speed	(kg)
f_{save}	potential fuel savings by avoiding unnecessary idling	(l)
$m_{CO_2,st}$	Mass of CO_2 generated for a single start-up	(g)
$\dot{m}_{CO,idle}$	Mass flow rate of CO during idle	(g h ⁻¹)
$\dot{m}_{CO_2,idle}$	Mass flow rate of CO_2 during idle	(kg h ⁻¹)
$\dot{m}_{NO,idle}$	Mass flow rate of NO	(g h ⁻¹)
$\dot{m}_{NO_2,idle}$	Mass flow rate of NO_2	(g h ⁻¹)
n_e	Engine rotational speed	(rpm)
$n_{e,idle}$	Mean value of the engine speed during the idling phase	(rpm)
n_{su}	Number of additional start-up	(-)
t_0	Instant of the engine start-up occurring when P_{batt} started to be greater than 0 kW	(s)
t_c	Instant of the engine start-up occurring when P_{batt} is equal to 0 kW	(s)
t_{cr}	Instant of the engine start-up where \dot{f} started to be greater than 0 l h ⁻¹	(s)
$t_{eq,idle}$	Number of seconds of idling beyond which shutting down the engine could reduce fuel consumption	(s)
t_s	Duration of engine start-up	(s)
v_t	Tractor ground speed	(km h ⁻¹)
CANBUS	Controller area network	
DOC	Diesel Oxidation catalyst	
EC	European Commission	
ECU	Electronic control unit	
EGR	Exhaust gas recirculation valve	
$E_{el,st}$	Energy required by starter to run the engine	(J)
EGD	European Green Deal	
GHG	Greenhouse gas	
GNSS	Global navigation satellite system	
I_{batt}	Battery current	(A)
NO_x	Nitrogen oxides	
NRMM	Non-road mobile machinery	
O_p	Presence of the operator on the seat of the vehicle	(-)
P_{batt}	Power delivered by the battery	(W)
$P_{t p}$	Position of the three-point linkage	(%)
SCR	Selective catalytic reduction	
T_c	Engine coolant temperature	(°)
\dot{V}_{fi}	Flow of oil through the i _th auxiliary valve	(%)

36

37

1. Introduction

38 In 2020, the European Commission (EC) presented the European Green Deal (EGD) which
39 is an ambitious set of measures aimed at making Europe the first climate-neutral continent.
40 According to the European Environment Agency (2021), in 2019 mechanised agriculture
41 accounted for nearly 70 million tonnes of CO₂ (figure retrieved for the sector 1.A.4.c.ii – Off-
42 road Vehicles and Other Machinery of Intergovernmental Panel on Climate Change [IPCC]).
43 To further reduce greenhouse gas (GHG) emissions, in 2019, the European Union introduced
44 the Stage V regulation for Non-Road Mobile Machinery (NRMM) which imposes a reduction
45 of 97% for particulate matter and of 94% for hydrocarbons and nitrogen oxides (NO_x) from
46 those imposed by Tier 1. To meet these targets, machinery manufacturers have been working
47 since that time on exhaust after-treatment devices (Michelin et al., 2000; Rudder, 2012).
48 However, these devices are bulky and can reduce tractor visibility and ground clearance, thus
49 limiting tractor driveability. Moreover, considering the progress in exhaust after-treatment
50 devices fitted by manufacturers in the last years, further reductions in exhaust emissions are not
51 expected in the coming years without changes to different fuels. Most of the solutions for
52 decarbonising heavy agricultural equipment that being researched or are under development by
53 manufacturers involve the use of alternative fuels for engines (Bisaglia et al., 2018; Davies &
54 Sulatisky, 1989) or hybrid and electric powertrains (Beligoj et al., 2022; Mattarelli et al., 2019;
55 Mocera & Somà, 2020; Moreda et al., 2016; Scolaro et al., 2021). Most of these studies report
56 theoretical results and analysis, although Varani et al., (2021) tested a hybrid prototype which
57 resulted in a reduction of fuel consumed per hectare by up to 30% compared to a conventional
58 powertrain.

59 The shift towards these technical solutions requires dedicated infrastructure to support the
60 adoption of new powertrains. In particular, the infrastructure should also enable the clean
61 production of energy (e.g., biodiesel, natural gas, electricity) from agricultural wastes, but
62 several gaps and barriers still hinder their development (Kapoor et al., 2020). Therefore, such
63 solutions still require time to reach the technological maturity required for the marketplace.
64 Considering the pressure imposed by the EC, ready-made solutions must be deployed to achieve
65 rapid and large-scale reductions of GHG emissions; on such solution is reducing unnecessary
66 engine idling. However, for agricultural tractors engine idling has not been fully investigated
67 despite it being an application with significant opportunity to reduce GHG emissions,
68 particularly since tractors often operate at low fuel efficiency (Brodrick et al., 2002).
69 Agricultural tractors often have prolonged idling periods (from 10% up to 43% of their
70 operational time) (Perozzi et al., 2016); of these idling periods, the majority, at least on medium
71 row-crop tractors, are not necessary for farming operations (Molari et al., 2019). The amount
72 of idling time depends on the specific operation being carried out, ranging from 20% for
73 ploughing up to 47% for harrowing (Lovarelli et al., 2018). Therefore, reducing idling time
74 could be an effective method of reducing fuel consumption and CO₂ emissions (Janulevičius et
75 al., 2016).

76 These studies provided the motivation for us to study engine idling on agricultural tractors
77 and to determine if turning off the engine reduces CO₂ emissions in comparison with keeping
78 it idling. By doing so, the opportunity may be created to add a start-and-stop system to
79 agricultural tractors; a proven solution for passenger cars (Whittal, 2012), but one that has not
80 yet been adopted for agricultural tractors. The potential benefits of start-and-stop systems are
81 highly reliant on the pattern of idling stops in terms of frequency and duration (Mattetti,
82 Beltramin, et al., 2022), which are both are dependent on the type of tractor, farm, and tractor
83 mission profile (Mattetti et al., 2012, 2021). To evaluate the potential benefits of in turning off

84 the engine when it is not needed, it is important to fully characterise engine idling and start-up
85 behaviours for different types of tractors to educate farmers on the advantages and
86 disadvantages of avoiding unnecessary engine idling. This also involves the proposal of novel
87 tractor solutions. However, few studies have been dedicated to engine idling and start-up for
88 agricultural tractors. These aspects of engine performance have been investigated primarily for
89 heavy duty trucks (Canova et al., 2009; DeBruin, 2013; Gaines et al., 2013), but with
90 insufficient depth of analysis. Moreover, heavy duty vehicles have different engine designs and
91 different duty-cycles than agricultural tractors. Therefore, the results from those studies are not
92 directly relevant for agricultural tractors and farmers. This study reports the pattern of idling
93 stops, the energy required to turn on the engine, and the extent to which idling the engine is
94 convenient for different tractor classes to fully evaluate the potential fuel and emission savings
95 by avoiding unnecessary idling. To this end, the authors utilised a large real-world dataset to
96 estimate the potential benefits for farmers.

97 **2. Materials and methods**

98 This study was performed using four different tractors. Their specifications are provided in
99 Table 1.

Table 1 – Main specifications of the tractors used for the tests. PF = particulate filter, DOC = diesel oxidation catalyst, EGR = exhaust gas recirculation valve, SCR = selective catalytic reduction.

Tractor model	Steyr 4095 Kompact	CASE IH Maxxum 115	New Holland T7.260 PowerCommand	New Holland T8.435
Tractor denotation	Steyr	CASE	NH T7	NH T8
Engine type	Turbo Diesel			
Number of cylinders	4	4	6	6
Engine displacement [l]	3.400	4.485	6.700	8.700
Compression ratio	17.0:1	18.0:1	17.0:1	15.9:1
Nominal power [kW]	74	86	162	279
Battery capacity [Ah]	132	110	176	200
Battery cold cranking ability [CCA]	962	960	1300	1900
Transmission type	Partial powershift	Partial powershift	Full powershift	Continuously variable transmission
Gas cleaning system	PF and EGR	DOC and SCR	SCR	DOC and SCR
Complaint emissions stage	IV-A	IV-B	IV-A	V

101

102 All the tractors were equipped with engines manufactured by the same vendor (FPT
103 Industrial, Turin, Italy) and with new batteries, except for the NH T8. Before performing the
104 test, the batteries were fully recharged with a dedicated charger. For this study, the testing
105 procedure developed by Mattetti et al. (2022) was adopted. A brief outline of that procedure is
106 provided to give readers the necessary background to understand the results; for further
107 information related to the methodology, the authors suggest that readers refer to that study. Two
108 types of tests were adopted, hereafter referred to as “engine-start test” and “real-world test.”
109 The former was performed by measuring the input energy required to start the engine, whilst
110 the latter was performed to evaluate the potential fuel and emissions savings by avoiding
111 unnecessary idling. The raw data of NH T7 were the same as those analysed by Mattetti et al.
112 (2022), but the presentation of the results was modified to make valid comparisons between the
113 results of the different types of tractors.

114 4.1 *Engine-start test*

115 For the engine start test, the following parameters were recorded:

- 116 • Battery voltage (V_{batt});
- 117 • Current flow to the battery (I_{batt});
- 118 • Engine speed (n_e) from the CANBUS;
- 119 • Engine fuel rate (\dot{f}) from the CANBUS;
- 120 • Engine coolant temperature (T_c) from the CANBUS;
- 121 • Temperature of the fuel gases at the exhaust with a gas analyser;
- 122 • Concentration of CO₂ (c_{CO_2}) with a gas analyser;
- 123 • Concentration of CO (c_{CO}) with a gas analyser;
- 124 • Concentration of NO (c_{NO}) with a gas analyser;
- 125 • Concentration of NO₂ (c_{NO_2}) with a gas analyser.

126

127 c_{NO} and c_{NO_2} were not recorded for the NH T7 since in the first instance they were not
128 considered significant, but to compare tractors with different exhaust after-treatment devices,
129 c_{NO} and c_{NO_2} were measured for the other tractors. The procedure for the engine start tests
130 consisted of 10 cycles, each of which included engine start-up, 60 s of engine idling, and 30 s
131 of engine off. The idling duration was chosen to restore V_{batt} to the level prior to starting the
132 engine. The tests were performed with the auxiliary loads ON and OFF. In the following, they
133 are denoted as AUX ON and AUX OFF, respectively. The auxiliary loads that were considered
134 were the lights (front and rear headlights, work lamps, warning beacon); cab radio; heating,
135 ventilation, and air conditioning (HVAC); compressor; and the blower fan (set at maximum
136 speed). Since the start-up behaviour of engines is also dependent on the environmental
137 temperature, thus the engine start-up tests were performed at the same ambient temperature (~
138 14 °C) for all the tractors.

139 From each engine cycle, the following parameters were calculated from the recorded data
140 following the procedure reported by Mattetti et al. (2022):

- 141 • Duration of start-up (t_s);
- 142 • Electrical power delivered by the battery (P_{batt});
- 143 • Electrical energy demanded by the starter to start the engine ($E_{el,st}$);
- 144 • Volume of fuel injected to accelerate the engine from 0 rpm to the minimum self-
145 sustaining engine rotational speed ($f_{inj,st}$);
- 146 • Volume of fuel needed to restore $E_{el,st}$ in the battery when the engine was running
147 ($f_{el,st}$);
- 148 • Equivalent fuel used to start the engine ($f_{eq,st}$), calculated using Eq. (1):

$$f_{eq,st} = f_{el,st} + f_{inj,st} \quad (1)$$

- 149 • Mean values of the fuel rate (\dot{f}_{idle}), emissions of CO ($\dot{m}_{CO,idle}$), CO₂ ($\dot{m}_{CO_2,idle}$),
150 NO ($\dot{m}_{NO,idle}$), and NO₂ ($\dot{m}_{NO_2,idle}$) during the idling phase using the approach
151 described in Mattetti et al. (2022);
- 152 • Number of seconds of idling beyond which shutting down the engine could reduce
153 the amount of consumed fuel (t_{eq}), calculated using Eq. (2):

$$t_{eq} = \frac{f_{eq,st}}{\dot{f}_{idle}} \quad (2)$$

- 154 • Mean value of engine speed during the idling phase ($n_{e,idle}$).

155 4.1 Real-world test

156 In the real-world test, the four tractors remained in use in the experimental farm of the Alma
157 Mater Studiorum – University of Bologna, Cadriano, BO, Italy. The size of the farm is 500 ha,
158 of which 67%, 10%, and 23% of the land is devoted to cereals, orchards, and hay, respectively.
159 The farm consisted of three different units (i.e., areas where tractors are stored overnight) and
160 located in three different towns; the furthest locations of the farm units are 35 km apart. The

161 tractors were used by the same operators, all of whom had more than 20 years of experience.
 162 Each tractor was monitored for a certain period and used for specific farming tasks (Table 2).

Table 2 – Details of the real-world data recording.

Tractor denotation	STEYR	CASE	NH T7	NH T8
Period of monitoring (dd/mm/yyyy – dd/mm/yyyy)	01/12/1015 - 17/08/2016	24/06/2020 – 30/10/2020	15/10/2018 – 04/10/2021	14/09/2021 – 22/06/2022
Main tractor tasks	Tasks with front loaders, weed control	Goods transportation, and haymaking	Goods transportation, primary and secondary tillage	Primary, and secondary tillage tasks

163

164 For all of the tractors, the following data were recorded using a customised controller area
 165 network (CANBUS) data logger previously used by the authors in other studies (Mattetti et al.,
 166 2021; Molari et al., 2013):

- 167 • Flow of oil through the i -th auxiliary valves (\dot{V}_{fi}) from the CANBUS (only for
 168 tractors equipped with electronically controlled auxiliary valves);
- 169 • Presence of the operator in the cab (O_p) from the CANBUS; equal to 1 when the
 170 operator was in the seat and 0 otherwise;
- 171 • Position of the three-point linkage (P_{tlp}) from the CANBUS; equal to 0 when the
 172 rear three-point hitch is fully down and 100% when it is fully up;
- 173 • Speed of the rear PTO shaft and the front PTO shaft from the CANBUS;
- 174 • Tractor ground speed (v_t) from the global navigation satellite system (GNSS)
 175 receiver embedded into the CANBUS data logger.

176

177 Since unnecessary idling is closely linked to the operator behaviour (Molari et al., 2019), the
 178 operators were unaware of the recording process and presence of the data logger in the tractor.
 179 The CANBUS data logger was configured to record data anytime the tractor engine was turned
 180 on in order to collect data under the most realistic conditions (Ludes & Steeples, 1999).

181 Idling stops were identified any time the engine load was very low, i.e., when the following
182 conditions were met:

- 183 • n_e equal to $n_{e,idle}$, calculated from the engine start test of each tractor;
- 184 • v_t equal to 0 km h⁻¹.

185

186 Idling stops were classified as unnecessary if they could be avoided with no significant
187 impact on productivity. Based on this definition, unnecessary idling stops were classified as
188 unnecessary when all the following conditions were met:

- 189 • The operator had not been on the seat for longer than 10 s; thus, very short idling stops
190 which could be part of the standard farming manoeuvres were excluded.
- 191 • No use of the three-point linkage or any auxiliary valve, meaning the peak-to-peak value
192 of P_{tlp} or \dot{V}_{fi} in the idling stop had to be 0; based on this condition, the idling stop to
193 implement hitching was not classified as unnecessary idling.
- 194 • T_c had to be between a lower and upper bounds, so that idling stops performed for
195 warming engines and those after heavy loads were not considered to be unnecessary.
196 The latter condition was necessary since engines must be properly cooled after being
197 heavily loaded (Keel-Blackmon et al., 2016). The two boundary temperature values
198 were chosen based on the manufacturers' recommendations provided in each tractor
199 user manual.

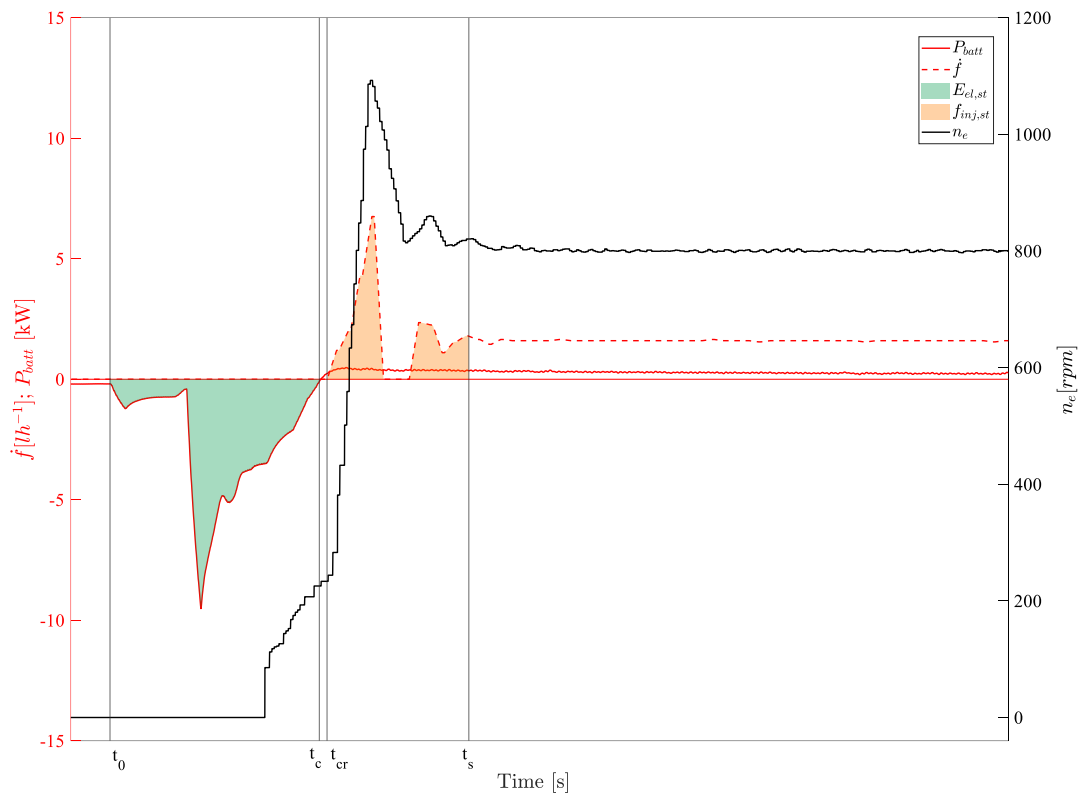
200 Unnecessary idling was reported with a logical variable; from its falling edges, the number
201 of unnecessary idling stops provided the number of additional engine start-ups (n_{su}). Thus, the
202 potential fuel savings by avoiding unnecessary idling (f_{save}) were calculated using Eq. (1).

$$f_{save} = t_{unn} \dot{f}_{idle} - n_{su} f_{eq,st} \quad (1)$$

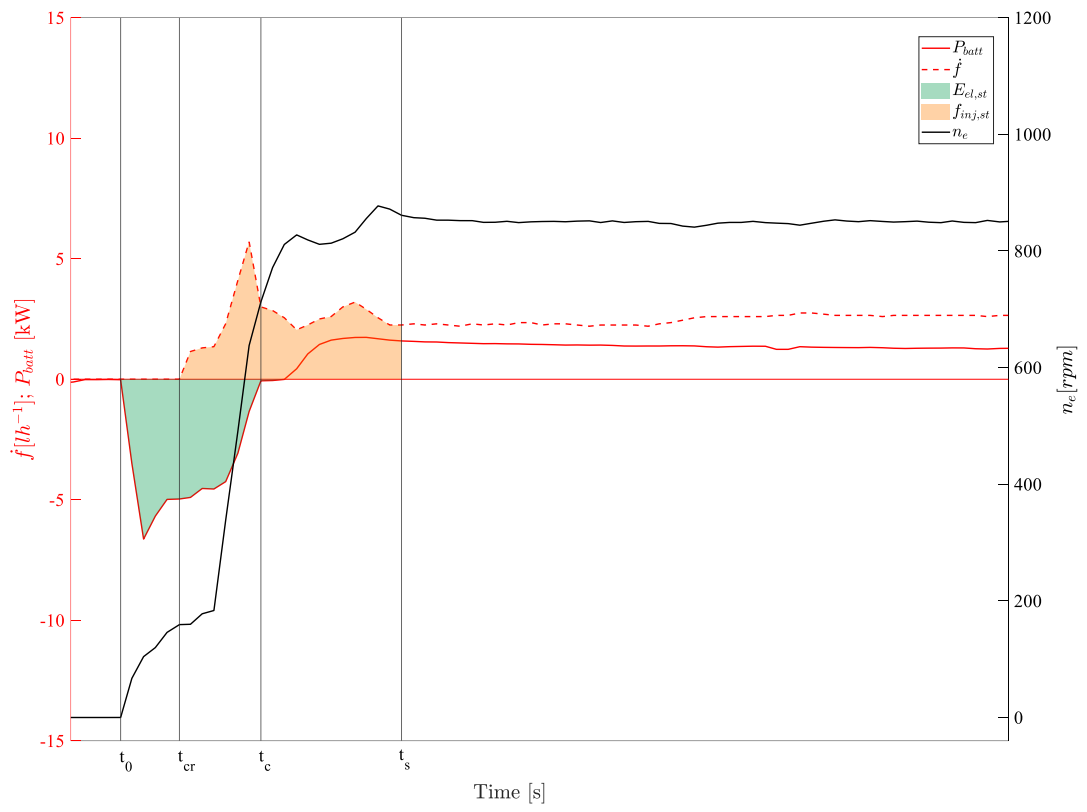
203 **3. Results and discussion**

204 *3.1 Engine-start test*

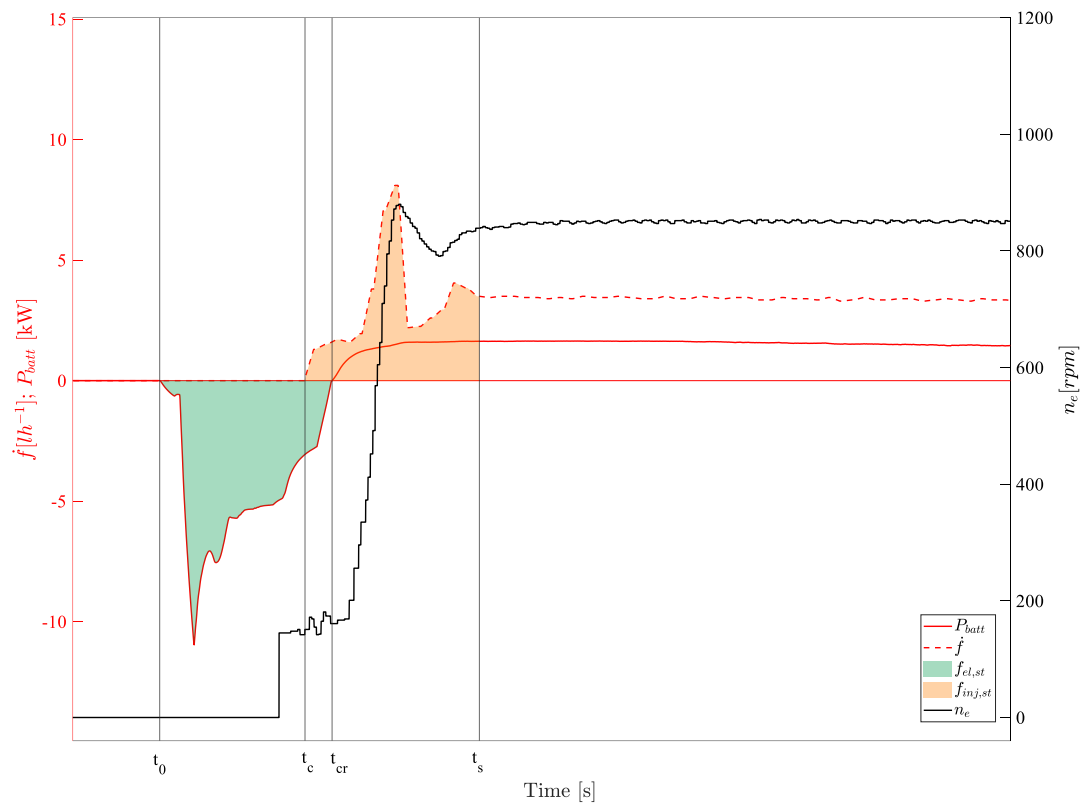
205 In Fig. 1, the behaviours of the engine during start-up are reported for all the tractors during
206 the AUX ON test, since it is the operating condition that most closely represents real-world
207 conditions. No significant differences in the trends with AUX OFF tests were observed.



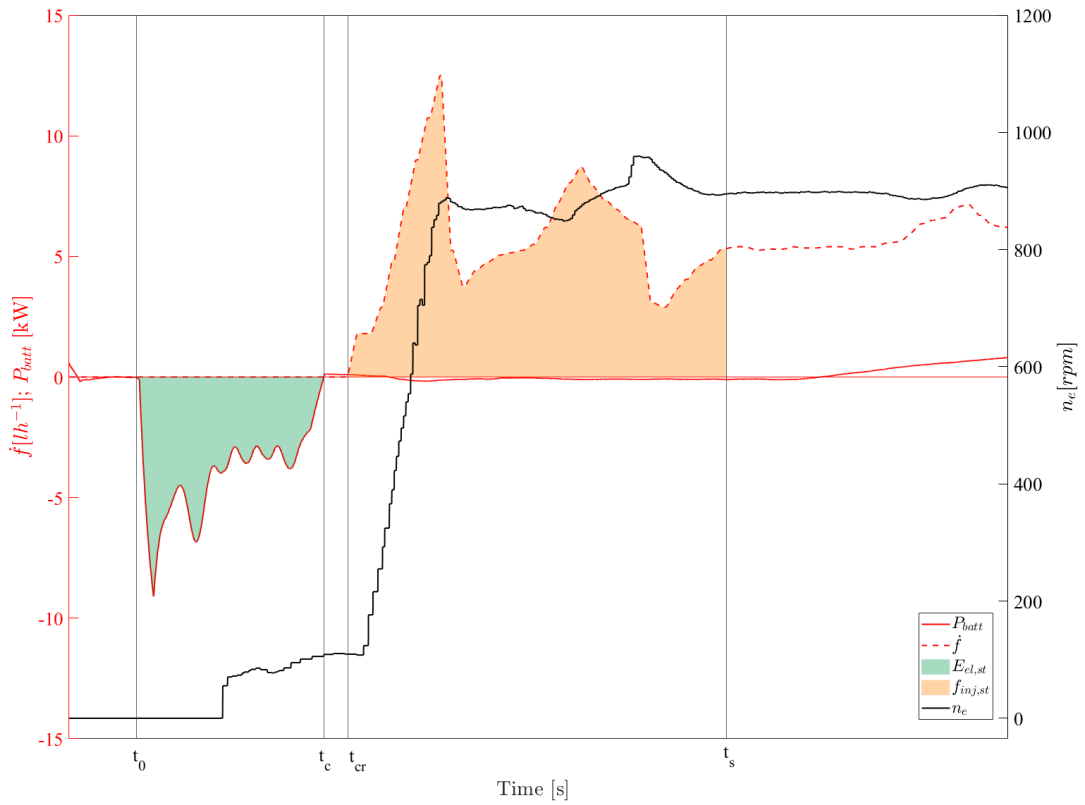
a)



b)



c)



d)

208 *Fig. 1: Portion of fuel rate (\dot{f}), battery power (P_{batt}), and engine speed (n_e) during start-up*
 209 *with the auxiliaries running (AUX ON test). The green area indicates the energy*
 210 *required by the starter to run the engine ($E_{el,st}$), while the orange area indicates the*
 211 *amount of fuel injected to bring the engine to the self-sustaining idling speed ($f_{inj,st}$).*
 212 *a) STEYR, b) CASE, c) NH T7, d) NH T8. t_0 occurred at the initial change of P_{batt} , t_c*
 213 *occurred when P_{batt} was equal to 0 kW, t_{cr} occurred at the initial change of \dot{f} , and t_s*
 214 *occurred when n_e was equal to $n_{e,idle}$. The temporal scale of all the figures is the*
 215 *same.*

216 The general behaviour of the signals during the start-up event was similar for all the tractors.

217 In particular, the start-up event consists of three phases:

- 218 • **Cranking:** where the energy necessary to speed up the engine crankshaft is supplied
 219 only by the starter motor (DeBruin, 2013), occurring in the time elapsed between t_0
 220 and the minimum value between t_c and t_{cr} in Fig. 1;

- 221 • **Crank-to-run transition:** where the energy is supplied both by the starter and the
222 fuel occurring in the time between t_c and t_{cr} in Fig. 1. This phase was not present in
223 all the tractors (i.e., NH T8 (Fig. 1 d));
- 224 • **Crank-to-run:** where the energy to speed up the engine is supplied only by the fuel,
225 and the fuel injection is controlled by the **electronic controlled unit** (ECU) to
226 minimise the self-sustaining engine speed (DeBruin, 2013; Humbert & Kibler,
227 1977). This phase occurred in the time between the maximum value between t_c and
228 t_{cr} , and t_s .

229

230 During the cranking phase, n_e reached the starter speed (ranging from 110 rpm for the NH
231 T8 up to 210 rpm for the STEYR), and from the crank-to-run transition phase, an abrupt change
232 in n_e was observed. An important aspect during start-up is the increase in n_e , which should be
233 brief but also regular in order to not induce any driver discomfort (Srinivasan et al., 2015). This
234 was observed for all the tractors except for the STEYR, which showed a large overshoot flare
235 (i.e., the overshoot difference between the peak engine speed and the idle speed). For new
236 batteries, a major single peak of the P_{batt} signal at the beginning of the start-up event should
237 be observed (Kerley et al., 2015); this was the case for most of the tractors. For the NH T8
238 tractor, a second major peak could be observed which is an indication of minimum battery
239 ageing, as shown in a previous study (Kerley et al., 2015). The major differences in the start-
240 up events of the tractors were the duration of the start-up event and the distribution of the three
241 start-up phases. The mean value of t_s for all the tractors and the time contribution of the three
242 phases are reported in Table 3.

243

Table 3 – Mean values of the start-up duration and contribution to the three phases for the AUX OFF / AUX ON test

	STEYR	CASE	NH T7	NH T8
Duration of start-up (t_s) [s]	2.2 / 3.0	2.1 / 2.4	2.6 / 2.7	4.2 / 5.0
Contribution of cranking phase [%] (with respect to t_s)	50 / 58	24 / 21	40 / 45	41 / 32
Contribution of crank-to-run transition phase [%] (with respect to t_s)	0 / 2	38 / 29	6 / 8	0 / 0
Contribution of crank-to-run phase [%] (with respect to t_s)	48 / 40	38 / 50	54 / 46	51 / 64

244

245 For all tractors, t_s ranged between 2 and 5 s and increased with the engine displacement,
246 without considering the STEYR. For the STEYR, the t_s value was similar to that found by
247 DeBruin (2013) for a passenger car equipped with an engine of similar displacement. Despite
248 the STEYR having the smallest engine displacement of the tractors selected, it does not have
249 the smallest t_s . This was likely caused by the start-up strategy of the engine during which a
250 large overshoot flare could be observed. After the overshoot, the ECU automatically stopped
251 the fuel injection to decelerate the engine to bring it to $n_{e,idle}$. Thus, STEYR required a longer
252 time to achieve a steady n_e value (Fig. 1a). Longer start-up events were observed for AUX ON
253 tests than AUX OFF tests; indeed, the t_s values for the AUX ON tests were as much as 40%
254 greater than those of the AUX OFF tests. This is likely caused also by the greater load required
255 to run the engine when the auxiliary equipment was activated. For most of the tractors, the
256 cranking and the crank-to-run phases were the longest, except for the CASE IH where a much
257 longer crank-to-run transition was observed. Indeed, the crank-to-run transition phase
258 accounted for approximately 0.8 s for the CASE IH. For the NH T8 and STEYR for the AUX

259 OFF test, there was no crank-to-run transition phase (Fig. 1 – d); as can be seen in Table 3, the
260 sum of the phase percentages is not 100%. The cranking phase ranged from 0.5 s for the CASE
261 to 1.8 s for the STEYR. The latter values are much greater than those observed for passenger
262 cars, for which they are up to 0.8 s (Canova et al., 2009; DeBruin, 2013).

263 Considering that all the engines were manufactured by the same vendor, they will likely
264 share the same design principles. Also, it can be assumed that the mechanical losses and
265 auxiliary loads should be mostly proportional with engine displacement (Lee et al., 1999;
266 Sorrentino et al., 2015). This assumption is confirmed by the fact that $f_{eq,st}$ and \dot{f}_{idle} increase
267 monotonically with the engine displacement (Table 4). In the AUX ON tests, $f_{eq,st}$ values were
268 up to 40% greater than those in AUX OFF tests. For the NH T8, this increase was negligible,
269 likely due to the fact that, in this tractor, auxiliary equipment was disconnected during the start-
270 up to reduce the starter load. This type of design architecture is common in vehicles equipped
271 with a similar engine displacement to that of NH T8. For all the tractors, most of the fuel used
272 during start-up was injected during the start-up phase (ranging from 50% to 85%) and not
273 during idling period to recharge the battery. This contribution increased with the activation of
274 the auxiliary equipment for all the tractors except for the NH T8.

275

Table 4 – Mean values of the fuel metrics calculated from the engine start test. AUX OFF / AUX ON test. In the parentheses, the standard deviation values are reported. Means with the same letter on the same row do not differ statistically ($p > 0.05$) by the ANOVA test. Standard deviations are reported in brackets.

	STEYR	CASE	NH T7	NH T8
$f_{eq,st}$ [ml]	1.29 (6.55 10^{-2}) ^A / 1.54 (7.98 10^{-2}) ^A	1.44 (5.38 10^{-2}) ^B / 2.04 (7.81 10^{-2}) ^B	2.30 (8.91 10^{-2}) ^C / 2.62 (1.11 10^{-1}) ^C	7.57 (2.77 10^{-1}) ^D / 7.57 (8.53 10^{-2}) ^D
$m_{CO_2,st}$ [g]	3.4 (1.7 10^{-1}) ^A / 4.0 (2.1 10^{-1}) ^A	3.8 (1.4 10^{-1}) ^B / 5.4 (1.4 10^{-1}) ^B	6.1 (2.3 10^{-1}) ^C / 6.9 (3.0 10^{-1}) ^C	19.9 (7.3 10^{-1}) ^D / 19.9 (2.2 10^{-1}) ^D
$f_{el,st}$ [%]	50 (2.3) ^A / 42 (2.5) ^A	31 (1.3) ^B / 24 (1.1) ^A	40 (1.4) ^C / 40 (2.4) ^B	15 (1.4) ^D / 23 (1.2) ^B
$f_{inj,st}$ [%]	50 (2.3) ^A / 58 (2.5) ^A	69 (1.3) ^B / 76 (1.1) ^B	60 (1.4) ^C / 60 (2.4) ^A	85 (1.4) ^D / 77 (1.2) ^B
\dot{f}_{idle} [l h ⁻¹]	1.3 (9.5 10^{-3}) ^A / 1.6 (1.1 10^{-2}) ^A	2.1 (9.6 10^{-3}) ^B / 2.7 (5.7 10^{-2}) ^B	2.4 (6.2 10^{-2}) ^C / 3.0 (2.7 10^{-2}) ^C	6.2 (1.2 10^{-2}) ^D / 6.6 (4.6 10^{-2}) ^D
$\dot{m}_{CO_2,idle}$ [kg h ⁻¹]	3.4 (3.8 10^{-2}) ^A / 4.0 (1.4 10^{-1}) ^A	5.3 (5.9 10^{-2}) ^B / 7.0 (3.2 10^{-1}) ^B	6.29 (1.6 10^{-1}) ^C / 7.83 (7.1 10^{-2}) ^C	16.3 (1.1 10^{-1}) ^D / 17.1 (2.4 10^{-1}) ^D
t_{eq} [s]	3.6 (1.9 10^{-1}) ^A / 3.5 (1.7 10^{-1}) ^A	2.5 (9.5 10^{-2}) ^B / 2.7 (7.5 10^{-2}) ^B	3.5 (1.6 10^{-1}) ^A / 3.1 (1.4 10^{-1}) ^C	4.4 (1.4 10^{-1}) ^C / 4.1 (5.0 10^{-2}) ^D

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It can be seen from Table 4 that most of the study parameters are significantly influenced by the engine characteristics, as shown by the results of the multi comparison ANOVA test. \dot{f}_{idle} monotonically increases with engine power; this occurs because the larger engines require more fuel due to the higher mechanical losses (Bartolomei, 2021; Sorrentino et al., 2015), and also because during idling, approximately 38% of the fuel is used to run the auxiliaries (Saetti et al., 2021). The higher the engine power, the higher the accessory power demands since they are typically sized as a function of engine rated power (Campbell et al., 2012). However, it was not possible to define a clear relationship between engine displacement and typology since the ECU

285 fuel injection logic and the amount of fuel injected for each tractor plays a crucial role in fuel
286 and emissions generated during start-ups. The recorded mean values of t_{eq} varied significantly
287 between the tractors; however, it has a very low test-to-test variability on the same tractor.
288 Indeed, for all the tests, t_{eq} ranged from 2.5 s up to 4.4 s, meaning that $f_{eq,st}$ and \dot{f}_{idle} increased
289 at a similar rate to the increase in engine displacement. The only exception to this trend is the
290 t_{eq} value for STEYR which was greater than those of the CASE and NH T7, which are equipped
291 with larger engines. This result can be caused by the irregular start-up of the STEYR (Fig. 1 -
292 a), which may decrease the fuel efficiency during start-up. Indeed, for the STEYR, the peak
293 value of \dot{f} was approximately 4 times higher than \dot{f}_{idle} , while for the other engines \dot{f} was less
294 than 2.7 times higher than \dot{f}_{idle} . The values of t_{eq} found in this study are shorter than the
295 minimum duration requirement of unnecessary idling (i.e., 10 s) and are also well below those
296 reported in another study on passenger cars; in that study, t_{eq} was found to be around 10 s
297 (Gaines et al., 2013). The one-way ANOVA test performed on $f_{eq,st}$, $f_{el,st}$, $f_{inj,st}$, \dot{f}_{idle} and t_{eq}
298 for every tractor between AUX ON and AUX OFF showed a significant difference between the
299 two configurations in the majority of tractors, with the exception of STEYR where no
300 significant difference between the two AUX test were observed for any start-up parameter.

301 In Table 5, GHG and pollutant emissions metrics calculated from the engine start-up test are
302 reported. Differences in the values between tractors were due to the different after-treatment
303 systems, engine displacement, and design (Table 1).

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Table 5 – Mean values of emission metrics calculated from the engine start test. AUX OFF / AUX ON test. NH T7 $\dot{m}_{NO, idle}$ and $\dot{m}_{NO_2, idle}$ are not reported since they were not available in Mattetti et al (2022). Means with the same letter on the same row do not differ statistically ($p > 0.05$) by ANOVA test. Standard deviations are reported in the parentheses.

	STEYR	CASE	NH T7	NH T8
$\dot{m}_{CO, idle} [g h^{-1}]$	$1.5 \cdot 10^{-2} (1.1 \cdot 10^{-2})^A$	$0.0 (0.0)^B$	$3.4 \cdot 10^{-1} (2.2 \cdot 10^{-2})^C$	$0.0 (0.0)^B$
	/	/	/	/
$\dot{m}_{NO, idle} [g h^{-1}]$	$8.3 \cdot 10^{-4} (1.3 \cdot 10^{-3})^A$	$0.0 (0.0)^B$	$3.9 \cdot 10^{-1} (6.1 \cdot 10^{-3})^C$	$0.0 (0.0)^B$
	$2.9 \cdot 10^{-1} (1.1 \cdot 10^{-2})^A$	$2.3 \cdot 10^{-1} (1.5 \cdot 10^{-1})^A$	-	$1.6 \cdot 10^{-1} (1.3 \cdot 10^{-1})^A$
$\dot{m}_{NO_2, idle} [g h^{-1}]$	/	/	/	/
	$3.5 \cdot 10^{-1} (2.8 \cdot 10^{-2})^A$	$1.6 \cdot 10^{-1} (1.3 \cdot 10^{-1})^B$	-	$6.4 \cdot 10^{-2} (5.1 \cdot 10^{-2})^B$
$\dot{m}_{NO_2, idle} [g h^{-1}]$	$1.3 \cdot 10^{-2} (1.3 \cdot 10^{-2})^A$	$2.0 \cdot 10^{-2} (2.2 \cdot 10^{-2})^A$	-	$4.1 \cdot 10^{-1} (1.3 \cdot 10^{-1})^B$
	/	/	/	/
	$2.8 \cdot 10^{-2} (1.3 \cdot 10^{-2})^A$	$1.0 \cdot 10^{-2} (1.9 \cdot 10^{-2})^A$	-	$2.8 \cdot 10^{-1} (2.5 \cdot 10^{-1})^B$

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306 For STEYR and NH T7, which are not equipped with a DOC system, CO emissions were
307 measured during idling due to incomplete fuel oxidation; this likely could have been caused by
308 low turbulence in the idling conditions and the low temperature in the combustion chamber. In
309 contrast, for the NH T8 and CASE tractors, no emissions of CO were observed due to the
310 presence of the DOC system which effectively oxidises CO and unburned hydrocarbons from
311 the engine exhaust (Lakkireddy et al., 2006). This means that for tractors equipped with DOC,
312 turning off the engine when it is not needed had no advantage in terms of CO emissions. The
313 mean value of NO emissions decreases with the engine displacement; however, there was no
314 significant difference between the tractors in the AUX OFF configuration. In contrast, the NO₂
315 emissions show the opposite trend, although the values observed for the STEYR and the CASE
316 are not significantly different. This behaviour is primarily influenced by the aftertreatment
317 systems as opposed to the engine displacement, as previously discussed. $\dot{m}_{NO, idle}$ was
318 considerably higher than $\dot{m}_{NO_2, idle}$, which is expected for naturally aspirated engines not
319 equipped with an SCR catalyst. For these systems, the $\dot{m}_{NO, idle} / (\dot{m}_{NO, idle} + \dot{m}_{NO_2, idle})$ ratio
320 can be as high as 0.95 (Ko et al., 2019); in more recent turbocharged engines with SCR catalysts,

321 this ratio can be higher (Wild et al., 2017). For tractors equipped with SCR systems, $\dot{m}_{NO_2, idle}$
322 was considerably higher than $\dot{m}_{NO, idle}$ due to the injection strategy of the ammonia/water mix.
323 The relatively low operating temperature of the exhaust, which was in the range of 180 °C for
324 these tests, decreased the overall effectiveness of the SCR; in the presence of a reheat of
325 ammonium nitrate, a partial conversion of NO into NO₂ can occur (Koebel et al., 2002). The
326 mean total NO_x emissions during idling for this tractor were 0.03 g kWh⁻¹, which is significantly
327 less than the limit of 0.40 g kWh⁻¹ imposed by STAGE V emission standards for nonroad
328 engines (International Council on Clean Transportation, 2016). Thus, turning off the engine did
329 not significantly affect NO_x emissions generated by the tractor during use. Moreover,
330 comparing these results with those reported in a previous study (Brodrick et al., 2002), the
331 emissions were reduced by three orders of magnitude, indicating a strong quantitative impact
332 of the emission regulations imposed by policy makers.

333 3.2 Real-world test

334 The results of the real-world test are reported in Table 6. As can be seen, the idling durations
335 of the NH T7 and STEYR are near the mean value reported in other studies (i.e., ~22%)
336 (Jenkins, 1960; Perozzi et al., 2016). However, the idling durations for the NH T8 and the CASE
337 differed significantly from the mean value found by Perozzi et al., (2016). Indeed, the idling
338 duration of the NH T8 was even lower than the minimum value observed by Perozzi et al
339 (2016), while the idling duration for the CASE tractor was near the 97.5th percentile observed
340 by Perozzi et al (2016) **in the group of tractors denoted EU in that paper**. The low idling duration
341 of the NH T8 could be due to the tractor being used primarily for heavy tillage operations on
342 very large fields, which likely involves very few deadtimes resulting from activities such as
343 implementing hitching (Mattetti, Medici, et al., 2022). This was validated by the mean number
344 of daily working hours which was significantly higher than the other tractors. By contrast, the

345 long idling duration of the CASE could be explained by the prolonged use of the tractor for
346 supporting combines during harvesting which involved long idling stops also for keeping the
347 cab in the desired thermal comfort zone. Under such operating conditions, tractors run on idle
348 while the farmers wait for the combines to be fully loaded. For all the tractors, the unnecessary
349 idling durations ranged from 45% of the entire idling for the NH T7 up to 72% for the CASE;
350 these engine idling durations resulted in **fuel consumed for idling between** 1.9% and 6.8% of
351 the entire used fuel, for the NH T8 and CASE, respectively. Considering that the standard
352 deviation of \dot{f}_{idle} is relatively small as shown in Table 3, in absolute terms, the fuel used for
353 idling can be considered to be almost linearly dependent on the amount of time spent by the
354 tractor in idling. However, in relative terms, the fuel used for idling is affected by the power
355 delivered by the engine in non-idling operations (Jenkins, 1960; Mattetti et al., 2019). Indeed,
356 the amount of fuel consumed in 100 h by the CASE was only 9% higher than that of the STEYR,
357 despite the fact that the nominal power of the CASE is 16% higher than that of the STEYR.
358 This result leads to the conclusion that the STEYR operated at greater engine load than that of
359 the CASE. The tractor with the lowest f_{save} was the NH T8, while the tractor with the highest
360 f_{save} was the CASE. For all tractors, the percentage of idling stops classified as unnecessary
361 ranged from 51 to 73%; the number of idling stops classified as unnecessary in 100 h is
362 associated with additional start-ups required by the starters (n_{su}) and the additional load of each
363 starter. This load ranged from 2.6 to 7.5 times the number of start-ups experienced by the tractor
364 during its monitored usage. This additional load is high, but batteries are designed to withstand
365 a high number of charge/discharge cycles (Yonezu & Ando, 1983). However, if tractors are to
366 be equipped with a start-stop system, it would be beneficial to equip them with enhanced
367 flooded batteries which are designed specifically for vehicles equipped with start-stop systems
368 (Horie et al., 2007).

369 For all tractors, the mean duration of unnecessary idling stops was much shorter than that of
370 necessary idling stops (Table 6). For all tractors, the mean duration of all idling stops ranged
371 from 28 to 197 s which are well above t_{eq} resulting from the engine start-up tests (Table 4).
372 This result leads to the conclusion that turning off the engine conserves fuel in most cases. The
373 values for the NH T7 changed slightly due to the updated classification for unnecessary idling
374 used in this study in relation to that adopted in Mattetti et al. (2022).

Table 6 – Fuel and emission metrics calculated from the engine start test.

	STEYR	CASE	NH T7	NH T8
Number of working days	205	66	290	57
Accumulated hours [h]	999	371	1314	493
Mean daily operation [hh:mm]	4:52	5:37	4:31	8:39
Total idling [% with respect to accumulated hours]	16.5	31.5	25.0	10.0
t_{unn} [% with respect to accumulated hours]	12.3	23.9	12.4	5.9
Total used fuel [l 100 h ⁻¹]	749	815	1672	2761
Fuel used for idling [% with respect to the total used fuel]	2.7	6.8	3.4	1.9
f_{save} [% of the total consumed fuel]	1.9	5.1	1.7	1.1
Number of idling stops in 100 h	527	639	415	460
n_{su} in 100 h	375	438	227	229
Mean duration of necessary idling stops [s]	28	42	108	33
Mean duration of unnecessary idling stops [s]	118	196	197	87

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376 6. Conclusions

377 The agricultural machinery industry must develop powertrains with higher fuel efficiencies
378 and farmers must use fuel more efficiently for agricultural machinery to minimise GHG
379 emissions and maintain profit margins. The industry has been pushing towards hybrid

380 powertrains as well as powertrains with alternative fuels, but such solutions are not yet widely
381 available. An immediate and ready-made solution is to address the issue of engine idling. This
382 report presents the first comprehensive study investigating engine idling and the start-up
383 process for agricultural tractors. In this study, four types of tractors were tested; the results
384 showed that, in the worst case, turning off the engine conserves fuel for idling stops longer than
385 4.4 s. This result was consistent for the different engine architectures likely to be used because
386 the engines with larger displacement showed proportional fuel requirements for starting the
387 engine and maintaining idling. In this analysis, most of the engine idling was classified as
388 unnecessary, which could therefore be avoided. In terms of emissions, CO and NO_x levels were
389 not shown to be of concern during idling, especially in tractors equipped with DOC and SCR
390 systems. Reducing idling time is also important in reducing particulate matter emissions since
391 high temperatures during idling can be developed inside the particulate filter which reduces the
392 filter durability. Unnecessary idling accounted for at least 1.2% of annual fuel consumption.
393 Applying that rate of fuel savings to the nearly 70 million tonnes of CO₂ emissions annually
394 that are attributed to agricultural mechanisation, if operators turn off the tractor engine for
395 unnecessary idling stops, CO₂ emissions could be reduced by 770 thousand tonnes, equivalent
396 to 29 thousand of litres of fuel. These are meaningful reductions that can be achieved
397 immediately with no significant effort. It is acknowledged that these figures are optimistic,
398 since operators do not always know beforehand that an idling stop is necessary. Therefore, an
399 automatic system, such as a start-stop system, should be implemented. To support this goal, the
400 emerging trend of electrification in agricultural machinery may enable the required automation
401 to avoid discomfort to farmers caused in turning off the engine during unnecessary idling stops.

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