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Journal of Biomechanics

Quantification of the Errors Associated with Marker Occlusion in Stereophotogrammetric Systems and implications on gait analysis --Manuscript Draft--

BM-D-20-00435R2 **Manuscript Number:** Article Type: Full Length Article (max 3500 words) Keywords: Stereophotogrammetry; accuracy; marker occlusion; systematic error **Corresponding Author:** Michele Conconi University of Bologna Bologna, ITALY **First Author:** Michele Conconi Order of Authors: Michele Conconi Alessandro Pompili Nicola Sancisi Vincenzo Parenti-Castelli Abstract: Optoelectronic stereophotogrammetric systems (OSSs) represent the standard for gait analysis. Despite widespread, their reported accuracy in nominal working conditions shows a variability of several orders of magnitude, ranging from few microns to several millimetres. No clear explanation for this variability has been provided yet. We hypothesized that this reflects an error affecting OSS outcomes when some of the tracked markers are totally or partially occluded. The aim of this paper is to quantify this error in static and dynamic conditions, also distinguishing between total and partial marker occlusion. A Vicon system featuring 8 cameras is employed in this study. Two camera distributions, one designed to maximize OSS accuracy and another one representative of a typical gait setup, are investigated. For both the setups, static and dynamic tests are performed, evaluating the different impact of partial and total marker occlusions. Marker occlusions significantly affected the system performances. The maximum measure variation reached 1.86 mm and 7.20 mm in static and dynamic conditions. respectively, both obtained in the case of partial occlusion. This systematic source of error is likely to affect gait measures: markers placed on the patient body are often visible only by half of the cameras, with swinging arms and legs providing moving occlusions. The maximum error observed in this study can potentially affect the kinematics outcomes of conventional gait models, particularly on frontal and coronal plane, and consequently the peak muscle forces estimated with musculoskeletal models.

ABSTRACT

Optoelectronic stereophotogrammetric systems (OSSs) represent the standard for gait analysis. 3
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Marker occlusions significantly affected the system performances. The maximum measure variation σ mm of this paper is to quantify this error in static and dynamic conditions, also distinguishing between
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26 total and partial marker occlusion.
26 A Vicon system featuring 8 cameras is employed in this study. Two camer 16placed on the patient marker occlusion.

16placed to maximize OSS accuracy and another one representative of a typical gait setup, are

16ps designed to maximize OSS accuracy and another one representative of a typical g providing moving occlusions. The maximum error observed in this study can potentially affect the kinematics outcomes of conventional gait models, particularly on frontal and coronal plane, and consequently the peak muscle forces estimated with musculoskeletal models.

1 INTRODUCTION

2 Optoelectronic stereophotogrammetric systems (OSSs) emerged in the 1980s and became the 3
Standard for gait analysis in the 1990s (Baker et al., 2017). Recently, OSSs found application also in
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14 robotics 1 INTRODUCTION

2 Optoelectronic stereophotogrammetric systems (OSSs) emerged in the 1

3 standard for gait analysis in the 1990s (Baker et al., 2017). Recently, OSSs for

4 robotics (Manecy et al., 2015; Morozov et al., 2

5 Despite the many applications, OSS accuracy is still undetermined, the literature reporting values 2 Optoelectronic stereophotogrammetric systems (OSSs) emerged in the 1980s and became the

3 standard for gait analysis in the 1990s (Baker et al., 2017). Recently, OSSs found application also in

4 robotics (Manecy et al. 2008; Yang et al., 2015; Eichelberg et al., 2016). The standard specific of accuracy is still undetermined, the literature reporting values in a robotics (Maneey et al., 2015; Morozov et al., 2016).

5 Despite the many app 8Richards, 1999). Also, OSS accuracy changes within the calibrated volume of and secano the standard for gait analysis in the 1990s (Baker et al., 2017). Recently, OSSs found application also in
4 robotics (Mancey et al., 2008; The al., 2012; Eichelberg et al., 2016; Aurand et al., 2017), it is related to came the standard for gait analysis in the 1990s (Baker et al., 2017). Recently, OSSs found application also in robotics (Mancey et al., 10 and distribution (Miller et al., 2002; Windolf et. al, 2008; Eichelberg et al., 2016; Aurand et al., 2017), 20 Optoelectronic stereophotogrammetric systems (OSSs) emerged in the 1980s and became the

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11111111111111111111111111 12Merriaux et al., 2015; Morozov et al., 2016). Recently, OSSs found application also in

14 robotics (Mancey et al., 2015; Morozov et al., 2016).

14 robotics (Mancey et al., 2015; Morozov et al., 2016).

15 Despite the m 1333 4 Tobotics (Manecy et al., 2015; Morozov et al., 2016).

143 Despite the many applications, OSS accuracy is still undetermined, the literature reporting values

143 ranging from a few microns to several millimetres (t 16these factors, however, directly explained the several millimetres (table 1). In part, this variability depends on the different definitions of accuracy (Eichelberg et al., 2016), and the specific OSSs (Ehara et al., 199 16 Faccuracy (Eichelberg et al., 2016), and the specific OSSs (Ehara et al., 1997;

166 Faccuracy even in the calibrated volume (CV) (Windolf et. al.

1999). Also, OSS accuracy changes within the calibrated volume (CV) (Wi different definitions of accuracy (Eichelberg et al., 2016), and the specific OSSs (Ehara et al., 1997;
Richards, 1999). Also, OSS accuracy changes within the calibrated volume (CV) (Windolf et. al,
2008; Yang et al., 2012 18 Richards, 1999). Also, OSS accuracy changes within the calibrated volume (CV) (Windolf et. al, 2008; Yang et al., 2012; Eichelberg et al., 2016; Aurand et al., 2017), to marker size (Liu et al., 2007; Windolf et. al, 20 2008; Yang et al., 2012; Eichelberg et al., 2016; Aurand et al., 2017), it is related to camera number
and distribution (Miller et al., 2002; Windolf et. al., 2008; Eichelberg et al., 2016; Aurand et al., 2017),
to marker and distribution (Miller et al., 2002; Windolf et. al., 2008; Eichelberg et al., 2016; Aurand et al., 2017),
to marker size (Liu et al., 2007; Windolf et. al. 2008; Yang et al., 2012; Diaz Novo et al., 2014;
Merriaux et al 20 tracking rays. This sudden change introduces a discontinuity in the reconstructed marker position and 21 a consequent apparent marker displacement, hereinafter called *marker-occlusion-artefact* (MOA). and calibration technique (Windolf et. al, 2008; Yang et al., 2012; Di Marco et al., 2017). None of
these factors, however, directly explained the several millimetres of variation observed in OSS
accuracy even in nominal w these factors, however, directly explained the several millimetres of variation observed in OSS
accuracy even in nominal working conditions. A possible explanation may come from the OSS
tracking process. Marker location is accuracy even in nominal working conditions. A possible explanation may come from the OSS
tracking process. Marker location is determined as the intersection of all the camera tracking rays.
Due to measurement errors, thes tracking process. Marker location is determined as the intersection of all the camera tracking rays.

22 Due to measurement crrors, these rays are skew and do not meet at a single point. The location of a

23 marker is thu

1 at a time. The transition between subsets necessarily implies both types of occlusions. The maximum 2inter-marker distance (IMD) error was 5.57 mm for the most accurate OSS, ascribed to the reduction
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3in the number of trac 3 in the number of tracking cameras. Later investigations showed however that the impact of camera at a time. The transition between subsets necessarily implies both types of occlusions. The maximum
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inter-marker distance (IMD) error was 5.57 mm for the most accurate OSS, ascribed to the reduction
in the number of tracki 8 dynamic conditions. 1 at a time. The transition between subsets necessarily implies both types of occlusions. The maximum

2 inter-marker distance (IMD) error was 5.57 mm for the most accurate OSS, ascribed to the reduction

10 in the number 21 Inter-marker distance (IMD) error was 5.5/mm for the most accurate OSS, ascribed to the reduction

31 In the number of tracking cameras. Later investigations showed however that the impact of camera

141 number on the s

9 We hypothesized that MOA may result in considerable errors, explaining the observed variability in 12 In the number of tracking cameras. Later investigations showed however that the impact of camera

14 number on the system accuracy is at least one order of magnitude smaller (Miller et al., 2002;

12. Eichclberg et al. 13 The system accuracy is at least one order of magnitude smaller (Miller et al., 2002;
13 Fichelberg et al., 2016). Kuxhaus and co-workers (2009) addressed MOA by investigating the effect
16 of occluding some cameras. Th Eichelberg et al., 2016). Kuxhaus and co-workers (2009) addressed MOA by investigating the effect
of occluding some cameras. The study, however, did not provide a complete analysis of MOA,
particularly of partial occlusio 15 also applied to evaluate the possible mitigation of MOA. 18Note The paper aims to verify this hypothesis and to provide

11 both for total and partial occlusion. First, the impact of the camera numb

12 evaluated, to isolate this effect from the MOA. Then, a static test is perfo

16

17 METHODS

19 Experimental setups

20 We used a Vicon system (6 Bonita, 2 Vero cameras), processing data within Nexus 2.5, keeping all 21 system settings at default values. The experiments were performed in a laboratory with concrete-24 Conductors is performed to quantity are protect in a typical section. In this facter case, tana intering is
26 also applied to evaluate the possible mitigation of MOA.
22 METHODS
22 We used a Vicon system (6 Bonita, 2 V 23 also applied to evaluate the possible imageator of Mork.

23 Mote: Supplementary material is denoted by S.

23 *Experimental setups*

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22 *Note:* Supplementary material is denoted by S.
20 We used a Vicon system (6 Bonita, 2 Vero cameras), processing data within Nexus 2.5, keeping all
22 system settings at default values. The experiments were p

1 supplementary material. Acquisitions were performed at 100 Hz, using Polaris retro-reflective
2 passive markers, 11.5 mm in diameter. Marker centroid was computed by the Vicon circular fitting,
3 using the standard 0.5 t 21 supplementary material. Acquisitions were performed at 100 Hz, using Polaris retro-reflective
22 passive markers, 11.5 mm in diameter. Marker centroid was computed by the Vicon circular fitting,
32 using the standard 0. 3using the standard 0.5 threshold value. The minimum number of tracking cameras to reconstruct a
3using the standard 0.5 threshold value. The minimum number of tracking cameras to reconstruct a
5 we tested two camera distr 4 marker was set to 3.

5 We tested two camera distributions. The first one (measure setup) was defined to maximize the supplementary material. Acquisitions were performed at 100 Hz, using Polaris retro-reflective
passive markers, 11.5 mm in diameter. Marker centroid was computed by the Vicon circular fitting,
suing the standard 0.5 thresho 7 with the CV centre, symmetrically varying their heights (figure 1.a). The second camera distribution 8 (gait setup) is typical of gait laboratories. Cameras were distributed on a rectangle, concentric with supplementary material. Acquisitions were performed at 100 Hz, using Polaris retro-reflective
passive markers, 11.5 mm in diameter. Marker centroid was computed by the Vicon circular fitting,
3 using the standard 0.5 thres 10 (figure 1.b). 12 Extect two camera distributions. The first one (measure setup) was defined to maximize the
12 System accuracy (Windolf et. al. 2008): cameras were evenly distributed on a circular arch, concentric
12 with the CV centre, 5 We tested two camera distributions. The first one (measure setup) was defined to maximize the
system accuracy (Windolf et. al. 2008): cameras were evenly distributed on a circular arch, concentric
with the CV centre, sy 14 For the main text. To evaluate the possible impact of the reference IMD value, an additional policies of the main text. To evaluate the main text. The second cannera distribution (gait setup) is typical of gait laborato

11 A cross-shaped object featuring a cluster of markers was used during tests (figure 2.a). Reference 6 system accuracy (Windolf et. al. 2008): cameras were evenly distributed on a circular arch, concentric with the CV centre, symmetrically varying their heights (figure 1.a). The second camera distribution (gait setup) is 10 (figure 1.b).

11 A cross-shaped object featuring a cluster of markers was used during tests (figure 2.a). Reference

12 IMDs were measured with a laser scanner (Faro CAM2 ScanArm, accuracy ± 0.025 mm). For the

13 s 19 11 A cross-shaped object featuring a cluster of markers was used during tests (figure 2.a). Reference

1980 IMDs were measured with a laser scanner (Faro CAM2 ScanArm, accuracy +0.025 mm). For the

1980 ISO-mean absolut

16

17 OSS accuracy quantification

20 and laser-evaluated IMDs.

21 After OSS calibration, the tracking object was placed in the CV centre, facing upward. All markers 22 were fully visible to all cameras and were not moved during the experiment. A first acquisition was marker pair was considered in the additional material (IMD: 605.37 mm, Figure S2).

23 OSS *accuracy quantification*

23 This test aims at quantifying variation in OSS accuracy with the number of cameras. We adopted a

23 24 OSS accuracy quantification

24 OSS accuracy quantifying variation in OSS accuracy with the number of cameras. We adopted a

24 standard approach (table 1), defining accuracy as the mean absolute error between OSS-measu

1 are reported in table S1. To verify whether the overall process altered the system calibration, a final 224 acquisition was taken, again with all cameras. Each acquisition lasted 10 seconds and marker
24 acquisition was taken, again with all cameras. Each acquisition lasted 10 seconds and marker
24 coordinates were defined a 3coordinates were defined as the average values overall process altered the system calibration, a final
3coordinates were defined as the average values over this period. The process was repeated 5 times,
5coordinates were 4 each time recalibrating the system. are reported in table S1. To verify whether the overall process altered the system calibration, a final
acquisition was taken, again with all cameras. Each acquisition lasted 10 seconds and marker
coordinates were defined are reported in table S1. To verify whether the overall process altered the system calibration, a final acquisition was taken, again with all cameras. Each acquisition lasted 10 seconds and marker coordinates were defined

7 $p \le 0.05$, followed by Bonferroni's post-hoc tests.

8

9 Static quantification of MOA

10 In static condition, MOA was evaluated as the norm of absolute marker displacement induced by the 11 coordinates were defined as the average values over this period. The process was repeated 5 times,

14 each time recalibrating the system.

55 Statistical analysis was performed on average values from each trial. Initi 1211 and the considerating the system.

1215 Statistical analysis was performed on average values from each trial. Initial (5 values), occluded (30

1616 values), and final (5 values) OSS accuracies were compared by one-w 5 Statistical analysis was performed on average values from each trial. Initial (5 values), occluded (30

16 values), and final (5 values) OSS accuracies were compared by one-way ANOVA (significance:

17 p
\psilons wi 146 values), and final (5 values) OSS accuracies were compared by one-way ANOVA (significance:

146 p
20.05), followed by Bonferroni's post-hoc tests.

148 Static quantification of MOA

15 In static condition, MOA was $p \le 0.05$), followed by Bonferroni's post-hoc tests.

8

9 *Static quantification of MOA*

1n static condition, MOA was cvaluated as the norm of absolute marker displacement induced by the

11 occlusion with respect to a 36chosen condition, MOA was evaluated as the norm of absolute marker displacement induced by the

21 occlusion with respect to a reference position measured with the contribution of all cameras. With the

21 occlusion wit 9 Static quantification of MOA

10 In static condition, MOA was evaluated as the norm of absolute marker displacement induced by the

11 occlusion with respect to a reference position measured with the contribution of a 18 period. The process was repeated 5 times, each time recalibrating the system. For each acquisition, 19 marker displacement was defined as the difference with respect to the first acquisition. System 20 repeatability was defined as the difference in the marker location between initial and final acquisition 21 with no occlusion. acquisitions were taken each time physically occluding the view of marker M2 to a camera subset

(table S1) with a wooden obstacle, first partially and then totally (figure S1), for a total of 12

acquisitions. The partial (table S1) with a wooden obstacle, first partially and then totally (figure S1), for a total of 12
acquisitions. The partial occlusion was set to the limit of marker centroid reconstruction under the
chosen circularity thr acquisitions. The partial occlusion was set to the limit of marker centroid reconstruction under

chosen circularity threshold of 0.5. A final acquisition was taken with no occlusions. Each acquis

lasted 10 seconds and th

2 Dynamic quantification of MOA

3 The dynamic impact of MOA was evaluated through IMD errors. The tracking object was mounted 2 Dynamic quantification of MOA
3 The dynamic impact of MOA was evaluated through IMD errors. The tracking object was mounted
4 on a rotor with horizontal axis, defining a pendulum, positioned in the CV centre with the axi 5What a plane of symmetry of the two camera distributions (figure 1). In the gait setup, cameras 6, 7, 100 and the two camera distributions (figure 1). In the gait setup, cameras 6, 7, 100 and 8 were always partially or to Equisible 8 and 8 were always partially or totally or totally operate to the resting object was mounted

8 The dynamic impact of MOA was evaluated through IMD errors. The tracking object was mounted

9 on a rotor with hori 2 Dynamic quantification of MOA
3 The dynamic impact of MOA was evaluated through IMD errors. The tracking object was mounted
4 on a rotor with horizontal axis, defining a pendulum, positioned in the CV centre with the axi

8 The pendulum was rotated manually at 90° with respect to the resting position and then released, 9 allowing free oscillations. The pendulum motion was acquired until it stopped, after approximatively 10 two minutes. The test was then repeated after placing an obstruction between the pendulum and the 11 The dynamic impact of MOA was evaluated through IMD errors. The tracking object was mounted
14 on a rotor with horizontal axis, defining a pendulum, positioned in the CV centre with the axis aligned
15 with a plane of s 1210 31 The dynamic impact of MOA was evaluated through IMD errors. The tracking object was mounted
1420 on a rotor with horizontal axis, defining a pendulum, positioned in the CV centre with the axis aligned
1530 with a p 13 tests. 250 cameras on the left cannot see markers on the right of the patient.

26 The pendulum was rotated manually at 90° with respect to the resting position and then released,

26 allowing free oscillations. The pendulum mot The pendulum was rotated manually at 90° with respect to the resting position and then released,
allowing free oscillations. The pendulum motion was acquired until it stopped, after approximatively
two minutes. The test wa

14 The mean IMD absolute error (MIMDAE) was computed for each trial. To estimate the maximum 17the pendulum was rotated manually at 90° with respect to the resting position and then released,

18 allowing free oscillations. The pendulum motion was acquired until it stopped, after approximatively

10 two minutes. T 9 allowing free oscillations. The pendulum motion was acquired until it stopped, after approximatively
two minutes. The test was then repeated after placing an obstruction between the pendulum and the
cameras (figure 2.b). 19 two minutes. The test was then repeated after placing an obstruction between the pendulum and the

19 cameras (figure 2.b). The pendulum motion with respect to the obstruction resulted in total, partial or

19 no occlus cameras (figure 2.b). The pendulum motion with respect to the obstruction resulted in total, partial or
no occlusion of marker M2 at different times for different cameras. Five trials were acquired for both
tests.
The mean 12 no occlusion of marker M2 at different times for different cameras. Five trials were acquired for both
13 tests.

14 The mean IMD absolute error (MIMDAE) was computed for each trial. To estimate the maximum

15 uncertai tests.

21 The mean IMD absolute crror (MIMDAE) was computed for each trial. To estime

22 uncertainty in the IMD measure, the maximum IMD variation was also computed

215 uncertainty in the IMD measure, the maximum IMD va

23 Finally, to evaluate the impact of filtering, MIMDAEs and maximum variations were computed 24 applying a low-pass zero-lag fourth-order Butterworth digital filter with two cut-off frequencies, i.e.

1 4.5 Hz (Ren et al., 2008) and 15 Hz (Fregly et al., 2012). The Nexus pattern-fill algorithm was applied 2to the trajectory of marker M2 before filtering, taking marker M1 as the donor, to avoid time
2to the trajectory of marker M2 before filtering, taking marker M1 as the donor, to avoid time
3tis discontinuity, which could 3 discontinuity, which could affect the filter outcome.

4 Un-occluded (5 values), occluded (5 values), and filtered (two groups of 5 values each) MIMDAEs 5 were compared through one-way ANOVA (significance: $p \le 0.05$), followed by Bonferroni's post-hoc 4.5 Hz (Ren et al., 2008) and 15 Hz (Fregly et al., 2012). The Nexus pattern-fill algorithm was app
to the trajectory of marker M2 before filtering, taking marker M1 as the donor, to avoid
discontinuity, which could affect 8 1 4.5 Hz (Ren et al., 2008) and 15 Hz (Fregly et al., 2012). The Nexus pattern-fill algorithm was applied
8 1 to the trajectory of marker M2 before filtering, taking marker M1 as the donor, to avoid time
8 discontinuity,

7 Un-occluded MIMDAEs were also compared with partially and totally occluded IMD errors for the

10 RESULTS

11 All details about post-hoc tests are reported in tables S2-S5.

No statistical differences were found in system accuracy with and without occluded cameras. Similarly, no statistical difference was observed between initial and final acquisition with all cameras. Overall, the accuracy of the two camera setups was below 0.08±0.01 mm when all cameras 21 Un-occluded MIMDAEs were also compared with partially and totally occluded IMD errors for the

21 single oscillations through one-way ANOVA (significance: $p \le 0.05$), followed by Bonferroni's post-

21 RESULTS

21 All

16 In the static tests, system repeatability was 0.12 ± 0.07 and 0.07 ± 0.04 mm for the measure and the gait 9 hoc tests.

11 All details about post-hoc tests are reported in tables \$2-\$5.

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12 No statistical differences were found in system accuracy with and with RESULTS

11 All details about post-hoc tests are reported in tables S2-S5.

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51 Similarly, no statistical difference was obser 21 All details about post-hoc tests are reported in tables S2-S5.

22 No statistical differences were found in system accuracy with and without occluded cameras.

23 Similarly, no statistical difference was observed betwe No statistical differences were found in system accuracy with and without occluded cameras.

21 Similarly, no statistical difference was observed between initial and final acquisition with all cameras.

21 Overall, the ac 22 No statistical differences were found in system accuracy with and without occluded cameras.

21 Similarly, no statistical difference was observed between initial and final acquisition with all cameras.

21 Overall, the 23 Similarly, no statistical difference was observed between initial and final acquisition with all cameras.

22 Overall, the accuracy of the two camera setups was below 0.0840.01 mm when all cameras

23 contributed to tr 23 mm and 1.21±0.25 mm for the measure and the gait setup, respectively. For the measure setup, contributed to tracking, and below 0.11±0.07 mm in case of occlusion (table 2).

16 In the static tests, system repeatability was 0.12 ± 0.07 and 0.07 ± 0.04 mm for the measure and the gait

17 setup, respectively. Th

1 the gait setup, maximum displacement reached 0.47 mm for total occlusion and 1.86 mm for partial 2 occlusion.

3 In figure 3, the IMD errors obtained in un-occluded, occluded, and filtered dynamic tests are plotted 4versus the pendulum rotation angle. In the un-occluded, occluded, and filtered dynamic tests are plotted
4versus the pendulum rotation angle. In the un-occluded tests, the MIMDAE stayed always below
4versus the pendulum the gait setup, maximum displacement reached 0.47 mm for total occlusion and 1.86 mm for partial
3 occlusion.
5 In figure 3, the IMD errors obtained in un-occluded, occluded, and filtered dynamic tests are plotted
3 versu the gait setup, maximum displacement reached 0.47 mm for total occlusion and 1.86 mm for partial

2 occlusion.

3 In figure 3, the IMD errors obtained in un-occluded, occluded, and filtered dynamic tests are plotted

4 ve 1 the gait setup, maximum displacement reached 0.47 mm for total occlusion and 1.86 mm for partial
2 occlusion.
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3 In 1 the gait setup, maximum displacement reached 0.47 mm for total occlusion and 1.86 mm for partial
2 occlusion.
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4 vers 1 the gait setup, maximum displacement reached 0.47 mm for total occlusion and 1.86 mm for partial
2 occlusion.
3 In figure 3, the IMD errors obtained in un-occluded, occluded, and filtered dynamic tests are plotted
4 vers 10 being 7.03 and 5.67 mm for the measure and gait setup, respectively (Table S6). Filtering significantly 2 occlusion.

3 In figure 3, the IMD errors obtained in un-occluded, occluded, and filtered dynamic tests are plotted

4 versus the pendulum rotation angle. In the un-occluded tests, the MIMDAE stayed always below

5 0.09 3 In figure 3, the IMD errors obtained in un-occluded, occluded, and filtered dynamic tests are plotted
versus the pendulum rotation angle. In the un-occluded tests, the MIMDAE stayed always below
0.09±0.00 mm while the m versus the pendulum rotation angle. In the un-occluded tests, the MIMDAE stayed always below

5 0.09+0.00 mm while the maximum IMD variation reached 0.20 mm and 0.83 mm for the measure

and the gait setup, respectively (t 2009±0.00 mm while the maximum IMD variation reached 0.20 mm and 0.83 mm for the and the gait setup, respectively (table 4). Occlusions significantly increase the MIMDAE

2014 which reached 0.26+0.01 mm and 0.18+0.01 mm, 26 which reached 0.26±0.01 mm and 0.18±0.01 mm, with maximum IMD variation of 7.20 mm and

3.81 mm for the measure and the gait setup, respectively. The second pair of markers showed similar

28 sl. mm for the measure and 3. S.1 mm for the measure and the gait setup, respectively. The second part of markers showed similar values despite the higher IMD reference value, maximum IMD variation during occluded motion being 7.03 and 5.67 mm for

15 In figure 4, the single oscillations were analysed. With respect to un-occluded motion, total occlusions 9 values despite the higher IMD reference value, maximum IMD variation during occluded motion

being 7.03 and 5.67 mm for the measure and gait setup, respectively (Table S6). Filtering significantly

reduced the MIMDAE (p 19 being 7.03 and 5.67 mm for the measure and gait setup, respectively (Table S6). Filtering significantly

11 reduced the MIMDAE (p<0.001) and maximum IMD variation for the measure setup, with 4.5 Hz

12 cut-off frequenc 20 setup, respectively.

1 A source of error is analysed, which systematically affects OSSs when the view of a marker is totally 2008 1 A source of error is analysed, which systematically affects OSSs when the view of a marker is totally
2008 10 partially occluded to some cameras in the system. This effect is denoted as marker occlusion
2018 10 arte 3 artefact (MOA).

4 MOA does not seem to originate from the number of tracking cameras. Camera occlusion did not 5 Significantly affects OSS accuracy, which systematically affects OSSs when the view of a marker is totally
12 or partially occluded to some cameras in the system. This effect is denoted as marker occlusion
13 are fact (M 8 A source of error is analysed, which systematically affects OSSs when the view of a marker is totally
3 or partially occluded to some cameras in the system. This effect is denoted as marker occlusion
3 artefact (MOA).
4

7 The change of tracking camera subset (and thus tracking rays) due to occlusions has a considerable 8effect (MOA). A source of crror is analysed, which systematically affects OSSs when the view of a marker is totally
8e or partially occluded to some cameras in the system. This effect is denoted as marker occlusion
8 are 9total occlusion results in an apparent marker displacement which is higher impact, which an apparent marker is totally
9total occlusion are control of the system. This effect is denoted as marker occlusion
19th arts in a 10 (peak value: 0.47 mm). Marker partial occlusion has a significantly higher impact, with a peak 21 and the measure and the measure and gait setup, respectively. The energy whose values are well in agreement with the literature (Eichelberg et al., 2016). The camera number provides thus a minor contribution to MOA.

21 MOA does not seem to originate from the number of tracking cameras. Camera occlusion did not

significantly affect OSS accuracy, whose values are well in agreement with the literature (Eichelberg

et al., 2016). The camera 13 the errors. 16 change of tracking camera subset (and thus tracking rays) due to occlusions has a considerable

18 effect, even when tracking still markers laying at the centre of the CV. In these conditions, marker

19 total occlusion

14 In dynamic conditions, MOA effects are amplified, the maximum IMD variation reaching 7.20 mm 15 and 5.82 mm for the measure and the gait setup, respectively, while un-occluded maximum IMD effect, even when tracking still markers laying at the centre of the CV. In these conditions, marker
total occlusion results in an apparent marker displacement which is higher than the OSS repeatability
(peak value: 0.47 m 9 total occlusion results in an apparent marker displacement which is higher than the OSS repeatability

18definition (pak value: 0.47 mm). Marker partial occlusion has a significantly higher impact, with a peak

19definit (peak value: 0.47 mm). Marker partial occlusion has a significantly higher impact, with a peak
apparent displacement of 1.73 mm and 1.86 mm for the measure and gait setup, respectively. These
values are relevant, since ha apparent displacement of 1.73 mm and 1.86 mm for the measure and gait setup, respectively. These
values are relevant, since have been obtained in strictly controlled conditions, aimed at minimizing
the errors.
In dynamic c values are relevant, since have been obtained in strictly controlled conditions, aimed at minimizing
the crross.
In dynamic conditions, MOA effects are amplified, the maximum IMD variation reaching 7.20 mm
and 5.82 mm for 13 the errors.

22 In Mynamic conditions, MOA effects are amplified, the maximum IMD variation reaching 7.20 mm

216 and 5.82 mm for the measure and the gait setup, respectively, while un-occluded maximum IMD

22condition In dynamic conditions, MOA effects are amplified, the maximum IMD variation reaching 7.20 mm
and 5.82 mm for the measure and the gait setup, respectively, while un-occluded maximum IMD
variation remains below 1 mm. Similar and 5.82 mm for the measure and the gait setup, respectively, while un-occluded maximum IMD
variation remains below 1 mm. Similar values are found in the literature (below 2 mm, Di Marco et
al., 2017; Merriaux et al., 2017 25 more significant.

1 The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD 224 The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD
24 Variation, despite the very different IMD. The observed errors are thus not a percentage of the
24 The reference dist 3
3 The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD
3 variation, despite the very different IMD. The observed errors are thus not a percentage of the
3 reference distance,

4 According to the setup and the cut-off frequency, Butterworth filters may reduce or amplify the effects The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD
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reference distance, rather i The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD
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13 reference distance, rat The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD
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13 reference distance, rat The IMD value has a minor effect on the error. The two considered marker pairs showed similar IMD
2 variation, despite the very different IMD. The observed errors are thus not a percentage of the
13 reference distance, rat 10 case of possible partial occlusion over multiple frames, Butterworth filtering is not recommended. If variation, despite the very different IMD. The observed errors are thus not a percentage of the
reforence distance, rather it results from an apparent displacement of the solely occluded marker M2.
According to the setup a According to the setup and the cut-off frequency, Butterworth filters may reduce or amplify the effects
of MOA. This may be explained considering that Butterworth filtering works on time while MOA
depends on the marker dis 1456 5 of MOA. This may be explained considering that Butterworth filtering works on time while MOA
1676 depends on the marker displacement relative to an occlusion, therefore having a geometrical nature
1787 not directly

12 The present analysis confirms the original hypothesis that a discontinuity in the set of tracking rays 16 depends on the marker displacement relative to an occlusion, therefore having a geometrical nature
17c not directly related to time. As a confirmation, comparing figures 2b and 3, IMD error changes with
18 the pendulum 16 but still contributing to tracking rays are misoriented, in studies of tracking rays still contribution. The present analysis still contribution of the set of possible partial occlusion over multiple frames, Butterworth 18 the pendulum position, with peak values obtained at angles corresponding to marker M2 passing

19 bchind the obstruction. Traditional filtering strategies should therefore be considered earefully: in

110 case of possib 9 behind the obstruction. Traditional filtering strategies should therefore be considered carefully: in
case of possible partial occlusion over multiple frames, Butterworth filtering is not recommended. If
strictly needed, case of possible partial occlusion over multiple frames, Butterworth filtering is not recommended. If
strictly nccded, the present analysis suggests better performances for the 4.5 Hz eut-off frequency.
The present analysi strictly needed, the present analysis suggests better performances for the 4.5 Hz cut-off frequency.
The present analysis confirms the original hypothesis that a discontinuity in the set of tracking rays
due to total or pa 21 visibility of markers reported accuracies and maximum errors well below one millimetre (Miller et 22 al., 2002; Liu et al., 2007; Windolf et. al, 2008; Yang et al., 2012). On the contrary, studies in which This explanation is also compatible with partial occlusions being the main source of crror. In this
case, in fact, some tracking rays are misoriented, no longer passing through the real marker centre,
but still contributin case, in fact, some tracking rays are misoriented, no longer passing through the real mark
but still contributing to tracking. In total occlusion, instead, the set of tracking rays change
remaining rays are still oriented

1 MOA is likely to affect gait measures, since markers are placed on the side of the subject, thus facing 2half the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker
2half the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker
3
2half the cameras, 3 occlusion may simultaneously take place for different markers thus resulting also in deformation of MOA is likely to affect gait measures, since markers are placed on the side of the subject, thus facing
half the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker
occlusion may simu MOA is likely to affect gait measures, since markers are placed on the side of the subject, thus facing

and the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker

occlusion may sim 6 relative motion between the marker and the source of occlusion and therefore it has a systematic 7 nature (figure 3), which however may change considerably from task to task or between individuals. MOA is likely to affect gait measures, since markers are placed on the side of the subject, thus facing

2 half the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker

3 occlusion ma MOA is likely to affect gait measures, since markers are placed on the side of the subject, thus facing

2 half the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker

3 occlusion ma 10 (Dumas et al., 2014; Cereatti et al., 2017). 2 halt the cameras, with swinging arms and legs providing moving occlusions. Partial and total marker

3 occlusion may simultaneously take place for different markers thus resulting also in deformation of

4 the cluster an 3 occlusion may simultaneously take place for different markers thus resulting also in deformation of
the cluster and further amplification of the overall error. Reasonably, MOA was included so far within
the more evident 14 the cluster and further amplification of the overall error. Reasonably, MOA was included so far within

15 the more evident soft tissue artefact (STA) and its impact was not recognized. MOA depends on the

16 relative m

142017), errors of similar amplitude induced up to 8° error on the shank axial rotation (Holden et al., 1997), Some researchers of social rotation induced up to 8° error of scaling and the shank axis of the shank as a syst 15 1997). Some researchers concluded that these errors would not likely affect the clinical interpretation 16 of data (Holden et al., 1997; Manal et al., 2002), while others consider them large enough to mislead 17 In this perspective, MOA may contribute to explain the reported variability between gait

19 measurements (MeGinley et al., 2009) and the difficulty in producing an effective model for the STA

10 (Dumas et al., 2014; C 9 measurements (McGinley et al., 2009) and the difficulty in producing an effective model for the STA

10 (Dumas ct al., 2014; Ccreatti et al., 2017).

11 A measure uncertainty of 7.20 mm on the single marker clearly reduc (Dumas et al., 2014; Cereatti et al., 2017).

11 A measure uncertainty of 7.20 mm on the single marker clearly reduces the reliability of OSSs as

12 measurement systems per se, e.g., in robotics or other applications trac A measure uncertainty of 7.20 mm on the single marker elearly reduces the reliability of OSSs as
measurement systems per se, e.g., in roboties or other applications tracking rigid bodies. Its impact
on gait analysis, howev measurement systems per se, e.g., in roboties or other applications tracking rigid bodies. Its impact
on gait analysis, however, deserves to be discussed. Using the conventional gait model (Baker et al.,
2017). Some resear on gait analysis, however, deserves to be discussed. Using the conventional gait model (Baker et al., 2017), errors of similar amplitude induced up to 8^{*o*} error on the shank axial rotation (Holden et al., 1997). Some re 23 gait, whose abnormalities mainly occur on frontal and axial planes (Gage, 1991). A thorough 24 investigation is however needed.

1 The present analysis has limitations. The experiment for dynamic quantification of MOA is a 2 simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data
2 simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data
3 from gait analysis remains t 3From gait analysis has limitations. The experiment for dynamic quantification of MOA is a
3 simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data
3 from gait analysis remains to be The present analysis has limitations. The experiment for dynamic quantification of MOA is a
simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data
from gait analysis remains to be det 5 MOA dependence from the camera distribution, although interesting, would require establishing a 6 correlation among several parameters of each camera setup, which is beyond the aims of the present The present analysis has limitations. The experiment for dynamic quantification of MOA is a

2 simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data

1 from gait analysis remains to The present analysis has limitations. The experiment for dynamic quantification of MOA is a simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data from gait analysis remains to be det The present analysis has limitations. The experiment for dynamic quantification of MOA is a simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data
from gait analysis remains to be det 1 The present analysis has limitations. The experiment for dynamic quantification of MOA is a

2 simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data

10Preliminary is remains to be 21 simplification designed to mimic marker occlusions on a swinging limb: the MOA impact on data

3 from gait analysis remains to be determined. Only two camera setups were considered and the effects

4 of MOA for differen 12 From gait analysis remains to be determined. Only two camera setups were considered and the effects
13 of MOA for different subsets of occluded cameras were aggregated. A thorough investigation of
15 MOA dependence from 13 of MOA for different subsets of occluded cameras were aggregated. A thorough investigation of MOA dependence from the camera distribution, although interesting, would require establishing a correlation among several par 19 investigated: the same analysis with different OSSs could verify the generality of the problem.

179 Preliminary investigations suggest however that the present analysis still holds for higher-end

111 cameras within th

14

15 CONCLUSION

16 Marker occlusion results in a systematic artefact affecting optoelectronic stereophotogrammetric Preliminary investigations suggest however that the present analysis still holds for higher-end

11 cameras within the same OSS, and for other OSSs. Finally, the dynamic effects of MOA were

12 evaluated by means of relati cameras within the same OSS, and for other OSSs. Finally, the dynamic effects of MOA were
evaluated by means of relative displacements. The values here reported represent therefore a lower
limit: any displacement that pres evaluated by means of relative displacements. The values here reported represent therefore a lower

limit: any displacement that preserves the IMD distance did not contribute to the error.

20 CONCLUSION

Marker occlusion 21 may potentially affect the kinematics outcomes of gait analysis, particularly on the frontal and axial 22 plane, and the peak muscle forces estimated with standard musculoskeletal models.

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1 FIGURE CAPTIONS

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3 Figure 1: Schematic representation of the measure (top and frontal views, a) and gait (top view, b) setups. Bonita 4 and Vero cameras are represented in blue and green, respectively. The grey rectangle represents the planar 5 projection of the CV. The CV height is 1500 mm for both setups, with centre 840 mm and 750 mm above the 6 ground for the measure and the gait setup, respectively. The blue dashed line represents the location and Legends

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1 FIGURE CAPTIONS

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1 Figure 1: Schematic representation of the measure (top and frontal views, a) and gait (top view, b) setups. Bonita

4 and Vero cameras are represented in blue and green, respectively. 1 **FIGURE CAPTIONS**

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3 Figure 1: Schematic represented** in blue and green, respectively. The grey rec 1 FIGURE CAPTIONS

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2 Figure 1: Schematie represented in blue and greea, respectively. The grey rectange represents the planar

4 and Vero cameras are represented in blue and greea, respectively. The grey rectange represen 2

2 Figure 1: Schematic representation of the measure (top and frontal views, a) and gait (top view, b) setups. Bonita

201 Pigendulum of the CV. The CV height is 1500 mm for both setups, with centre 840 mm and 750 mm ab 3 Figure 1: Schematic representation of the measure (top and frontal views, a) and gait (top view, b) set
not Vero cameras are represented in blue and green, respectively. The grey rectangle represents the
projection of t

7 orientation of the pendulum rotation axis during the dynamic tests.

8 Figure 2: The tracking object with its principal dimensions, mounted on the rotor for an un-occluded (a) and

12 and thus partial occlusion. Different cameras see the pendulum from different point of view, experiencing total,

13 partial and no-occlusion at different angles.

14 Figure 3: Variation of IMD error versus the pendulum rotation angle, 0° being the resting position, for the two

19 Figure 4: Variation of IMD versus the pendulum rotation angle, 0° being the resting position, for a single

FIGURE 1 (double column)

FIGURE 4

J.

1 Table 1: Performances of different OSSs in terms of accuracy and maximum error as reported in the literature.

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- 10 Table 3: Mean values \pm standard deviation (computed over the averages) and maximum absolute marker
11 displacement [mm] obtained during static tests for the two setups, in case of: repeated measure with un-oo
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- 12 marker (first row); total marker occlusion to some cameras (second row); partial marker occlusion to some
13 cameras (third row). Statistical analysis is reported in supplementary material.
- 13 cameras (third row). Statistical analysis is reported in supplementary material.

14

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- 16 Table 4: Mean IMD absolute error (MIMDAE; mean value ± standard deviation, computed over the averages)
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- 19 cut-off frequencies (third and fourth row). Statistical analysis is reported in supplementary material.

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