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# Nonlinear Finite and Discrete element simulations of multi-storey masonry walls

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## ABSTRACT

This paper reports the results of different finite and discrete element simulations on a well-known benchmark of an unreinforced plane masonry structure. Namely, the case study concerns a five floor structural wall, located at the interior of a masonry building, situated in “via Martoglio” in the city of Catania (Italy). The numerical simulations aim to investigate the structural response of the wall subjected to seismic actions by means of a non-linear static analyses. The role of reinforced concrete floor beams within URM walls, their influence on the spandrel elements capacity and the approximation that can affect the model if the concrete beam non linearity is not engaged are considered. The benchmark is investigated considering three different structural layouts that have been analysed by means of four numerical approaches. The modelling strategies that have been considered are adaptive NURBS kinematic limit analysis, planar discrete macroelements DME, continuum nonlinear FEM methods and a nonlinear FEM micro-modelling. The results are compared in terms of capacity curves and damage mechanism for each structural layout. As a result, pushover curves and damage patterns appear considerably influenced by the concrete floor beams and their mechanical behaviour. All the considered models denote satisfactory agreement in term of strength and collapse mechanisms, some minor differences are observed in terms of global ductility.

**Keywords:** Masonry buildings, Pushover, Nonlinear Analysis, Micro Model, discrete macro element method, DMEM, FEM, limit analysis.

## 1. Introduction

Multi-storey masonry buildings represent a great percentage of the building stock in several countries. A significant part of these buildings belongs to high seismic prone regions and, as a consequence, it is important to proceed to their seismic assessment for which reliable numerical methods are needed [1]. The benchmark considered in this paper represents typical building in the high seismic region of Catania, an Italian city located in the oriental side of Sicily. Catania represents one of the most vulnerable city all around the world with respect to seismic events. For this reason at the end of the nineties it has been selected for a national research project named Catania Project [2] devoted to the evaluation of the seismic risk in oriental Sicily and particularly in Catania. The *via Martoglio wall*, has been identified as benchmark within the Catania project. It is an interior wall of a five storey masonry building located in the historical centre of Catania. *Via Martoglio wall*, has been investigated by several researchers [3, 4, 5, 6] during the last decades. More recently, the same benchmark has been selected for comparing different computational strategies within the ReLUIS research program funded

49 by Italian Civil Protection [7, 8]. In this paper, some of the results obtained within the ReLUIIS project are  
 50 collected and discussed. Accordingly, the nonlinear behaviour of the multi-storey wall is analysed by means  
 51 of mass-proportional pushover analyses performed through different computational models. The comparison  
 52 involves advanced numerical modelling approaches, namely nonlinear limit analysis [9], planar discrete  
 53 macroelements DME [3], continuum nonlinear FEM methods [10] and detailed nonlinear FEM micro-  
 54 modelling [11]. The benchmark has been investigated considering different structural layouts, in particular the  
 55 influence of the presence of elastic or inelastic floor beams leading to three main structural schemes. The  
 56 obtained results are compared and discussed in terms of capacity curves and damage scenarios. A good  
 57 agreement between the different modelling strategies has been observed providing a cross validation between  
 58 the different considered models. The adopted numerical modelling approaches have been adopted for  
 59 comparing 3D structures within the same research project [12, 13].

60 All the results arise important recommendations and warning messages that have to be considered for  
 61 obtaining a reliable seismic assessment on masonry buildings.

## 62 2. The benchmark: *Via Martoglio Building*

63 The benchmark under investigation is inspired to a masonry wall of an URM multi-storey building, placed  
 64 in the city of Catania (Italy) Figure 1, that was the subject of some previous numerical investigations [3, 4, 5,  
 65 6].



66  
67 Figure 1 View of the inspiring building placed in Catania, Italy.

68 The wall, identified in Figure 2, is made by regular unit masonry bricks [2] and is characterised by a 300  
 69 mm thick except at the last level where the thickness is 160 mm. The 300 mm thick dimension is related to a  
 70 two wythes interlocked brick layers covered by the external plaster layers while the 160 mm dimension identify  
 71 the total thickness of a single wythe plastered brick wall.

72 A regular arrangement of rectangular openings (Figure 3) defines the geometry at all levels with the  
 73 exception of the ground floor level where a large central door is placed.

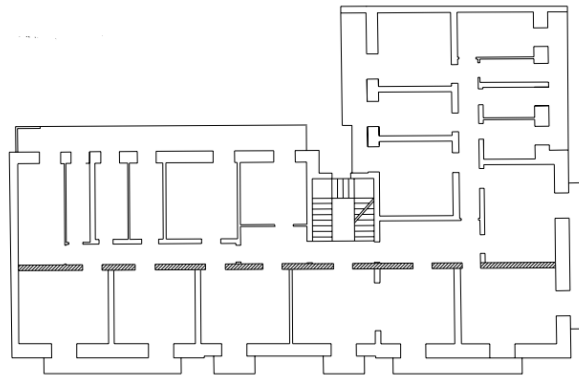


Figure 2 Building plan [2]

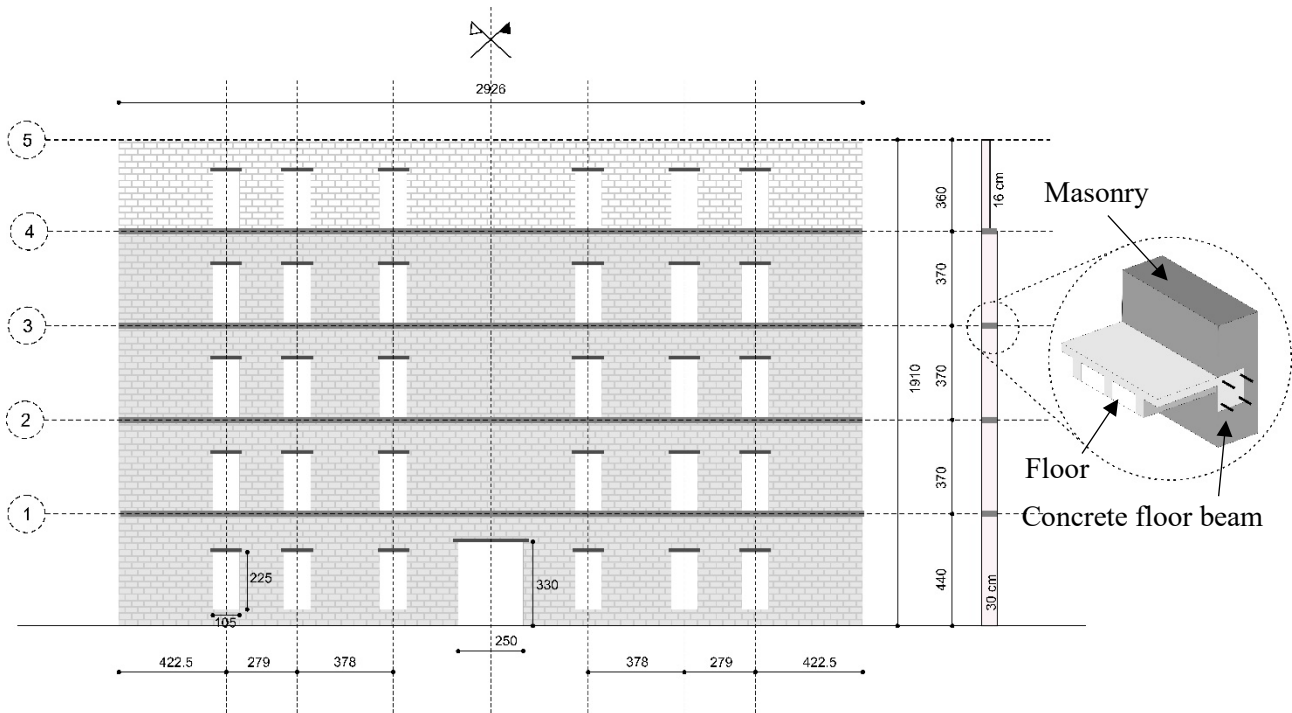


Figure 3 Benchmark multi storey wall and floor sketch.

Some differences can be noticed between the original wall and the benchmark adopted in this work. For instance, in this research the wall is perfectly symmetric respect to the mid axis, [8], in contrast to the original investigated benchmark [3, 4, 5, 6]. All the openings are  $105 \times 225 \text{ mm}^2$  at each level. The main door is  $256 \times 330 \text{ mm}^2$ . Concrete lintels, with 14.5 cm height and 30 cm width, are placed above all the openings. Floor concrete beams of 24 cm height and 30 cm width are placed at each level, except the last one. According to engineering practice of the period of construction the concrete beam has been assumed to be reinforced by  $4\text{Ø}12$  longitudinal bars and  $\text{Ø}6$  at 25 cm stirrups, uniformly distributed. Linear elastic concrete lintels, connected to the masonry for 30 cm, are considered for all the openings. The mechanical properties of masonry, concrete beams, steel bars and lintels are summarised in Table 1, Table 2, Table 3 and Table 4, respectively.

Table 1 Masonry mechanical properties

95

Property	Symbol	Unit	Value
Young's Modulus	$E_m$	MPa	1600
Shear Modulus	$G_m$	MPa	540
Mass Density	$\gamma_m$	kN/m <sup>3</sup>	17
Compressive Strength	$f_m$	MPa	6
Tensile Strength	$f_t$	MPa	0.24
Shear Strength	$\tau_{0m}$	MPa	0.16
Friction Coefficient	$\mu$	-	0.5
Cohesion	$c$	MPa	0.15
Brick Tensile Strength	$f_{tb}$	MPa	1

96

97

Table 2 Concrete material properties

Property	Symbol	Unit	Value
Young's Modulus	$E_c$	MPa	28821
Shear Modulus	$G_c$	MPa	12009
Poisson's coefficient	$\nu_c$	-	0.2
Mass Density	$\gamma_c$	kN/m <sup>3</sup>	25
Average Compressive Strength	$f_{cm}$	MPa	24.6
Tensile Strength	$f_{ct}$	MPa	2.169
Limit Strain (model A - NTC18 [14])	$\epsilon_{c2}$	%	0.2
Ultimate Strain	$\epsilon_{cu}$	%	0.35

98

99

Table 3 Reinforcement bars material properties

Property	Symbol	Unit	Value
Young's Modulus	$E_s$	MPa	210000
Mass Density	$\gamma_s$	kN/m <sup>3</sup>	78.5
Yielding limit stress	$f_{yk}$	MPa	335
Yielding limit strain	$\epsilon_{sy}$	%	0.23

100

Table 4 Lintel beams elastic properties

Property	Symbol	Unit	Value
Young's Modulus	$E_l$	MPa	28821
Poisson's coefficient	$\nu_l$	*	0.2
Mass Density	$\gamma_l$	kN/m <sup>3</sup>	17

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104

All the numerical models consider besides the self-weight load distributions consider distributed linear loadings associated to the to the floor slabs directly applied at each level as summarised in Table 5.

105

Table 5 Loads at each level

Level	1	2	3	4	5
Qtot [kN]	286	353	353	345	53

106

107

108

Three different configurations have been considered for the comparison between the adopted numerical approaches as specified in the following:

109

110

- Configuration1 - URM wall, characterised by uniform mechanical properties reported in Table 1 without floor beams.

- 111 • Configuration2 - Masonry wall equal to Configuration1 with elastic floor floor beams at each  
 112 level except the last one.  
 113 • Configuration3 - Masonry wall with equal to Configuration2 that considers nonlinearity in  
 114 concrete beams.

115 The three considered configurations have been analysed by means of the four numerical approaches that  
 116 are characterised by different level of sophistication and modelling strategies. All the configurations have been  
 117 analysed by mass-proportional pushover analyses.

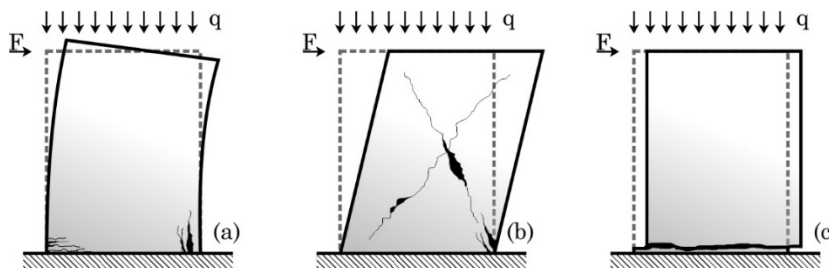
118 In the following a brief description of the adopted modelling strategies is reported, the interested reader  
 119 can find more details in the referenced papers.

### 120 3. The modelling strategies

121 Four modelling strategies have been adopted for the comparisons. Two are based on nonlinear FEM  
 122 analyses at the macro and micro-scale, one is based on a discrete macro-element model and the fourth is based  
 123 on a kinematics limit analysis procedure based on collapse mechanisms, associated to very rigid elements,  
 124 iteratively adjusted to minimize the load multiplier.

#### 125 3.1 Discrete macro-element method DMEM

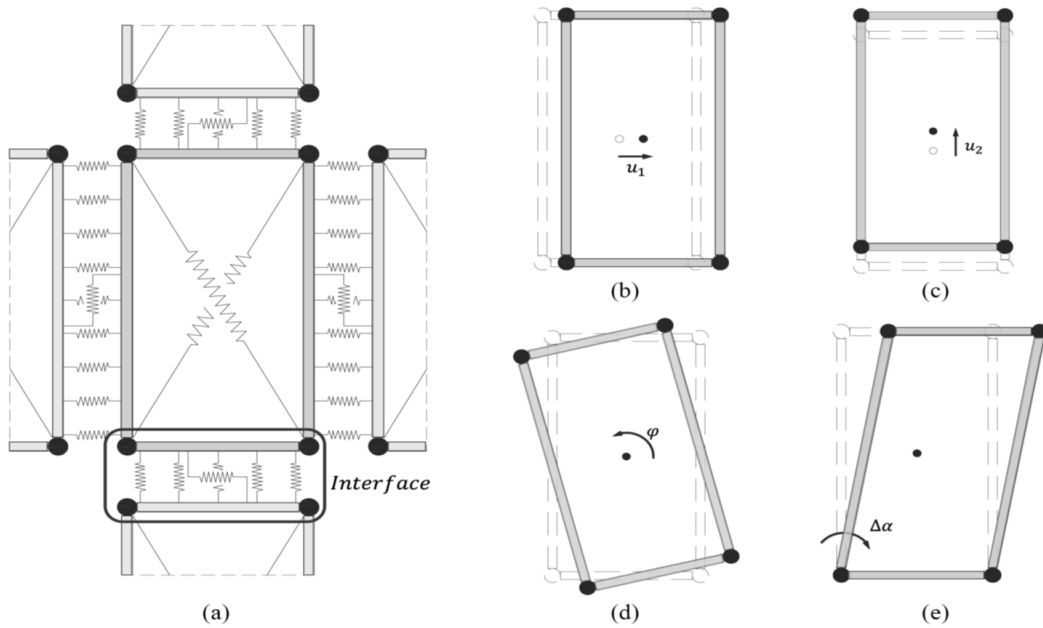
126 The DMEM here applied [3, 15] is based on the use of a plane discrete macro element able to simulate  
 127 the main in-plane collapse mechanisms of masonry walls subjected to vertical and horizontal loadings, Figure  
 128 4.



129

130 Figure 4 Main in-plane failure mechanisms of a masonry portion (a) flexural failure; (b) shear-diagonal  
 131 failure; and (c) shear sliding failure.

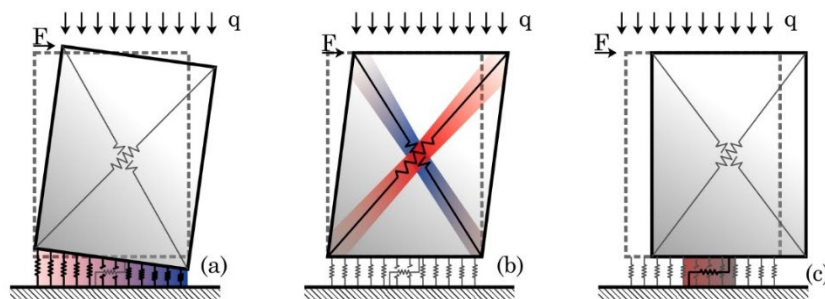
132 The plane element can be described by referring to a simple mechanical representation in which the  
 133 element is regarded as a plane articulated quadrilateral endowed with alongside nonlinear zero-thickness  
 134 interfaces. The mechanical behaviour of the element is governed by alongside nonlinear interfaces and the in-  
 135 plane deformability of the quadrilateral whose behaviour is related to a single degree of freedom calibrated  
 136 according to uniaxial constitutive law. In order to adopt a straightforward fibre discretization, the zero-  
 137 thickness interfaces have been conveniently represented in Figure 5.a as a regular distribution of nonlinear  
 138 links orthogonal to the interfaces.  
 139



140

141 Figure 5 Two-dimensional macro-element: (a) mechanical scheme, (b, c, d, and e) the needed Lagrangian  
 142 parameters for the kinematics description according to a discrete element approach.

143 The shear sliding behaviour along the interfaces, associated to relative motion in the direction of the  
 144 interface, can be efficiently described through a single longitudinal spring. The kinematics of the mechanical  
 145 scheme, after a proper calibration procedure of the nonlinear links, is capable of simulating the main in-plane  
 146 collapse failure modes of a masonry panel: flexural failure, diagonal shear failure and sliding shear failure  
 147 Figure 6.



148

149 Figure 6 Simulation of the main in-plane failure mechanisms of a masonry portion by means of the  
 150 considered plane discrete macro-element: (a) flexural failure; (b) shear-diagonal failure; and (c) shear sliding  
 151 failure.

152 In spite of its simplicity, the assemblage of these elements allows the simulation of the global nonlinear  
 153 response of masonry buildings, however in the plane model the out-of-plane response of the masonry walls is  
 154 not taken into account.

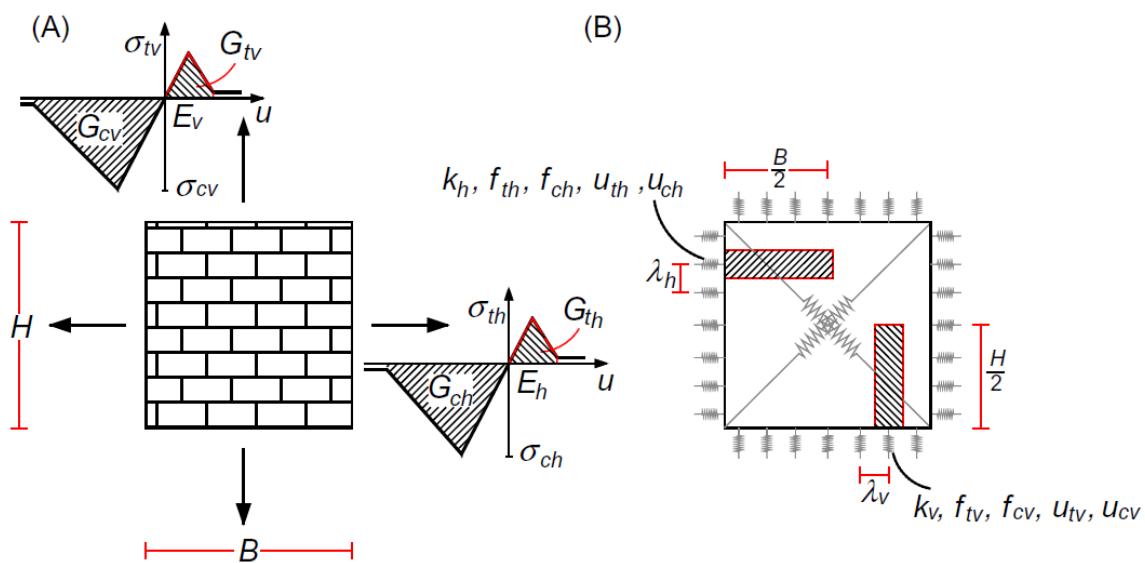
155 Each discrete-element exhibits three degrees-of-freedom associated to the in-plane rigid body motion,  
 156 plus an additional degree-of-freedom, needed for the description of the in-plane shear deformability (see Figure  
 157 5.b, Figure 5.c, Figure 5.d and Figure 5.e). The deformations of the interfaces are associated to the relative  
 158 motion between corresponding panels; therefore, no further Lagrangian parameter has to be introduced in order  
 159 to describe the model kinematics. The adopted model has the advantage of interacting with the adjacent  
 160 elements along the whole perimeter, thus allowing the possibility of using different mesh discretization. The  
 161 calibration of the nonlinear links orthogonal to the interface is associated with the basic mechanical parameters  
 162 governing the axial/bending behaviour of masonry continuum, the Young's modulus  $E$ , the compressive  $f_c$  and  
 163 tensile  $f_t$  strengths. In addition, a limited ductility, both in tension and compression, can be introduced for these  
 164 links, after which the force is redistributed to the other contiguous links with remaining resistance sources.



165 Consistently with a crack and crush model, if the achieving of the ultimate ductility occurs in tension, the link  
 166 holds the possibility to bear a compressive force; on the other hand, the achieving of the ultimate compressive  
 167 ductility implies the complete loss of the bearing capacity of the link. In addition, the occurrence of combined  
 168 failure mechanisms can be caught Constitutive laws more sophisticated and related to cyclic degrading  
 169 softening behaviour, can also be considered for nonlinear dynamic analyses [16]

170 In the DMEM model, the orthotropic flexural behaviour of masonry (Figure 7A) is simulated by means  
 171 of the orthogonal link along the entire perimeter of the quadrilateral. Each link encompasses the axial behaviour  
 172 of the corresponding fibre along the given material direction (Figure 7B). With a regular macro-element, each  
 173 link is calibrated, assuming that the uniform masonry strip is a homogeneous inelastic material. The initial  
 174 stiffness  $K$ , compressive and tensile yield strengths,  $f_c$  and  $f_t$ , and the ultimate displacements,  $u_c$  and  $u_t$ , are  
 175 evaluated as reported in Table 1. The Young's moduli,  $E_h$  and  $E_v$ , of a typical homogenized orthotropic  
 176 masonry medium,  $\sigma_{ch}$ ,  $\sigma_{th}$ , and  $\sigma_{cv}$ ,  $\sigma_{tv}$  are the corresponding compressive and tensile maximum stresses,  $G_{ch}$ ,  
 177  $G_{th}$ , and  $G_{cv}$ ,  $G_{tv}$  are the fracture energies in compression and tension, as shown in Figure 10a, related to a post-  
 178 peak linear softening branch.

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Figure 7 Mechanical characterization of an orthotropic masonry panel: (A) constitutive laws; (B) calibration of the orthogonal links (Pantò et al., 2017a).

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Table 6 Mechanical calibration of the orthogonal links of a regular DMEM model

Direction	$K$	$f_c$	$f_t$	$u_c$	$u_t$
Horizontal	$K_h = 2 \frac{E_h \lambda_h \lambda_s}{B}$	$f_{ch} = \sigma_{ch} \lambda_h \lambda_s$	$f_{th} = \sigma_{th} \lambda_h \lambda_s$	$u_{ch} = 2 \frac{G_{ch}}{\sigma_{ch}}$	$u_{th} = 2 \frac{G_{th}}{\sigma_{th}}$
Vertical	$K_v = 2 \frac{E_v \lambda_v \lambda_s}{H}$	$f_{cv} = \sigma_{cv} \lambda_v \lambda_s$	$f_{tv} = \sigma_{tv} \lambda_v \lambda_s$	$u_{cv} = 2 \frac{G_{cv}}{\sigma_{cv}}$	$u_{tv} = 2 \frac{G_{tv}}{\sigma_{tv}}$

184

185 Although the model allows obtaining an orthotropic calibration of the macro-element, in the application  
 186 reported in the following in order to be consistent with the other approaches at the macro-scale, an isotropic  
 187 behaviour has been assumed for all the masonry material.

188

189 The sliding behaviour is usually rigid-plastic with yielding criteria associated to a Mohr-Coulomb domain.  
 190 For each interface, the corresponding axial force is that acting on the corresponding transversal links. Due to  
 191 the low computational burden, this model allows to model efficiently not only unreinforced masonry structures,  
 192 but also mixed reinforced concrete- (or steel-) masonry structures [17]. In this paper, the plane model is  
 193 employed, considering the interaction between the masonry panels and the concrete floor beams. The beams  
 194 have been modelled considering a concentrated plasticity frame element [18]. The adopted mechanical  
 195 parameters are coherent with Table 1, Table 2, Table 3 and Table 4 and rearranged in the following tables.



196

Table 7 Resistance parameters adopted in the model - masonry

Property	Symbol	Unit	Value
Masonry Elastic Module	Em	MPa	1600
Masonry Compressive Strength	fm	MPa	6
Masonry Tensile Strength	ft	MPa	0.24
Tensile Ductility		-	1.05
Compressive Ductility		-	$\infty$
Masonry Shear Module	G	MPa	540
Friction coefficient	$\mu$	-	0.5
Shear Strength	$\tau_0$	MPa	0.16
Mass Density	$\gamma_m$	kN/m <sup>3</sup>	17

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Table 8 Resistance parameters adopted in the model - concrete

Property	Symbol	Unit	Value
Concrete Elastic Module	Ec	MPa	28821
Poisson's Coefficient	$\nu$	-	0.2
Compression Strength	fc	MPa	24.6
Tensile Strength	ft	MPa	2.169
Limit Strain (model A - NTC18 [14])	$\epsilon_{c2}$	%	0.2
Ultimate Strain	$\epsilon_u$	%	0.35
Mass Density	$\gamma_c$	kN/m <sup>3</sup>	25

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Table 9 Resistance parameters adopted in the model - reinforcements

Property	Symbol	Unit	Value
Bars Elastic Module	Es	MPa	210000
Poisson's Coefficient	$\nu$	-	0.2
Yielding limit stress	$f_{yk}$	MPa	335
Ultimate Strain	$\epsilon_u$	%	0.23
Mass Density	$\gamma_s$	kN/m <sup>3</sup>	78.5

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Table 10 Resistance parameters adopted in the model - lintel

Property	Symbol	Unit	Value
Bars Elastic Module	E <sub>l</sub>	MPa	30000
Poisson's Coefficient	$\nu$	-	0.2
Mass Density	$\gamma_l$	kN/m <sup>3</sup>	25

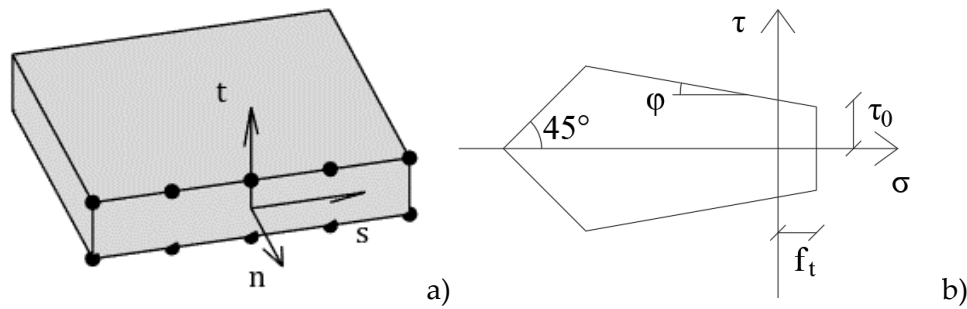
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### 206 3.2 Limit analysis based model

207 The kinematics limit analysis based model [9] is applied to a model composed of few rigid elements in  
 208 which the initial discretization is iteratively adjusted aiming to minimize the kinematic load multiplier. The  
 209 wall is represented by 2D rectangular NURBS (Non-Uniform Rational Bezier Spline) plate elements. Macro-  
 210 blocks are derived by assigning a thickness value to each plate element. Each macro-block is considered  
 211 infinitely rigid and resistant. This assumption allows representing the kinematics in terms of the three degrees  
 212 of freedom of the centroid. The internal dissipation is allowed only on the common boundaries between  
 213 adjacent elements where the interfaces are defined. The amount of internal dissipation is computed by  
 214 assuming a rigid-plastic behaviour and a 3D yielding domain that represents a Mohr-Coulomb criterion with  
 215 tension cut-off and linear cap in compression (see Figure 8).



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Figure 8. (a) 3D view of a single macro-block, interface discretization, and local reference system, (b) section of the 3D Mohr-Coulomb yielding domain.

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By applying a standard kinematic limit analysis procedure, which can be summarized into a linear programming (LP) problem, load multiplier and mechanism are calculated. The mechanism is defined in terms of a discontinuous velocity field, where velocity jumps occur at the interfaces according to an associative flow rule (Eq. 1).

223

$$\min \left\{ \lambda = \mathbf{c}\dot{\lambda} - \mathbf{f}_d \dot{\mathbf{u}} \right\} \text{ such that } \begin{cases} \mathbf{A}\dot{\mathbf{u}} - \mathbf{B}\dot{\lambda} = 0 \\ \mathbf{f}_L \dot{\mathbf{u}} = 1 \\ \dot{\lambda} \geq 0 \end{cases} \quad \text{Eq. 1}$$

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where  $\lambda$  is the load multiplier,  $\dot{\mathbf{u}}$  represents the discontinuous velocity field,  $\dot{\lambda}$  are the non-negative plastic multipliers at interfaces,  $\mathbf{c}$  is the vector representing the amount of internal dissipation,  $\mathbf{f}_d$  and  $\mathbf{f}_L$  are respectively the vectors of dead- (permanent) and live-loads, and finally  $\mathbf{A}$  and  $\mathbf{B}$  are the matrices for the imposition of the compatibility constraints (i.e. the associative flow rule).

The discretisation of the wall by few blocks makes the result affected by the initial mesh and, consequently, leads to an inaccurate collapse mechanisms as well as the associated kinematic multiplier, being an upper bound of the real collapse one. Due to these issues, a mesh adaptation procedure is always applied. The initial mesh is iteratively adjusted by modifying the elements shape until interfaces coincide with the real fracture lines. With this aim, a meta-heuristic approach based on a Genetic Algorithm [19] (GA) is adopted. Mesh modifications are even facilitated in models realized through the NURBS geometry, in which subdividing of moving operations can be conducted in easy way [20, 21, 22].

The multi-storey wall has been studied under the application of a configuration of horizontal load proportional to masses (i.e. self-weights and the non-structural masses applied). The resistance parameters adopted are reported in Table 11.

238

Table 11 Resistance parameters adopted in the model

Parameter	Value
Compression strength	$f_c = 6 \text{ MPa}$
Tensile strength	$f_t = 0 \text{ MPa}$
Cohesion	$\tau_0 = 0.16 \text{ MPa}$
Friction angle	$\varphi = 27^\circ$ for horizontal interfaces $= 45^\circ$ for diagonal interfaces $= 67^\circ$ for vertical interfaces

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Differently from other models, that considered the parameters in Table 1, a null value of tensile strength has been here adopted. Indeed, considering the rigid-plastic behaviour assumed in the limit analysis tool, and consecutively the impossibility to take into account the softening behaviour in tension through a LP formulation, the behaviour of the in-plane loaded wall resulted better represented by using a null value of tensile strength. Moreover, with the aim of taking into account the dilatancy effects due also to the disposition of bricks, different values of friction angle have been assigned for diagonal and vertical interfaces, i.e. interfaces that do not coincide with mortar bed joints (see Table 11).

248 **3.3 The homogeneous isotropic plastic-damaging 3D continuum model**

249

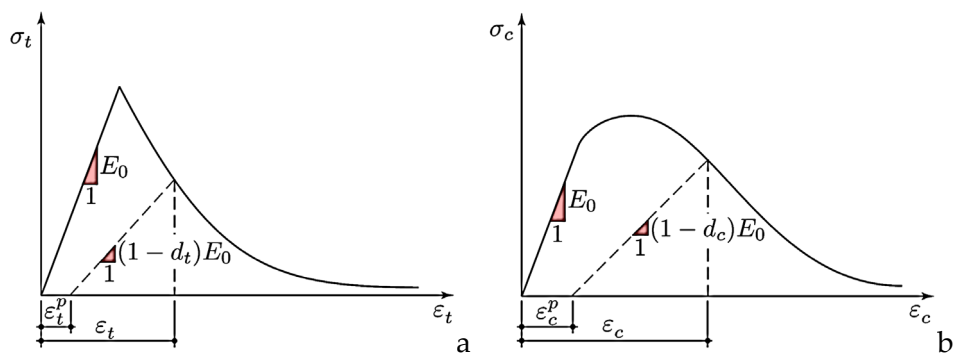
250 The nonlinear FEM model at the macro-scale is based on a homogeneous isotropic plastic-damaging 3D  
 251 continuum. Such plastic-damage model, firstly developed by Lee and Fenves [10], hypothesizes independent  
 252 tensile and compressive behaviours ruled by tensile damage ( $0 \leq d_t < 1$ ) and compressive damage ( $0 \leq d_c <$   
 253  $1$ ) variables. Thus, the uniaxial stress-strain curves can be described by:

254 
$$\sigma_t = (1 - d_t)E(\varepsilon_t - \varepsilon_t^p) \tag{Eq.2.a}$$

255 
$$\sigma_c = (1 - d_c)E(\varepsilon_c - \varepsilon_c^p) \tag{Eq. 2.b}$$

256 where  $\sigma_t$  is the uniaxial tensile stress,  $\sigma_c$  is the uniaxial compressive stress,  $E$  is masonry Young's modulus,  
 257  $\varepsilon_t$  and  $\varepsilon_c$  are the uniaxial tensile and compressive strains, respectively, and  $\varepsilon_t^p$  and  $\varepsilon_c^p$  are the uniaxial tensile  
 258 and compressive plastic strains, respectively (Eq. 2.a and Eq. 2.b). Consequently, the uniaxial stress-strain  
 259 curves shown in Figure 9 represent the main input for the model mechanical characterization. The masonry  
 260 mechanical properties used in this study are collected in Table 12.

261



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Figure 9 Nonlinear behaviour: (a) tensile and b) compressive uniaxial stress-strain curves.

Density [kg/m <sup>3</sup> ]	1700	
Young's modulus $E$ [MPa]	1300MPa	
Poisson's coefficient $\nu$	0.2	
Compressive behaviour		
Stress [MPa]	Inelastic strain	$d_c$
6.0	0	0
6.0	0.003	0
0.6	0.01	0.9
Tensile behaviour		
Stress [MPa]	Inelastic strain	$d_t$
0.24	0	0
0.02	0.001	0.9

263

Table 12. Masonry mechanical properties.

264 In order to manage dilatancy in the material behaviour and to govern the plastic strain rate, a non-  
 265 associative flow rule is supposed through a Drucker-Prager type plastic potential. Such potential is described  
 266 by the angle of dilatancy  $\psi$ , supposed equal to  $10^\circ$  according to [23], and a smoothing constant  $\epsilon$  supposed  
 267 equal to 0.1 according to the literature [24, 25]. A multiple-hardening Drucker-Prager type surface is supposed  
 268 as yield surface, described by  $f_{b0}/f_{c0}$ , i.e. the ratio between the biaxial  $f_{b0}$  and uniaxial  $f_{c0}$  compressive  
 269 strengths herein supposed  $f_{b0}/f_{c0} = 1.16$  [26], and a shape parameter  $\rho$  which represents the ratio of the  
 270 second stress invariant on the tensile meridian to that on the compressive meridian at primary yield, herein  
 271 supposed  $\rho = 2/3$  [26].

272 Within this study, the 3D continuum that represents masonry is discretized by means of 4-nodes  
 273 tetrahedral linear FEs, with representative size 0.4 m. In case of presence of reinforced concrete floor beams,  
 274 the same type of FEs are used to account for these beams (so obtaining a conforming mesh), consequently the  
 275 linear elastic behaviour is supposed for floor beams. In order to run pushover analyses and to account for  
 276 possible global softening behaviour, a quasi-static direct-integration implicit dynamic algorithm has been

277 utilized [25]. Accordingly, this algorithm allows the simulation of quasi-static behaviours, in which inertia is  
 278 only introduced to regularize unstable responses.

279 It should be underlined that this constitutive model formerly developed for concrete and isotropic quasi-  
 280 brittle materials has been widely utilized for masonry structures [24, 27, 28], even though they may present  
 281 significant anisotropic responses. Although few anisotropic models have been proposed expressly for masonry  
 282 [29, 30], their use has found some limits due to the many parameters needed to characterize the material.  
 283 Furthermore, this isotropic model appears capable to efficiently catch both flexural and shear failures of a  
 284 masonry pier, i.e. the main features that govern the response of masonry structures under horizontal loads [31].  
 285 Therefore, the model is expected to be rather accurate for the piers despite the isotropic nature, while higher  
 286 approximations are expected on the spandrel response (where, however, limited information is still available  
 287 on failure modes).  
 288

### 289 3.4 The micromodelling approach

290 The more sophisticated model adopted in this research is a FEM micromodelling approach. From a  
 291 macroscopic point of view, masonry can be defined as a composite material consisting of microstructural  
 292 components (bricks and mortar joints) with strongly nonlinear behaviour, whose arrangement within the  
 293 microstructure leads to very complex nonlinear behaviours characterized by different collapse modes. In the  
 294 micromodelling approach, the masonry microstructure is explicitly modelled and each microscopic behaviour  
 295 is described by its own nonlinear constitutive model. The chosen micromodel references the  $d^+$   $d^-$   
 296 tension/compression damage model based on the continuous model put forward by Cervera et al. 1995 [32],  
 297 Faria et al. 1998 [33], Wu et al. 2006 [34], and further refined by Petracca et al. [35, 36, 37] to correctly  
 298 reproduce the nonlinear shear response of masonry walls and to control the effect of dilatancy.

299 The advantage of micromodelling is obtaining a different response from the masonry wall in tension and  
 300 compression, and at the same time, being able to describe unilateral effect crack closure correctly.

301 The bi-dissipative damage model of Cervera et al. 1995 [32], Faria et al. 1998 [33], Wu et al. 2006 [34]  
 302 defines the effective stress tensor,  $\sigma_{eff}$ :

$$303 \quad \sigma_{eff} = (1 + d^+) \cdot \bar{\sigma}^+ + (1 - d^-) \cdot \bar{\sigma}^- \quad \text{Eq. 3}$$

304 Where  $\sigma_{eff}$  is the effective stress tensor,  $\bar{\sigma}^+$  and  $\bar{\sigma}^-$  are the positive and negative parts of the effective stress  
 305 tensor  $\sigma_{eff}$  (elastic part),  $d^+$  and  $d^-$  are, respectively, the tension and compression damage indices and they  
 306 influence the positive  $\bar{\sigma}^+$  and negative  $\bar{\sigma}^-$  parts of the effective stress tensor  $\sigma_{eff}$  (inelastic part). The damage  
 307 indices are scalar variables from 0 (intact material) to 1 (completely damaged material).

308 The damage indices are calculated first by defining damage areas (or damage criteria). Such areas are  
 309 functions that, given a stress, return a scalar magnitude called equivalent tensile stress. If the equivalent tensile  
 310 stress ( $\tau^+ - \tau^-$ ) assumes a value of zero, the stress is within the strength domain and the material is intact; if it  
 311 assumes a value greater than zero the material is damaged.

312 For modelling 2D plane-stress elements with four nodes, the compression surface used is an improvement  
 313 of that described in Lubliner et al. 1989 [38], where the stresses equivalent to compression and tension are  
 314 calculated as:

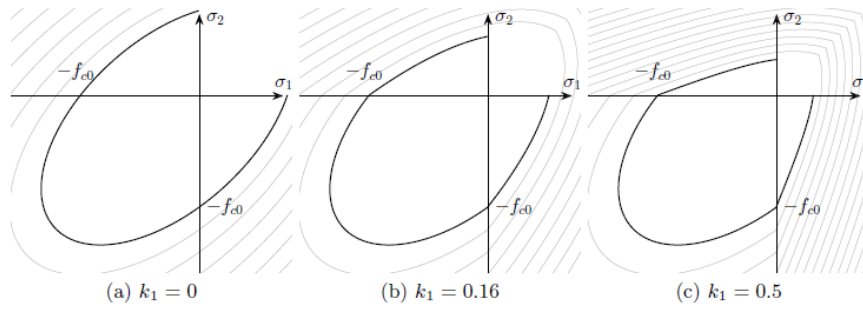
$$315 \quad \tau^- = H(-\bar{\sigma}_{min}) \left[ \frac{1}{1-\alpha} \left( \alpha \bar{I}_1 + \sqrt{3\bar{J}_2} + k_1 \beta \langle \bar{\sigma}_{max} \rangle \right) \right] \quad \text{Eq. 4}$$

$$316 \quad \tau^+ = H(\bar{\sigma}_{max}) \left[ \frac{1}{1-\alpha} \left( \alpha \bar{I}_1 + \sqrt{3\bar{J}_2} + \beta \langle \bar{\sigma}_{max} \rangle \right) \frac{\sigma_t}{\sigma_p} \right] \quad \text{Eq. 5}$$

$$317 \quad \alpha = \frac{k_b - 1}{2k_b - 1} \quad \text{Eq. 6}$$

$$318 \quad \beta = \frac{\sigma_p}{\sigma_t} (1 - \alpha) - (1 + \alpha) \quad \text{Eq. 7}$$

319 Where  $\bar{\sigma}_{\max}$  is the principal effective stress tensor,  $\sigma_t$  is the tensile strength of mortar units or joints,  $\sigma_p$  is  
 320 peak compressive strength of mortar units or joints,  $I_1$  is the first invariant of the effective stress tensor,  $J_2$   
 321 is the second invariant of the effective deviatoric stress tensor and  $k_b$  is the ratio of the bi-axial strength to the  
 322 uniaxial strength in compression. Figure 10 graphically represents the described roles.



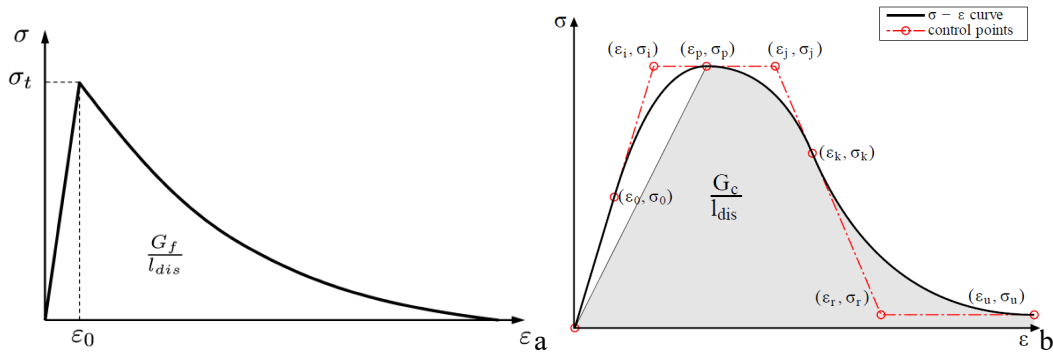
323  
 324 Figure 10 Compressive failure surface of the continuous micromodel [35, 36, 37]

325 Being the damage an irreversible process, the model introduces the damage threshold  $r^+$  and  $r^-$ , two  
 326 scalar variables that denote the values attained by the equivalent stresses  $\tau^+$  and compressions  $\tau^-$  throughout  
 327 the whole loading history for each time step.

328 
$$r^+(t) = \max(\max_{s \in [0,t]} \tau^+(s); f_t) \tag{Eq. 8.a}$$

329 
$$r^-(t) = \max(\max_{s \in [0,t]} \tau^-(s); f_{c0}) \tag{Eq. 8.b}$$

330 Once the damage thresholds have been assessed, damage indices  $d^+$  e  $d^-$  can be evaluated.  
 331 The indices of damage and the stress and compressive damage evolution are defined through uniaxial  
 332 tensile-deformation laws, where the degrading section is governed by the values assumed by the compressive  
 333  $G_c$  and tensile  $G_t$  fracture energies.



334  
 335 Figure 11 a) Tensile and b) Compressive uniaxial laws [35, 36, 37]

336 The parameters were obtained through a calibration process of the mechanical properties and the fracture  
 337 energies of the masonry microstructure.

338 Table 13 reports the mechanical properties assumed for the quasi-static nonlinear analyses. In the choice  
 339 of mechanical parameters, it has been considered that the uniaxial compressive strength of mortar joints and  
 340 brick units are substantially different, with the mortar strength being lower than the brick strength. However,  
 341 the overall wall equivalent compressive strength is larger than the mortar strength. This is due to the fact that,  
 342 even if the wall is in an "equivalent" state of plane-stress, its micro-structural constituents are not in the same  
 343 state due to their different elastic moduli, mainly. It is worth noting that in the reality, the mortar is confined  
 344 by the surrounding bricks and, consequently, it develops triaxial compression states that increase the resulting  
 345 strength. This phenomenon is not achieved in the present model, due to the 2D plane-stress assumption at both  
 346 mortar and brick. To overcome this issue, the compressive strength used for both bricks and mortar joints has  
 347 been evaluated by matching the equivalence with the actual wall. In Table 13 the assumed mechanical  
 348 parameters of brick and mortars are summarised.

349  
350

Table 13 Mechanical parameters taken as reference for numerical analysis a) Mechanical properties of the bricks b) Mechanical properties of the mortar

Property	Symbol	BRICKS	MORTAR
Elastic Module	$E_b$ [N/mm <sup>2</sup> ]	3000	360
Poisson's Ratio	$\nu$ [-]	0.20	0.20
Tensile strength	$\sigma_t$ [N/mm <sup>2</sup> ]	1.00	0.15
Tensile Fracture Energy	$G_t$ [N/mm]	0.08	0.02
Compression strength	$\sigma_0$ [N/mm <sup>2</sup> ]	4.00	4.00
Compressive peak strength	$\sigma_p$ [N/mm <sup>2</sup> ]	6.00	6.00
Residual strength	$\sigma_r$ [N/mm <sup>2</sup> ]	0.10	0.1
Compression Fracture Energy	$G_c$ [N/mm]	6.00	4
Peak deformation	$\epsilon_p$ [-]	0.008	0.05
Lubliner yield-surface coefficient	$k_b$ [-]	1.15	1.15
Dilatancy coefficient	$k_1$ [-]	0.00	0.16

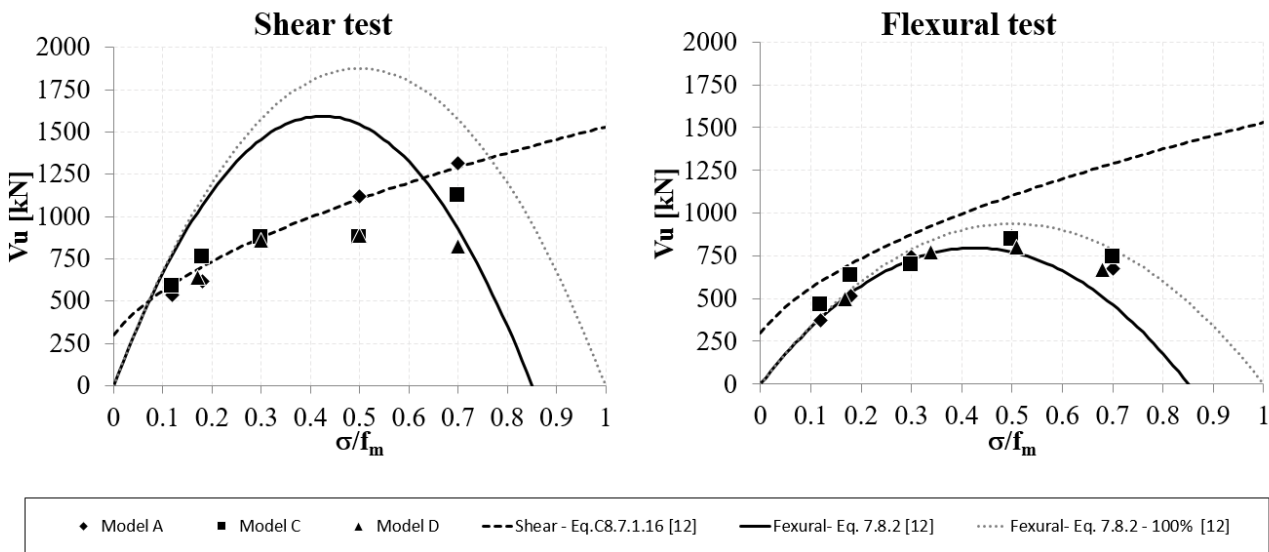
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**4. On the choice of the adopted mechanical parameters for a consistent comparison**

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According to the above mentioned computational strategies and aiming to compare the models on simple elements, a square panel (2.5x2.5x0.5 m) have been horizontally loaded under five vertical load levels and two constrain layouts (following a procedure akin to the one proposed in [31]). The considered vertical loads correspond to the 12%, 18%, 30%, 50% and 75% of the compressive limit force. All the results are compared to the flexural and shear domains of the Italian Design Code [14]. The presence, and absence, of rotational restraint is taken into account at the top edge. The two loading tests aim to simulate flexural and shear behaviour that affect an entire multi-storey wall. Figure 12 shows a good agreement between the numerical results as well as the theoretical domains.

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Figure 12 Results of a) shear and b) flexural loading test

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However, the comparison does not return any information about tangent stiffness or post peak branch but it allows comparing the peak values. A satisfactory agreement can be observed in the range of 12%-30% that denotes most of real cases.

366

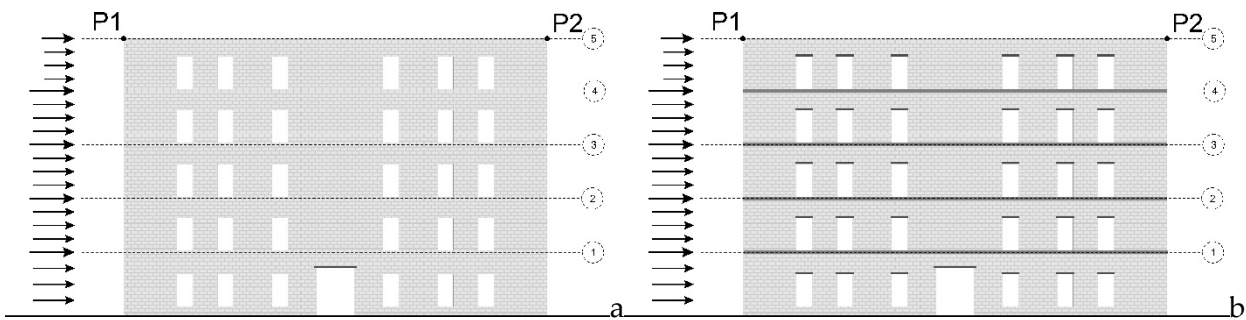
**5. Numerical Results**

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The results of the analyses relative to the three different structural layouts are discussed in the following. Configuration1, in which floor beams are not considered, is characterised by the mechanical properties summarised in Table 1; Configuration2 considers elastic floor beams at each level except to the roof level;

370 Configuration3 considers nonlinear concrete floor beams and elastic lintel beams. Figure 13 sketches the  
 371 benchmark and indicates the control points P1 and P2 at top corners of the wall.

372 The analyses consider mass-proportional load distribution as sketched in Figure 13.  
 373



374  
 375 Figure 13 Benchmark scheme and control points a) Configuration1 and b) Configuration2 and  
 376 Configuration3

377 Table 14 summarises the used hardware equipment and allows comparing the computational burdens of  
 378 all methods that have been engaged in this research. As the table shows, the adopted computational models  
 379 need computational burden that increases with the level of sophistication. Starting from the limit analysis up  
 380 to the micro-modelling the computational costs increase. In the detailed strategy, partition modelling and  
 381 multiprocessor features are adopted.

382 Table 14 Computational burden comparison

Model	CPU	RAM	Configuration	DOF	Time
Limit Analysis Based Model	Intel®Core™ i7 5500U 4.20 GHz	8 Gb	1-2-3	435	15 min.
Discrete macro-element method DMEM	Intel®Core™ i7 7500U 2.70-2.90 GHz	16 Gb	1	525	4 min.
			2	1007	5 min.
			3	1007	15 min.
Homogeneous Isotropic Plastic-Damaging 3D Continuum Model	Intel®Core™ i7-6500U 2.50GHz	16 Gb	1	41406	2 h 20 min
			2	41406	1h 17 min
Micromodelling Approach	24 cores		1	359536	45 min
			2-3	359536	80 min

383  
 384 The limit analysis model uses rigid blocks with dissipation at interfaces only. Each rigid block has three  
 385 degrees of freedom: the in-plane translations and the rotation of the centroid. Hence, the total number of  
 386 degrees of freedom for the optimized mechanism correspond to 435. However, the total amount of unknowns  
 387 in the linear programming problem (Eq. 1) includes also the non-negative plastic multiplier rates, whose  
 388 number is equal to the number points involved in the discretization of interfaces multiplied by the number of  
 389 planes used to linearize the failure domain (17 in this case). Therefore, the total amount of unknowns in the  
 390 linear programming problem (degrees of freedom and plastic multiplier rates) is equal to 14307. By using a  
 391 laptop equipped with an Intel®Core™ i7 5500U processor (4.20 GHz) and 8 GB RAM, 5.31 seconds were  
 392 required to solve the single linear programming problem. In the mesh adaptation procedure, fracture lines were  
 393 constrained to be horizontal, vertical, or diagonal in order to represent the typical failure mechanisms of in-  
 394 plane loaded walls: therefore, the iterative procedure required very few iterations, corresponding to the  
 395 computational time of 15 minutes, globally.

396 The discrete macro-element method involves 525 degree of freedom in Configuration1, 1007 in  
 397 Configuration2 and Configuration3. The analyses were carried out on a laptop equipped with Intel®Core™ i7  
 398 7500U CPU at 2.70GHz - 2.90 GHz and 16.0 GB RAM. The computing time efforts were 4 minutes for  
 399 Configuration1, 5 minutes for Configuration2 and 15 minutes for Configuration3. In the latter case, the model  
 400 takes 5 minutes to reach the drift level at which a reduction of 20% of the peak force is achieved and 10 minutes  
 401 until the end of the analysis.



402 The nonlinear FEM model is made of 42272 plane elements and 13803 nodes, with 41406 degree of  
 403 freedom, totally. Computing times on a laptop with a processor Intel®Core™ i7-6500U CPU at 2.50GHz and  
 404 16GB RAM is 2 hours and 20 minutes for Configuration1 and 1 hour and 17 minutes for Configuration2.

405 The micromodel is made of 359536 degrees of freedom and 176838 4-noded plane stress elements. The  
 406 solution strategy adopts a mixed implicit-explicit (IMPLEX) algorithm that requires typically 2 or 3 iterations  
 407 to reach convergence. The analyses run with 24 processors using a parallel computation strategy. The analysis  
 408 time required is about 45 minutes and 80 minutes for the configuration with and without the RC concrete beam,  
 409 respectively.  
 410

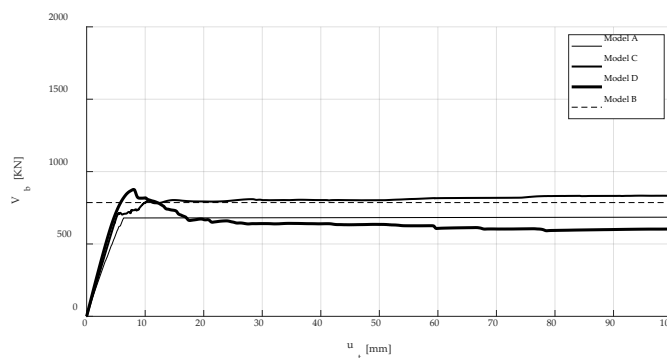
411 **5.1 Configuration1 – URM**

412 The present section reports the results that has been obtained for Configuration1. The specimen does not  
 413 implement floor beams or, even implicitly, the restrain effect offered by the floors. This assumption is coherent  
 414 with the hypothesis that the floors does not provide a sufficient in-plane constraint on the masonry wall.  
 415 Consequently, the only connection between the piers is guaranteed by the spandrels.

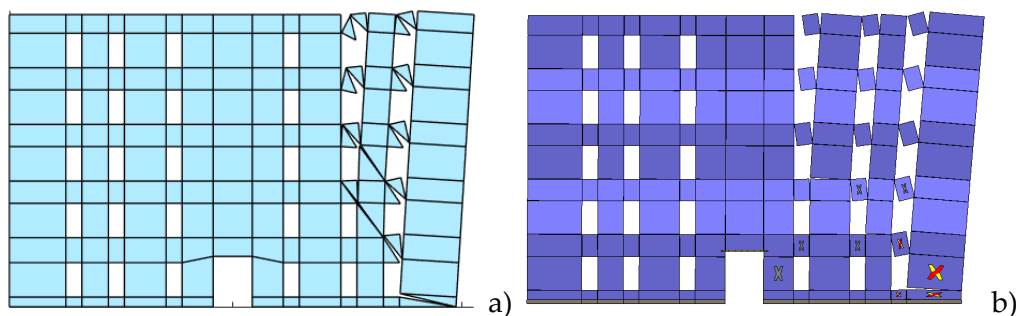
416 Figure 14 reports the capacity curves obtained by the three software, based on step-by-step procedures,  
 417 and the ultimate load provided by the limit analysis based model.

418 It can be observed a satisfactory agreement between the considered models in terms of ultimate capacity.  
 419 A small difference can also be observed in terms of residual strength. Two models are characterised by a  
 420 stiffness reduction range at a similar force level (680 kN, 707 kN respectively). These values correspond to  
 421 the initiation of damage in the spandrels at the different floors in the software that consider a softening tensile  
 422 response. One simulation reaches a superior force level but it is characterised by a sensible softening post peak  
 423 behaviour. The values of the peaks, in increasing order, are 685.1 kN, 786 kN, 834.4 kN, and 838.6 kN.  
 424 Globally the post-peak branches are characterised by sensible ductility levels that can be evaluated according  
 425 to the rocking mechanism of the “cantilever mega-pier”.

426 Of a certain interest is the collapse mechanism reported in Figure 15 although it has to be analysed paying  
 427 attention to the model assumptions. After a certain level of horizontal displacement, due to the horizontal  
 428 seismic forces, the tensile strength is progressively reached in the spandrels corresponding to the right side of  
 429 the wall, this leads to a separation of the façade along vertical lines corresponding to the zone in which the  
 430 spandrels reached their limits of tensile strength. In this condition the displacements of the two control points  
 431 are extremely different and in the extreme right position a partially collapse of the masonry occurs.



432  
 433 Figure 14 Comparison of the capacity curves obtained with three adopted software for Configuration1



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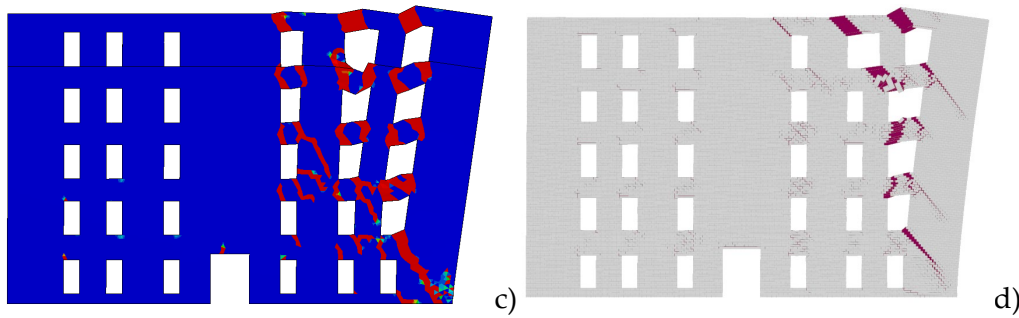


Figure 15 Collapse mechanisms of Configuration 1

Figure 14 shows capacity curves that are signed by negligible softening effect. This is coherent with the collapse mode dominated by the rocking of peripheral walls. As the curves show, immediately after the peak value a short softening, due to the failures that involves the spandrel panels from top to bottom, is noticed. At each failure, the capacity curve decreases. When all the spandrel panels, which are aligned along the same vertical, fail the right side of the wall collapses by rocking. This failure mode does not provide a significant softening, in absence of large displacement analyses, and the displacements increase almost under the same horizontal force. All the considered numerical strategies consistently describe the failure mechanism.

This kind of behaviour can occur for URM structure for which the connection of the slabs and their constraint effect can be considered insignificant.

## 5.2 Configuration 2 - URM with elastic floor beams

A step forward in terms of more realistic configuration is represented by Configuration 2 that introduces floor beams at each level except at the top edge of the wall. In this case, the beams are modelled as elastic elements.

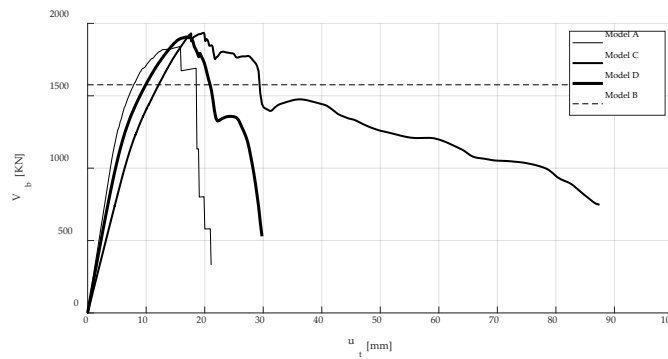
In the limit analysis model, the concrete floor beams have been modelled as 1D rigid-plastic elements. In this model, the ultimate tensile strength is calibrated on the yielding value of all the reinforcement bars. The beam elements are perfectly connected to the masonry panels since no masonry-concrete interfaces have been modelled. Due to the fact that the adaptive NURBS-based limit analysis procedure was originally developed for unreinforced masonry structures only the reinforcement concrete elements can be currently taken into account in a simplified manner.

The DMEM approach simulates the interaction by means of discrete nonlinear interfaces between the plane macro-element and the beam element, as better specified in references [15].

In case of the homogeneous isotropic plastic-damaging 3D continuum model a conforming mesh is adopted, so that the 4-node tetrahedral elements related to masonry are fully connected with the ones related to concrete floor beam. In other words, a unique continuum is used and the mechanical properties are differentiated between masonry and concrete floor beam.

Lastly, the more sophisticated micromodelling approach uses 4-noded plane stress elements and forced based 1D fibre elements, for the concrete and the steel reinforcement bars, respectively. Concrete material is modelled with the damage model shown in section 3.3 and the properties of Table 2, steel reinforcement bars are modelled with Menegotto-Pinto's model [39] and the properties shown in Table 3

As Figure 16 reports, all the models reach similar base shear values (1841 kN, 1934 kN, and 1906 kN respectively). Consequently, the introduction of these beams, in this particular case, significantly changes the failure mechanism, compared to Configuration 1, and the wall withstands globally to horizontal forces although the damage distribution is mainly located at the masonry piers of the ground elevation and at the spandrels at the upper floors, as can be observed by the collapse mechanisms reported in Figure 17. In this case, the floor beams guarantee the connection of all piers at the same level and increase the capacity of the spandrels. However, the concentration of damage at the first floor leads to a softening behaviour in terms of global ductility that is characterised by a softening branch in all the investigations. The result of the limit analysis shows a lower resistance compared to the outcomes of the pushover analyses.



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Figure 16 Comparison of the capacity curves obtained with three adopted software for Configuration2

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As Figure 16 denotes, the major differences regard the softening branches. This aspect is crucial in case of seismic assessment and may affect the judgment on the seismic vulnerability of structures. Such results need additional investigation on the role of mechanical parameters that affect post peak behaviour.

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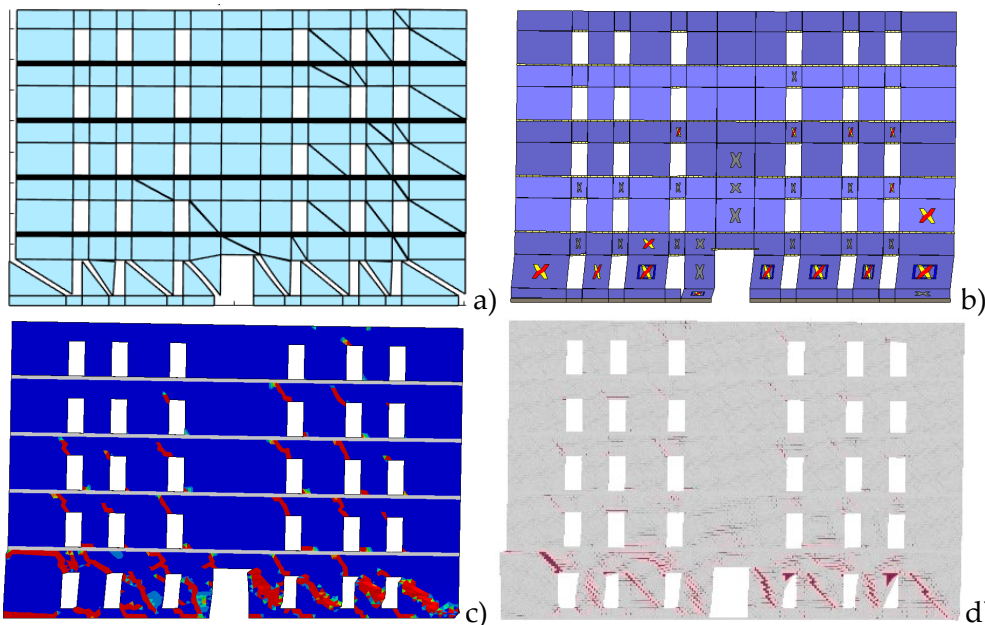
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The observation of the collapse mechanisms highlights shear failures at the piers of the ground floor and minor failures at the spandrels at the superior levels. All the models confirm the same collapse scenario.

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Figure 17 Collapse mechanisms of Configuration2

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### 5.3 Configuration3 – URM with inelastic reinforced concrete floor beams and elastic lintel beams

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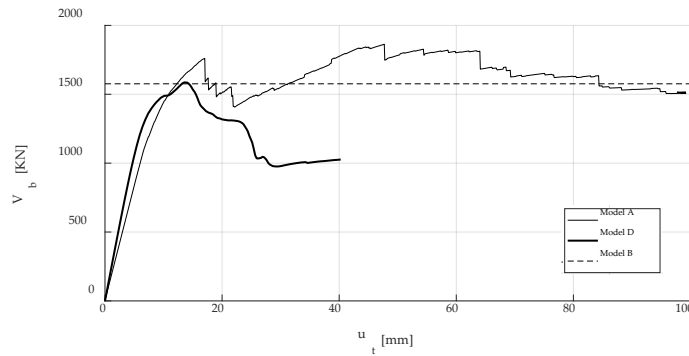
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The last comparison considers the inelasticity on the floor beams, Configuration3. The results are summarised in Figure 18 in terms of capacity curves. Globally similar peak values are reached by the models (1760 kN, 1576 kN, and 1586 kN). It is worth noting that the Configuration3 has not been modelled by the homogeneous isotropic plastic-damaging 3D continuum model. In contrast to the previous case (Configuration2) the nonlinear behaviour of the RC beam reduces the peak force and affects the collapse mechanism. As Figure 19 shows, the concrete floor beams are subjected to concentrated damage close to the lower corners of the openings at the lower levels leading, in some cases, to the shear failure of spandrel panels, as highlighted in Figure 19. As can be observed by Figure 19.a, the simplified strategy adopted for modelling the floor beams, previously described, does not predict their failure leading to a different collapse mechanism compared to those predicted by the other numerical simulations. Due to the absence of the floor beam at the top of the wall, failure of spandrels at the end level is also present. By comparing Figure 16 with Figure 18, it appears that the assumption of elastic behaviour for floor beams lead, on one hand, to an overestimation of the peak-values and, on the other hand, to a reduction of ductility.



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Figure 18 Comparison of the capacity curves obtained with two adopted software for Configuration3

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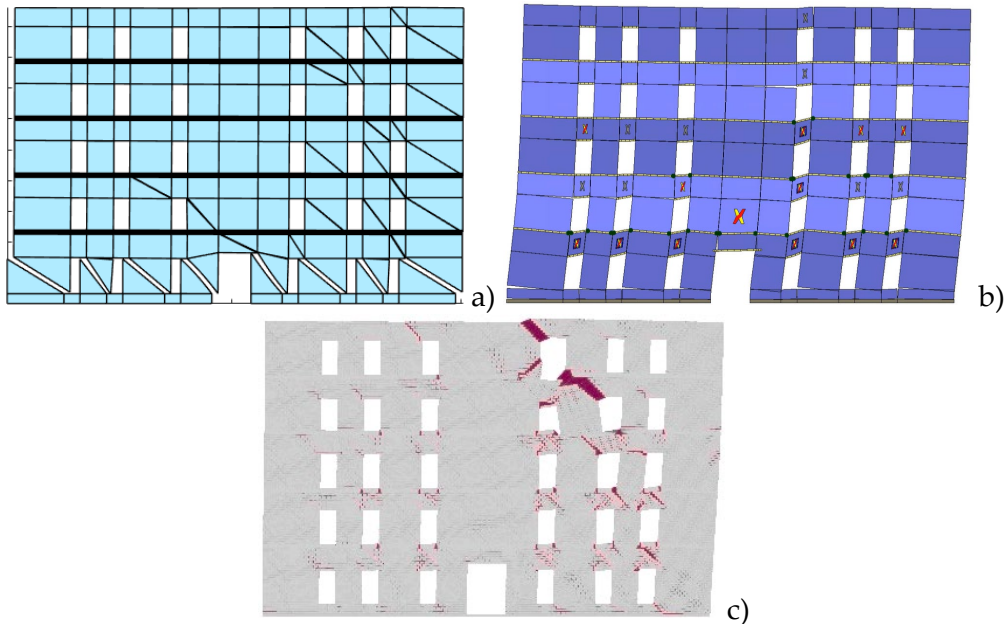
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Model A in Figure 18 exhibits a more ductile behaviour with respect to the detailed model, model D. As matter of fact, after the first peak value, the curve decreases due to the progressive failures of spandrel panels. At about 2.2 cm, several spandrel panels have reached their ultimate strength capacity. After this point, due to the fact that some spandrel panels at the upper floors can still resist to force increments, the rocking behaviour of peripheral piers introduce a hardening effect that characterizes the post-peak branch of the capacity curve.

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Figure 19 Collapse mechanisms of Configuration3

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The results underline that accurate modelling approaches, that engages nonlinearities in all the structural elements, allow to better describe the collapse mechanism. As the failures at the last floor show, the presence of lintel beams only is not enough to avoid local failures. Detailed modelling strategies allows to accurately describe the failure mechanism, even though also less accurate models at the macro-scale, like model A, provide an satisfactory representation of the collapse mechanism.

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The use of nonlinear concrete beams avoids the overestimation of peak forces and erroneous collapse behaviours. By comparing Figure 17 to Figure 19, can be observed that different collapse mechanisms affect the two cases. If the concrete model does not account for inelasticity an overstrength response of the spandrels can occur, this can alter the global behaviour leading to a localization of the damage at the ground level, Figure 17, as a consequence the complex nonlinear interaction between the spandrels and the floor beam is a key part of reliable modelling strategies for URM buildings.

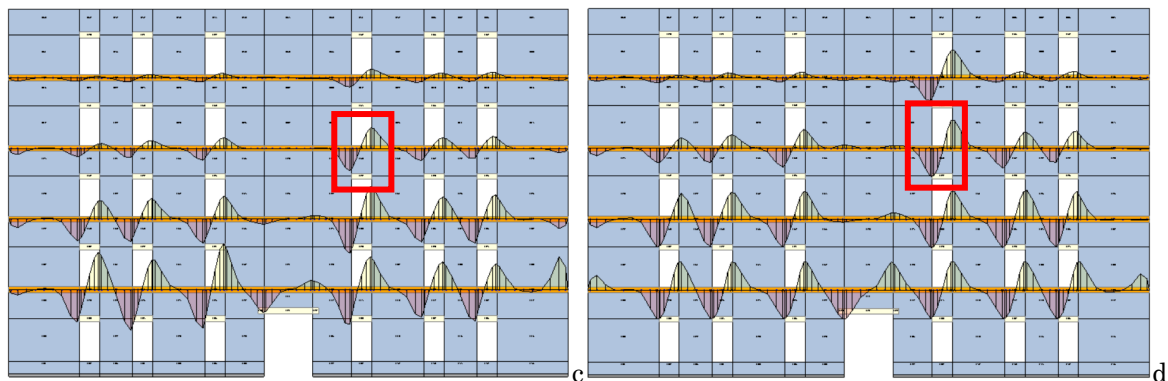
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Figure 20 compare the bending moments on the beam elements of Configuration2 (a) and Configuration3 (b) with reference to model A. In the picture, the red rectangles focus the attention on one of the panels denoted by different behaviour in the two cases. Due to the interaction between beams and masonry, nonlinear concrete

523 material model defines different forces distribution in the wall. When nonlinearity arises in beam elements the  
 524 bending moments are equilibrated by the shear mechanics in the spandrel panels but they fail due to the shear  
 525 force increments. As consequence, the entire multi-storey wall is involved in the collapse mechanism and the  
 526 bending moments increase at the upper level (Figure 20.b). It is worth noting that all these aspects are  
 527 influenced by the geometric and mechanical properties of concrete and masonry elements. Although an  
 528 extensive parametric analysis was not performed aiming to achieve more general considerations, the result  
 529 confirms that a reliable interaction between masonry walls and beam elements has to be guaranteed and  
 530 nonlinear material model has to be always involved.  
 531



532  
 533 Figure 20 Bending moments in a) linear and b) nonlinear concrete floor beam at displacement of 1.83 cm of  
 534 the control point (peak point of Configuration2)

## 535 6. Summary and Conclusions

536 Multi-storey masonry buildings represent a great percentage of the building stock in several countries. In  
 537 this paper, the nonlinear behaviour of three configurations of a multi-storey wall has been investigated by  
 538 means of mass proportional pushover analyses with different computational models, nonlinear limit analysis  
 539 [9], planar discrete macroelements DME [3], continuum nonlinear FEM [10] and high fidelity nonlinear FEM  
 540 micro-modelling [11] methods. The three benchmarks consider separately the contributes of concrete beams  
 541 and the constitutive models (linear or nonlinear) in case of pushover analyses. In detail, Configuration1 does  
 542 not consider floor beams; Configuration2 considers elastic floor beams at each level except to the roof level;  
 543 Configuration3 considers nonlinear concrete floor beams and elastic lintel beams. The results of the analyses  
 544 relative to the three different structural layouts have been discussed.

545 By considering the three configurations, the paper evaluates how the collapse mechanisms and,  
 546 consequently, all the parameters that define a capacity curve (tangent stiffness, peak-force, softening branch,  
 547 ultimate displacement) are influenced by the floor beams that affect the spandrel failure modes as well as the  
 548 global mechanism. Though the influence of the stiffness ratio between concrete beams and masonry walls has  
 549 not been investigated in this research, the paper arises the warning messages that the assumption of linear floor  
 550 beams leads to the hazardous overestimation of the peak force values and unrealistic failure mechanisms. It is  
 551 worth noting that in limit analysis theory materials are assumed rigid-perfectly plastic and, consequently, the  
 552 effect of the elastic behaviour of single structural elements cannot be taken into account in any manner. Despite  
 553 the simplicity and the limited computational burden needed to perform the analyses, important information in  
 554 the pre-peak phase is lost, with consequent possible local and global discrepancies on the results when  
 555 compared with those provided by more sophisticated approaches (see for instance collapse mechanisms  
 556 depicted in Figure 19). The results relative to Configuration 2 and 3 confirm that a reliable interaction between  
 557 masonry walls and beam elements has to be guaranteed to obtain a reliable collapse scenario.

558 The results of this paper have to be carefully considered if a reliable seismic assessment has to be  
 559 performed on masonry multi-storey buildings.  
 560

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562  
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 577 Berto, Diego Alejandro Talledo).

578  
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