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Endodontic Microbial Communities in Apical Periodontitis

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1	Analysis of oral microbiota in non-vital teeth and clinically intact external surface
2	from patients with severe periodontitis using Nanopore sequencing: a case study
3	
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16 Abstract (189 words)

Periodontal diseases include a wide range of pathological conditions, damaging the 17 supporting structures of the teeth. Origin and propagation of periodontal disease is believed 18 to be caused by dysbiosis of the commensal oral microbiota. The aim of this study was to 19 evaluate the presence of bacteria in the pulp cavity of teeth with severe periodontal disease 20 with clinically intact external surface. Periodontal (P) and endodontic (E) tissue samples of 21 root canals from six intact teeth of 3 patients were sampled for analysis of microbial 22 population using Nanopore technology. Streptococcus was the predominant genus in E 23 samples. Porphyromonas (33.4%, p=0.047), Tannerella (41.7%, p=0.042) and Treponema 24 25 (50.0%, p=0.0064) were significantly more present in P than in E samples. Some samples (E6 and E1) exhibited a remarkable difference in terms of microbial composition, whilst 26 Streptococcus was a common signature in samples E2 to E5, all which were obtained from 27 28 the same patient. In conclusion, bacteria were identified on both the root surface and the root canal system, thus demonstrating the possibility of bacteria to spread directly from the 29 periodontal pocket to the root canal system even in the absence of crown's loss of integrity. 30 31

32

Keywords: microbiota, root canal, intact teeth, periodontitis, Nanopore

#### 33 Introduction (3,130 words).

Although periodontal and endodontic tissues are prone to different pathologies, there are 34 strict anatomical correlations through lateral and accessory canals and the apical foramen 35 and dentinal tubules [1], favoring bacterial migration among contiguous tissues [1-3]. 36 Bacterial biofilms associated to endodontic or periodontal infections are similar, confirming 37 these pathways of migration [4] Endo-periodontal lesions (EPL) are bacterial infectious 38 diseases that affect both periodontal and endodontic tissues of the same tooth, with 39 periodontal tissue damage and pulp inflammation/necrosis, defined by a pathological 40 communication between the pulpal and periodontal tissues [5]. 41

The common pathologic communication between these tissues can occur by a carious or
traumatic lesion that affects the pulp and, secondarily periodontium between apical foramen,
accessory canals and dentinal tubules [6].

According to the classification of American Academy of Periodontology criteria, EPL occur 45 in either an acute or a chronic form, and are classified according to signs and symptoms that 46 have direct impact on their prognosis and treatment. The primary signs are deep periodontal 47 pockets extending to the root apex and/or negative/altered response to pulp vitality tests. 48 Other signs/symptoms may include radiographic evidence of bone loss in the apical or 49 50 furcation region, pain, suppuration, tooth mobility, sinus tract, and crown and/or gingival colour alterations. EPL can be associated to a root damage, generally determined by 51 traumatic and/or iatrogenic factors that may include root perforation, fracture/cracking, or 52 external root resorption. These conditions drastically impair the prognosis of the involved 53 tooth. EPL without root damage are distinguished in two categories based on the presence of 54 periodontitis. EPL are graded into 3 levels depending on morphology and width of the 55 periodontal pocket. Grade 1 presents narrow deep periodontal pocket in 1 tooth surface, 56 Grade 2 a wide deep periodontal pocket in the tooth surface and Grade 3 a deep periodontal 57 pockets in > 1 tooth surface. EPL Grade 3 with root damage have the worst prognosis [5]. 58

59	When it comes to pulpal pathology of periodontal origins, the matter is more controversial,
60	especially in teeth that present only a periodontal pathology without root damages and/or
61	coronal leakages [7,8].
62	Massive periodontal destruction can secondarily affect the root canal system with
63	dissemination of the inflammation, which can result in pulp necrosis [9]. It is still unclear if
64	bacteria can reach the root canal system, or if inflammation is only due to their metabolic
65	products. Overall, how bacteria can reach the root canal system from periodontal tissue is
66	largely unclear. The aim of this study was to evaluate if in non-vital teeth with severe
67	periodontal disease not reaching the apex root segment and with clinically intact external
68	surface there is presence of bacteria in the endodontic space.
69	
70	Materials and methods
71	Case study
72	Study participants were 3 patients (two female and one male patient; mean age 51 years)
73	with chief compliant of severe lower anterior teeth mobility and severe periodontal
74	compromission hindering any periodontal or conservative treatment. As control case, a
75	patient (#1) referred with chief compliant due to lower third molar pericoronitis and
76	indication for surgical extraction was recruited.
77	The exclusion criteria for this study were antibiotic therapy administered up to 3 months
78	before tooth extraction, systemic diseases and pregnancy. Another exclusion criterium was
79	evaluating that periodontal pockets could not reach the apical root segment. Moreover,
80	inclusion criteria were teeth affected by EPL without clinically and radiographically
81	identified caries lesions, cracks/fractures and/or restorations.
82	The patients involved in the research signed a formal written informed consent form.
83	EPL diagnosis was performed with periodontal probing that evaluate an average clinical
84	attachment loss $\geq$ 5 mm on all root's surfaces and radiographic analyses on periapical
	4

bidimensional radiographies using paralleling technique confirming bone loss extending to 85 mid-third of root and beyond; moreover, periapical radiolucency was not observed. None of 86 these teeth presented periodontal pockets reaching the apex. Teeth presented grade 2 87 mobility with percussion and palpation sensitivity. In addition, thermal and electric pulp 88 sensibility tests were performed returning non-responsivity and thus confirming pulpar 89 necrosis. Thermal pulp test was performed with a #2 cotton pellet sprayed and fully 90 saturated with 1,1,1,2-tetrafluoroethane and placed at the middle third of the buccal tooth's 91 surface of the clinical crown for at least 20 seconds. Cold Pulp testing was selected as pulp 92 sensibility test which is able to evaluate vital (specificity = 0.84) and nonvital (sensitivity =93 94 0.87) teeth [10–12]. No other EPL signs/symptoms (sinus tract, spontaneous pain, periapical 95 radiolucency, suppuration) were observed.

Moreover, on intraoral inspection using loupes for magnification 4.0x and radiographic 96 97 evaluation, the teeth did not present clinically identified caries lesions, cracks, fractures nor previous conservative restorations. The final diagnosis for all teeth was of EPL without root 98 damage of Grade 3, according to the classification from the American Academy of 99 Periodontology criteria. The sampled teeth clinically appeared without defects, decay or 100 101 restorations and were affected by severe periodontitis (Stage IV) according to the 102 classification of American Academy of Periodontology criteria [13]. Control sample consisted of an intact lower third molar without carious and periodontal pathologies, 103 surgically extracted because affected by pericoronitis. All teeth affected by EPL were 104 single-rooted (N=5 lower incisors and N=1 lower canine). 105 A total of 12 clinical samples of the study group were collected from periodontal (P) (n=6) 106 107 and endodontic (E) (n=6) tissue samples of root canals from six intact teeth of 3 patients (P1 and E1 from patient #1, P2 to P5 and E2 to E5 from patient #2 and P6 and E6 from #3). 108

109 All teeth were single-rooted (lower incisors and lower canine).

The study was performed in agreement with the ethical guidelines of the Declaration of 110 Helsinki laid down in the 1964 and its later amendments or comparable ethical standards. 111 The Ethics Committee of Azienda Unità Sanitaria Locale of Bologna approved this study 112 with autorizathion nr. 844-2021-OSS-AUSLBO-21160-ID 3118-Parere CE-AVEC-ENDO-113 MICROBIOTA 09/2021. 114 115 *Root canal sampling* 116 Non-surgical periodontal treatment was applied using ultrasonic tips to remove 117 supragingival dental biofilms and pre-operative mouthwash with chlorhexidine 0.20% for 60 118 119 s to reduce bacterial load. 120 Subsequently, the teeth were anesthetized using articaine with adrenaline 1:100.000 (Septodont, Saint-Maur-des-Fossés, France). Sindesmotomy and luxation were performed 121 with a rounded periosteal elevator; extraction was gently performed with dental forceps and 122 tooth was positioned in a sterile tube (Eppendorf AG, Hamburg, Germany). An accurate 123 alveolar toilette was performed with mechanical debridement of granulation tissue and 124 subsequent intra-alveolar irrigation with sterile saline solution rinse. A resorbable collagen 125 sponge (Septodont, Saint-Maur-des-Fossés, France) was positioned in dental socket and a 126 criss-cross non-resorbable suture was performed to favor haemostasis. Only for the 127 extraction of the third molar, a mucoperiosteal flap was executed without ostectomy. 128 After extraction, all teeth were visually examined using loupes for magnification 4.0x to 129 exclude caries lesions, cracks, fractures nor previous conservative restorations. 130 Sampling procedures were carried out immediately after extraction using sterile gloves and 131 sterile materials/instruments. In detail, P samples were collected using a sterile swab to 132 scrub on root surface, chiefly where subgingival calculus was visible; subsequently, swabs 133 were inserted in sterile tubes (Aptaca Spa, Canelli AT, Italy) provided with Stuart transport 134 medium and stored at -80 °C until use. 135

Subsequently the crown was disinfected with 2.5% sodium hypochlorite solution (NaOCI) 136 (Niclor 2.5, Ogna, Maggiò, Italy) for 30 s [8,14]. The NaOCl solution was inactivated with 137 5% sodium thiosulfate in order to avoid interference for diffusion of NaOCl in root canal 138 system during cavity access preparation and bacteriological sampling. 139

To control the sterility of the operating field, two sterile cotton pellets were brushed on the 140 disinfected tooth crown and transferred to a tube containing transport fluid. If bacterial 141 growth was detected within 72 hours at 37 °C in laboratory incubator, the sample of the root 142 canal was excluded from the study. 143

144

161

Preparation of the access cavity was performed using a sterile high-speed diamond bur 145 146 (Maillefer, Ballaigues, Switzerland) under sterile saline solution flow. Before the pulp chamber was exposed, cleaning of the tooth was repeated as previously described. All the 147 remaining pulpal tissues observed were evaluated clinically as non-bleeding, fibrotic and 148 without chromatic aspects (red or pink coloring) traceable to vital pulp. Moreover, pulp 149 space appeared more or less empty, to confirm clinical diagnosis of pulpal necrosis. 150 After gentle irrigation with sterile saline solution, a sterile #10 K-type stainless hand file 151 (Maillefer, Ballaigues, Switzerland) was introduced into the canal at the tooth apex level. In 152 the control case (lower molar) E samples were collected from the largest root canal (distal 153 root). Working length was previously calibrated on clinical tooth's length to stop K-file and 154 paper points at the level of the tooth apex level. These procedures were carried out by means 155 of a visual inspection using magnification loupes to prevent the crossing of the apex by K-156 file and paper points. 157 Following gently scraping with instrumentation alongside the root canal walls with a sterile 158

#10 K-type stainless hand file (Maillefer, Ballaigues, Switzerland) to disperse bacteria in the 159 medium, sterile paper points #15 (Dentsply-Maillefer, Ballaigues, Switzerland) were 160 positioned in the canals for 60 s, to collect "E" samples in sterile tubes (Eppendorf AG,

Hamburg, Germany), subsequently stored at -80 °C until use. Every procedure was
executed using new sterile gloves.

164

#### 165 *DNA extraction*

Paper point samples were immersed in a 2 mL Eppendorf safe-lock tube containing 166 Dulbecco Minimal Essential medium (DMEM). Subsequently, samples were homogenized 167 by Tissue Lyser (Qiagen GmbH, Hilden, Germany) at 30 Hz for 5 min. Homogenized 168 samples were centrifuged at 10,000 x g for 3 min. A total of 200 µL of supernatants were 169 subjected to DNA extraction using DNeasy PowerSoil PRO kit (Qiagen S.p.A., Milan, Italy) 170 according to manufacturer's instructions. Negative controls of extraction (DMEM and 171 172 reagents from extraction kits) were used at the same time as samples, to check for the presence of possible contamination during the extraction steps. To assess for bias in 173 extraction and/or sequencing, commercially available mock community control composed of 174 three Gram-negative and five Gram-positive bacteria with a range of GC content was used. 175 Mock community DNA obtained by pooling DNA extracted from pure cultures 176 (ZymoBIOMICS Catalog #D6306) was used as the input DNA. 177 178 179 *PCR* amplification of 16SrDNA gene and Nanopore sequencing A PCR protocol was performed on DNA extracts to amplify the full-length (1500bp) 180 sequence of the 16SrRNA gene using universal primers [15] and TaKaRa LA TaqTM kit 181 (Takara Bio Europe S.A.S., Saint-Germain-en-Laye, France). Afterwards, the 16S barcoding 182 kit SQK-RAB204 (Oxford Nanopore Technologies, ONT, Oxford UK) was used to prepare 183 libraries which were purified by Agencourt AMPure XP magnetic beads (Beckman 184 Coulter<sup>TM</sup>), pooled and sequenced using MinION flongle Flow cell FLO-FLG001, version 185 R9.4.1 adapted on the MinION- Mk1C device (ONT, UK) for 24h. 186

188 Data analysis

189 FastQ MinION files were uploaded on the online EPI2ME platform

- 190 (https://epi2me.nanoporetech.com/) and analyzed by the Fastq 16S 2021.09.09 (Metrichor
- Agent, ONT) workflow setting the following parameters: quality score 10, minimum length
- filter of 1500 bases and BLAST E-value of 0.01.
- 193 Taxonomy was obtained through interrogation of the NCBI database non redundant using
- BLAST with a minimum horizontal coverage of 30% and a minimum accuracy of 77% as

default parameters. Reads data obtained were organized in Microsoft Office Excel. Only

196 Taxa scoring  $a \ge 0.1\%$  relative abundance in samples were considered and thus analyzed

- using the "Plotly.py" open-source library for Python 3.7.9 [16]. The computed data were
  then represented as interrogable BarPlot charts.
- 199 In addition, "Krona" visualization tool was employed to organize and display the
- 200 communities at a species level in multi-layered pie [17]. Multiple comparisons of the
- 201 bacterial sequence reads obtained in the P and E tissue samples was compared using
- 202 Kruskal-Wallis test with Dunn test as post hoc test. Moreover, categorical dichotomous data
- 203 (P and E tissue samples and presence/absence of bacteria in samples) were described as
- 204 counts and percentages and evaluated by Exact Fisher test. Statistical analyses were
- performed using the freely available online tool EZR [18] for personal computers. A p-value
- < 0.05 was considered for statistical significance.
- 207

#### 208 Diversity indexes

209 Statistical analyses were performed with R v.4.1.3 using the library "vegan"

- 210 (https://vegandevs.github.io/vegan/). Alpha diversity for sample was assessed using
- 211 Shannon index and measure of biodiversity was evaluated using Richness Menhinick's
- index. Shapiro–Wilk test was performed to evaluate the normality of distribution of data.
- 213 Two-sided Student's t test for independent samples or Mann–Whitney U test were

214	performed on the calculated alpha diversity and biodiversity values on the basis of "P" and
215	"E" categories. To identify possible sample stratification, beta diversity was assessed using
216	Bray-Curtis index and Principal Coordinate Analysis (PCoA) was performed for each pair
217	of categories. ANOVA test and Tukey Honestly Significant Difference as post hoc test were
218	carried out on the calculated beta diversity values. The statistical significance was set at
219	0.05.
220	
221	Results
222	All the crown samples tested negative in the sterility test. Bacterial DNA was identified in
223	all the 12 samples analyzed from the study group and the control sample. Negative
224	extraction controls (DMEM and reagents from the DNA extraction kit) did not result in
225	library prep due to low DNA concentration and were not sequenced. Mock community
226	control DNA included in the sequencing runs most closely approximated to the theoretical
227	composition of the mock community.
228	
229	After quality control of Nanopore sequence data, a total of 445,215 bacterial 16SrRNA gene
230	sequence reads (mean 37,101, median 12,870, range 3,255-23,0280) were obtained in the 12
231	analyzed samples but only 36 sequence reads in the control sample. A total of 123
232	Operational Taxonomic Units (OTUs) were identified in the P and E samples and assigned
233	to 8 phyla, 29 genera and 86 species using Fastq 16S 2021.09.09 workflow. Overall, the
234	most abundant phylum detected was <i>Bacillota</i> (80%) followed by <i>Actinomycetota</i> (6.1%)
235	and Bacteroidotes (5.6%). The prominent genus was Streptococcus (72.9%) followed by
236	Veillonella (3.6%), Actinomyces (3.6%), Parvimonas (3.5%) and Prevotella (3.1%) (Table
237	1, Figure 1) whilst Streptococcus mutans (50.7%) was the predominant species followed by
238	Streptococcus anginosus (6.3%) and Parvimonas micra (3.5%) (Figure 2).

239	Although the six collected teeth samples did not present neither clinically evident coronal
240	leakages nor root damages, Streptococcus (54.7%) was predominant in E samples (Table 1),
241	and it was also identified in P samples (18.2%) (Table 1, Figure 1).
242	The bacterial genera identified in the analyzed samples is reported in Table 2 whilst Table 3
243	shows the distribution of bacterial genera, expressed as percentage, in P and E tissue
244	samples from the root canals. Overall, the most prevalent bacterial genera in the twelve
245	collected samples were Streptococcus (100.0%, 12/12), Actinomyces (83.4%, 10/12),
246	Fusobacterium (66.7%, 8/12), Parvimonas (66.7%, 8/12), Prevotella (66.7%, 8/12),
247	<i>Tannerella</i> (66.7%, 8/12), <i>Treponema</i> (66.7%, 8/12) and <i>Veillonella</i> (66.7%, 8/12) (Table 2
248	and 3).
249	
250	Alpha diversity among the samples, calculated using Shannon index, ranged between 0.053-
251	0.909 (mean = 0.564; median = 0.611) whilst the biodiversity value using Richness
252	Menhinick's index ranged between 0.010 and 0.239 (mean = 0.091; median= 0.076).
253	Comparisons of alpha diversity and biodiversity values did not reach the thresholds of
254	statistical significance (p>0.05) for the considered categories.
255	
256	Beta diversity for P and E categories were assessed by using Bray–Curtis index and PCoA
257	plot graphs were produced (Figure 3). Comparisons of beta diversity of samples did not
258	reveal statistical significance (p>0.05) for the categories.
259	
260	The genera most frequently identified in P samples (n=6) were Fusobacterium (50%),
261	Prevotella (50.0%), Streptococcus (50.0%), Treponema (50.0%), Actinomyces (41.7%),
262	Olsenella (41.7%), Parvimonas (41.7%) and Tannerella (41.7%). Streptococcus (50.0%)
263	and Actinomyces (41.7%) were the most frequently detected genera in E samples (n=6)
264	(Table 2 and 3). Actinomyces and Streptococcus were detected with equal prevalence (41.7-
	11

265	50.0%) in both P and E samples whilst Fusobacterium, Prevotella and Treponema were
266	detected with higher prevalence in P (50.0%) than in E samples (16.7%) (Table 3).
267	Atopobium (41.7%, 5/12), Selenomonas (41.7%, 5/12), Lactobacillus (33.4%, 4/12),
268	<i>Capnocytophaga</i> (25.0%, 3/12), <i>Leptotrichia</i> (25.0%, 3/12), <i>Paludibacter</i> (25.0%, 3/12) and
269	Schaalia (25.0%, 3/12) were detected with equal or comparable prevalence in both P (16.7-
270	25%) and E samples (8.3-16.7%). Conversely, Campylobacter (41.7%, 5/12),
271	Porphyromonas (41.7%, 5/12) and Bifidobacterium (25.0%, 3/12) were identified more
272	frequently in P samples (25.0-33.4%) than in E samples (0.0-8.3%) (Table 3). Multiple
273	comparison between the obtained sequence reads in P and E samples revealed that the
274	genera <i>Porphyromonas</i> (33.4% vs 8.3%, p=0.047), <i>Tannerella</i> (41.7% vs 25%, p=0.042)
275	and Treponema (50.0%, p=0.0064) were significantly more present in P than in E samples.
276	
277	Samples P1 and P6 were collected from patient #1 and #3, respectively and samples P2 to
278	P5 were collected from patient #2. In the P samples collected from the three patients, yet in
279	the context of an expected microbiome diversity, we observed the presence of the genera
280	Actinomyces, Fusobacterium, Olsenella, Parvimonas, Prevotella, Streptococcus, Tannerella
281	and Treponema. Samples E6 and E1 exhibited a remarkable difference in terms of microbial
282	composition, whilst the genus Streptococcus, was a common signature in samples E2 to E5
283	obtained from the same patient (#2) (Table 2).
284	
285	Discussion
286	In this study teeth affected by EPL Grade 3 with clinically intact crown' surface and bone
287	loss not reaching the apex were used. Therefore, a primary endodontic involvement was
288	ruled out. The rationale for the study was based on the hypothesis that periodontal bacteria
289	may reaches the root canal system even before the periodontal disease reaches the apical
290	root segment.

In our study, all the teeth but the control case presented bacteria on the root surface and in 291 the root canal system, demonstrating the possibility of bacteria to spread directly from the 292 periodontal pocket to the root canal system, despite the apical foramen was not reached by 293 periodontal lesion. It is well known that periodontal diseases may determine the exposure of 294 other anatomical communications, over the apical segment, between periodontal tissues and 295 the root canal system, with possible invasion of the root canal system from periodontal 296 bacteria and/or their toxic metabolic products [19]. "Retrograde" pulpitis is an 297 inflammatory pulpal condition caused by response to bacterial invasion and toxic products 298 entering through anatomical communications that became exposed to the oral fluids. Total 299 300 pulp necrosis is determined when the blood supply to all root canals is interrupted by 301 periodontal disease that involves apical root segment determining a vascular damage and subsequent hypoxia [20] 302

Alveolar bone resorption causes exposure of cementum that can be subsequently eroded 303 mechanically, removed, and abraded with various processes. Loss of cementum exposes the 304 dentinal tubules and allow bacterial entrance into the tooth. Moreover, the cementum-305 enamel junction (CEJ) presents a great morphological diversity, with frequent gaps between 306 enamel and cementum with exposure of dentin, also among different surfaces of the same 307 308 tooth [21]. Although in healthy teeth CEJ is normally protected and covered by gingival tissues (epithelial attachment and connectival attachment) [22], it can be exposed for 309 gingival recession and/or periodontal disease, with the possibility of an immediate exposure 310 of dentinal tubules. 311

Lateral and accessory canals may distribute bacteria and toxins from the periodontalapparatus into the dental pulp [23,24].

Since they are located more at the coronal level than at the apical foramen, deep periodontal pockets can expose these communications without reaching the apex of the root. The control tooth in our study was also positive for bacterial DNA, revealing a low number of bacterial 317 reads in the root canal system. This can suggest that pulpal tissue is not completely sterile318 also in the absence of deep periodontal pockets.

There are many controversial opinions regarding implications of severe periodontitis on 319 inflammatory and degenerative alterations in the dental pulp. Some researchers suggest that 320 periodontal disease can cause pulpal changes [25–29], whereas others do not [30,31]. 321 Ricucci et al. evaluated teeth affected by periodontal disease with no clinically identified 322 caries lesions, reporting histological and bacteriologic results consistent with bacterial 323 colonization of the outer end of dentinal tubules when loss of integrity of the radicular 324 cementum occurred. In some cases the authors described histological aspects of pulpal 325 326 degeneration due to bacterial colonization of the orifice of a lateral canal, with subsequent 327 vascular damage and bacterial invasion of the pulpal bloodstream even before pulpal tissue necrosis [32]. These data support the results of this study, suggesting the possibility for 328 periodontal bacteria to migrate in the root canal system also in absence of evident root 329 damages, loss of crown integrity or massive periodontal disease reaching the apex. 330

331

Moreover, some bacteria seem to have a greater ability of migration between the two spaces. 332 In fact, Actinomyces, Streptococcus [14], Parvimonas and Veillonella were present with 333 334 similar frequencies both in the periodontal pocket and in the root canal system. Although their high frequency on root surfaces, some bacteria such as *Porphiromonas* and 335 Prevotella were not identified in the root canal system. Several factors, including bacterial 336 size, adhesive properties, motility or micro-environmental selectivity may affect the degree 337 of permeability to the dentinal tubules and virulence [21] 338 Overall, our study presents some limitations. The total sample size is relatively small 339 and likely a larger sample size could be more useful to identify trends in the oral 340 microbiome in these pathologies. Unfortunately, teeth affected by advanced periodontal 341 disease without no clinically loss of external integrity and/or coronal leakages, which are 342

ideal for similar studies, are not commonly observed in the clinical practice. In presence of
teeth affected by EPL with loss of crown's integrity there is an objective difficulty to
establish if the primary bacterial involvement took place from the periodontal space or
endodontic space.

Moreover, histologic investigations with bacterial staining were not carried out in our study to investigate the patterns of tissue invasion by bacteria in the endoperiodontal environments.

The study was based on teeth with poor prognosis, hindering any periodontal or endodontic treatment and with indication of extraction. It is unclear if endodontic contamination from periodontal space may occur also in teeth affected by less severe periodontitis.

353

The present study confirms the complexity of oral microbiome, organized in multispecies 354 communities that may present important limits in microbiological evaluation using classic 355 microbiological tests such as in vitro cultivation, typing with primers and probes and direct 356 sequencing [33–35]. Massive sequencing techniques are a novel molecular method that may 357 be applied to unveil the convoluted pictures of polymicrobial communities including low-358 abundance taxa or non-cultivatable species of oral microbiota [8,15,34,36–38]. In this study 359 360 we relied on a Nanopore 16s rDNA protocol to generate sequence data at a population level on the microbiological community. 16S rDNA gene is a universal target for bacterial 361 characterization with nine variable regions intermingled with conserved regions. Unlike 362 other NGS techniques, Nanopore technology allows to generate sequence data on the full 363 length 16S rDNA gene increasing the accuracy of characterization. Also, PCR-based 364 enrichment with consensus primers allows to obtain data from biological matrices with low 365 density bacterial communities, for which otherwise, other sequencing approaches would not 366 be feasible. 367

368

Considering the capacity of migration among periodontal and endodontic tissues, 369 in case of surgical/non-surgical periodontal therapies and/or conservative rehabilitations of 370 teeth affected by deep periodontal pockets, particular attention should be always paid to 371 pulpal sensibility tests and pulpal symptoms to evaluate necrotic pulp or hyperresponsive 372 vital pulp. In these cases, the root canal system should be considered as potentially 373 contaminated by bacteria, and potentially acts as bacterial reservoir that may serve as 374 recontamination source of residual pockets and/or periodontal tissues after surgical/non-375 surgical therapies [39], although not all bacterial species seem to possess the same capacity 376 of migration. At the same time, an untreated deep periodontal pocket may serve as a source 377 of periodontal bacteria to contaminate/re-contaminate the root canal system, determining 378 pulpal/periapical pathology. Also, therapies that may remove cementum, such as root 379 planning, should be carefully pondered for the potential exposure of dentinal tubules 380 creating breaches for bacterial entry. [31]. 381 In conclusion, the results of the present study demonstrated the possibility of bacteria to 382 spread directly from the periodontal pocket to the root canal system even in the absence of 383 crown's loss of integrity. 384 References 385 386 [1] Seltzer S, Bender IB, Ziontz M. The interrelationship of pulp and periodontal disease. Oral Surgery, 387 Oral Medicine, Oral Pathology. 1963; 16:1474–1490. [2] Rotstein I, Simon JHS. Diagnosis, prognosis and decision-making in the treatment of combined 388 periodontal-endodontic lesions. Periodontol 2000. 2004; 34:165-203. 389 Simon JHS, Glick DH, Frank AL. The Relationship of Endodontic–Periodontic Lesions. J Endod. 2013; 390 [3] 39:e41-e46. 391 392 [4] Li H, Guan R, Sun J, et al. Bacteria Community Study of Combined Periodontal-Endodontic Lesions Using Denaturing Gradient Gel Electrophoresis and Sequencing Analysis. J Periodontol. 2014; 393 85:1442-1449. 394 395 [5] Papapanou PN, Sanz M, Buduneli N, et al. Periodontitis: Consensus report of workgroup 2 of the 2017 World Workshop on the Classification of Periodontal and Peri-Implant Diseases and 396

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- 467
- 468 **Declarations**
- 469 Ethics approval and consent to participate
- 470 The study was performed in agreement with the ethical guidelines of the Declaration of
- 471 Helsinki laid down in the 1964 and its later amendments or comparable ethical standards.
- 472 The Ethics Committee of Azienda Unità Sanitaria Locale of Bologna approved this study

473	with autorizathion nr. 844-2021-OSS-AUSLBO-21160-ID 3118-Parere CE-AVEC-ENDO-
474	MICROBIOTA 09/2021. Informed consent was obtained from all subjects and/or their legal
475	guardian(s) for participation and publication.
476	
477	Consent for publication
478	Not applicable
479	
480	Availability of data and materials
481	All data generated or analyzed in this study are included in this published article.
482	
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490	Authors' contributions
491	A.B. contributed to conception and design of the study, wrote the first draft of the
492	manuscript; F.P. performed the experiments, organized the database, wrote the first draft of
493	the manuscript; G.L. performed the statistical analysis and wrote the first draft of the
494	manuscript; G.D. performed the experiments; M.S.L. performed the experiments; F.Z. wrote
495	sections of the manuscript; M.C. reviewed and edited the manuscript; M.G.G. wrote sections
496	of the manuscript; V.M. contributed to conception and design of the study, reviewed and

498	and edited the manuscript. All authors contributed to manuscript revision, read, and approved
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#### 509 Figure legends

Figure 1 Sequence read distribution of bacterial genera detected in the periodontal (P), endodontic
(E) and control (M) tissue samples of root canals from teeth of patients expressed as BarPlot charts

- 513 Figure 2 Sequence read distribution of bacterial species detected in the periodontal (P), endodontic
- 514 (E) and control (M) tissue samples of root canals from teeth of patients expressed as multi-layered
- 515 pie charts (Krona visualization tool).

- 517 **Figure 3** Bray–Curtis index (a) and Principal Coordinate Analysis (PCoA) (b) for periodontal (P)
- 518 and endodontic (E) samples expressed as plots.

**Table 1:** Distribution of sequence data, expressed as percentage, per bacterial genera in samples
collected from periodontal (P) and endodontic (E) tissue samples from root canals of patients
affected by severe periodontitis (Stage IV) according to the American Academy of Periodontology
criteria.

Bacterial genera	Total reads (%)	P (%)	E (%)
Actinomyces	3.6	2.7	0.9
Atopobium	0.2	0.1	0.1
Bifidobacterium	0.7	0.7	0.0
Campylobacter	0.4	0.4	0.0
Capnocytophaga	0.1	0.1	0.0
Corynebacterium	0.1	0.1	0.0
Dialister	0.1	0.1	0.0
Enterococcus	0.1	0.1	0.0
Fusobacterium	1.6	1.4	0.2
Lactobacillus	1.8	1.7	0.1
Leptotrichia	0.2	0.2	0.0
Mycoplasma	0.6	0.6	0.0
Neisseria	0.1	0.1	0.0
Olsenella	0.4	0.4	0.0
Oribacterium	0.1	0.1	0.0
Paludibacter	0.2	0.1	0.1
Parvimonas	3.5	2.2	1.3
PeptoStreptococcus	0.4	0.4	0.0
Porphyromonas	1.3	1.3	0.0
Prevotella	3.1	3.1	0.0
Pseudomonas	0.1	0.1	0.0
Rothia	0.3	0.3	0.0
Scardovia	0.2	0.2	0.0
Schaalia	1.3	1.3	0.0
Selenomonas	0.5	0.5	0.0
Streptococcus	72.9	18.2	54.7
Tannerella	0.7	0.6	0.1
Treponema	1.9	1.8	0.1
Veillonella	3.6	2.1	1.4
Total	100.0	41.0	59.0

## **Table 2**: Presence of bacterial genera in periodontal (P) and endodontic (E) tissue samples of root canals from patients affected by severe

## 525 periodontitis

	Samples											
P/E	P1	P2	P3	P4	P5	P6	E1	E2	E3	E4	E5	E6
Patient	#1	#2	#2	#2	#2	#3	#1	#2	#2	#2	#2	#3
Bacterial Genera												
Actinomyces	+	+	+	+	+	-	+	+	+	+	+	-
Atopobium	-	+	-	+	+	-	-	+	+	-	-	-
Bifidobacterium	-	+	-	+	+	-	-	-	-	-	-	-
Campylobacter	+	+	+	-	+	-	+	-	-	-	-	-
Capnocytophaga	+	+	-	-	-	-	+	-	-	-	-	-
Corynebacterium	-	-	-	-	-	-	+	-	+	-	-	-
Dialister	+	-	-	-	-	-	-	-	-	-	-	-
Enterococcus	-	-	-	-	-	-	-	-	-	+	-	-
Fusobacterium	+	+	+	+	+	+	+	-	-	-	-	+
Lactobacillus	-	-	-	+	+	-	+	-	-	+	-	-
Leptotrichia	+	+	-	-	-	-	-	+	-	-	-	-
Mycoplasma	-	-	-	-	-	+	-	-	-	-	-	-
Neisseria	+	-	-	-	-	-	-	-	-	-	-	-
Olsenella	+	+	+	+	+	-	-	-	-	+	-	-
Oribacterium	-	-	-	-	+	-	-	-	-	-	-	-
Paludibacter	+	-	-	-	-	+	+	-	-	-	-	-
Parvimonas	+	+	+	+	+	-	+	-	+	-	+	-
PeptoStreptococcus	-	-	+	+	-	-	-	-	-	-	-	-
Porphyromonas	-	+	+	+	+	-	-	-	-	+	-	-
Prevotella	+	+	+	+	+	+	+	-	-	-	+	-
Pseudomonas	-	-	-	-	-	-	+	-	-	-	-	-
Rothia	-	-	-	+	-	-	-	-	-	-	-	-
Scardovia	-	-	-	-	+	-	-	-	-	-	-	-
Schaalia	-	+	-	-	+	-	-	-	-	+	-	-

Selenomonas	-	-	+	+	+	-	+	+	-	-	-	-
Streptococcus	+	+	+	+	+	+	+	+	+	+	+	+
Tannerella	+	+	+	+	+	-	+	-	+	-	+	-
Treponema	+	+	+	+	+	+	+	-	-	+	-	-
Veillonella	+	+	-	+	+	-	-	+	+	+	+	-

+: Presence; -: Absence; •: same patient

- **Table 3**. Distribution of bacterial genera, expressed as percentage, in periodontal (P) and
- 529 endodontic (E) tissue samples of root canals from patients affected by severe periodontitis.

Bacterial genera	P (%)	E (%)
Actinomyces	41.7	41.7
Atopobium	25.0	16.7
Bifidobacterium	25.0	0.0
Campylobacter	33.4	8.3
Capnocytophaga	16.7	8.3
Corynebacterium	0.0	8.3
Dialister	8.3	0.0
Enterococcus	0.0	8.3
Fusobacterium	50.0	16.7
Lactobacillus	16.7	16.7
Leptotrichia	16.7	8.3
Mycoplasma	8.3	0.0
Neisseria	8.3	0.0
Olsenella	41.7	8.3
Oribacterium	8.3	0.0
Paludibacter	16.7	8.3
Parvimonas	41.7	25.0
PeptoStreptococcus	16.7	0.0
Porphyromonas	33.4	8.3
Prevotella	50.0	16.7
Pseudomonas	0.0	8.3
Rothia	8.3	0.0
Scardovia	8.3	0.0
Schaalia	16.7	8.3
Selenomonas	25.0	16.7
Streptococcus	50.0	50.0
Tannerella	41.7	25.0
Treponema	50.0	16.7
Veillonella	33.4	33.3