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Speleothem record attests to stable environmental conditions during Neanderthal-modern human turnover in southern Italy

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1 Speleothem record attests stable environmental conditions during 2 Neanderthal-Modern Human turnover in Southern Italy 3 4 Columbu Andrea<sup>1\*</sup>, Chiarini Veronica<sup>1</sup>, Spötl Christoph<sup>2</sup>, Benazzi Stefano<sup>3,4</sup>, 5 Hellstrom John<sup>5</sup>, Cheng Hai<sup>6,7,8</sup>, De Waele Jo<sup>1</sup> 6 1) University of Bologna, Department of Biological Geological and Environmental 7 8 Sciences (Bologna, Italy) 9 2) University of Innsbruck, Institute of Geology (Innsbruck, Austria) 10 3) University of Bologna, Department of Cultural Heritage (Bologna, Italy) 11 4) Max Planck Institute for Evolutionary Anthropology, Department of Human 12 Evolution (Leipzig, Germany) 5) University of Melbourne, School of Earth Sciences (Melbourne Australia) 13 6) Xi'an Jiaotong University [527] Institute of Global Environmental Change (Xi'an, 14 15 China) 16 7) State Key Laboratory of Loess and Quaternary Geology, Institute of Earth 17 Environment, Chinese Academy of Sciences, Xi'an (Xi'an, China) 18 8) University of Minnesota, Department of Earth Sciences (Minnesota, USA) 19 \* corresponding author 20 21 The causes of Neanderthal-Modern Human (MH) turnover are ambiguous. 22 While potential biocultural interactions between the two groups are still 23 little known, it is clear that Neanderthals in southern Europe disappeared 24 about 42,000 years ago (ka), after ~3,000 years long cohabitation with MH. 25 Among a plethora of hypotheses on Neanderthal extinction, rapid climate 26 changes during the Middle to Upper Palaeolithic transition (MUPT) are 27 regarded as a primary factor. Here we show evidence for stable climate and 28 environmental conditions during the MUPT in a region (Apulia) where 29 Neanderthals and MH coexisted. We base our findings on a rare last glacial 30 stalagmite deposited between ~106 and ~27 ka, providing the first 31 continuous western Mediterranean speleothem palaeoclimate archive for 32 this period. The uninterrupted growth of the stalagmite attests the 33 constant availability of rainfall and vegetated soils, while its  $\delta^{13}$ C- $\delta^{18}$ O

34 palaeoclimate proxies demonstrate that Apulia was not affected by 35 dramatic climate oscillations during the MUPT. Our results imply that 36 climate did not play a key role in the disappearance of the Neanderthals in 37 this area, thus the Neanderthal-MH turnover must be approached from a 38 perspective that takes into account climate and environmental conditions 39 favourable for both species. 40 41 **Background** 42 There is no leading theory about the triggers of the most important cultural transition in human history<sup>1</sup>,<sup>2</sup>. Rapid climate shifts during the MUPT are 43 44 considered as one of the most important drivers of the Neanderthal-MH 45 interchange<sup>2-8</sup>, because of the impact on population/depopulation dynamics<sup>7,8</sup>, fragmentation of optimal habitats<sup>6</sup>, deterioration of environmental conditions<sup>3</sup>, 46 47 and/or the weakening of local communities after severe cold and dry stages<sup>4</sup>. 48 Accordingly, the Neanderthals have been inexorably afflicted by recurrent 49 millennial- to centennial-scale dry and cold conditions attributable to 50 Dansgaard-Oeschger (DO) cycles and especially Heinrich (H) events during Marine Isotope Stage (MIS) 3. H events induced aridity and cold temperatures in 51 52 Western and Central Europe<sup>10</sup>, and those occurring from  $\sim$ 63 to  $\sim$ 40.5 ka (H6 to 53 H4) had irreversible impacts on the Neanderthal population<sup>5</sup>. The one at  $\sim 40.5$ 54 ka (H4) caused the final Neanderthals' demise and/or their migration into other 55 areas where the extinction occurred later<sup>2</sup>. However, this is at odds with the fact 56 that H events lack consistent equivalents in the Mediterranean realm<sup>11</sup> and they 57 may have not necessarily resulted in very harsh climate conditions in the entire region<sup>12</sup>. Additionally, Neanderthal extinction might have occurred before H4<sup>13</sup>. 58 59 Indeed, there are chronological and spatial impediments in solving this 60 conundrum, because of age uncertainties of both the palaeoclimate and the 61 anthropological events, and the unknown response of local Neanderthal-MH 62 habitats to high-latitude driven climate change. Moreover, ancient human 63 communities occupied only small portions of land with ideal settlement 64 conditions, and the gradual climate deterioration of the last glacial period likely 65 reduced the extent of these optimal Neanderthal habitats<sup>6</sup>. The 2,600 to 5,400

years-long interval of Neanderthal-MH coexistence was likely unevenly

67	distributed in space <sup>13</sup> . Therefore, climate-related hypotheses should be based on
68	records from the same area where Neanderthals-MH actually cohabitated, but
69	these records are scarce <sup>14</sup> .
70	Neanderthal and MH remains are widespread from northern to southern Italy9.
71	This study targets Apulia (Fig. 1), where Neanderthals were present since at
72	least MIS 5e until $\sim$ 42 ka, while the earliest European MH appeared in this
73	region $\sim$ 45 ka $^{1,9}$ . Thus, this is a strategic region for understanding the biocultural
74	processes occurring during the Neanderthal-MH transition and, ultimately,
75	whether climate played a decisive role in the disappearance of the former and in
76	the territorial supremacy of the latter.
77	
78	Results
79	We explored several caves in Apulia searching for speleothems (Extended Data
80	Figure 1). Uranium-thorium (U-Th) radiometric dating on 14 stalagmites
81	(Extended Data Figure 5 and Supplementary Table 1) attests that cave calcite
82	deposition was abundant during the last and older glacial periods (Fig. 1). Here
83	we focus on stalagmite PC from Pozzo Cucù Cave (40.90° N, 17.16° E), for which
84	27 stratigraphically aligned U-Th dates (Supplementary Table 1) were used to
85	produce an age-depth model (Extended Data Figure 6). Accordingly, PC grew
86	uninterruptedly from 106.0 $^{+2.8}/\mbox{-}_{2.7}$ to 26.6 $^{+0.8}/\mbox{-}_{0.9}$ ka, and thus covers MIS 5 to
87	MIS 3 (Fig. 2). High-resolution $\delta^{18}$ O- $\delta^{13}$ C analyses (n = 2659) reveal a pattern
88	comparable to the North Greenland Ice Core $^{15}$ (NGRIP) from the entire MIS 5 and
89	4. During MIS 3, $\delta^{18}$ O shows a less evident – but still recognisable – similarity
90	with NGRIP, while $\delta^{13}\text{C}$ yields a plateau-like signal. Importantly, $\delta^{18}\text{O-}\delta^{13}\text{C}$ does
91	not show evidence of many of the most severe climate events affecting northern
92	latitudes (e.g., Heinrich events).
93	
94	Discussion
95	Because glacial stages in the Mediterranean area were generally dry and
96	characterised by sparse vegetation, continuous speleothem growth was rare. In
97	Italy, for example, there is no evidence of uninterrupted stalagmite deposition
98	during glacial periods (Fig. 1). To our knowledge, the longest record has been
99	constructed by using four speleothems (two stalagmites and two stalactites)

100	found in Frasassi Cave <sup>16</sup> . In the Iberian Peninsula, speleothem formation was
101	also intermittent <sup>11,17,18</sup> , while more continuous deposition is only known from
102	caves in Turkey and on the south-eastern side of the Mediterranean Sea <sup>19,20</sup> . The
103	continuous growth of speleothems in Manot Cave (Israel) has been recently
104	taken as evidence for the lack of water shortage in northern Israel during the last
105	glacial period <sup>21</sup> . Considering that continuous speleothem deposition is only
106	feasible if the karst reservoir is recharged by rainfall and soil bioactivity
107	procures high amounts of CO <sub>2</sub> to infiltrating water, Apulia's glacial climate was
108	possibly milder than in other areas in the western and central Mediterranean.
109	The $\delta^{13}\text{C}$ values of PC are representative of soil activity $^{10,11}$ (see methods). For
110	most of the time, values are more negative than -5.0 $\%$ (Fig. 2), attesting the
111	presence of C3 plants <sup>22</sup> that normally prevail in temperate regions.
112	It is well established that $\delta^{18}\text{O}$ in speleothems from the Mediterranean
113	principally reflects rainfall amount variations <sup>23</sup> . Secondarily, it might also record
114	changes in moisture sources <sup>24</sup> . Because of the striking resemblance between PC-
115	$\delta^{18}\text{O}$ and NGRIP $\delta^{18}\text{O}$ (Fig. 2), especially during MIS 5 and 4, we are confident
116	that the stalagmite recorded the effects of climate change in the high latitudes
117	and the North Atlantic. This intrahemispheric connection is translated into
118	rainfall oscillations during DO cycles, with higher (lower) rainfall amount during
119	interstadials (stadials) as expressed by more negative (positive) $\delta^{18}\text{O}$ values.
120	This correlation can also be seen at the intra-stadial/interstadial timescale <sup>25</sup>
121	(Extended Data Figure 2). Intriguingly, the shape of several MIS 5 DO-like events
122	in PC (e.g., DOs from $\sim$ 90 to $\sim$ 70 ka) appear more similar to the Asian monsoonal
123	oscillations <sup>26</sup> than to NGRIP (Extended Data Figure 3), a feature worth to be
124	examined in detail in future studies. Variability of PC growth rate and $[^{234/238}\text{U}]_i$
125	(Extended Data Figure 4) agrees with PC- $\delta^{18}$ O being principally driven by rainfall
126	amount (see methods). Changes in the dominant moisture source are possibly
127	reflected by the PC- $\delta^{18}\text{O}$ values too. During Greenland Interstadials (GIs) rainfall
128	in the Mediterranean region was predominantly Atlantic-sourced giving rise to
129	more negative $\delta^{18}\text{O}$ values, similar to today $^{23,27}$ . Conversely, Mediterranean-
130	sourced moisture showing more positive $\delta^{18}\text{O}$ values prevailed when large ice
131	sheets during Greenland Stadials (GSs) impeded the Westerlies from efficiently
132	delivering moisture to the Mediterranean region (see methods). This is because

133 the lower moisture production in the Atlantic, according to the relative decrease 134 of GSs temperatures, limits advection over the Mediterranean<sup>23</sup>. As the Atlantic 135 moisture input decreases, the ratio between Mediterranean/Atlantic moisture 136 increases in the area of study. The further expansion of northern ice-sheet since 137 MIS 3 probably caused a pronounced southward shift of the Westerlies<sup>24</sup>, that 138 might have boosted this mechanism. 139 The covariation of  $\delta^{18}O$  and  $\delta^{13}C$  in PC is consistent with rainfall amount being a 140 primary driver (Fig. 2). In Apulia, rainfall coupled with temperature variations as 141 recorded by other archives (Fig. 3) modulated soil organic activity reflected by the  $\delta^{13}$ C record of PC (Fig. 2). Between DO 24 and DO 15, bioproductivity 142 increased during GIs giving rise to more negative  $\delta^{13}C$  values. Because of reduced 143 144 rainfall and lower temperatures during GSs, bioproductivity decreased resulting 145 in more positive  $\delta^{13}$ C values. The generally low  $\delta^{13}$ C values in conjunction with 146 the lack of growth stops in PC strongly argues for a continuously vegetated 147 catchment of the cave's drip water with expanding forests during GIs and trees becoming sparse during GSs (Fig. 3), in agreement with nearby pollen 148 149 records<sup>28,29</sup>. The PC  $\delta^{18}$ O- $\delta^{13}$ C data suggest two periods of extremely dry condition, when both isotopes show peak values: from  $66.7^{+0.9}/_{-1.2}$  to  $65.6^{+1.1}/_{-1.3}$ 150 ka during MIS 4, and from 55.3  $^{+1.1}/_{-2.5}$  to 54.9  $^{+1.1}/_{-2.7}$  ka during MIS 3. Both 151 152 events deviate from the NGRIP variability but agree with speleothem<sup>11</sup>, 153 lacustrine<sup>29</sup> and marine records<sup>30</sup> from the Mediterranean region. They have 154 been attributed to markedly dry conditions during ice-rafting events and 155 increases of cold-water foraminifera in the North Atlantic during H events 6 and 156 5a<sup>11,31</sup>. Although the aridity of these events was not sufficient to stop carbonate 157 deposition at the PC site, as occurring in speleothems from continental Europe<sup>10</sup> 158 (Fig. 3g), these periods are here regarded as the driest and probably coldest of 159 the entire MIS 5 to 3 timespan at least in Southern Italy. The event at  $\sim$ 55 ka was 160 certainly the driest of the entire record as reflected by the highest  $\delta^{13}C$  values 161 and a marked reduction in growth rate (Fig. 1 and Extended Data 4). This event 162 was likely even drier than GS24 and GS23, from  $105.2^{+2.4}/_{-2.3}$  to  $102.4^{+2.1}/_{-2.0}$  ka and from 95.7  $^{+0.9}/_{-0.8}$  to 93.1  $^{+0.7}/_{-0.9}$  ka, which also led to  $\delta^{13}$ C values higher than 163 164 -5 ‰.

165	There is no correlation between PC- $\delta^{18}$ O and NGRIP for DO 14 and 13 (Fig. 2),
166	likely because of the low resolution due to the slow growth rate. From DO 12 to
167	the top of the record, PC shows its most interesting features: i) PC- $\delta^{18}\text{O}$ reveals
168	NGRIP-like millennial-scale oscillations, although the similarities with NGRIP are
169	strikingly less evident than prior to ${\sim}55~\text{ka}.$ The implication is that rapid climate
170	oscillation during MIS 3 recorded in Greenland had a lower impact on rainfall
171	variability in Apulia than those during MIS 5 and 4; and ii) these oscillations are
172	superimposed to a general PC- $\delta^{18}$ O trend toward more positive values (Fig. 2),
173	which is synchronous with the progressive reduction of the stalagmite's
174	diameter (Fig. 1). Considering that the latter mirrors long-lasting reduced
175	dripping and thus calcite deposition at the top of the speleothem <sup>32</sup> , these
176	observations point to a middle to upper MIS 3 in Apulia characterised by a
177	progressive rainfall reduction rather than by rapid and severe climate switches.
178	At this point the Mediterranean might have become the primary source of
179	moisture because of the expansion of the Northern ice-sheets. This is consistent
180	with a gradual increase in the $\delta^{18}\text{O}$ value of the moisture source for PC.
181	Furthermore, rainfall amount variability during MIS 3 GIs and GSs, caused by
182	Mediterranean cyclogenesis, is not comparable to that induced by a higher
183	efficiency of Westerlies delivering moisture during MIS 4 and 5. This is because
184	the availability of moisture is lower than when the Atlantic is the principal
185	moisture source. Most importantly, from ${\sim}55$ ka onward PC $\delta^{13}\text{C}$ values show a
186	"plateau-like" feature during MIS 3 (Fig. 2). This cannot be explained by in-karst
187	processes and/or kinetic mechanisms affecting isotopic fractionation (see
188	methods), but rather reflects stable soil dynamics and only minor vegetation
189	changes. Preliminary $\delta^{18}\text{O-}\delta^{13}\text{C}$ data from another Apulian stalagmite (SA1, Fig. 2
190	and Extended Data 5) agree with PC33. This reinforces the idea that drastic
191	rainfall (and temperature) variations were minimal and insufficient to cause
192	major changes in soil bioproductivity and/or interruptions in speleothem
193	deposition. Speleothems from Frasassi cave $^{16}$ , the only Italian record available
194	for comparison (Fig. 1), also report ~constant $\delta^{18}\text{O-}\delta^{13}\text{C}$ (Fig. 3) from ~55 ka to
195	at least $\sim \! 30$ ka, which was interpreted as mirroring relatively stable climatic
196	conditions in the northeastern Apennines. The slight depletion trend that is
197	visible in the PC- $\delta^{13}$ C plateau may appear inconsistent with the gradual decrease

198	in rainfall expressed by PC- $\!\delta^{18}\text{O}.$ We advance the possibility that the lack of
199	severe droughts, which would also cause the total/partial soil erosion, allowed
200	an enduring maturation of pedogenic layers although the general trend of
201	climate deterioration. This hypothesis will be thoroughly explored by future
202	studies. Vegetation shifts likely occurred, but they were possibly less
203	pronounced than in the nearby Monticchio Lake <sup>28</sup> area (Fig. 3) because of the
204	proximity with the coast and the lower altitude.
205	Accordingly, from $\sim$ 55 ka onward we set the beginning of environmental niche
206	conditions in Apulia <sup>1</sup> . Neanderthals settled in this region well before MIS 3, so
207	Apulia cannot be considered a <i>refugia</i> <sup>34</sup> for them. Contrarily to Apulia,
208	freshwater availability, as well as vegetation, was scarce in the northern parts of
209	the Italian Peninsula as highlighted by speleothem deposition (Fig. 1). This
210	attracted wildlife and new hunter-gatherer communities.
211	Favourable settlement conditions might have fostered the arrival of MH in Apulia
212	and their coexistence with Neanderthals (Fig. 2). The disappearance of
213	Neanderthals in Apulia ( $\sim$ 42 ka) occurred $\sim$ 13,000 years after the cold and dry
214	interval at $\sim$ 55 ka, while the following H5 ( $\sim$ 45 ka) apparently did not have a
215	strong impact on the local environment. This is confirmed by arboreal pollen
216	values above 40% in the nearby Monticchio Lake record <sup>28</sup> . In contrast, pollen in
217	Greece $^{29}$ , planktonic foraminifera in the Tyrrhenian Sea $^{30}$ and speleothems from
218	Iberia $^{11}$ and Turkey $^{19}$ record climate deterioration during H5 at around 48 ka
219	(Fig. 3), further suggesting that Apulia was a favourable environmental niche
220	during MIS 3 in comparison to other localities. It has been recently shown $^{35}$ that
221	the climate in Morocco responded inconsistently to northern high-latitude ice-
222	rafted debris events, with even pluvial phases occurring during these cold and
223	dry periods. This calls for a re-evaluation of the role of the northern high
224	latitudes in triggering major cooling/drying events across the Mediterranean
225	region. Even supposing a late Neanderthal presence in Southern Italy, e.g. later
226	than $\sim$ 42 ka, the fact that the impact of H4 ( $\sim$ 40.5 ka) on PC's proxy data is
227	negligible further excludes climate as the major trigger for the Neanderthal-MH
228	turnover during MUPT.

## Final remarks

PC represents strong evidence of environmental stability in Apulia during the Neanderthal-MH turnover, hence high latitude rapid climate changes were not the primary cause of Neanderthals' disappearance in this region. Opposite opinions face the paradox that shifts toward a dry and cold climate did not result in a cessation of speleothem deposition, but caused the extinction of a species well adapted to the surrounding environment and that survived previous climate periods more severe than MIS 3. Consequently, this applies to all European midlatitude regions where DO climate variations during MIS 3 were attenuated by latitudinal, orographic and/or geographical factors. In all Apulia-like niches, the issue of the Neanderthal-MH turnover must be approached from a perspective that takes into account climate and environmental conditions favourable for both species. This interestingly differs from the Levantine area where there was no water shortage during MUPT, but speleothem  $\delta^{13}$ C suggest an alternation between woody and more open vegetation. The adaptation of different modern cultures that possibly interacted with Neanderthals has been there defined as landscape-dependent<sup>21</sup>. In Apulia-like niches instead, the advanced hunting technology of MH groups over Neanderthals since their migration to Europe<sup>36-39</sup> appears now a solid reason to explain the territorial supremacy of the former that induced the extinction of the latter after  $\sim$ 3000 years of coexistence.

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#### **Methods**

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### Cave sampling and speleothem subsampling

The caves explored for this work are: Pozzo Cucù (40.90° N, 17.16° E), Trullo 253 (40.85° N, 17.11° E), Sant'Angelo (40.73° N, 17.57° E) and Zaccaria (40.74° N, 254 255 17.55° E) (Extended Data Figure 1). For the conservation of the cave 256 environment, all speleothems used in this study were found displaced from their 257 original position, sometimes in multiple pieces. No hammer or any cutting tools 258 were employed during sampling. PC stalagmite was found right next to its 259 growing location. All stalagmites were cut along the central axis and polished to 260 allow a better visualization of the internal layering and macrofabrics. For U-Th dating, ~100 mg calcite powders and/or chips were obtained by milling along a 261 262 discrete number of growth layers. Drill bits of 1 mm and 0.8 mm were used for 263 preliminary and detailed dating, respectively. For stable isotope subsampling, 264 one half of PC was quartered, in order to precisely conduct milling operation along the central axis. The milling increment was 0.1 mm between the top and 51 265 mm from the top, and 0.2 mm from 51 mm to the bottom of the stalagmite. A 266 267 total of 2659 subsamples was obtained. See Fig. 1 for subsampling location. The 268 milling resulted in an average resolution of  $\sim 30 \text{ yr}$  (range  $\sim 20 \text{ to } 175 \text{ yr}$ ).

## U-Th dating and $\delta^{13}$ C- $\delta^{18}$ O analyses

270 The majority of U-Th dating was accomplished at the University of Melbourne 271 272 (Australia), School of Earth Sciences, while a minor part was carried out at the Xi'an Jiaotong University (China) [5] Institute of Global Environmental Change 273 274 (Supplementary Table 1). In Melbourne, ~100 mg of calcite were first dissolved 275 using HNO<sub>3</sub> then spiked with a solution of a known <sup>236</sup>U/<sup>233</sup>U/<sup>229</sup>Th ratio. 276 Eichrom TRU-Spec resin columns were first decontaminated by using a 277 sequential wash of 1.5M HNO<sub>3</sub>, 4M HCl and 0.2M HF-0.1M HCl, then the U+Th 278 compound was separated from the carbonate matrix by using another wash of 279 1.5M HNO<sub>3</sub>, 4M HCl and 0.2M HF-0.1M HCl. The U+Th solution evaporated on a 280 hot plate at 80°C and later in 5% HNO<sub>3</sub>-0.5% HF, to be ready for the analyses in a 281 Nu Plasma multi-collector-inductively coupled plasma-mass spectrometer (MC-282 ICP-MS), with settings defined in previous works<sup>40</sup>. Final U-Th ages were 283 calculated using equation (1) of Hellstrom (2006)<sup>41</sup> using the <sup>230</sup>Th-<sup>234</sup>U decay 284 constants of Cheng et al.  $(2013)^{42}$  and an initial  $(^{230}\text{Th}/^{232}\text{Th})_i$  of 1.5±1.5. 285 In Xi'an, the general chemical preparation procedure is similar to Melbourne, 286 although U and Th compounds, after calcite HNO<sub>3</sub> dissolution, are first 287 precipitated using a Fe solution, then extracted separately by using 288 decontaminated resin columns and sequential washes of 6N HCl and ultraclean 289 water. The U and Th solution is mixed with 2% HNO<sub>3</sub> + 0.1% HF before analysis 290 on a Thermo Fisher Neptune Plus MC-ICP-MS<sup>42</sup>. Ages were calculated as above. 291 All ages are reported relative to 1950 AD (before present, BP; Supplementary 292 Table 1). Despite slight differences in sample chemical treatment and age 293 calculation, the dates produced in the two labs are consistent (Supplementary 294 Table 1). Only top and bottom were dated for the majority of speleothems 295 (Extended Data Figure 5), while 27 ages constitute the PC chronological dataset. 296 The PC ages and their 2σ uncertainties were used in StalAge<sup>43</sup> and COPRA<sup>44</sup> to 297 produce the age model. Both algorithms produced a comparable age-depth curve 298 (Extended Data Figure 6). In order to minimise the intrinsic artefacts produced

by the two algorithms, such as unrealistic maxima in growth rate and unjustified

- 300 large uncertainty propagation, the final age model was obtained by a linear
- 301 regression of the average age values between StalAge and COPRA models at the
- 302 same depths (Extended Data Figure 6).
- For stable isotopes, powders were prepared using an online, continuous-flow
- 304 preparation system (GasBench II), then analysed using a ThermoFisher Delta V
- 305 Plus mass spectrometer at the University of Innsbruck (Austria), Institute of
- 306 Geology. NBS18, NBS19, CO1, and CO8 standards were used as references. The
- results are expressed in per mil (‰) units relative to the Vienna Pee Dee
- 308 Belemnite (VPDB) international standard. The 1σ analytical reproducibility was
- 309 0.06\% and 0.08\% for  $\delta^{13}$ C and  $\delta^{18}$ O, respectively.

## **Conditions during PC deposition**

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- 312 Stable isotope values of speleothems deposited under non-equilibrium
- 313 conditions may mask the palaeoclimate signal. The Hendy test can be used to
- evaluate geochemical conditions during calcite deposition<sup>45</sup>, and equilibrium is
- indicated if: 1)  $\delta^{13}$ C and  $\delta^{18}$ O values are not strongly correlated along the growth
- 316 axis; 2)  $\delta^{13}$ C and  $\delta^{18}$ O values are not strongly positively correlated from the
- 317 centre to the flank along individual growth layers; 3)  $\delta^{13}$ C and  $\delta^{18}$ O do not
- increase from the centre to the side of growth layer, with a maximum increase
- 319 threshold of 0.8% for  $\delta^{18}$ O.  $\delta^{13}$ C and  $\delta^{18}$ O values along the central axis of PC are
- not strongly correlated (r = 0.6 although correlation itself might be a result of
- 321 climate forcing<sup>46</sup>), and individual layers do not suggest non-equilibrium
- 322 fractionation (Extended Data Figure 7). A slight influence of kinetic fractionation
- is only likely for the H1 layer (Extended Data Figure 7), located close to the top of
- 324 the speleothem and starting at 10 mm from the centre of the stalagmite. In this
- 325 top part, the stalagmite diameter is small, and the more positive  $\delta^{18}$ O values
- resulted from the steep flank.
- 327 In addition to the Hendy test, the constant  $\sim$ 10 cm diameter of PC also argues in
- 328 favour of equilibrium-dominated isotope fractionation<sup>47</sup>.
- 329 Finally, we consider Pozzo Cucù cave a ventilation-poor environment during PC
- deposition, considering the present narrow artificial entrance. Indeed, Pozzo
- Cucù possibly belongs to a karst system that had no large natural connection
- with the surface, minimizing the air exchange between the cave and the surface.
- This is important because ventilation is the main driver of fast degassing and
- evaporation in caves (considering that humidity in non-ventilated caves is
- commonly close to condensation), with evaporation being one of the principal
- causes of kinetic fractionation. However, it is suspected that speleothems are
- never deposited at full equilibrium conditions<sup>46</sup>, and we cannot exclude a small
- influence of kinetic fractionation in the PC stable isotope signature. For this
- reason, and based on our previous studies<sup>27,48,49</sup>, PC is considered as deposited
- under quasi-equilibrium conditions, i.e.  $\delta^{13}$ C and  $\delta^{18}$ O data primarily reflect
- palaeoclimate/palaeoenvironmental conditions above the cave.
- Regarding post-depositional processes that might have compromised the
- original geochemical composition of the stalagmite, PC does not show any visual
- evidence of dissolution and recrystallization. Accordingly, all U-Th dates are in
- 345 stratigraphic order (Supplementary Table 1).

# 346 347 **Significance of \delta^{13}C and \delta^{18}O values**

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Speleothem \delta^{13}\text{C} and \delta^{18}\text{O} (\delta^{13}\text{C}_{\text{spel}} and \delta^{18}\text{O}_{\text{spel}}) values reflect processes inside
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        and outside of the karst system. Because endogenous (i.e. geological) processes
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        might conceal and/or modify the geochemical output of exogenous (i.e. climatic)
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        processes, the first challenge in speleothem science is to understand whether or
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        not a potential climate signal has been registered in the stalagmite stable isotope
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        signature. With calcite deposited under quasi-equilibrium conditions and with
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        no evidence of diagenesis, endogenous factors can be ruled out as primary
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        drivers of stable isotopic composition. Furthermore, considering that most of
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        PC's \delta^{13}C and \delta^{18}O (\delta^{13}C<sub>PC</sub> and \delta^{18}O<sub>PC</sub>) shifts occurred simultaneously with
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        interhemispheric climate events (Fig. 2 and 3), it is clear that climate had a major
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        role in modulating stable isotopes. The interpretation of \delta^{13}CPC and \delta^{18}OPC
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        timeseries hence requires to identify the key exogenous factor(s) and to
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        understand if endogenous factors had a secondary role in modulating \delta^{13}C_{PC} and
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        \delta^{18}O_{PC} values.
        At Western Europe latitudes, temperature and rainfall amount compete in
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        regulating rainfall water \delta^{18}O (\delta^{18}O_{rw}). Temperature and \delta^{18}O_{rw}, in the
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        Mediterranean region, show a weak positive gradient of \sim 0.22\% (°C, while
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        rainfall amount and \delta^{18}O_{rw} show a strong negative gradient of \sim-1.6%/100 mm
        rain<sup>50</sup>. The equilibrium \delta^{18}O fractionation during calcite deposition ranges
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        between -0.24\%/°C (51) and -0.18\%/°C (52) and counterbalances the
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        temperature-dependent isotope fractionation of atmospheric precipitation
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        outside the cave. Accordingly, rainfall amount is the principal driver of \delta^{18}O_{spel} in
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        the study area as supported by previous studies in the western
        Mediterranean<sup>18,23,27,48,49,53</sup>. The same effect prevails in Central Italy<sup>54</sup>, the
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        nearest speleothem record from Italy, as well as in Macedonia (F.Y.R.O.M)<sup>55</sup>, the
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        nearest speleothem record on the Balkan side of the Adriatic Sea. However,
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        rainfall amount oscillations should also affect the rate of bedrock dissolution that
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        in turn could have an important impact on growth rate and the abundance of
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        uranium in speleothems. During wet (and warm) climate stages, bedrock is
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        subjected to a more intense dissolution because of the higher quantity of water
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        and a higher input of CO<sub>2</sub> from soil. Because of the higher amount of dissolved
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        carbonate in the drip water and a possibly faster dripping in the cave,
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        speleothem growth rate increases. The opposite (i.e. a growth rate decrease) is
381
        expected for dry stages, although this general condition might not be valid for
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        complex karst networks and/or might vary with time<sup>56</sup>. At the same time, rapid
383
        dissolution of bedrock limits uranium alpha-recoil, i.e. <sup>234</sup>U and <sup>238</sup>U are equally
384
        leached from the bedrock<sup>23</sup>. Longer water residence times, typical of drier
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        conditions, promote uranium alpha-recoil and higher [234/238U]<sub>i</sub> ratios because of
        a more efficient leaching of <sup>234</sup>U. If rainfall amount is the main regulator of
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        \delta^{18}O_{PC}, more negative values are expected during relatively wet periods, when
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        growth rate increases and [234/238U]<sub>i</sub> ratio decreases; on the contrary, less
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        negative values are expected during relatively dry periods when growth rate
        decreases and the [234/238U]<sub>i</sub> ratio increases. PC shows, within uncertainties, this
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        pattern, confirming that rainfall amount was one of the principal driver of \delta^{18}Opc
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        (Extended Data Figure 4). The agreement between the \delta^{18}O_{PC} pattern and the
393
        Greenland isotope record for most of the DO cycles, with more negative values
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        during interstadials and less negative values during stadials, is an indirect
        confirmation of the rainfall amount effect as interstadials (stadials) were
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        relatively wet (dry) in the Mediterranean realm<sup>18,27,48,49,54</sup>.
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         Vegetation bioproductivity controls \delta^{13}C of soil CO<sub>2</sub> (\delta^{13}C<sub>soil</sub>) and thus \delta^{13}C in the
         infiltrating water (\delta^{13}C_{iw}). Excluding endogenous factors, \delta^{13}C_{spel} ranges between
398
         -14.0% and -5.0% for C3 plants<sup>22</sup>. More negative \delta^{13}C_{iw\text{-spel}} values are expected
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         during periods of high bioproductivity, characteristic of humid and warm climate
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         stages, while less negative \delta^{13}C_{iw\text{-spel}} values are expected during periods of low
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         bioproductivity, typical of dry and cold climate stages. \delta^{13}C_{PC} shows the most
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         significant oscillation during MIS 5 and 4, with lower values corresponding to
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         interstadials and higher values corresponding to stadials, in agreement with
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         \delta^{18}O<sub>PC</sub> oscillations. The only exogenous process that could cause a substantial
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         increase in \delta^{13}C_{iw\text{-spel}} is the switch to C4 vegetation<sup>22</sup>. At the same time,
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         endogenous phenomena such as sulphide-driven bedrock dissolution<sup>57</sup>, closed-
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         system bedrock dissolution<sup>45</sup> and prior calcite precipitation (PCP)<sup>58</sup> also push
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         \delta^{13}C_{iw\text{-spel}} toward less negative values. Importantly, all these processes can be
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         attributed to a relative dry climate, considering that C4 plants thrive in steppe-
         like environments and the endogenous processes are enhanced during times of
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         reduced recharge. However, only PCP has an effect on both \delta^{13}C <sub>iw-spel</sub> and \delta^{18}O <sub>iw-spel</sub>
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414
         Concomitant variations of \delta^{13}C_{PC} and \delta^{18}O_{PC} during MIS 5 to 4 are thus attributed
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- 415 to rainfall and bioproductivity changes triggered by the interstadial-stadial 416 cyclicity. However, during the concomitant excursion toward the highest values 417 at  $105.2^{+2.4}/-2.3$  to  $102.4^{+2.1}/-2.0$  ka,  $95.7^{+0.9}/-0.8$  to  $93.1^{+0.7}/-0.9$  ka,  $66.7^{+0.9}/-1.2$  to 418  $65.6^{+1.1}/_{-1.3}$  ka, and  $55.3^{+1.1}/_{-2.5}$  to  $54.9^{+1.1}/_{-2.7}$  ka and especially when  $\delta^{13}C_{PC}$  is above  $\sim$ -5% it is possible that the above-mentioned processes might have 419 played a role in increasing  $\delta^{13}C_{PC}$  and  $\delta^{18}O_{PC}$ . We consider PCP as the main 420 421 endogenous process increasing  $\delta^{13}C_{iw}$  and  $\delta^{18}O_{iw}$ , because rapid shifts in  $\delta^{13}C_{PC}$ 422 and  $\delta^{18}$ O<sub>PC</sub> toward high values occur simultaneously. Sulphide-driven bedrock 423 dissolution can be excluded because of the lack of sulphide minerals in the Pozzo
- 424 Cucù bedrock. 425 During MIS 3,  $\delta^{13}C_{PC}$  and  $\delta^{18}O_{PC}$  do not covary.  $\delta^{13}C_{PC}$  shows a pattern 426 characterised by negligible oscillations with values around  $\sim$ -8%;  $\delta^{18}$ O<sub>PC</sub> shows 427 millennial-scale D0-like oscillations of ~-1‰, lower than during MIS 5 and 4, 428 and a general trend toward less negative values. The lack of a covariation 429 between  $\delta^{13}C_{PC}$  and  $\delta^{18}O_{PC}$  argues against PCP; mixing of groundwater or in-karst 430 kinetic processes (for example: evaporation) are excluded for the same reason. 431 The relatively negative values of  $\sim$ -8% are inconsistent with closed system and 432 sulphide-driven bedrock dissolution. Even speleothems deposited from water in 433 contact with CO<sub>2</sub> derived from old organic matter trapped in bedrock fissures 434 would result in  $\delta^{13}$ C values higher than ~-8‰. Thus,  $\delta^{13}$ CPC reflects soil 435 bioproductivity, which remained rather stable throughout MIS 3. This means that variations in rainfall (and temperature) during MIS 3 in Apulia were too 436 437 small to cause significant perturbations in  $\delta^{13}C_{\text{soil}}$ . This limited rainfall variation, 438 together with a decreased resolution in this part of the record could explain the 439 small  $\sim$ -1‰ excursions of  $\delta^{18}$ O<sub>PC</sub>. Finally,  $\delta^{18}$ O<sub>PC</sub> possibly responded to variations of moisture source during the 440
- small  $\sim$ -1‰ excursions of  $\delta^{18}O_{PC}$ .

  Finally,  $\delta^{18}O_{PC}$  possibly responded to variations of moisture source during the entire MIS 5 to 3 period. Today, the study area receives most rainfall from the Atlantic, with a smaller contribution from the Mediterranean Sea<sup>59</sup>. Atlantic-sourced  $\delta^{18}O_{rw}$  is more negative than Mediterranean-sourced  $\delta^{18}O_{rw}$ , and the influence of the former is related to: 1) abundance of moisture produced in the Atlantic and 2) the efficiency of the Westerlies delivering this moisture in the

446 Mediterranean area. When polar ice sheets expanded, the influence of Atlantic-447 sourced moisture decreased in favour of Mediterranean-sourced moisture, 448 because the production of moisture in the Atlantic is lower and westerlies 449 trajectories changes. With all the other effects negligible, the source effect<sup>60</sup> 450 would generally follow DO cyclicity leading to more negative  $\delta^{18}$ O values during 451 interstadials and less negative values during stadials. However, at some point in 452 the MIS 3, the Westerlies were pushed southward in response to the expansion 453 of the Northern ice sheet. Rainfall in the Mediterranean was then controlled by 454 the genesis of low pressure areas (cyclones) within in the Mediterranean realm. 455 Although periods of increasing versus decreasing rainfall might still follow regional-scale DO cyclicity, rainfall amount changes are inferior than during MIS 456 457 5 and 4, because the availability of moisture is lower than when the Atlantic is 458 the principal moisture source. A possible interpretation of the  $\delta^{18}$ O<sub>PC</sub> signature 459 during MIS 3 invokes a major influence of Mediterranean-derived rainfall causing a gradual trend of rainfall reduction, with a superimposed low-intensity 460 rainfall amount increase versus decrease pattern (following DO cyclicity). It is 461 462 important to stress that both the gradual rainfall reduction as well as rainfall decrease during MIS 3 GIs in Apulia were insufficient to cause significant 463 464 perturbations in  $\delta^{13}C_{\text{soil}}$ , as for example during MIS 5 and 4.

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#### **Competing interests**

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The authors declare no competing interests

495 496 497	<b>Data availability</b> Supplementary Table 1 and 2
498 499 500 501 502	<b>Authors contribution</b> AC and VC conceived and designed the experiments, AC, VC, CS, JH, HC performed the experiments, AC and SB analyzed the data, AC, VC, CS, SB, JDW contributed with materials/analysis tools, AC wrote the paper with inputs from all coauthors.

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Figure 1. A) PC stalagmite, sampling information and age model (see methods for age model construction and Hendy test). B) Ages of published Italian stalagmites used for palaeoclimate reconstruction and those presented in this study, compared to interglacial versus glacial variation over the last ~500 ka (curves: Greenland ice core  $\delta^{18}$ O (purple)<sup>15</sup> and Atlantic benthic foraminifera  $\delta^{18}$ O (black)<sup>61</sup>. The background shows a map of Italy with the location of the studied cave (red star) and other published speleothem records. Ages are marked by dots; solid lines indicate continuous growth while dotted lines stand for discontinuous growth and/or poor chronological constraint. Only speleothems from Apulia (this study, red labels) continuously grew over the entire last glacial period (gray shade). Speleothems: PE (Piani Eterni karst system)<sup>48</sup>, ER (Ernesto Cave)<sup>62</sup>, CB (Cesare Battisti Cave)<sup>63</sup>, Sa (Savi Cave)<sup>64</sup>, Ba (Basura Cave)65, RM (Rio Martino Cave)66, TCU (Tana che Urla Cave)67, GDV (Grotta del Vento)<sup>68</sup>, Ren (Renella Cave)<sup>69</sup>, CC (Corchia Cave)<sup>53</sup>, Gypsum (Northern Italy Gypsum caves)<sup>69</sup>, Fr (Frasassi Cave)<sup>16,54</sup>, SA (Sant'Angelo Cave, this study), Za (Zaccaria Cave, this study), Tr (Trullo Cave, this study), PC (Pozzo Cucù Cave, this study), BMS (Bue Marino Cave)<sup>49</sup>, CA (Crovassa Azzurra Cave)<sup>27</sup>, Car (Carburangeli Cave)<sup>70</sup>.

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**Figure 2.** PC  $\delta^{13}$ C (top, red) and  $\delta^{18}$ O (bottom, blue) versus Greenland ice core  $\delta^{18}$ O (middle, black<sup>15</sup>). Black numbers and bars refer to DO cycles<sup>25</sup>. The PC proxy record is correlated to NGRIP along stadial events (grey shading). Intermittent shading is used when correlation is ambiguous. Boxes on the bottom show MIS and H events, as well as the Neanderthal-MH transition in Apulia and MUPT in Europe. PC  $\delta^{13}$ C and  $\delta^{18}$ O curves also show age  $2\sigma$ -uncertainties (shaded horizontal bars).

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**Figure 3.** PC  $\delta^{18}$ O record (grey) compared to marine (a. GDEC-4-2<sup>30</sup>) and lacustrine (b. Monticchio Lake<sup>28</sup>; c. Tenaghi Philippon Lake<sup>29</sup>; d. Ohrid Lake<sup>71</sup>) archives, as well as circum-Mediterranean speleothems (e. Cueva Victoria  $\delta^{18}$ O, yellow line<sup>17</sup> (refer to black axis/numbers); Ejulve Cave  $\delta^{13}$ C, purple line<sup>11</sup>; Buraca Gloriosa, pink line<sup>18</sup>; f. Sofular Cave  $\delta^{18}$ O, green line<sup>19</sup> (refer to black axis/numbers); Corchia Cave  $\delta^{18}$ O, orange dotted line<sup>53</sup>; Frasassi Cave (composite), orange line <sup>16</sup> g. Villars Cave  $\delta^{18}$ O, green line<sup>10</sup>; NALPS19 record  $\delta^{18}$ O, blue line<sup>72</sup>; h. Soreq cave  $\delta^{18}$ O, brown line<sup>19</sup>).