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High habitat richness reduces the risk of tick-borne encephalitis in Europe: A multi-scale study

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#### 22 Abstract

*Background:* The natural transmission cycle of tick-borne encephalitis (TBE) virus is enhanced by complex interactions between ticks and key hosts strongly connected to habitat characteristics. The diversity of wildlife host species and their relative abundance is known to affect transmission of tick-borne diseases. Therefore, in the current context of global biodiversity loss, we explored the relationship between habitat richness and the pattern of human TBE cases in Europe to assess biodiversity's role in disease risk mitigation.

*Methods:* We assessed human TBE case distribution across 879 European regions using official epidemiological data reported to The European Surveillance System (TESSy) between 2017 and 2021 from 15 countries. We explored the relationship between TBE presence and the habitat richness index (HRI<sup>1</sup>) by means of binomial regression. We validated our findings at local scale using data collected between 2017 and 2021 in 227 municipalities located in Trento and Belluno provinces, two known TBE foci in northern Italy.

37 *Findings:* Our results showed a significant parabolic effect of HRI on the probability of 38 presence of human TBE cases in the European regions included in our dataset, and a 39 significant, negative effect of HRI on the local presence of TBE in northern Italy. At both 40 spatial scales, TBE risk decreases in areas with higher values of HRI.

Interpretation: To our knowledge, no efforts have yet been made to explore the relationship between biodiversity and TBE risk, probably due to the scarcity of highresolution, large-scale data about the abundance or density of critical host species. Hence, in this study we considered habitat richness as proxy for vertebrate host diversity. The results suggest that in highly diverse habitats TBE risk decreases. Hence, biodiversity loss could enhance TBE risk for both humans and wildlife. This association is relevant to

47	support the	hypothesis	that	the	maintenance	of	highly	diverse	ecosystems	mitigates
48	disease risk.									

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#### 70 Introduction

Tick-borne encephalitis (TBE) is one of the most severe tick-transmitted diseases in Eurasia, with more than 3000 confirmed cases reported annually in Europe [1]. During the last decade, European incidence of TBE human cases increased significantly from 2412 confirmed cases in 2012 to 3604 confirmed cases in 2020, concomitantly with a northwesterly spread [2] and the appearance of new foci of infection in previously non-endemic countries [3], making TBE a growing Public Health challenge in Europe.

TBE virus (TBEV) is a flavivirus that affects the central nervous system. It is transmitted mainly through the bite of ticks belonging to the *Ixodes ricinus* complex, such as *I, ricinus* and *I. persulcatus* [4], but can also be acquired through consumption of infected unpasteurized milk and dairy products [5].

81 The active circulation of TBEV among vectors and reservoir hosts occurs in specific 82 hotspots and strongly depends on the co-occurrence of multiple ecological factors, such as 83 abiotic conditions - which, in turn, determine vectors' activity and habitat suitability [6,7] -84 along with a subset of key vertebrate host species [8–10]. Tick larvae mainly feed on small 85 mammals, nymphs feed on small- and medium-sized mammals, birds, and reptiles, and 86 adults feed on large animals such as ungulates [11]. Ticks are both vectors and main viral 87 reservoirs, remaining infected throughout their life cycle due to transstadial and 88 transovarial transmission. Uninfected ticks can also acquire the infection via systemic 89 transmission, while feeding on a viremic competent host, or via non-systemic transmission, 90 while co-feeding with infected ticks [12,13].

91 Various animal species can act as dead-end hosts or maintain and amplify the virus92 (reservoir hosts), with or without evident symptoms of the disease. Rodents and

93 insectivores are considered the main reservoir hosts for TBEV maintenance and
94 circulation. Once infected with TBEV, they may develop a chronic infection, although the
95 duration of viraemia, and thus their infectivity to ticks, is commonly considered short (two
96 to nine days) [14]. Symptoms and viremia have been rarely detected in wild ungulates [15],
97 which are not competent for viral transmission, although they are important hosts for the
98 amplification of tick populations [16,17].

99 The diversity of wildlife host species and their relative abundance is known to affect 100 transmission of tick-borne diseases such as Lyme disease [18–20]. This relationship, 101 denoted as *diversity-disease relationship*, might also apply to the TBEV transmission 102 system, as the main TBEV vector, *I. ricinus*, parasitizes several different host species [21] 103 and its infection prevalence is likely to be affected by host diversity and competence 104 among hosts. Rodent species such as Apodemus flavicollis and Clethrionomys glareolus 105 are considered the main reservoir hosts for TBEV circulation [22,23] as they 106 simultaneously harbour multiple developmental stages of ticks [9,12]. Nonetheless, 107 Apodemus spp. support higher tick burdens (Kiffner et al. 2011), higher tick-feeding 108 success, and a more efficient TBEV transmission via co-feeding compared to C. glareolus 109 [12].

110 Little is known about the effects of habitat and vertebrate host diversity on the emergence 111 of tick-borne diseases in Europe, given the complexity of their transmission dynamics. 112 Moreover, the lack of high-resolution, large-scale data on the abundance or density of key 113 vertebrate host species, such as rodents and ungulates, is one of the main reasons why 114 no attempt has been made to investigate the relationship between biodiversity and TBE 115 risk in both local and large-scale geographical contexts. So far, few studies explored the 116 interactions between ticks, vertebrate hosts, habitat and tick-borne pathogens in Europe 117 [24–26]. To our knowledge, no studies have investigated the relationship between TBE 118 and biodiversity in Europe.

119 In this study we relied on the habitat richness index (HRI), a novel indicator that accounts 120 for the diversity of European ecosystem types (sensu "Habitat Directive" - Council 121 Directive 92/43/EEC). Habitat diversity, measured as the number of different habitats in a 122 given area, has proven to be a prominent driver for species diversity of a variety of taxa at 123 the landscape scale [27]. Indeed, the use of habitat amount in an equal-sized sample sites 124 as biodiversity proxy is contextualized by the habitat amount hypothesis [28] and habitat 125 richness or heterogeneity can be used as an explanatory variable for biodiversity modeling 126 [29–31]. Furthermore, Habitat Directive types monitoring is the standardized and legally 127 established tool for monitoring ecosystems in Europe (Council Directive 92/43/EEC).

128 Considering the need for a broad and scale-dependent understanding of the diversity-129 disease relationship [32] we applied HRI for the first time to evaluate its relationship with 130 the occurrence of human TBE cases in Europe and in a local setting located in the 131 northern-Italian provinces of Trento and Belluno, which are well known endemic areas for 132 TBE [33].

### 133 Methods

#### 134 Study areas

We carried out the statistical analysis at two different spatial scales, using regional-level data about TBE distribution and HRI across 15 European countries, i.e., "analysis at European scale", and municipal-level TBE and HRI data in two northern Italian provinces, Trento and Belluno, i.e., "analysis at local scale".

# 139 Epidemiological data

For the analysis at European scale, data for laboratory-confirmed human TBE cases were provided by the European Surveillance System (TESSy) and released by ECDC. They included, when available, the probable place of infection at NUTS-3 (Nomenclature of

143 territorial units for statistics, small regions for specific diagnosis) or NUTS-2 level 144 (Nomenclature of territorial units for statistics, basic regions for the application of regional 145 policies). In our study, we used cases reported to TESSy between 2017 and 2021, since 146 the place of infection was unknown or not recorded in most countries prior to 2017. 147 Patients infected abroad or whose location of exposure was unknown or not provided at 148 high spatial resolution, were excluded. Only countries that reported the place of infection at 149 the NUTS-3 or NUTS-2 level for at least 75% of the cases notified over the selected period 150 were included. The 13 countries selected according to these criteria were: Austria, Czech 151 Republic, Denmark, Germany, Finland, France, Hungary, Italy, Lithuania, Poland, 152 Romania, Slovakia, and Sweden. To take into consideration areas with no recorded TBE 153 presence, we included two countries with no autochthonous cases reported during the 154 study period, namely Spain and Ireland.

Additionally, to perform the analysis at local scale, we used municipal-level human TBE cases recorded in the Trento and Belluno Provinces (Italy) from 2017 to 2021. These data were provided by local Public Health Agencies, Azienda Provinciale per i Servizi Sanitari Provincia Autonoma di Trento (APSS) and Unità Locale Socio Sanitaria Dolomiti (ULSS1).

Based on these data sources, we compiled two different dichotomous datasets ,depicting
the presence and absence of human TBE cases, at European (Figure 1a) and at local
scale (Figure 3a).

#### 162 Ecological variables

163 The habitat richness index (HRI) was derived from the habitat distribution maps of 222 164 terrestrial habitats of community interest, obtained from the European Environment 165 Agency [34] and based on the standard grid provided by EEA for habitat monitoring with a 166 spatial resolution of 10 km (for more details on the computation of this index see [27]). HRI 167 can be considered a proxy for biodiversity, as it is positively correlated with the richness of

the species listed in the Annex species of the Birds and Habitats Directives [27]. For our analysis, we used the normalized index (*i.e.*, habitat richness corrected for actual cell area), which values ranged from 0 to 1.5. To exclude potential extreme values, we computed the 95-th percentile value of HRI as a measure of the levels of biodiversity that characterize each spatial unit, in accordance with the spatial resolution of the available official epidemiological data provided by ECDC and local Public Health Agencies.

To assess the distribution of key vertebrate species across the European NUTS-2 and NUTS-3 regions included in our study, we used 1-km data about the probability of presence of critical TBEV reservoir and tick-amplification hosts (*i.e.*, rodents, *Apodemus flavicollis* and *Clethrionomys glareolus*, and cervids, *Dama dama*, *Capreolus capreolus* and *Cervus elaphus*) that were previously derived using long established ensembled Random Forest and Boosted Regression Trees based spatial modeling techniques, as described in [35].

# 181 Statistical analysis

We used binomial regression to describe the association between the occurrence of human TBE cases and HRI, at the two different spatial scales. For each epidemiological dataset, we considered models with both linear and quadratic covariate of the form:

185  $\log(p/(1-p)) = a_0 + a_1HRI + a_2HRI^2 + \varepsilon$ 

where *p* represents the probability of presence of at least one human TBE case,  $a_0$ ,  $a_1$  and a<sub>2</sub> are the model coefficients,  $\varepsilon$  is the random error component, and *HRI* indicates the 95th percentile value of habitat richness (Table 1).

We tested both linear and quadratic models and ranked their performances based on the Akaike's Information Criterion (AIC) score [36]. Spatial autocorrelation was detected through Moran's I statistic and visual inspection of Moran's scatterplot [37] and was filtered out by including an autocovariate term that represents spatial dependence in the model

193 residuals [38]. In residuals autocovariate (RAC) models, spatial autocorrelation is 194 accounted for by estimating the strength of the relationship between the model residuals 195 and the values of those residuals at neighboring locations. This procedure leads to an 196 autocovariate that captures only the variance unexplained by explanatory variables and 197 therefore the RAC model better captures the true influence of these covariates, resulting in 198 strong inferential performance [38]. Moreover, models with autocovariates typically provide 199 unbiased estimates of fixed effects [39].

The strength of the correlation between HRI and the probability of presence of rodents andcervids across Europe was assessed using Pearson's correlation coefficient.

All analyses were performed using the statistical software R ver. 4.1.2 [40] and packages spdep [41], raster [42], and sf [43].

204 Results

### 205 Analysis at European scale

206 Based on the data released by ECDC, the current geographical distribution of human TBE 207 cases in Europe extends to the west as far as the French region of Alsace, to the south as 208 far as northern Italy and to the east as far as the Balkan countries and northward to 209 Norway and Finland. During the period 2017-2021, 13 European countries (Austria, Czech 210 Republic, Denmark, Germany, Finland, France, Hungary, Italy, Lithuania, Poland, 211 Romania, Slovakia, and Sweden) reported at least one locally acquired human TBE case 212 with known place of infection at NUTS-3 or NUTS-2 level, for a total of 381 different 213 regions and 12,296 cases. The same countries reported no TBE cases from the remaining 214 442 regions, to which we added 56 regions from Ireland and Spain, for a total of 498 215 regions with no autochthonous cases reported (Figure 1a). The corresponding 95-th 216 percentile values of HRI showed large variations across the continent (Figure 1b). Lower 217 values were found in the agricultural plains of western France, and in the lowland plains of

Poland and Romania. Conversely, high levels of habitat richness were found in the major European mountain ranges, such as the Alps, the Pyrenees, the Scandinavian mountains, the Apennines, the Central Massif in south-eastern France and the Bohemian Forest highlands along the German-Czech-Austrian border, together with regions located along the coastlines of countries facing the Baltic Sea, featured high levels of habitat richness.



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Figure 1 - Presence of human TBE cases and values of habitat richness index (HRI) in
Europe. Panel (a): presence in red (n=381) and absence in light blue (n=498) of human
TBE cases (2017-2021). Panel (b): 95-th percentile value of HRI at regional level.
Our analysis evidenced a significant, parabolic effect of HRI on the presence of human
TBE cases in the European regions included in our dataset, as the binomial model with

quadratic covariate (AIC = 1010.96) (Table 1) outperformed the linear one (AIC = 1026.3) (Supplementary Table S1).

Table 1 - Results of quadratic binomial regression. The habitat richness index (HRI) and
autocovariate (ac) were used as predictors, for which the estimated regression

233 coefficients, standard errors SE, z-values, and p-values are given. Observations = 872.

Coefficient Predictor SE z-value p-value -8.14 2.22 -3.66 <0.001 Intercept HRI 25.06 7.28 3.44 <0.001 HRI<sup>2</sup> -19.69 5.93 -3.32 <0.001 6.22 0.63 <0.001 9.84 ac

AIC = 1010.96.

235

234

Hence, the estimated probability of presence of human TBE cases in Europe showed a humped-shape curve, peaking in regions with intermediate values and then rapidly decreasing and zeroing at lower and higher values of HRI (Figure 2).



Figure 2) Estimated probability of TBE presence in Europe based on habitat richness
 index (HRI). Dots: Observed TBE presence (red) and absence (blue). Line: model
 prediction. Shaded bands: 95% confidence intervals.

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In an analysis of the association between HRI and the probability of presence of key vertebrate hosts, we found a significant negative correlation with the presence of yellownecked mouse, *A. flavicollis* (r = -0.16, p = 0.006), and a negative, although not significant, correlation with the presence of bank vole, *C. glareolus* (r = -0.06, p = 0.09), roe deer, *C. capreolus* (r = -0.04, p = 0.20) red deer, *C. elaphus* (r = -0.04, p = 0.43), and fallow deer, *D. dama* (r = -0.03, p = 0.44).

250

# 251 Analysis at local scale (Italy)

During the period 2017-2021, 104 and 56 human TBE cases were reported in the Trento and Belluno provinces, respectively. Overall, 73 different municipalities were indicated by patients as the place where they likely acquired the infection during this period (Figure 3a). The entire area is characterized by medium to high values of habitat richness, which peaks in correspondence of natural parks and reserves (Figure 3b).



257

Figure 3 - Presence of human TBE cases and values of habitat richness index (HRI) in
Trento and Belluno provinces (Italy). Panel (a): presence in red (n=73) and absence in
light blue (n=164) of human TBE (2017-2021). Panel (b): 95-th percentile value of HRI at
municipal level.

We found a significant negative association between the levels of HRI in each municipality, and the corresponding presence of human TBE cases (Table 3).

Table 3 – Results of linear binomial regression. The habitat richness index (HRI) and
 autocovariate (ac) were used as predictors, for which the estimated regression

- coefficients, standard errors SE, z-values, and p-values are given. Observations =237.
- 267 AIC =270.7.

Predictor	Coefficient	SE	z-value	p-value
				-
Intercept	6.34	2.03	3.13	<0.001
HRI	-9.93	2.84	-3·49	<0.001
ac	0.88	0.24	3.68	<0.001

In this case, the probability of presence of human TBE cases is higher in those regions
with low to medium values of HRI, and then dramatically decreases as HRI becomes
higher (Figure 4).





#### 277 Discussion

Tick-borne encephalitis (TBE) has become a growing public health concern in Europe, with
an increasing number of reported human cases, despite the availability of a safe vaccine,
and new natural foci of viral circulation appearing in previously non endemic areas.

281 The study of the relationship between biodiversity and disease risk in Europe is 282 challenging due to the lack of quantitative data on abundance and density of wildlife 283 species. We overcame this limit by using a newly developed indicator, the habitat richness 284 index (HRI), to predict the probability of occurrence of human TBE cases at different 285 spatial resolutions. Our results suggested that, at European scale, intermediate HRI 286 maximizes TBE risk, which decreases at extreme (low and high) HRI values. This can be 287 explained by the fact that European NUTS-2 and NUTS-3 regions included in the 288 European-scale analysis are characterized by a great variety of habitat types, including 289 habitats that are unsuitable for vector and host occurrence (compare Figure 1b and Figure 290 3b).

These findings were validated also at local scale, despite the narrower HRI range, where high TBE risk corresponds to areas with intermediate HRI. At the local scale, we found high TBE risk also at low HRI values. This result likely depends on the areas included at local-scale analysis, which feature habitat types that are generally suitable for ticks and host presence, as opposed to those corresponding to low TBE levels at European scale.

To fully understand the ecological implications of our findings, they need to be discussed in the context of the complex ecological mechanisms that underpin the viral circulation in the environment. TBEV circulation in the enzootic cycle is restricted to suburban and natural settings, as the establishment of a TBEV hotspot depends on environmental and behavioral factors associated with the presence of vectors and key animal hosts [8,9,44,45]. In this study, we found a negative, although non-significant, correlation between HRI and the presence of three species of cervids. This result is not surprising as

303 spatial behavior of deer species is conditioned not only by habitat and environmental304 features, but also by other factors such as, for example, human hunting pressure.

305 A. flavicollis and C. glareolus are widespread common forest species at European level 306 although they differ in habitat selection and food diet composition. Our results confirmed 307 the tight connection between the probability of presence of these species, A. flavicollis and 308 C. glareolus (which are indeed the main reservoirs for TBEV), habitat richness, and, in 309 turn, the occurrence of human TBE cases. Specifically, the significant negative correlation 310 between HRI and A. flavicollis presence, found at the European scale, suggests a higher 311 chance to find A. flavicollis in medium to low-diversity habitats, given its tendency to 312 occupy mainly mature forested areas with little or no shrub vegetation diversity [46,47]. Its 313 low presence in highly diversified habitats may decrease TBE risk thereafter. The same 314 negative relationship with HRI has been found for *C. glareolus*, although not significant. 315 This is probably due to its widespread occurrence in European forestry habitats with 316 different levels of vegetation diversity [48]. Overall, our results suggest a lower probability 317 of occurrence of TBEV reservoirs and tick-amplification hosts (i.e., rodents and deer), and 318 therefore a lower probability of TBEV circulation, in regions with higher habitat richness.

319 As high habitat richness is also related to high species richness [27] we speculate that 320 richer host communities with higher relative abundance of non-competent species could 321 affect the role of A. flavicollis and other competent rodent species on tick infection 322 prevalence by diverging blood meals of infected vectors away from competent hosts, and consequently reducing the natural hazard of TBEV circulation, in accordance with the 323 324 dilution effect hypothesis [20,32]. Moreover, habitat destruction and the fragmentation of 325 landscapes into small, isolated units are known to cause reduction or elimination of some 326 vertebrate species and therefore diversity [49,50]. Often, species that occupy high trophic 327 levels (i.e. predators) are the most sensitive to such habitat destruction. Loss of these 328 species, although generally non competent reservoirs for vector-borne zoonoses, may

increase disease risk (i) via reduction of diverted blood meals from these incompetent hosts and (ii) via the loss of a regulatory 'predator' effect on typically more reservoircompetent hosts (Ostfeld et al., 2004; Levi et al., 2012). In fact, mesocarnivore predators (such as, for example, red foxes and mustelids) or birds of prey are known to regulate the density of small mammals [18,51,52].

334 So far, we have taken into consideration the ecological aspects that play a fundamental 335 role in the natural hazard of TBE viral circulation and discussed how they might be 336 affected by habitat and species richness. However, habitat richness might also have an 337 impact on human exposure to infected ticks. Indeed, I. ricinus, the principal vector of TBEV 338 in Europe, is mainly found in pastures, deciduous and mixed forests with abundant 339 undergrowth [53] but can also be found in public greenspaces within peri-urban 340 environments. Hence, human exposure to tick bites is enhanced when tourism or 341 occupational activities take place not only in the proximity of forests and easily accessible 342 areas with low vegetation, but also in urban or peri-urban recreational areas that feature 343 low to moderate habitat diversity [54,55].

344

#### 345 CONCLUSIONS

346 To our knowledge, this is the first attempt at understanding the relationship between TBE 347 occurrence and habitat richness in Europe. Our findings suggest a broad, dampening 348 effect of habitat richness on potential disease burden. As a result, the ongoing human 349 driven reduction in biodiversity, coupled with climate change, could lead to more 350 widespread disease [56,57]. However, such a conclusion is not definite, and we are aware 351 of the limitations of this study. In fact, many crucial aspects of this interaction remain to be 352 explored, such as the role of low-quality hosts, the characteristics of host competence or 353 the vector preference. To have a thorough understanding of the TBE-diversity paradigm, 354 these aspects should be carefully investigated in ad hoc empirical analysis aimed at

assessing how species diversity and host community composition influences local TBEVcirculation.

357 In this study, we aimed to provide new evidence on the conditions (including direct or 358 indirect roles of biodiversity, wildlife and land use) that promote the emergence and spread 359 of TBE. Disentangling the relationship between habitat richness and TBE risk on an EU-360 wide basis is important to consistently inform public health authorities and support their 361 prevention and control activities in areas where TBE viral circulation is more likely to occur. 362 Furthermore, this study could support community efforts, such as the recently approved 363 EU Nature Restoration Law, that are aimed at the conservation and protection of species 364 and ecosystems in the European Union.

365

#### 366 Statements

367 **Contributors:** Francesca Dagostin: Conceptualization, Methodology, Data Curation, 368 Formal analysis, Writing - Original Draft. Valentina Tagliapietra: Conceptualization, 369 Methodology, Writing - Review & Editing. Giovanni Marini: Conceptualization, 370 Methodology, Writing - Review & Editing. Giulia Ferrari: Writing - Review & Editing. Marco 371 Cervellini: Resources, Writing - Review & Editing. William Wint: Resources, Writing -372 Review & Editing. Neil S. Alexander: Resources, Writing - Review & Editing. Maria Grazia 373 Zuccali: Resources, Writing - Review & Editing. Silvia Molinaro: Resources, Writing -374 Review & Editing. Nahuel Fiorito: Resources, Writing - Review & Editing. Timothée Dub: 375 Writing - Review & Editing. Duccio Rocchini: Resources, Writing - Review & Editing. 376 Annapaola Rizzoli: Conceptualization, Methodology, Supervision, Writing - Review & 377 Editing.

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