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Ripeness evaluation of kiwifruit by hyperspectral imaging

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Abstract

Rapid, non-destructive fruit sorting techniques are increasingly being adopted to ensure that producers, industry, and consumers receive products that meet their quality requirements. Quality attributes typically used to assess fruit ripeness include soluble solids content (SSC) and flesh firmness (FF). In this study, hyperspectral imaging operating at 400–1000 nm (Vis/NIR) was adopted to evaluate the ripeness degree of ‘Hayward’ kiwifruit. Partial least square (PLS) regression models were developed to estimate SSC and FF, while two different types of PLS discriminant analysis (PLS-DA) were used to classify samples according to three ripening classes (defined on the base of SSC and FF values). To reduce the computation complexity, and simplify the calibration models, two variable selection methods (genetic algorithm GA, and variable importance in projection VIP) were adopted. For SSC, the prediction R^2 values ranged from 0.85 (RMSE = 1.10 °Brix) to 0.94 (RMSE = 0.73 °Brix), and for FF from 0.82 (RMSE = 14.51 N) to 0.92 (RMSE = 9.87 N). Classification sensitivity reached values of 97% and 93%, for the model considering the SSC and FF classes, respectively. Prediction and classification performances remained substantially unchanged by reducing the number of wavelengths. Therefore, hyperspectral imaging appears to be suitable for prediction of kiwi quality attributes and their classification.

27 **Keywords:** Hyperspectral, kiwifruit, PLS, PLS-DA, ripening.

28

29 **1. Introduction**

30 Most fruits and vegetables are **characterised** by a short harvest season. Short or long-term storage is
31 therefore necessary to ensure **a** continuous supply of quality raw material and to extend **the** processing
32 and marketing **periods** of fresh produce (Mishra & Gamage, 2020a). Fruit is harvested when threshold
33 values of some quality attributes, expressing their physiological maturity, are reached. With regard
34 to kiwis, **the soluble solids content (SSC)** must reach 6.2–6.5 °Brix (**from 7 to 9 °Brix for storage**),
35 while the flesh firmness (FF) must be equal to or greater than about 62 N (OECD, 2008) (Crisosto &
36 Kader, 1999; **Walsh et al., 2020**). Regarding commercial ripening, to satisfy consumer taste, **SSC**
37 should exceed 12.5 °Brix, while FF should fall below approximately 9–13 N (Crisosto & Kader,
38 1999).

39 The storage potential of kiwifruit in air at storage sites under semi-optimal conditions of temperature
40 and relative humidity ranges from 4 to 8 weeks (Mishra & Gamage, 2020a). From postharvest to
41 commercial maturity, several changes at the cellular level affect fruit texture, which becomes softer
42 (Mishra & Gamage, 2020b). Composition, water content, turgor pressure and cell wall constituents
43 undergo various transformations. In kiwi pulp cells, the structure of the middle lamella and the
44 primary cell wall **weakens** mainly due to high pectin **solubilisation**; in addition, a moderate
45 contribution to fruit softening is provided by the loss of pectic arabinan side chains. These phenomena
46 result in cell separation and wall swelling (Watkins, 2017) (Mishra & Gamage, 2020b).

47 Early in ripening, there is often an increase in sugar concentration. In fruits and vegetables, sucrose
48 comes from photosynthetic leaves and/or hydrolysis of starch reserves degraded by amylase, resulting
49 in an increase in **SSC**. As ripening progresses, glucose and fructose increase due to the action of the
50 enzyme invertase through glycolysis. The amount of sucrose may also increase due to
51 gluconeogenesis of organic acids (Sánchez-Rodríguez et al., 2019). As fruits ripen, the chlorophyll
52 content **generally** decreases, and other pigments such as carotenoids are **usually** biosynthesised and

53 accumulated. However, there are some exceptions to this general behaviour: some fruits retain
54 chlorophyll, such as the skin of ‘Granny Smith’ green apples and ‘Conference’ pears and the flesh of
55 ‘Hayward’ green kiwi, in which the accumulation of carotenoids decreases with ripening. Kiwis are
56 also very rich in vitamin C (Alós et al., 2019) (Mellidou et al., 2019).

57 The use of the penetrometer and refractometer to measure FF and SSC, respectively, result in
58 destruction of the sample and are time consuming and expensive. To overcome this problem, several
59 techniques, many of which are non-destructive, have been introduced over the past few decades and
60 generally allow for faster analysis and lower costs.

61 Near-infrared (NIR) spectroscopy (McGlone & Kawano, 1998) (Schaare & Fraser, 2000) (Hu et al.,
62 2016a) and portable NIR instruments used directly in the field (Costa et al., 2015) (Cantin et al., 2011)
63 have been adopted with good results to predict dry matter, SSC and FF of kiwifruit.

64 Time-domain diffuse reflectance spectroscopy, a pulsed laser spectroscopic technique, was applied
65 to classify kiwifruits according to FF, sugar content and acidity, obtaining the best result with acidity
66 (Valero et al., 2004). A multifrequency magnetic induction spectroscopy system (156 kHz–2.5 MHz)
67 resulted in a good correlation of the bio-impedance conductivity measurement with SSC and FF
68 (Bauchot et al., 1999) (O’Toole et al., 2015). Ragni et al. (2012) adopted waveguide spectroscopy
69 (2–3 GHz and 15–16 GHz) to evaluate SSC and FF of kiwifruit during storage, obtaining the best
70 results by partial least squares (PLS). Using a device consisting of a xenon lamp whose emitted
71 radiation passes through kiwi samples and is captured by a NIR-sensitive camera, 8-bit greyscale
72 images (255 grey tones) have been used to predict the FF and SSC of kiwifruit (Berardinelli et al.,
73 2019).

74 FF penetrometric measurements correlated with measurements from a non-invasive system called
75 ‘intelligent firmness detector’, which can detect the FF of a fruit as it rotates on a grading belt (Blanke,
76 2013). Li et al. (2016) correlated penetrometric measurements with those from four different non-
77 destructive devices. Hu et al. (2016b) observed a correlation between colour change and FF of

78 kiwifruit by adopting a palladium complex-based colourimetric sensor that can be used for real-time
79 monitoring of kiwifruit ripening.

80 Hyperspectral imaging (HSI) has been increasingly applied in the last decade for determination of
81 quality parameters in fruits and vegetables. This technique combines image analysis and
82 spectroscopy, obtaining absorbance/reflectance/transmittance spectra as single acquired pixels (in
83 this technique called voxels) that form the hyperspectral (HS) image. HSI has been applied for the
84 determination of quality parameters of fruits and vegetables in the laboratory and directly in the field
85 (Chandrasekaran et al., 2019). HSI was implemented by Zhu et al. (2017) for quality attributes
86 prediction of three kiwifruit varieties at commercial maturity. The best results were obtained in the
87 380–1023 nm range and applying multiple linear regression models, with a $R_p = 0.981$ and a residual
88 prediction deviation (RPD) of 5.17 N cm^{-2} for FF. $R_p = 0.952$ and $\text{RPD} = 3.26$ °Brix were obtained
89 for SSC. Serranti et al. (2017) proposed application of HSI (1000–1650 nm) to evaluate kiwifruit
90 ripeness. In particular, HS images of the inner pulp and outer surface of kiwifruit were acquired to
91 assess the degree of ripening. Fruits, divided into 4 batches, were analysed on the day of harvest and
92 after 7 and 14 days. Using principal component analysis (PCA) false-colour plots of the principal
93 components were obtained, which allowed to discriminate the ripening time of fruits.

94 In this study, HSI combined with variable selection methods and chemometric techniques was
95 implemented to evaluate quality parameters of kiwi in post-harvest conditions. PLS models were
96 developed to predict the SSC and FF, while PLS-discriminant analysis (PLS-DA) and soft PLS-DA
97 were used to classify samples into 3 ripening classes defined through observation of SSC and FF.

98

99 2. Materials and methods

100

101 2.1 Samples

102 130 unconditioned ‘Hayward’ kiwis harvested near Latina (Italy) and delivered on November 11th,
103 2019 to the storage centre, were adopted for the present research. During the analysis period, kiwis

104 were stored at 15 °C. The analyses were carried out on 5 different days starting from the day following
105 delivery to the storage centre: day I (November 12th), II (November 19th), III (November 26th), IV
106 (December 10th) and V (December 17th). The samples were weighed immediately before being placed
107 in the storage chamber and before being analysed. As the samples were not homogeneous in terms of
108 weight, they were divided into four weight classes (80–100 g, 100–120 g, 120–140 g and 140–160
109 g), random selected and equally distributed over the days of analysis.

110

111 2.2 Hyperspectral measurements

112 A push-broom linear array hyperspectral camera working in the 400–1000 nm spectral range (Nano-
113 Hyperspec VNIR, Headwall Photonics, Inc., Fitchburg, MA, USA) was adopted to acquire **HS**
114 **images**. The camera was equipped with a 17 mm effective focal length (EFL) lens, **characterised** by
115 an angular field of view (FOW) of 15.3°.

116 The scan line has a spatial resolution of 640 points, each with 272 spectral bands and a nominal
117 spectral resolution of 2.2 nm. The sensor exposure time and frame period were set at 28 ms, while
118 the frame rate, **which** depends on the exposure time set, was 34.2 frames per second (FPS).

119 Figure 1 illustrates the HSI system adopted for the experimental trials. The camera was installed on
120 a metal frame with the optical axis perpendicular to the underlying conveyor belt, which simulates an
121 industrial fruit sorting line at a height of 54 cm. The speed of the conveyor belt, on which the sample
122 runs, was approximately 8 mm s⁻¹. Two spotlights inclined by 15° and at a height of 32 cm from the
123 conveyor belt plane were installed on the metal frame. The spotlights were equipped with 120 W
124 halogen lamps. Ambient light was isolated using a specific box.

125 The white (R_W) and dark (R_D) reference reflectance spectra were obtained **by** acquiring a high-
126 reflectance matte white panel and covering the camera lens with its cup, respectively. The raw diffuse
127 reflectance spectrum (R_R) was obtained by scanning the sample. Each sample was scanned
128 longitudinally at laboratory temperature (22 ± 1 °C). The calibrated diffuse reflectance spectrum (R_C)
129 was calculated by applying the equation (1) (Guo et al., 2019):

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$$R_C = \frac{R_R - R_D}{R_W - R_D} \quad (1)$$

2.3 Destructive measurements of quality parameters

The Magness-Taylor FF and SSC of the kiwi samples were measured after HS image acquisitions.

FF was determined through a compression test performed on kiwi samples with a texture analyser (TA-HDi, Stable Micro System Ltd., Godalming, UK) equipped with a cylindrical steel probe 8 mm diameter with a hemispherical head (ASABE Standard, 2018). Before the analysis, a small portion of skin in the area subjected to the compression test was removed. Tests were performed with a penetration speed of 0.5 mm s⁻¹ and a maximum deformation of 8 mm. Kiwi juice was analysed with a digital refractometer (PR-101 Digital Refractometer, ATAGO CO., LTD, Tokyo, Japan) to measure the SSC and expressed in °Brix.

Significant differences between the means of the quality indexes (FF and SSC) at different days of storage were evaluated through analysis of variance (one-way ANOVA with Tukey-HSD post-hoc test, p-level > 0.05). Homogeneity of variance was evaluated by the Levene test.

2.4 Data analysis

ROI determination

The background was removed from each HS image by k-means clustering, performed using 2 clusters (classification method: Euclidean distance) on the calibrated spectra (HyperCube v. 11.52, U.S. Army Engineer Research and Development Center (ERDC), USA). Cluster 1 (red in Figure 2b) represents the background to be discarded, while cluster 2 (green in Figures 2b and 2c) is the region of interest (ROI). It can be observed (Figure 2c) that the partially shaded edge of the kiwi sample was not included in cluster 2. For each sample, the mean spectrum was calculated considering spectra of the ROI.

155 *Multivariate analysis*

156 Due to a low signal-to-noise ratio produced by the sensor, spectral bands between 400 and 424 nm
157 were removed from the analysis. The spectra were subsequently smoothed to reduce noise from the
158 spectra and pre-processed by applying **the** standard normal variate (SNV) method, first derivative
159 (D1) and mean centred (MC). (Rinnan et al., 2009). The data were preliminary visualised according
160 to quality indices (SSC and FF) and days of analysis using **PCA**.

161

162 *Selection of wavelengths*

163 The selection of wavelengths from the original or pre-processed spectra **can** reduce the computation
164 complexity, **improve** the predictive ability of calibration models and simplify the calibration models.
165 Furthermore, a limited number of wavelengths **can** be directly used for developing on-line or portable
166 multispectral equipment. The wavelength selection methods used in this study are variable
167 importance in projection (VIP) and genetic algorithm (GA). VIP method is a filter method, which
168 estimates the importance of each variable in the projection used in a PLS model. **The** VIP score
169 calculates the contribution of each variable according to variance explained by each PLS component.
170 The ‘greater than one’ is conventionally used as the criterion for variable selection; accordingly, only
171 variables with VIP scores greater than one **were** selected (Wang et al., 2015). This method has been
172 extensively used in different fields and adopted for a variety of data types (Farrès et al., 2015).
173 GA is a wrapper method implemented by selecting the candidate of sensitive wavelengths and
174 **optimising** the number of evaluations in each run, while PLS is applied to perform and evaluate the
175 selected wavelengths (Zhu et al., 2017). The computation steps involved **have been** described by
176 Mehmood et al., (2012). In this study, 100 runs were set.

177

178 *Chemometric models*

179 PLS regression models were developed to estimate the quality indices (SCC and FF), adopting the
180 Onion method to split the dataset (130 samples) in calibration (75% of samples, with venetian blinds
181 as cross-validation method) and validation test set (25% of samples).

182 The onion method selects a ring of the most unique samples (based on Euclidian distance from the
183 mean, like the outer-most layer of an onion.) These are used in the calibration set. Next, a ring of less
184 unique samples, just inside the first set (the next onion layer), is put into the validation set. This is
185 repeated two more times so that there are three outer rings of the most unique and less unique samples.
186 Finally, all remaining samples are split randomly into calibration and validation (Gallagher and
187 O’Sullivan, 2020; Gallagher et al., 2004).

188 To avoid **over-fitting** the model, the optimal number of latent variables (LV) were chosen **by**
189 identifying the global minimum of root mean square error in cross validation (RMSECV).

190 PLS-DA and multi-class *soft* PLS-DA (Pomerantsev & Rodionova, 2018) (Zontov et al., 2020) were
191 developed to classify samples into 3 ripening classes, according to Crisosto & Kader (1999). **For** the
192 SSC, the following limits were selected: $SSC < 6.5$ (unripe), $6.5 \leq SSC < 12.5$ (ripe for storage) **and**
193 $SSC \geq 12.5$ (ripe for consumer), while for FF **the following were used**: $FF \geq 62$ (unripe), $13 \leq FF < 62$
194 (ripe for storage) and $FF < 13$ (ripe for consumer). These ranges **were** chosen **since** they meet the
195 requirements at **both** the harvesting stage (unripe to ripe for storage) and by consumers (ripe for
196 storage to ripe for consumer). The dataset was spilt using the Onion method, as described for PLS.

197 PLS-DA assumes that each sample is assigned to **a single class using a probabilistic approach based**
198 **on Bayes rule. Multi-class *soft* PLS-DA, by adopting PCA of the matrix T of the predicted response**
199 **(\hat{Y}), classifies samples according to the distance between rows of this matrix and rows of matrix Y**
200 **(measured response). The procedure can be summarized as:**

201
$$X, Y \xrightarrow{PLS} \hat{Y} \xrightarrow{PCA} T \quad (2)$$

202 **where X is the matrix utilised as a predictor. Furthermore, with multi-class soft PLS-DA, the sample**
203 **can be assigned to one or more classes, or it can not be assigned to any class (Zontov et al., 2020).**

204 All the **chemometric** models were developed using PLS Toolbox for MATLAB R2020b, except for
205 multi-class *soft* PLS-DA, where PLS-DA Toolbox for MATLAB R2019a was used.

206

207 *Spatial distribution*

208 To reduce calculation time, image resolution was decreased by averaging the spectra of adjacent
209 pixels (3x3). PLS calibration models were developed considering the mean spectra of the ROIs and
210 applied to groups of 3x3 pixels of each image. Consequently, predicted SCC and FF values were
211 obtained for each pixel group. This allows to observe the difference attributes from sample to sample
212 and even within the same sample.

213

214 **3.1 Results**

215 Means and standard deviations of quality attributes (SSC and FF) measured on kiwi samples during
216 the 5 days of analysis are reported in Figure 3. Significant differences were observed for both
217 attributes between the second and third day and between the third and fourth day, whereas no
218 significant differences were found between the first and second day and between the fourth and fifth
219 day. The percentage variation between the first and last day of analysis was 124% and -82% for SSC
220 and FF, respectively. The correlation between FF and SSC **was** negative, with a probable linear trend
221 ($R^2 = 0.84$; $FF = -10.22 \text{ SCC} + 146.72$).

222 Figure 4 shows the mean spectra of kiwi samples according to SSC and FF, **and** pre-processed by
223 SNV and D1 filters, respectively. The portion of the spectrum from 400 to 700 nm (visible) is
224 characterised by **absorption bands of** anthocyanins, carotenoids and chlorophyll- α (ElMasry et al.,
225 2007), which have been adopted as quality attributes to assess fruit ripeness. The NIR part of the
226 spectrum (700–1000 nm) shows absorption bands related to water (Nicolai et al., 2007) and sugar (Pu
227 et al., 2016). Manley et al. (2007) and Camps & Christen (2009) observed that in the 800–1000 nm
228 range, SSC-related spectral information tends to be less covered by water, whose absorption peaks
229 are **broad and** not very marked.

230 The score plots of principal components 1 and 2 resulting from PCA were obtained mean centring
231 the calibrated spectra (Figure 5). Subsequently, some outliers were removed. Samples were
232 distributed along PC1 from right to left for increasing SSC values (Figure 5a) and decreasing FF
233 values (Figure 5b).

234 The results of the PLS models developed to estimate SSC and FF were reported in Table 1. Two
235 different pre-processing sequences were adopted: SNV+MC and D1+MC, as well as two variable
236 selection methods, namely VIP and GA. For SSC, the prediction R^2 values ranged from 0.85 (RMSE
237 = 1.10 °Brix) to 0.94 (RMSE = 0.73 °Brix) and the best results were achieved applying D1 pre-
238 processing and selecting the variables by the GA. For FF, the best results, in terms of prediction R^2
239 (0.92) and RMSE (9.87 N), were obtained with SNV pre-processing and GA variable selection
240 methods. In general, for both quality parameters, reduction of the variable number, especially by
241 using the GA method, positively affected the results in terms of increasing of R^2 and reducing RMSE
242 in prediction. Selected variables by GA method are shown in Figure 6 for SSC and FF prediction,
243 respectively. Most are in the NIR region (700–1000 nm), especially for SSC. The spectral regions
244 selected for the model prediction of SSC and FF were quite different. The region from 950 to 1000
245 nm, typically related to carbohydrate absorption, was selected only for SSC prediction, while the
246 region around 750 nm (band assignments for the major water (O-H) vibration) was defined only for
247 FF prediction. This may suggest that FF prediction is independent of SSC correlation. Considering
248 the VIP method, the selected variables ($VIP > 1$) were in similar spectral ranges (650–1000 nm) to
249 those for the GA method, even if appreciable differences between the SSC and FF models were not
250 observed (Figure 7). Overall, VIP scores obtained by PLS models developed after variable selection,
251 were higher than one for all x-variables. This suggests that the contribution of the selected spectral
252 variables on the model performance, is quite the same.

253 Measured vs. predicted values of SSC obtained by PLS regression applying SNV+MC pre-processing
254 and GA variable section method are shown in Figure 8. These results confirm the feasibility of HSI
255 in the Vis/NIR spectral region for the rapid prediction of kiwi quality parameters, such as SCC and

256 FF; furthermore, they are in good agreement with those reported in the literature (R of 0.9812 and
257 0.9523 for FF and SSC, respectively) by Zhu et al. (2017) in a very similar wavelength range (380–
258 1023 nm).

259 The spectral and spatial information of each pixel in HS images allowed evaluation of quality
260 parameters of each pixel with chemometric models. Using the PLS models developed after the GA
261 variable reduction, false colour images were obtained. The pixels having similar spectra showed
262 similar colours in the images, and consequently the hypercube allowed to visualise the biochemical
263 compounds of a sample in a pixel-wise manner (Zhu et al., 2017). The prediction maps of SSC and
264 FF of three representative kiwifruits (one for each ripening class) are shown in Figure 9. The colour
265 bars indicate the scales of the reference values. Measured (M) and mean predicted (P) values are also
266 reported. Spatial distribution of SSC (a) and FF (b) are in alignment with the measured values,
267 particularly passing from 5.9 °Brix/108.83 N to 13.6 °Brix/19.24 N, where the colour ranges from
268 blue/yellow to yellow/blue. As reported by Zhu et al. (2017), the noises of the HS image affected the
269 spectrum of each pixel, which may result in the predicted contents in maps exceeding the range of
270 the calibration set and prediction set.

271 Results of PLS-DA and *soft* PLS-DA in terms of class sensitivity (percentage of samples correctly
272 recognized as members of the class) are reported in Table 2. As for the PLS models, two different
273 pre-processing sequences were adopted, SNV+MC and D1+MC, as well as two variable selection
274 methods, VIP and GA. For both quality parameters, according to Crisosto & Kader (1999), three
275 classes were defined: $SSC < 6.5$, $6.5 \leq SSC < 12.5$ and $SSC \geq 12.5$; $FF \geq 62$, $13 \leq FF < 62$ and $FF < 13$.
276 Considering the PLS-DA models, regardless of pre-processing and variable selection method, lower
277 sensitivity values were achieved for the central classes, reporting values from 58 to 77% and from 55
278 to 86% for SSC and FF, respectively. For both quality parameters, considering the overall sensitivity
279 (SCC = 84% and FF = 93%), the best models were obtained applying the D1+MC pre-processing and
280 selecting the variables (78 and 75) by GA. PLS-DA prediction plots for FF are presented in figure
281 10. As shown in Figure 10a (Y predicted = $FF \geq 62$), there is a clear separation between samples

282 belonging to the $FF \geq 62$ class and the others samples. In Figures 10b (Y predicted = $13 \leq FF < 62$) and
283 10c (Y predicted = $FF < 13$), some samples of classes $13 \leq FF < 62$ and $FF < 13$ are overlapping,
284 suggesting that the samples of the two groups, are quite similar. Nevertheless, it can be observed that
285 almost all samples belonging to Y predicted class, were correctly classified.

286 *Soft* PLS-DA can **simultaneously** attribute a sample to multiple classes. In addition, a sample may not
287 be attributed at all, **if** the distance between sample and class is greater than the set critical threshold.
288 In this study, the outlier significance level (γ) was set to 0.01 (Pomerantsev & Rodionova, 2018).
289 Regarding the *soft* PLS-DA models developed to classify the samples according to the SSC, the best
290 results (overall sensitivity = 97%) was obtained applying SNV+MC pre-**processing** and selecting
291 variables (47) by GA. In prediction, all samples of the classes $SSC < 6.5$ and $SSC \geq 12.5$ were correctly
292 classified, while 2 samples of the class $6.5 \leq SSC < 12.5$ were not (**Figure 11a**). For FF, the best result
293 (overall sensitivity = 93%) was obtained **by** considering all the variables and applying D1 pre-
294 processing. In **Figure 11b**, it can be observed that all samples of class $FF \geq 62$ **were** correctly classified.
295 Furthermore, the separation of class $FF \geq 62$ from the other two classes is evident, as the acceptance
296 area of the class bound by the ellipsoid is well separated from the others.

297 Comparing PLS-DA and *soft* PLS-DA results, it is possible to state that for SSC, regardless of pre-
298 **processing** and variable selection method, notably higher sensitivity values were achieved by using
299 *soft* PLS-DA. Instead, for FF, the sensitivity values obtained by the two discrimination methods are
300 comparable. Overall sensitivities are higher than those reported in **the** literature for similar ripening
301 classes defined on green-fleshed kiwi (82.0% for SSC and 74.0% for FF) and obtained using a
302 detector working on nine wavelengths from 800 to 1100 nm (Yang et al., 2020).

303 The results show how the maturity class of kiwifruit can be predicted non-destructively even by
304 limiting the number of wavelengths. **Overall, the best models were obtained by applying the GA**
305 **method, which allowed a decrease of wavelength number by more than 80%. This could be a first**
306 **step in developing a multispectral equipment to sort kiwifruits directly on-line.** In the light of what
307 was observed during laboratory trials, it is necessary to highlight some choices that affected the

308 success of the experiment. The angle of inclination of the lamps affects the extension of the areas of
309 light reflection and shadows on the surface of the fruit. In the case of the kiwi variety under analysis,
310 light reflection is attenuated by the presence of hairs on the skin, so that it is possible to reduce the
311 angle of inclination of lamps with respect to the plane. The black surface of the conveyor belt was
312 useful as it was easy to exclude from HS images by 2-class k-means classification, which also allowed
313 elimination of shaded or poorly illuminated parts of fruits.

314

315 **Conclusions**

316 The application of HSI combined with chemometric techniques (PLS and PLS-DA), allowed
317 estimation of the FF and SSC of kiwifruit and to classify samples according to ripening classes.
318 Prediction and classification performances remained substantially unchanged by reducing the number
319 of wavelengths, and thus it is expected that a less expensive multispectral camera in the 400–1000
320 nm range would work just as well. Regarding SSC, the prediction R^2 values ranged from 0.85 (RMSE
321 = 1.10 °Brix) to 0.94 (RMSE = 0.73 °Brix), and for FF from 0.82 (RMSE = 14.51 N) to 0.92 (RMSE
322 = 9.87 N). Classification sensitivity reached of 97% and 93% for the model considering the SCC and
323 FF classes, respectively. Considering the results obtained, HSI technology could be implemented in
324 a sorting line of kiwifruit or portable multispectral equipment for the fresh market, but the
325 segmentation techniques, dimensionality reduction, and automated real time analysis need to be
326 improved upon.

327 **Declarations of interest: none.**

328

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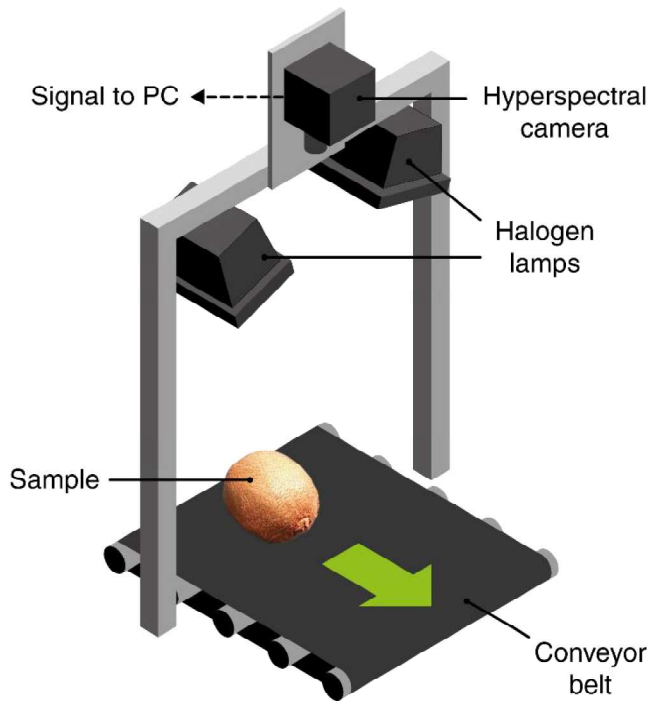
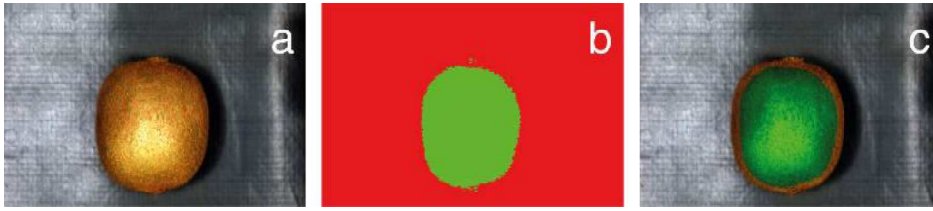
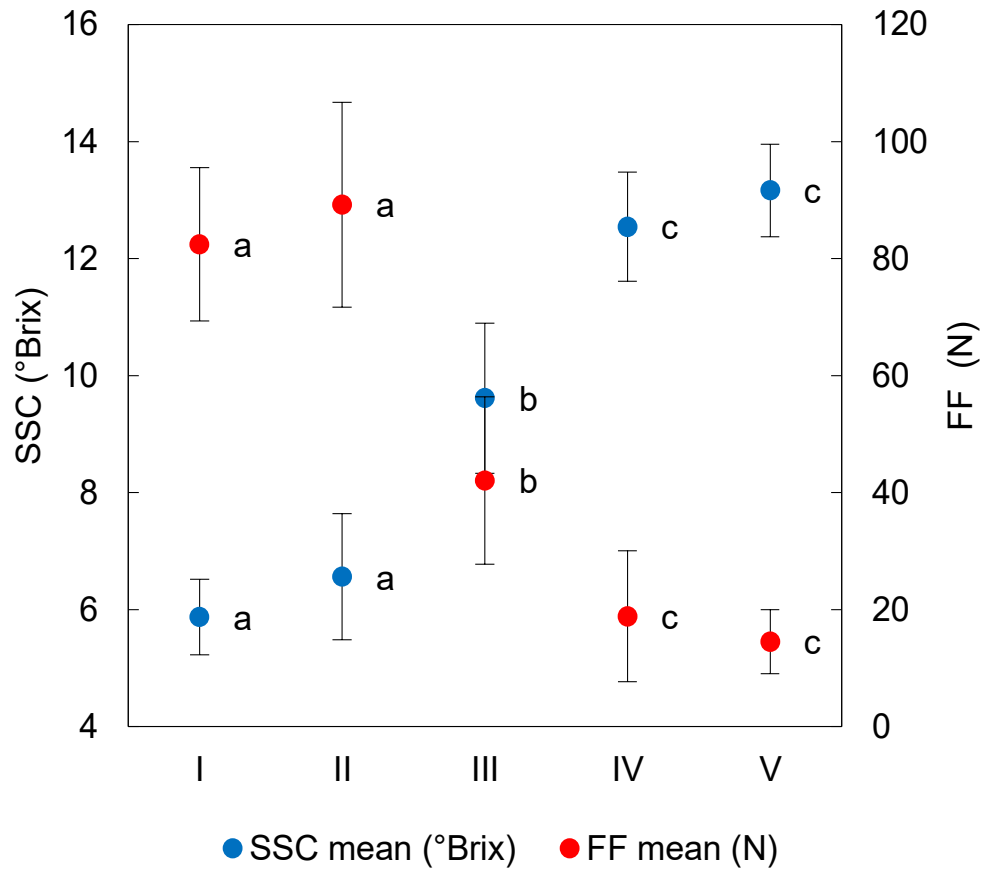
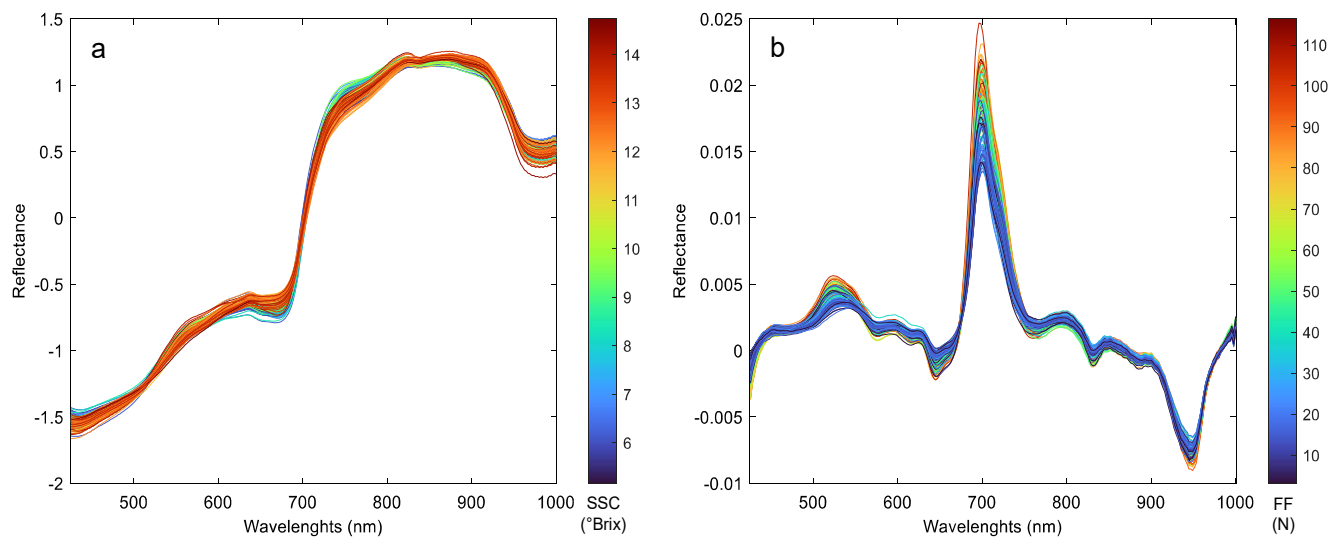
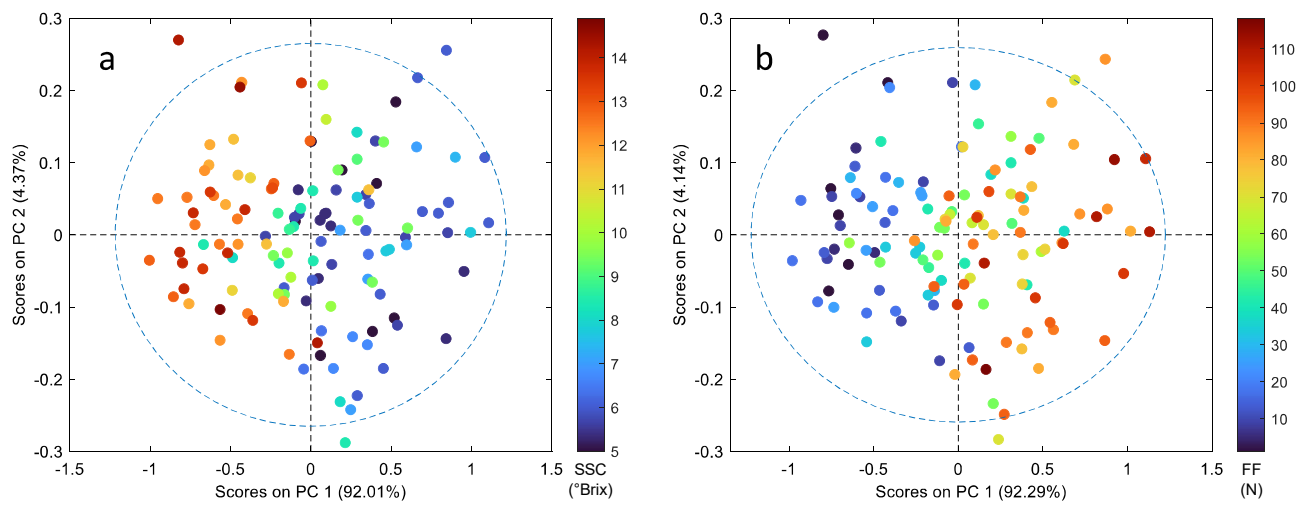


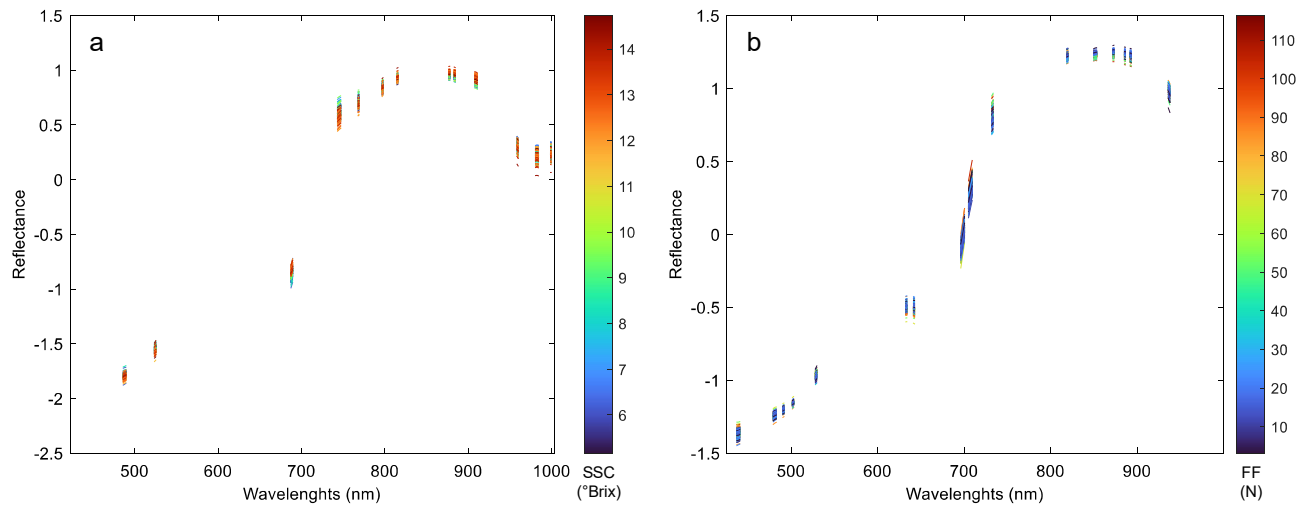
Fig.1

**Fig.2**

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**Fig.4**

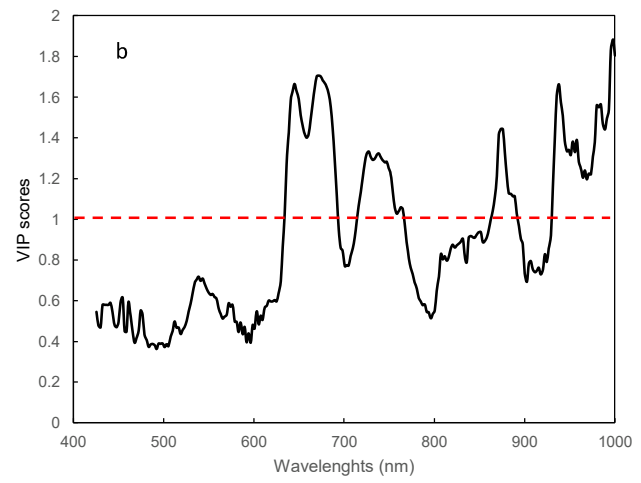
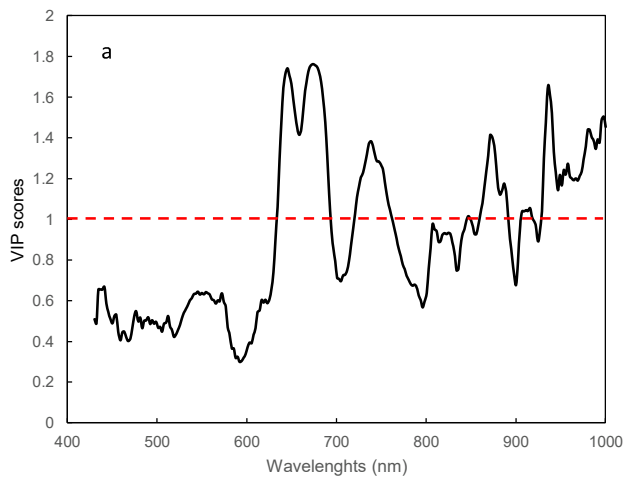
**Fig.5**



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2 **Figure 6.**

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4 **Fig 7.**

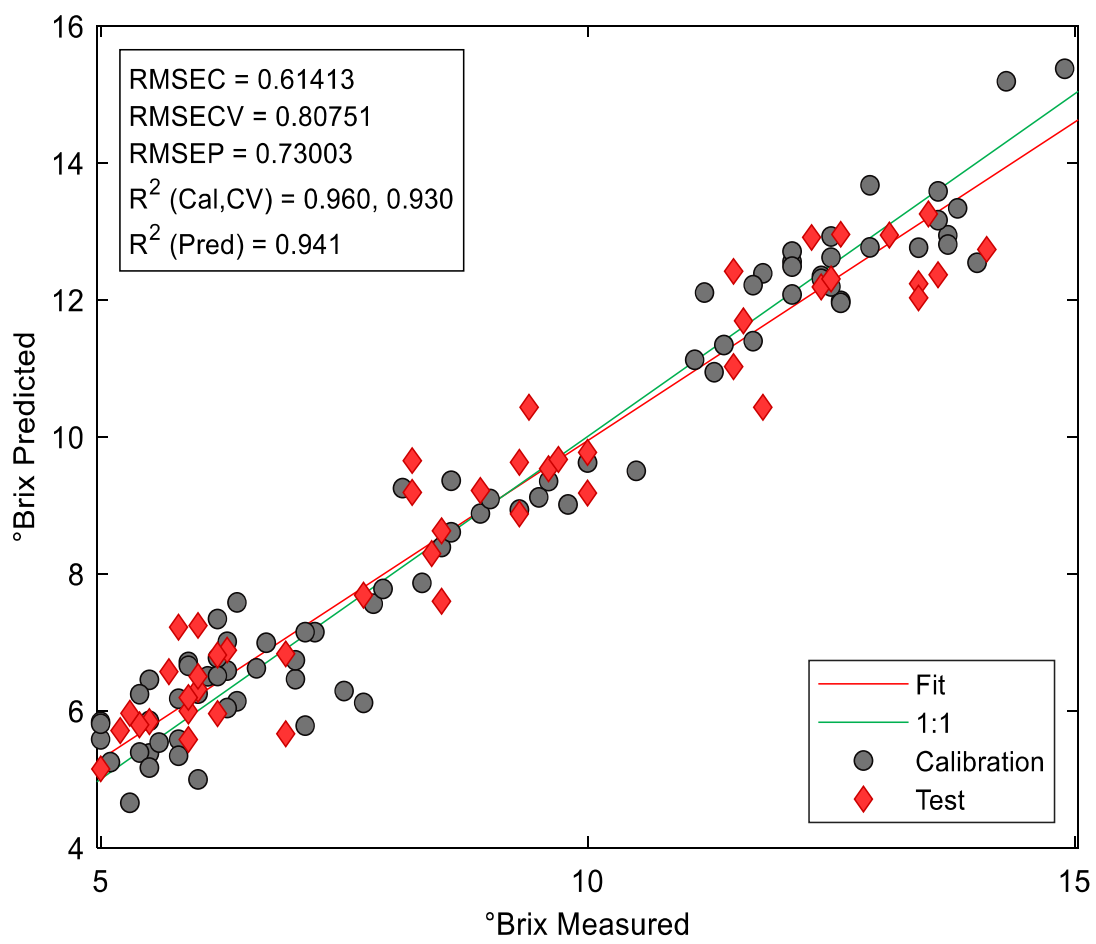


Fig.8

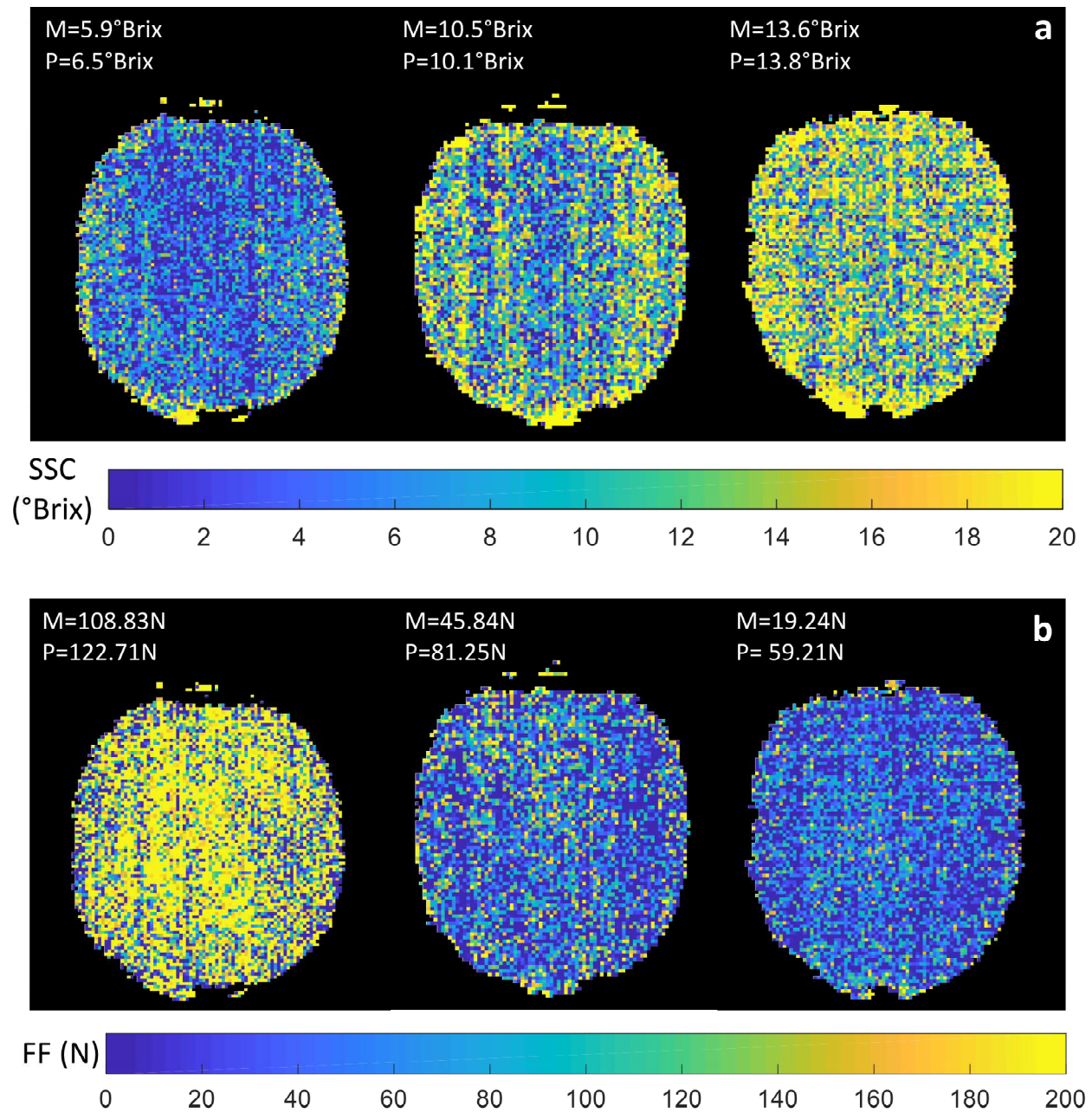
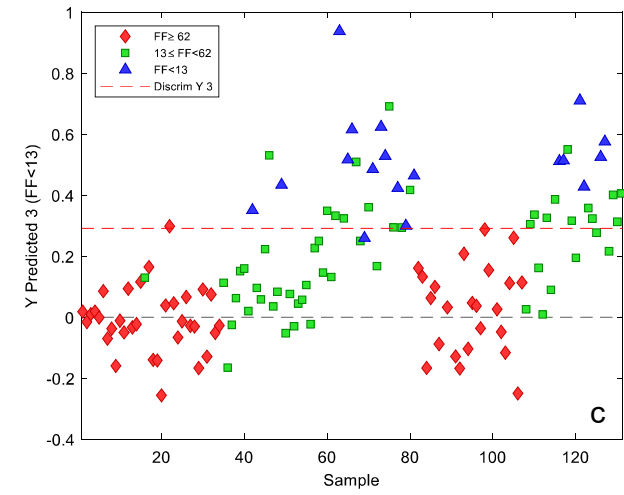
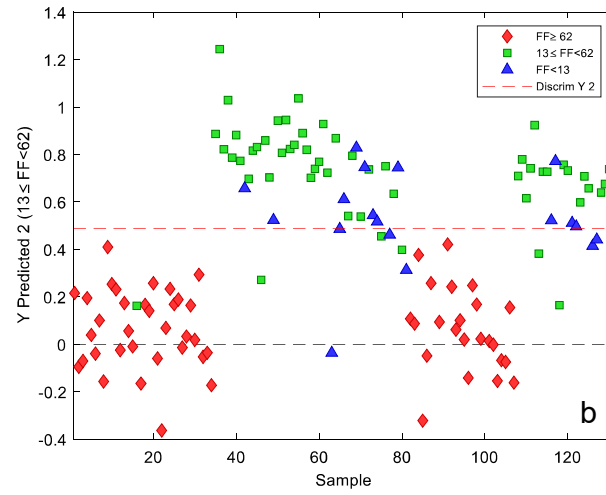
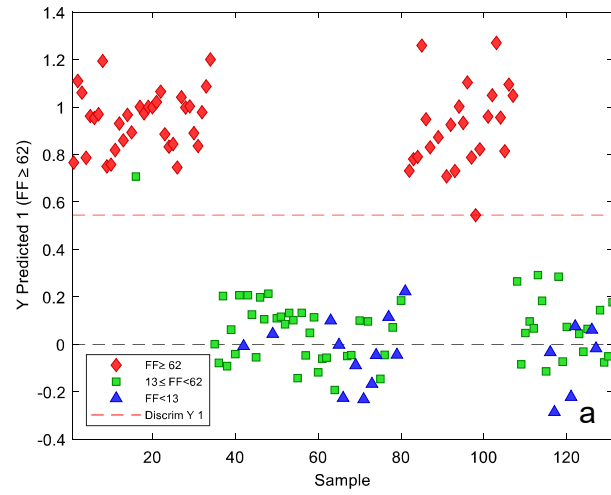
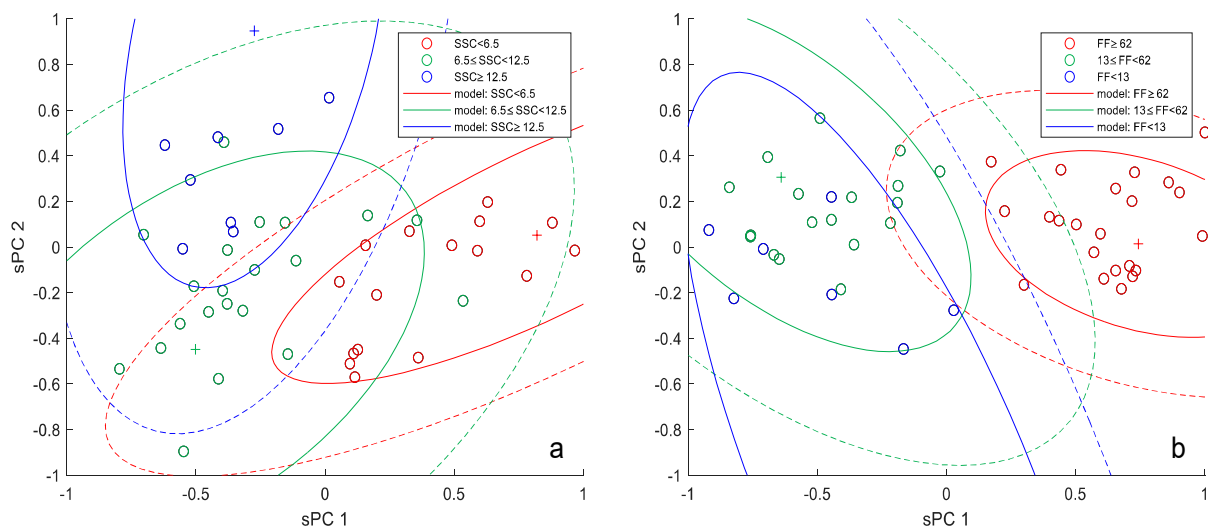


Fig.9

**Fig.10**

**Fig.11**

1 **Figure captions**

2

3 **Figure 1.** Representation of the lab-scale hyperspectral imaging system used to acquire hyperspectral
4 images of kiwi samples.

5 **Figure 2.** (a) RGB representation obtained from a HS image of a kiwi sample; (b) ROI (green) and
6 background (red) obtained by k-means clustering; (c) ROI overlay (semi-transparent green) on the
7 RGB representation in (a).

8 **Figure 3.** Mean and standard deviation of quality attributes (**SSC and FF**) of the sample of kiwifruits
9 during the five days of analysis.

10 **Figure 4.** Mean spectra of kiwi samples pre-processed by: (a) SNV (**colour scale related to SSC**); (b)
11 D1 (**colour scale related to FF**).

12 **Figure 5.** Score plots obtained by PCA according to: (a) **SSC**; (b) **FF**. The colour scales are reported
13 on the right of the relative score plots.

14 **Figure 6.** GA selections of mean spectra of kiwi samples pre-processed by SNV related to: (a) SSC
15 and (b) FF.

16 **Figure 7.** VIP scores of the PLS models developed to predict SSC (a) and FF (b) (spectra pre-
17 processed by SNV).

18 **Figure 8.** Measured vs. predicted values of **SSC** obtained by PLS regression (10 LVs) applying
19 SNV+MC pre-processing, and then selecting the variables with GA.

20 **Figure 9.** Prediction maps of SSC (a) and FF (b) of three representative kiwifruits. (M: measured
21 value; P: mean predicted value).

22 **Figure 10.** PLS-DA prediction plots for FF applying D1+MC pre-processing and GA variables
23 selection method.

24 **Figure 11.** *Soft* PLS-DA prediction plots for :(a) SSC applying SNV+MC pre-processing and GA
25 variable selection method; (b) FF applying D1+MC pre-processing and selecting all variables.

Table 1. Results of the PLS models developed to estimate SSC e FF.

Qualitative index	Spectra pre-processing	Variable selection (nv)	LVs	R ² RMSE			
				Calibration	Cross-validation	Prediction	
SSC (°Brix)	SNV+MC	All (260)	12	0.96 0.59 °Brix	0.92 0.83 °Brix	0.85 1.10 °Brix	
		VIP (104)	10	0.92 0.83 °Brix	0.88 1.03 °Brix	0.86 1.14 °Brix	
		GA (47)	11	0.96 0.61 °Brix	0.93 0.81 °Brix	0.94 0.73 °Brix	
	D1+MC	All (260)	12	0.95 0.66 °Brix	0.90 0.97 °Brix	0.90 0.94 °Brix	
		VIP (58)	6	0.90 0.94 °Brix	0.85 1.12 °Brix	0.88 1.08 °Brix	
		GA (78)	7	0.92 0.87 °Brix	0.86 1.13 °Brix	0.90 1.00 °Brix	
	FF (N)	SNV+MC	All (260)	14	0.93 8.56 N	0.86 12.43 N	0.85 13.10 N
			VIP (97)	13	0.91 9.76 N	0.82 14.17 N	0.82 14.51 N
			GA (72)	14	0.94 7.66 N	0.87 11.50 N	0.92 9.87 N
D1+MC		All (260)	8	0.90 10.02 N	0.85 12.41 N	0.84 13.62	
		VIP (41)	10	0.90 10.03 N	0.86 12.30 N	0.86 12.26 N	
		GA (75)	10	0.92 9.64 N	0.87 12.26 N	0.89 11.31 N	

Note: SSC = soluble solids content; FF = flesh firmness; SNV = standard normal variate; MC = mean centred; D1 = first derivative; nv = number of variables selected; All = all variables; VIP = variable importance in projection; GA = genetic algorithms; LVs = latent variables.

Table 2. Results of PLS-DA and *soft* PLS-DA, in terms of sensitivity, developed to classify the samples according to SSC (class 1 = SSC<6.5; class 2 = 6.5≤SSC<12.5; class 3 = SSC≥12.5).

Spectra pre-processing	Classes of qualitative index	Variable selection (nv)	Sensitivity (%)			
			PLS-DA		Soft PLS-DA	
			Cal.	Pred.	Cal.	Pred.
SNV+MC	class 1	All (260)	97	83	97	67
	class 2		79	62	97	92
	class 3		94	75	100	100
	class 1	VIP (104)	97	62	97	77
	class 2		84	77	92	77
	class 3		100	100	100	100
	class 1	GA (47)	93	77	93	100
	class 2		78	58	92	92
	class 3		78	58	92	92
D1+MC	class 1	All (260)	91	100	94	91
	class 2		77	62	100	92
	class 3		93	70	100	100
	class 1	VIP (58)	86	93	97	100
	class 2		78	68	98	100
	class 3		87	89	100	89
	class 1	GA (78)	94	100	94	100
	class 2		72	71	97	88
	class 3		89	82	100	83

Note: SNV = standard normal variate; MC = mean centred; D1 = first derivative; SSC = soluble solids content; nv = number of variables selected; All = all variables; VIP = variable importance in projection; GA = genetic algorithms; PLS-DA = partial least squares discriminant analysis; Cal. = calibration; Pred. = prediction.

Table 3. Results of PLS-DA and *soft* PLS-DA, in terms of sensitivity, developed to classify the samples according to FF (class 1 = FF \geq 62; class 2 = 13 \leq FF $<$ 62; class 3 = FF $<$ 13).

Spectra pre-processing	Classes of qualitative index	Variable selection (nv)	Sensitivity (%)			
			PLS-DA		Soft PLS-DA	
			Cal.	Pred.	Cal.	Pred.
SNV+MC	class 1	All (260)	100	100	97	100
	class 2		94	86	97	95
	class 3		92	50	100	67
	class 1	VIP (97)	100	95	94	85
	class 2		91	61	94	89
	class 3		100	75	100	100
	class 1	GA (72)	94	100	97	78
	class 2		89	77	97	88
	class 3		83	83	100	100
D1+MC	class 1	All (260)	91	84	100	86
	class 2		72	55	97	94
	class 3		91	93	100	100
	class 1	VIP (41)	97	95	95	89
	class 2		81	77	97	91
	class 3		83	100	92	83
	class 1	GA (75)	100	96	97	78
	class 2		89	83	94	94
	class 3		92	100	100	100

Note: SNV = standard normal variate; MC = mean centred; D1 = first derivative; FF = flesh firmness; nv = number of variables selected; All = all variables; VIP = variable importance in projection; GA = genetic algorithms; PLS-DA = partial least squares discriminant analysis; Cal. = calibration; Pred. = prediction.